

# Introduction to Algorithms

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# Announcements

Programming Assignment 2 is due by Oct 29, 23:59. at <https://oj.nctu.me>

Quiz 1 will be held in class on Oct 24.

Scope: slides 01 - 09, assignments, and their generalizations.

More hints will be given this Thursday.

Written Assignment 2 will be announced tomorrow evening.

at <https://e3.nctu.me>

# Longest Increasing Subsequence

# Longest Increasing Subsequence

Input: an array  $A$  of  $n$  numbers.

Output: a longest subsequence of  $A$  in which the elements increase strictly.

--- Example ---

Input: array  $A$

12	4	13	9	9	10	2	15
----	---	----	---	---	----	---	----

Output: an LIS

12	4	13	9	9	10	2	15
----	---	----	---	---	----	---	----

12	4	13	9	9	10	2	15
----	---	----	---	---	----	---	----

# Reduce LIS to LCS - $O(n^2)$ Time

$LIS_1(A)\{$

Let  $S$  be sorted  $A$  with the removal of duplicate elements;

return  $LCS(S, A)$ ;

$\}$

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Why does this algorithm correctly output an LIS?

# DP + Binary Search - $O(n \log n)$ Time

12	4	13	9	U
----	---	----	---	---

Before U:

IS of length 0:  $\{\}$

IS of length 1:  $\{12\}$  or  $\{4\}$  or  $\{13\}$  or  $\{9\}$

IS of length 2:  $\{12, 13\}$  or  $\{4, 9\}$  or  $\{4, 13\}$

Key Idea:

If  $\{4, 13\}$  is a part of an LIS, one can replace  $\{4, 13\}$  with  $\{4, 9\}$ . There is no need to memoize all IS's.

# DP + Binary Search - $O(n \log n)$ Time

12	4	13	9	U
----	---	----	---	---

Before U:

IS of length 0:  $\{\}$

IS of length 1:  $\{12\}$  or  $\{4\}$  or  $\{13\}$  or  $\{9\}$

IS of length 2:  $\{12, 13\}$  or  $\{4, 9\}$  or  $\{4, 13\}$

Key Idea:

If  $\{4, 13\}$  is a part of an LIS, one can replace  $\{4, 13\}$  with  $\{4, 9\}$ . There is no need to memoize all IS's. **We only need to memoize the one whose last element is smallest.**

# DP + Binary Search - $O(n \log n)$ Time

12	4	13	9	$U = 3$
----	---	----	---	---------

Before U:

IS of length 0:  $\{\}$

IS of length 1:  $\{4\}$

IS of length 2:  $\{4, 9\}$

Key Idea:

To update the structure, one can perform a *binary search* (Why?) to see where  $U = 3$  can help.



# DP + Binary Search - $O(n \log n)$ Time

12	4	13	9	U = 3
----	---	----	---	-------

Before U:

IS of length 0:  $\{\}$

IS of length 1:  $\{3\}$

IS of length 2:  $\{4, 9\}$

Key Idea:

To update the structure, one can perform a *binary search* (Why?) to see where  $U = 3$  can help. Note that at most 1 update is needed. (Why?)

# DP + Binary Search - $O(n \log n)$ Time

12	4	13	9	$U = 3$
----	---	----	---	---------

Before U:

IS of length 0:  $\{\}$

IS of length 1:  $\{3\}$

IS of length 2:  $\{4, 9\}$

Running Time:

$O(n)$  iterations and each iteration needs  $O(\log n)$  time. The total running time is  $O(n \log n)$ .

# Exercise

Find a longest common subsequence of two strings where one string has no duplicate character.

Goal: solve it in  $O(n \log n)$  time.

Hint: LIS.

# Integer Multiplication

# Integer Multiplication

Input: two  $n$ -bit strings  $A = (a_1 a_2 \dots a_n)$  and  $B = (b_1 b_2 \dots b_n)$ .

Output: the product  $C = AB$

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One may assume that  $n$  is a power of 2. If this is not true, then one can add some leading zeros to  $A$  and  $B$ .

# A First Attempt

Represent  $A = A_1 2^{n/2} + A_2$ , where  $A_1 = (a_{n/2+1} \ a_{n/2+2} \ \dots \ a_n)$  and  $A_2 = (a_1 \ a_2 \ \dots \ a_{n/2})$ .

Similarly, represent  $B = B_1 2^{n/2} + B_2$ .

$$C = A_1 B_1 2^n + (A_1 B_2 + A_2 B_1) 2^{n/2} + A_2 B_2.$$

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$$T(n) = \begin{cases} 4T(n/2) + O(n) & \text{if } n > 1 \\ O(1) & \text{if } n = 1 \end{cases}$$

By Master theorem,  $T(n) = O(n^2)$ .

# Karatsuba Algorithm

Represent  $A = A_1 2^{n/2} + A_2$ , where  $A_1 = (a_{n/2+1} \ a_{n/2+2} \ \dots \ a_n)$  and  $A_2 = (a_1 \ a_2 \ \dots \ a_{n/2})$ .

Similarly, represent  $B = B_1 2^{n/2} + B_2$ .

$$\begin{aligned} C &= A_1 B_1 2^n + (A_1 B_2 + A_2 B_1) 2^{n/2} + A_2 B_2 \\ &= A_1 B_1 2^n + \{(A_1 + A_2)(B_1 + B_2) - A_1 B_1 - A_2 B_2\} 2^{n/2} + A_2 B_2 \end{aligned}$$

-----

$$T(n) = \begin{cases} 3T(n/2) + O(n) & \text{if } n > 1 \\ O(1) & \text{if } n = 1 \end{cases}$$

By Master theorem,  $T(n) = O(n^{\log_2 3})$

# Exercise

Read Chapter 30 in I2A (FFT). A faster algorithm for integer multiplication is presented there.

- Optional

The current fastest algorithm runs in

$n \log n 2^{O(\log^* n)}$  time.



# Matrix Multiplication

# Matrix Multiplication

Input: two  $n$  by  $n$  matrices  $A$  and  $B$ .

Output: the product  $C = AB$

-----

One may assume that  $n$  is a power of 2. If this is not true, then one can enlarge  $A$  and  $B$  by appending some zeros.

# A First Attempt

Represent  $A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}$  and  $B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$

where  $A_{ij}$  and  $B_{ij}$  are  $n/2$  by  $n/2$  submatrices.

Let  $C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$  where  $C_{ij} = \sum_{1 \leq k \leq 2} A_{ik} B_{kj}$ .

-----

$$T(n) = \begin{cases} 8T(n/2) + O(n^2) & \text{if } n > 1 \\ O(1) & \text{if } n = 1 \end{cases}$$

By Master theorem,  $T(n) = O(n^3)$ .

# Strassen's Algorithm

Represent  $A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}$  and  $B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$

where  $A_{ij}$  and  $B_{ij}$  are  $n/2$  by  $n/2$  submatrices.

Let  $C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$  where  $C_{ij} = \sum_{1 \leq k \leq 2} A_{ik} B_{kj}$ .

$$C_{11} = M_1 + M_4 - M_5 + M_7 \quad M_1 = (A_{11} + A_{22})(B_{11} + B_{22})$$

$$C_{12} = M_3 + M_5 \quad M_2 = (A_{21} + A_{22})B_{11}$$

$$C_{21} = M_2 + M_4 \quad M_3 = A_{11}(B_{12} - B_{22})$$

$$C_{22} = M_1 - M_2 + M_3 + M_6 \quad M_4 = A_{22}(B_{21} - B_{11})$$

$$M_5 = (A_{11} + A_{12})B_{22}$$

$$M_6 = (A_{21} - A_{11})(B_{11} + B_{12})$$

$$M_7 = (A_{12} - A_{22})(B_{21} + B_{22})$$

# Strassen's Algorithm

Represent  $A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}$  and  $B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$

where  $A_{ij}$  and  $B_{ij}$  are  $n/2$  by  $n/2$  submatrices.

Let  $C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$  where  $C_{ij}$  is a linear combination of  $\{M_1, M_2, \dots, M_7\}$ .

$$T(n) = \begin{cases} 7T(n/2) + O(n^2) & \text{if } n > 1 \\ O(1) & \text{if } n = 1 \end{cases}$$

By Master theorem,  $T(n) = O(n^{\log_2 7})$ .

# Exercise

Read the article "How Can We Speedup Matrix Multiplication?" by Victor Pan. It gives a good entry point to understand other fast matrix multiplication algorithms.

- Optional

The current fastest algorithm runs in

$O(n^{2.37xx})$  time.

# Matrix-Chain Multiplication

# Matrix-Chain Multiplication

Input:  $n$  matrices  $A_1, A_2, \dots, A_n$  where  $A_i$  is an  $r_i$  by  $c_i$  matrix and  $r_i = c_{i-1}$  for every  $i$  in  $[2, n]$ .

Output: the product  $C = A_1 A_2 \dots A_n$

--- Observation ---

1. Matrix multiplication is **associative**, so all possible parenthesizations yield the same product. For example,  $((A_1 A_2) A_3) = (A_1 (A_2 A_3))$ .
2. **However**, the way to parenthesize the matrix-chain multiplication changes the performance dramatically.



# Matrix-Chain Multiplication

```
Matrix-Multiply(A[p][q], B[q][r]) {  
    for i = 1 to p {  
        for j = 1 to r {  
            C[i][j] = 0;  
            for k = 1 to q {  
                C[i][j] = C[i][j] + A[i][k]B[k][j];  
            }  
        }  
    }  
}
```

-----

Multiplying two matrices of dimension **p by q** and dimension **q by r** needs  **$O(pqr)$**  scalar operations.

# Matrix-Chain Multiplication

Let  $A_1$  be a 10 by 100 matrix.

Let  $A_2$  be a 100 by 5 matrix.

Let  $A_3$  be a 5 by 50 matrix.

Calculating  $((A_1A_2)A_3)$  needs  $10*100*5 + 10*5*50 = 7500$  scalar operations.

Calculating  $(A_1(A_2A_3))$  needs  $100*5*50 + 10*100*50 = 75000$  scalar operations.

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One is faster than the other by 10 times.

# Divide and Conquer

McMul(a, b) { // return the least number of scalar operations to multiply the matrix chain  $A_a, A_{a+1}, \dots, A_b$ .

if(a == b) return 0;

int lno\_op =  $\infty$ ;

for k = a to b-1 { // Guess  $(A_a \dots A_k)(A_{k+1} \dots A_b)$  is optimal

if (McMul(a, k) + McMul(k+1, b) +  $r_a c_k c_b < \text{lno\_op}$ )

lno\_op = McMul(a, k) + McMul(k+1, b) +  $r_a c_k c_b$ ;

}

return lno\_op;

}

The initial call is McMul(1, n).

The total number of subproblems invoked by this recursive algorithm is exponential in n, but there are only  $O(n^2)$  different subproblems.

# Exercise

Prove the number of subproblems invoked by the divide and conquer procedure in the previous page is exponential in  $n$ .

(Hint. Guess the number is  $2^{\Omega(n)}$ .)

# Dynamic Programming

McMul(a, b, sol[][]){ // return the least number of scalar operations to multiply the matrix chain  $A_a, A_{a+1}, \dots, A_b$ .

if(sol[a][b] <  $\infty$ ) return sol[a][b];

if(a == b) return 0;

int lno\_op =  $\infty$ ;

for k = a to b-1 { // Guess  $(A_a \dots A_k)(A_{k+1} \dots A_b)$  is optimal

if (McMul(a, k, sol) + McMul(k+1, b, sol) +  $r_a c_k c_b$  < lno\_op)

lno\_op = McMul(a, k, sol) + McMul(k+1, b, sol) +  $r_a c_k c_b$ ;

}

return sol[a][b] = lno\_op;

}

The initial call is McMul(1, n, sol[][] = { $\infty$ }).

Each of the  $O(n^2)$  subproblems needs  $O(n)$  operations. The total runtime is  $O(n^3)$ .

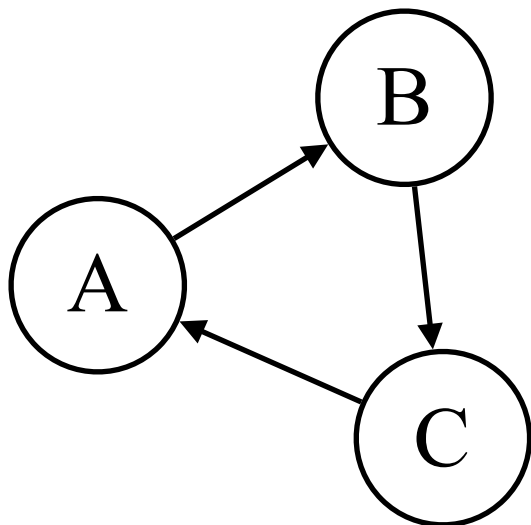
# DP on Trees

# Property of Trees

If graph  $T$  is a tree, then  $T$  has **no cycle**.

To use D&C or DP, we cannot allow that the dependency graph of the recursive algorithm has a cycle. (Why?)

For example, if a recursive algorithm has three subproblems  $A$ ,  $B$ ,  $C$  where  $A$  calls  $B$ ,  $B$  calls  $C$ , and  $C$  calls  $A$ , then the dependency graph has a cycle. Such an algorithm does not halt because it enters an endless loop.



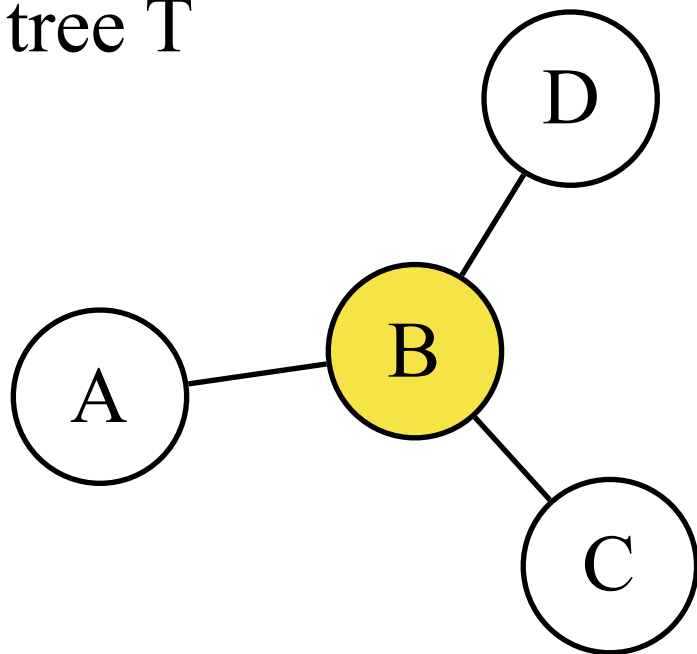
Explain why the recursive algorithms mentioned previously have no cycle.

# Maximum Independent Set on Trees

Input: a tree  $T = (V, E)$ .

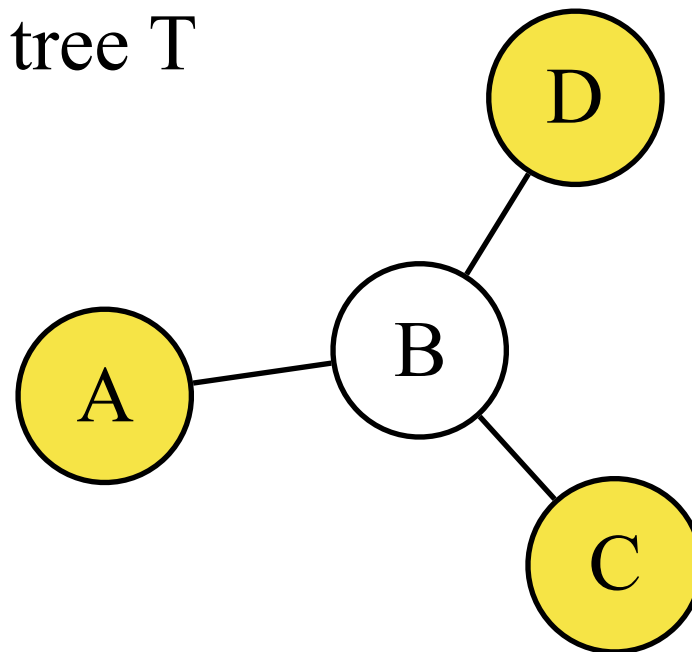
Output: a subset  $I$  of  $V$  so that  $|I|$  is **maximized** and for every pair of nodes  $u, v \in I$ , the edge  $(u, v) \notin E$ .

tree T



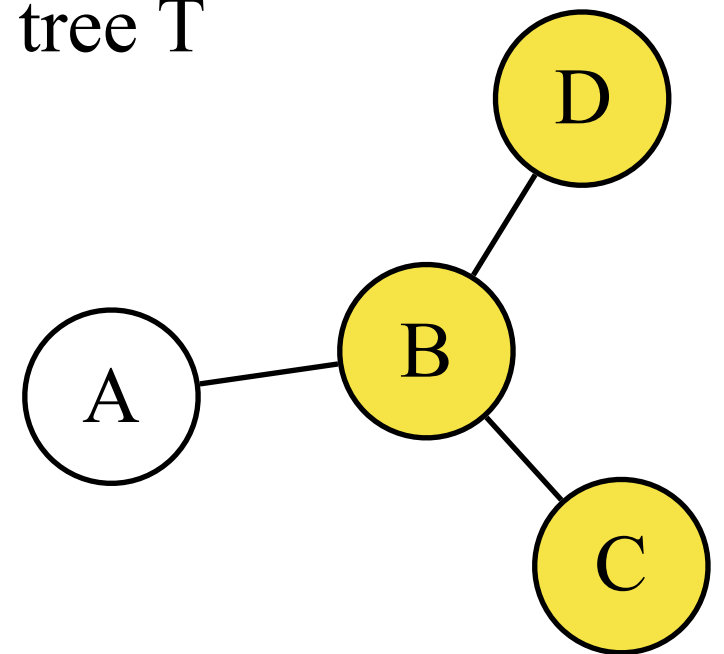
$\{B\}$  is an independent set.

tree T



$\{A, C, D\}$  is a larger independent set.

tree T



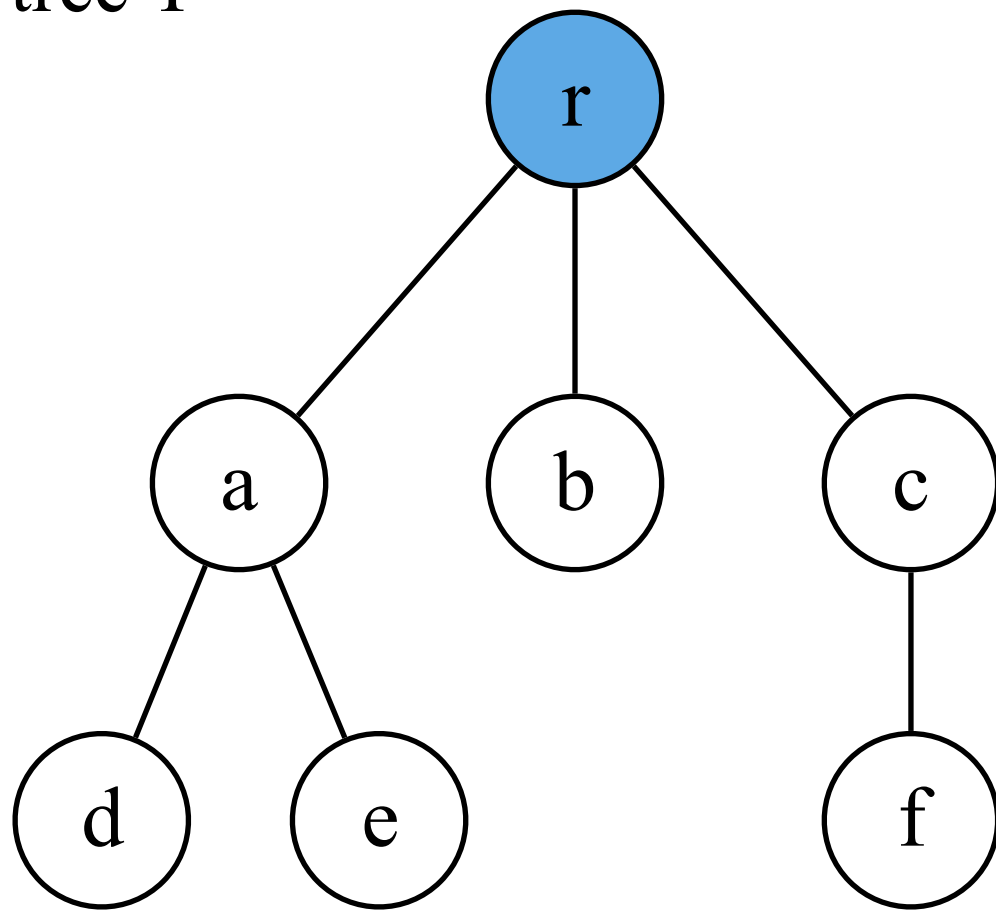
$\{B, C, D\}$  is **not** an independent set.



# Maximum Independent Set on Trees

Pick a node as the root.

tree T



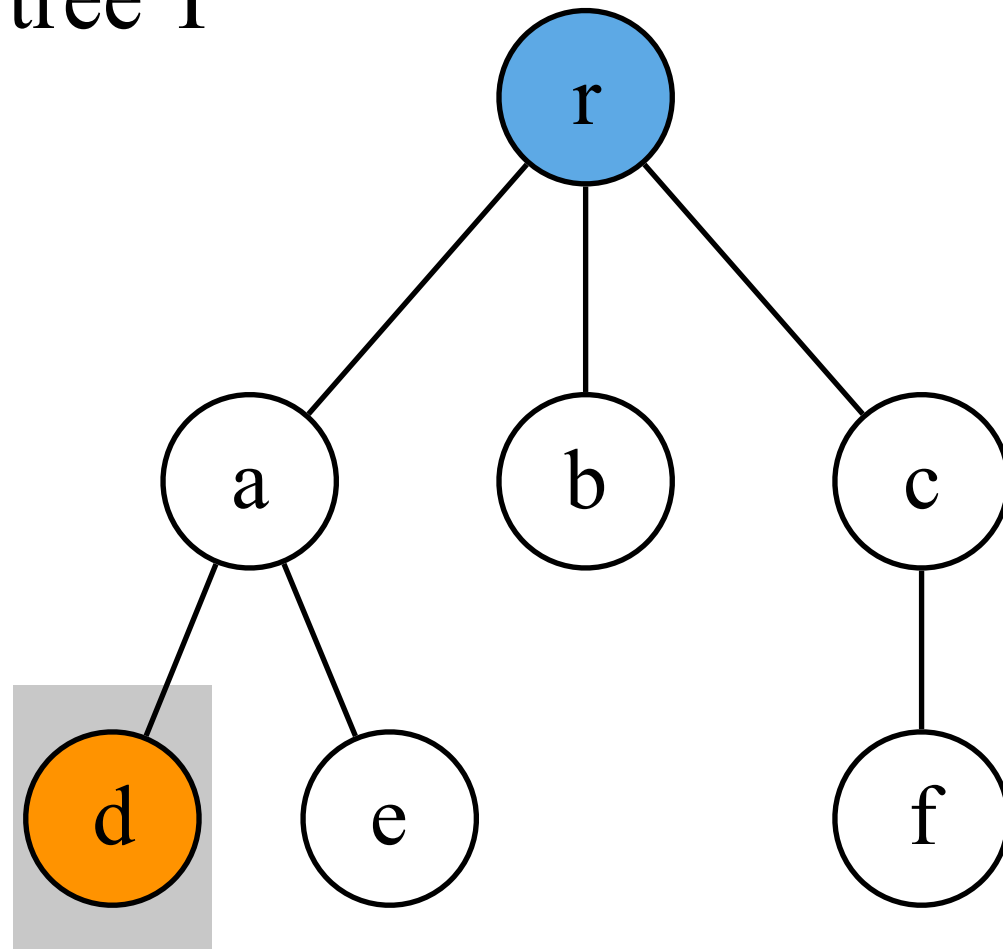
Let  $O[x]$  be the largest size of such a node set  $S$  that  $S$  is an independent set of the subtree rooted at  $x$ , and  $x \in S$ .

Let  $Z[x]$  be the largest size of such a node set  $S$  that  $S$  is an independent set of the subtree rooted at  $x$ , and  $x \notin S$ .

The desired answer is  
 $\max\{O[r], Z[r]\}$ .

# Maximum Independent Set on Trees

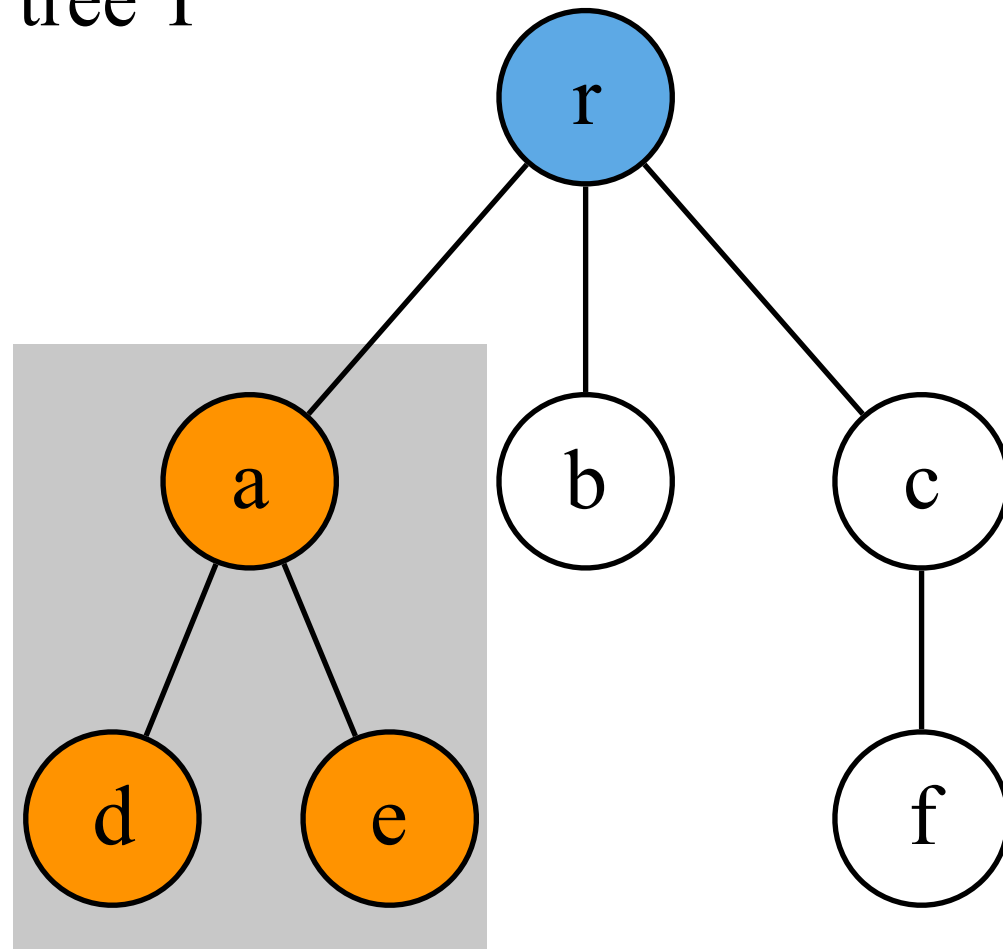
tree T



$$\begin{array}{lll} (O[d], Z[d]) & (O[e], Z[e]) & (O[f], Z[f]) \\ = (1, 0). & = (1, 0). & = (1, 0). \end{array}$$

# Maximum Independent Set on Trees

tree T



$$(O[d], Z[d]) \\ = (1, 0).$$

$$(O[e], Z[e]) \\ = (1, 0).$$

$$(O[f], Z[f]) \\ = (1, 0).$$

Focus on the subtree rooted at node a.

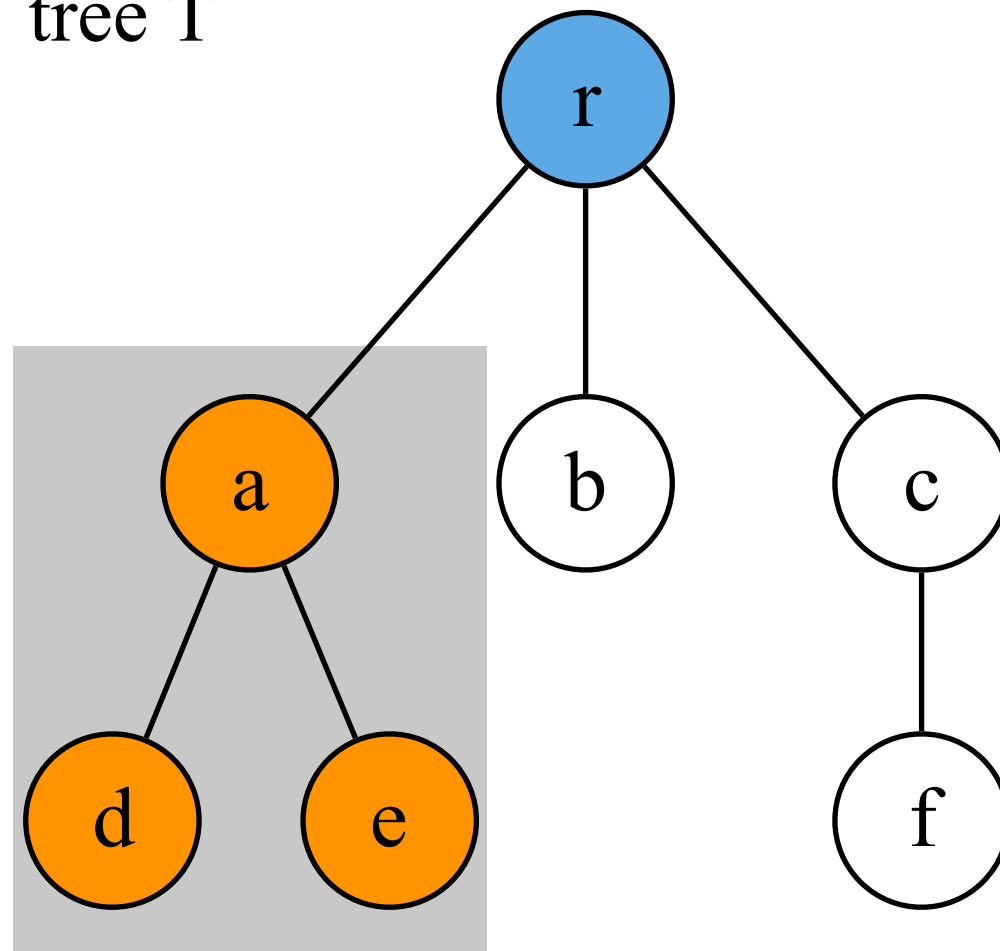
$$O[a] = Z[d] + Z[e] + 1 = 1.$$

$$Z[a] = \max\{Z[d], O[d]\} + \max\{Z[e], O[e]\} + 0 = 2.$$

# Maximum Independent Set on Trees

Focus on the subtree rooted at node a.

tree T



$$(O[d], Z[d]) \\ = (1, 0).$$

$$(O[e], Z[e]) \\ = (1, 0).$$

$$(O[f], Z[f]) \\ = (1, 0).$$

$$O[a] = Z[d] + Z[e] + 1 = 1.$$

$$Z[a] = \max \{Z[d], O[d]\} + \\ \max \{Z[e], O[e]\} + 0 = 2.$$

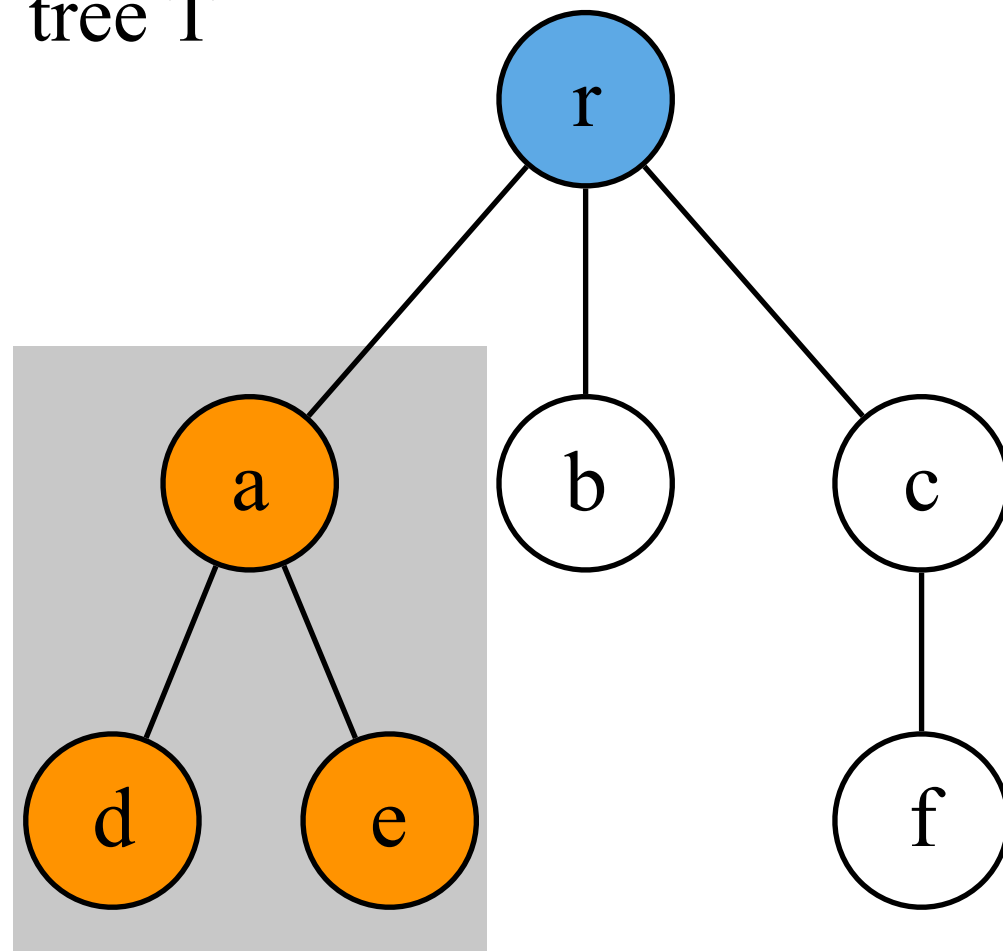
If tree T has n nodes, then the MIS of T can be found in  $O(n)$  time. Why?

There might be some nodes that have  $n^{1/2}$  child nodes.

# Maximum Independent Set on Trees

Focus on the subtree rooted at node a.

tree T



$$(O[d], Z[d]) \\ = (1, 0).$$

$$(O[e], Z[e]) \\ = (1, 0).$$

$$(O[f], Z[f]) \\ = (1, 0).$$

$$O[a] = Z[d] + Z[e] + 1 = 1.$$

$$Z[a] = \max \{Z[d], O[d]\} + \\ \max \{Z[e], O[e]\} + 0 = 2.$$

If tree T has n nodes, then the MIS of T can be found in  $O(n)$  time. Why?

However, if we amortize the cost to the  $n-1$  edges. Each edge needs  $O(1)$  cost.