

# Introduction to Algorithms

Meng-Tsung Tsai

10/08/2019

# Announcements

Programming Assignment 1 is due by Oct 9, 23:59. at <https://oj.nctu.me>

Written Assignment 1 is due by Oct 15, 10:20. You need hand in your writeup in class. No late submissions because the solution will be announced right after the deadline. at <https://e3new.nctu.edu.tw>

Programming Assignment 2 is due by Oct 29, 23:59. at <https://oj.nctu.me>

We **will not normalize** the points that you receive from assignments. 100 points is a perfect score, and extra points are considered as a bonus.

**Caution:** it is very difficult to solve all problems in an assignment.

# Dynamic Programming

# What is dynamic programming?

*Memoization*: store the return value of an expensive function call and return the stored value when the same input of the function call appears.

*Dynamic Programming*: divide and conquer + memoization.

Use divide and conquer to generate a lot of subproblems (function calls).

Use memoization to reuse the result of computed subproblems.

# Fibonacci Numbers

# Output $F_k$

$F_1 = 1, F_2 = 1, F_{n+2} = F_{n+1} + F_n$  for every  $n \geq 1$ .

Input: an integer  $k$ .

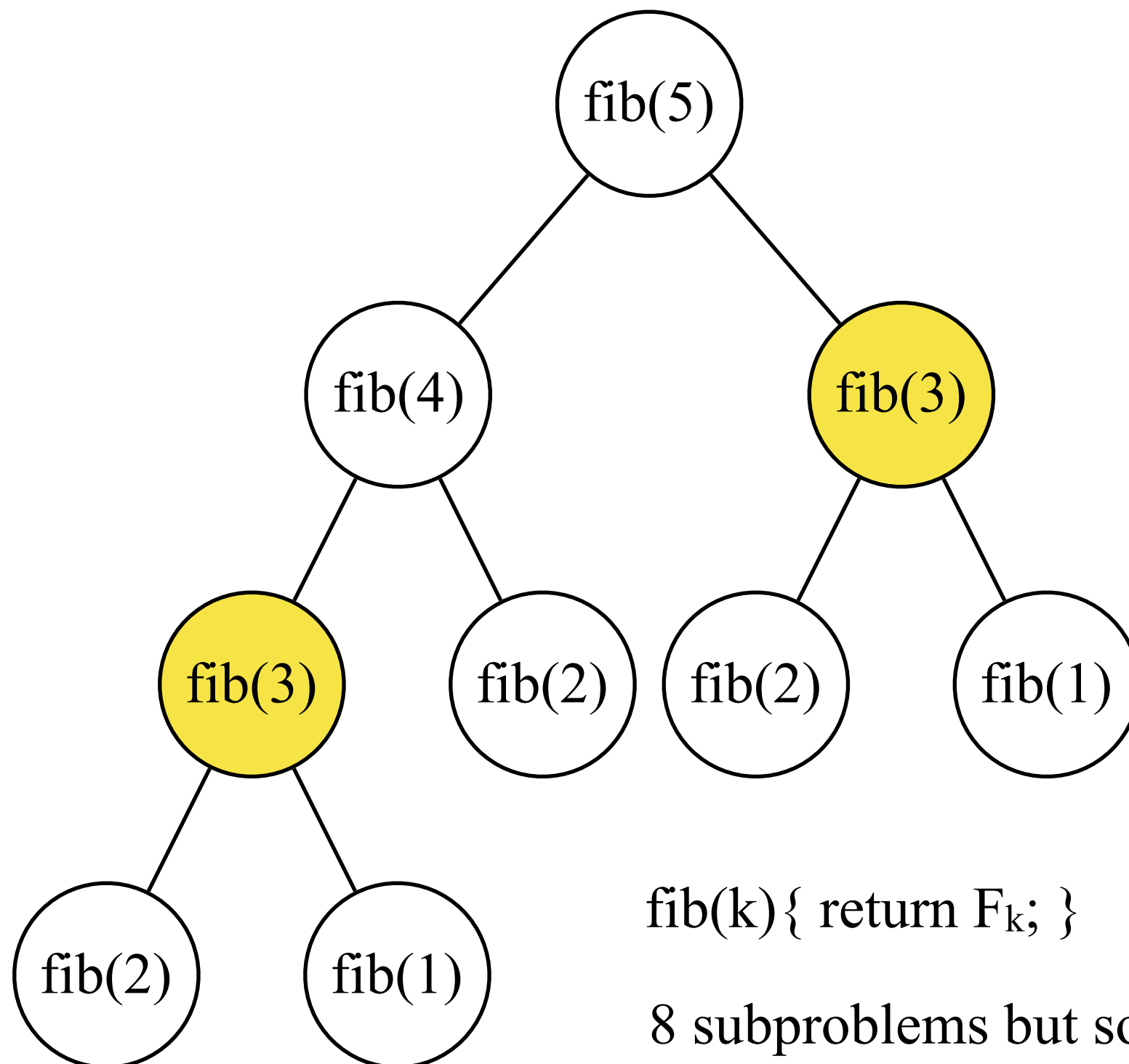
Output:  $F_k$ .

--- Example ---

$$F_3 = F_2 + F_1 = 2.$$

$$F_5 = F_4 + F_3 = (F_3 + F_2) + F_3 = (F_2 + F_1) + F_2 + (F_2 + F_1) = 5.$$

# Divide and Conquer + Memoization



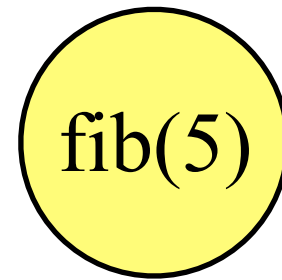
fib(5)    ?

fib(4)    ?

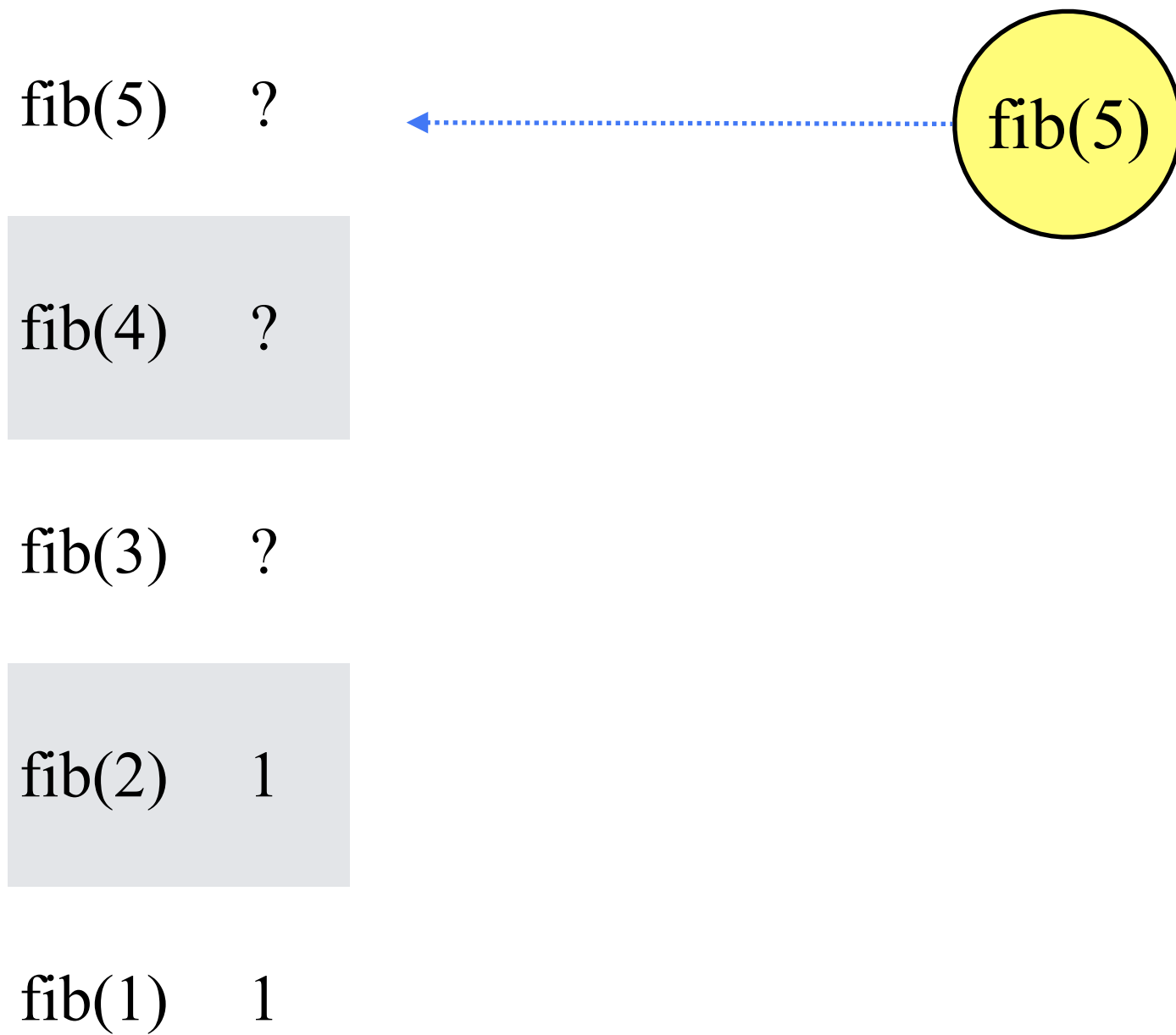
fib(3)    ?

fib(2)    1

fib(1)    1







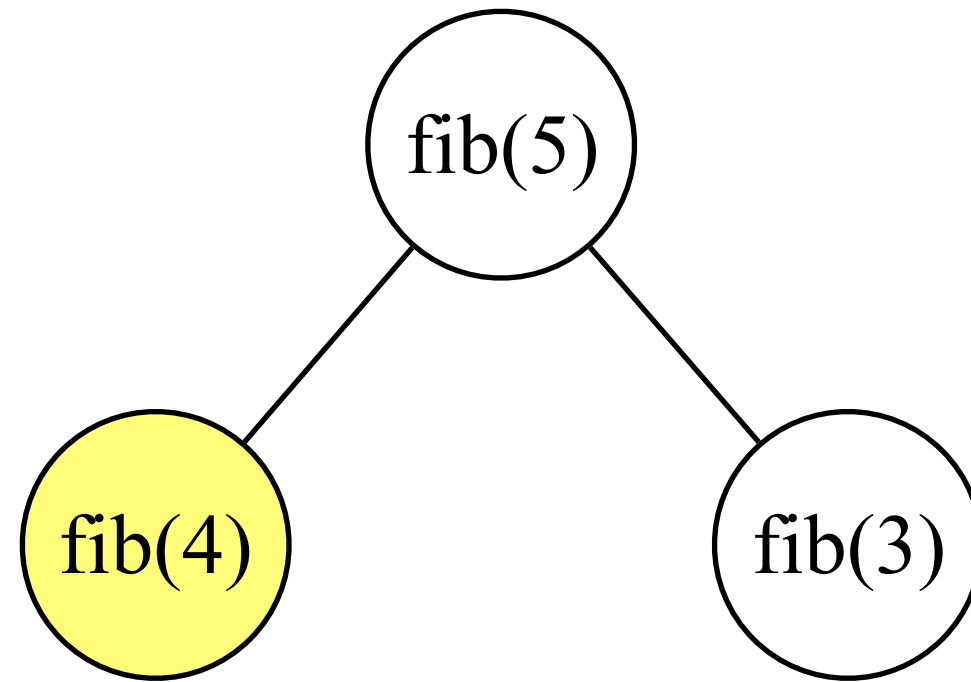
fib(5)    ?

fib(4)    ?

fib(3)    ?

fib(2)    1

fib(1)    1



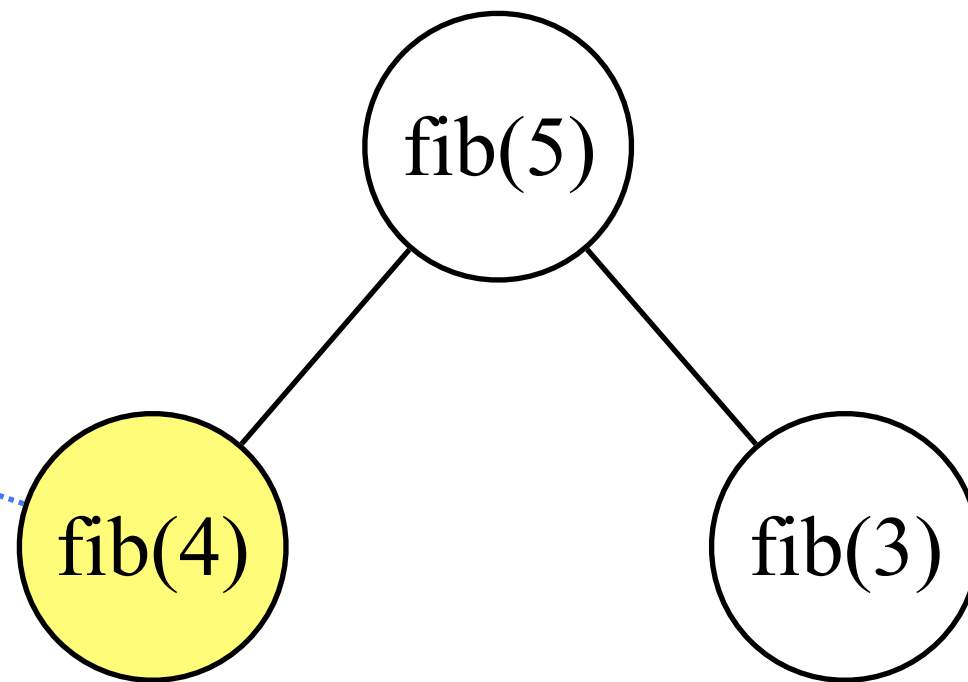
fib(5) ?

fib(4) ?

fib(3) ?

fib(2) 1

fib(1) 1



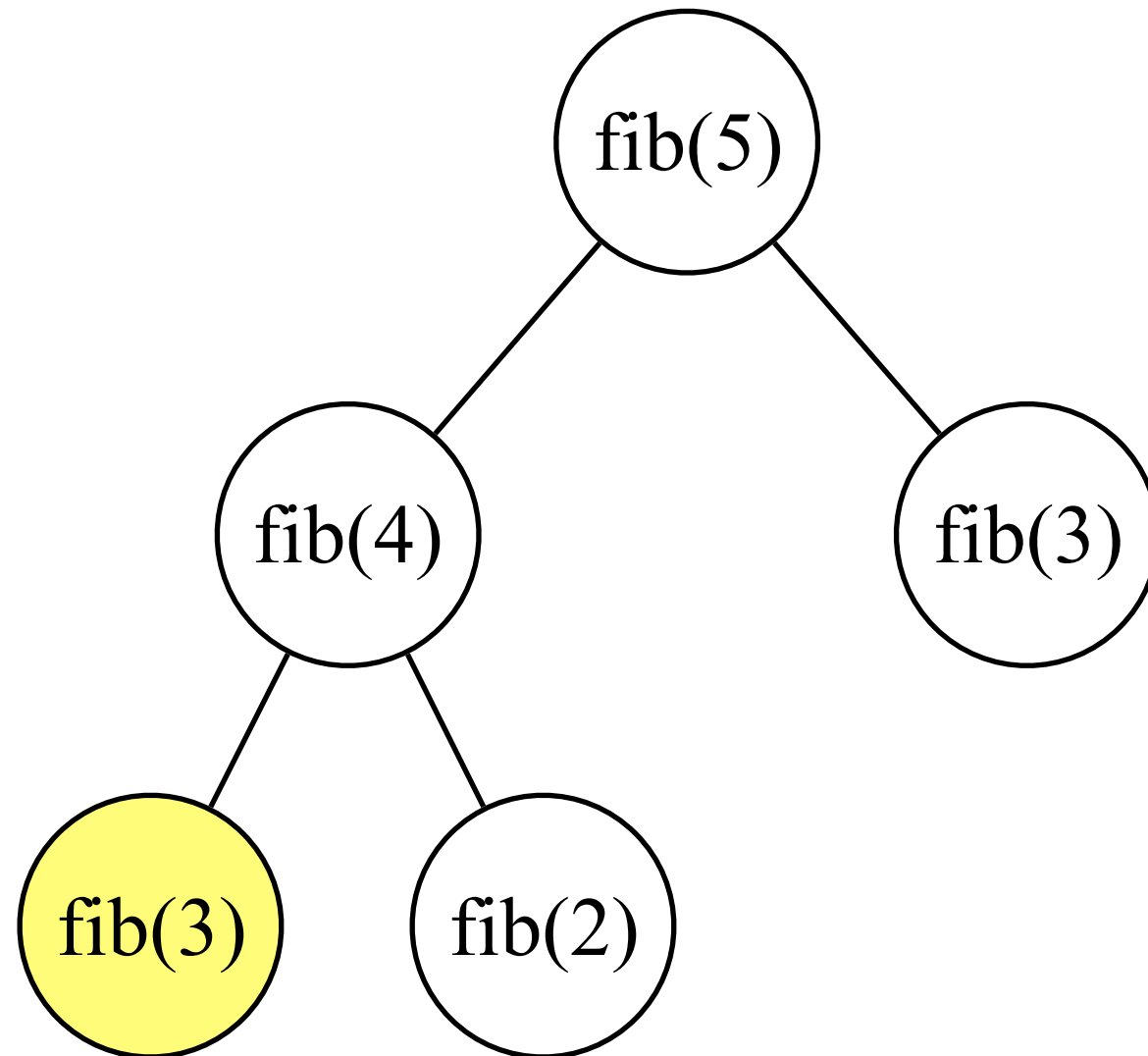
fib(5) ?

fib(4) ?

fib(3) ?

fib(2) 1

fib(1) 1



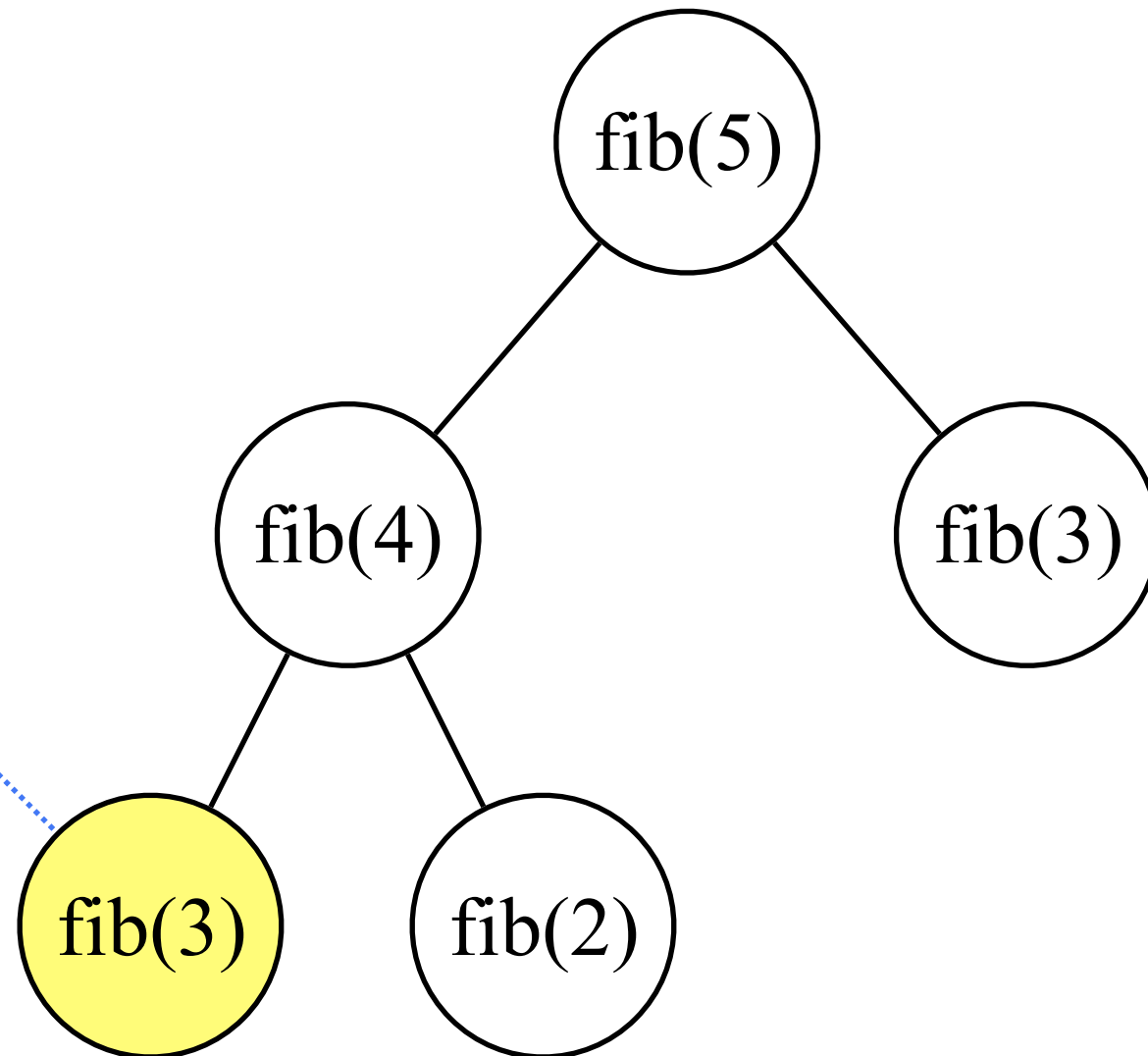
fib(5) ?

fib(4) ?

fib(3) ?

fib(2) 1

fib(1) 1



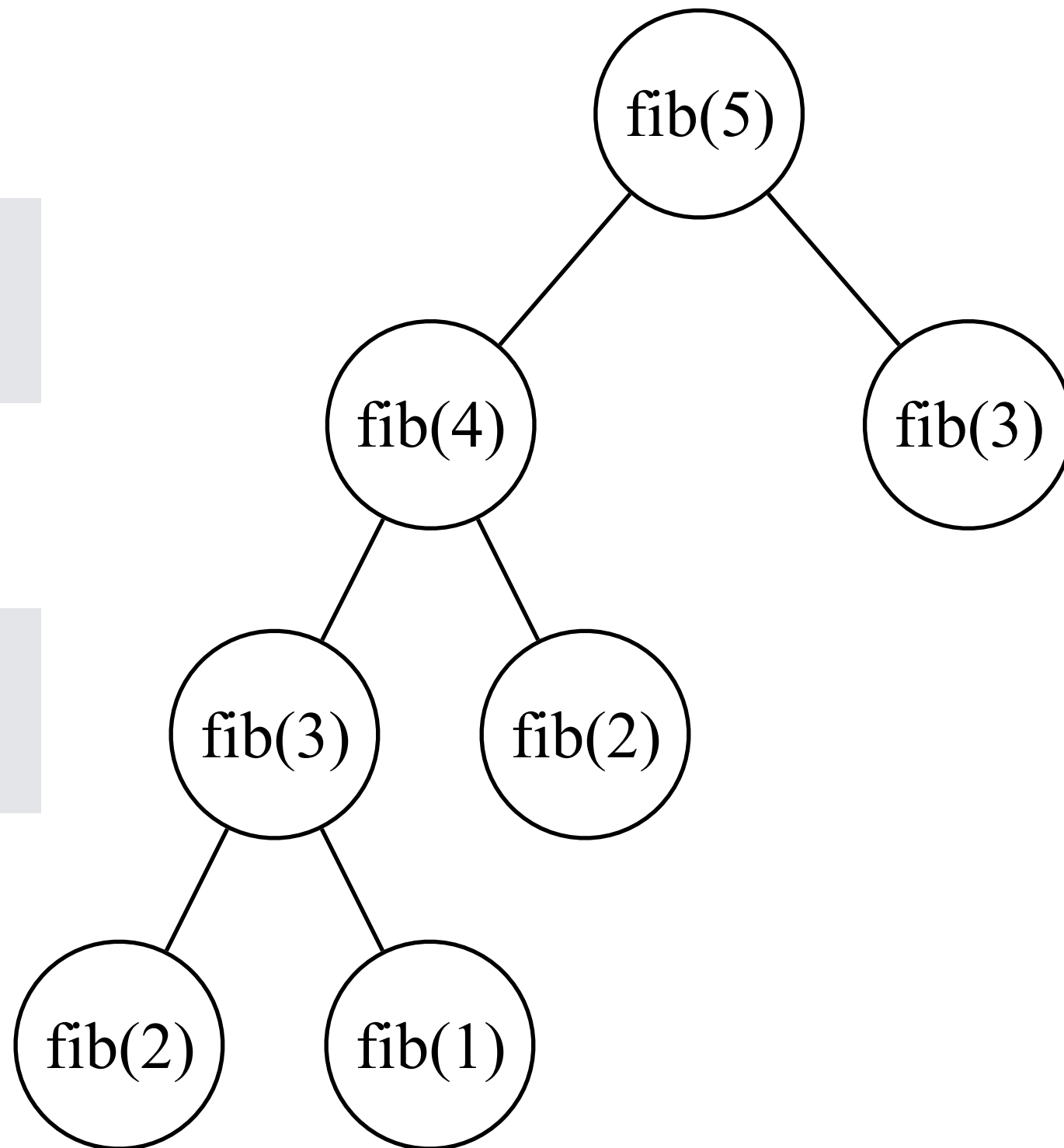
fib(5) ?

fib(4) ?

fib(3) ?

fib(2) 1

fib(1) 1



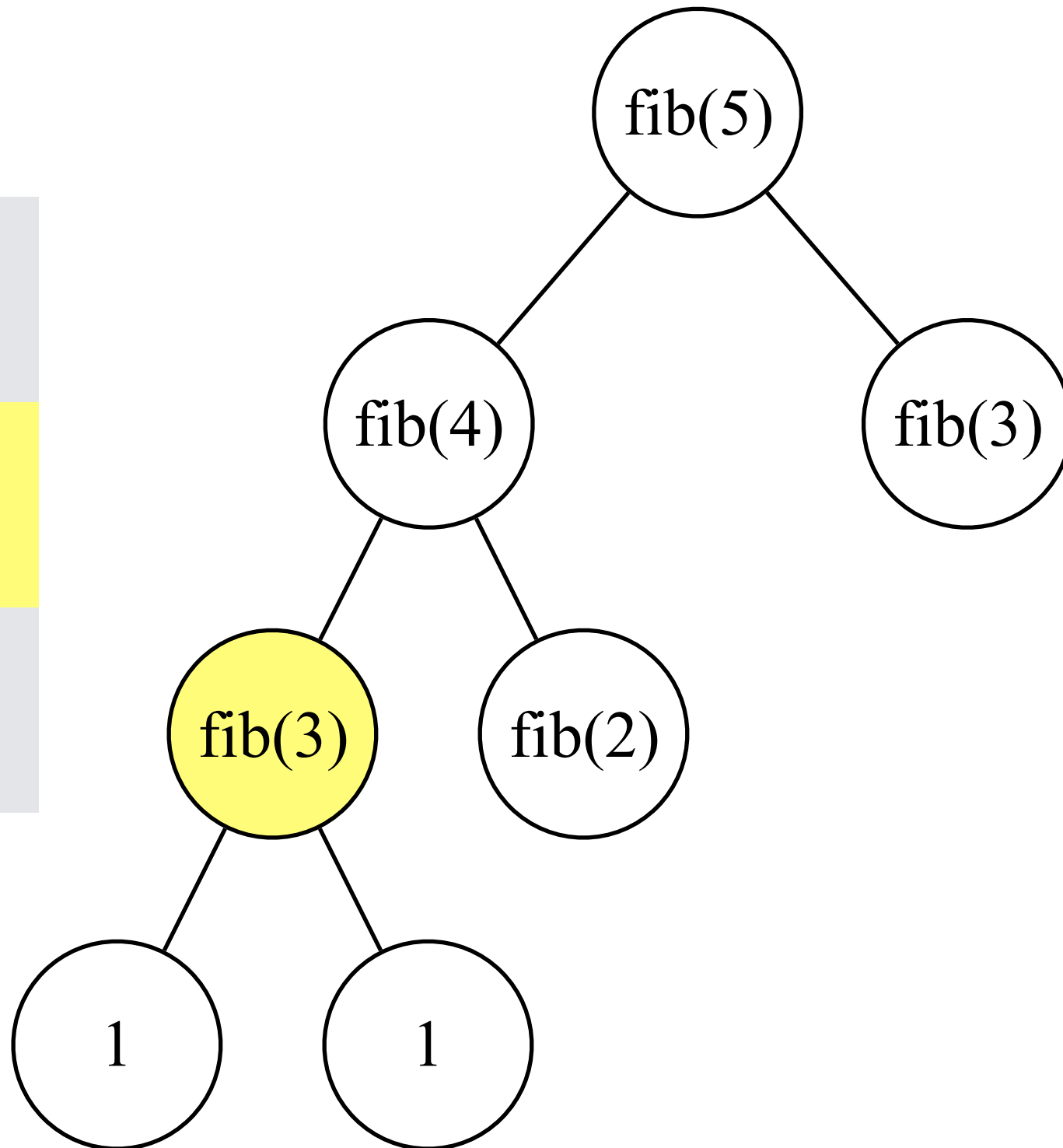
fib(5)    ?

fib(4)    ?

fib(3)    ?

fib(2)    1

fib(1)    1



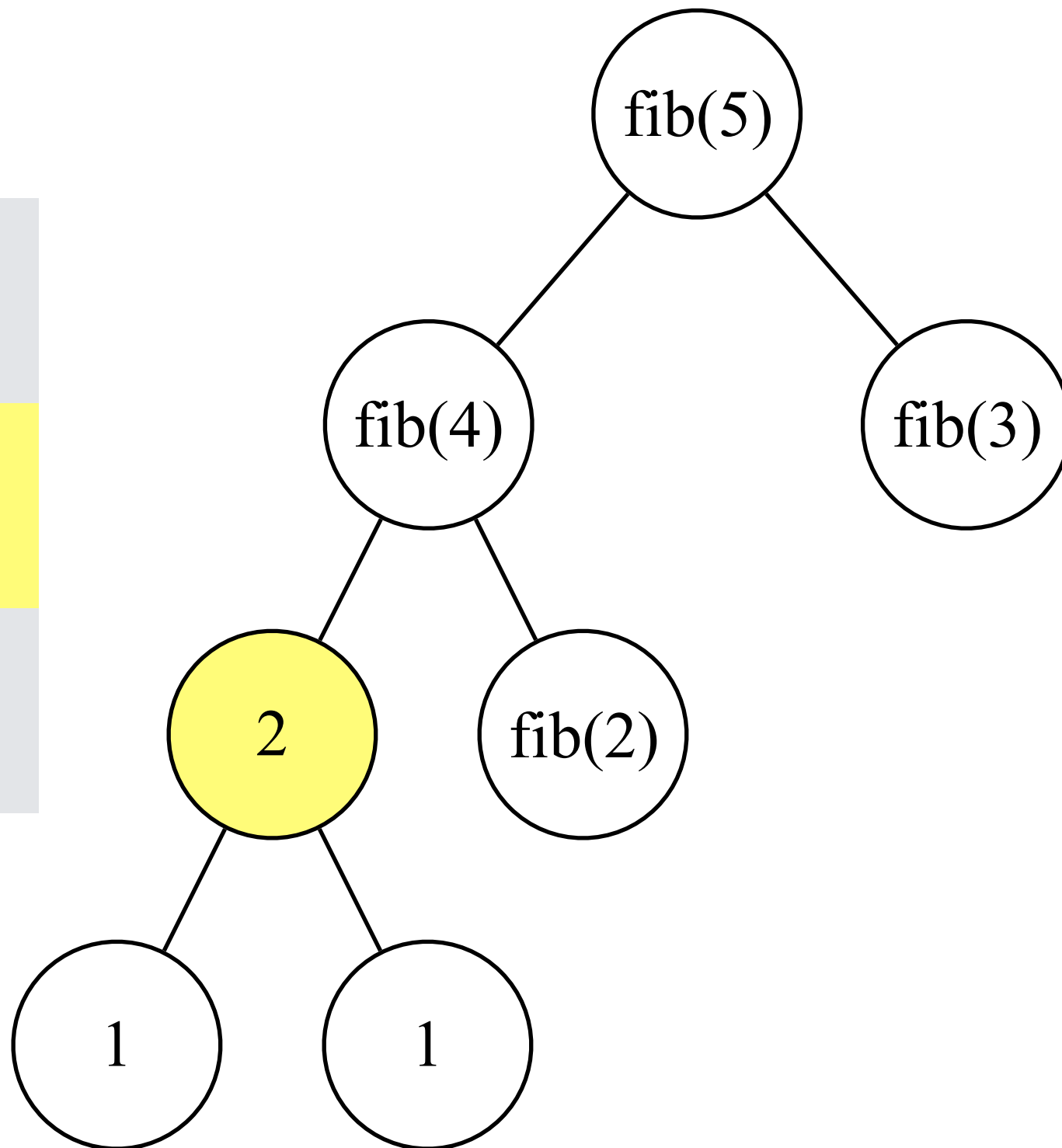
fib(5)    ?

fib(4)    ?

fib(3)    2

fib(2)    1

fib(1)    1





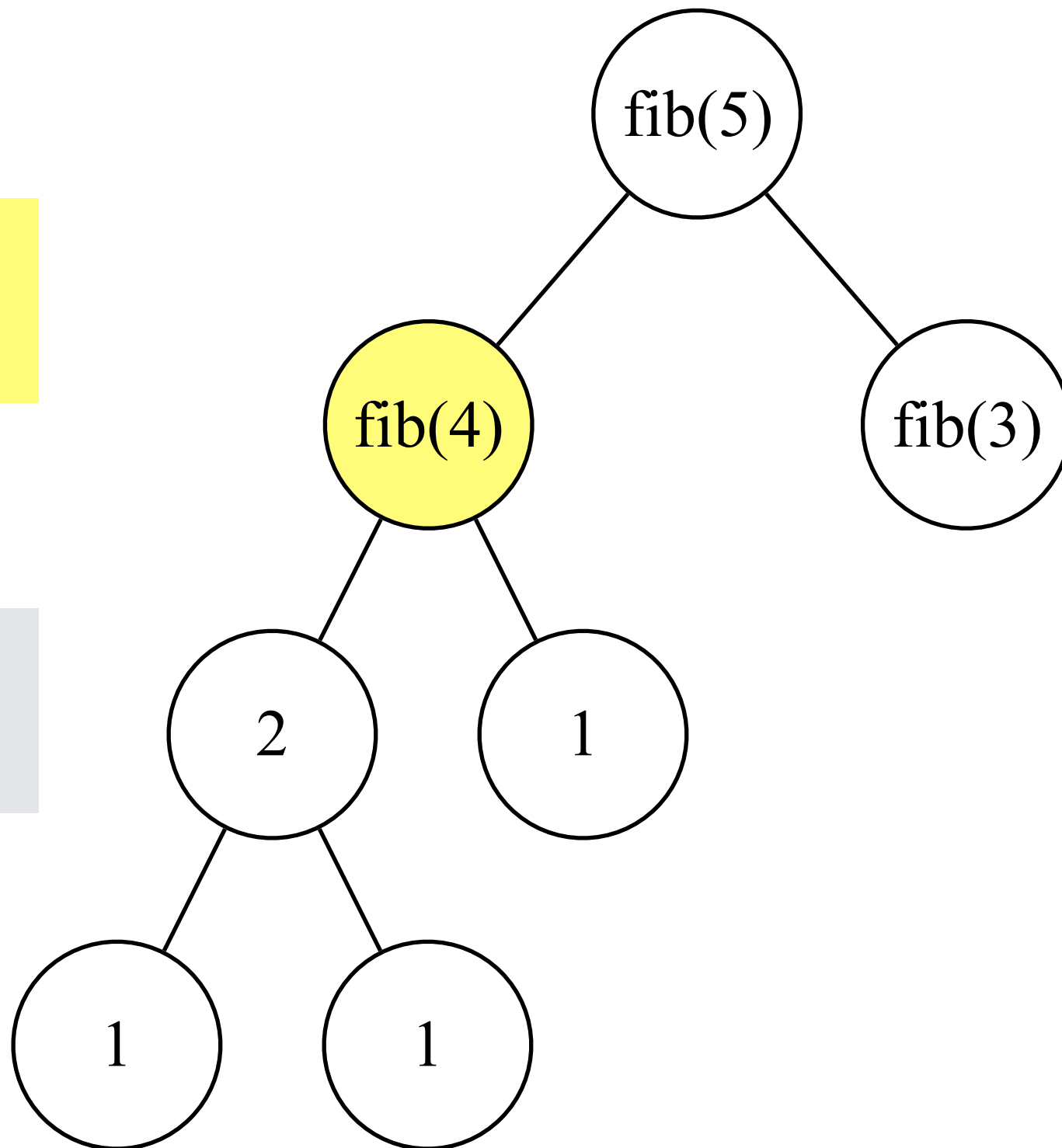
fib(5)    ?

fib(4)    ?

fib(3)    2

fib(2)    1

fib(1)    1



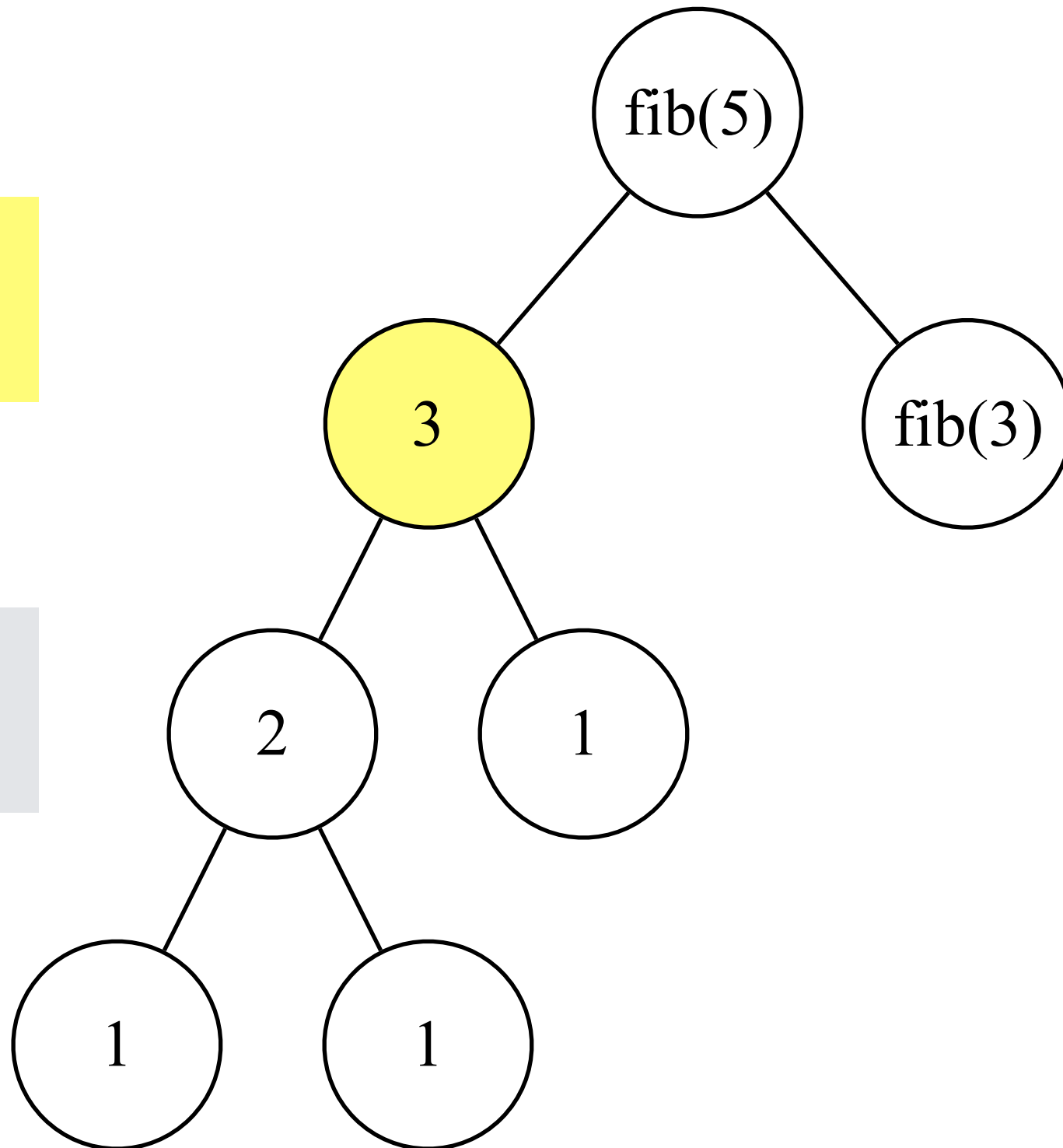
fib(5)    ?

fib(4)    3

fib(3)    2

fib(2)    1

fib(1)    1



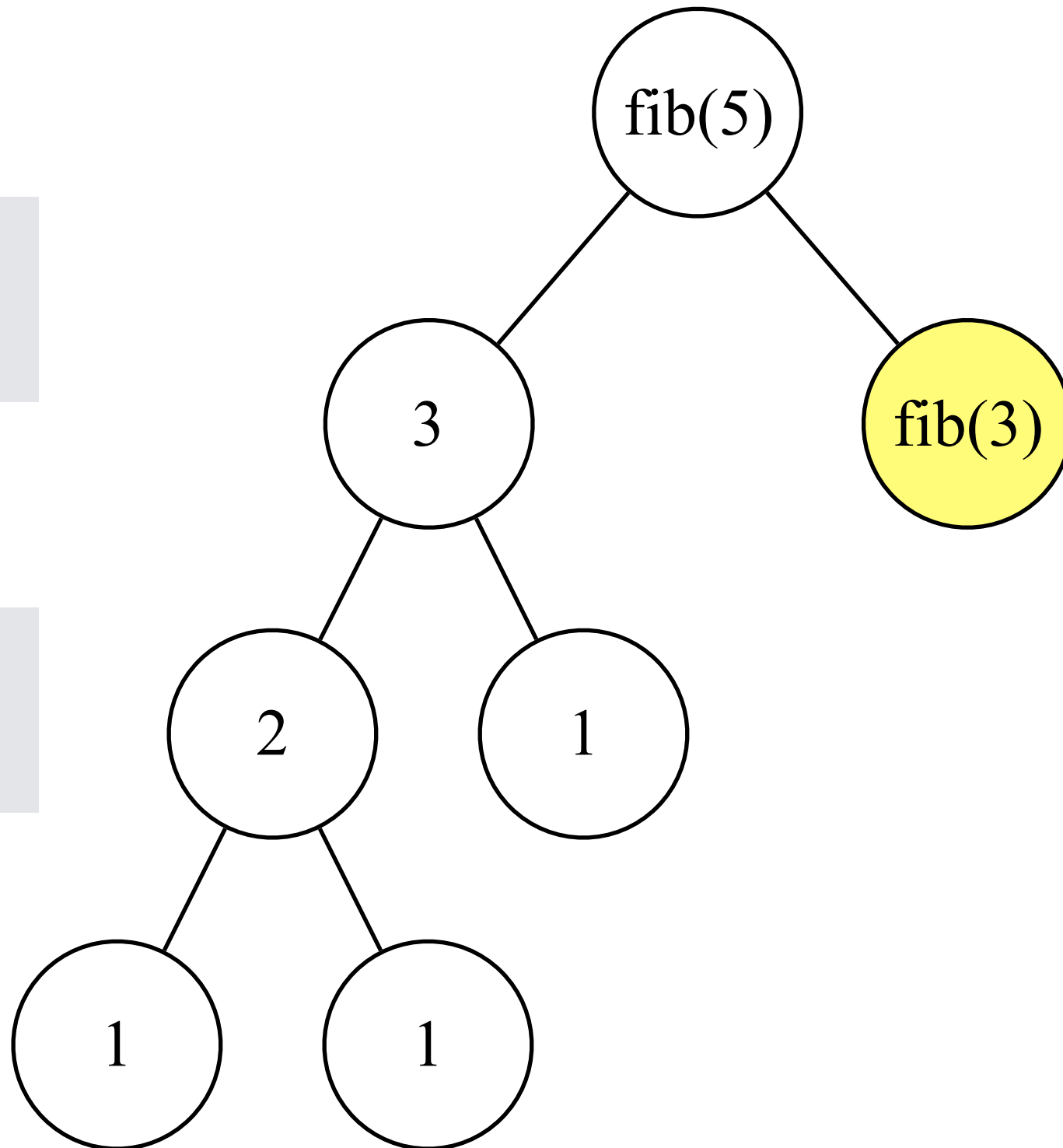
fib(5)    ?

fib(4)    3

fib(3)    2

fib(2)    1

fib(1)    1



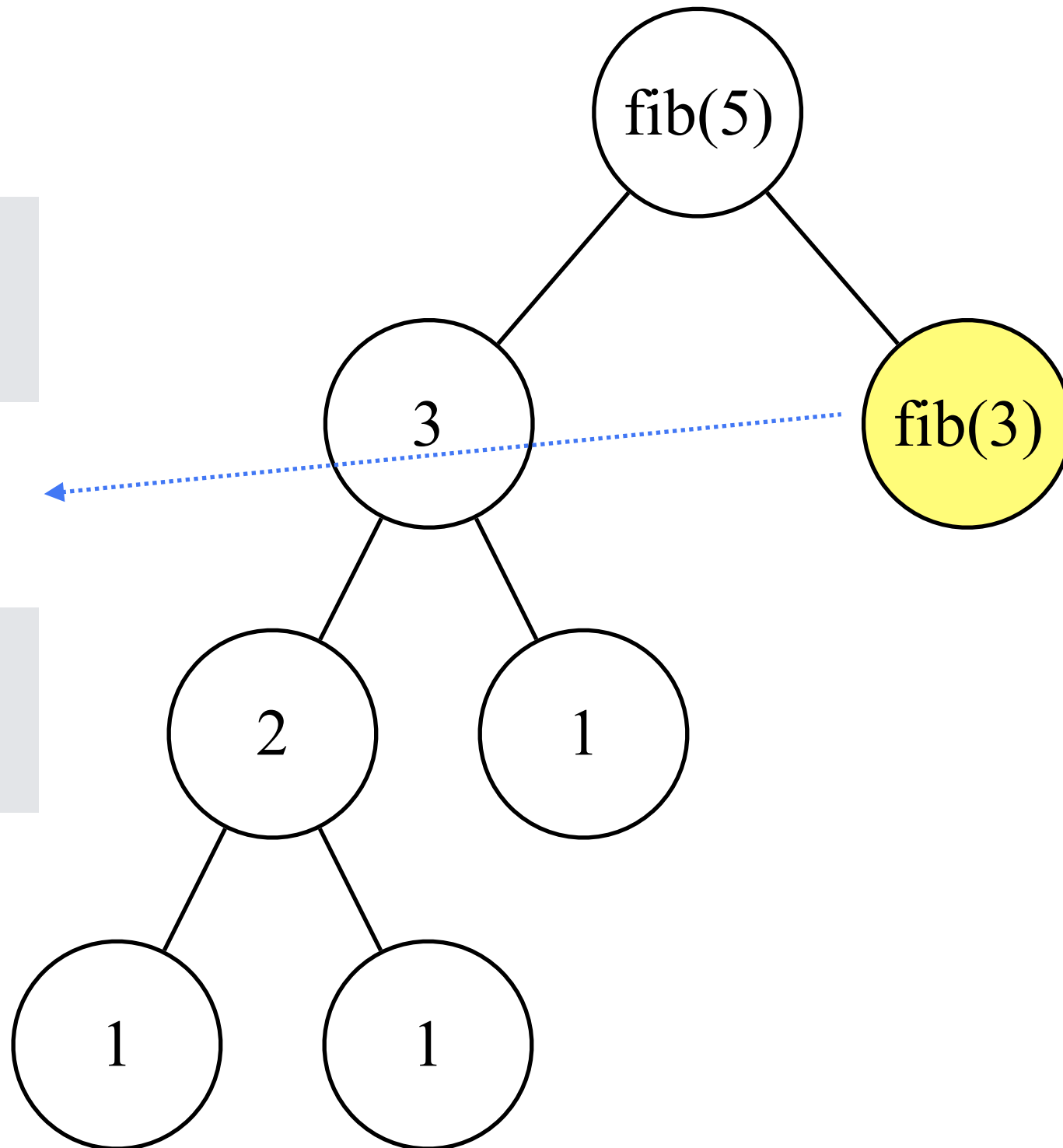
fib(5) ?

fib(4) 3

fib(3) 2

fib(2) 1

fib(1) 1



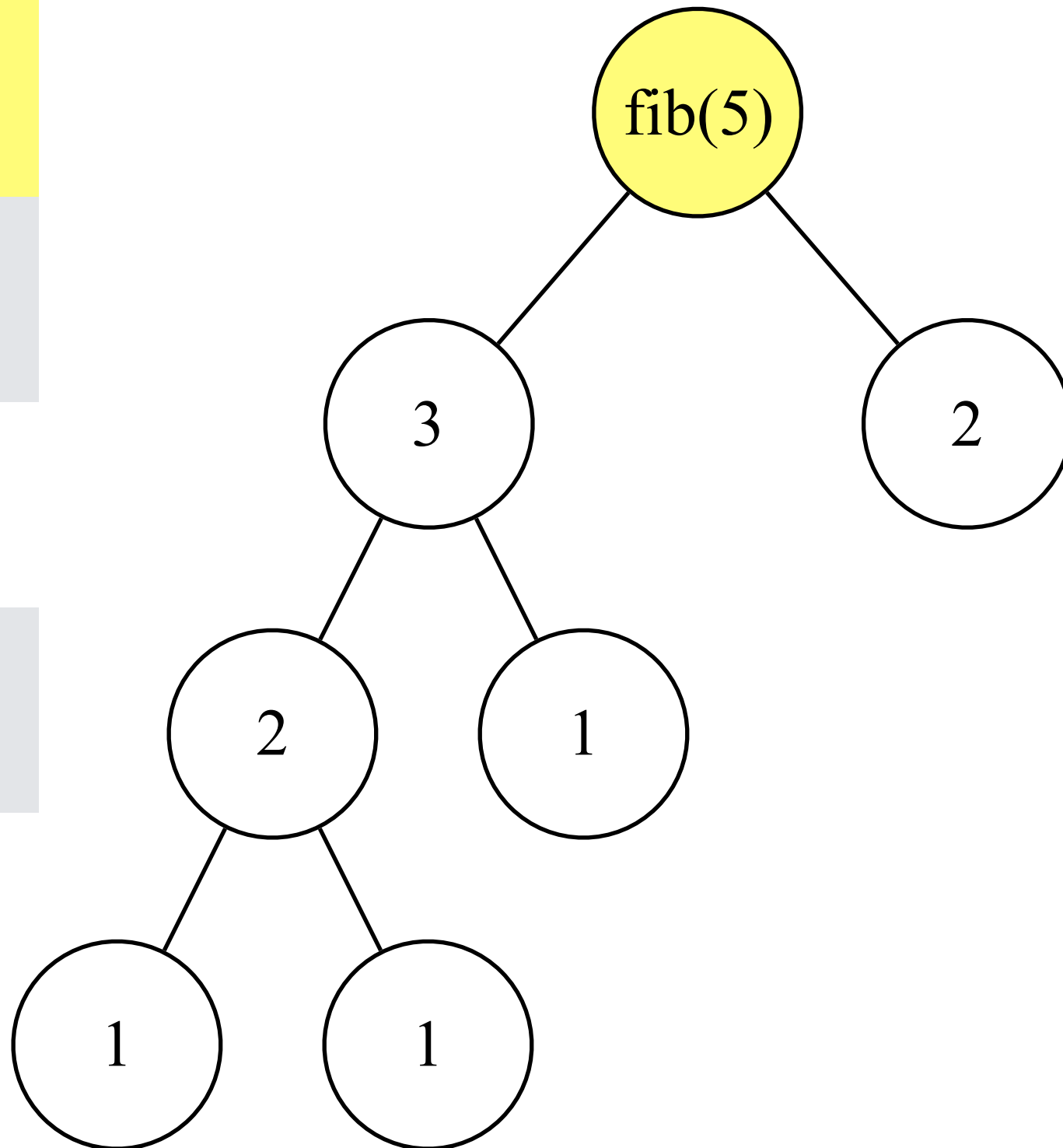
fib(5)	?
--------	---

fib(4)	3
--------	---

fib(3)	2
--------	---

fib(2)	1
--------	---

fib(1)	1
--------	---



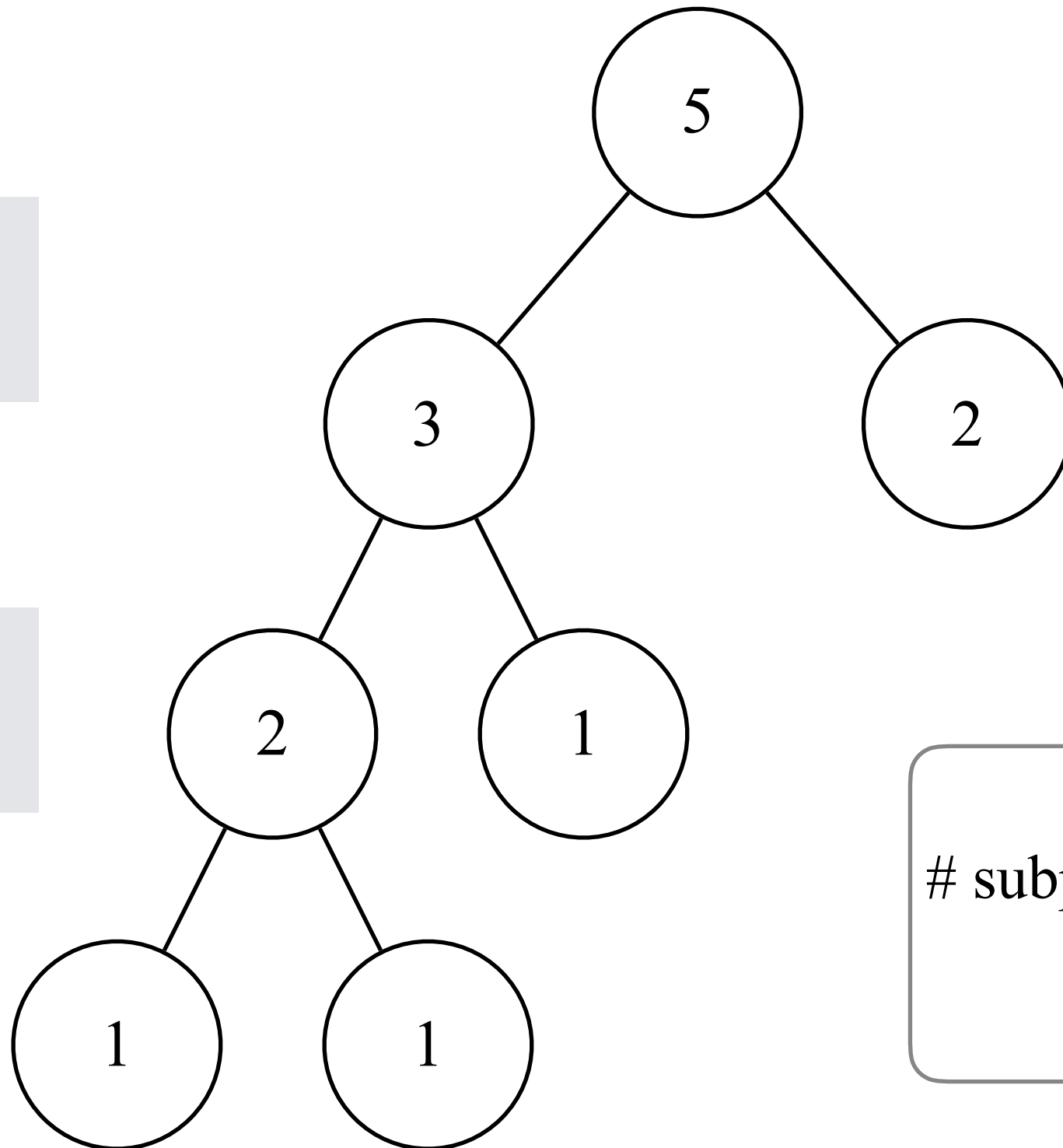
fib(5) 5

fib(4) 3

fib(3) 2

fib(2) 1

fib(1) 1



# subproblems is reduced  
from 8 to 6.

# Exercise

Prove that it needs  $2^{\Omega(k)}$  time to compute  $F_k$  by the divide and conquer approach, and  $O(k)$  time by dynamic programming.

# Maximum Subarray Problem



# Find a contiguous subarray with max sum

Input: an array  $A[1..n]$  of real numbers.

Output:  $x$  and  $y$  so that  $\sum_{x \leq i \leq y} A[i] \geq \sum_{a \leq i \leq b} A[i]$  for any

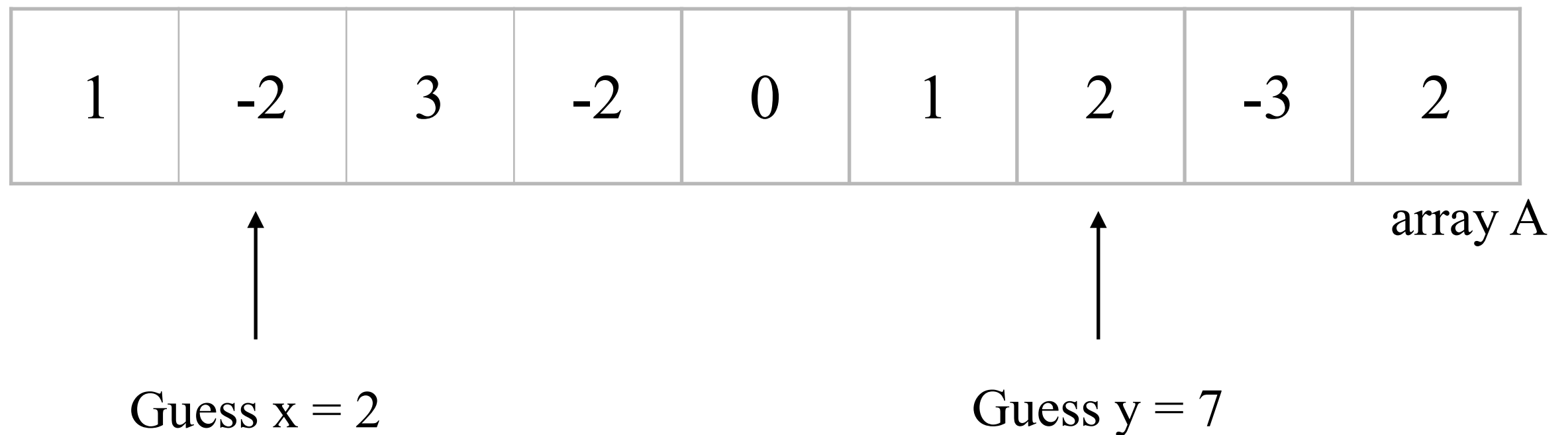
$a, b \in \{1, 2, \dots, n\}, a \leq b$ .

Example.

1	-2	3	-2	0	1	2	-3	2
---	----	---	----	---	---	---	----	---

array A

# A naive approach



Try  $O(n^2)$  guesses. Each guess needs  $O(n)$  time to calculate the sum. In total, the algorithm runs in  $O(n^3)$  time.

# A better approach

Guess  $x = 2$

Guess  $y = 7$



1	-2	3	-2	0	1	2	-3	2
---	----	---	----	---	---	---	----	---

array A

0	1	-1	2	0	0	1	3	0	2
---	---	----	---	---	---	---	---	---	---

prefix sum S

Still try  $O(n^2)$  guesses, but each guess needs  $O(1)$  time to calculate the sum, given the array of prefix sums.

In total, the algorithm runs in  $O(n^2)$  time.

# An optimal approach

Guess  $y = 7$



0	1	-1	2	0	0	1	3	0	2
---	---	----	---	---	---	---	---	---	---

prefix sum  $S$

Guess  $y$  only. There are  $O(n)$  guesses.  
To maximize  $S[y] - S[x-1]$  for a fixed  $y$ , what is  $x-1$ ?

# An optimal approach

Guess  $y = 7$



0	1	-1	2	0	0	1	3	0	2
---	---	----	---	---	---	---	---	---	---

prefix sum S



When  $S[x-1]$  is the minimum value among those  
preceeding  $S[y]$ ,  
 $S[y] - S[x-1]$  is maximized.

Guess  $y$  only. There are  $O(n)$  guesses.  
To maximize  $S[y] - S[x-1]$  for a fixed  $y$ , what is  $x-1$ ?

# An optimal approach

Guess  $y = 7$



0	1	-1	2	0	0	1	3	0	2
---	---	----	---	---	---	---	---	---	---

prefix sum  $S$



When  $S[x-1]$  is the minimum value among those  
preceeding  $S[y]$ ,  
 $S[y]-S[x-1]$  is maximized.

It takes  $O(n)$  time to identify the corresponding  $x$ 's for all  $y$ 's. (Why?)

In total, the running time is  $O(n)$ .

This algorithm is optimal because any algorithm to solve this problem  
needs to read all entries in the array.

# Exercise

Input: an  $n$  by  $n$  matrix  $A$  of real numbers

Output: a contiguous submatrix with max sum

Example.

1	-2	3	-2
-2	1	2	0
3	0	3	-1
-2	-1	0	4

Is it possible to solve it in  $O(n^3)$  time?

# The Rod-cutting Problem



# Finding a way to cut a rod to maximize the revenue

Input: given a rod of  $n$  inches and a table of prices  $p_i$  for  $i = 1, 2, \dots, n$  where  $p_i$  denotes the price of a rod of  $i$  inches.

Output: the maximum revenue obtainable by cutting up the rod and selling the pieces.

Example.



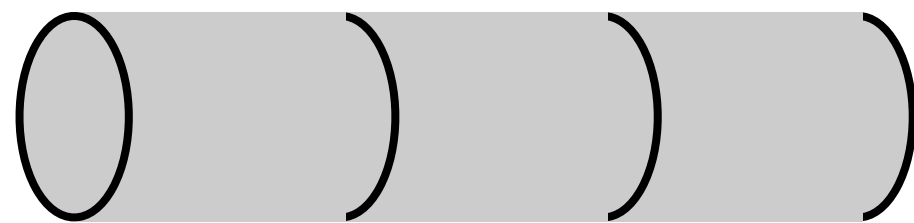
inches	1	2	3
price	2	5	6

# Finding a way to cut a rod to maximize the revenue

Input: given a rod of  $n$  inches and a table of prices  $p_i$  for  $i = 1, 2, \dots, n$  where  $p_i$  denotes the price of a rod of  $i$  inches.

Output: the maximum revenue obtainable by cutting up the rod and selling the pieces.

Example.



inches	1	2	3
price	2	5	6



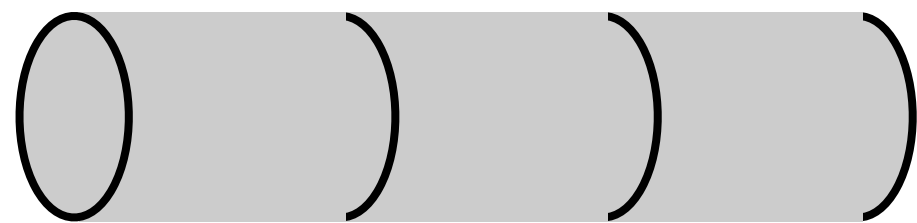
Revenue is 6.

# Finding a way to cut a rod to maximize the revenue

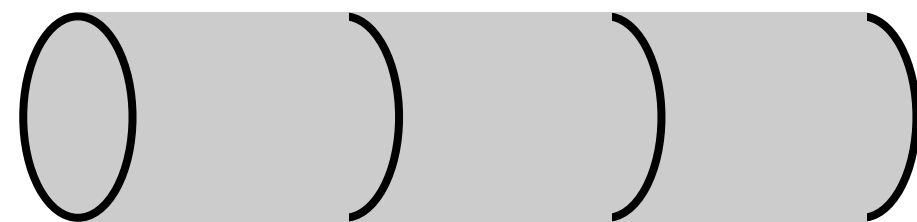
Input: given a rod of  $n$  inches and a table of prices  $p_i$  for  $i = 1, 2, \dots, n$  where  $p_i$  denotes the price of a rod of  $i$  inches.

Output: the maximum revenue obtainable by cutting up the rod and selling the pieces.

Example.



inches	1	2	3
price	2	5	6



Revenue is 6.

# Finding a way to cut a rod to maximize the revenue

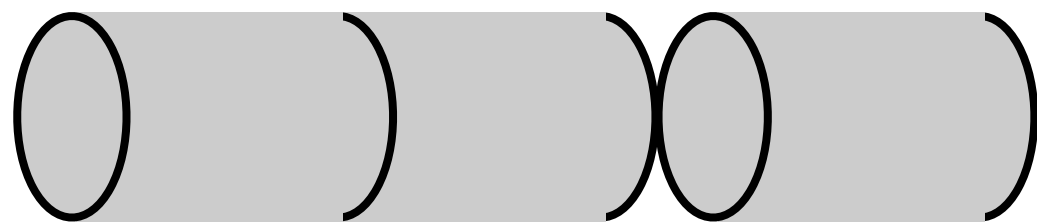
Input: given a rod of  $n$  inches and a table of prices  $p_i$  for  $i = 1, 2, \dots, n$  where  $p_i$  denotes the price of a rod of  $i$  inches.

Output: the maximum revenue obtainable by cutting up the rod and selling the pieces.

Example.



inches	1	2	3
price	2	5	6



Revenue is 7,  
the maximum.

# Divide and Conquer

```
rod_cutting(n){  
    int max_revenue = pn; // no cut  
    // guess that the length of first cut is i inches  
    foreach i ∈ {1, 2, ..., n-1}  
        if(pi + rod_cutting(n-i) > max_revenue){  
            max_revenue = pi + rod_cutting(n-i);  
        }  
    return max_revenue;  
}
```

rod\_cutting(n) will invoke  $2^{\Omega(n)}$  subproblems, but only  $O(n)$  distinct ones.

# Divide and Conquer + Memoization

```
rod_cutting(n, cached_solution[]){  
  
    if(cached_solution[n] ≥ 0)//cached_solution[] was -1 initially  
        return cached_solution[n];  
  
    int max_revenue = pn; // no cut  
    // guess that the length of first cut is i inches  
    foreach i ∈ {1, 2, ..., n-1}  
        if(pi + rod_cutting(n-i,cached_solution[]) > max_revenue){  
            max_revenue = pi + rod_cutting(n-i, cached_solution[]);  
        }  
    cached_solution[n] = max_revenue;  
    return cached_solution[n];  
}
```

$O(n)$  subproblems. Each needs  $O(n)$  time to compute. In total,  $O(n^2)$  time.

# Longest Common Subsequence

# Finding a longest common subsequence of two strings

Input: a string A of n characters and a string B of m characters.

Output: a common subsequence of A and B whose length is the longest.

Example.

z	s	c	a	d	a	c	s
---	---	---	---	---	---	---	---

string A

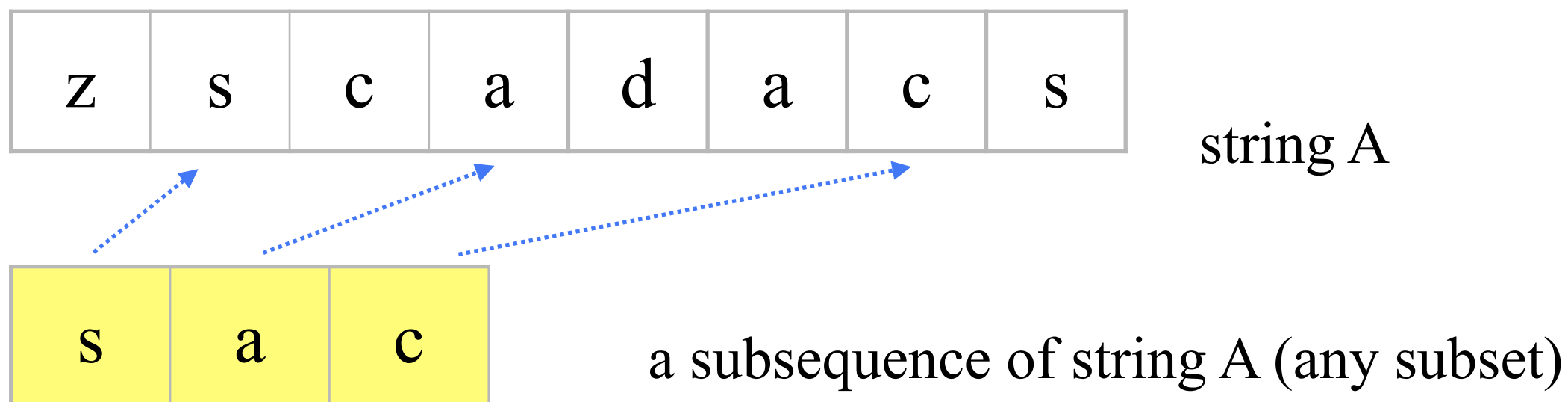


# Finding a longest common subsequence of two strings

Input: a string A of n characters and a string B of m characters.

Output: a common subsequence of A and B whose length is the longest.

Example.

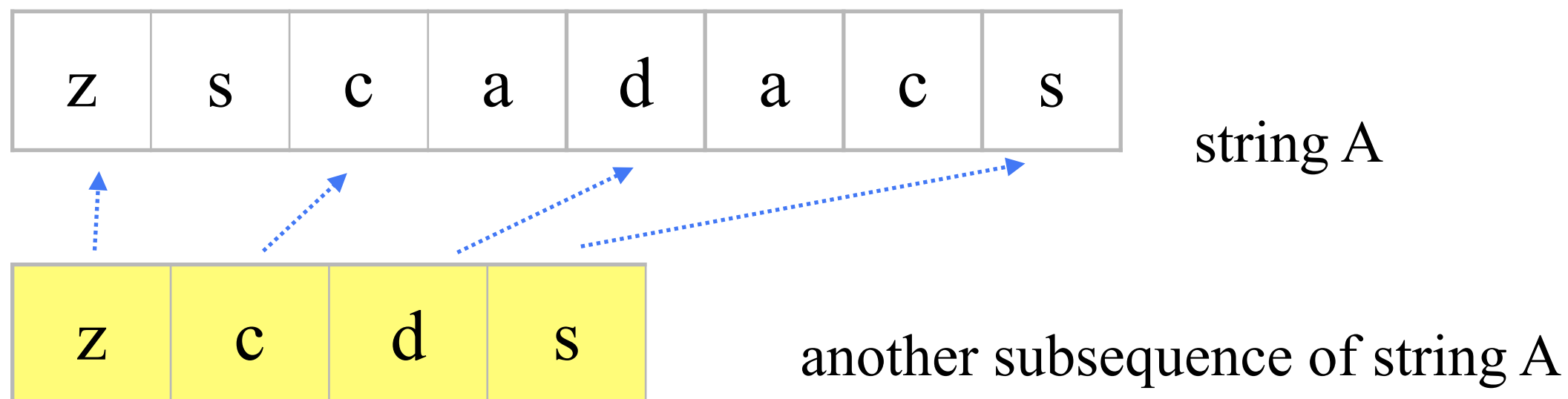


# Finding a longest common subsequence of two strings

Input: a string A of n characters and a string B of m characters.

Output: a common subsequence of A and B whose length is the longest.

Example.



# Finding a longest common subsequence of two strings

Input: a string A of n characters and a string B of m characters.

Output: a common subsequence of A and B whose length is the longest.

Example.

z	s	c	a	d	a	c	s
---	---	---	---	---	---	---	---

string A

String A is also a subsequence of itself.

# Finding a longest common subsequence of two strings

Input: a string A of n characters and a string B of m characters.

Output: a common subsequence of A and B whose length is the longest.

Example.

A common subsequence.

z	s	c	a	d	a	c	s
---	---	---	---	---	---	---	---

string A

a	a	d	z	b	c	d	a
---	---	---	---	---	---	---	---

string B

# Divide and Conquer

```
LCS(n, m){ // return the length of the LCS of string A and B
    if(n == 0 or m == 0) return 0;

    int max_length = 0;

    if(A[n] == B[m]) // if A[n] and B[m] is a part of LCS
        max_length = 1 + LCS(n-1, m-1);
    else // otherwise one of A[n], B[m] is not a part of LCS
        max_length = max(LCS(n-1, m), LCS(n, m-1));

    return max_length;
}
```

# Divide and Conquer

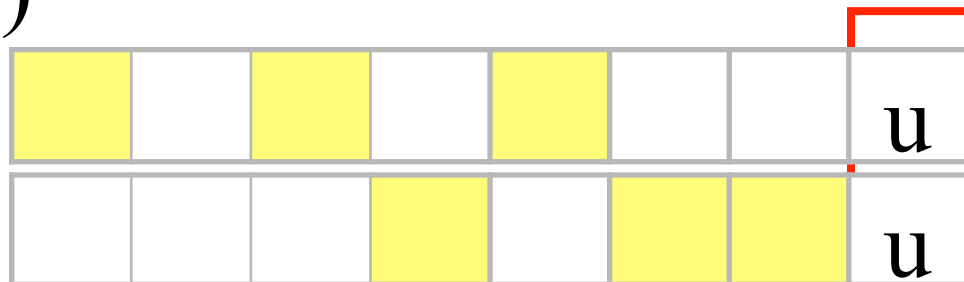
```
// if A[n] and B[m] is a part of LCS  
if(A[n] == B[m])  
    max_length = 1 + LCS(n-1, m-1);
```

Why does  $\text{LCS}(n, m) = 1 + \text{LCS}(n-1, m-1)$   
if  $A[n] = B[m]$ ?

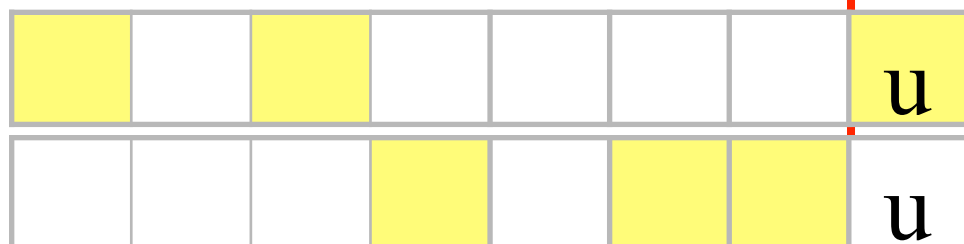
# Correctness

Why does  $\text{LCS}(n, m) = 1 + \text{LCS}(n-1, m-1)$   
if  $A[n] = B[m]$ ?

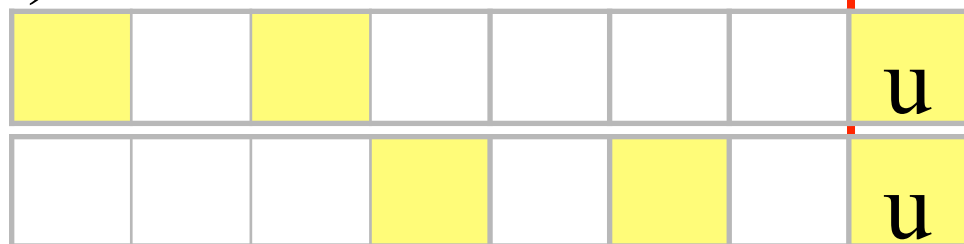
(i)



(ii)



(iii)

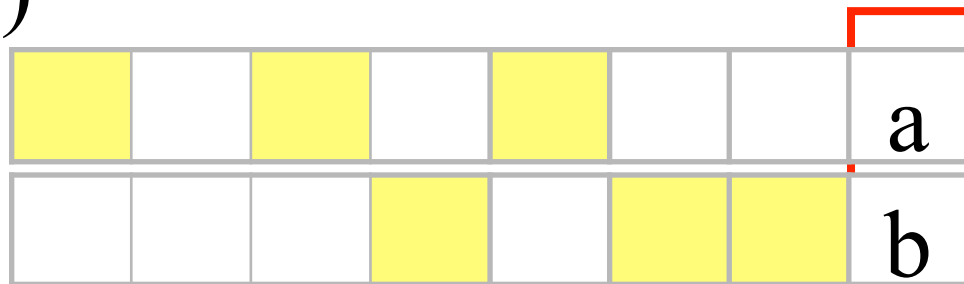


Every CS of  $A[1..n]$  and  $B[1..m]$  has  
length at most  $\text{LCS}(n, m) - 1$ .

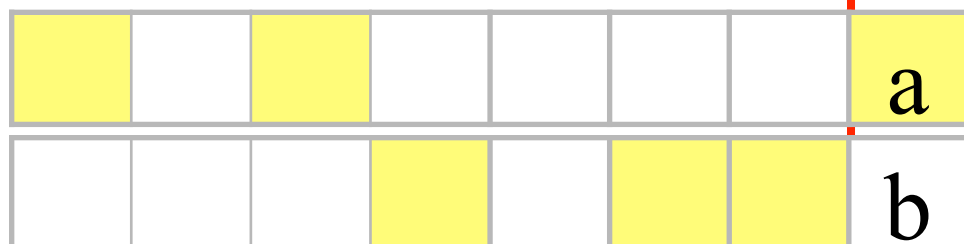
# Correctness

Why does  $\text{LCS}(n, m) = \max(\text{LCS}(n-1, m), \text{LCS}(n, m-1))$  otherwise?

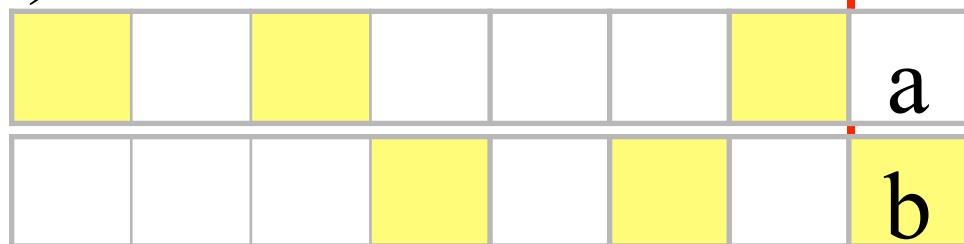
(i)



(ii)



(iii)





# Divide and Conquer + Memoization

```
LCS(n, m, sol[][]){//return the length of the LCS of A and B
    if(n == 0 or m == 0) return 0;
    if(sol[n][m] ≥ 0) return sol[n][m]; // sol[][] was -1 initially

    int max_length = 0;

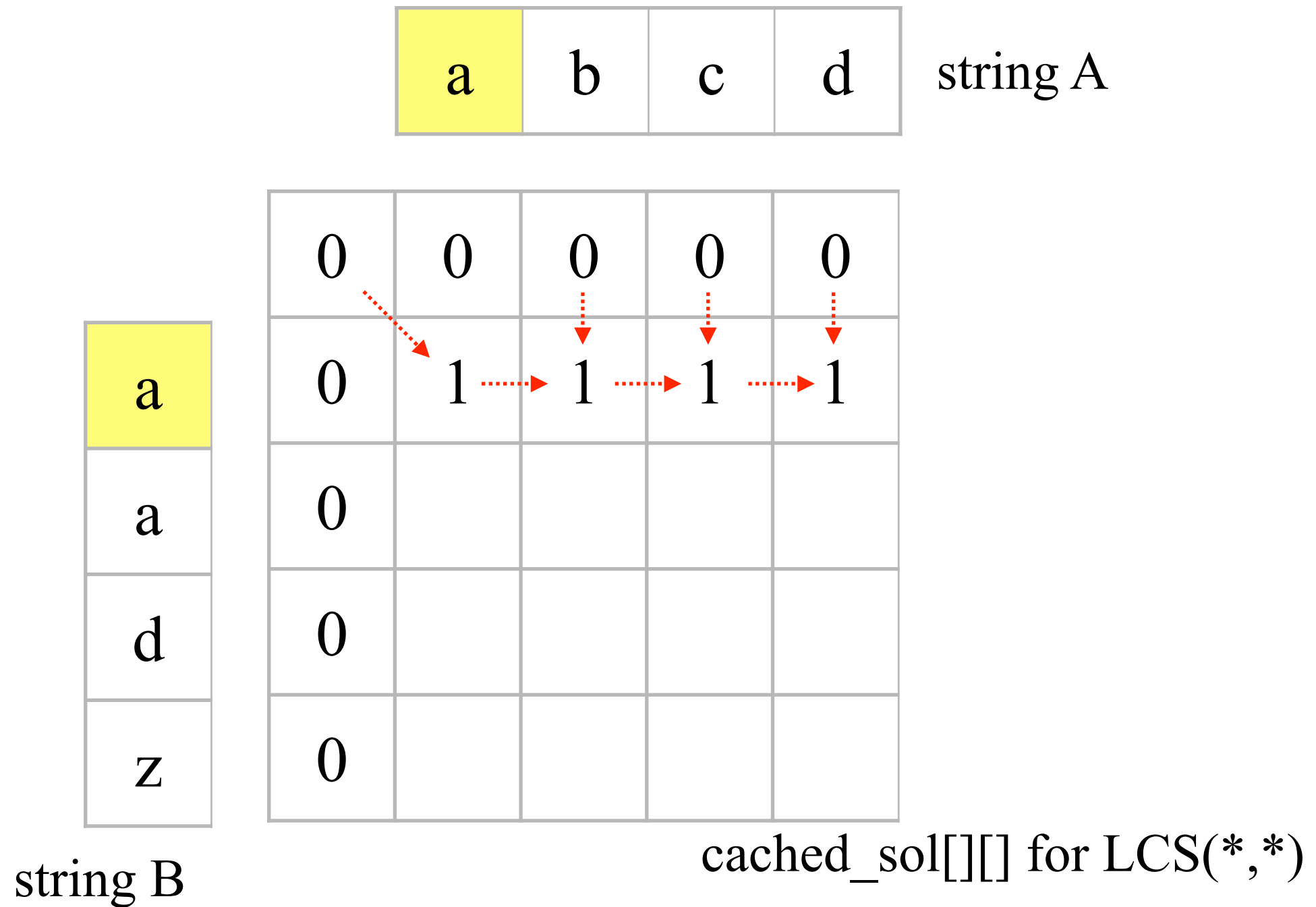
    if(A[n] == B[m]) // if A[n] and B[m] is a part of LCS
        max_length = 1 + LCS(n-1, m-1);
    else // otherwise one of A[n], B[m] is not a part of LCS
        max_length = max(LCS(n-1, m), LCS(n, m-1));

    return s[n][m] = max_length; // memoize the solution
}
```

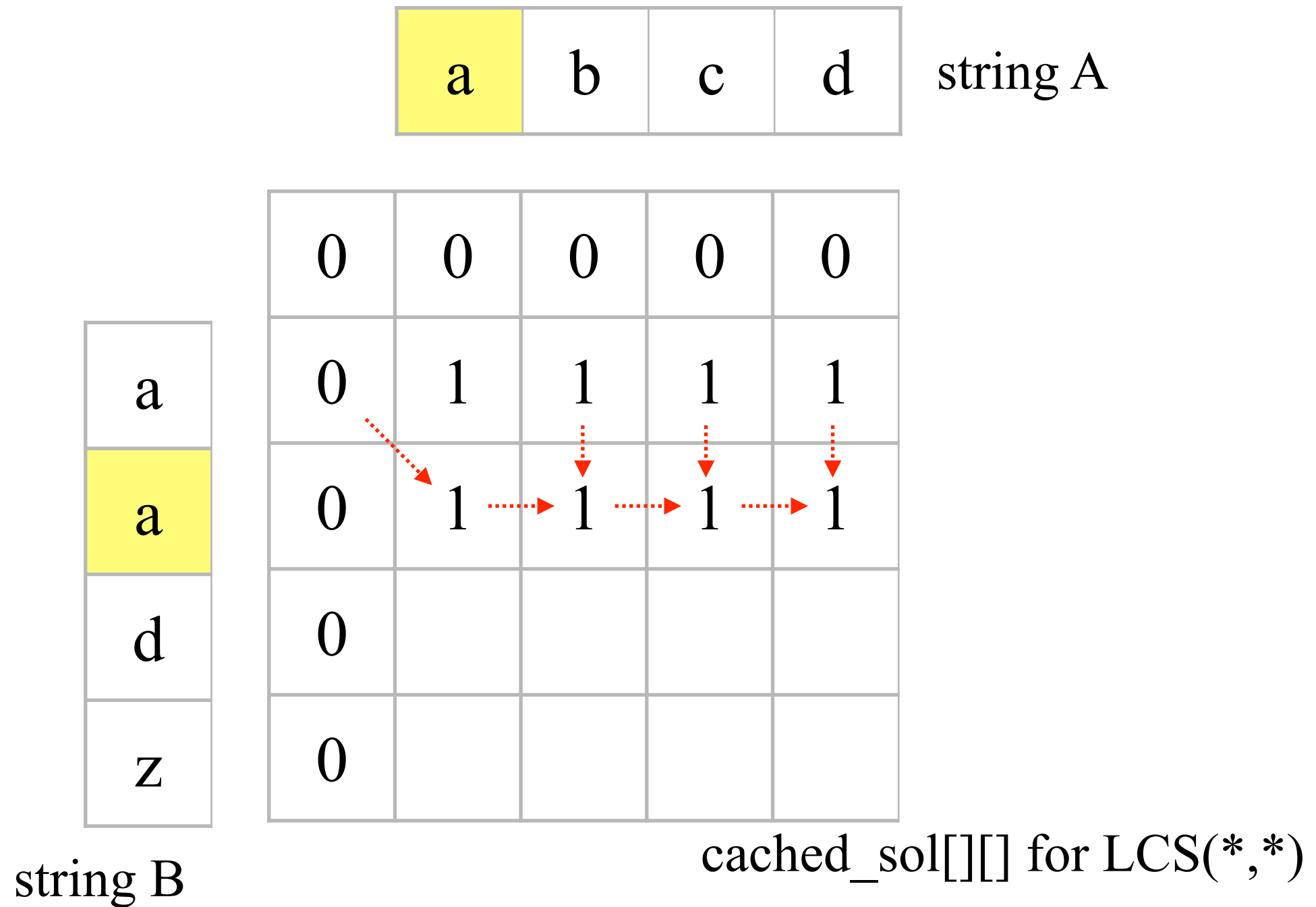
Alternatively, we could iteratively fill the table

		a	b	c	d	string A
		0	0	0	0	0
	a	0				
	a	0				
	d	0				
	z	0				
string B		cached_sol[][] for LCS(*,*)				

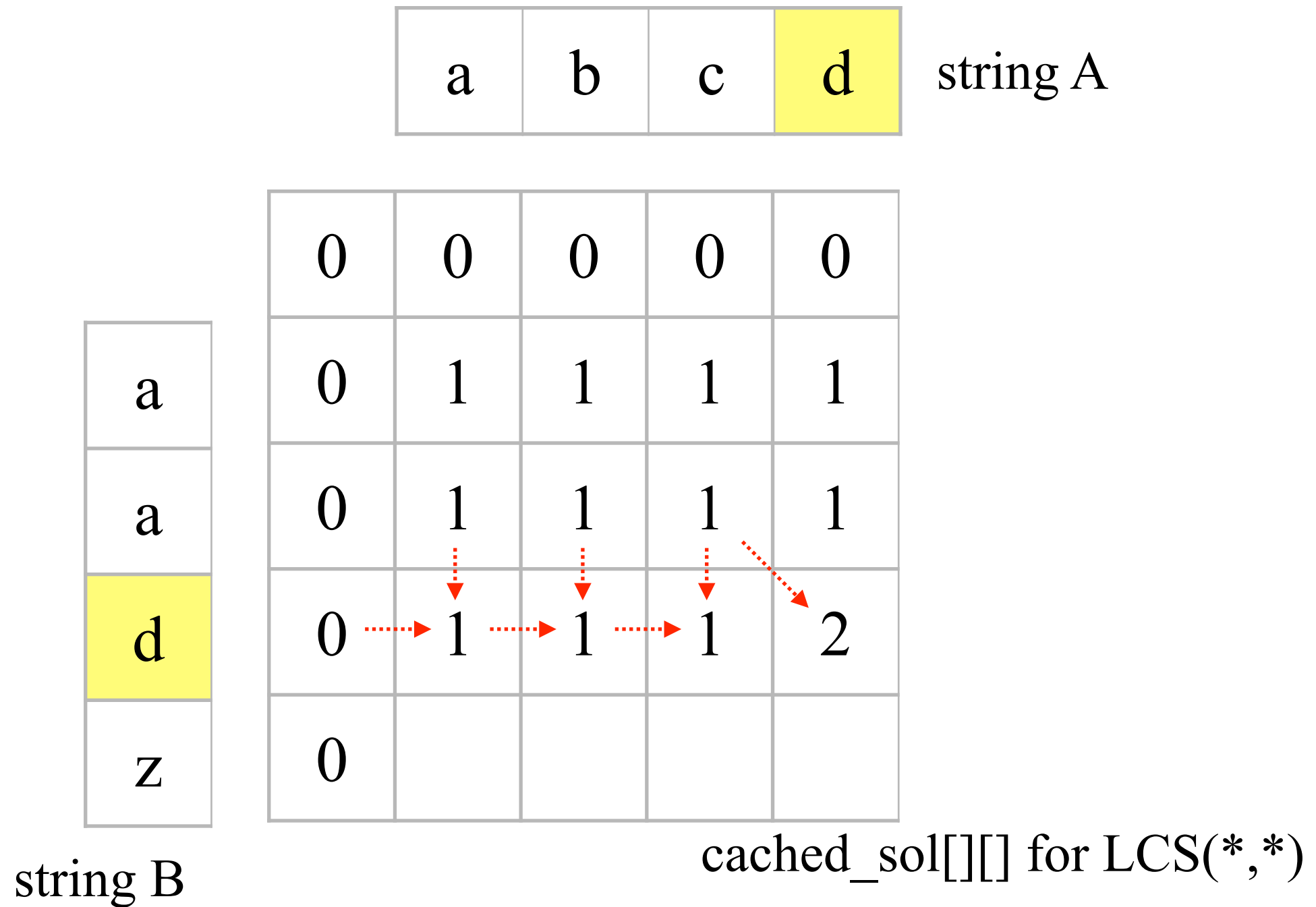
Alternatively, we could iteratively fill the table



Alternatively, we could iteratively fill the table



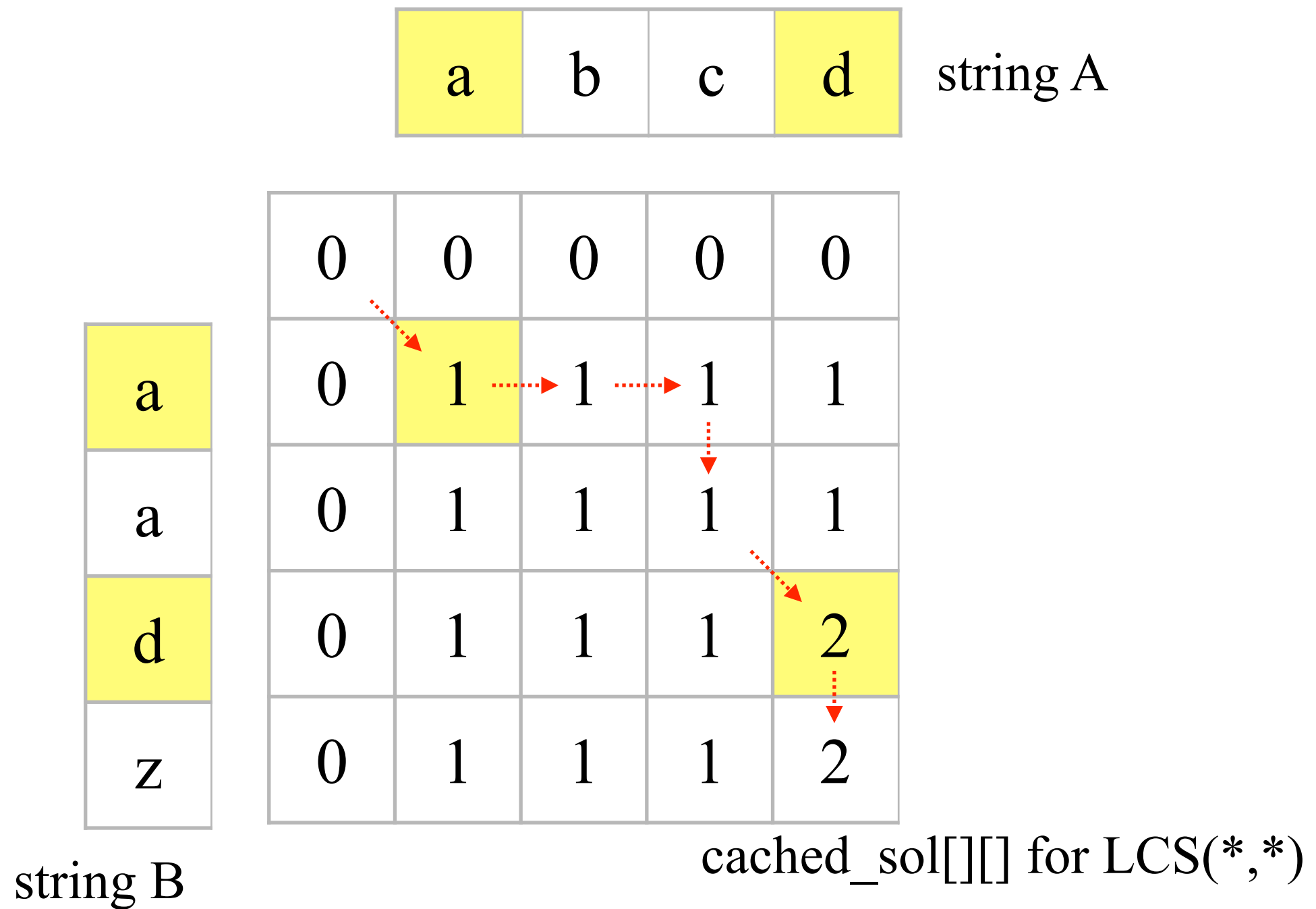
Alternatively, we could iteratively fill the table



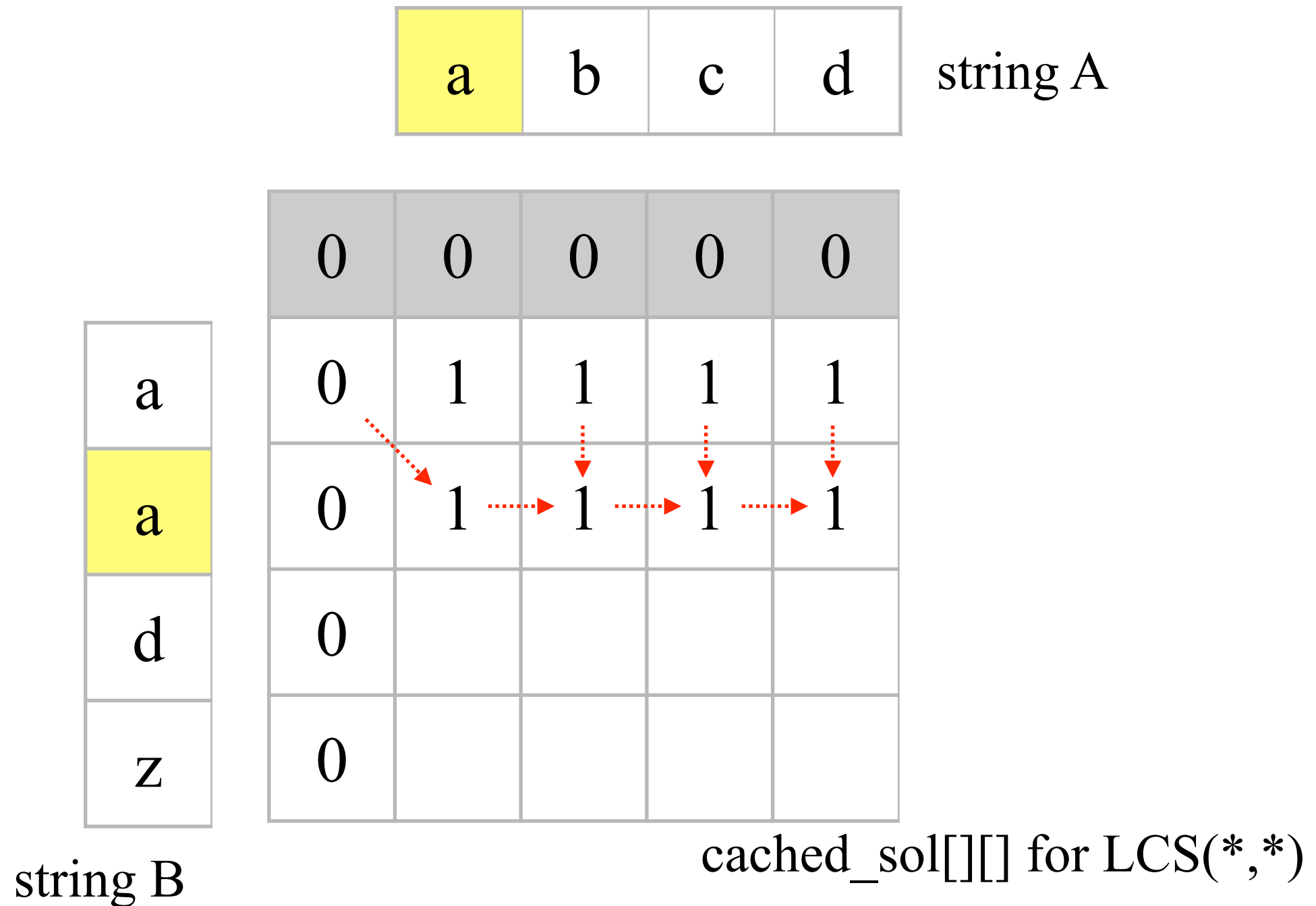
Alternatively, we could iteratively fill the table

		a	b	c	d	string A
string B	a	0	0	0	0	0
	a	0	1	1	1	1
	d	0	1	1	1	2
	z	0	1	1	1	2
		cached_sol[][] for LCS(*,*)				

# Finding a LCS rather than only its length



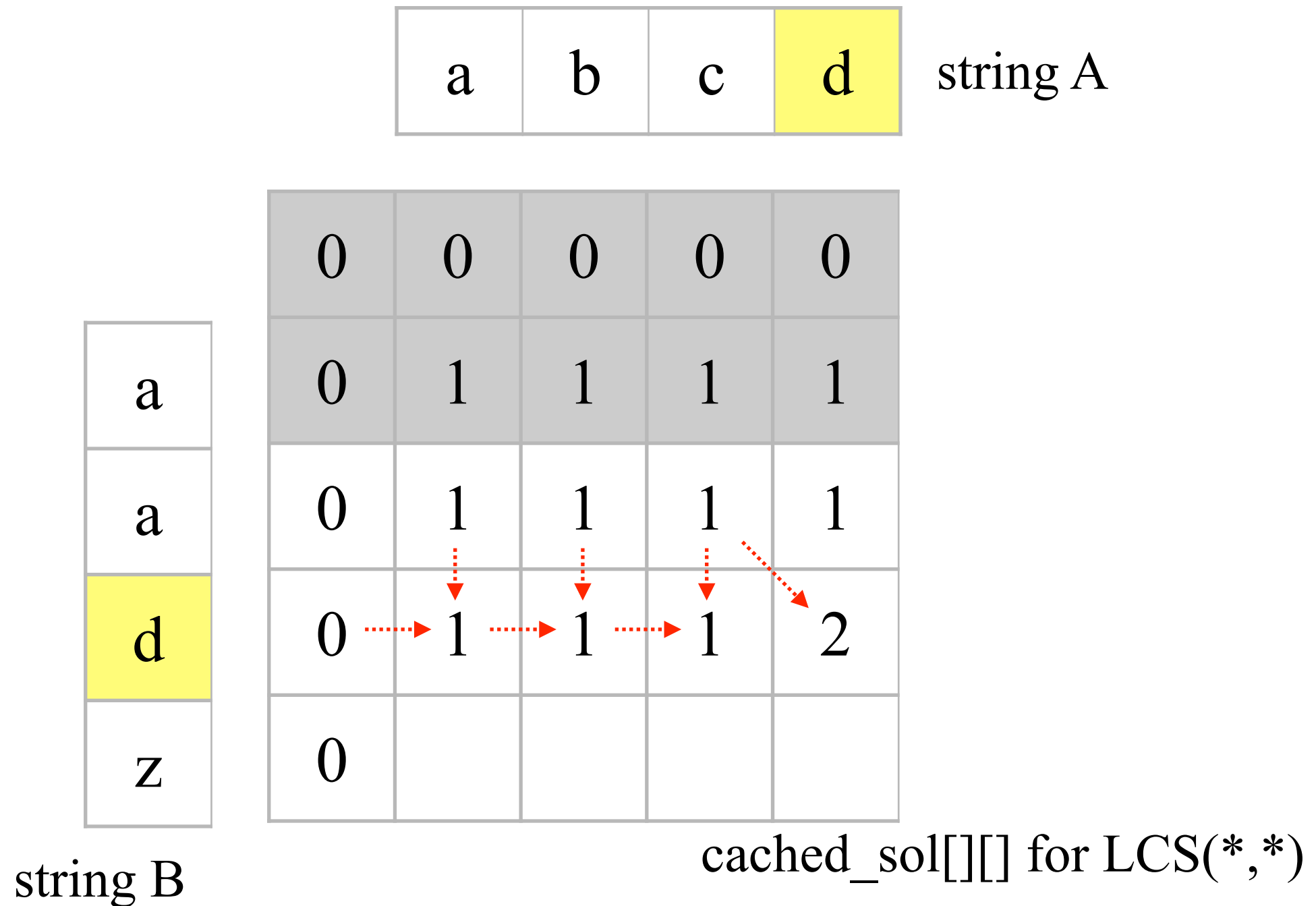
# Reducing the working space



While filling the entries on the 3rd row, the rows above the 2nd row is no longer needed.

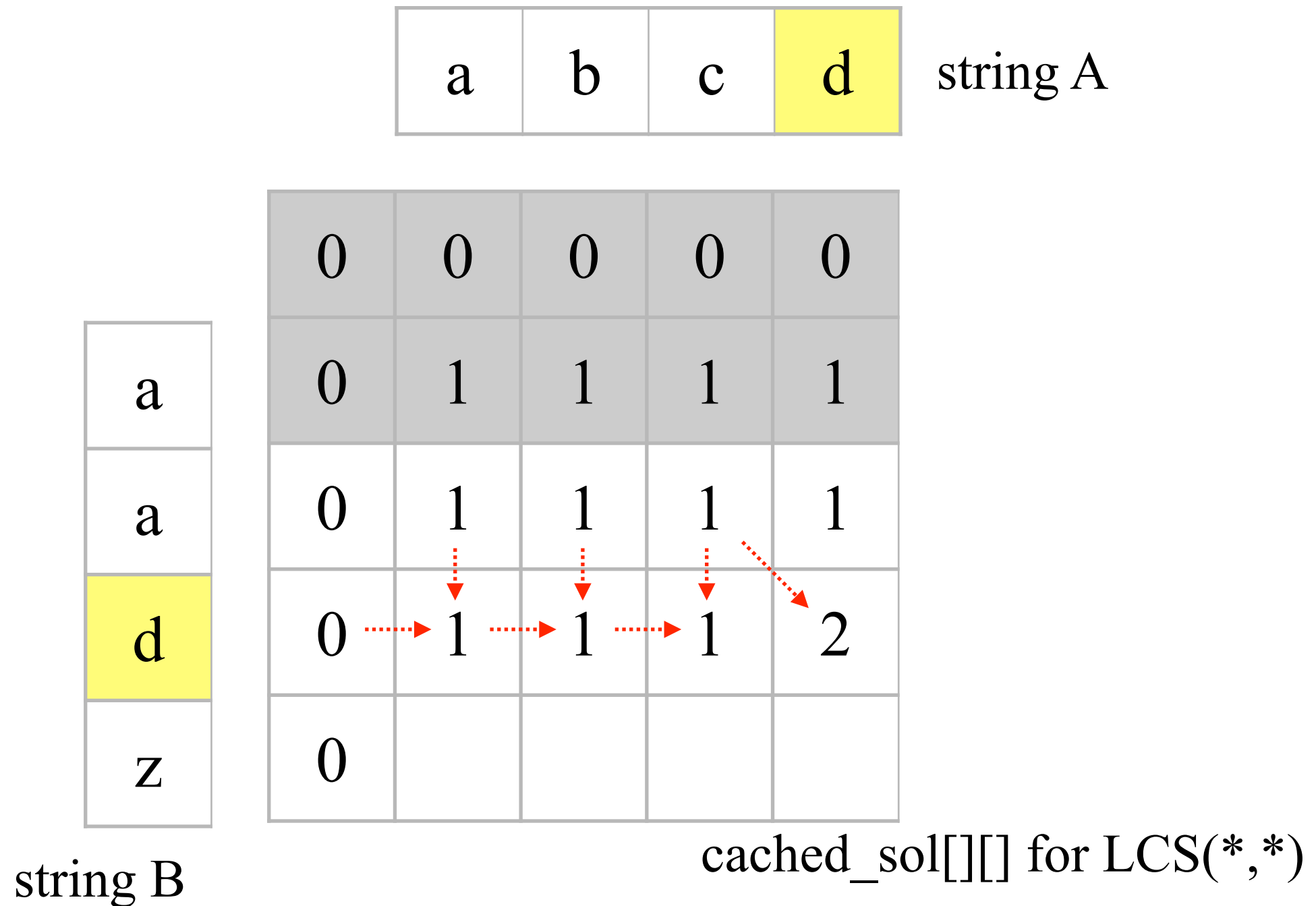


# Reducing the working space



While filling the entries on the 4th row, the rows above the 3rd row is no longer needed.

# Reducing the working space



Only two rows is needed at every time step.

# Exercise

Can we find the LCS (rather than only outputting the length of the LCS) of A and B if the working space that you can afford is only 2 rows?

(Hint. If you have the  $i$ -th row, can you recover the  $(i-1)$ -th row?)

# Time and Space Complexities for finding a LCS

Time:  $O(nm)$ , Space:  $O(n+m)$ .

# Exercise

Finding the longest monotonic increasing subsequence of an array of real numbers.

(Hint. Reduce to LCS.)

Example.

12	4	13	9	10	1	2	15
----	---	----	---	----	---	---	----

# Exercise

Finding the longest **palindrome** subsequence of an array of characters.

(Hint. Reduce to LCS.)

Example.

a	b	c	z	d	d	c	a
a	b	c	z	d	d	c	a