Predicting the Sex of Abalone

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Abstract—Much work has been done on predicting the age of abalone from physical measurements. However, these efforts have faced challenges because the abalone dataset is highly overlapped. A useful alternative to predicting age is to predict the sex of abalone. Being able to predict the sex of abalone with substantial confidence and avoid some of the problems due to the overlapped data is certainly good news to conservationists who are often faced with the task of protecting certain endangered sex of abalone.

Keywords—Abalone; classifiers; precision; conservation; ensemble

I. OBJECTIVE

The purpose of this paper is to predict the sex of abalone (whether infant, male or female) given various descriptive attributes. Abalones are edible, commercially valuable sea snails that grow on reefs. Measuring each abalone before removing it from the reef and determining the abalone's sex from the measurement will provide a useful conservation tool to allow divers to selectively harvest abalone based on sex.

II. DESCRIPTION OF THE DATASET

The Abalone Dataset, available from the UCI machine learning repository [1], contains the physical measurements of abalones. The dataset was collected with the goal of attempting to predict the age of abalone from physical measurements. In this paper, I take a different approach and instead attempt to predict the sex of abalone from physical measurements.

Each abalone or row in the dataset contains a categorical attribute (sex), 7 continuous attributes (length, diameter, height, whole weight, shucked weight, viscera weight and shell weight) and an integer attribute (number of rings). There are 4177 rows in the dataset with no missing values. The dataset is claimed to be "highly overlapped" [2, p. 5] likely due to the high similarity between the male and female classes as shown in the summary statistics in Table 1 below.

TABLE I. DATASET SUMMARY

	Infant	Male	Female	
Attributes	(N=1342)	(N=1527)	(N=1307)	
Length	0.43±0.11	0.56±0.10	0.58±0.09	
Diameter	0.33±0.09	0.44±0.08	0.45±0.07	
Height	0.11±0.03	0.15±0.03	0.16±0.04	
Whole weight	0.43±0.29	0.99±0.47	1.05±0.43	
Shucked weight	0.19±0.13	0.43±0.22	0.45±0.20	
Viscera weight	0.09±0.06	0.22±0.11	0.23±0.10	
Shell weight	0.128±0.09	0.28±0.13	0.30±0.13	
Rings	8±3	11±3	11±3	

Values are presented as mean±standard deviation

A. Data Processing

I used the Weka toolkit in this prediction exercise. Weka's preferred data format is the Attribute-Relation File Format (ARFF). An ARFF file is an ASCII text file containing the name of the relation, a list of the attributes and their data type and the data itself provided as rows in a comma-delimited format [3, Ch. 9, p. 161].

A sample of the abalone ARFF file used in Weka appears in Fig. 1. The first 3133 rows of the dataset were used for training and the last 1044 were used for testing.

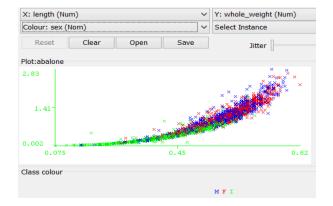
Fig. 1. A sample of the abalone dataset in ARFF format

```
@relation abalone
@attribute sex {M,F,I}
@attribute length NUMERIC
@attribute diameter NUMERIC
@attribute height NUMERIC
@attribute whole_weight NUMERIC
@attribute shucked weight NUMERIC
@attribute viscera weight NUMERIC
@attribute shell weight NUMERIC
@attribute rings NUMERIC
@data
M,0.455,0.365,0.095,0.514,0.2245,0.101,0.15,15
M,0.35,0.265,0.09,0.2255,0.0995,0.0485,0.07,7
F,0.53,0.42,0.135,0.677,0.2565,0.1415,0.21,9
M,0.44,0.365,0.125,0.516,0.2155,0.114,0.155,10
I,0.33,0.255,0.08,0.205,0.0895,0.0395,0.055,7
1,0.425,0.3,0.095,0.3515,0.141,0.0775,0.12,8
```

B. Visualizing the Data

Fig. 2 below is a power-law graph relating length to whole weight with the male (blue) and female (red) classes overlapping each other. Power-law graphs of other numeric attribute pairs follow a similar pattern. This visualization provides further support that male and female classes are highly overlapped and will be difficult to separate.

Fig. 2. Visualization of the overlap of attributes of the male/female classes



III. DATA MINING PROCESS

The dataset contains both numeric and categorical attributes and, therefore, only algorithms that can handle both types of attributes can be used. Regression is automatically disqualified since it works exclusively with numeric values. Clustering would just discovers clusters and "the overall distribution pattern of the dataset" [4, p. 22] but would not produce actual predictions. "Association Rule Mining algorithms operate on a data matrix (e.g. customers × products) to derive rules" [5, p. 1]. They are used in scenarios, not available here, where "it is desirable to discover the important associations among items such that the presence of some items in a transaction will imply the presence of other items in the same transaction" [4, p. 7].

In contrast, classifiers find "the common properties" among a set of instances in a dataset and "classifies them into different classes" [4, p. 19]. The task of classifying an abalone as infant, male or female is a natural fit for classifiers. I would therefore use classification algorithms for this prediction exercise.

A. Establishing Baseline Performance

ZeroR is a simple classifier often used as a lower bound on performance. It predicts the most common class (or median in the case of numeric values) essentially testing "how well the class can be predicted without considering other attributes" [6, p. 83]. Here, male is the most common class occurring 36.6% of the time in the training set compared to infant and female which occurred 32.1% and 31.3% of the time, respectively.

Because ZeroR predicts the most common class, in this case male, the model is expected to yield 0% recall and precision for infant and female and 100% precision and recall for male. The results of ZeroR are shown in Fig. 3 and are consistent with this observation. The results also show 35.9% overall accuracy for ZeroR.

Fig. 3. Lower bound predictions based on ZeroR

=== Summary ==	=						
Correctly Classified Instances			375		35.9195	§	
Incorrectly Classified Instances			669		64.0805	§.	
Kappa statistic			0				
Mean absolute	error		0.4435				
Root mean squa	red error		0.4711				
Relative absol	Relative absolute error		100	8			
Root relative	Root relative squared error		100	8			
Total Number o	Total Number of Instances		1044				
=== Detailed A	Accuracy By	y Class ===	=				
	TP Rate	FP Rate	Precision	Recall	F-Measure	ROC Area	Class
1 1		0.359	1	0.529	0.5	M	
0 0		0	0	0	0.5	F	
	0	0	0	0	0	0.5	I
Weighted Avg.	0.359	0.359	0.129	0.359	0.19	0.5	

The most simple and worst performing classifier is of course random guess. With 3 possible classes for sex, the probability of guessing any particular sex correctly in a random guess is approximately 33.3%. My goal is that the learning models generated in this prediction exercise perform substantially better than random guess and ZeroR.

B. Classifier Parameters

I explored with 2 algorithms based on decision trees: J48 and Random Forest. I also explored with IBk which is based on k-Nearest Neighbor (k-NN). In the case of J48, I used the following parameters: binarySplits = FALSE, confidenceFactor = 0.25, reducedErrorPrunning = TRUE, minNumObj = 2 and unpruned = FALSE. The results of J48 are shown in Fig. 4.

Fig. 4. J48 performance on predicting sex of abalone

=== Summary ===							
Correctly Classified Instances			553 52.9693		8		
Incorrectly Clas	sified 1	Instances	491		47.0307	ş	
Kappa statistic			0.2855				
Mean absolute er	ror		0.354	43			
Root mean square	d error		0.440	19			
Relative absolute error			79.888	8 9			
Root relative squared error			93.593	34 %			
Total Number of Instances			1044				
=== Detailed Accuracy By Class ===							
T	P Rate	FP Rate	Precision	Recall	F-Measure	ROC Area	Class
0.677 0.459			0.453	0.677	0.543	0.619	M
	0.151	0.11	0.391	0.151	0.217	0.667	F
0.739 0.15			0.701	0.739	0.72	0.839	I
Weighted Avg.	0.53	0.248	0.513	0.53	0.496	0.706	

In the case of the Random Forest algorithm, I used the following parameters: debug = False, maxDepth = 2, seed = 1, numFeatures = 4 and numTrees = 20. The results of the Random Forest algorithm are shown in Fig. 5.

Fig. 5. Random Forest performance on predicting sex of abalone

=== Summary ===	=						
Correctly Classified Instances			564		54.023	8	
Incorrectly Cla	Incorrectly Classified Instances			480		8	
Kappa statistic	С		0.2994				
Mean absolute e	error		0.3592				
Root mean squar	red error		0.42	11			
Relative absolu	Relative absolute error			80.9853 %			
Root relative squared error		89.3926 %					
Total Number of Instances			1044				
=== Detailed A	ccuracy By	Class ===	=				
	TP Rate	FP Rate	Precision	Recall	F-Measure	ROC Area	Class
	0.744	0.493	0.458	0.744	0.567	0.648	M
	0.078	0.045	0.448	0.078	0.133	0.709	F
	0.769	0.167	0.687	0.769	0.725	0.88	I
Weighted Avg.	0.54	0.245	0.529	0.54	0.48	0.742	

In the case of IBk, I used the following parameters: KNN = 15, crossValidate = False, debug = False, distanceWeighting = No Distance weighting, meanSquared = False, nearestNeighborSearchAlgorithm = LinearNNSearch, and windowSize = 0. The results of IBk are shown in Fig. 6.

Fig. 6. IBk performance on predicting sex of abalone

=== Summary ===						
Correctly Classified Instances	571	571		8		
Incorrectly Classified Instanc	es 473	473		8		
Kappa statistic	0.31	0.3171				
Mean absolute error	0.34	05				
Root mean squared error	0.42	28				
Relative absolute error	76.77	76.7777 %				
Root relative squared error	89.75	32 %				
Total Number of Instances	1044					
=== Detailed Accuracy By Class ===						
TP Rate FP Ra	te Precision	Recall	F-Measure	ROC Area	Class	
0.539 0.3	42 0.469	0.539	0.501	0.667	M	
0.383 0.2	19 0.449	0.383	0.413	0.689	F	
0.718 0.1	24 0.733	0.718	0.726	0.883	I	
Weighted Avg. 0.547 0.2	33 0.548	0.547	0.546	0.744		

Finally, I combined all three algorithms using the Vote ensemble method. I set the parameters of each algorithm as already described. For the Vote algorithm, I used the following parameters: combinationRule = Majority Voting, debug = False and seed = 1. The results of the ensemble method are shown in Fig. 7.

Fig. 7. Vote ensemble performance on predicting sex of abalone

=== Summary ===							
Correctly Classified Instances			564		54.023	8	
Incorrectly Class	Incorrectly Classified Instances			480		\$	
Kappa statistic	Kappa statistic			0.3013			
Mean absolute err	cor		0.3065				
Root mean squared	i error		0.55	36			
Relative absolute	error		69.1072 %				
Root relative squ	Root relative squared error			117.5223 %			
Total Number of	Total Number of Instances						
=== Detailed Accu	aracy By	Class ==	-				
TI	Rate	FP Rate	Precision	Recall	F-Measure	ROC Area	Class
	0.691	0.457	0.458	0.691	0.551	0.617	M
	0.151	0.096	0.424	0.151	0.222	0.528	F
	0.757	0.15	0.706	0.757	0.731	0.803	I
Weighted Avg.	0.54	0.243	0.527	0.54	0.504	0.649	

IV. RESULTS

From Fig. 4-7, IBk achieved the best overall accuracy of 54.7% followed by Random Forest and J48 with overall accuracy of 54.0% and 53.0%, respectively. The overall accuracy of the algorithms seems mediocre and is likely due to the highly overlapped nature of the male and female classes. The ensemble method did not improve performance.

Beyond accuracy, high precision is essential. For a diver to rely on the prediction from the models to harvest abalones on the basis of sex the diver needs to be confident that the models substantially identify the particular sex being predicted. For e.g., the precision for both male and female classes is <50% suggesting tepid confidence for prediction of those classes. However, the precision for infant is relatively high at $\geq\!68.7\%$ and provides greater confidence for prediction of the infant class. The recall and F-measure for the infant class are also high indicating the algorithms are able to distinguish infant abalones from male and female abalones.

V. CONCLUSION

A. What I learned from the results

The highly overlapped nature of the male and female classes curtailed the accuracy yields for the male and female classes. Nevertheless, the algorithms yield substantially better performance than ZeroR and random guess. Abalone conservation often focuses on protecting the "Small numbers of young abalones" left from overfishing [7]. In that case, the algorithms may provide useful conservation tools as their precision-recall performance for the infant class is strong at \geq 68.7%. In particular, the IBk model may be used since it gave the best precision of 73.3% for the infant class.

B. What I learned from this process

This prediction exercise helped me further appreciate the point made in Siegel's *Predictive Analytics* book that "Data is always predictive" [8, Ch. 3, p. 79] and that "A little prediction goes a long way." [8, Ch. 1, p. 35] Given the choice of a random guess with approximately 33.3% accuracy, the models increased overall accuracy substantially to >50%. In fact, for infant abalones, the accuracy is even higher with precision reaching 73.3% in the IBk model.

It seems evident from this process that we can always find a model that will do better than mere guessing. Prediction models provide useful tools to increase efficiency and productivity all at the readily attainable cost of utilizing an open source toolkit such as Weka.

C. The process has deepened my knowledge of the course material

Going through this exercise provided me with hands-on experience on the nuts and bolts of a prediction task. It provided me with a real world application of the concepts and algorithms discussed in class from sourcing data, asking the *right* question about the data, preprocessing the data, choosing suitable learning algorithms for the data to interpreting results. I now have more confidence and practical knowledge to approach any dataset and attempt to make meaning out of it.

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