

# COSC 3320 – Algorithms & Data Structures

## Module 1: Intro to Algorithms

### Chapter 1: Introduction

#### Find the Celebrity Problem

A celebrity among a group of  $n$  people is a person who knows no one but is known by everyone else.

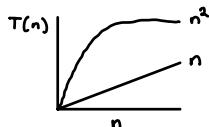
Goal: Identify a celebrity by only asking questions to people of the form:  
"Do you know this person?"

For 1 person: Does  $A$  know  $B$ ?  
 $n \cdot (n-1) \rightarrow n(n-1) = n^2 - n = \Theta(n^2)$

IMPORTANT!! "polynomial in input size"  $\downarrow$  time complexity  $\Theta(n^2)$  - good, but can be better

time complexity graph  $\Theta(n)$  - better for now

- "scaling" with respect to input size

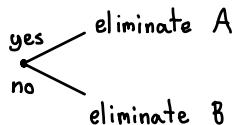


#### Decrease & Conquer Method

• Recursive

ex:

Does  $A$  know  $B$ ?



$n \rightarrow n-1 \rightarrow$  repeat the step

•  $P(n) \rightarrow P(n-1) \rightarrow \dots \rightarrow P(1)$

where  $n' < n$

- reduce the problem into smaller chunks

Once we have:  $n \rightarrow n-1 \rightarrow \dots \rightarrow 1$

$(n-1) \cdot$  First time, "Do you know this person?"

$(n-1) \cdot$  Once there's one last person, ask if they know everyone else

$(n-1) \cdot$  Ask everyone if they know the last person

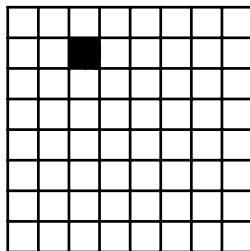
Finally,  $(n-1) + (n-1) + (n-1) = 3n - 3 \rightarrow \Theta(n)$

An even better algorithm is:

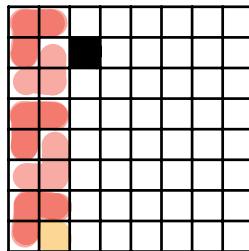
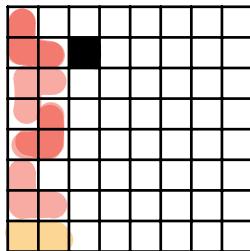
- $3n - 4$
- $3n - \lfloor \log(n) \rfloor - 3$

### Tiling Problem

Given a  $2^n \times 2^n$  board with one missing square, use L-shape to fill the grid.



- Backtracking

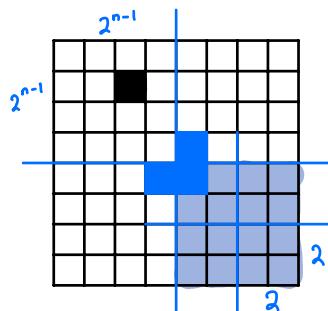


↑  
not going to fit here, so backtrack

- good method, but may take a long time to find a solution

- always find a solution

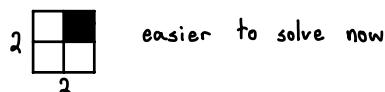
- Use recursion to solve this problem (Divide and Conquer)



$$\text{Note: } 4 \cdot (2^{n-1} \times 2^{n-1}) = 2^n$$

- the problem can be divided into 4 subproblem

If we repeat this  $n-1$  times, we arrive at  $2 \times 2$



easier to solve now

where the base case is  $2^n \times 2^n$ .

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## Chapter 2.1-2.2 : Problem Solving

Is n prime?

1. Understand < input →  $n$ , positive integer  
output → yes/no

• a natural number greater than 1 is prime if it is divisible only by itself and 1

### 2. Problem Solving

- Divide/Decrease & Conquer
- Dynamic Programming
- Greedy

Algorithm - Pseudocode

3. Analysis < characteristic ( proof by induction)  
performance ( time/space complexity )

Is the algorithm efficient? " polynomial in "  
input size

### 4. Coding

PseudoCode

```
prime( $n$ )
  for  $i = 2$  to  $n-1$ 
    if  $i$  divides  $n$  ←  $O(n-1) = O(n)$  time complexity
      return "no"
    return "yes"
```

Is the code efficient?

No, the input size is  $\log_2(n)$  so:

$$O(n)$$
$$n = 2^{\text{input size}} = 2^{\log_2(n)}$$

the time complexity is exponential.

$$i \times \frac{n}{i} = n \rightarrow i = \frac{n}{i}$$
$$i^2 = n$$
$$i = \sqrt{n}$$

```

prime(n)
for i = 2 to  $\lfloor \sqrt{n} \rfloor$ 
    if i divides n
        return "no"
    return "yes"

```



$\sqrt{n}$  is closer to 1

Is the code efficient?

$$n = 2^b \text{ where } b = \log_2(n)$$

Kind of, but not really.

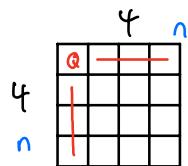
$$\sqrt{n} = \sqrt{2^b} = (\sqrt{2})^b = (1.414)^b$$

$$(1.414)^b < 2^b$$

$(1.414)^b$  is still exponential, not polynomial.

Prime algorithm should aim for  $\mathcal{O}\left(\frac{n}{\log(n)}\right)$  ?

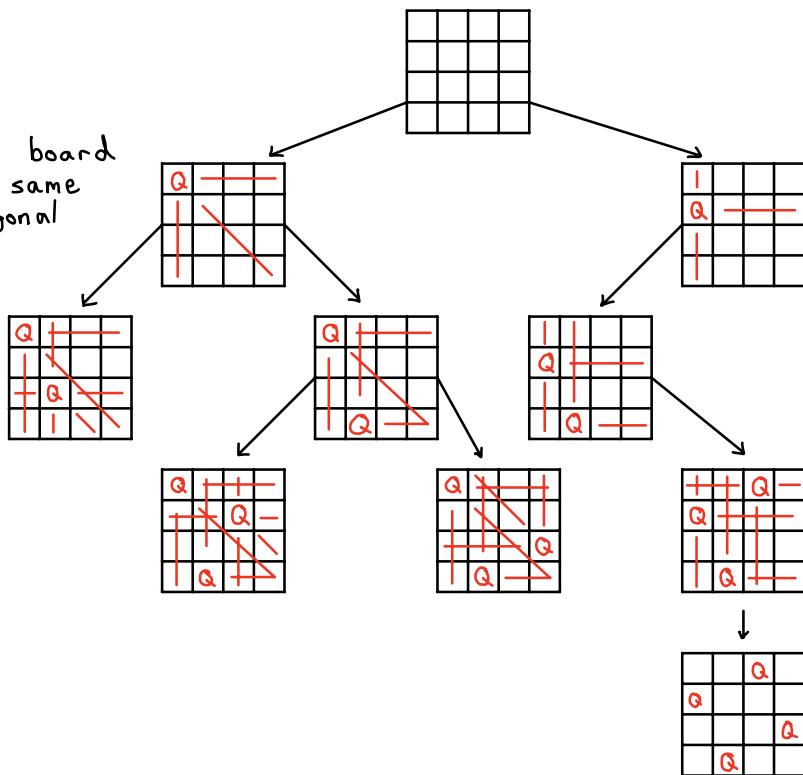
### n-Queens problem



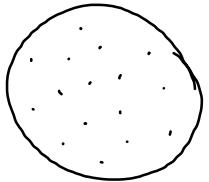
use backtrack to solve

Place queens on the board without being on the same row, column, or diagonal

$$\binom{n^2}{n} > \left(\frac{n^2}{n}\right)^n = n^n$$



General format:



Find a specific dot.

You can check each individual dot, but not very efficient.

(aka. Brute force method)

Naive Algorithm:

- The Integer Square Root Problem

Find  $\sqrt{n}$   
↓  
| . . . . . n

Pseudo Code:

Is SQRT(n):

```
for i = 1 to n  
    if  $i^2 \leq n$  and  $(i+1)^2 > n$   
        return i
```

$O(\sqrt{n})$   
not efficient

### Binary Search

- Go to the mid-point
- Choose right or left
- Reduce by a factor of 2
- Find:  $x^2 \leq n$  and  $(x+1)^2 \geq n$

$$O(\sqrt{n}) \quad \sqrt{n} = 2^{\frac{1}{2} \log_2(n)} = (\sqrt{2})^{\log_2(n)}$$

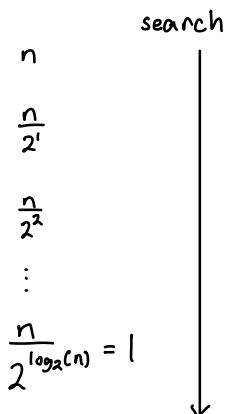
input size

Pseudo Code

search( low, high, n ):

$$\text{mid} = \left\lfloor \frac{\text{low} + \text{high}}{2} \right\rfloor$$

termination/ base case {  
if  $\text{mid}^2 \leq n$  and  $(\text{mid} + 1)^2 \geq n$   
return mid

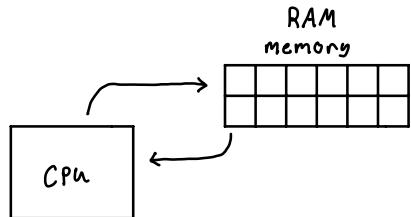


recursive {  
else if  $\text{mid}^2 < n$   
 search( mid, high, n )  
else  
 search( mid, low, n )

$$O(\sqrt{n}) > O(\log_2(n))$$

## RAM Model

Sequential computation



1. CPU  
one step takes one operation
2. Read/Write  
one memory CPU per unit step

Complexity = # of operations

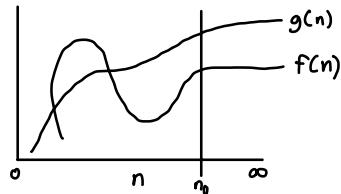
## Chapter 2.5: Big - O

### Big-O Notation:

Definition: Let  $f(n)$  and  $g(n)$  be positive functions.

$f(n)$  is Big - O of  $g(n)$ , or  
 $f(n) = O(g(n))$

• Upper bound is Big - O



If there exist positive constants  $c$  and  $n_0$ , for all  $n \geq n_0$   
 $f(n) \leq c g(n)$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq c$ , then  $f(n) = O(g(n))$

$n$     $O(1)$     $O(\log n)$     $O(n)$     $O(n \log n)$     $O(n^2)$     $O(2^n)$     $O(n!)$

### Big-Ω Notation:

Fact:  $g(n) = O(f(n))$  is the same as  $f(n) = \Omega(g(n))$

Definition: Let  $f(n)$  and  $g(n)$  be positive functions.

$f(n)$  is Big -  $\Omega$  of  $g(n)$   
 $f(n) = \Omega(g(n))$

• Lower bound is Big -  $\Omega$

If there exist positive constants  $c$  and  $n_0$ , for all  $n \geq n_0$   
 $f(n) \geq c g(n)$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \geq c$ , then  $f(n) = \Omega(g(n))$

### Big - Θ Notation

Definition: Let  $f(n)$  and  $g(n)$  be positive functions.

$$f(n) \text{ is Big-} \Theta \text{ of } g(n)$$
$$f(n) = \Theta(g(n))$$

If there exist positive constants  $c_1, c_2$ , and  $n_0$ , for all  $n \geq n_0$ ,  
 $c_1 g(n) \leq f(n) \leq c_2 g(n)$

If  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ , then  $f(n) = \Theta(g(n))$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ , then  $f(n) = \Theta(g(n))$

Ex:

$$\begin{aligned} f(n) &= n \log(n) \\ g(n) &= n^2 \end{aligned}$$
$$\lim_{n \rightarrow \infty} \frac{n \log(n)}{n^2} = \lim_{n \rightarrow \infty} \frac{\frac{d}{dn} \log(n)}{2n} = \lim_{n \rightarrow \infty} \frac{1/n}{2} = \lim_{n \rightarrow \infty} \frac{1}{2n} = 0$$

so,  $f(n) = O(g(n))$  and  
 $f(n) = \Theta(g(n))$

### little-o Notation

$$f(n) = o(g(n))$$

$$f(n) < c g(n) \text{ for all } c > 0$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

### little-w Notation

$$f(n) = w(g(n))$$

$$f(n) > c g(n) \text{ for all } c > 0$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

### Theorem:

Suppose  $\log(f(n)) \leq c \log(g(n))$  for some  $0 \leq c \leq 1$

$$f(n) = O(g(n))$$

If  $\log(f(n)) = o(\log(g(n)))$ , then  $f(n) = o(g(n))$

### Runtime Table

| Runtime         | Terminology |
|-----------------|-------------|
| $O(n)$          | Linear      |
| $O(n^2)$        | Quadratic   |
| $O(n^c), c > 1$ | Polynomial  |
| $O(c^n), c > 1$ | Exponential |
| $O(\log(n))$    | Logarithmic |

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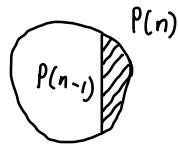
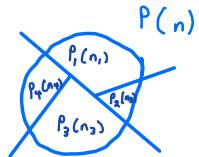
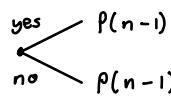
## Chapter 3 : Mathematical Induction

### Celebrity Problem

- Decrease and Conquer algorithm

$P(n)$  : celebrity in  $n$  people

A knows B ?



$P(1)$  : ASSUME  $n=1$  is the celebrity

$$P(n) \rightarrow P(n-1) \rightarrow P(n-2) \rightarrow \dots \rightarrow P(1) \checkmark$$

### Proof by Induction

Base Case:  $n=1$  (vacuously true)

Induction Step:

Induction hypothesis: Assume that the strategy is correct for  $k$  people.  
We will show that the strategy is correct for  $k+1$  people.

Applying the strategy for  $k+1$ , we eliminate 1 person.  
It is clear that the eliminated person cannot be a celebrity. Thus, if there is a celebrity, then it has to be among the remaining  $k$  people.

Hence the strategy is correct for  $k+1$  people.  $\square$

## Tiling Problem

- Divide and Conquer algorithm

- Number of tiles needed to cover a  $2^n \times 2^n$  grid with a missing tile

$$\begin{array}{|c|} \hline 1 \\ \hline 2 & 3 \\ \hline \end{array} \quad \frac{(2^n)(2^n) - 1}{3} = \frac{4^n - 1}{3}$$

### • Recurrence Technique

- reduce to 4 subproblems of size  $2^{n-1} \times 2^{n-1}$

$T(n)$  in terms of  $T(n-1)$

$T(n) = 4T(n-1) + 1$ , where  $T(n-1)$  is a  $2^{n-1} \times 2^{n-1}$  grid (with 1 missing tile)  
 $+1$  is the 1 tile placed in the middle

- What about the Base Case?



Theorem: The solution to the recurrence  $T(n) = 4T(n-1) + 1$ , with  $T(1) = 1$  is  $T(n) = \frac{4^n - 1}{3}$

## Proof by Induction

Base Case:  $n=1$   
 $T(1) = \frac{4^1 - 1}{3} = 1$ , which is true.

Induction Step:

Inductive hypothesis: Assume that the statement is true for  $k$ .  
We will prove that it holds for  $k+1$ .

By the recurrence and the induction hypothesis,

$$\begin{aligned} T(k) &= \frac{4^k - 1}{3} & T(k+1) &= 4T(k+1-1) + 1 \\ &&&= 4T(k) + 1 \\ &&&= 4\left(\frac{4^k - 1}{3}\right) + 1 \\ &&&= \frac{4^{k+1} - 4}{3} + \frac{3}{3} \\ &&&= \frac{4^{k+1} - 4 + 3}{3} \\ &&&= \frac{4^{k+1} - 1}{3} \quad \square \end{aligned}$$

We want to  
find  $T(k+1)$   
 $\frac{4^{k+1} - 1}{3}$

## Chapter 4: Recursion

### GCD

- greatest common divisor
- $\text{gcd}(a, b)$
- Euclid's algorithm

$$\begin{aligned} a &\geq 0 \\ b &\geq 0 \\ a &\geq b \\ (a \bmod b) &< b \end{aligned}$$

$$\begin{aligned} \text{gcd}(a, b) &= \text{gcd}(b, a \bmod b) \\ &= \text{gcd}(a \bmod b, b \bmod (a \bmod b)) \end{aligned}$$

PseudoCode

$\text{gcd}(a, b)$ :

```
termination { if b = 0
               return a
recursive   { else
               return gcd(b, a mod b)
```

$$b \xrightarrow{2} \frac{b}{2} \xrightarrow{2} \frac{b}{4} \rightarrow \dots \rightarrow 1 = O(\log(b))$$

$$\text{Case 1: } a \bmod b < \frac{b}{2}$$

$$\text{Case 2: } a \bmod b \geq \frac{b}{2} \xrightarrow{1} < \frac{b}{2}$$

$$O(\log(b)) \leftarrow 2\lceil\log(b)\rceil + 1$$

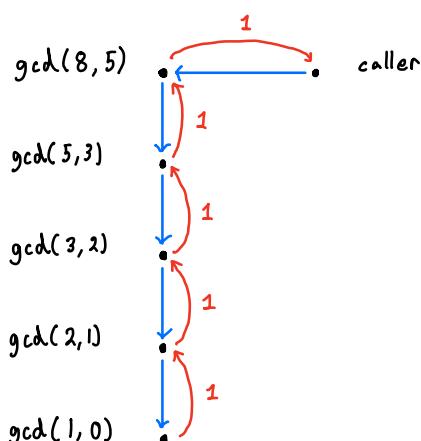
Recursion flow diagram:

$a = 8$  and  $b = 5$

Recursive Call

Return Value

• Also called Recursion Tree



• termination call = "base case"

## Search for the MAX element

Given an array  $S = [n_0, n_1, \dots, n_{\text{elements}}]$ , find max value in  $S$ .

- Sequential search

- compares each element with the current max
- sequential order ( $1^{\text{st}}, 2^{\text{nd}}, 3^{\text{rd}}, \dots, n^{\text{th}}$ )

Pseudo Code

$\text{MAX}(S)$ :

```
max = S[1]
for i = 2 to n
    if max < S[i] → n comparisons
        max = S[i]
return max
```

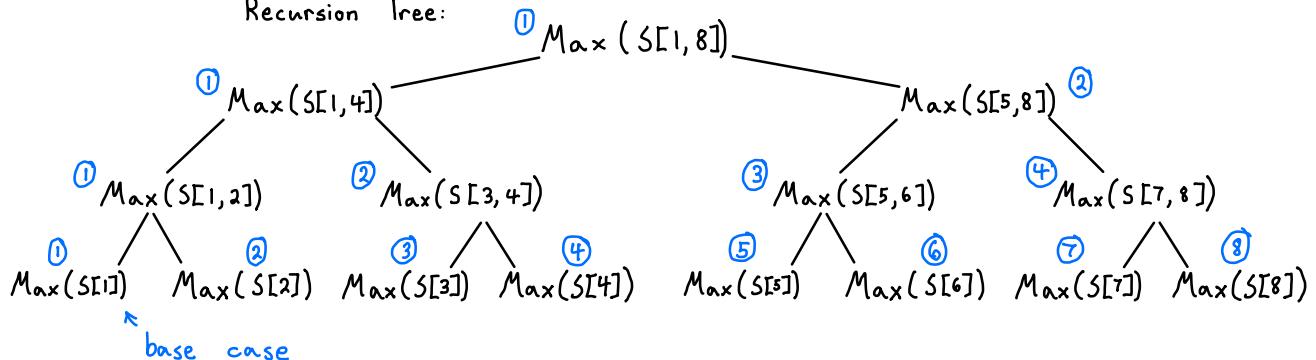
Time complexity:  $O(n)$   
· good time

## Divide and Conquer Strategy

1. Split the problem into smaller independent subproblems
2. Recursively solve the subproblems
3. Combine the solutions of the subproblems to get the solution of the original problem

1. Split: array  $S \rightarrow$  two (disjoint) equal parts

Recursion Tree:



2. Solve: find max for both parts ( $\text{max}_1$  and  $\text{max}_2$ ) recursively

3. Combine: maximum for  $S$  will be maximum between  $\text{max}_1$  and  $\text{max}_2$

Pseudo Code

```

MAXR ( S, a, b):
    start index ↓
    if a = b
        return S[a] } |S| = 1
    end index ↗

    mid point → m = ⌊(a+b) / 2⌋
    1st half → max1 = MAXR (S, a, m)
    2nd half → max2 = MAXR (S, m+1, b) } LT( $\frac{n}{2}$ ) } RT( $\frac{n}{2}$ )
    if max1 > max2 } |
        return max1
    else
        return max2

```

- Call  $\text{MAXR}(S, 1, n)$  to solve the original problem

### Proof by Induction

Base Case:  $|S| = 1$

Algorithm works because there's only one element, so that's the maximum.

Inductive Step:

I.H. → Assume that the algorithm is correct for all sets of size less than  $n$  ( $1 \dots n$ ).

We want to show that the algorithm is correct for an input of  $n+1$  elements.  
 $(\text{MAXR}(S)$  is the max of  $S$  when  $|S| = n$ ).

By the induction hypothesis,  $\text{max}_1$  and  $\text{max}_2$  are the correct max values of the  $S[a \dots m]$  and  $S[m+1 \dots b]$ ; since they are of size less than  $n$ .

Hence the maximum of  $\text{max}_1$  and  $\text{max}_2$  must be the maximum of  $S[1 \dots n]$ .  $\square$

### Running Time

• Cost = number of comparison operations

1 comparison operation : 1 recursive call

• Run time = number of recursive calls

• Recursive calls = size of the recursive tree or number of nodes

ex:  $S[1 \dots 8]$

$$\begin{aligned} |S[1 \dots 8]| &= 15 = 1 \cdot 2 \cdot 4 \cdot 8 \\ &= 2^0 \cdot 2^1 \cdot 2^2 \cdot 2^3 \\ &= 2^4 - 1 \end{aligned}$$

General format:

$$|S[1 \dots 2^k]| = 2^0 + 2^1 + 2^2 + \dots + 2^k = 2^{k+1} - 1 \leq 2(2^k)$$

$2n = O(n)$ , where  $n = 2^k$  is the size of the array

$O(n)$  = run time is proportional to the size of the array

### Analyzing Recursive Algorithms

- Count the number of comparisons

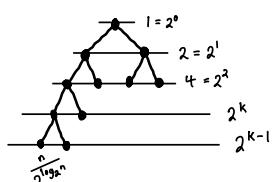
$T(n)$  = number of comparisons on an input array size  $n$  for MAXR

$$T(n) = 2T\left(\frac{n}{2}\right) + 1$$

With base case:  $T(1) = 0$

- Solving Recurrence (Unwinding)

even size of  $n$   $\rightarrow$  Assume  $n$  is  $n = 2^k$ , for some  $k$ .



$$\begin{aligned} T(2^k) &= 2T\left(\frac{2^k}{2}\right) + 1 = 2T(2^k \cdot 2^{-1}) + 1 = 2T(2^{k-1}) + 1 \\ &= 2(2T(2^{k-2}) + 1) + 1 = 2^2 T(2^{k-2}) + 2 + 1 \\ &= 2^2 (2T(2^{k-3}) + 1) + 2 + 1 = 2^3 T(2^{k-3}) + 2^2 + 2 + 1 \\ &= 2^k T(1) + 2^{k-1} + \dots + 1 \\ &= 2^{k-1} + 2^{k-2} + \dots + 2 + 1 = \sum_{i=0}^{k-1} 2^i, \text{ where } k = \log_2 n \end{aligned}$$

Geometric Series Reminder:

$$\sum_{i=0}^{k-1} x^i = 1 + x + \dots + x^{k-1} = \frac{x^k - 1}{x - 1} \quad \text{memorize}$$

Therefore,

$$T(n) = \sum_{i=0}^{k-1} 2^i = \frac{2^k - 1}{2 - 1} = 2^k - 1$$

$$T(2^k) = 2^k - 1 = n - 1 = O(n)$$

## Solving Recurrences

### Guess and Verify

- Use induction to **guess the correct solution**
- Use induction (again) to **prove that it is correct**

Solve MAXR:

"Real" Recurrence is  $T(n) = T\left(\lfloor \frac{n}{2} \rfloor\right) + T\left(\lceil \frac{n}{2} \rceil\right) + 1$

Guess  $T(n) = O(n)$ .

- ① Show that  $T(n) \leq cn$ , for some constant  
I.H.: Assume that  $T(k) \leq ck$  for all  $k \leq n$ .

$$T(n) \leq c\left\lfloor \frac{n}{2} \right\rfloor + c\left\lceil \frac{n}{2} \right\rceil + 1$$

$= cn + 1$   
which doesn't prove our hypothesis.

- ② Show that  $T(n) \leq cn - b$ ,  $\forall n \geq 1$ , for some constant  $c$  and  $b$ .  
I.H.: Assume that  $T(k) \leq ck - b$  for all  $k < n$ .

$$\begin{aligned} T(n) &\leq \left(c\left\lfloor \frac{n}{2} \right\rfloor - b\right) + \left(c\left\lceil \frac{n}{2} \right\rceil - b\right) + 1 \\ &= cn - 2b - 1 \\ cn - 2b - 1 &\leq cn - b, \text{ if } b \geq 1 \end{aligned}$$

In MAXR,  $T(1) = 0$ . We choose  $c=1$  and  $b=1$ , which satisfies the base case.  
Thus, we have shown  $T(n) \leq n - 1$  for all  $n$ .

## Module 2: Divide and Conquer

### Chapter 5.1: Sorting

#### Sorting Problem

$$A = \boxed{\begin{array}{|c|c|c|c|c|} \hline a & & & & b \\ \hline \end{array}}$$

$x_1 \leq x_2 \leq \dots \leq x_n$

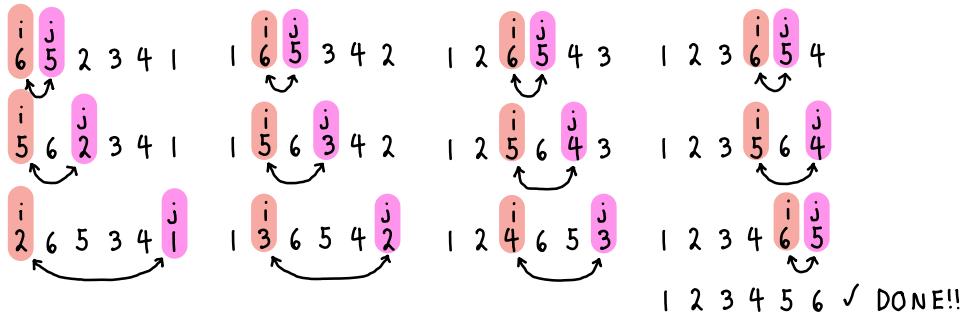
"Is  $x_1 \leq x_2$ ?"

We want make an algorithm with the least comparison operations as possible.

Goal:  $O(n \log(n))$  comparisons

## Simple Sort

- Find the first and second smallest element, then swap positions



PseudoCode  
function SIMPLESORT( $A$ ):

```
for i = 1 to n
    for j = i + 1 to n
        if  $A[j] < A[i]$  →  $n \cdot n$  comparisons =  $\frac{n(n+1)}{2} = O(n^2)$ 
            swap( $A[i], A[j]$ )
```

## Time

$$n = 2^{30}$$

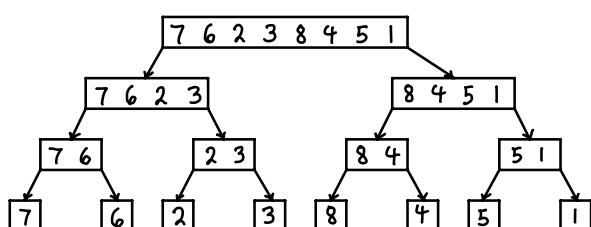
$$\begin{aligned} n^2 &\text{ or } \log(n) \\ (2^{30})^2 &\quad \log(2^{30}) \\ 2^{60} &> 30 \log(2), \text{ so } O(n \log n) \text{ comparisons is more efficient!!} \end{aligned}$$

## Merge Sort

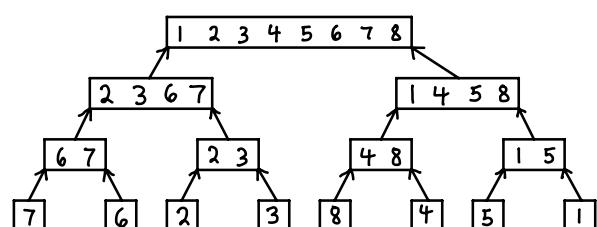
- Divide and Conquer Strategy

- Split the array into two equal halves
- Recursively sort the two subarrays independently
- Combine both arrays by merging into one sorted array

Top-down  
Recursion

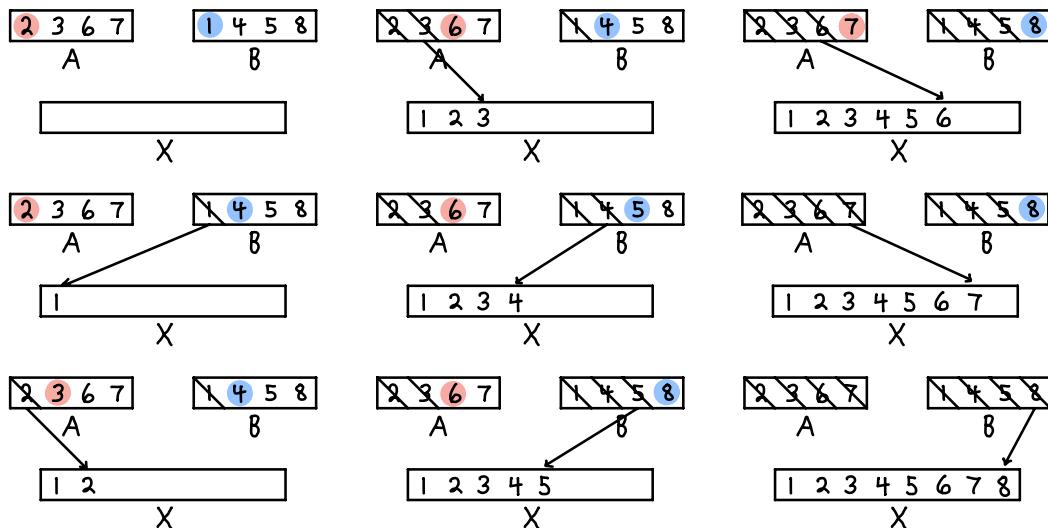


Bottom-up  
Merging



## Merge

- Takes as input two sorted arrays A and B
- Outputs a merged sorted array X
- X is initially an empty array



## Run Time

Merge : If A and B have sizes of  $k_1$  and  $k_2$ , then there will be no more than  $k_1 + k_2$  comparisons  
 ↓  
 one comparison per adding one new element to X

Merge Sort : Assume n is a power of 2

number of comparisons  $\rightarrow T(n) \leq 2T\left(\frac{n}{2}\right) + n$ , with base case:  $T(1) = 0$

Using DC Recurrence Theorem,  $T(n) = O(n \log n)$ .

## PseudoCode

```

function MERGESORT(X, a, b):
    if a < b
        mid =  $\lceil \frac{a+b}{2} \rceil$ 
        MERGESORT(X, a, mid-1) # 1st half
        MERGESORT(X, mid, b) # 2nd half
        MERGE(X, a, b) # merge into X
    
```

```

function MERGE(X, a, b):
    i = a      # i = index of the 1st half
    mid = ⌈ $\frac{a+b}{2}$ ⌉
    j = mid   # j = index of the 2nd half

    while i < j and j ≤ b
        if X[i] ≤ X[j]
            Temp[i + j] = X[i]  # copy from 1st half to Temp
            i = i + 1
        else
            Temp[i + j] = X[j]  # copy from 2nd half to Temp
            j = j + 1

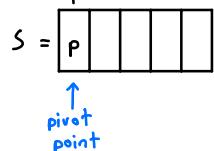
        if j > b      # if second half finishes first
            for t=0 to mid-1 # shift elements from 1st half to 2nd half
                X[b-t] = X[mid-1-t]

    for t=0 to i+j-1
        X[a+t] = Temp[t]

```

### Quick Sort

- Divide <sub>1<sup>st</sup></sub> and Conquer Strategy



1. Split the array into 2 sub arrays  
- partitioned based on p       $\downarrow$   
 $S_1 \leq [p] < S_2$
2. Recursively sort  $S_1$  and  $S_2$  ( $n-1$  comparisons)
3. Concatenate all of the arrays       $S_1 + [p] + S_2$

### PseudoCode

```
function QUICKSORT(S):
```

```

if |S| ≤ 1
    return S
else
    p = S[1]  # 1st element of S is the pivot point
    S1 = {x ∈ S - {p} | x < p}
    S2 = {x ∈ S - {p} | x > p}
    return QUICKSORT(S1) + [p] + QUICKSORT(S2)

```

## Run Time Analysis

- Worst-case is  $T(n) = O(n^2)$

Proof.  $T(n) \leq \max_{0 \leq k \leq n-1} \{T(k) + T(n-k-1)\} + n - 1$

The pivot,  $k$ , partitions array of size,  $n$ , into 2 parts:

- $S_1$  of size  $k$
- $S_2$  of size  $n - k - 1$

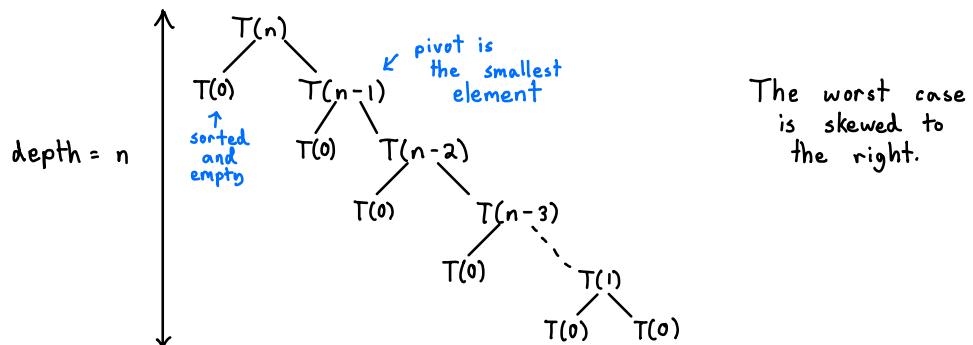
If the pivot is the median, then  $k \approx \frac{n}{2}$

If the pivot is the largest element, then  $k = n - 1$

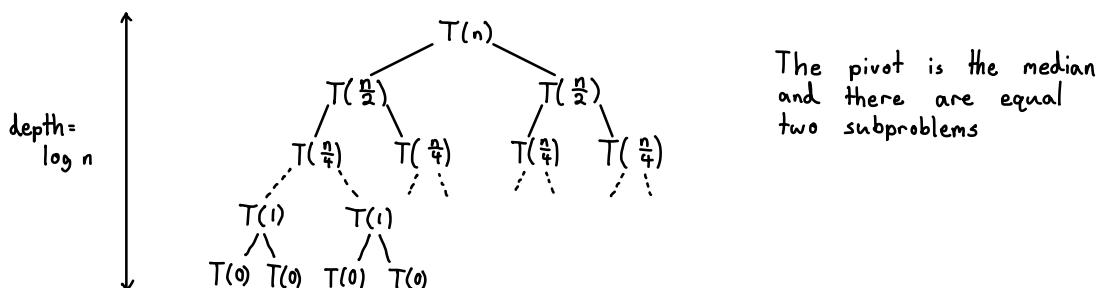
If the pivot is the smallest element, then  $k = 0$

The worst case is when partition produces one subproblem of size  $n-1$  and size  $0$ , which leads to maximum imbalance

Since partition costs  $n-1$  comparisons,  $T(n) = T(0) + T(n-1) + n - 1$   
 $= T(n-1) + n - 1$   
with  $T(0) = 0$ . Solving gives us  $T(n) = O(n^2)$



- Best-case is  $T(n) = O(n \log n)$



By DC Recurrence Theorem, the recurrence is  $T(n) \leq 2T(\frac{n}{2}) + n$ , and  
 $T(n) = O(n \log n)$

- Average-case is  $O(n \log n)$

## Chapter 5.2 Selection

Given an array  $S$ , we want to find the  $k$ th smallest element.

- Find a pivot point which will partition  $S$  into groups of 5 elements
- There will be  $\lceil \frac{n}{5} \rceil$  medians for each group
- Recursively find the median of the  $\lceil \frac{n}{5} \rceil$  medians
- "median of medians" is a good pivot point, that partitions  $S$  into approximately balanced sets
- $|S_1| = k-1$ , then pivot is the  $k$ th element

### PseudoCode

```
function Select( $S, k$ ):
```

```
    if  $|S| = 1$ 
        return  $S[1]$ 
```

```
# Partition  $S$  into  $\lceil \frac{n}{5} \rceil$  groups of 5 elements each and a leftover
# group of up to 4 elements
```

```
# Find the median of each group
```

```
 $R = \text{set of these } \lceil \frac{n}{5} \rceil \text{ medians}$ 
```

```
 $p = \text{Select}(R, \lceil \frac{|R|}{2} \rceil) \rightarrow \frac{7n}{10} + 6 \text{ elements}$ 
```

```
 $S_1 = \{x \in S \mid x < p\}$ 
```

```
 $S_2 = \{x \in S \mid x > p\}$ 
```

```
if  $|S_1| = k-1$ 
```

```
    return  $p$ 
```

```
else if  $|S_1| > k-1$ 
```

```
    return Select( $S_1, k$ )
```

```
else
```

```
    return Select( $S_2, k - |S_1| - 1$ )
```

### Run Time

$$p \geq s$$

$$\text{at least } 3 \text{ elements} > p \quad 3 \left( \frac{1}{2} \lceil \frac{n}{5} \rceil - 2 \right) \geq \frac{3n}{10} - 6$$

half of the group

The number of comparisons is bounded by  $\alpha n$ , for some constant  $\alpha > 0$

$$T(n) \leq T\left(\lceil \frac{n}{5} \rceil\right) + T\left(\frac{7n}{10} + 6\right) + \alpha n$$

By induction, assume  $T(n) \leq cn$  for some constant  $c > 0$ .

$$T(n) \leq c\left(\frac{n}{5} + 1\right) + c\left(\frac{7n}{10} + 6\right) + \alpha n$$

$$T(n) \leq \frac{9cn}{10} + 7c + \alpha n$$

$$T(n) \leq cn, \text{ if } n > 70$$

Thus,  $T(k) = O(1)$ , for  $k \leq 70$   $\leftarrow$  base case

### Chapter 5.3 Multiply two numbers

$x$  and  $y$  be 2  $n$ -bit numbers

$$\begin{array}{rcl} x = & \overbrace{10010111}^n \\ y = & \overbrace{01101110}^n \\ \hline x & & \end{array} \quad \begin{array}{rcl} 5 & 6 & 2 & 4 & 9 \\ \hline 2 & 1 & 1 & 0 & 0 x \\ \hline & & & & n \end{array} \quad \begin{array}{l} \text{O}(n^2), \text{ multiplication} \\ \downarrow \end{array}$$

Assume  $n = 2^n$ , where both numbers are split into  $n/2$  bits

$$x = 2^{n/2} x_1 + x_2$$

$$y = 2^{n/2} y_1 + y_2$$

$$xy = 2^n(x_1y_1) + 2^{n/2}(x_1y_2 + x_2y_1) + x_2y_2$$

The recurrence is  $T(n) = 4T(n/2) + O(n)$  with  $T(1) = O(1)$ .  
By DC Recurrence Theorem,  $T(n) = O(n^2)$ .

Use Gauss' idea to improve Big-O,

$$(x_1 + x_2)(y_1 + y_2) = x_1y_1 + x_1y_2 + x_2y_1 + x_2y_2$$

$$x_1y_2 + x_2y_1 = \underbrace{(x_1 + x_2)(y_1 + y_2)}_1 - \underbrace{x_1y_1}_2 - \underbrace{x_2y_2}_3$$

The recurrence will be  $T(n) = 3T(n/2) + O(n)$ .  
By the DC Recurrence Theorem,  $O(n^{\log_2 3}) = O(n^{1.585}) < O(n^2) \checkmark$

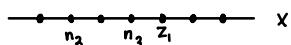
### Chapter 5.5 Closest Pair

$$\begin{array}{ll} n \text{ points: } & x = (x_1, x_2) \\ & y = (y_1, y_2) \end{array} \quad \binom{n}{2} \text{ pairs} = \frac{n(n-1)}{2} = O(n^2)$$

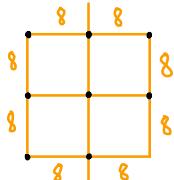
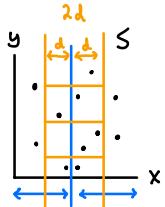
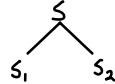
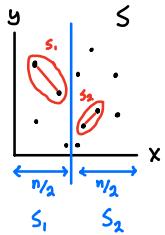
$$\text{dist}(x, y) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$$

Solve with  $O(n \log n)$ :

1D



2D



$$T(n) = 2T(\frac{n}{2}) + O(n)$$

$$O(n \log n)$$

$$T(n) = 2T(\frac{n}{2}) + O(n \log n)$$

$$T(n) = O(n \log^2 n)$$

Main equation:  $O(n \log^{d-1} n)$

## Module 3: Dynamic Programming

### General Idea



ex: Fibonacci Numbers

0, 1, 1, 2, 3, 5, 8

$F_n$  = nth Fibonacci

$F_0 = 0$

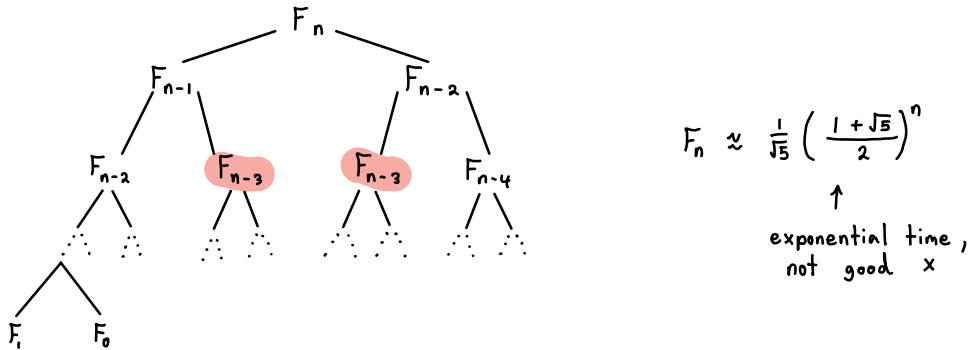
$F_1 = 1$

recursive  $\rightarrow F_n = F_{n-1} + F_{n-2}$   $n \geq 2$

function Fib(n):

```
if n ≤ 1
    return n
else
    return Fib(n-1) + Fib(n-2)
```

Fib(100) is too big  
to terminate  $\infty$



$F_{n-3}$  is overlapping, so solve it only once lol

use  
↓

### Table Look-Up Strategy

$$F[0 \dots n] = \{-1\}$$

function Fib(n):

if  $F[n] \neq -1$   
return  $F[n]$

$O(n) < O(n!)$   
SO MUCH  
FASTER!!!

else if  $n \leq 1$   
return  $n$

else  
 $F[n] = Fib(n-1) + Fib(n-2)$   
return  $F[n]$

Top down recursion

### Bottom-Up

function Fib(n):

$$F[0 \dots n] = \{0, 1, 0, \dots, 0\}$$

for  $i = 2$  to  $n$

$$F[i] = F[i-1] + F[i-2]$$

bottom up DP or  
iterative

return  $F[n]$

Also,  $O(n)$

### Chapter 6.3 Maxsum Problem

$$A = \{ 5, -1, 6, -2, -8, 8, 10, -9, 20 \} \quad n = 9$$

$$1 \leq i \leq j \leq n$$

$$\text{Maximize: } A[i] + A[i+1] + \dots + A[j]$$

$$\text{Max value} = 29$$

#### Feasible Solution

$$\binom{n}{2}n = O(n^2)$$

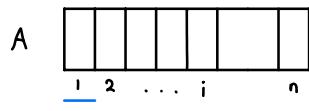
$$A = \{ 5, -1, 6, -2, -8, 8, 10, -9, 20 \}$$

split in half  $\rightarrow O(n \log n)$

#### ① Get the subproblems

- the smaller number of subproblems, the better

$$M(n) \rightarrow \text{maxsums for } A[1 \dots n]$$



$$M(i): \text{maxsums for } A[1 \dots i] \quad 1 \leq i \leq n$$

$$M(1) = A[1]$$

$M^{in}(i) = \text{max value for } A[1 \dots i] \text{ which includes } A[i]$

$M^{out}(i) = \text{max value for } A[1 \dots i] \text{ which excludes } A[i]$

#### ② Recursive

$$M[i] = \max(M^{in}[i], M^{out}[i])$$

$$M^{in}[i] = \max(M^{in}[i-1] + A[i], A[i])$$

$$M^{out}[i] = M[i-1]$$

$$M^{in}[1] = A[1]$$

$$M^{out}[1] = -\infty$$

### ③ Pseudo Code

```
function MAXSUMDP(A):  
    M[1] = A[1]  
    Min[1] = A[1]  
  
    for i = 2 to n  
        Mout[i] = M[i-1]  
        Min[i] = max(Min[i-1] + A[i], A[i])  
        M[i] = max(Min[i], Mout[i])  
  
    return M[n]
```

#### Cache Table Strategy

- Another name for table look-up strategy

[-1, -1, 3, -2, 5, -1, 100, -1000]

min: -1 -1 3 1 6 5 105 -895

- add the previous element to the current element
- compare sums and take the minimum

table: F F F T T T T T

- find the largest sum and stop until the smallest positive sum is found

$M^{in} : A(i) = \max(A_{in}(i-1) + A[i], A[i])$   
 $A_{in}(0) = A[0]$

- Pseudo Code

```
cache = {0: A[0]}  
  
function Min(i):  
  
    if i is not in cache:  
        cache[i] = max(Min(i-1) + A[i], A[i])  
  
    return cache[i]
```

## Chapter 6.4 Matrix Chain Multiplication

Example:  $|A_1| = 10 \times 1000$   
 $|A_2| = 1000 \times 100$   
 $|A_3| = 100 \times 200$

$$(A_1 A_2) A_3 = 10 \times 1000 \times 100 + 10 \times 100 \times 200 = 1,200,000 \text{ costs}$$

$$A_1 (A_2 A_3) = 1000 \times 100 \times 200 + 10 \times 1000 \times 200 = 22,000,000 \text{ costs}$$

The recurrence is  $P(n) = \sum_{k=1}^{n-1} P(k) P(n-k)$ ,  $n \geq 2$   
 ↑  
 number of different ways of  
 parenthesizing a product of  
 n matrices

$$P(1) = 1 \quad P(n) = \Omega(2^n),$$

where  $P(n)$  is  
exponential in  $n$

For  $1 \leq i \leq j \leq n$ ,  $m[i, j]$  be the minimum cost of parenthesizing  
 the product  $A_i A_{i+1} \dots A_j$

$$m[i, j] = \begin{cases} 0 & i=j \\ \min_{i \leq k < j} \{ m[i, j] + m[k+1, j] + p_{i-1} p_k p_j \} & i < j \end{cases}$$

### Recursive formulation

Base Case:  $i = j$  (aka. trivially true)

Recursive Step:  $i < j$

The inductive hypothesis is that the recursive formulation is  
 correct for all  $\ell$  such  $j - i < \ell$ .  
 ↑  
 length of the chain

Assume the hypothesis, prove that  $j - i = \ell$   
 (aka  $m[i, j]$  is the optimal cost for  $A_i \dots A_j$ ).

$$(A_i \dots A_j) = (A_i \dots A_k)(A_{k+1} \dots A_j)$$

- $m[i, k]$  is the minimum cost of multiplying  $(A_i \dots A_k)$
- $m[k+1, j]$  is the minimum cost of multiplying  $(A_{k+1} \dots A_j)$
- $p_{i-1} p_k p_j$  is the cost of combining the 2 partial products

Hence, since the split is minimum, the overall cost  $m[i, j]$  is optimal.

The **optimal substructure property** tells us that there is no need to consider suboptimal solutions to subproblems when solving a problem.

- Only optimal solutions to subproblems are relevant.
- Drastically reduces the number of subproblem solutions to consider  
↓  
efficient algorithms

### Bottom-up Algorithm

- DP / iterative algorithm
- first computes 1 length chains, then increase length ( $2, 3, \dots, n$ )
- each chain length  $l$  depends on  $l-1$  or smaller

```
function MATRIX DP(p): // sequence of matrix dimensions p
    for i = 1 to n:
        m[i,i] = 0

    for l = 2 to n:
        for i = 1 to n - l + 1:
            j = i + l - 1
            m[i,j] = ∞

            for k = i to j - 1:
                q = m[i,k] + m[k+1,j] + p_{i-1} p_k p_j
                if q < m[i,j]:
                    m[i,j] = q

    return m[1,n]
```

### Top-down Algorithm

- recursive/table look-up
- avoid solving same subproblems take polynomial time

```
function MATRIX REC(p):
    return MATRIX HELPER(p, 1, n)

function MATRIX HELPER(p, i, j):
    if m[i,j] = ∞:
        if i = j:
            m[i,j] = 0
        else:
            for k = i to j - 1:
```

```

left = MATRIXHELPER( p, i, k )
right = MATRIXHELPER( p, k+1, j )
total = left + right + p_{i-1} p_k p_j

if total < m[i, j]:
    m[i, j] = total

return m[i, j]

```

### Runtime

- Distinct subproblems for every pair of indices  
 $1 \leq i \leq j \leq n \rightarrow O(n^2)$  subproblems
- Each subproblem can be solved in  $O(n)$   
Total time is  $O(n^3)$

Note:  
DP runtime is  
 $O(\# \text{subproblems} \times \text{time per problem})$

## Chapter 6.5 Aligning 2 Sequences

Given 2 protein/DNA sequences,

$$s = \text{GACGGATTAG} \quad m = |s| \\ t = \text{GATCGGAATAG} \quad n = |t|$$

Find the best alignment between the "strings"

↓ sum of score, so best alignment = max score  
called similarity  $\sim \text{sim}(s, t)$

example:

$$s = \text{GA CGGATTAG} \\ t = \text{GATCGGAATAG}$$

1 1 - 1 1 1 1 0 1 1 1

$$\text{sim}(s, t) = 1 + 1 - 1 + 1 + 1 + 1 + 0 + 1 + 1 + 1 = 8$$

$$\text{score}(x, y) = \begin{cases} 1 & \text{if } x = y \\ 0 & \text{if } x \neq y \\ -1 & \text{if } x \text{ or } y \text{ is a space} \end{cases}$$

### Recursive Formulation

$$s = \text{list of characters } s[1 \dots m] \\ t = \text{list of characters } t[1 \dots n]$$

Subproblems: find the best alignment for substrings

$$s[1 \dots i], \text{ where } 1 \leq i \leq m$$

$$t[1 \dots j], \text{ where } 1 \leq j \leq n$$

$$\text{sim}(i, j)$$

↓ answer

$$\text{sim}(n, m), \text{ where} \\ \text{len}(s) = n \text{ and} \\ \text{len}(t) = m$$

Compute  $\text{sim}(s[1 \dots i], t[1 \dots j])$  with only 3 possibilities:

- 1) align  $s[i]$  with  $t[j]$
- 2) align gap with  $t[j]$
- 3) align  $s[i]$  with gap

Recursive formula:

$$\text{sim}(s[1 \dots i], t[1 \dots j]) = \max \begin{cases} \text{sim}(s[1 \dots i-1], t[1 \dots j-1]) + \text{score}(s[i], t[j]) \\ \text{sim}(s[1 \dots i], t[1 \dots j-1]) + \text{score}(-, t[j]) \\ \text{sim}(s[1 \dots i-1], t[1 \dots j]) + \text{score}(s[i], -) \end{cases}$$

Base case:

$$\text{sim}(s[1 \dots i], t[]) = -i \quad (1) \text{ when } t \text{ is empty, align } s \text{ with gaps}$$

$$\text{sim}(s[], t[1 \dots j]) = -j \quad (2) \text{ when } s \text{ is empty, align } t \text{ with gaps}$$

$$\text{sim}(s[], t[]) = 0 \quad (3) \text{ both are empty, same size already}$$

### Bottom-up (DP) Algorithm

function SIMDP( $s, t$ ):

```

for i=0 to m:
    a[i, 0] = -i

for j=0 to n:
    a[0, j] = -j

for i=1 to m:
    for j=1 to n:
        both = a[i-1, j-1] + score(s[i], t[j])
        left = a[i, j-1] + score(-, t[j])
        right = a[i-1, j] + score(s[i], -)
        a[i, j] = max(both, left, right)
    
```

Time Complexity:  
 $O(mn)$

return a[m, n]

### Chapter 6.6 0/1 Knapsack

Given  $A = \{a_1, a_2, \dots, a_n\}$  with  $n$  objects and a knapsack

- $a_i$  has a weight  $w_i$  and knapsack with capacity  $m$
- add  $a_i$  into knapsack if  $a_i \leq m$   
 - profit  $p_i$  is earned
- omit  $a_i$  if  $a_i > m$

$$x_i \begin{cases} 1 & \text{if added} \\ 0 & \text{if omitted} \end{cases}$$

Goal: maximize  $p_i$  with knapsack constraint

### Subproblems

- Use auxiliary variables (two new variables  $j, y$ )
- $\text{KNAP}(j, y)$  represents  $\sum_{1 \leq i \leq j} p_i x_i$  profits with constraint  $\sum_{1 \leq i \leq j} w_i x_i \leq y$ , where  $x_i \in \{0, 1\}, 1 \leq i \leq j$
- Basically consider only the first  $j$  items with knapsack capacity  $y$

### Recursive Formulation

$P_j(y) = \text{optimal solution to } \text{KNAP}(j, y)$

$$P_j(y) = \max(P_{j-1}(y), P_{j-1}(y - w_j) + p_j)$$

Base Case:

$$P_0(y) = 0 \text{ for all } y \geq 0$$

$$P_i(y) = -\infty \text{ if } y < 0$$

### Correctness

To compute  $P_j(y)$ , we have 2 choices:

1)  $a_j$ th is in the knapsack

$$P_j(y) = P_{j-1}(y - w_j) + p_j$$

• subtract weight  $w_j$  from capacity

• included profit

2) or not

$$P_j(y) = P_{j-1}(y)$$

• go to the previous item as is

Through induction, optimal substructure property is used.

$P_j(y)$  will contain optimal solution to the subproblems:

1)  $P_{j-1}(y)$

2)  $P_{j-1}(y - w_j)$

Take the max value from the solutions

### DP Algorithm

- optimal value  $P_n(m)$ 
  - KNAF(n, m)  $\rightarrow$  bottom-up algorithm
  - start from base cases
  - then, compute  $P_1(\cdot), P_2(\cdot), \dots$  in order
- runtime is  $O(nm)$ 
  - depends on input size, not input
    - NOT polynomial
  - known as pseudo-polynomial
  - known as a pseudo-polynomial algorithm

$m$  is represented using  $\log m$  bits:  
 $b = \log m \rightarrow O(nm) = O(n 2^b)$

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## Module 4 : Greedy Algorithms

### Chapter 7.1 Fractional Knapsack

Given  $n$  objects with : weights =  $w_1, w_2, \dots, w_n$

profits =  $p_1, p_2, \dots, p_n$

Knapsack with capacity  $m$ , choose :

$x_1, x_2, \dots, x_m$  (between 0 and 1)

Maximize:  $\sum_{i=1}^n x_i p_i$       With constraint:  $\sum_{i=1}^n x_i w_i \leq m$

Outline:

- allowed to choose a fraction of an object
- if  $\sum_{i=1}^n w_i \leq m$ , then  $x_i = 1$   
 $1 \leq i \leq n$  is an optimal solution
- All optimal solutions will fill the knapsack EXACTLY

## Greedy Strategies

$$n = 3 \quad \text{and} \quad m = 20$$

| Item           | Weight | Profit |
|----------------|--------|--------|
| I <sub>1</sub> | 18     | 25     |
| I <sub>2</sub> | 15     | 24     |
| I <sub>3</sub> | 10     | 15     |

Solutions / "greedy" strategies to the problem:

- 1) fill knapsack by including the next object with largest profit
  - if object doesn't fit, then include a fraction of it to fill the knapsack
- 2) fill knapsack by including objects in non-decreasing order of weights
- 3) have objects in non-increasing order of  $\frac{p_i}{w_i}$

## Different Solutions Table

| Quantity       |                |                | Total  |        |
|----------------|----------------|----------------|--------|--------|
| I <sub>1</sub> | I <sub>2</sub> | I <sub>3</sub> | Weight | Profit |
| 1/2            | 1/3            | 1/4            | 16.5   | 24.25  |
| 1              | 2/5            | 0              | 20     | 28.20  |
| 0              | 2/3            | 1              | 20     | 31.00  |
| 0              | 1              | 1/2            | 20     | 31.50  |

- Using strategy 3, the max profit is 31.50
- implemented in  $O(n \log n)$  time (sort in non-increasing order)

Only consider one subproblems at a time

- for strategy 3, we choose the object with the highest profit to weight ratio among the items that are NOT YET chosen

## Proof of Optimality

Theorem: If objects are included in the non-increasing order of  $p_i/w_i$ , then this gives an optimal solution to the knapsack problem

General Proof Technique:

4)  $\text{Max-PROD}(i) = \max \text{ product in } A[1 \dots i]$

$\text{Max-PROD}_{in}(i) = \max \text{ product in } A[1 \dots i], 1 \leq i \leq n$

$\text{Min-PROD}_{in}(i) = \min \text{ product in } A[1 \dots i], 1 \leq i \leq n$

a)  $\text{Max-PROD}(n)$

b)  $\text{Max-PROD}_{in}(1) = A[1]$

$\text{Min-PROD}_{in}(1) = A[1]$

c)  
 $A[1 \dots i]$

$$\text{Max-PROD}_{in}(i) = \max_{A[i]} \left\{ \begin{array}{l} \text{Max-PROD}_{in}(i-1) \cdot A[i], \\ \text{Min-PROD}_{in}(i-1) \cdot A[i], \end{array} \right\}$$

$$\text{Min-PROD}_{in}(i) = \min_{A[i]} \left\{ \begin{array}{l} \text{Max-PROD}_{in}(i-1) \cdot A[i], \\ \text{Min-PROD}_{in}(i-1) \cdot A[i], \end{array} \right\}$$

d)  $2n \text{ subproblems} = O(n)$

e)  $O(1)$

f)  $O(n)$

3)  $[1, 1, 0, 1, 1]$

a)

b) return left and right and onederful(—) and onederful(—)

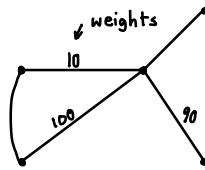
c)  $T(n) = 2T(\frac{n}{2}) + O(1)$

d)  $T(n) = O(n)$

Hi  
Emi  
i  
LEXA ♥  
thank you for your help!

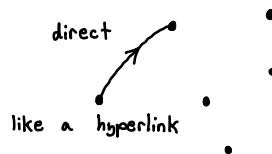
# Module 5 : Graphs / Networks

$G = (V, E)$   
 Graph = (Vertices, Edges)  
 Nodes                  links



Directed / Undirected  
 Weighed / Unweighed

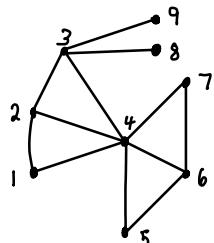
## Web Graph



Used in a search engine:

- Connects web links to web links

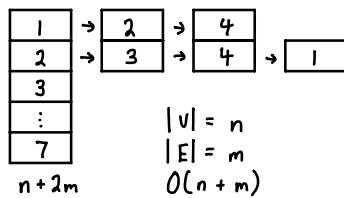
## How to store a graph



$$\deg(v) = \# \text{ weights of } v$$

$$\sum_{w \in V} \deg(w) = 2m$$

- Use an adjacent list  
 - space efficient



- Use adjacent matrix

$$\begin{matrix} & 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 0 & 1 \\ 2 & 1 & 0 & 1 & 1 \\ 3 & 0 & 1 & 0 & 1 \\ 4 & 1 & 1 & 1 & 0 \end{matrix}$$

"sparse" graph

$$n - \text{nodes} \quad \frac{n(n-1)}{2} = O(n^2)$$

## Depth-first Search (DFS)

- Implemented in  $O(|V| + |E|)$
- Undirected graph

### Steps:

- start at source vertex ( $s$ ) and explore edges via depth-first
  - explore from most recently visited vertex ( $v$ )

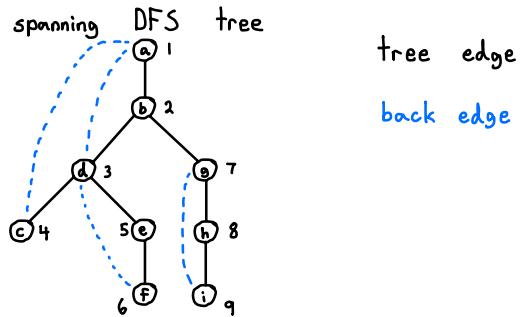
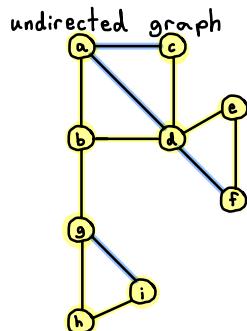
- If the explored edge leads to an already visited vertex, then another edge of  $v$  is explored
- If all neighbors of  $v$  have been visited, then the search backtracks to the vertex that was visited prior to  $v$
- For iterative implementation, use a stack to backtrack

function  $\text{DFS}(G, s)$ :

```

visited = True
num[s] = count
count += 1
for vertex  $v \in \text{adj}[s]$ :
    if not visited[v]:
        add edge(s, v) to T
        DFS(G, v)
    
```

visited initialized to false for every  $v \in V$   
 - checks whether the vertex has been visited or not  
 $\text{num}[v]$  gives the DFS number of  $v$   
 - the order  $v$  was visited in the graph  
 $T$  which stores edges  
 - has exactly  $n-1$  edges at the end



### Runtime

- The number of recursive calls = if statement
  - each vertex is visited exactly once because the visited array will mark a vertex true when visited  $\rightarrow O(n)$
- The if statement will execute as the for loop runs
  - runs degree of  $v$  times  $\times$  number of neighbors of  $v$
  - total iteration:  $\sum_{v \in V} \deg v = 2m$

Total runtime is  $O(n + m)$

### Key properties

- 1) tree edges: edges that belong to  $T$
- 2) back edges: edges that don't belong to  $T$ 
  - an edge that connects a vertex to a vertex that is discovered before its parent

### Property of back edges:

If  $(v, w)$  is a back edge, then in a DFS spanning tree  $T$ ,  
 $v$  is an ancestor of  $w$  or vice versa.

### Connected components of a graph

Given a (possibly) disconnected  $G = (V, E)$ , check if the graph is connected.

Goal: Find the connected component of a graph in linear time.

a subgraph in which each pair of nodes is connected with each other via a path

function DFSConnectedComponents( $G$ ):

for  $v \in V$ :  
visited[v] = False

for  $v \in V$ :  
if not visited[v]:  
DFS( $G, v$ )

Each  $\text{DFS}(G, v)$  call will return a connected component of  $G$

If connected, then only one component is returned

DFS will explore and mark all nodes as visited in the first DFS call

If disconnected, each DFS call will return the component containing the vertex where the DFS is called.

### Cut vertices and cut edges of a graph

Let  $G = (V, E)$  be a connected undirected graph

Vertex  $x$  is said to be a cut-vertex of  $G$ :

- If removing  $x$  from  $G$  disconnects the graph
- If there exists two other vertices  $u$  and  $v$  contains the vertex  $x$  ( $x, u$ , and  $v$  can't be in a cycle)

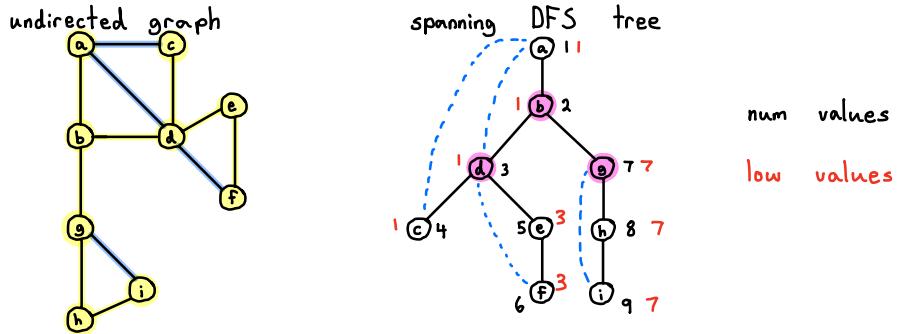
A graph is bi-connected:

- If the graph has no cut vertices

Edge  $e \in E$  is a cut-edge of  $G$ :

- If removing  $e$  disconnects the graph

- A cut-edge cannot lie in any cycle of the graph



The cut vertices of  $G$  are:  $b, d, g$

Note:

- Each of their num values is less than or equal to the low values of at least one their respective children
  - $d$  is a cut vertex because  $e$ 's low value greater than or equal to  $d$ 's num value
- Cut vertex will not have a back edge that goes from its descendent to its ancestor
  - $d$  is a cut vertex, since it's the only vertex that connects its descendent  $f$  to its ancestors

### Using DFS to find all cut-vertices

- Use back edge property to characterize a cut vertex

Let  $T$  be a DFS spanning tree of  $G$ . A vertex  $x$  is a cut vertex of  $G$  if and only if :

- 1)  $x$  is the root of  $T$  and  $x$  has more than one child
- 2)  $x$  is not the root, and for some child  $y$  of  $x$ , there is no back edge between any descendant of  $y$  (including  $y$  itself) and a (proper) ancestor of  $x$

- Condition 1 is simple
- Condition 2 involves DFS and computing  $\text{low}[]$

Define  $\text{low}[v]$  as :  $\text{low}[v] = \min \{\text{num}[v], \text{back-edge-num}[v]\}$

where  $\text{back-edge-num}[v] = \min \{ \text{num}[w] \mid \exists \text{ a back edge } (x, w) \text{ such that } x \text{ is a descendant of } v \text{ (including } v\}$

highest ancestor that any descendant of  $v$  can reach

low values can be done recursively:

↓  
since low value of a vertex  $x$  depends on its num value,  
the low value of its children and whether  $x$  has any back  
edge

$$\text{low}[x] = \min \{ \text{num}[x], \{ \text{low}[y] \mid y \text{ is a child of } x \}, \\ \{ \text{num}[w] \mid (x, w) \text{ is a back edge} \} \}$$

Condition 2 is satisfied for  $x$  if it has a child  $y$  such that  
 $\text{low}[y] \geq \text{num}[x]$

function DFSCutVertices( $G, s$ ):

```
visited[s] = True
num[s] = count
count += 1
low[s] = num[s]

for v ∈ adj[s]:
    if not visited[v]:
        add edge (s, v) to T
        parent[v] = s
        DFSCutVertices(v)
        if low[v] ≥ num[s]:
            output s is a cut-vertex
            low[s] = min( low[s], low[v] )
    # checks if (s, v) is back-edge
    else if v ≠ parent[s]:
        low[s] = min( low[s], num[v] )
```

- Directed graph

Classification of edges in a directed graph

1) tree edges: edges that belong to the DFS tree  
- if  $v$  is visited for the first time when exploring edge  $(u, v)$

2) back edges: edges that connect a vertex to its ancestor

3) forward edges: edges that connect a vertex to its descendent

4) cross edges: all other edges of  $G$ .

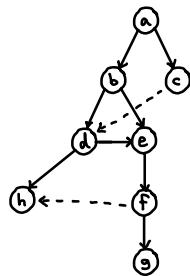
- they can go between vertices in the same DFS tree or  
in different trees

A directed graph is **strongly connected** if for every pair of nodes  $u$  and  $v$ , there is a directed path from  $u$  to  $v$  and from  $v$  to  $u$ .

Called **weakly connected** if the graph is connected by ignoring directions.

## Directed Acyclic Graph (DAG)

- DAG is a directed graph with no (directed) cycle.
- Direct edges:  $(u, v)$  denotes that operation  $u$  has to be performed before operation  $v$
- Topological sort
  - gives a valid ordering of operations (precedence constraints are obeyed)
  - all directed edges go from left to right
  - if a DAG, then there is topological sort



- There are no back edges

function DFSFinishTimes( $G$ ):

```

for  $v \in V$ :
    visited[v] = False
time = 0
for  $v \in V$ :
    if not visited[v]:
        DFSFinishTimeHelper(v)

```

function DFSFinishTime Helper( $s$ ):

```

visited[s] = True
time += 1
num[s] = time
for  $v \in \text{adj}[s]$ :
    if not visited[v]:
        DFSFinishTime Helper(v)
time += 1
fin[s] = time

```

The function computes finishing time for vertices

Recall:  $\text{num}[v] = \text{order}$  in which vertices were visited

Let  $\text{fin}[v]$  denote the order in which  $v$  was finished processing

↓  
the time at which the recursive call  $\text{DFS}(v)$  returns

function TopoSort( $G$ ):  
 DFSFinishTimes( $G$ )  
 Output vertices by decreasing order of their finish times

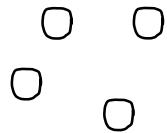
Runtime:  $O(|V| + |E|) \rightarrow \text{same as DFS}$

- Key properties of a DAG
  - In a DAG  $G$ , DFS yields no back edges
  - TopoSort algorithm produces a topological ordering of a DAG

### Breadth-first search (BFS)

- Start from vertex  $s$  and visit vertices in increasing order of distance from  $s$
- Find the shortest path / distance from  $s$  to all other vertices
 

 distance between two vertices = # of edges
- Implemented in  $O(|V| + |E|)$



function DJ

```

for  $v \in G$ :
   $d[v] = \infty$ 
   $\pi[v] = \text{null}$ 

 $d[s] = 0$ 
 $S = \emptyset$ 
 $Q = \text{queue}(G)$ 
while  $Q$  is not empty:
   $w = Q.\text{ExtractMin}()$ 
   $S.\text{Add}(w)$ 
  for  $v \in \text{adj}[w]$ :
     $\text{newDist} = d[w] + w(w, v)$ 
    if  $\text{newDist} < d[v]$ :
       $Q.\text{decreaseKey}(v, \text{newDist})$ 
       $\pi[v] = w$ 
  
```

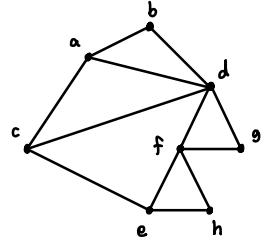
```

for  $v \in G$ :
   $d[v] = \infty$ 
   $\pi[v] = \text{null}$ 

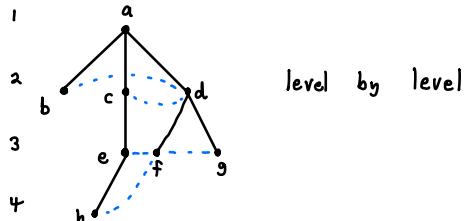
 $d[s] = 0$ 
 $S = \emptyset$ 
 $Q = \text{queue}(G)$ 
while  $Q$  not empty:
   $w = Q.\text{extractMin}()$ 
   $S.\text{add}(w)$ 
  for  $v \in \text{adj}[w]$ :
     $\text{newDist} = d[w] + w(w, v)$ 
    if  $\text{newDist} < d[v]$ :
       $Q.\text{decreaseKey}(v, \text{newDist})$ 
       $\pi[v] = w$ 
  
```

## BFS

- UNDIRECTED graph



BFS spanning tree



distance = length of the shortest path

diameter of the graph = max distance between 2 nodes

$$D = \max_{u,v} \text{dist}(u, v) \leq h - 1$$

## Bellman-Ford

$$d_k(v) = \min_{\substack{u \text{ is a} \\ \text{neighbor} \\ \text{of } V}} \{ d_{k-1}(u) + w(u, v) \}$$

$$\begin{aligned} d_0(v) &= \infty \quad \text{if } v \neq s \\ d_0(s) &= 0 \end{aligned}$$

matrix

$$\begin{aligned} u=0 &\left[ \begin{array}{cccc} v_1 & v_2 & \dots & v_n \\ \dots & \dots & \dots & \dots \end{array} \right] \\ u=1 &\left[ \begin{array}{cccc} \dots & \dots & \dots & \dots \end{array} \right] \\ \vdots & \\ u=n & \left[ \begin{array}{cccc} \dots & \dots & \dots & \dots \end{array} \right] \end{aligned}$$

Dijkstra ( $G = (V, E)$ ,  $S$ )

for all  $v \in V$

$$d[v] = \infty$$

$$\pi[v] = \perp$$

run time:  
 $O(m + n \lg n)$

$$d[S] = 0$$

$Q = \text{build heap}(v)$

while  $Q$  is not empty

$u = \text{ext}(Q)$

for each  $v \in \text{Adj}(u)$

if  $d[v] > d[u] + w(u, v)$

$$\text{distra}(Q, v, d[u] + w(u, v))$$

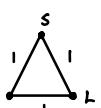
$$\pi[v] = \perp$$

- main weakness

- doesn't work w/ negative weights

- good for numerical computation

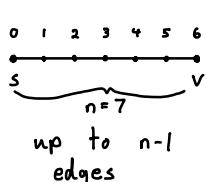
Bellman - Ford (DP)



SSSP

$d[v]$ : distance from  $s$  to  $v$   
 $d[1 \dots n] = ?$

1) subproblems

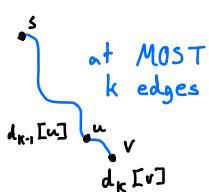


$d_k[v] = \text{distance from } s \text{ to } v \text{ using at most } k \text{ edges, where } 0 \leq k \leq n-1$

$$d[v] = d_{n-1}[v]$$

2) recursion

base case:  $d_0[v] = 0 \quad \text{if } v = s$   
 $= \infty \quad \text{if } v \neq s$



recursion:

$$d_k[v] = \min_{u \in \text{Adj}(v)} (d_{k-1}[u] + w(u, v), d_{k-1}[v])$$

### 3) code

BF(  $G = (V, E)$ ,  $s$  ) :

$O(n)$  { for  $v \in V$   
 $d[v] = \infty$   
 $d[s] = 0$

Run time:  
 $O(nm)$

for  $k=1$  to  $n-1$  }  $O(n)$

$O(m)$  { for all  $(u, v) \in E$   
if  $d[u] + w(u, v) < d[v]$   
 $d[v] = d[u] + w(u, v)$

$O(n)$  { for all  $(u, v) \in E$   
if  $d[u] + w(u, v) < d[v]$   
output " ~ v