# HW4: Word Segmentation

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### 1 Introduction

The goal of this assignement is to implement reccurrent neural networks for a word segmentation task. The idea is to identify the spaces in sentence based on the previous characters only. This could be particularly helpful for processing languages written without spaces such as Korean or Spanish

## 2 Problem Description

The problem that needs to be solve in this homework is the following: given a sequence of characters, predict where to insert spaces to make a valid sentence. For instance, consider the following sequence of character:

#### I A M A STUDENT IN C S 2 8 7

the implemented algorithm should be capable of segmenting this sequence into valid words to give:

#### I am a student in CS 287

To solve this problem, we will train different language models including count-based models, basic neural networks, and recurrent neural networks, combined with two search algorithms to predict the right position for spaces, i.e. a greedy search algorithm and the Viturbi algorithm.

# 3 Model and Algorithms

#### 3.1 Count-based Model

The first model is a count-based character n-gram model. The goal is to compute the probability of the newt word being a space:

$$P(w_i = < \text{space} > |w_{i-n+1}, \dots w_{i-1})$$

This model is built by computing its MLE which gives:

$$P(w_i = < \text{space} > |w_{i-n+1}, \dots w_{i-1}) = \frac{F_{c_i,s}}{F_{c_i,.}}$$

where  $c_i = w_{i-n+1}, \dots w_{i-1}$  is the context for the word  $w_i$ . We add a smoothing parameter  $\alpha = 0.1$  just for the rare corner cases where the context was unseen (which is really rare in comparison to count-based word level models).

## 3.2 Neural Language Model

As a second baseline, we implemented a neural language model to predict whether the next character is a space or not. The model is similar to the Bengio model coded in HW3 but is adapted to characters. Similarly to what we did for word prediction, we imbed a window of characters in a higher dimension using a look-up table. We first apply a first linear model to the higher dimensional representation of the window of characters, followed by a hyperbolic tangent layer to extract non-linear features. A second linear layer is then applied followed by a softmax to get a probability distribution over the two possible outputs, i.e. a character or a space. We can summarize the model in the following formula:

$$nnlm_1(x) = tanh(xW + b)W' + b'$$

where we recall:

- $x \in \Re^{d_{in} \cdot d_{win}}$  is the concatenated character embeddings
- ullet  $oldsymbol{W} \in \Re^{(d_{in} \cdot d_{win}) imes d_{hid}}$ , and  $oldsymbol{b} \in \Re^{d_{hid}}$
- $W' \in \Re^{d_{hid} \times 2}$ , and  $b' \in \Re^2$ .

# 3.3 Algorithm to generate spaces sequences

As mentioned in the problem description, in order to predict the position of a space, we will use two search algorithm. Both of these algorithm use the language models mentioned above to predict the next character or space given the prior context.

### 3.3.1 Greedy

The greedy algorithm implemented is an algorithm that chooses the locally optimum choice at every step in the sequence. This algorithm does not generally lead to a global maxium but has the advantage of being easilly implementable and efficient both in memory and complexity. The pseudo-code of the algorithm is presented below:

- 1: procedure GREEDYSEARCH
- 2: s=0
- 3:  $c \in C^{n+1}$
- 4:  $c_0 = \langle s \rangle$
- 5: **for** i = 1 to n **do**
- 6: Predict the distribution  $\hat{\mathbf{y}}$  over the two classes given the previous context

- 7: Pick the next class that maximises the distribution  $c_i \leftarrow \arg\max_{c'} \hat{\mathbf{y}}(c_{i-1})_{c_i}$
- 8: Update the score of the chain:  $s + \log \hat{y}(c_{i-1})_{c_i}$
- 9: Update the chain/context by adding a space or the following character return the chain and the score

#### 3.3.2 Viterbi

The second search algorithm that we implemented is the dynamic programming algorithm named after Andrew Viterbi. The main difference with the greedy algorithm is that it evaluates at every step and for every previous state, the best possible next step. This would guarantee a solution closer to the true optimal solution. In our case of predicting character or space, the algorithm keeps track of the best sequences that could lead to a character or a space at step i-1, and then evaluates both path for both class, i.e. space to space, space to character, character to space and character to character, using the language models. It then keeps the path that has the highest score for each of the 2 states. The pseudo-code of the algorithm is given by:

```
procedure VITERBIWITHBP \pi \in \mathbb{R}^{n+1 \times \mathcal{C}} \text{ initialized to } -\infty bp \in \mathcal{C}^{n \times \mathcal{C}} \text{ initialized to } \epsilon \pi[0, \langle s \rangle] = 0 \mathbf{for} \ i = 1 \ \mathbf{to} \ n \ \mathbf{do} \mathbf{for} \ c_{i-1} \in \mathcal{C} \ \mathbf{do} \mathbf{compute} \ \hat{\boldsymbol{y}}(c_{i-1}) \mathbf{for} \ c_i \in \mathcal{C} \ \mathbf{do} \mathbf{score} = \pi[i-1, c_{i-1}] + \log \hat{\boldsymbol{y}}(c_{i-1})c_i \mathbf{if} \ \mathbf{score} > \pi[i, c_i] \ \mathbf{then} \pi[i, c_i] = \mathbf{score} bp[i, c_i] = c_{i-1} \mathbf{return} \ \mathbf{sequence} \ \mathbf{from} \ bp
```

We implemented this algorithm for both bigram, and trigram models.

#### 3.4 Recurrent Neural Networks

We implemented three different recurrent neural networks and benchmark their performance in our experiments. The main point is that we want to compute one output for each timestep and not only for the last one, that's why the generic structure of our networks is a tranducer.

**Generic RNN Transducer** The motivation is to maintain history in the model by the introduction of hidden states at each time steps (here each character of the input sequence). The model contains two main transformation: the transition function that define the hidden state given the current input  $x_i$  and the previous hidden state  $_{-1}$  and the output layer producing the output at each timestep. We used Elman tanh layer for the output.

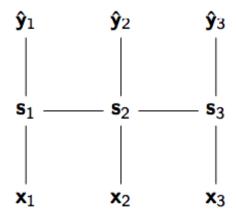


Figure 1: Transducer Architecture

Formally:

$$\hat{} = softmax(W + )$$
$$= tanh([, -1]W + )$$

We used a batch version to learn the model and split the batched sequences in small chunks of characters of a given length to do the backpropagation to make it run faster. We explored different values for the two parameters length and batch size.

**GRU** This models introduces the gating operation that allows a vector to mask or gate. This operation is smoothed with a sigmoid:  $t = \sigma(W + )$ . This operation is used to stop connection by applying the reset gate. This operation may be useful to avoid issue with the long sequence of gradients we need to compute in the backpropagation phase.

Formally:

$$\begin{array}{rcl} R(\mathbf{s}_{i-1},\mathbf{x}_i) & = & (1-\mathbf{t})\odot\tilde{\mathbf{h}}+\mathbf{t}\odot\mathbf{s}_{i-1} \\ & \tilde{\mathbf{h}} & = & \tanh(\mathbf{x}\mathbf{W}^x+(\mathbf{r}\odot\mathbf{s}_{i-1})\mathbf{W}^s+\mathbf{b}) \\ & \mathbf{r} & = & \sigma(\mathbf{x}\mathbf{W}^{xr}+\mathbf{s}_{i-1}\mathbf{W}^{sr}+\mathbf{b}^r) \\ & \mathbf{t} & = & \sigma(\mathbf{x}\mathbf{W}^{xt}+\mathbf{s}_{i-1}\mathbf{W}^{st}+\mathbf{b}^t) \\ \mathbf{W}^{xt},\mathbf{W}^{xr},\mathbf{W}^x & \in & \mathbb{R}^{d_{\mathrm{in}}\times d_{\mathrm{hid}}} \\ \mathbf{W}^{st},\mathbf{W}^{sr},\mathbf{W}^s & \in & \mathbb{R}^{d_{\mathrm{hid}}\times d_{\mathrm{hid}}} \\ & \mathbf{b}^t,\mathbf{b} & \in & \mathbb{R}^{1\times d_{\mathrm{hid}}} \end{array}$$

Figure 2: GRU equations

**LSTM** The long short term memory network uses also the gate idea with three gates: input, output and forget.

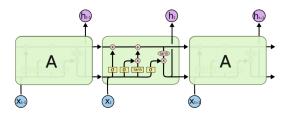


Figure 3: LSTM Architecture

Formally:

$$\begin{split} R(\mathbf{s}_{i-1},\mathbf{x}_i) &= & [\mathbf{c}_i,\mathbf{h}_i] \\ \mathbf{c}_i &= & \mathbf{j}\odot\mathbf{i}+\mathbf{f}\odot\mathbf{c}_{i-1} \\ \mathbf{h}_i &= & \tanh(\mathbf{c}_i)\odot\mathbf{o} \\ \mathbf{i} &= & \tanh(\mathbf{x}\mathbf{W}^{xi}+\mathbf{h}_{i-1}\mathbf{W}^{hi}+\mathbf{b}^i) \\ \mathbf{j} &= & \sigma(\mathbf{x}\mathbf{W}^{xj}+\mathbf{h}_{i-1}\mathbf{W}^{hj}+\mathbf{b}^j) \\ \mathbf{f} &= & \sigma(\mathbf{x}\mathbf{W}^{xf}+\mathbf{h}_{i-1}\mathbf{W}^{hf}+\mathbf{b}^f) \\ \mathbf{o} &= & \tanh(\mathbf{x}\mathbf{W}^{xo}+\mathbf{h}_{i-1}\mathbf{W}^{ho}+\mathbf{b}^o) \end{split}$$

Figure 4: Perplexity evolution for the GRU

# 4 Experiments

#### 4.1 Count-based Model

This first approach relies on a window approach where we predict the next character given a fixed size of previous character. This size is the only parameter of the model. Then, we can apply the two algorithms described to predict a sequence given our trained model.

To evaluate the performance of the model gienve the size of the Ngram, we computed the perplexity of the training and validation data.

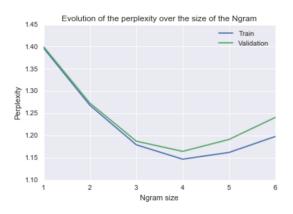


Figure 5: Perplexity evolution for the RNN

We observed an optimum of perplexity for the Ngram in both the validation and the train set. Then the steeper slope of the validation is due to overfitting. As a result, we sticked to this value for the model.

We implemented the greedy algorithm and the Viterbi one up to the trigram (so with a bigram as a context). Coding the Viterbi for larger Ngram size requires to cover more and more possibilities in our class *C* (given the position of spaces in the sequence).

## 4.2 Neural Language Model

Based on the results of the dynamic search on count-based models using bigram, we concluded that it was best to show results of the greedy algorithm with greater n-grams for the neural language model. In order to compare the results, we fixed the embedding size of the characters to 15, as well as the hidden dimension to 80 and the batch size to 20. We then train models for 3,4 and 5-grams, evaluate the loss on training, and use for validation the RMSE of the number of spaces predicted on each sentence of the validation set.

We present the results:

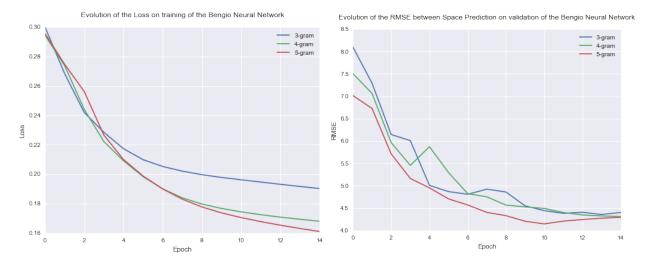


Figure 6: Training Loss

Figure 7: RMSE on Validation set

As expected, performance increases with the size of the n-grams. We then tested the impact of the embedding size.

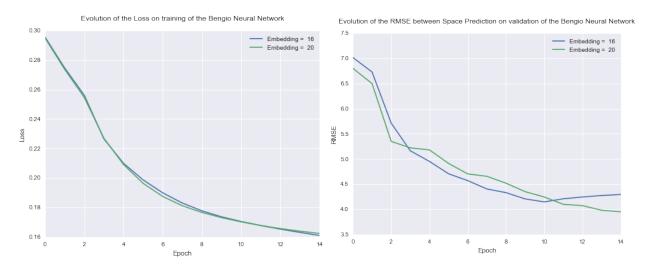


Figure 8: Training Loss

Figure 9: RMSE on Validation set

If the losses on training are very similar, we observed that greater embedding dimension yield better results on the validation. We therefore submitted to Kaggle, results using the latter model trained on 20 epochs and obtained:

$$RMSE_{nn} = \sqrt{13.37} = 3.65$$

We then experimented with this model by assignment a space as the next prediction by using a threshhold instead of using argmax prediction. The ratio of spaces to characters in the training set being relatively little, by specifying a probability smaller than 0.5 above which we generate a space

could help the performance of the greedy algorithm. We present results for a cutoff probability ranging from 0.2 to 0.5:

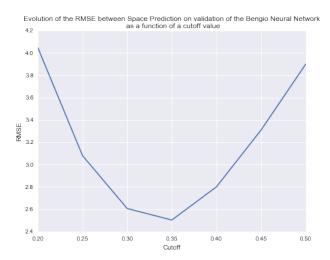


Figure 10: Perplexity evolution for the RNN

Results improve by 35% using the cutoff trick, and the results on Kaggle are also much better:

$$RMSE_{cutoff} = \sqrt{6.09} = 2.47$$

#### 4.3 Recurrent Neural Networks

For the three recurrent networks implemented, we have different parameters to take into account:

- batch size l
- length of sequences b
- embedding dimension emb
- number of epochs nEpochs

Choosing the right batch-size seems to be a tradeoff between performance and running time, a smaller one provides smaller perplexity but takes more time to run. The length of the sequence seems to provide good result when in the interval [30,..,50] without significant peak so we kept values in this zone. We set the embedding dimension to 20 for the experiments with some prior explorations also.

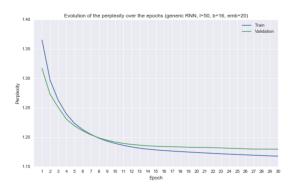


Figure 11: Perplexity evolution for the RNN

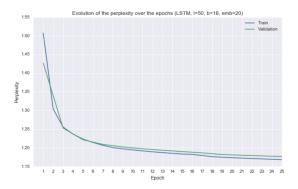


Figure 12: Perplexity evolution for the LSTM

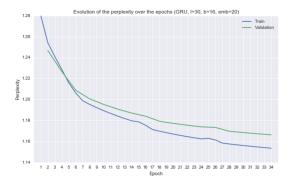


Figure 13: Perplexity evolution for the GRU

The best results on the Kaggle were provided with the GRU after a large number of epochs (around 100).

## 4.4 Model performance summary

Here we summarize the performance of our different models. We reported the perplexity on the validation set computed from the model and the RMSE computed by Kaggle on the sequence predicted with our chosen algorithm.

First, we observed that the count 5gram count based model still provides a better sequence generated with the greedy algorithm as the 3gram one generated with Viterbi. We also have a notable difference for the reccurrent networks with the RMSE computed on Kaggle even though we have similar perplexity. We don't really know how to explain this difference.

Model	Sequence generation algorithm	Perplexity on validation	MSE Kaggle
count based 5gram	Greedy	1.1467	17.88
count based 3gram	Viterbi	1.2780	56.27
NN	Greedy	1.156	13.37926
NN with cutoff	Greedy	1.156	6.09
RNN	Greedy	1.1746	33.13
LSTM	Greedy	1.1766	18.95
GRU	Greedy	1.1513	10.94

*Table 1: Summary of the results* 

## 5 Conclusion

This segmentation task gave us the opportunity to implement different recurrent neural network architectures but also to compare them with more traditionnal method. Whereas the count based and even the simple neural network models are pretty fast to train they still provide interesting results. The results provided by the three variants of RNN were interesting to illustrate the influence of gates and memory in such networks. The gated reccurrent network ended as the best model on this task. One future work could be to stack more layers to our reccurrent architecture or to implement a network with a dynamic memory part to give more flexibility in how the model uses the information it already processed.

# **Appendices**

# **Preprocessing:**

- 1 import numpy as np
- 2 import h5py
- 3 import argparse
- 4 import sys
- 5 import re
- 6 import codecs

```
from collections import Counter
9
10
11
   FILE_PATHS = ("data/train_chars.txt",
12
                  "data/valid_chars.txt",
                  "data/test_chars.txt")
13
14
15
16
   def get_input(filename, n, char_to_ind=None):
       # Contain the list of characters indices in the data
17
       # initialized with a padding
18
19
       if n > 2:
20
            input_data = [2]*(n-2)
21
       else:
22
            input_data = []
23
       if char_to_ind is None:
24
            # Map each character to an index with
25
            # Index of <space> set to 1
            char_to_ind = \{' < space > ': 1, ' < / s > ': 2\}
26
27
            count = 3
       with open(filename, 'r') as f:
28
            # Loop to index the char and store them inside the input
29
30
            for line in f:
                for c in line [:-1]. split (' '):
31
                    # Input data
32
33
                    if c in char_to_ind:
34
                         input_data.append(char_to_ind[c])
35
                    else:
                         char_to_ind[c] = count
36
37
                         count += 1
38
                         input_data.append(char_to_ind[c])
39
       return input_data, char_to_ind
40
41
42
   def build_train_data(input_data, n):
       # Build the input matrix: (num\_records, n-1)
43
       # and the output vector (num_records,1)
44
       # which stores the output for the given (n-1)gram
45
       input_matrix = np.zeros((len(input_data)-n, n-1))
46
47
       output_matrix = np.zeros(len(input_data)-n)
       for i in xrange(len(input_data)-n):
48
            # Countext is a (n−1)gram
49
50
           w = input_data[i:i+(n-1)]
            input_matrix[i, :] = w
51
52
            output_matrix[i] = (1 if input_data[i+(n-1)] == 1 else 2)
```

```
53
       return input_matrix, output_matrix
54
55
56
   def build_count_matrix(input_matrix, output_matrix, n):
57
       count_matrix_raw = np.concatenate((input_matrix,
                                            output_matrix.reshape(
58
                                                output_matrix.shape[0], 1)),
                                                axis=1)
59
60
       num_rows = len(set([tuple(s) for s in input_matrix]))
       count = Counter([tuple(s) for s in count_matrix_raw])
61
62
63
       # count matrix: (num_(n-1grams, 2))
64
       F = np.zeros((num\_rows, n + 1))
65
       gram_to_ind = {}
       i = 0
66
67
       for k, v in count.iteritems():
68
           gram = k[:(n-1)]
            if gram not in gram_to_ind:
69
70
                gram_to_ind[gram] = i
71
72
           F[gram_to_ind[gram], n-1 + int(k[-1]) - 1] = v
            F[gram_to_ind[gram], :n-1] = list(gram)
73
74
75
       return F
76
77
78
   def main(arguments):
79
       global args
80
       parser = argparse.ArgumentParser(
            description=__doc__,
81
82
            formatter_class=argparse. RawDescriptionHelpFormatter)
83
       parser.add_argument('--N', default=2, type=int, help='Ngram size')
84
85
       args = parser.parse_args(arguments)
       N = args.N
86
87
88
       train, valid, test = FILE_PATHS
89
90
       # Train
91
       input_data_train , char_to_ind = get_input(train , N)
92
       input_matrix_train, output_matrix_train = build_train_data(
93
            input_data_train , N)
       F_train = build_count_matrix(input_matrix_train,
94
           output_matrix_train, N)
```

```
95
96
        # Valid
97
        input_data_valid, char_to_ind = get_input(valid, N, char_to_ind)
98
        input_data_valid_nospace = filter(lambda a: a != 1,
           input_data_valid)
99
        # Test
100
        input_data_test, char_to_ind = get_input(test, N, char_to_ind)
101
102
103
        filename = 'data_preprocessed / ' + str (N) + '-grams.hdf5'
        with h5py. File (filename, "w") as f:
104
105
            # Stores a matrix (num_records, N-1) with at each row
            # the (N-1) grams appearing in the input data
106
            f['input_matrix_train'] = input_matrix_train
107
            f['F_train'] = F_train
108
            # Vector (num_records) storing the class of the next word
109
110
            # after the (N-1) gram stored at the same index in input_matrix
            # 1 is space; 2 is character
111
            f['output_matrix_train'] = output_matrix_train
112
            # Stores the list of consecutives character (or space) as their
113
114
            # index from the mapping char_to_ind
            f['input_data_train'] = np.array(input_data_train)
115
            f['input_data_valid'] = np.array(input_data_valid)
116
            f['input_data_valid_nospace'] = np.array(
117
               input_data_valid_nospace)
            f['input_data_test'] = np.array(input_data_test)
118
119
120
121 if __name__ == '__main__ ':
122
        sys.exit(main(sys.argv[1:]))
    Count-Based Models:
 1 — Documentation:
 2 — — How to call it from the command line?
 3 — For example:
```

```
1 — Documentation:
2 — How to call it from the command line?
3 — For example:
4 — $ th count_based.lua -N 5
5 — Other argument possible (see below)
6 —
7 — Is there an Output?
8 — By default, the predictions on the test set are saved in hdf5 format as classifier .. opt.f
9
10 — Only requirements allowed
11 require 'hdf5")
12 require 'helper.lua';
```

```
13
14 cmd = torch.CmdLine()
15
16 — Cmd Args
17 cmd: option('-N', 2, 'Ngram size for the input')
18 cmd: option('-algo', 'greedy', 'Algorithm to use: either greedy or
      viterbi')
  cmd: option('-f', 'pred_test.f5', 'File name for the predictions on the
      test ')
20
21 — Build the mapping from (N-1)gram to row index
22 — and the count matrix F_count: (num_context, 2)
23 function get_F_count(F, N)
       local ngram_to_ind = {}
24
25
       local key
       for i=1,F:size(1) do
26
           key = tostring(F[{i,1}])
27
28
           — Building key
29
           for k = 2, N-1 do
               key = key .. '-' .. tostring (F[{i,k}])
30
31
32
           ngram_to_ind[key] = i
33
34
       return F: narrow (2, N, 2), ngram_to_ind
35 end
36
37 — Compute proba distribution over (space, char) for the context
38 — F is here the count matrix (num_context, 2)
  function compute_count_based_probability(context, F_count, ngram_to_ind
      , alpha)
       local probability = torch.zeros(2)
40
       — Building key, ie (N-1)gram (from i to i+(N-2))
41
       local key = tostring(context[1])
42
       for k = 2, context: size (1) do
43
           key = key ... '-' ... tostring(context[k])
44
45
       end
       — If (N-1)gram never seen, prior distribution
46
       if (ngram_to_ind[key] ~= nil) then
47
           — index of the current (n-1)gram in the F matrix
48
49
           local index = ngram_to_ind[key]
50
           probability:copy(F_count:narrow(1,index,1))
           — Adding smoothing
51
           probability:add(alpha)
52
       - Case unseen context
53
54
       else
```

```
55
           -- Prior
56
           probability:copy(torch.DoubleTensor({F_count:narrow(2,1,1):sum})
               (), F_{\text{count}}: narrow(2,2,1): sum())
57
       end
       return probability:div(probability:sum())
58
59
   end
60
   - Compute perplexity on entry with space
   function compute_perplexity(gram_input, F_count, ngram_to_ind, N)
       local perp = 0
63
       local\ context = torch.zeros(N-1)
64
       local probability = torch.zeros(2)
65
       — Do not predict for the last char
66
67
       —for i=1, gram_input: size (1)—N do
       local size=gram\_input: size(1) - (N-1)
68
       for i=1, size do
69
70
           context:copy(gram_input:narrow(1,i,N-1))
           — Line where the model appears
71
           probability:copy(compute_count_based_probability(context,
72
               F_count, ngram_to_ind, 1))
           if gram_input[i+(N-1)] == 1 then
73
               right_proba = probability[1]
74
               —print('space')
75
76
               --print(right_proba)
77
           else
78
               right_proba = probability[2]
79
           end
80
           perp = perp + math.log(right_proba)
81
       end
82
       perp = math.exp(-perp/size)
       --perp = math.exp(-perp/(gram_input:size(1)-N))
83
84
       return perp
85
   end
86
87 — Greedy algorithm to predict a sequence from gram_input with a count
88 — based probability model
   function predict_count_based_greedy(gram_input, F_count, ngram_to_ind,
90
       - Next Position to fill in predictions
       local position = N
91
92
       — We allocate the maximum of memory that could be needed
93
       — Default value is -1 (to know where predictions end afterwards)
94
       local predictions = torch.ones (2*(gram_input:size(1) - N)):mul(-1)
       — Copy the first (N-1) gram
95
96
       predictions: narrow(1,1,N-1): copy(gram_input:narrow(1,1,N-1))
```

```
97
        local probability = torch.zeros(2)
98
        local\ context = torch.zeros(N-1)
99
100
        — Build mapping
        for i=1,gram_input: size(1)-N do
101
            — Compute proba for next char
102
            context: copy(predictions: narrow(1, position - (N-1), N-1))
103
            — Line where the model appears
104
105
            probability:copy(compute_count_based_probability(context,
               F_count, ngram_to_ind, 1))
            m, a = probability: max(1)
106
107
            - Case space predicted
108
            if (a[1] == 1) then
109
                predictions[position] = 1
110
                position = position +1
111
112
            end
113
114
            - Copying next character
            predictions[position] = gram_input[i+N-1]
115
            position = position +1
116
117
        end
        — Adding last character (</s>)
118
        predictions[position] = gram_input[gram_input:size(1)]
119
120
        — Cutting the output
        return predictions: narrow (1,1, position)
121
122
    end
123
124 — Viterbi algorithm to predict a sequence from gram_input with a count
125 — based probability model
126 — pi matrix format (col1: space; col2: char)
   function predict_count_based_viterbi(gram_input, F_count, ngram_to_ind,
127
        N)
128
        — Backpointer
129
        local score
        local bp = torch.zeros(gram_input:size(1) + 1, 2)
130
        local context = torch.DoubleTensor(1)
131
        local y_hat = torch.DoubleTensor(2)
132
133
        local pi = torch.ones(gram_input:size(1) + 1, 2):mul(-9999)
134
        - Initialization
135
        pi[{1,1}] = 0
136
        - i is shifted
137
        for i=2,gram_input:size(1)+1 do
138
            for c_prev = 1,2 do
139
                — Precompute y_hat(c_prev)
```

```
140
                 if c_prev == 1 then
141
                     context[1] = c_prev
142
                 else
143
                     context[1] = gram_input[i-1]
144
                end
145
                — Line where the model appears
                 y_hat:copy(compute_probability(context, F_count,
146
                    ngram_to_ind , 1))
147
148
                 for c_current =1,2 do
                     score = pi[{i-1, c_prev}] + math.log(y_hat[c_current])
149
150
                     if score > pi[{i, c_current}] then
                         pi[{i, c_current}] = score
151
                         bp[{i, c_current}] = c_prev
152
153
                     end
154
                end
155
            end
156
        end
157
        return pi, bp
158
    end
159
160
   — Building the sequences from the backpointer
    function build_sequences_from_bp(bp, gram_input)
        local predictions = torch.DoubleTensor(2*gram_input:size(1))
162
        — Next position to fill in predictions (have to do it backward)
163
        local position = 2*gram_input:size(1)
164
165
        local col = 2
        — Loop until the 3rd position (because 2nd is the first one, could
166
            be set by hand)
167
        for i=bp:size(1),3,-1 do
            — coming from a space
168
            if bp[i][col] == 1 then
169
                 predictions[position] = 1
170
171
                 position = position - 1
172
                 col = 1
173
            else
174
                 col = 2
175
            end
            - index i is shifted of 1 wrt local index in gram_input
176
177
            predictions[position] = gram_input[i-1]
            position = position - 1
178
179
        end
180
        — Beginnning of gram_input set
        predictions[position] = gram_input[1]
181
182
        position = position - 1
```

```
183
184
        return predictions:narrow(1, position+1, predictions: size(1)-position
           )
185 end
186
187 — Viterbi trigram
    function predict_count_based_viterbi_trigram(gram_input, F_count,
188
       ngram_to_ind , N)
189
        — Backpointer
        local score
190
        local bp = torch.zeros(gram_input:size(1) + 1, 3)
191
        local context = torch.DoubleTensor(2)
192
193
        local y_hat = torch.DoubleTensor(2)
194
        — pi is built as ('char-space', 'char-char', 'space-char')
        - corresponding index in the context
195
        local pi = torch.ones(gram_input:size(1) + 1, 3):mul(-9999999999)
196
197
        - Initialization
198
        pi[{2,1}] = 0
        --pi[{2,2}] = 0
199
        --pi[{2,3}] = 0
200
        — We need to start at the first trigram
201
        for i=3, gram_input: size (1)+1 do
202
            for c_prev = 1,3 do
203
                — Precompute y_hat(c_prev)
204
205
                 if c_prev == 1 then
                     context[1] = gram_input[i-2]
206
207
                     context[2] = 1
                 elseif c_prev == 2 then
208
                     context[1] = gram_input[i-2]
209
210
                     context[2] = gram_input[i-1]
211
                 else
212
                     context[1] = 1
213
                     context[2] = gram_input[i-1]
214
                 end
215
                — Line where the model appears
                 y_hat:copy(compute_probability(context, F_count,
216
                    ngram_to_ind , 1))
217
                — cannot have 2 spaces in a row: from 1 goes to 3
218
                    necessarily
219
                 if c_prev == 1 then
                     pi[\{i, 3\}] = pi[\{i-1, c_prev\}] + math.log(y_hat[2])
220
221
                     bp[\{i, 3\}] = c_prev
222
                 else
223
                     — last char is necessarily 'char' so
```

```
224
                    — 1: space predicted (ie 'char-space')
225
                    — 2: char predicted (ie 'char-char')
226
                     for c_current =1,2 do
227
                         score = pi[\{i-1, c\_prev\}] + math.log(y\_hat[
                            c_current])
                         if score > pi[{i, c_current}] then
228
229
                             pi[{i, c_current}] = score
230
                             bp[{i, c_current}] = c_prev
231
                         end
                     end
232
233
                end
234
            end
235
        end
236
        return pi, bp
237 end
238
239 — Building the sequences from the backpointer
240 — We start the sequence by the ('char'-'char') configuration
241 — as we know it's the only one possible
   function build_sequences_from_bp_trigram(bp, gram_input)
242
        local predictions = torch.DoubleTensor(2*gram_input:size(1))
243
244
        — Next position to fill in predictions (have to do it backward)
245
        local position = 2*gram_input:size(1)
        local col = 2
246
247
        — Loop until the 4th position
        for i=bp:size(1),4,-1 do
248
249
            — coming from a space
            if bp[i][col] == 1 then
250
251
                 predictions[position] = 1
252
                 position = position - 1
253
            end
254
            col = bp[i][col]
255
            — index i is shifted of 1 wrt local index in gram_input
256
            predictions[position] = gram_input[i-1]
257
            position = position - 1
258
        end
259
        — Beginnning of gram_input set
260
        predictions[position] = gram_input[2]
        position = position - 1
261
262
        predictions[position] = gram_input[1]
        position = position - 1
263
264
265
        return predictions:narrow(1, position+1, predictions: size(1)-position
266 end
```

```
267
268
   function main()
        — Parse input params
269
270
        opt = cmd: parse(arg)
271
        N = opt.N
272
        algo = opt.algo
273
274
        - Reading file
        local file = hdf5.open('data_preprocessed/'..tostring(N)..'-grams.
275
           hdf5', 'r')
276
        data = file:all()
277
        file:close()
278
279
        F_train = data['F_train']
280
        input_data_valid = data['input_data_valid']
281
        input_data_train = data['input_data_train']
282
        input_data_test = data['input_data_test']
283
        input_data_valid_nospace = data['input_data_valid_nospace']
284
285
        — Building the model
        F_count, ngram_to_ind = get_F_count(F_train, N)
286
287
        print('Ngram size '.. tostring(N))
        print('Train Perplexity')
288
289
        print(compute_perplexity(input_data_train, F_count, ngram_to_ind, N
           ))
290
        print('Valid Perplexity')
291
        print(compute_perplexity(input_data_valid, F_count, ngram_to_ind, N
           ))
292
293
        — Prediction
294
        if (algo == 'greedy') then
            predictions_test = predict_count_based_greedy(input_data_test,
295
                F_count, ngram_to_ind, N)
        elseif (algo == 'viterbi') then
296
            if (N == 2) then
297
                pi, bp = predict_count_based_viterbi(input_data_test,
298
                    F_count, ngram_to_ind, N)
                 predictions_test = build_sequences_from_bp(bp,
299
                    input_data_test)
300
            elseif (N == 3) then
301
                 pi_tri , bp_tri = predict_count_based_viterbi_trigram(
                    input_data_test, F_count, ngram_to_ind, N)
302
                predictions_test = build_sequences_from_bp_trigram(bp_tri,
                    input_data_test)
303
            else
```

```
304
                error ("invalid N for Viterbi")
305
            end
306
        else
307
            error ("invalid algorithm input")
308
        end
309
        - Kaggle format
310
        num_spaces = get_kaggle_format(predictions_test, N)
311
312
313
        - Saving the Kaggle format output
        myFile = hdf5.open('submission/'..opt.f, 'w')
314
        myFile:write('num_spaces', num_spaces)
315
316
        myFile: close()
317
    end
318
319
   main()
    NNLM:
   require 'hdf5';
 2 require 'nn';
 3 require 'helper.lua';
 5 cmd = torch.CmdLine()
 6
 7 — Cmd Args
 8 cmd: option('-N', 5, 'Ngram size for the input')
 9 cmd: option('--embed', 16, 'Embedding size of characters')
10 cmd:option('--hid', 80, 'Hidden layer dimension')
11 cmd: option('--eta', 0.01, 'Learning rate')
12 cmd: option('--batch', 10, 'Batchsize')
13 cmd: option('--Ne', 20, 'Number of epochs')
14 cmd: option('-algo', 'greedy', 'Algorithm to use: either greedy or
       viterbi')
   cmd:option('-f', 'pred_test.f5', 'File name for the predictions on the
       test ')
16
17
    function build_model(dwin, nchar, nclass, hid1, hid2)
        - Model with skip layer from Bengio, standards parameters
18
        - should be:
19
20
        -- dwin = 5
21
        -- hid1 = 30
        -- hid2 = 100
22
23
24
        — To store the whole model
25
        local dnnlm = nn.Sequential()
```

```
26
27
       — Layer to embedd (and put the words along the window into one
           vector)
28
       local LT = nn.Sequential()
       local LT_ = nn.LookupTable(nchar, hid1)
29
       LT: add(LT_{-})
30
       LT: add(nn. View(-1, hid1*dwin))
31
32
33
       dnnlm: add(LT)
34
35
       local concat = nn.ConcatTable()
36
37
       local lin_tanh = nn.Sequential()
38
       lin_tanh:add(nn.Linear(hid1*dwin,hid2))
       lin_tanh:add(nn.Tanh())
39
40
41
       local id = nn.Identity()
42
43
       concat:add(lin_tanh)
       concat:add(id)
44
45
46
       dnnlm:add(concat)
       dnnlm:add(nn.JoinTable(2))
47
       dnnlm:add(nn.Linear(hid1*dwin + hid2, nclass))
48
       dnnlm:add(nn.LogSoftMax())
49
50
51
       -- Loss
52
       local criterion = nn.ClassNLLCriterion()
53
54
       return dnnlm, criterion
55
   end
56
57
   function train_model(train_input, train_output, dnnlm, criterion, dwin,
58
       nclass, eta, nEpochs, batchSize)
       — Train the model with a mini batch SGD
59
       - standard parameters are
60
       -- nEpochs = 1
61
       -- batchSize = 32
62
63
       -- eta = 0.01
64
       — To store the loss
65
       local av_L = 0
66
67
68
       — Memory allocation
```

```
69
        local inputs_batch = torch.DoubleTensor(batchSize,dwin)
        local targets_batch = torch.DoubleTensor(batchSize)
70
        local outputs = torch.DoubleTensor(batchSize, nclass)
71
        local df_do = torch.DoubleTensor(batchSize, nclass)
72
73
        for i = 1, nEpochs do
74
            — timing the epoch
75
            local timer = torch.Timer()
76
77
            av L = 0
78
79
80
            — max renorm of the lookup table
            dnnlm: get(1): get(1). weight: renorm(2,1,1)
81
82
83
            — mini batch loop
            for t = 1, train_input:size(1), batchSize do
84
                - Mini batch data
85
                local current_batch_size = math.min(batchSize, train_input:
86
                   size(1)-t
                inputs_batch:narrow(1,1,current_batch_size):copy(
87
                   train_input:narrow(1,t,current_batch_size))
                targets_batch:narrow(1,1,current_batch_size):copy(
88
                   train_output:narrow(1,t,current_batch_size))
89
90
                - reset gradients
                dnnlm:zeroGradParameters()
91
92
                -gradParameters:zero()
93
                - Forward pass (selection of inputs_batch in case the
94
                   batch is not full, ie last batch)
                outputs:narrow(1,1,current_batch_size):copy(dnnlm:forward(
95
                   inputs_batch:narrow(1,1,current_batch_size)))
96
                — Average loss computation
97
                local f = criterion:forward(outputs:narrow(1,1,
98
                   current_batch_size), targets_batch:narrow(1,1,
                   current_batch_size))
                av_L = av_L + f
99
100
101
                — Backward pass
102
                df_do:narrow(1,1,current_batch_size):copy(criterion:
                   backward(outputs:narrow(1,1,current_batch_size),
                   targets_batch:narrow(1,1,current_batch_size)))
                dnnlm:backward(inputs_batch:narrow(1,1,current_batch_size),
103
                    df_do:narrow(1,1,current_batch_size))
```

```
104
                dnnlm: updateParameters (eta)
105
106
            end
107
            print('Epoch '..i..': '..timer:time().real)
108
            print('Average Loss: '..av_L/math.floor(train_input:size(1)/
109
                batchSize))
110
111
        end
112
113 end
114
115
   -- Compute perplexity on entry with space
116
117
    function compute_perplexity(gram_input, nnlm, N)
118
        local perp = 0
119
        local\ context = torch.zeros(N-1)
120
        local probability = torch.zeros(2)
        — Do not predict for the last char
121
122
        —for i=1, gram_input: size (1)—N do
        local size=gram\_input: size(1) - (N-1)
123
        for i=1, size do
124
            context:copy(gram_input:narrow(1,i,N-1))
125
            — Line where the model appears
126
            probability:copy(nnlm:forward(context))
127
            if gram_input[i+(N-1)] == 1 then
128
129
                 right_proba = probability[1]
            else
130
                 right_proba = probability[2]
131
132
133
            perp = perp + right_proba
134
135
        perp = math.exp(-perp/size)
136
        return perp
137
    end
138
139
140 — Greedy algorithm to predict a sequence from gram_input with a count
141 — based probability model
142
    function predict_NN_greedy(gram_input, nnlm, N)
        - Next Position to fill in predictions
143
144
        local position = N
145
        — We allocate the maximum of memory that could be needed
        — Default value is -1 (to know where predictions end afterwards)
146
147
        local predictions = torch.ones (2*(gram_input:size(1) - N)):mul(-1)
```

```
— Copy the first (N-1) gram
148
149
        predictions: narrow (1,1,N-1): copy (gram_input: narrow (1,1,N-1))
150
        local probability = torch.zeros(2)
151
        local\ context = torch.zeros(N-1)
152
153
        — Build mapping
        for i=1,gram_input:size(1)-N do
154
            - Compute proba for next char
155
156
            context: copy (predictions: narrow (1, position - (N-1), N-1))
            — Line where the model appears
157
            probability:copy(nnlm:forward(context))
158
159
            m, a = probability : max(1)
160
            - Case space predicted
161
162
            if (a[1] == 1) then
                 predictions[position] = 1
163
164
                 position = position +1
165
            end
166
167
            - Copying next character
            predictions[position] = gram_input[i+N-1]
168
169
            position = position +1
170
        end
171

    Adding last character (</s>)

        predictions[position] = gram_input[gram_input:size(1)]
172
173
        — Cutting the output
174
        return predictions: narrow(1,1, position)
175
    end
176
177
    function predict_NN_greedy(gram_input, nnlm, N)
        - Next Position to fill in predictions
178
179
        local position = N
180
        — We allocate the maximum of memory that could be needed
        — Default value is -1 (to know where predictions end afterwards)
181
182
        local predictions = torch.ones(2*(gram_input:size(1) - N)):mul(-1)
        — Copy the first (N-1) gram
183
184
        predictions: narrow(1,1,N-1): copy(gram_input:narrow(1,1,N-1))
        local probability = torch.zeros(2)
185
        local\ context = torch.zeros(N-1)
186
187
188
        — Build mapping
        for i=1, gram_input: size (1)-N do
189
190
            - Compute proba for next char
            context: copy(predictions: narrow(1, position - (N-1), N-1))
191
192
            — Line where the model appears
```

```
193
            probability:copy(nnlm:forward(context))
194
            m, a = probability: max(1)
195
196
            - Case space predicted
197
            if (a[1] == 1) then
                 predictions[position] = 1
198
                 position = position +1
199
200
            end
201
202
            - Copying next character
            predictions[position] = gram_input[i+N-1]
203
204
            position = position +1
205
        end
206
        — Adding last character (</s>)
207
        predictions[position] = gram_input[gram_input:size(1)]
208
        — Cutting the output
209
        return predictions: narrow(1,1, position)
210 end
211
212
    function predict_NN_greedy_cutoff(gram_input, nnlm, N, cut)
        - Next Position to fill in predictions
213
214
        local position = N
        — We allocate the maximum of memory that could be needed
215
        — Default value is -1 (to know where predictions end afterwards)
216
        local predictions = torch.ones (2*(gram_input: size(1) - N)): mul(-1)
217
        — Copy the first (N-1) gram
218
219
        predictions: narrow (1,1,N-1): copy (gram_input: narrow (1,1,N-1))
220
        local probability = torch.zeros(2)
221
        local\ context = torch.zeros(N-1)
222
223
        — Build mapping
        for i=1,gram_input:size(1)-N do
224
225
            — Compute proba for next char
            context: copy (predictions: narrow (1, position - (N-1), N-1))
226
227
            — Line where the model appears
            probability:copy(nnlm:forward(context))
228
229
            — Case space predicted
            if probability[1] > math.log(cut) then
230
                 predictions[position] = 1
231
232
                 position = position +1
233
            end
234
235
            — Copying next character
236
            predictions[position] = gram_input[i+N-1]
237
            position = position +1
```

```
238
        end
239
        — Adding last character (</s>)
240
        predictions[position] = gram_input[gram_input:size(1)]
241
        — Cutting the output
        return predictions:narrow(1,1,position)
242
243
    end
244
245 — Viterbi algorithm to predict a sequence from gram_input with a count
246 — based probability model
247 — pi matrix format (col1: space; col2: char)
    function predict_NN_viterbi(gram_input, nnlm, N)
248
249
        - Backpointer
250
        local score
251
        local bp = torch.zeros(gram_input:size(1) + 1, 2)
252
        local context = torch.DoubleTensor(1)
253
        local y_hat = torch.DoubleTensor(2)
254
        local pi = torch.ones(gram_input:size(1) + 1, 2):mul(-9999)
255
        - Initialization
256
        pi[\{1,1\}] = 0
257
        - i is shifted
        for i=2, gram_input: size (1)+1 do
258
259
            for c_prev = 1,2 do
                — Precompute y_hat(c_prev)
260
                 if c_prev == 1 then
261
262
                     context[1] = c_prev
263
                 else
264
                     context[1] = gram_input[i-1]
265
                end
266
                — Line where the model appears
267
                 y_hat:copy(nnlm:forward(context))
268
                 for c_current =1,2 do
269
270
                     score = pi[{i-1, c_prev}] + y_hat[c_current]
                     if score > pi[{i, c_current}] then
271
272
                         pi[{i, c_current}] = score
                         bp[{i, c_current}] = c_prev
273
274
                     end
275
                end
276
            end
277
        end
278
        return pi, bp
279
    end
280
281
   — Building the sequences from the backpointer
    function build_sequences_from_bp(bp, gram_input)
```

```
283
        local predictions = torch.DoubleTensor(2*gram_input:size(1))
284
        — Next position to fill in predictions (have to do it backward)
        local position = 2*gram_input:size(1)
285
286
        local col = 2
287
        — Loop until the 3rd position (because 2nd is the first one, could
            be set by hand)
        for i=bp:size(1),3,-1 do
288
            — coming from a space
289
290
            if bp[i][col] == 1 then
                 predictions[position] = 1
291
                 position = position - 1
292
293
                 col = 1
294
            else
295
                 col = 2
296
            end
297
            — index i is shifted of 1 wrt local index in gram_input
298
            predictions[position] = gram_input[i-1]
299
            position = position - 1
300
        end
301
        — Beginnning of gram_input set
        predictions[position] = gram_input[1]
302
303
        position = position - 1
304
305
        return predictions: narrow (1, position +1, predictions: size (1) - position
           )
306
    end
307
308
    function main()
309
        — Parse input params
310
        opt = cmd:parse(arg)
311
        N = opt.N
        algo = opt.algo
312
        eta = opt.eta
313
        hid = opt.hid
314
        embed = opt.embed
315
        batchsize = opt.batch
316
317
        Ne = opt.Ne
318
319
320
        - Reading file
321
        local file = hdf5.open('data_preprocessed/'..tostring(N)..'-grams.
           hdf5', 'r')
322
        data = file:all()
        file:close()
323
324
```

```
325
        train_input = data['input_matrix_train']
326
        train_output = data['output_matrix_train']
327
        input_data_train = data['input_data_train']
328
329
        input_data_valid = data['input_data_valid_nospace']:clone()
330
331
        input_data_test = data['input_data_test']: clone()
332
333
        — Building the model
        torch.manualSeed(1)
334
335
336
        nnlm1, crit = build_model(N-1, 49, 2, embed, hid)
337
338
        print('-> Training the model')
339
        train_model(train_input, train_output, nnlm1, crit, N-1, 2, eta, Ne
           , batchsize)
340
341
        print('Ngram size '.. tostring(N))
        print('Train Perplexity')
342
        print(compute_perplexity(input_data_train, nnlm1, N))
343
        print('Valid Perplexity')
344
        print(compute_perplexity(input_data_valid, nnlm1, N))
345
346
347
        — Prediction
        if (algo == 'greedy') then
348
349
            predictions_test = predict_NN_greedy(input_data_test, nnlm1, N)
350
        elseif (algo == 'viterbi') then
351
            pi, bp = predict_count_based_viterbi(input_data_test, nnlm1, N)
352
            predictions_test = build_sequences_from_bp(bp, input_data_test)
353
        else
354
            error("invalid algorithm input")
355
        end
356
357
        - Kaggle format
358
        num_spaces = get_kaggle_format(predictions_test, N)
359
        print(num_spaces:narrow(1,1,10))
360
361
362
        — — Saving the Kaggle format output
363
        — myFile = hdf5.open('submission/'..opt.f, 'w')
364
        — myFile:write('num_spaces', num_spaces)
        — myFile:close()
365
366
    end
367
368 main()
```

# RNN:

```
1 — Documentation:
2 — — How to call it from the command line?
3 — For example:
4 — $ th count_based.lua —N 5
5 — Other argument possible (see below)
7 — Is there an Output?
8 — By default, the predictions on the test set are saved in hdf5 format
       as classifier .. opt.f
10 — Only requirements allowed
11 require("hdf5")
12 require("rnn")
13 require 'helper.lua';
15 cmd = torch.CmdLine()
16
17 — Cmd Args
18 cmd:option('-1', 30, 'Length size for the training sequence')
19 cmd: option('-b', 16, 'Batch-size for the training')
20 cmd: option('-edim', 20, 'Embed dimension for the characters embeddings
21 cmd: option('-eta', 0.5, 'Learning rate')
22 cmd:option('-ne', 4, 'Number of epochs for the training')
23 cmd:option('-s', 1, 'Step size for the adaptive eta changes')
24 cmd: option('-f', 'pred_test_rnn.f5', 'File name for the predictions on
      the test ')
   cmd:option('-model', 'RNN', 'Recurrent model to be used (RNN, LSTM or
      GRU')
26
27
28 — Formating the input
29 — input is a 1d tensor
30 function get_train_input(input, len, batch_size)
       — Building output (we put predict a padding at the end)
31
       local n = input:size(1)
32
33
34
       — Get the closer multiple of batch_size*len below n
       local factor = -math.floor(-n/(len*batch_size))
35
36
       local n_new = factor*len*batch_size
37
       local input_new = torch.DoubleTensor(n_new)
38
       local t_input, t_output
39
       input_new:narrow(1,1,n):copy(input)
       input_new:narrow(1,n,n_new-n+1):fill(2) — Filling with padding
40
```

```
41
42
       — Building output
       local output = get_output(input_new)
43
44
45
       — Issue with last sequence if batch_size does not divide n
       t_input = torch.split(input_new:view(batch_size,n_new/batch_size),
46
           len, 2)
       t_output = torch.split(output:view(batch_size,n_new/batch_size),len
47
       return t_input, t_output
48
49
   end
50
   function get_output(input)
51
52
       local n = input:size(1)
       local output = torch.DoubleTensor(n)
53
       for i=2, n do
54
           if input_new[i] ~= 1 then
55
56
                output[i-1] = 2
57
           else
58
                output[i-1] = input[i]
59
           end
60
       end
       output[n] = 2
61
       return output
62
63 end
64
65 — Methods to build the model
66 function build_RNN(embed_dim, rho)
       return nn. Recurrent (embed_dim, nn. Linear (embed_dim, embed_dim), nn.
67
           Linear(embed_dim, embed_dim), nn.Tanh(), rho)
   end
68
69
70
   function build_LSTM(embed_dim, rho)
       return nn.FastLSTM(embed_dim, embed_dim, rho)
71
72
   end
73
   function build_GRU(embed_dim, rho, dropout_p)
       return nn.GRU(embed_dim, embed_dim, rho,dropout_p)
76
   end
77
   function build_rnn(embed_dim, vocab_size, batch_size, recurrent_model,
78
      len)
79
       local batchRNN
80
       local params
81
       local grad_params
```

```
82
        - generic RNN transduced
83
        batchRNN = nn.Sequential()
            :add(nn.LookupTable(vocab_size, embed_dim))
84
85
             :add(nn.SplitTable(1, batch_size))
        local rec = nn.Sequencer(recurrent_model)
86
87
        rec:remember('both')
88
89
        batchRNN: add(rec)
90
91
        - Output
92
        batchRNN: add(nn. Sequencer(nn. Linear(embed_dim, 2)))
        batchRNN:add(nn.Sequencer(nn.LogSoftMax()))
93
94
95
        - Retrieve parameters (To do only once!!!)
        params , grad_params = batchRNN:getParameters()
96
97
        — Initializing all the parameters between -0.05 and 0.05
98
        for k=1, params: size (1) do
            params [k] = torch.uniform(-0.05,0.05)
99
100
        end
101
102
        return batchRNN, params, grad_params
103
    end
104
    function train_model_with_perp(t_input, t_output, model,
105
       model_flattened, params_flattened,
            params, grad_params, criterion, eta, nEpochs, batch_size, len,
106
               n, input_valid, output_valid, step)
        — Train the model with a mini batch SGD
107
        — Uses an adaptive learning rate eta computed each cycle of step
108
           iterations from the
        — evolution of the perplexity on the validation set (compute with
109
           the model_flattened)
110
        local timer
        local pred
111
112
        local loss
113
        local dLdPred
114
        local t_inputT = torch.DoubleTensor(len,batch_size)
        local t_output_table
115
        local size
116
117
        — To store the loss
118
119
        local av_L = 0
120
        local perp = 0
        local old_perp = 0
121
122
```

```
for i = 1, nEpochs do
123
124
            — timing the epoch
             timer = torch.Timer()
125
126
             old_L = av_L
             old_perp = perp
127
             av_L = 0
128
129
130
            — mini batch loop
             for k = 1, n/(batch_size * len) do
131
                - Mini batch data
132
133
134
                 t_{input}T:copy(t_{input}[k]:t())
                 t_output_table = torch.split(t_output[k],1,2)
135
                -format the output
136
137
                 for j=1, len do
                     t_output_table[j] = t_output_table[j]:squeeze()
138
139
                 end
140
141
                - reset gradients
142
                 grad_params: zero()
143
144
                — Forward loop
                 pred = model: forward(t_inputT)
145
                 loss = criterion:forward(pred, t_output_table)
146
                 av_L = av_L + loss
147
148
149
                 — Backward loop
                 dLdPred = criterion:backward(pred, t_output_table)
150
                 model:backward(t_inputT, dLdPred)
151
152
153
                 — gradient normalization with max norm 5 (12 norm)
                 grad_params: view (grad_params: size (1), 1): renorm (1, 2, 5)
154
155
                 model: updateParameters (eta)
156
157
            end
158
             print('Epoch '..i..': '..timer:time().real)
159
            print('Average Loss: '..av_L/math.floor(n/batch_size))
160
            - Print perplexity validity every step of iteration
161
162
             if (i\%step == 0) then
                 size = input_valid: size(1) - 1
163
                 params_flattened:copy(params)
164
165
                 perp = compute_perplexity(input_valid:narrow(1,1,size):view
                    (size,1), output_valid, model_flattened)
166
                 print('Valid perplexity: '..perp)
```

```
167
168
                 if old_perp - perp < 0 then
                     eta = eta/2
169
170
                end
171
172
                 if (eta < 0.0001) then eta = 0.1 end
173
174
            end
175
        end
176
    end
177
178
179 — Methods for prediction
180 —
181
182
    function compute_probability_model(model, input)
183
        return model:forward(input:view(input:size(1), 1))
184 end
185
186
   — Method to compute manually the perplexity
    function compute_perplexity(input, output, model)
187
188
        - Last Position filled in predictions
        - Position to predict in input
189
190
        local position_input = 1
191
        local probability = torch.DoubleTensor(2)
192
        local probability_table
193
        local perp = 0
194
195
        — Build mapping
196
        for i = 1, input: size (1) do
197
            — Line where the model appears
198
            — The model remember the states before, just need to feed into
                 it a character
199
            probability_table = compute_probability_model(model, input:
                narrow (1, i, 1))
            probability:copy(probability_table[1])
200
            perp = perp + probability[output[i]]
201
202
        end
203
        — Cutting the output
204
        return math.exp(-perp/input:size(1))
205
    end
206
207 — Prediction with greedy algorithm
    function predict_rnn_greedy(input, len, model)
209
        - Last Position filled in predictions
```

```
210
        local position_prediction = 1
211
        - Position to predict in input
212
        local position_input = 1
213
        — We allocate the maximum of memory that could be needed
        — Default value is -1 (to know where predictions end afterwards)
214
        local predictions = torch.ones(2*input:size(1)):mul(-1)
215
        — Copy the first entry
216
        predictions[position_prediction] = input[position_input]
217
218
        local probability = torch.zeros(2)
219
        local probability_table
220
221
        — Build mapping
222
        while position_input < input:size(1) do
223
            — Line where the model appears
            — The model remember the states before, just need to feed into
224
                 it a character
225
            probability_table = compute_probability_model(model,
                predictions:narrow(1, position_prediction, 1))
            probability:copy(probability_table[1])
226
227
228
            m, a = probability : max(1)
229
230
            — Case space predicted
231
            position_prediction = position_prediction +1
232
            if (a[1] == 1) then
                predictions[position_prediction] = 1
233
234
            else
235
                - Copying next character
236
                 position_input = position_input + 1
237
                predictions[position_prediction] = input[position_input]
238
            end
239
        end
240
        — Cutting the output
        return predictions:narrow(1,1,position_prediction)
241
242
    end
243
244
    function main()
        - Parse input params
245
        opt = cmd:parse(arg)
246
247
        - Reading file
248
        N = 2
249
250
        local data = hdf5.open('../data_preprocessed/'..tostring(N)..'-
           grams.hdf5','r'):all()
251
        F_train = data['F_train']
```

```
252
        input_data_valid = data['input_data_valid']
253
        input_matrix_train = data['input_matrix_train']
        input_data_train = data['input_data_train']
254
255
        input_data_valid_nospace = data['input_data_valid_nospace']
256
        input_data_test = data['input_data_test']
257
        myFile: close()
258
259
        F_train = data['F_train']
260
        input_data_valid = data['input_data_valid']
        input_data_train = data['input_data_train']
261
        input_data_test = data['input_data_test']
262
        input_data_valid_nospace = data['input_data_valid_nospace']
263
264
265
        — Model parameters
266
        len = opt.1
        batch_size = opt.b
267
268
        vocab_size = 49
269
        embed_dim = oopt.edim
270
        eta = opt.eta
271
        nEpochs = opt.ne
272
        step = opt.s
273
274
        — Formating data
275
        t_input_new, t_output_new = get_train_input(input_data_train, len,
           batch_size)
        output_valid = get_output(input_data_valid)
276
277
        n_new = len * batch_size *(#t_input_new)
278
279
        — Building model
280
        model, params, grad_params = build_rnn(embed_dim, vocab_size,
           batch_size , build_RNN(embed_dim , len) , len)
        model_valid , params_valid , grad_params_valid = build_rnn(embed_dim ,
281
            vocab_size , 1,build_RNN(embed_dim))
282
283
        crit = nn.SequencerCriterion(nn.ClassNLLCriterion())
284
285
        — Training model
        train_model_with_perp(t_input_new, t_output_new, model, model_valid
286
           , params_valid,
287
                params, grad_params, crit, eta, nEpochs, batch_size, len,
                   n_new, input_data_valid, output_valid, step)
        print('here')
288
289
        — — Computing RMSE on valid
290
291
        — kaggle_true_valid = get_kaggle_format(input_data_valid,2)
```

```
292
       -- timer = torch.Timer()
293
       — pred_valid = predict_rnn_greedy(input_data_valid_nospace:narrow
294
           (1,1,input_data_valid_nospace:size(1)), len, model_valid)
       - print ('Greedy prediction on validation set (Time elasped: '...
295
           timer: time().real..')')
       — kaggle_model_valid = get_kaggle_format(pred_valid,2)
296
       — print('RMSE')
297
298
       -- rsme = compute_rmse(kaggle_true_valid, kaggle_model_valid)
299
       — print(rsme)
300
       — — Prediction on test
301
302
       -- timer = torch.Timer()
303
       — size = input_data_test:size(1)
304
       -- pred_test = predict_rnn_greedy(input_data_test:narrow(1,1,size),
            len , model_valid)
       — print('Greedy prediction on test set (Time elasped : '.. timer:
305
           time().real..')')
       — kaggle_test = get_kaggle_format(pred_test,2)
306
307
       — — Saving the Kaggle format output
308
       myFile = hdf5.open('../submission/'..opt.f, 'w')
309
       — myFile:write('num_spaces', kaggle_test)
310
311
       — myFile:close()
312 end
```