# Exercise in FYS-3002 — Solutions

## Eirik Rolland Enger

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## Abstract

Solve all tasks. Include code listing as an appendix.

## TODO:

- Split into subtasks
- Guide through the necessary theory
- Make a verbose implementation / solution to the problem with plots
- Find interesting physical parameters they should test and explain what it all means.

## 1 Solving the equation for the ISR spectrum

Following Farley and Hagfors (1999). Equations from Farley and Hagfors (1999) are written FH (xx).

We are going to derive the equation for the power spectral density of the electron number density, namely the expression

$$\langle |n_{\rm e}(\mathbf{k},\omega)|^2 \rangle = \frac{n_{\rm e,0}}{\pi \omega} \frac{\Im\{F_{\rm e}\}|1 + \chi_{\rm i}|^2 + \Im\{F_{\rm i}\}|\chi_{\rm e}|^2}{|1 + \chi_{\rm e} + \chi_{\rm i}|^2}.$$
 (1)

## 1.1 Vlasov's equation

Let us start from the Vlasov's equation. This is similar to the Boltzmann equation, but we omit the collision term. The Vlasov equation can be written as (FH 4.24)

$$\partial_t f + \boldsymbol{v} \cdot \partial_{\boldsymbol{r}} f + \mu_{\alpha} [\boldsymbol{E} + \boldsymbol{v} \times \boldsymbol{B}] \cdot \partial_{\boldsymbol{v}} f = 0$$

where  $f = f(\mathbf{r}, \mathbf{v}, t)$  describe the phase space,  $\mu_{\alpha}$  is the charge-to-mass ratio of particle species  $\alpha$  and  $\mathbf{E}$  and  $\mathbf{B}$  are the electric and magnetic fields, both functions of space and time.

Let us assume all parameters to consist of a linear term and a higher order term, that is, we assume all parameters are on the form  $f = f_0[1 + f_1]$  where  $f_0$  is linear and  $f_1$  is non-linear and that  $f_1 \ll 1$ . Let us also write up the Fourier transform and Laplace transform of  $f_1$ :

$$f_1(\mathbf{r}, \mathbf{v}, t) = \sum_{\mathbf{k}} f_1(\mathbf{k}, \mathbf{v}, t) \exp(-i\mathbf{k} \cdot \mathbf{r})$$
$$f_1(\mathbf{k}, \mathbf{v}, s) = \int_{-\infty}^{\infty} f_1(\mathbf{k}, \mathbf{v}, t) \exp(-st) dt$$

We linearize the Vlasov equation and obtain

$$sf_1(\boldsymbol{k},\boldsymbol{v},s) - f_1(\boldsymbol{k},\boldsymbol{v},t=0) - i\boldsymbol{k} \cdot \boldsymbol{v} f_1(\boldsymbol{k},\boldsymbol{v},s) + \mu_{\alpha} \left[ \frac{1}{f_0(\boldsymbol{v})} \boldsymbol{E} \cdot \partial_{\boldsymbol{v}} f_0(\boldsymbol{v}) - \boldsymbol{B}[\boldsymbol{v} \times \partial_{\boldsymbol{v}} f_1(\boldsymbol{k},\boldsymbol{v},s)] \right] = 0$$

It can be shown that this has solution

$$f_{\alpha,1}(\boldsymbol{k},\boldsymbol{v},s) = \frac{1}{\mu_{\alpha}B} \int_{-\infty}^{\varphi} g_{\alpha}(\varphi,\varphi') \left\{ f_{\alpha,1}(\boldsymbol{k},\boldsymbol{v}',t=0) \mp \frac{i2X_{\rm p}^2}{f_{\alpha,0}(\boldsymbol{v}')} \boldsymbol{k} \cdot \boldsymbol{v}' [Zn_{\rm i}(\boldsymbol{k},s) - n_{\rm e}(\boldsymbol{k},s)] \right\} \mathrm{d}\varphi' \qquad (2)$$

This equation describe the perturbation of the phase space function and is the same as FH (4.26) only written out in full and using the Fourier transformed spatial variable and Laplace transformed temporal variable. The primes (e.g. on v') refer to terms on the *unperturbed* orbit. Specifically we have that the unperturbed velocity is

$$\mathbf{v}' = \mathbf{e}_1 w \cos \varphi' + \mathbf{e}_2 w \sin \varphi' + \mathbf{e}_3 u$$

and we see that the velocity is a function of the variable  $\varphi$  (i.e.,  $f_1(\mathbf{k}, \mathbf{v}, s) = f_1(\mathbf{k}, w, u, \varphi, s)$ ).  $g(\varphi, \varphi')$  can be seen as an integrating factor (Bernstein 1958).

Density perturbations can then be obtained by integration:

$$n_{\alpha}(\mathbf{k}, s) = \int f_{\alpha,0}(\mathbf{v}') f_{\alpha,1}(\mathbf{k}, \mathbf{v}, s) d\mathbf{v} = n_{\alpha,0} \int f_{\alpha,1}(\mathbf{k}, \mathbf{v}, s) d\mathbf{v}$$

## 1.2 Electron number density

The function  $F_{\alpha}$  is defined as

$$\begin{split} F_{\alpha}(\boldsymbol{k},\omega) &= 1 + i\omega \int_{0}^{\infty} \exp\left\{i\omega\tau - \frac{k_{\mathrm{r}}^{2}T_{\alpha}k_{\mathrm{B}}\sin^{2}\theta}{m_{\alpha}\Omega_{\alpha}^{2}} [1 - \cos(\omega\tau)] - \frac{1}{2}(k_{\mathrm{r}}\tau\cos\theta)^{2}\frac{T_{\alpha}k_{\mathrm{B}}}{m_{\alpha}}\right\} \mathrm{d}\tau \\ &= 1 + i\omega G_{\alpha}(\boldsymbol{k},\omega) \end{split}$$

The integral  $G_{\alpha}(\mathbf{k},\omega)$  is often referred to as a Gordeyev integral. Another useful parameter is:

$$\chi_{\alpha}(\mathbf{k},\omega) = \frac{1}{k^2 \lambda_{\mathrm{D}}^2} F_{\alpha}(\mathbf{k},\omega) = \frac{1}{(k\lambda_{\mathrm{D}})^2} [1 + i\omega G_{\alpha}(\mathbf{k},\omega)]$$
(3)

Using our definitions of  $F_{\alpha}$  and  $\chi_{\alpha}$  we can now readily compute the IS spectrum from eq. (1):

$$\langle |n_{\rm e}(\mathbf{k},\omega)|^2 \rangle = \frac{n_{\rm e,0}}{\pi \omega} \frac{\Im\{F_{\rm e}\}|1 + \chi_{\rm i}|^2 + \Im\{F_{\rm i}\}|\chi_{\rm e}|^2}{|1 + \chi_{\rm e} + \chi_{\rm i}|^2}.$$
 (4)

We may also rewrite the above using that  $\Im\{F_{\alpha}\} = \omega G_{\alpha}$ :

$$\langle |n_{\rm e}(\mathbf{k},\omega)|^2 \rangle = \frac{n_{\rm e,0}}{\pi} \frac{G_{\rm e}|1+\chi_{\rm i}|^2 + G_{\rm i}|\chi_{\rm e}|^2}{|1+\chi_{\rm e}+\chi_{\rm i}|^2}.$$
 (5)

## 2 Problems

#### Problem 1

#### Task 1

What is the physical interpretation of  $\chi$  in eq. (3)?

Equation (3) is what is given in FH (4.35). The parameter  $\chi$  describe the susceptibility of the medium, that is, the electron population and the ion population in the plasma. In this context the susceptibility is a scalar and its value describe how prone the medium is of being magnetized by an external magnetic field, as such, we get information about the long term behaviour of the plasma through the susceptibility function.

#### Task 2

Explain what the term  $f_{\alpha,1}$  in eq. (2) describe.

This equation describe the perturbation of the phase space function and is the same as FH (4.26) only written out in full and using the Fourier transformed spatial variable and Laplace transformed temporal variable.

#### Problem 2

Using any one of the expressions for  $\langle |n_{\rm e}(\boldsymbol{k},\omega)|^2 \rangle$ , write a program that calculates the power spectral density. This should accept a number of input parameters:

 $f_{\rm r}$  Radar frequency

 $n_{\rm e}$  Electron number density

B Magnetic field strength

 $m_{\rm i}$  Ion mass

 $T_{\rm e}$  Electron temperature

 $T_{\rm i}$  Ion temperature

 $\theta$  Aspect angle (the angle between the radar pointing direction and the magnetic field.)

The code should be well commented and included as an appendix.

## Problem 3

We will now look at some specific parameters using our program. Run your program with the parameters given as:

Parameter	Unit	Value
$f_{ m r}$	[Hz]	$430\times10^6$

Parameter	Unit	Value
$n_{ m e}$	$[m^{-3}]$	$2 \times 10^{10}$
B	[T]	$3.5 \times 10^{-5}$
$m_{ m i}$	[amu]	16
$T_{ m e}$	[K]	200
$T_{ m i}$	[K]	200
$\theta$	[°]	135

for frequencies  $f \in [-2 \times 10^6, 2 \times 10^6]$ .

#### Task 1

Where could an experiment with these parameters be done? Make a sketch that includes the radar beam and the magnetic field line. Assume that the radar points directly upwards, i.e., towards zenith.

## Task 2

The spectrum is plotted for frequencies  $f \in [-2 \times 10^6, 2 \times 10^6]$ ; relative to an observer at the radar location, which way does the features found at positive frequencies is the spectrum move?

#### Task 3

Plot the resulting power spectra calculated by the program and explain what the different peaks represent.

The radar beam will be scattered at some height, say  $h \approx 200 \, \mathrm{km}$ . The aspect angle is the angle between the incident wave vector and the magnetic field line at the altitude where the radar beam is scattered.

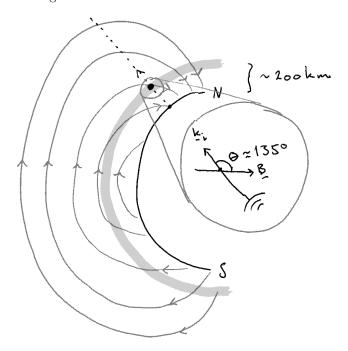


Figure 1: Sketch of a possible geometry based on the parameters given.

The plot from problem 3 should result in something similar to fig. 2.

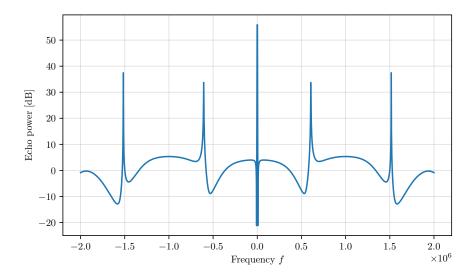


Figure 2: Power spectral density using the parameters above.

## Problem 4

In this exercise we will use the parameters:

Unit	Value
[Hz]	$933 \times 10^{6}$
$[m^{-3}]$	$2 \times 10^{11}$
[T]	$5 \times 10^{-5}$
[amu]	16
[K]	2000
[°]	180
	[Hz] [m <sup>-3</sup> ] [T] [amu] [K]

Calculate the power spectral density on  $f \in [3.5 \times 10^6, 7 \times 10^6]$  for  $T_{\rm e} = 2000\,\rm K,\,4000\,K,\,6000\,K,$  and  $8000\,\rm K.$ 

#### Task 1

Explain the changes that can be seen as the electron temperture changes.

The plots from the above should look similar to fig. 3.

This is showing the upshifted plasma line. The equation describing the real part of the plasma line wave resonance frequency is

$$\omega_{\Re,\mathrm{e}} = [\omega_{p\mathrm{e}}^2 (1 + 3k^2 \lambda_{\mathrm{D}}^2) + \Omega_{\mathrm{e}}^2 \sin^2 \theta]^{1/2} = [\omega_{p\mathrm{e}}^2 + 3k^2 v_{\mathrm{th,e}}^2 + \Omega_{\mathrm{e}}^2 \sin^2 \theta]^{1/2}$$

and we see that with increasing temperature the thermal velocity will increase, thus increasing the resonance frequency as seen in the fig. 3.

We also see from the figure that the width of the plasma line gets wider (more damped) as the temperature is increased. The assumption  $k^2\lambda_{\rm D}^2\ll 1$  is usually applied when solving the IS spectrum, implying weak Landau damping. But with increasing temperature this assumption is no longer valid and the Landau damping gets stronger. More power is distributed to the shoulders and therefore the peak power is also decreased to maintain the same power of the plasma line for all temperatures.

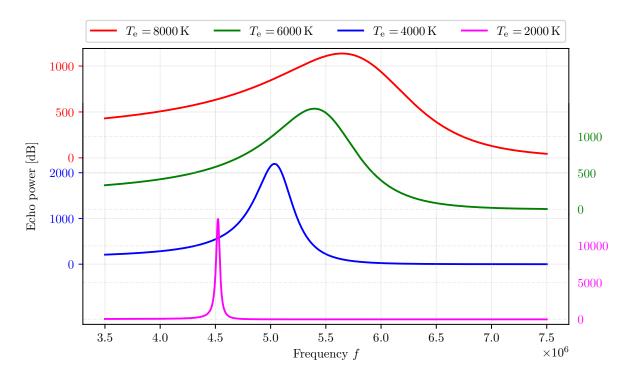


Figure 3: Power spectral density plot obtained with the parameters for problem 4.

## Appendix

```
#!/home/een023/.virtualenvs/py3.9/bin/python
   import sys
   import numpy as np
   import scipy.constants as const
   import scipy.integrate as si
   import matplotlib.pyplot as plt
   import config as cf
10
11
   def isr():
12
       # Set physical parameters
       f = cf.f # 1/s ~ radar frequency
       T_e = cf.T_e # K ~ electron temperature
       T_i = cf.T_i # K ~ ion temperature
16
       n_e = cf.n_e # 1/m^3 ~ electron number density
17
       B = cf.B # T ~ magnetic field strength (towards Earth)
18
       aspect = cf.aspect # degree ~ radar pointing direction to magnetic field line
19
       aspect = np.pi / 180 * aspect
20
       M_amu = cf.M # amu ~ ion mass
21
       M = M_amu * (const.m_p + const.m_n) / 2 # Convert to kg
22
       nu = cf.nu # 1/s ~ collision frequency
23
24
       # Calculate constants
25
       k = -4 * np.pi * f / const.c
```

```
l_D = debye(T_e, n_e)
27
        w_c = gyro('e', B)
28
        W_c = gyro('i', B, M_amu)
30
        # Susceptibility
        f_ax = np.linspace(- 1e4, 1e4, cf.N_F) # Frequency axis
32
        # Integration variable of Gordeyev
33
        y_e = np.linspace(0, 1.5e-4**(1 / cf.ORDER), cf.N_Y)**cf.ORDER
34
        y_i = np.linspace(0, 1.5e-2**(1 / cf.ORDER), cf.N_Y)**cf.ORDER
35
        G_e = maxwellian_integrand(y_e, nu, k, aspect, T_e, w_c, const.m_e)
36
        G_i = maxwellian_integrand(y_i, nu, k, aspect, T_i, W_c, M)
        Fe = F(f_ax, y_e, nu, G_e)
        Fi = F(f ax, y i, nu, G i)
39
40
        Xp = (1 / (2 * 1 D**2 * k**2))**(1 / 2)
41
        chi_e = 2 * Xp**2 * Fe
        chi_i = 2 * Xp**2 * Fi
43
        with np.errstate(divide='ignore', invalid='ignore'):
45
            IS = n_e / (np.pi * 2 * np.pi * f_ax) * 
                     (np.imag(Fe) * np.abs(1 + chi_i)**2 + np.imag(Fi) * np.abs(chi_e)**2) / 
47
                     (np.abs(1 + chi_e + chi_i)**2)
48
49
        return f_ax, IS
50
51
52
    def F(f_ax, y, nu, G):
        # Calculate the F functions that include susceptibility
53
        a = np.array([])
54
        for f in f_ax:
55
            w = 2 * np.pi * f
56
            sint = my_integration_method(w, y, G)
            a = np.r [a, sint]
58
        func = 1 + (1j * 2 * np.pi * f_ax + nu) * a
60
        return func
61
62
   def maxwellian_integrand(y, nu, k, aspect, T, w_c, m):
63
        G = np.exp(-v * nu -
64
                k**2 * np.sin(aspect)**2 * T * const.k /
65
                (m * w_c**2) * (1 - np.cos(w_c * y)) -
66
                .5 * (k * np.cos(aspect) * y)**2 * T * const.k / m)
67
        return G
69
70
   def my_integration_method(w, y, G):
71
        val = np.exp(1j * w * y) * G
72
        sint = si.simps(val, y)
73
        return sint
74
75
   def debye(T, n):
76
        ep0 = 1e-9 / 36 / np.pi
77
        l_D = (ep0 * const.k * T / (n * const.e**2))**(1 / 2)
78
        return 1_D
79
```

```
def gyro(p, B, m=16):
81
        if p == 'e':
82
            w = const.e * B / const.m_e
        elif p == 'i':
            w = const.e * B / (m * (const.m_p + const.m_n) / 2)
85
        else:
86
            sys.exit(f'I do not know what kind of particle {p} is.')
87
        return w
88
89
   def plot():
90
        x, y = isr()
91
        # y = 10 * np.log10(y)
92
93
        plt.figure()
94
        plt.plot(x, y)
95
        plt.grid(alpha=0.4)
        plt.show()
97
   if __name__ == '__main__':
99
        plot()
```

## References

Bernstein, Ira B. 1958. "Waves in a Plasma in a Magnetic Field." *Physical Review* 109 (1): 10–21. https://doi.org/10.1103/PhysRev.109.10.

Farley, D T, and Tor Hagfors. 1999. AGF-304 textbook.