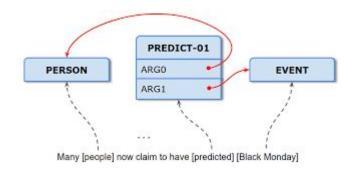
# Information Extraction and Named Entity Recognition



Introducing the tasks:

Getting simple structured information out of text

#### **Information Extraction**

- Information extraction (IE) systems
  - Find and understand limited relevant parts of texts
  - Gather information from many pieces of text
  - Produce a structured representation of relevant information:
    - relations (in the database sense), a.k.a.,
    - a knowledge base
  - Goals:
    - 1. Organize information so that it is useful to people
    - 2. Put information in a semantically precise form that allows further inferences to be made by computer algorithms

# **Information Extraction (IE)**

- IE systems extract clear, factual information
  - Roughly: Who did what to whom when?
- E.g.,
  - Gathering earnings, profits, board members, headquarters, etc. from company reports
    - The headquarters of BHP Billiton Limited, and the global headquarters of the combined BHP Billiton Group, are located in Melbourne, Australia.
    - headquarters("BHP Biliton Limited", "Melbourne, Australia")
  - Learn drug-gene product interactions from medical research literature

#### Low-level information extraction

 Is now available – and I think popular – in applications like Apple or Google mail, and web indexing

```
The Los Altos Robotics Board of Directors is having a potluck dinner Friday
January 6, 2012
and FRC (MVHS
seasons. You are back and it was a

Create New iCal Event...
Show This Date in iCal...

Copy

Create New iCal Event...
Show This Date in iCal...

Copy
```

Often seems to be based on regular expressions and name lists

#### Low-level information extraction



bhp billiton headquarters

Search

About 123,000 results (0.23 seconds)

Everything

Best guess for BHP Billiton Ltd. Headquarters is Melbourne, London

Images

Mentioned on at least 9 websites including wikipedia.org, bhpbilliton.com and bhpbilliton.com - Feedback

Maps

BHP Billiton - Wikipedia, the free encyclopedia

Videos

en.wikipedia.org/wiki/BHP\_Billiton

News

Merger of BHP & Billiton 2001 (creation of a DLC). Headquarters, Melbourne,

Australia (BHP Billiton Limited and BHP Billiton Group) London, United Kingdom ...

Shopping

History - Corporate affairs - Operations - Accidents

- A very important sub-task: find and classify names in text, for example:
  - The decision by the independent MP Andrew Wilkie to withdraw his support for the minority Labor government sounded dramatic but it should not further threaten its stability. When, after the 2010 election, Wilkie, Rob Oakeshott, Tony Windsor and the Greens agreed to support Labor, they gave just two guarantees: confidence and supply.

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Person
Date
Location
Organization

- The uses:
  - Named entities can be indexed, linked off, etc.
  - Sentiment can be attributed to companies or products
  - A lot of IE relations are associations between named entities
  - For question answering, answers are often named entities.
- Concretely:
  - Many web pages tag various entities, with links to bio or topic pages, etc.
    - Reuters' OpenCalais, Evri, AlchemyAPI, Yahoo's Term Extraction, ...
  - Apple/Google/Microsoft/... smart recognizers for document content

# The Named Entity Recognition Task

Task: Predict entities in a text

```
Foreign
        ORG
Ministry ORG
spokesman
                        Standard
Shen
        PER
                       evaluation
                       is per entity,
Guofang PER
                       not per token
told
         0
Reuters
        ORG
```

# Precision/Recall/F1 for IE/NER

- Recall and precision are straightforward for tasks like IR and text categorization, where there is only one grain size (documents)
- The measure behaves a bit funnily for IE/NER when there are boundary errors (which are common):
  - First Bank of Chicago announced earnings ...
- This counts as both a fp and a fn
- Selecting nothing would have been better
- Some other metrics (e.g., MUC scorer) give partial credit (according to complex rules)

## The ML sequence model approach to NER

#### **Training**

- 1. Collect a set of representative training documents
- 2. Label each token for its entity class or other (O)
- 3. Design feature extractors appropriate to the text and classes
- 4. Train a sequence classifier to predict the labels from the data

#### **Testing**

- 1. Receive a set of testing documents
- 2. Run sequence model inference to label each token
- 3. Appropriately output the recognized entities

# **Encoding classes for sequence labeling**

IO encoding IOB encoding

**R\_DFP** 

rieu	PEN	D-PEN
showed	0	0
Sue	PER	B-PER
Mengqiu	PER	I-PER
Huang	PER	I-PER
'S	0	0
new	0	0

DEB

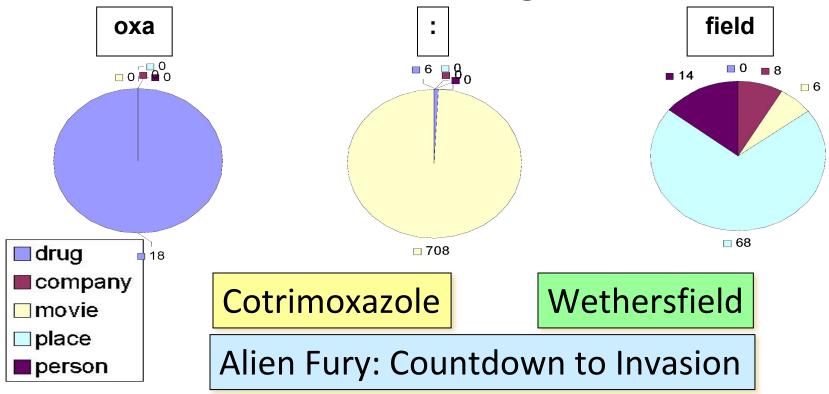
Erad

painting O

# Features for sequence labeling

- Words
  - Current word (essentially like a learned dictionary)
  - Previous/next word (context)
- Other kinds of inferred linguistic classification
  - Part-of-speech tags
- Label context
  - Previous (and perhaps next) label

# **Features: Word substrings**



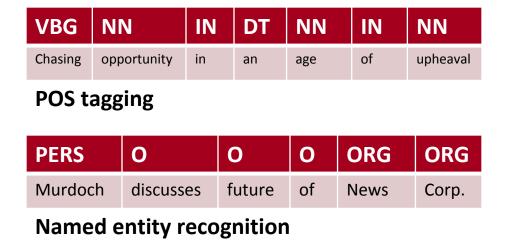
# Features: Word shapes

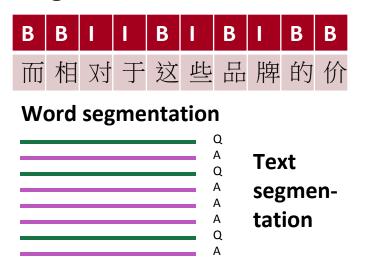
- Word Shapes
  - Map words to simplified representation that encodes attributes such as length, capitalization, numerals, Greek letters, internal punctuation, etc.

Varicella-zoster	Xx-xxx
mRNA	xXXX
CPA1	XXXd

### Sequence problems

- Many problems in NLP have data which is a sequence of characters, words, phrases, lines, or sentences ...
- We can think of our task as one of labeling each item





### **MEMM** inference in systems

- For a Conditional Markov Model (CMM) a.k.a. a Maximum Entropy
  Markov Model (MEMM), the classifier makes a single decision at a time,
  conditioned on evidence from observations and previous decisions
- A larger space of sequences is usually explored via search

#### 

**Features** 

$W_0$	22.6
$\mathbf{W}_{+1}$	%
$\mathbf{W}_{-1}$	fell
T <sub>-1</sub>	VBD
T <sub>-1</sub> -T <sub>-2</sub>	NNP-VBD
hasDigit?	true

(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

## **Example: POS Tagging**

- Scoring individual labeling decisions is no more complex than standard classification decisions
  - We have some assumed labels to use for prior positions
  - We use features of those and the observed data (which can include current, previous, and next words) to predict the current label

**Decision Point** 

#### **Local Context**

-3	-2	-1	0	+1
DT	NNP	VBD	???	???
The	Dow	fell	22.6	%

(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

#### **Features**

$W_0$	22.6
$W_{+1}$	%
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# **Example: POS Tagging**

- POS tagging Features can include:
  - Current, previous, next words in isolation or together.
  - Previous one, two, three tags.
  - Word-internal features: word types, suffixes, dashes, etc.

#### 

22.6

**Features** 

$W_0$	22.6	
$W_{+1}$	%	
$\mathbf{W}_{-1}$	fell	
T <sub>-1</sub>	VBD	
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(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

fell

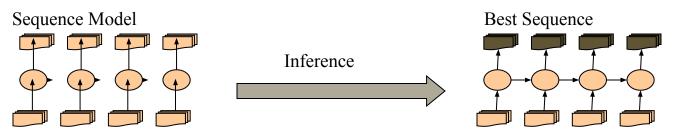
Dow

-3

DT

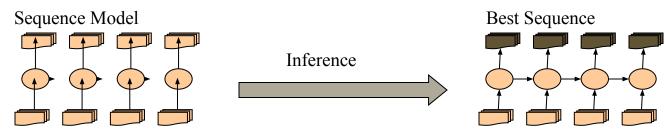
The

### **Greedy Inference**



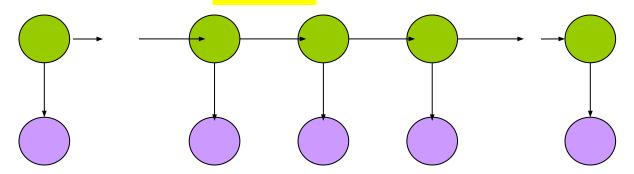
- Greedy inference:
  - We just start at the left, and use our classifier at each position to assign a label
  - The classifier can depend on previous labeling decisions as well as observed data
- Advantages:
  - Fast, no extra memory requirements
  - Very easy to implement
  - With rich features including observations to the right, it may perform quite well
- Disadvantage:
  - Greedy. We make commit errors we cannot recover from

#### **Beam Inference**

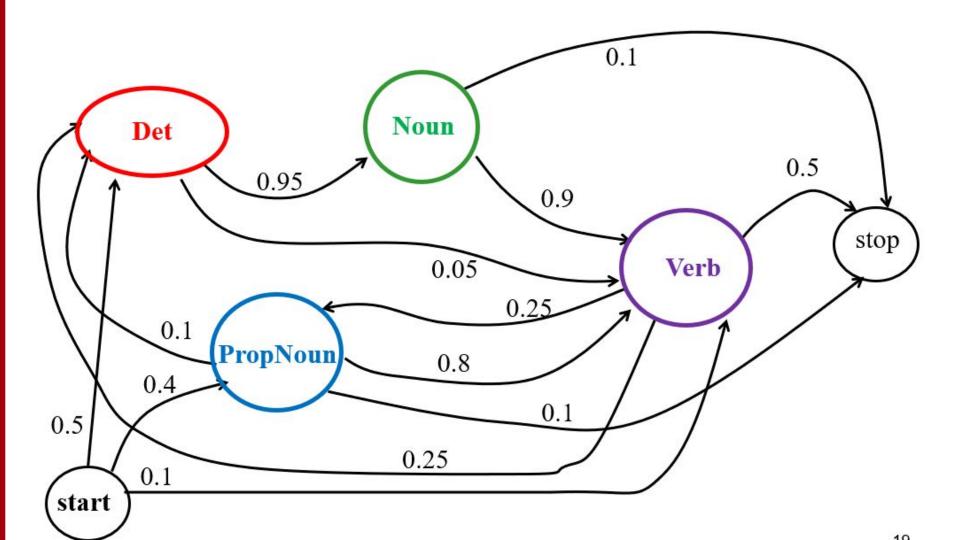


- Beam inference:
  - At each position keep the top k complete sequences.
  - Extend each sequence in each local way.
  - The extensions compete for the k slots at the next position.
- Advantages:
  - Fast; beam sizes of 3–5 are almost as good as exact inference in many cases.
  - Easy to implement (no dynamic programming required).
- Disadvantage:
  - Inexact: the globally best sequence can fall off the beam.

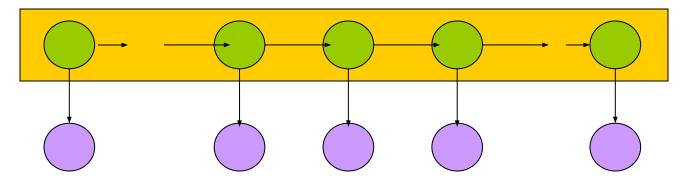
# What is an HMM?



- Graphical Model
- Circles indicate states
- Arrows indicate probabilistic dependencies between states

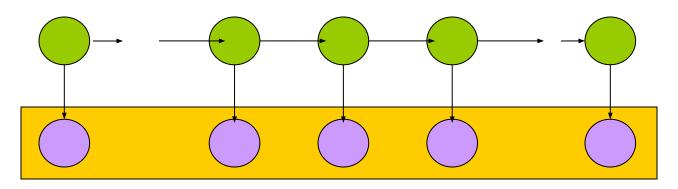


#### What is an HMM?



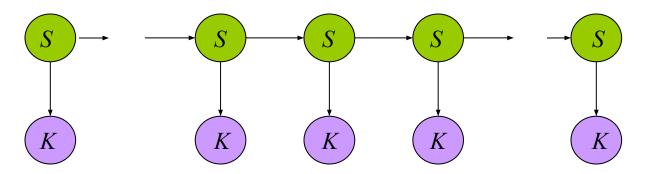
- Green circles are hidden states
- Dependent only on the previous state

#### What is an HMM?



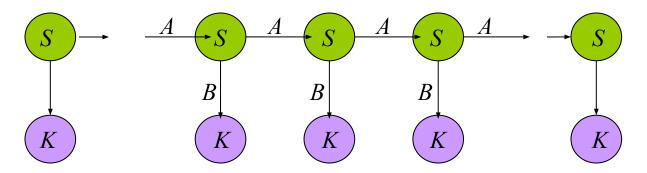
- Purple nodes are observed states
- Dependent only on their corresponding hidden state

#### **HMM Formalism**



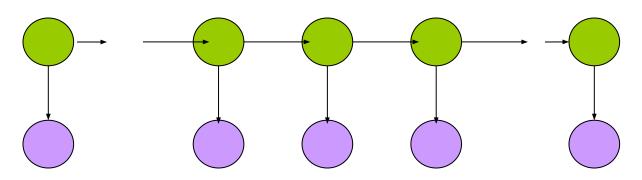
- $\{S, K, \Pi, A, B\}$
- $S: \{s_1...s_N\}$  are the values for the hidden states
- $K: \{k_1...k_M\}$  are the values for the observations

#### **HMM Formalism**



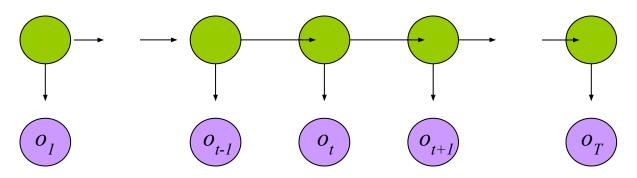
- {S, K,  $\Pi$ , A, B}
- $\Pi = \{\pi_{1}\}$  are the initial state probabilities
- $A = \{a_{ii}\}$  are the state transition probabilities
- $B = \{b_{ik}\}$  are the observation state probabilities

#### Inference in an HMM



- Compute the probability of a given observation sequence
- Given an observation sequence, compute the most likely hidden state sequence
- Given an observation sequence and set of possible models, which model most closely fits the data?

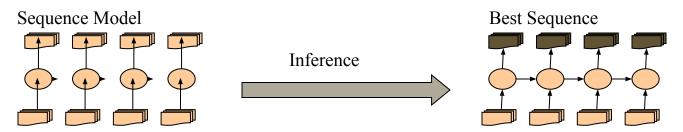
#### **Best State Sequence**



Find the state sequence that best explains the observations

• Viterbi algorithm  $\underset{X}{\operatorname{arg max}} P(X \mid O)$ 

#### Viterbi Inference



- Viterbi inference:
  - · Dynamic programming or memoization.
  - Requires small window of state influence (e.g., past two states are relevant).
- Advantage:
  - Exact: the global best sequence is returned.
- Disadvantage:
  - Harder to implement long-distance state-state interactions (but beam inference tends not to allow long-distance resurrection of sequences anyway).