Exam Exercises

ACTIVE DATABASES

A. Active Databases (9 p.)

A parcel delivery company has distribution centers around the world, connected by flights. Delivery is requested by inserting tuples into table **PARCEL**, annotated with two timestamps: the request time and the delivery deadline (time by which the parcel should be in the destination center).

Scheduled flights have <u>fixed</u> routes and timing (departure and arrival Ids and timestamps), and a capacity that is expressed in number of transportable parcels. A table DISTANCE stores the distances between all centers.

FLIGHT (FlightId, OriginId, DestinId, DepTime, ArrivalTime, TotalCapacity, AvailableCapacity)

PARCEL (<u>Parcelld</u>, OriginId, DestinId, TimeReceived, DeliveryDeadline, FlagOnTime)

PARCELROUTESTEP (Parcelld, FlightId, FlagFinalStep)

DISTANCE (OriginId, DestinId, Km)

Write a trigger system that manages the creation of the route for the parcels, possibly split into several PARCELROUTESTEPs, according to the following "greedy" strategy. The triggers react to the <u>insertion of a new parcel</u>, and assign it to the first flight with available capacity that either

- (i) directly reaches the final destination f of the parcel, or
- (ii) moves it to a center c that is not only closer to f than the current location cl, but is the closest to f among those directly reachable from cl.

The triggers must also verify that the TimeReceived of the parcel precedes the DepTime of the chosen flight and, when the delivery is not direct but split over multiple steps, the ArrivalTime of each step must precede the DepTime of the next step.

FlagFinalStep is set to *true* when the step reaches the final parcel destination, while FlagOnTime, initially always set to *true*, must state (at the end of the computation for each parcel) whether it will reach the final destination before the deadline or not.

Also, briefly discuss the termination of the trigger system.

CREATE TRIGGER NewParcel
AFTER INSERT INTO Parcel
FOR EACH ROW
BEGIN

DECLARE _Fid int; DECLARE _Dist int;

SELECT FlightId INTO _Fid

FROM Flight

WHERE OriginId = new.OriginId AND DestinId = new.DestinId

AND DepTime > new.TimeReceived AND AvailableCapacity > 0

ORDER BY DepTime ASC

LIMIT 1;

IF _Fid IS NOT NULL THEN
INSERT INTO ParcelRouteStep
VALUES (new.ParcelId, _Fid, TRUE);

. . . .

```
ELSE
    SELECT Km INTO Dist FROM Distance
    WHERE OriginId = new.OriginId AND DestinId = new.DestinId;
    SELECT FlightId INTO Fid
    FROM Flight AS F JOIN Distance AS D
    ON D.OriginId = F.DestinId AND D.DestinId = new.DestinId
    WHERE F.OriginId = new.OriginId AND F.DepTime > new.TimeReceived
    AND F.AvailableCapacity > 0 AND D.Km < Dist
    ORDER BY D.Km ASC
    LIMIT 1;
  IF ( Fid IS NULL) THEN
    SELECT RAISE(ABORT, "no route to destination");
  ELSE
    INSERT INTO ParcelRouteStep
    VALUES (new.ParcelId, Fid, FALSE);
  END IF;
END IF;
```

CREATE TRIGGER UpdateCapacity
AFTER INSERT INTO ParcelRouteStep
FOR EACH ROW
BEGIN

UPDATE Flight
SET AvailableCapacity = AvailableCapacity - 1
WHERE FlightId = new.FlightId;

```
CREATE TRIGGER UpdateFlagOnTime
AFTER INSERT INTO ParcelRouteStep
FOR EACH ROW
WHEN new.FlagFinalStep = TRUE
BEGIN
```

```
UPDATE Parcel
SET FlagOnTime = (
    SELECT DeliveryDeadline > (
        SELECT ArrivalTime
        FROM Flight
        WHERE FlightId = new.FlightId
    )
)
WHERE ParcelId = new.ParcelId;
```

```
CREATE TRIGGER NextFlight
AFTER INSERT INTO ParcelRouteStep
WHEN new.FlagFinalStep = FALSE
FOR EACH ROW
BEGIN
DECLARE _Fid int;
DECLARE _Dist int;
DECLARE _OriginId int;
DECLARE _DestinId int;
DECLARE _ArrivalTime int;
```

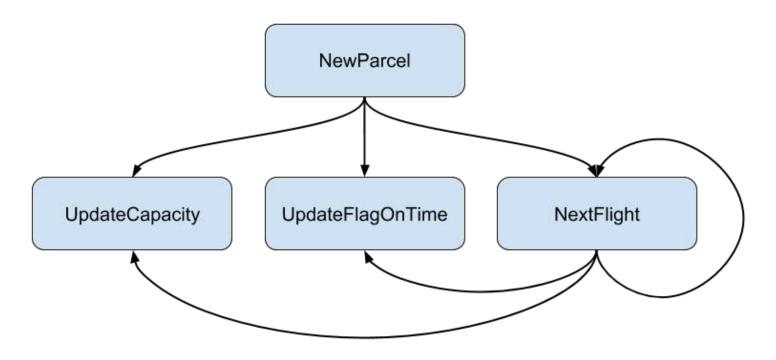
SELECT DestinId **AS** _OriginId, ArrivalTime **AS** _ArrivalTime **FROM** Flight **WHERE** FlightId = new.FlightId;

SELECT DestinId **AS** _DestinId **FROM** Parcel **WHERE** ParcelId = new.FlightId;

-- from here it's the same as the first trigger, with adjusted variables (_OriginId instead of new.OriginId)

```
SELECT FlightId INTO Fid
  WHERE OriginId = OriginId AND DestinId = DestinId
  AND DepTime > ArrivalTime AND AvailableCapacity > 0
  ORDER BY DepTime ASC LIMIT 1;
  IF Fid IS NOT NULL THEN
    INSERT INTO ParcelRouteStep VALUES (new.ParcelId, Fid, TRUE);
  ELSE
    SELECT Km INTO Dist FROM Distance
    WHERE OriginId = OriginId AND DestinId = DestinId;
    SELECT FlightId INTO Fid FROM Flight AS F JOIN Distance AS D
    ON D.OriginId = F.DestinId AND D.DestinId = DestinId
    WHERE F.OriginId = OriginId AND F.DepTime > ArrivalTime
   AND F.AvailableCapacity > 0 AND D.Km < Dist
    ORDER BY D.Km ASC LIMIT 1;
    IF Fid IS NULL THEN
      SELECT RAISE(ABORT, "no route to destination");
    ELSE
      INSERT INTO ParcelRouteStep VALUES (new.ParcelId, Fid, FALSE);
    END IF;
  END IF;
END;
```

Triggering Graph



There might be a cyclic activation of the NextFlight trigger.

Nevertheless, since we always move closer to the destination of the parcel, the termination is guaranteed (if the distances are finite).

SELECT FlightId **INTO** Fid ... **WHERE** ... D.Km < Dist

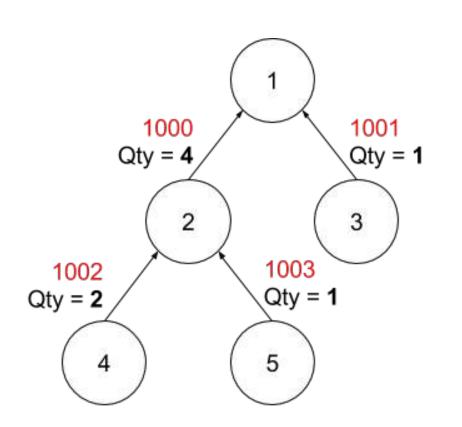


Orders (11/02/2016)

The relational database above supports the production systems of a factory. Table *ProductionProcess* describes how a product can be obtained by (<u>possibly several</u>) other products, which can be themselves obtained from other products or bought from outside.

- Build a trigger system that reacts to the <u>insertion of orders</u> from clients and creates new items in *ProductionPlan* or in *PurchaseOrder*, depending on the ordered product, so as to manage the client's order (for the generation of the identifiers, use a function GenerateId()).
- The triggers should also update the value of TotalSubItems (initially always set to 0) to describe the number of sub-products (internally produced or outsourced) that are used overall in the production plan deriving from the order.
- Also briefly discuss the termination of the trigger system.

sqliteonline: https://goo.gl/Mw4rYB



	Obtained ProdId		Qty
1000	1	2	4
1001	1	3	1
1002	2	4	2
1003	2	5	1

sqliteonline: https://goo.gl/QiOS01

We have to define at least the following triggers:

- **T1** (**NewOrder**) reacts to the insertion on ClientOrder and:
 - Adds a record in ProductionPlan if there is a process to build ProductId
 - Adds a record in PurchaseOrder if there is no process to build ProductId
- T2 (UpdateSubItemsAfterPurchase) reacts to insertion on PurchaseOrder
 - Sum the ordered Qty to the TotalSubItems of the order
- T3 (UpdateSubItemsAfterProduction) reacts to insertion on ProductionPlan
 - Sums the produced Qty to the TotalSubItems of the order
- T4 (InsertSubProducts) reacts to insertion on ProductionPlan
 - Adds a record in ProductionPlan if there is a process to build StartingProdId
 - Adds a record in PurchaseOrder if there is no process to build **StartingProdId**

• T1 (NewOrder) reacts to the insertion on ClientOrder

```
CREATE TRIGGER NewOrder
AFTER INSERT ON ClientOrder
FOR EACH ROW
BEGIN
```

```
IF (EXISTS (SELECT * FROM ProductionProcess
WHERE ObtainedProdId = new.ProductId))
```

INSERT INTO ProductionPlan
SELECT GenerateId(), ProdProcId, Qty * new.Qty, new.OrderId
FROM ProductionProcess
WHERE ObtainedProdId = new.ProductId;

ELSE

INSERT INTO PurchaseOrder VALUES (GenerateId(), new.ProductId, new.Qty, new.OrderId);

END;

END;

sqliteonline: https://goo.gl/9QGmtp

T1 considerations:

- When **new**.ProductId is the ObtainedProdId of a ProductionProcess, we need to insert the records in ProductionPlan to transform its starting products into the obtained product;
- When **new**.ProductId **isn't** an ObtainedProdId of any ProductionProcess, we need to purchase the ProductId (we are actually re-selling);
- The production quantity of each Starting Product is **new**.Qty (the number of **new**.ProductId items to produce for the order) * Qty (the number of Starting Products needed to produce one Obtained Product).

• T2 (UpdateSubItemsAfterPurchase) reacts to insertion on PurchaseOrder

CREATE TRIGGER UpdateSubItemsAfterPurchase AFTER INSERT ON PurchaseOrder FOR EACH ROW BEGIN

UPDATE ClientOrder

SET TotalSubItems = TotalSubItems + **new**.Qty

WHERE OrderId = **new**.OrderId;

END;

sqliteonline: https://goo.gl/JXiSXC

• T3 (UpdateSubItemsAfterProduction) reacts to insertion on ProductionPlan

CREATE TRIGGER UpdateSubItemsAfterProduction AFTER INSERT ON ProductionPlan FOR EACH ROW BEGIN

UPDATE ClientOrder

SET TotalSubItems = TotalSubItems + new.Qty

WHERE OrderId = new.OrderId;

END;

sqliteonline: https://goo.gl/PKyDlJ

• T4 (InsertSubProducts) reacts to insertion on ProductionPlan

```
CREATE TRIGGER InsertSubProducts
AFTER INSERT ON ProductionPlan
FOR EACH ROW
BEGIN
```

DEFINE S;

SELECT StartingProdId INTO S

FROM ProductionProcess WHERE ProdProcId = **new**.ProdProcId;

IF (EXISTS (SELECT * FROM ProductionProcess WHERE ObtainedProdId = S))

INSERT INTO ProductionPlan

SELECT GenerateId(), ProdProcId, **new**.Qty * Qty, **new**.OrderId FROM ProductionProcess WHERE ObtainedProdId = S;

ELSE

INSERT INTO PurchaseOrder VALUES

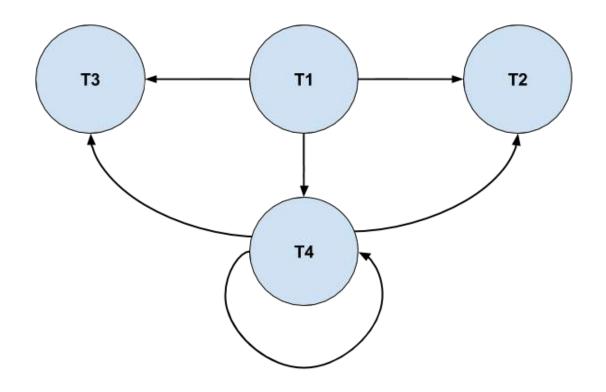
(GenerateId(), S, new.Qty, new.OrderId);

END;

END;

sqliteonline: https://goo.gl/ifrAJO

Termination of the trigger system



- T4 is the only trigger that could be non-terminating
- Nevertheless, if the product hierarchy is well-formed (no cycles), T4 will eventually terminate reaching the leaves.

We can define other (optional and not required) triggers to improve the system:

- T5 (Validate Order)
 - Validates TotalSubItems = 0
 - Validates Qty > 0
- T6 (Delete Order)
 - Delete all associated PurchaseOrders
 - Delete all associated ProductionPlans
- T7 (Disable Order Updates)
 - Permit updates on TotalSubItems
 - Disable updates on other fields

• T5 (Validate Order)

CREATE TRIGGER NewOrder_validate
BEFORE INSERT ON ClientOrder
FOR EACH ROW
WHEN ((new.TotalSubItems <> 0) OR (new.Qty <= 0))
BEGIN

SELECT RAISE(ABORT, "Invalid Order");

END

sqliteonline: https://goo.gl/JwhKT2

• T6 (Delete Order)

CREATE TRIGGER DeleteOrder AFTER DELETE ON ClientOrder FOR EACH ROW BEGIN

DELETE FROM ProductionPlan WHERE OrderId = **old**.OrderId;

DELETE FROM PurchaseOrder WHERE OrderId = **old**.OrderId;

T7 (Disable Order Updates)

CREATE TRIGGER DisableOrderUpdates **BEFORE** UPDATE OF OrderId, ProductId, Qty, ClientId ON ClientOrder
FOR EACH ROW

BEGIN

SELECT RAISE(ABORT, "Updates on ClientOrder are disabled");

END;

sqliteonline: https://goo.gl/JwhKT2

PHYSICAL DATABASES

B+-primary and Hash-secondary structures (21 Feb. 2012)

A table STUDENT (<u>Matricola</u>, FirstName, LastName, BirthDate,...) has 200K tuples in a *primary B+ tree* on attribute *Matricola*, on 10K blocks with a maximum fanout of 100;

there is also a *secondary hash index* on *LastName* that takes 2K blocks (val(LastName) = 50K).

Estimate the cost of the following queries, ignoring collisions and caching:

- 1) select * from Student where Matricola = '623883'
- 2) select * from Student where LastName in ('Braga', 'Campi', 'Comai', 'Paraboschi') and Matricola > '575478'
- 3) select * from Student where Lastname < 'B'

Ogni nodo/blocco *interno* all'albero contiene fino a 99 matricole e 100 puntatori fisici, e in ogni nodo/blocco *foglia* dell'albero ci sono invece 20 studenti (le intere tuple) e 1 solo puntatore fisico (al blocco successivo nella "catena delle foglie").

Immaginando molto densa la struttura ad albero (nodi sostanzialmente tutti pieni e albero perfettamente bilanciato), oltre alla radice abbiamo un livello intermedio di 100 blocchi interni e 10K blocchi "foglia", il che corrisponde alla dimensione indicata della struttura. L'albero avrà quindi una profondità pari a 3.

Nei blocchi dell'indice hash (la cui chiave di accesso non è una chiave primaria) ci sono puntatori fisici ai blocchi che contengono i dati di circa (in media) 200K / 2K = 100 puntatori fisici, corrispondenti a meno di 100 valori di chiave (sarebbero esattamente 100 se non esistessero studenti con lo stesso cognome, in realtà se ne avranno in media sensibilmente di meno). I blocchi avranno un fattore di riempimento che dipende dal rapporto di dimensione tra cognomi e puntatori fisici, che i dati non lasciano stimare.

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È ragionevole ritenere che la condizione sulla matricola sia inservibile (non riteniamo probabile che esistano molto meno di 20x20 = 400 studenti con matricola superiore a 575478) e una strategia di interval-query fallimentare.

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(query 3). Siccome in base alla traccia non possiamo garantire che la funzione di hash dia valori correlati all'ordinamento alfabetico, nessuna delle due strutture di accesso ci aiuta, e dobbiamo scandire l'intera tabella (10K accessi)

2015/09/30 - Actors and Roles

A table Role(<u>Actor</u>, <u>Movie</u>, <u>Character</u>) records 400K roles played by Hollywood actors in over many decades. Estimate the execution cost (under reasonable assumptions) of the following query in the scenarios listed below. Please briefly describe the considered query plan in each scenario.

```
select Actor, Movie, count(*) as NumberOfCharacters from Role group by Actor, Movie // Extracts actors playing 3+ roles in the same movie having count(*) > 2
```

- 1. The table is primarily stored in 16K blocks, with tuples in no particular order. There is also a hash based secondary index with Movie as key, with 5K buckets of 1 block each (val(Movie)=20K, val(Actor)=25K).
- 2. The table is primarily stored in 16K blocks, with tuples sequentially ordered according to the Movie attribute (as they are sequentially appended as soon as new movies are released), and there are no secondary access structures.
- 3. The table is primarily stored as in case 1, but the secondary structure, instead of being a hash, is a B+ tree with two attributes as key (Actor, Movie) i.e., the key is composed of the two attributes, in this order. The tree has depth 3 (a root, an intermediate level, and 3.5K leaf nodes).

1. The hash-based index contains the pointers to the roles of each movie already grouped into the buckets.

Scanning this index allows to retrieve the roles of each movie all together, just by following an average of 20 pointers per movie (20 pointers = 400K tuples / 20K distinct movies).

For each of the 20K movies in the hash (of size 5K blocks) we therefore follow the (average) 20 pointers and process the count directly in memory:

The query plan is: scan the secondary index and retrieve all roles of each movie, counting the number of tuples of each distinct actor.

The execution cost is: 5K i/o to scan the index + 20K x 20 to follow all the pointers (and consider all tuples) = 405K

Due to the ordering in the primary storage, in this case a sequential scan shows the tuples in Movie order (while in the previous case there was no particular ordering). Also, a block contains in average 400K/16K = 25 roles, and there are in average 400K/20K = 20 roles per Movie. Groups (as defined by the SQL query) are therefore confined to a few, adjacent blocks, and the query should be executable with a single scan, with marginal, if not negligible support by the caching system.

The query plan is: scan the primary storage once, and compute the count directly in memory

The execution cost is: 16K to scan the table (+ possibly little overhead for movies with a very large number of roles).

3. If we assume that the leaf nodes of the B+ contain as many pointers to the blocks as the tuples of the primary storage, then there is no need to retrieve the tuples!

The leaf nodes contain the pointers already "grouped" by specific values for actors and movies, and the count(*) can be computed by just counting the pointers. The query plan would be: just scan the leaf nodes of the B+ tree and count the pointers for each (actor,movie) pair. The execution cost is: 3.5 K for the scan.

If, instead, the B+ is "optimized" so that, in case two tuples for the same actor and the same movie happen to be contained in the same block, the pointer is not repeated, then the previous approach is not applicable. All groups are potential contributors to the result (one block in the primary storage can contain up to 25 roles, potentially all of the same actor in the same movie!), and all pointers are to be followed. The cost is therefore again in the order of **400K**, as in scenario 1.

2015/09/07 - Possibly Pale Blue

A table T(<u>PK</u>, A, B, C, RefToIDofS) is primarily stored as entry-sequenced, with 40K tuples into 8K blocks. A much larger table S(<u>ID</u>, X, Y) contains 1M tuples in a primary hash-based storage, indexed by the primary key, with 100K buckets and very sparse, virtually free from overflow chains.

Knowing that PK<1000 for 2% of the tuples in T, that A is a unique attribute, and that val(B) = 125 (homogeneously distributed), estimate the execution cost of the query below, in the following three scenarios:

- 1. No secondary indexes are available
- 2. There is a B+ index with F = 200 for T, on the primary key
- 3. There is <u>also</u> another B+ index on attribute B, with depth 3 (a root, an intermediate level, and 1.25K leaf nodes).

```
select *
from S join T on RefToIDofS = ID
where PK < 1000 or B = "pale blue" and A <> 13472
```

The result size is between

$$40K \times 2\% = 800 \text{ tuples}$$

and

$$40K \times 2\% + 40K / 125 = 800 + 320 = 1.12K$$
 tuples

depending on the *correlation* between the values of A and B.

Attribute C is totally immaterial w.r.t. estimating the result size, as its contribution is at most to exclude 1 tuple.

In order to be conservative, we consider the "worst" case, i.e., that with the largest result size.

- 1. A pure nested loop is unreasonable, as table S allows for effective lookups based on the ID, and the join is performed on the ID.
- We therefore adopt a "scan T and lookup in S" strategy. In this way, only a small part of S will be explored.

The query plan is: scan T and immediately apply the condition on the PK and A. Only for the matching tuples, perform a lookup onto S based on the value of their RefToIDofS attribute via the hash.

The execution cost is: 8K i/o to scan S + up to 1.12K lookups x 1 i/o = 9.12 K i/o

2. If a B+ on PK is available, then the 800 tuples with a low value for PK are retrievable by accessing the root and the initial leaf nodes of the tree (overall 5 nodes = 1 + 800 / F) and following the pointers. This would cost 805 i/o.

However, this would give no information on the values of attribute B (which is in OR)... the only way to include all "pale blue" results (with PK >= 1000) is still to perform a full scan of T, with the previous plan, at the same cost (9.12 K i/o)

3. If we also have this second B+ index, we can lookup both attributes PK and B on the respective structures. The tree on B has 1.25K leaf nodes for 125 colors → 10 blocks per color

The query plan is: read the initial leaf nodes on the B+(PK) and follow the pointers, lookup "pale blue" in the other B+ and follow the related pointers, *eliminate the possible duplicates*, and lookup onto S. No duplicate elimination was required previously, as each tuple of T was encountered just once in the scan, while now a tuple with low PK and of pale blue color would be extracted twice.

Execution cost: 1+4+800 for the PK part + 1+10+320 for the B part + up to 1.12K lookups x 1 i/o = 2.26K

2015/06/30 - Philantropic Society of Trees

A philanthropic society plants trees all over the world.

Table TREE(<u>Id</u>, PlantingDate, ZipCode, Species, GeoRef) stores 55K tuples in a primary structure sequentially-ordered by PlantingDate; its size is 2.75K blocks.

A table MEMBER(<u>SSN</u>, BirthDate, City, ZipCode, Name, Email) uses 11K blocks to store 75K tuples in a primary hash-based structure, with a function h() that maps the ZipCode onto 6K buckets (there are non-negligible overflow chains, and the average number of i/o operations per access is 1.83). Knowing that 80% of trees are in areas (zipcode) were some members are also located, and that 40% of members were born on a day in which some trees were planted, estimate the execution cost of the query below in three scenarios: (i) no indices are available; (ii) the only secondary index is a hash structure that uses h() on the ZipCode for table TREE (7.2 K blocks, 1.2 i/o operations per access in average); (iii) the only secondary index is a B+ tree for MEMBER with BirthDate as key, with depth 3 and 4K leaf nodes.

```
select * // Matches people with trees planted on their birthdate in hometown from Member M, Tree T where BirthDate = PlantingDate and M.ZipCode = T.ZipCode
```

(i) A pure nested loop approach is inconvenient and unreasonable (order of M i/os), as there is a primary hash that supports direct lookup of Members based on the Zipcode.

The query plan is: a sequential scan of TREE followed by a lookup on MEMBER for each tree

The execution cost is: 2.75K (scan TREE) + 55K (tuples) x 1.83 (i/os per lookup) = 103.4 K i/o

(ii) A Hash Join on ZipCode in possible between the secondary index and the primary representation. Of course not all trees will be retrieved, but only those (80%) that satisfy the "zipcode" part of the join predicate

The query plan is: scan the two hash structures and join the zipcodes bucket-wise, retrieve the matching members and check the second part of the join predicate

The execution cost is: 11 K (scan prim. hash on MEMBER) + 7.2 K (scan sec. hash on TREE) + $80\% \times 55$ K (pointers) = **62.2** K i/o

(iii) A Merge-Scan on Dates is the most promising option in this scenario, scanning in parallel the primary representation of Tree and the leaf nodes of the B+. As only 40% of the members will satisfy the Date part of the join predicate, the pointers to the full storage will be followed only for those that qualify.

The query plan is: merge-scan the two ordered structures and retrieve 40% of the tuples of MEMBER

The execution cost is: 2.75K (scan Trees) + 4K (scan leaf nodes) + $40\% \times 75K$ (pointers) = 36.75 K i/o



Unibg Security Lab is the Computer Security Team at Università degli Studi di Bergamo.

Its work focuses on several areas in computer science such as systems security (UNIX/Linux security), mobile security (especially Android security and malware analysis), information systems, database technology (data warehouses, workflow management systems), Web, emerging technologies and information security (security for databases, access control, secure reputation in P2P networks, data outsourcing and privacy).

The team is often involved in european projects, and is currently working on cloud security technologies with the EscudoCloud project. The recent work on Android security allowed the team to obtain two Google Awards during the last three years. Last but not least, some of the members usually take part in CTF competitions.

We are always looking for smart, hardworking thesis students. If you are interested in computer security, come talk to us!

Source Code

The source code of our open source projects is available at: https://github.com/unibg-seclab/.

Star the projects on GitHub to receive updates on future releases.

Acknowledgements

Google Award

Winter 2016

The APM project won a Google Award in Winter 2016 batch.



http://seclab.unibg.it