

# Natural Language Processing with Deep Learning

## CS224N/Ling284



John Hewitt  
based on slides by Chris Manning, and  
Danqi Chen, Princeton University

Lecture 12: Question Answering

# Lecture plan

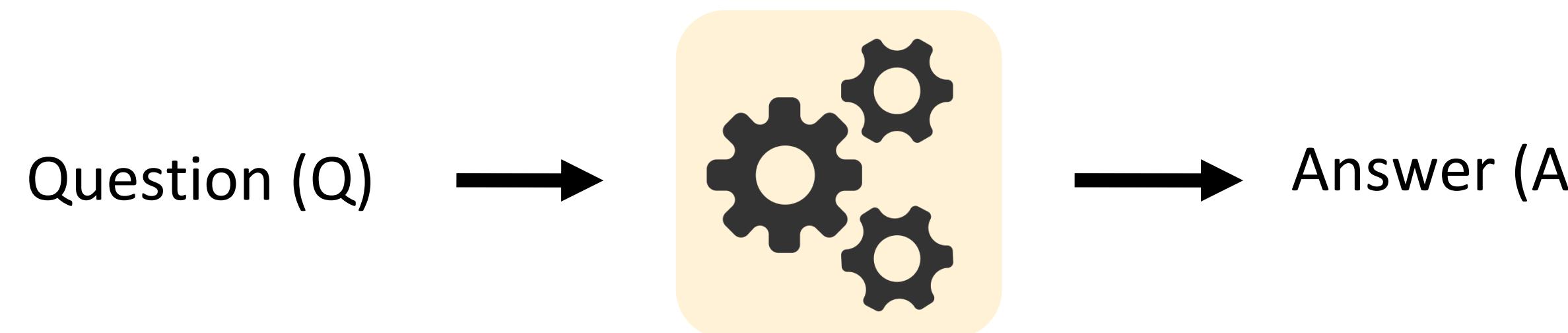
1. What is question answering? (10 mins)
2. Reading comprehension (50 mins)
  - ✓ How to answer questions over **a single passage of text**
3. Open-domain (textual) question answering (20 mins)
  - ✓ How to answer questions over **a large collection of documents**

Assignment 5 due Saturday, 11:59 PM

We're working on your final project proposals!

**Make sure to submit the mid-quarter feedback survey!**

# 1. What is question answering?



The goal of question answering is to build systems that automatically answer questions posed by humans in a natural language

The earliest QA systems dated back to 1960s!  
(Simmons et al., 1964)

Question:

- a) What do worms eat?

worms  
eat  
what

Answers:

- b) Worms eat grass

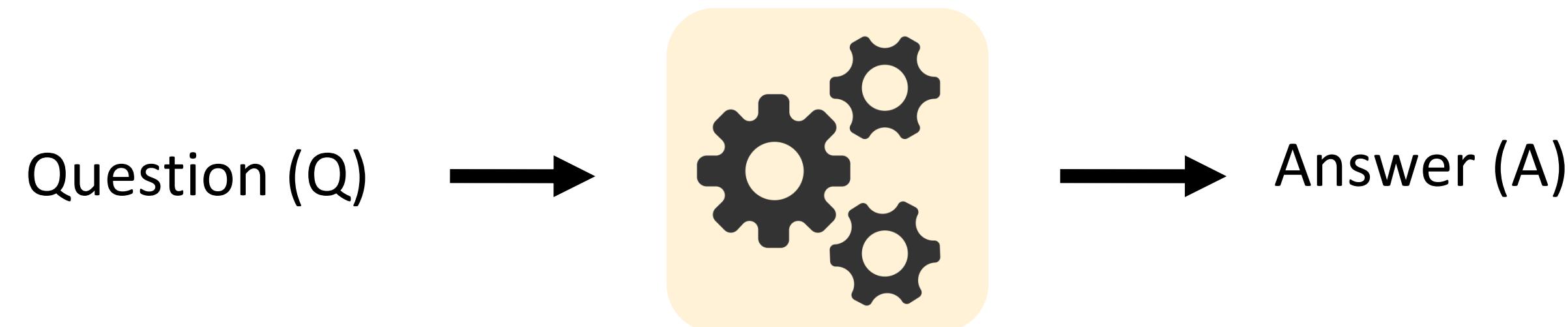
worms  
eat  
grass

- c) Grass is eaten by worms

→ worms eat grass  
worms  
eat  
grass

(complete agreement of dependencies)

# Question answering: a taxonomy



- What information source does a system build on?
  - A text passage, all Web documents, knowledge bases, tables, images..
- Question type
  - Factoid vs non-factoid, open-domain vs closed-domain, simple vs compositional, ..
- Answer type
  - A short segment of text, a paragraph, a list, yes/no, ...

# Lots of practical applications

A screenshot of a Google search results page. The search bar at the top contains the query "Where is the deepest lake in the world?". Below the search bar are navigation links for "All", "Maps", "Images", "News", "Videos", and "More", with "All" being the selected tab. To the right of these are "Settings" and "Tools" buttons. A message indicates "About 21,100,000 results (0.71 seconds)". Below this, there are four images: a landscape view of a lake with green trees; a 3D cross-section diagram of Lake Baikal showing its depth profile; another landscape view of a lake; and a satellite map highlighting a deep blue area.

## Siberia

Lake **Baikal**, in Siberia, holds the distinction of being both the deepest lake in the world and the largest freshwater lake, holding more than 20% of the unfrozen fresh water on the surface of Earth.

# Lots of practical applications

The screenshot shows a Google search results page. The search query "How can I protect myself from COVID-19?" is entered in the search bar. Below the search bar, there are navigation links for All, Images, News, Shopping, Videos, More, Settings, and Tools. A summary box contains the text: "The best way to prevent illness is to avoid being exposed to this virus. Learn how COVID-19 spreads and practice these actions to help prevent the spread of this illness." Below this, a section titled "To help prevent the spread of COVID-19:" lists 12 bullet points with tips like wearing a mask, staying 6 feet apart, avoiding crowds, and getting vaccinated. At the bottom, there's a link "Learn more on cdc.gov" and a note: "For informational purposes only. Consult your local medical authority for advice."

How can I protect myself from COVID-19?

All Images News Shopping Videos More Settings Tools

The best way to prevent illness is to avoid being exposed to this virus. Learn how COVID-19 spreads and practice these actions to help prevent the spread of this illness.

To help prevent the spread of COVID-19:

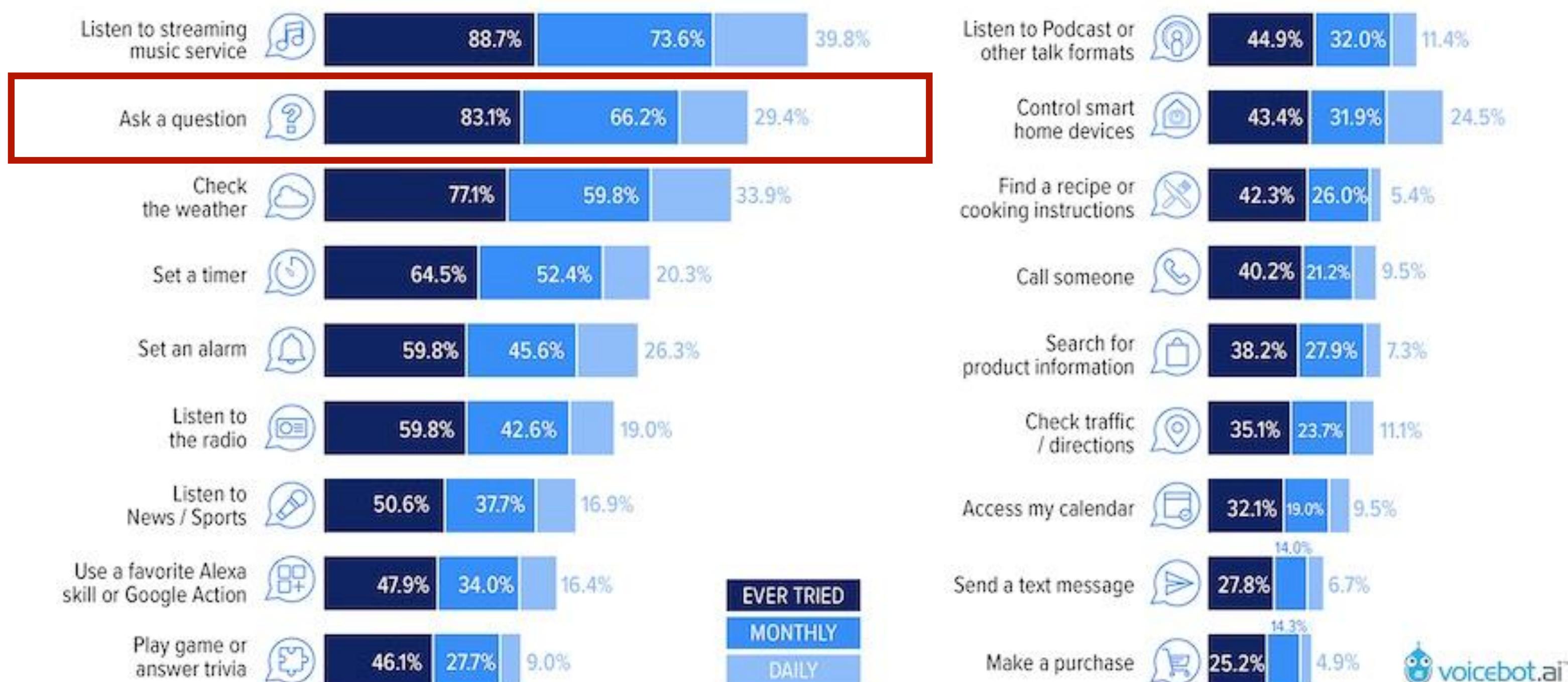
- Cover your mouth and nose with a mask when around people who don't live with you. Masks work best when everyone wears one.
- Stay at least 6 feet (about 2 arm lengths) from others.
- Avoid crowds. The more people you are in contact with, the more likely you are to be exposed to COVID-19.
- Avoid unventilated indoor spaces. If indoors, bring in fresh air by opening windows and doors.
- Clean your hands often, either with soap and water for 20 seconds or a hand sanitizer that contains at least 60% alcohol.
- Get vaccinated against COVID-19 when it's your turn.
- Avoid close contact with people who are sick.
- Cover your cough or sneeze with a tissue, then throw the tissue in the trash.
- Clean and disinfect frequently touched objects and surfaces daily.

Learn more on cdc.gov

For informational purposes only. Consult your local medical authority for advice.

# Lots of practical applications

Smart Speaker Use Case Frequency January 2020



Source: Voicebot.ai 2020

# 2011: IBM Watson beat Jeopardy champions



IBM Watson defeated two of Jeopardy's greatest champions in 2011

# IBM Watson beat Jeopardy champions

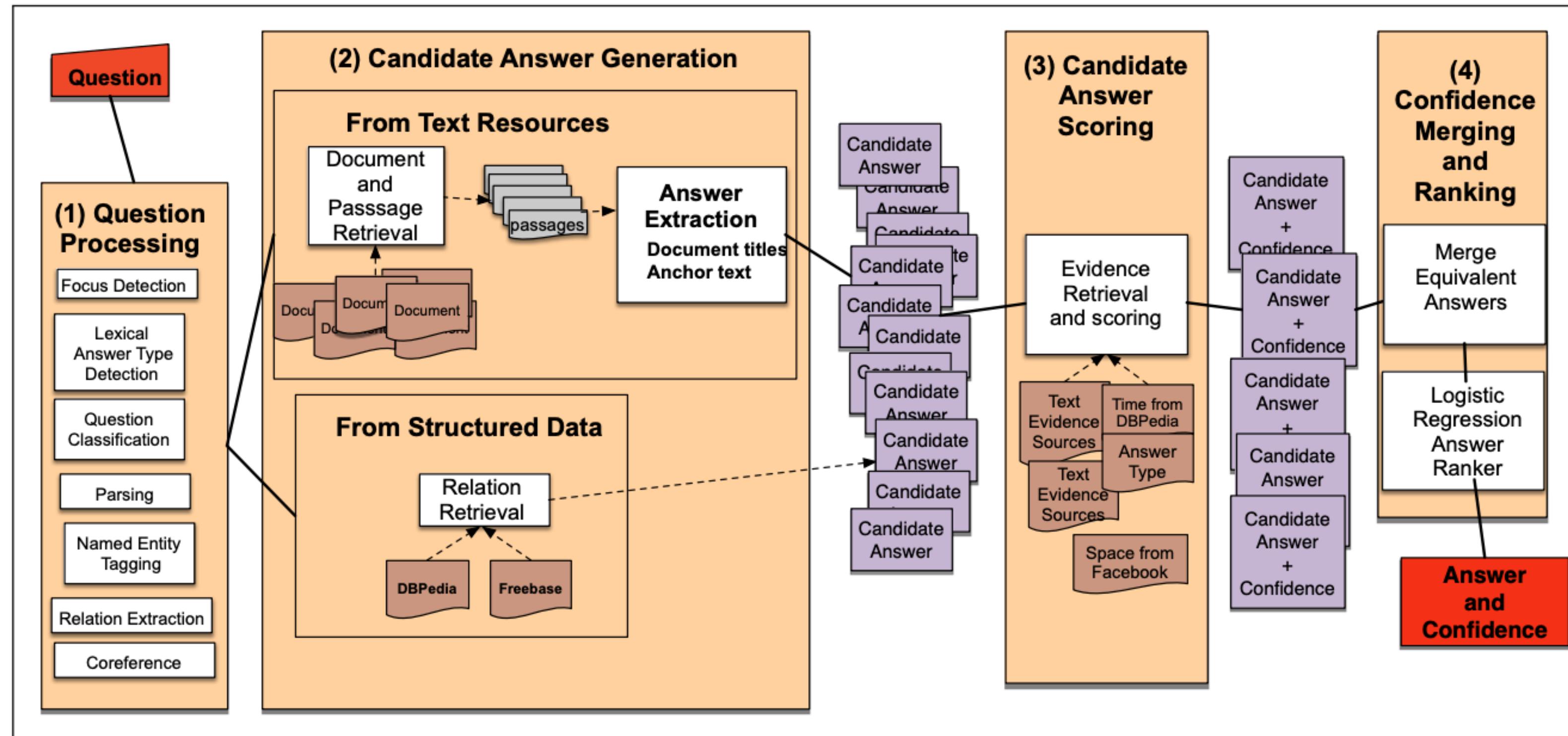


Image credit: J & M, edition 3

(1) Question processing, (2) Candidate answer generation, (3) Candidate answer scoring, and (4) Confidence merging and ranking.

# Question answering in deep learning era

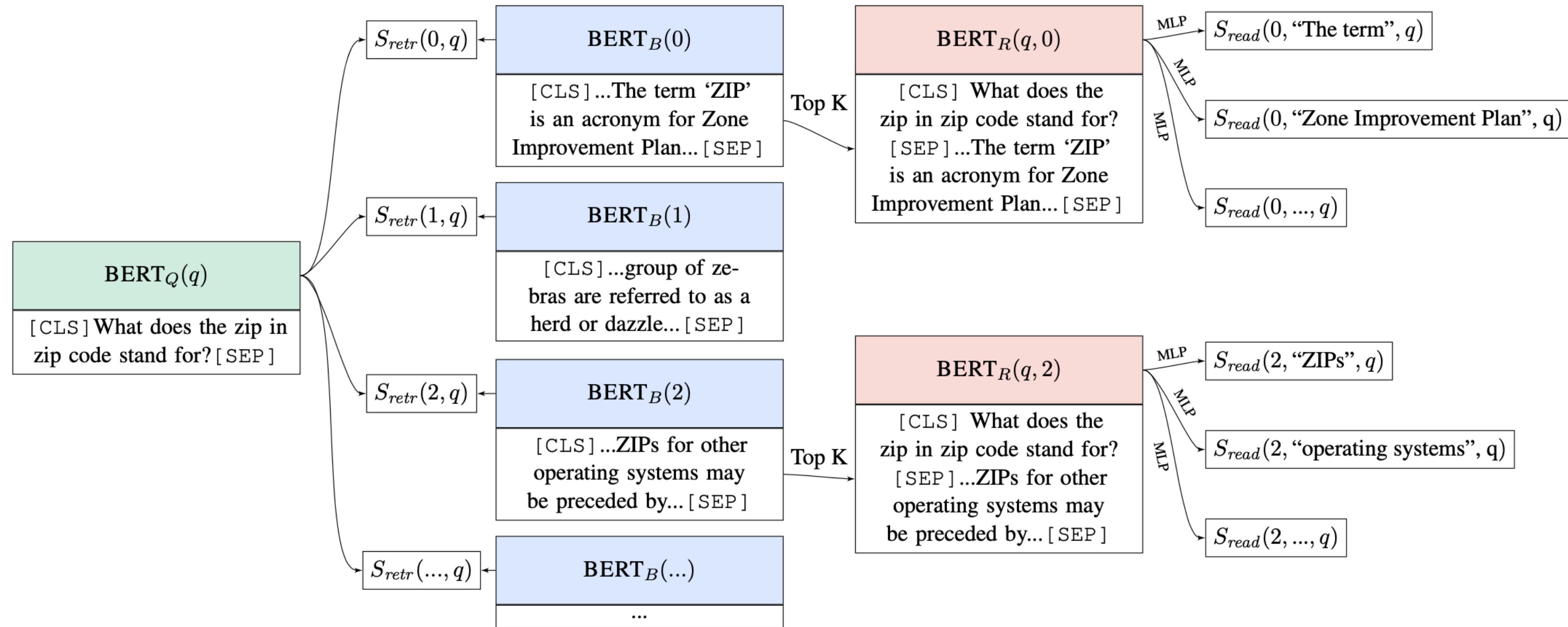


Image credit: (Lee et al., 2019)

Almost all the state-of-the-art question answering systems are built on top of end-to-end training and pre-trained language models (e.g., BERT)!

# Beyond textual QA problems

Today, we will mostly focus on how to answer questions based on **unstructured text**.

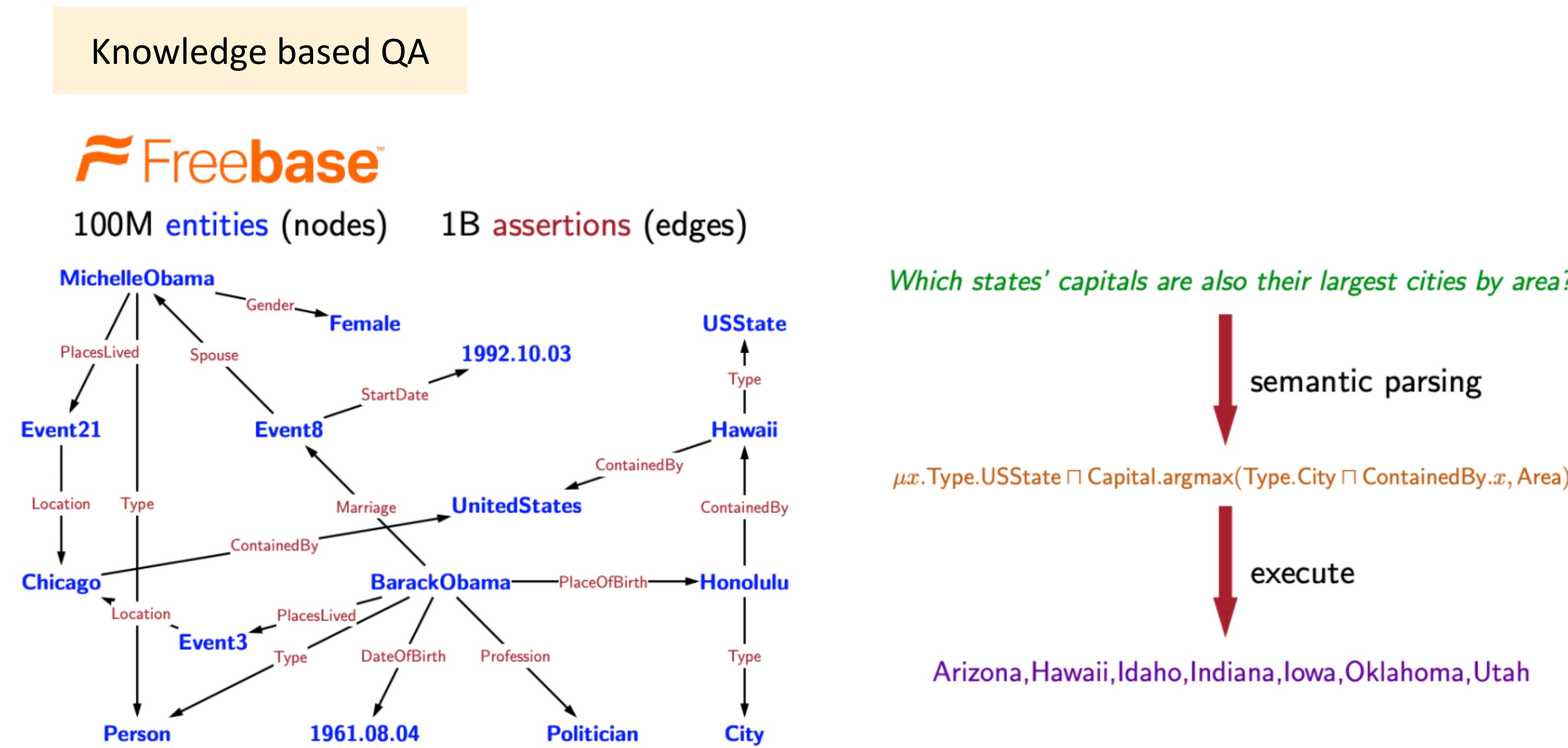


Image credit: Percy Liang

# Beyond textual QA problems

Today, we will mostly focus on how to answer questions based on **unstructured text**.

Visual QA



What color are her eyes?  
What is the mustache made of?



How many slices of pizza are there?  
Is this a vegetarian pizza?

(Antol et al., 2015): Visual Question Answering

## 2. Reading comprehension

**Reading comprehension** = comprehend a passage of text and answer questions about its content (P, Q) → A

Tesla was the fourth of five children. He had an older brother named Dane and three sisters, Milka, Angelina and Marica. Dane was killed in a horse-riding accident when Nikola was five. In 1861, Tesla attended the "Lower" or "Primary" School in Smiljan where he studied German, arithmetic, and religion. In 1862, the Tesla family moved to Gospić, Austrian Empire, where Tesla's father worked as a pastor. Nikola completed "Lower" or "Primary" School, followed by the "Lower Real Gymnasium" or "Normal School."

Q: What language did Tesla study while in school?

A: German

## 2. Reading comprehension

**Reading comprehension** = comprehend a passage of text and answer questions about its content (P, Q) → A

Kannada language is the official language of Karnataka and spoken as a native language by about 66.54% of the people as of 2011. Other linguistic minorities in the state were Urdu (10.83%), Telugu language (5.84%), Tamil language (3.45%), Marathi language (3.38%), Hindi (3.3%), Tulu language (2.61%), Konkani language (1.29%), Malayalam (1.27%) and Kodava Takk (0.18%). In 2007 the state had a birth rate of 2.2%, a death rate of 0.7%, an infant mortality rate of 5.5% and a maternal mortality rate of 0.2%. The total fertility rate was 2.2.

Q: Which linguistic minority is larger, Hindi or Malayalam?

A: Hindi

# Why do we care about this problem?

- Useful for many practical applications
- Reading comprehension is an important testbed for evaluating how well computer systems understand human language
  - Wendy Lehnert 1977: “Since questions can be devised to query **any aspect** of text comprehension, the ability to answer questions is the **strongest possible demonstration of understanding.**”
- Many other NLP tasks can be reduced to a reading comprehension problem:

## Information extraction

(Barack Obama, educated\_at, ?)

Question: Where did Barack Obama graduate from?

Passage: Obama was born in Honolulu, Hawaii. After graduating from Columbia University in 1983, he worked as a community organizer in Chicago.

(Levy et al., 2017)

## Semantic role labeling

UCD **finished** the 2006 championship as Dublin champions , by **beating** St Vincents in the final .

Who finished something? - UCD

What did someone finish? - the 2006 championship

What did someone finish something as? - Dublin champions

How did someone finish something? - by beating St Vincents in the final

Who beat someone? - UCD

When did someone beat someone? - in the final

Who did someone beat? - St Vincents

(He et al., 2015)

# Stanford question answering dataset (SQuAD)

- 100k annotated (passage, question, answer) triples  

Large-scale supervised datasets are also a key ingredient for training effective neural models for reading comprehension!
- Passages are selected from English Wikipedia, usually 100~150 words.
- Questions are crowd-sourced.
- Each answer is a short segment of text (or span) in the passage.  

This is a limitation— not all the questions can be answered in this way!
- SQuAD was for years the most popular reading comprehension dataset; it is “almost solved” today (though the underlying task is not,) and the state-of-the-art exceeds the estimated human performance.

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity. The main forms of precipitation include drizzle, rain, sleet, snow, graupel and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals within a cloud. Short, intense periods of rain in scattered locations are called “showers”.

What causes precipitation to fall?  
gravity

What is another main form of precipitation besides drizzle, rain, snow, sleet and hail?  
graupel

Where do water droplets collide with ice crystals to form precipitation?  
within a cloud

# Stanford question answering dataset (SQuAD)

- **Evaluation:** exact match (0 or 1) and F1 (partial credit).
- For development and testing sets, 3 gold answers are collected, because there could be multiple plausible answers.
- We compare the predicted answer to *each* gold answer (a, an, the, punctuations are removed) and take max scores. Finally, we take the average of all the examples for both exact match and F1.
- Estimated human performance: EM = 82.3, F1 = 91.2

Q: What did Tesla do in December 1878?

A: {left Graz, left Graz, left Graz and severed all relations with his family}

Prediction: {left Graz and served}

Exact match:  $\max\{0, 0, 0\} = 0$

F1:  $\max\{0.67, 0.67, 0.61\} = 0.67$

# Other question answering datasets

- TriviaQA: Questions and answers by trivia enthusiasts. Independently collected web paragraphs that contain the answer and seem to discuss question, but no human verification that paragraph supports answer to question
- Natural Questions: Question drawn from frequently asked Google search questions. Answers from Wikipedia paragraphs. Answer can be substring, yes, no, or NOT\_PRESENT. Verified by human annotation.
- HotpotQA. Constructed questions to be answered from the whole of Wikipedia which involve getting information from two pages to answer a multistep query:  
Q: Which novel by the author of “Armada” will be adapted as a feature film by Steven Spielberg? A: *Ready Player One*

# Neural models for reading comprehension

## How can we build a model to solve SQuAD?

(We are going to use **passage**, **paragraph** and **context**, as well as **question** and **query** interchangeably)

- Problem formulation
  - Input:  $C = (c_1, c_2, \dots, c_N), Q = (q_1, q_2, \dots, q_M), c_i, q_i \in V$  N \sim 100, M \sim 15
  - Output:  $1 \leq \text{start} \leq \text{end} \leq N$  answer is a span in the passage
- A family of LSTM-based models with attention (2016–2018)
  - Attentive Reader (Hermann et al., 2015), Stanford Attentive Reader (Chen et al., 2016), Match-LSTM (Wang et al., 2017), BiDAF (Seo et al., 2017), Dynamic coattention network (Xiong et al., 2017), DrQA (Chen et al., 2017), R-Net (Wang et al., 2017), ReasoNet (Shen et al., 2017)..
- Fine-tuning BERT-like models for reading comprehension (2019+) 

## 2. Stanford Attentive Reader

[Chen, Bolton, & Manning 2016]

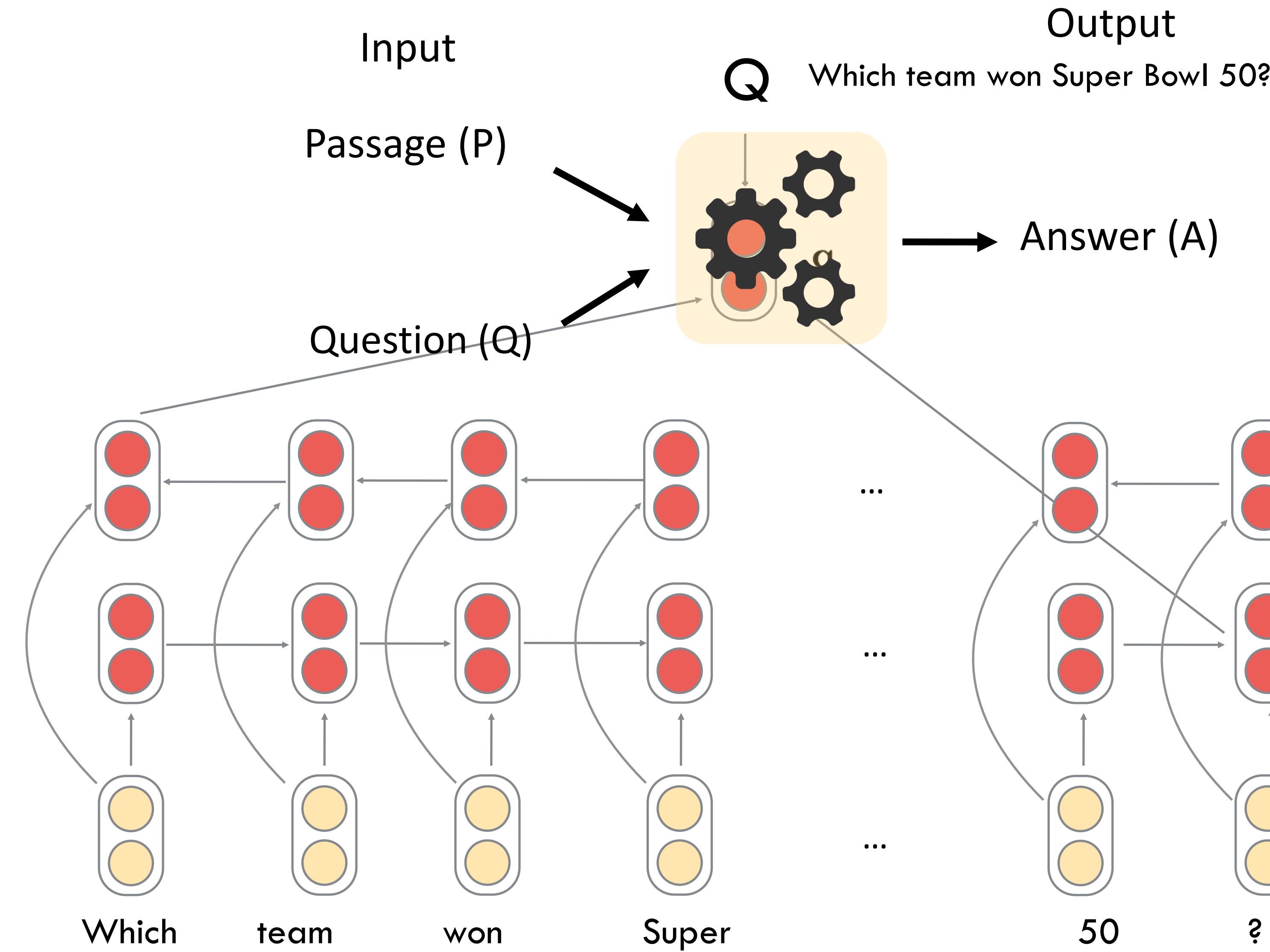
[Chen, Fisch, Weston & Bordes 2017] DrQA

[Chen 2018]

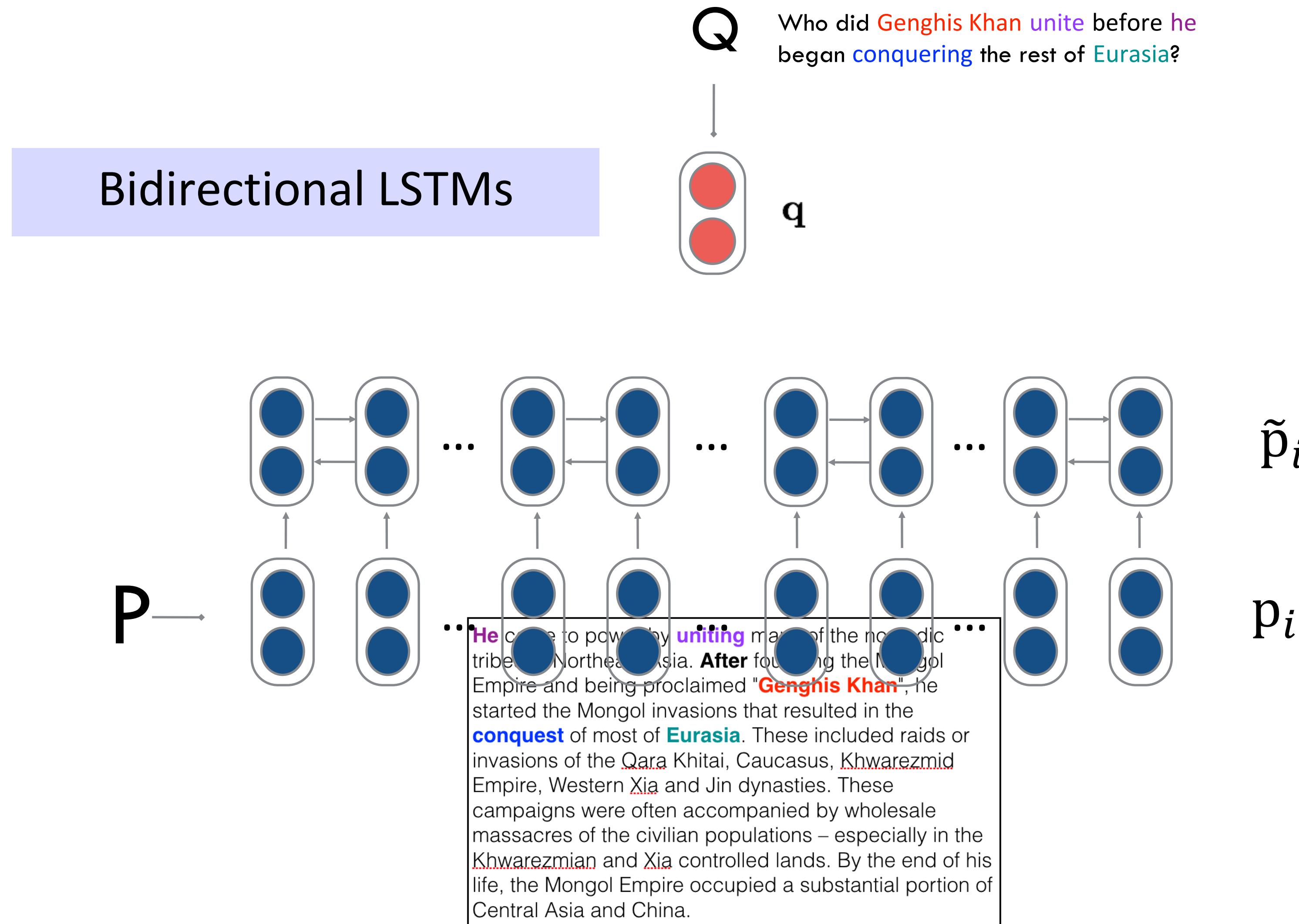
- Demonstrated a minimal, highly successful architecture for reading comprehension and question answering
- Became known as the Stanford Attentive Reader



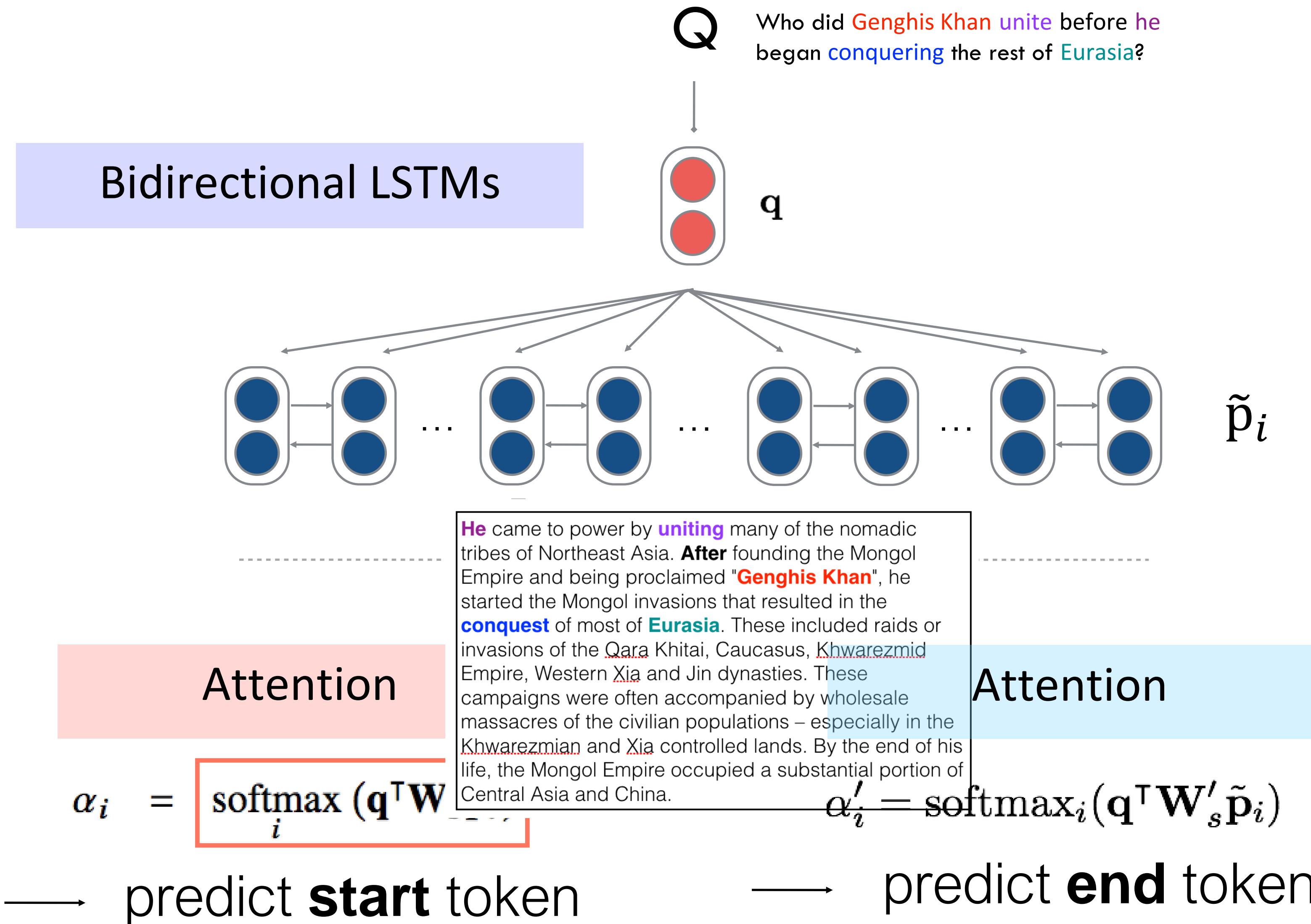
# The Stanford Attentive Reader



# Stanford Attentive Reader

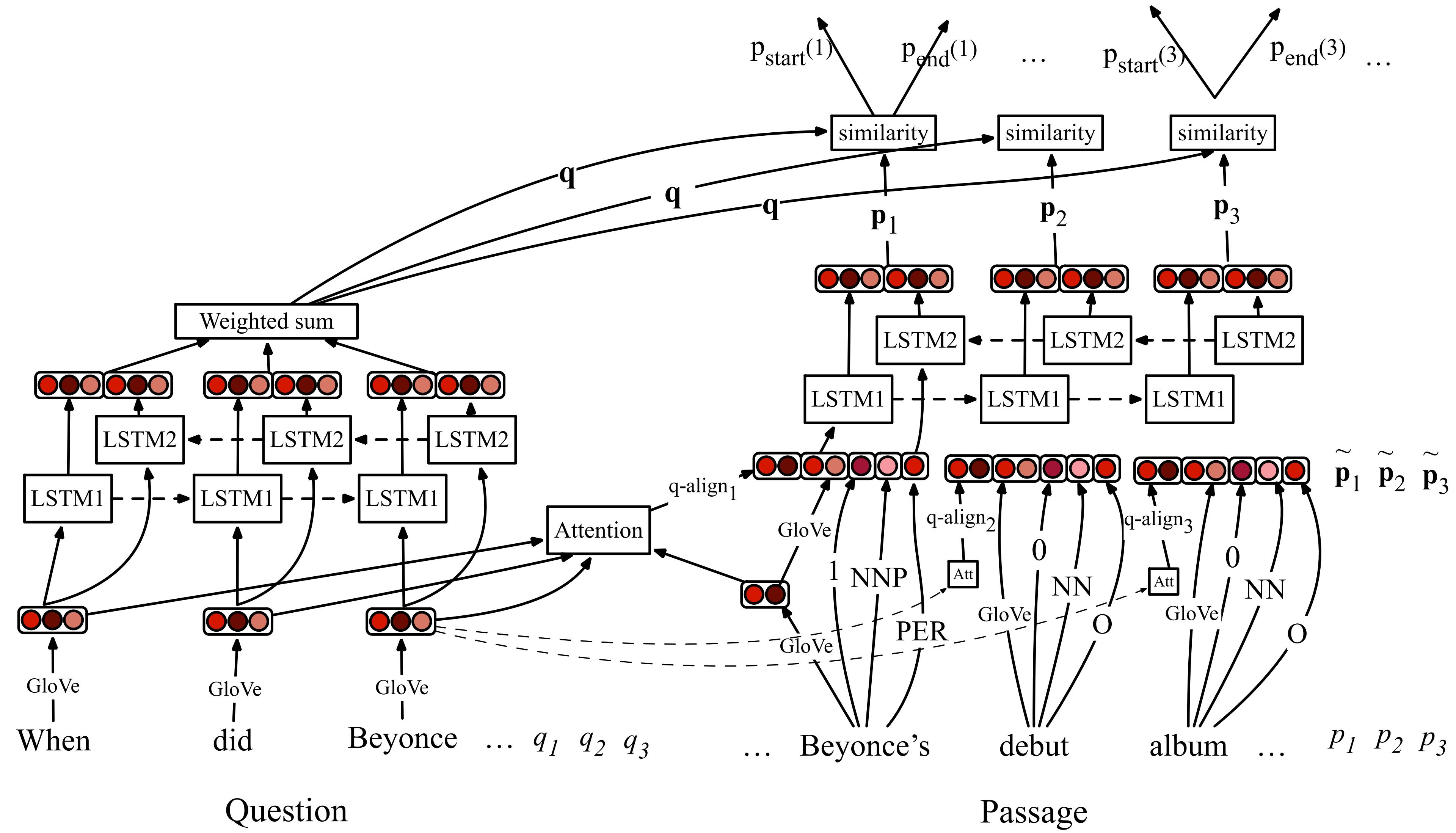


# Stanford Attentive Reader



# SQuAD 1.1 Results (single model, c. Feb 2017)

	F1
Logistic regression	51.0
Fine-Grained Gating (Carnegie Mellon U)	73.3
Match-LSTM (Singapore Management U)	73.7
DCN (Salesforce)	75.9
BiDAF (UW & Allen Institute)	77.3
Multi-Perspective Matching (IBM)	78.7
ReasoNet (MSR Redmond)	79.4
DrQA (Chen et al. 2017)	79.4
r-net (MSR Asia) [Wang et al., ACL 2017]	79.7
Human performance	91.2

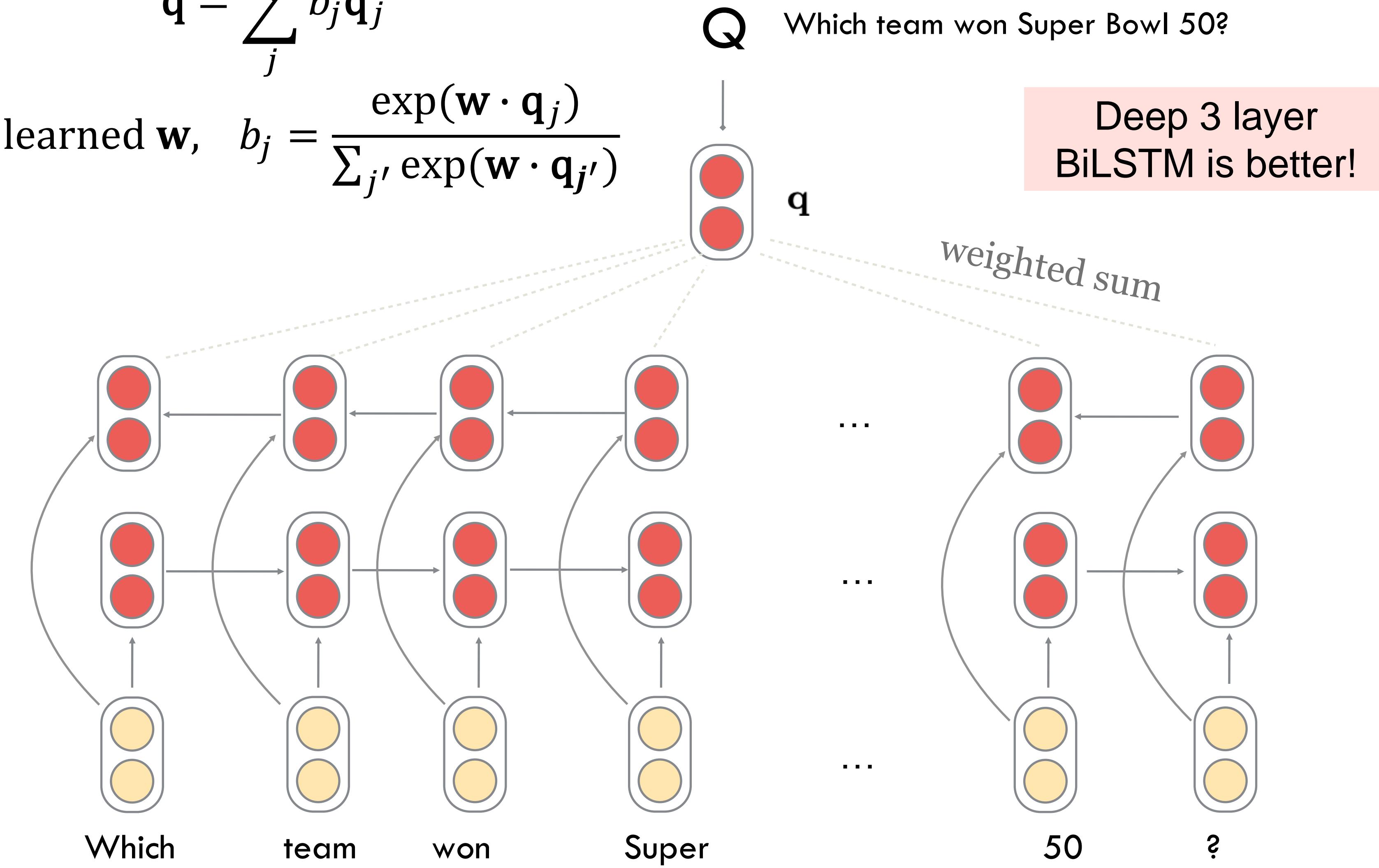


Training objective:  $\mathcal{L} = - \sum \log P^{(\text{start})}(a_{\text{start}}) - \sum \log P^{(\text{end})}(a_{\text{end}})$

# Stanford Attentive Reader++

$$\mathbf{q} = \sum_j b_j \mathbf{q}_j$$

For learned  $\mathbf{w}$ ,  $b_j = \frac{\exp(\mathbf{w} \cdot \mathbf{q}_j)}{\sum_{j'} \exp(\mathbf{w} \cdot \mathbf{q}_{j'})}$



## Stanford Attentive Reader++

$\mathbf{p}_i$ : Vector representation of each token in passage

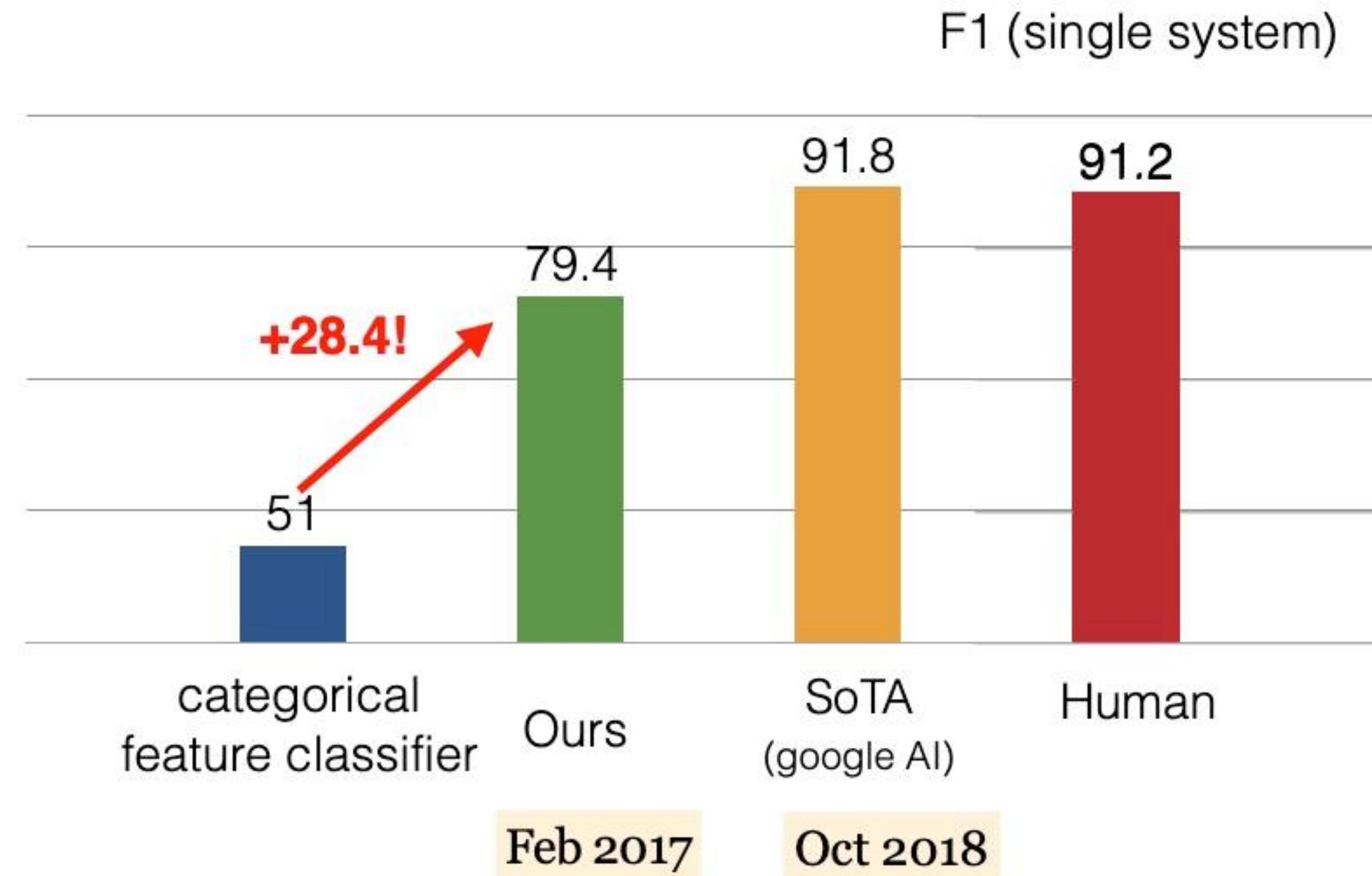
Made from concatenation of

- Word embedding (GloVe 300d)
- Linguistic features: POS & NER tags, one-hot encoded
- Term frequency (unigram probability)
- Exact match: whether the word appears in the question
- 3 binary features: exact, uncased, lemma
- Aligned question embedding (“car” vs “vehicle”)

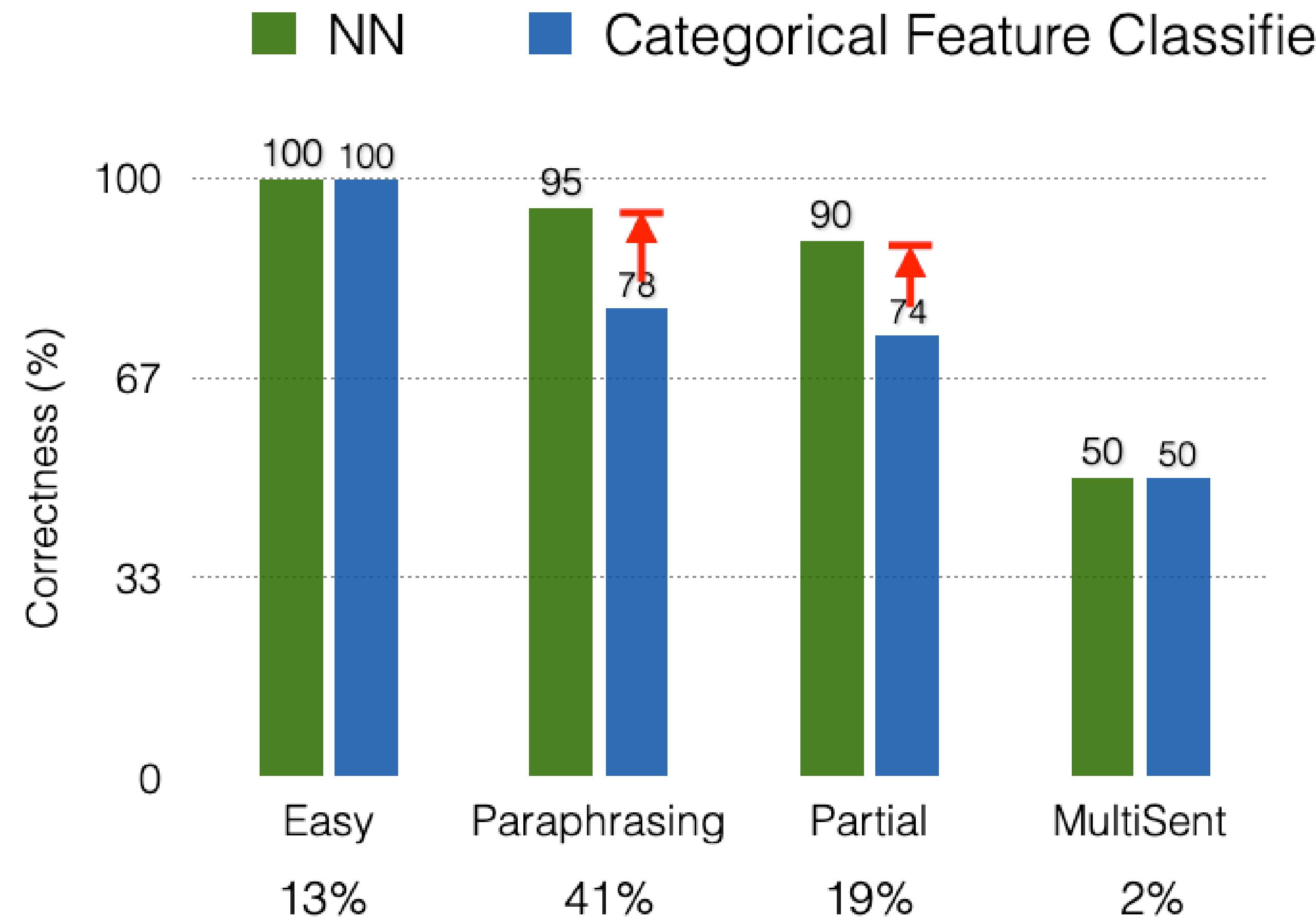
$$f_{align}(p_i) = \sum_j a_{i,j} \mathbf{E}(q_j) \quad a_{i,j} = \frac{\exp(\alpha(\mathbf{E}(p_i)) \cdot \alpha(\mathbf{E}(q_j)))}{\sum_{j'} \exp(\alpha(\mathbf{E}(p_i)) \cdot \alpha(\mathbf{E}(q'_j)))}$$

Where  $\alpha$  is a simple one layer FFNN

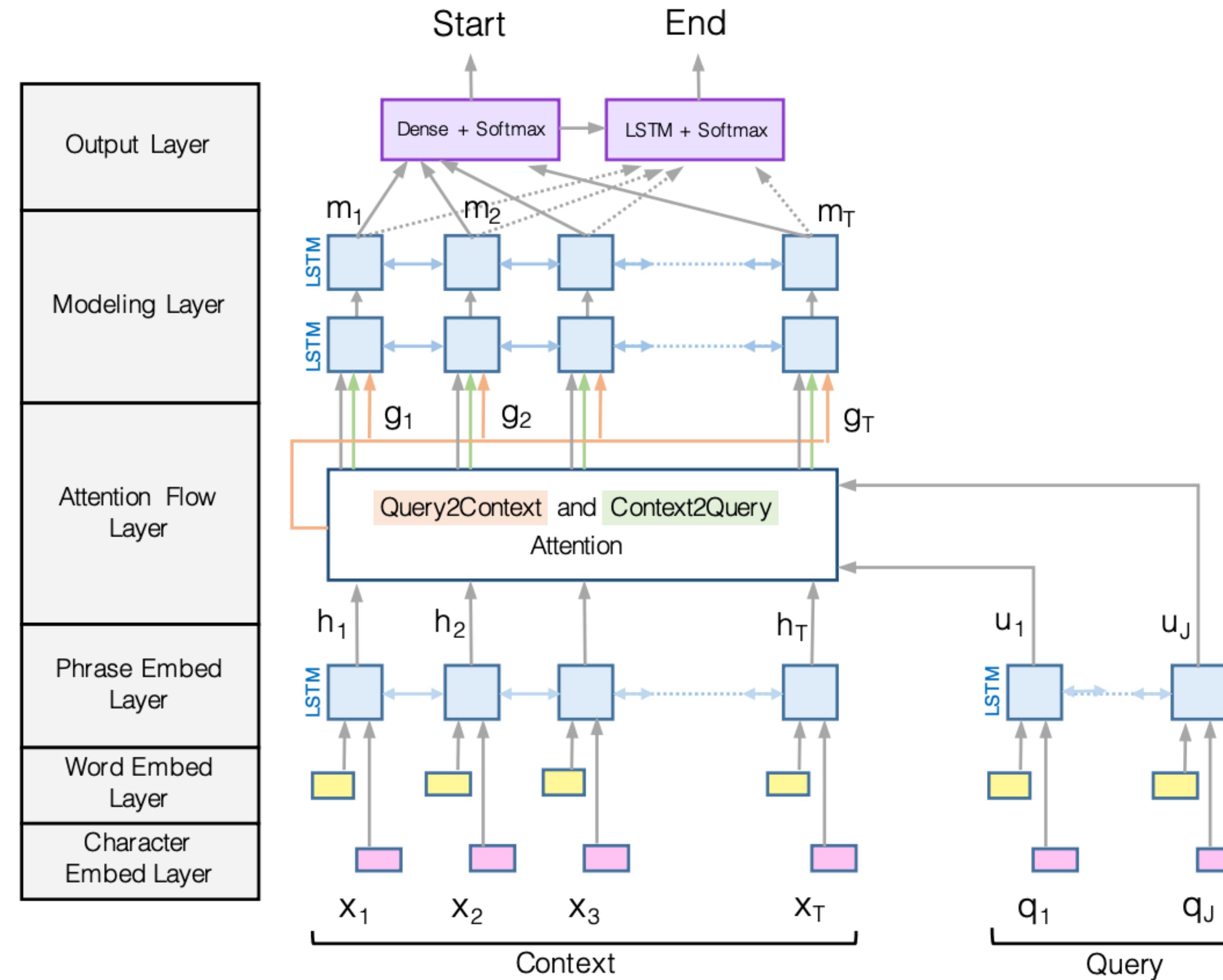
# A big win for neural models



# What do these neural models do?



# BiDAF: the Bidirectional Attention Flow model



# Attention visualization

Super Bowl 50 was an American football game to determine the champion of the National Football League ( NFL ) for the 2015 season . The American Football Conference ( AFC ) champion Denver Broncos defeated the National Football Conference ( NFC ) champion Carolina Panthers 24–10 to earn their third Super Bowl title . The game was played on February 7 , 2016 , at Levi 's Stadium in the San Francisco Bay Area at Santa Clara , California . As this was the 50th Super Bowl , the league emphasized the " golden anniversary " with various gold-themed initiatives , as well as temporarily suspending the tradition of naming each Super Bowl game with Roman numerals ( under which the game would have been known as " Super Bowl L " ) , so that the logo could prominently feature the Arabic numerals 50 .



at, the, at, Stadium, Levi, in, Santa, Ana

[ ]

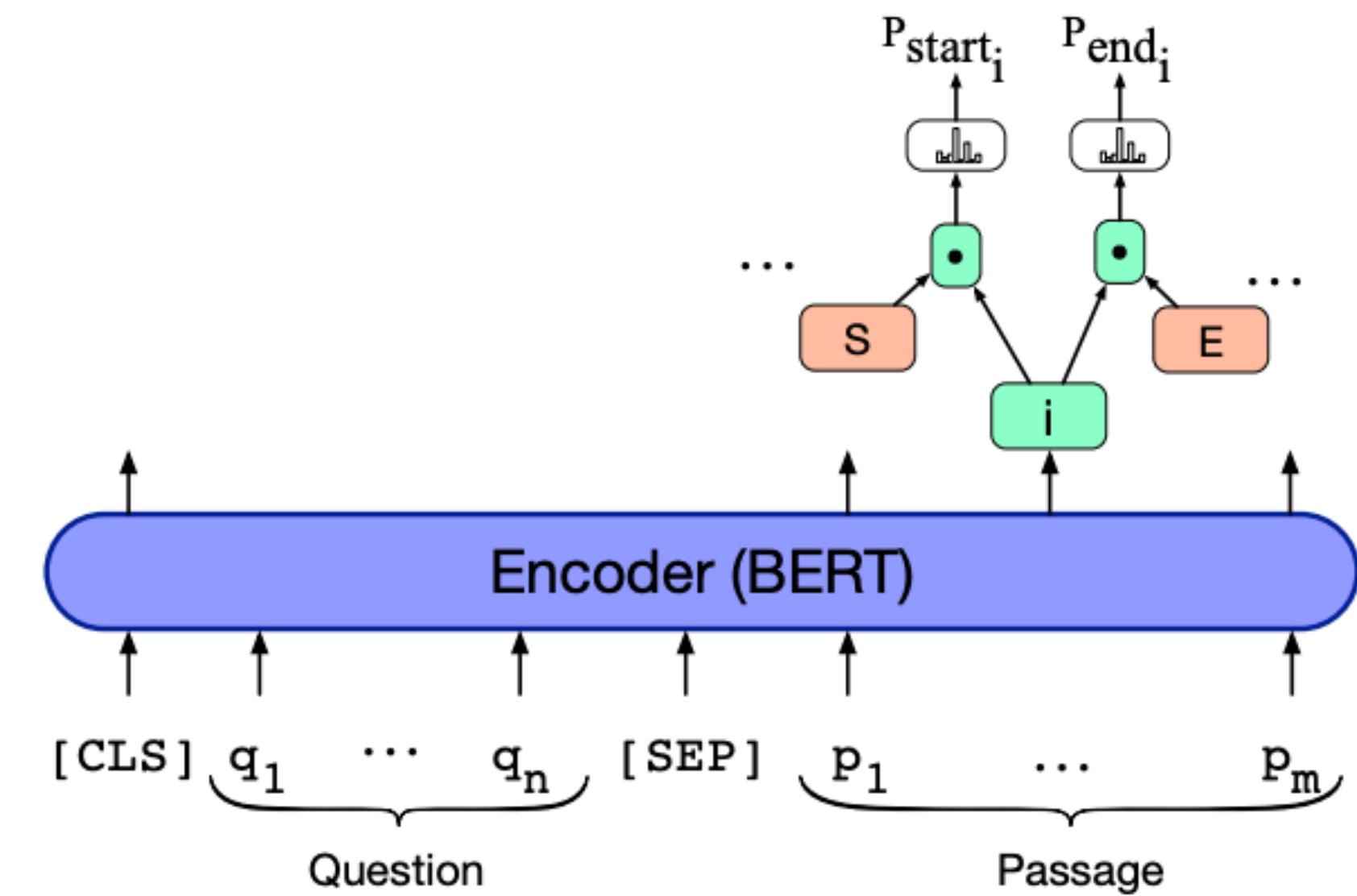
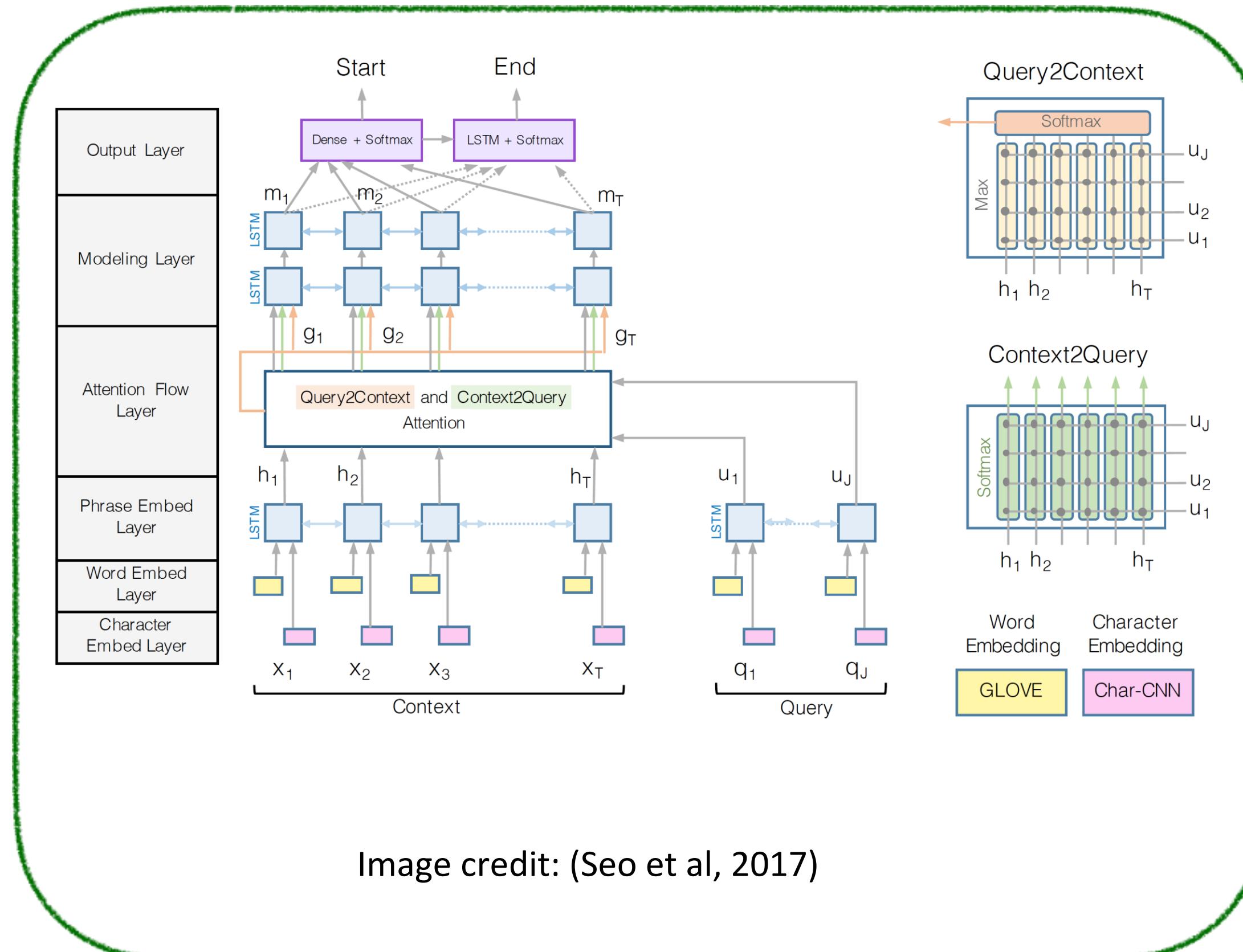
Super, Super, Super, Super, Super

Bowl, Bowl, Bowl, Bowl, Bowl

50

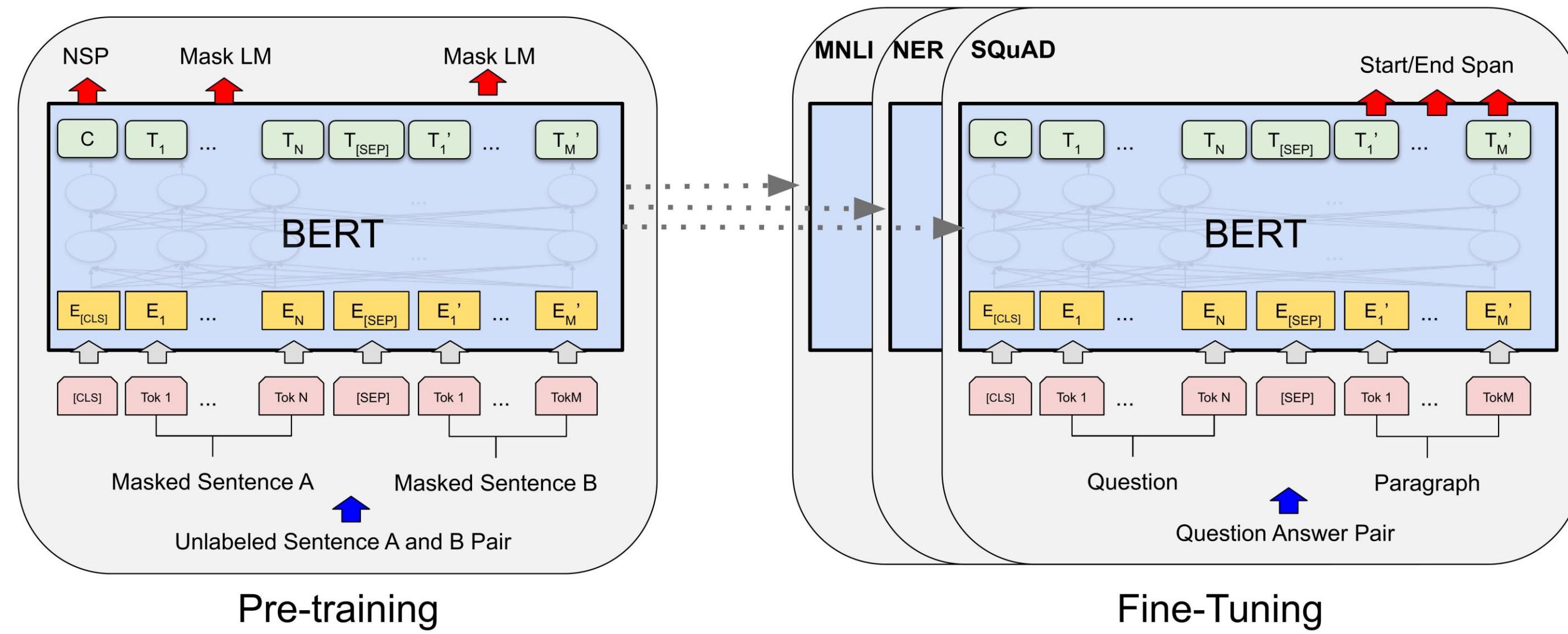
initiatives

# LSTM-based vs BERT models

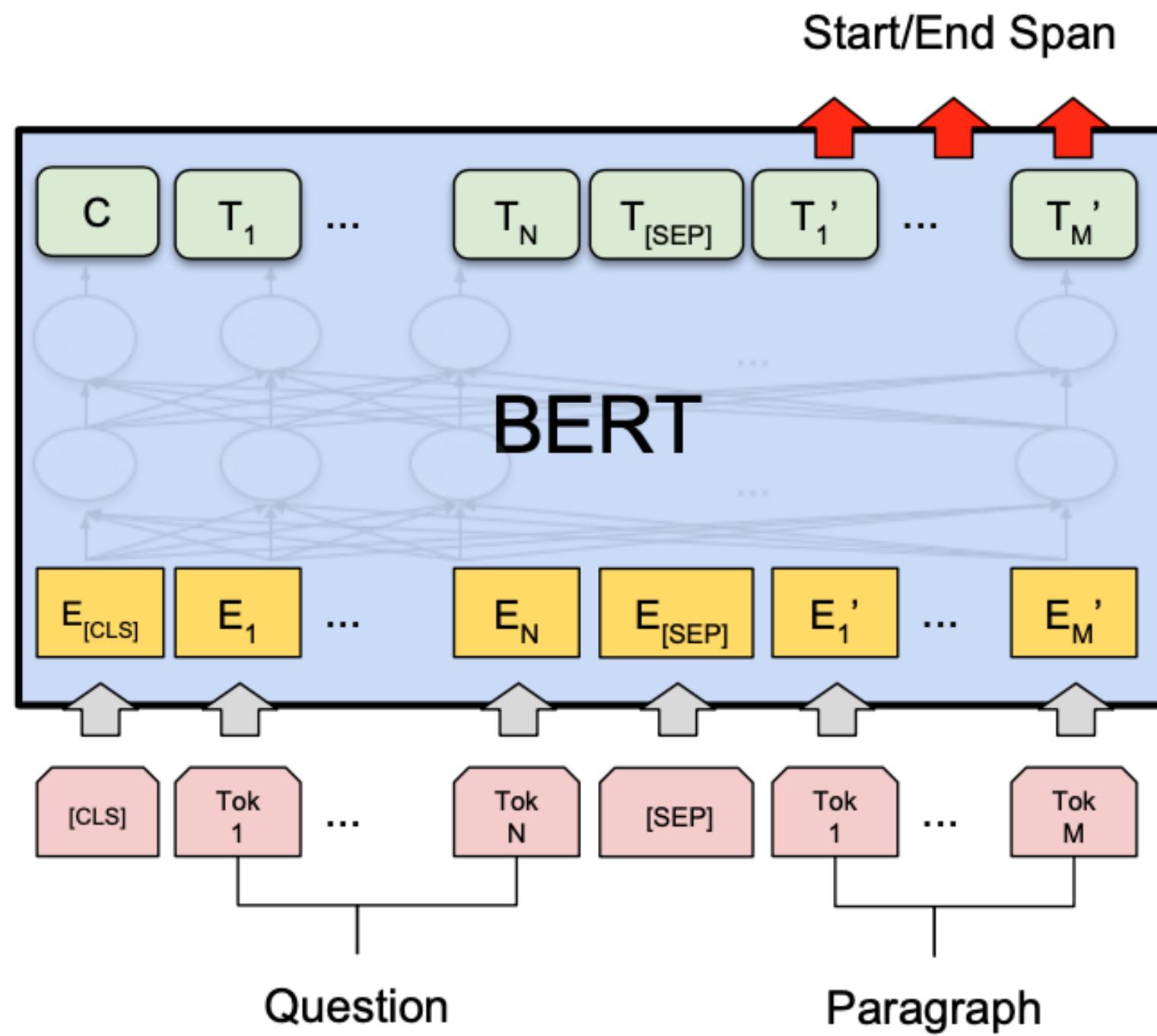


# BERT for reading comprehension

- BERT is a deep bidirectional Transformer encoder pre-trained on large amounts of text (Wikipedia + BooksCorpus)
- BERT is pre-trained on two training objectives:
  - Masked language model (MLM)
  - Next sentence prediction (NSP)
- $\text{BERT}_{\text{base}}$  has 12 layers and 110M parameters,  $\text{BERT}_{\text{large}}$  has 24 layers and 330M parameters



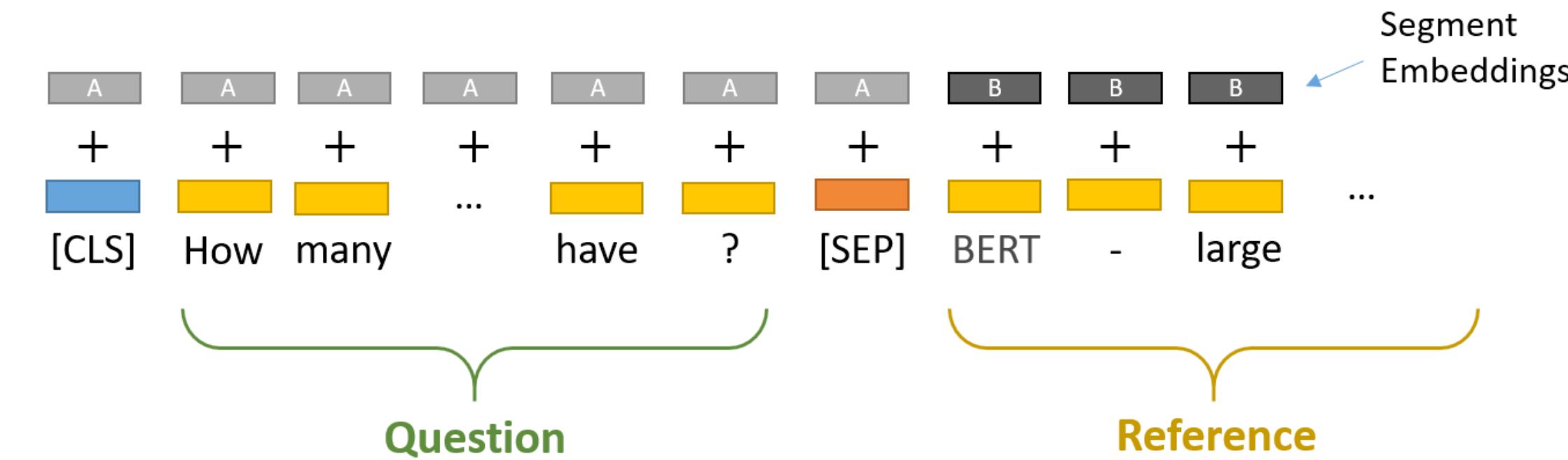
# BERT for reading comprehension



**Question** = Segment A

**Passage** = Segment B

**Answer** = predicting two endpoints in segment B



**Question:** How many parameters does BERT-large have?

**Reference Text:** BERT-large is really big... it has 24 layers and an embedding size of 1,024, for a total of 340M parameters! Altogether it is 1.34GB, so expect it to take a couple minutes to download to your Colab instance.

Image credit: <https://mccormickml.com/>

$$\mathcal{L} = -\log p_{\text{start}}(s^*) - \log p_{\text{end}}(e^*)$$

$$p_{\text{start}}(i) = \text{softmax}_i(\mathbf{w}_{\text{start}}^\top \mathbf{h}_i)$$

$$p_{\text{end}}(i) = \text{softmax}_i(\mathbf{w}_{\text{end}}^\top \mathbf{h}_i)$$

where  $\mathbf{h}_i$  is the hidden vector of  $C_i$ , returned by BERT

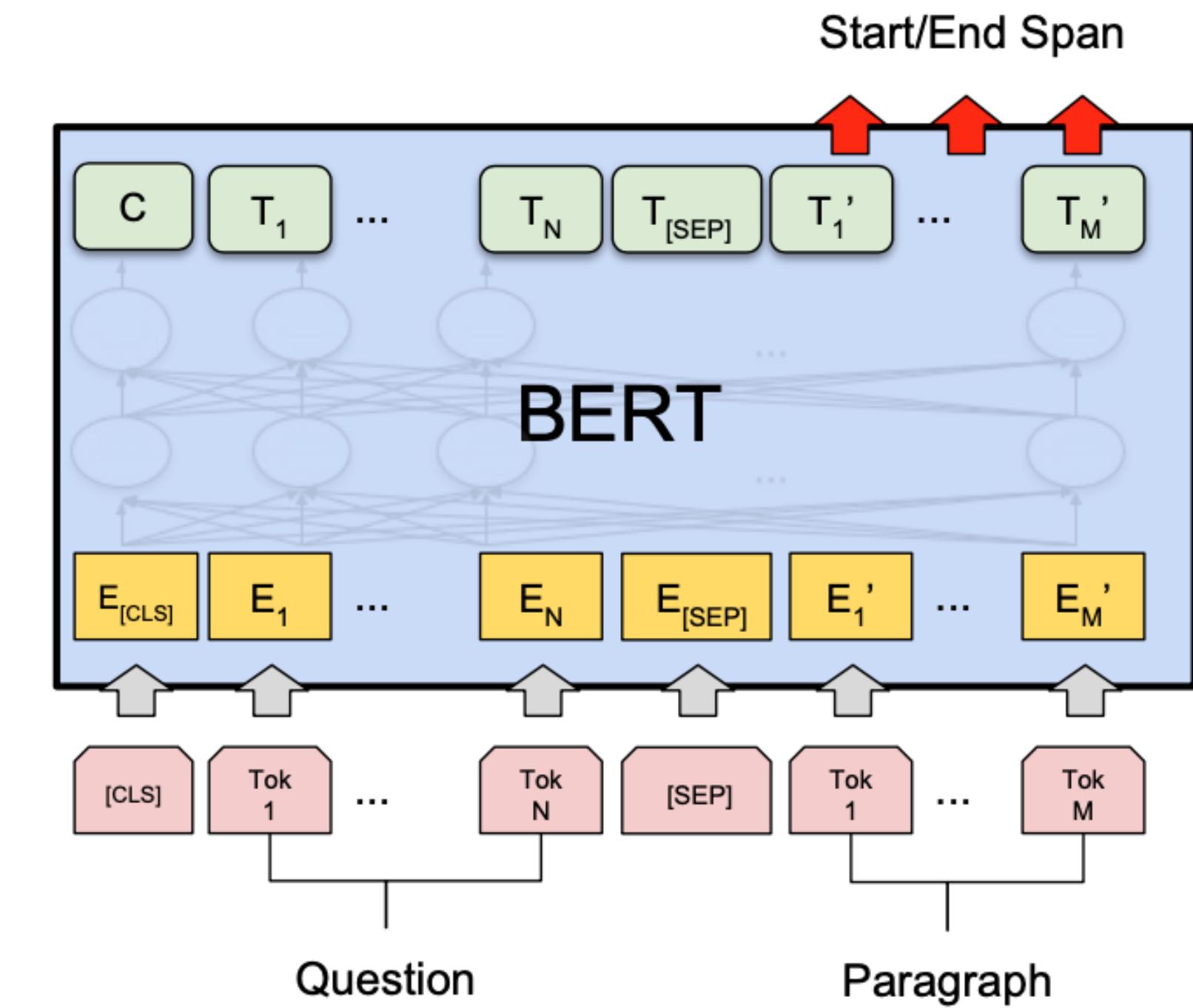
# BERT for reading comprehension

$$\mathcal{L} = -\log p_{\text{start}}(s^*) - \log p_{\text{end}}(e^*)$$

- All the BERT parameters (e.g., 110M) as well as the newly introduced parameters  $\mathbf{h}_{\text{start}}, \mathbf{h}_{\text{end}}$  (e.g.,  $768 \times 2 = 1536$ ) are optimized together for  $\mathcal{L}$ .
- It works amazingly well. Stronger pre-trained language models can lead to even better performance and SQuAD becomes a standard dataset for testing pre-trained models.

	F1	EM
Human performance	91.2*	82.3*
BiDAF	77.3	67.7
BERT-base	88.5	80.8
BERT-large	90.9	84.1
XLNet	94.5	89.0
RoBERTa	94.6	88.9
ALBERT	94.8	89.3

(dev set, except for human performance)



## Comparisons between BiDAF and BERT models

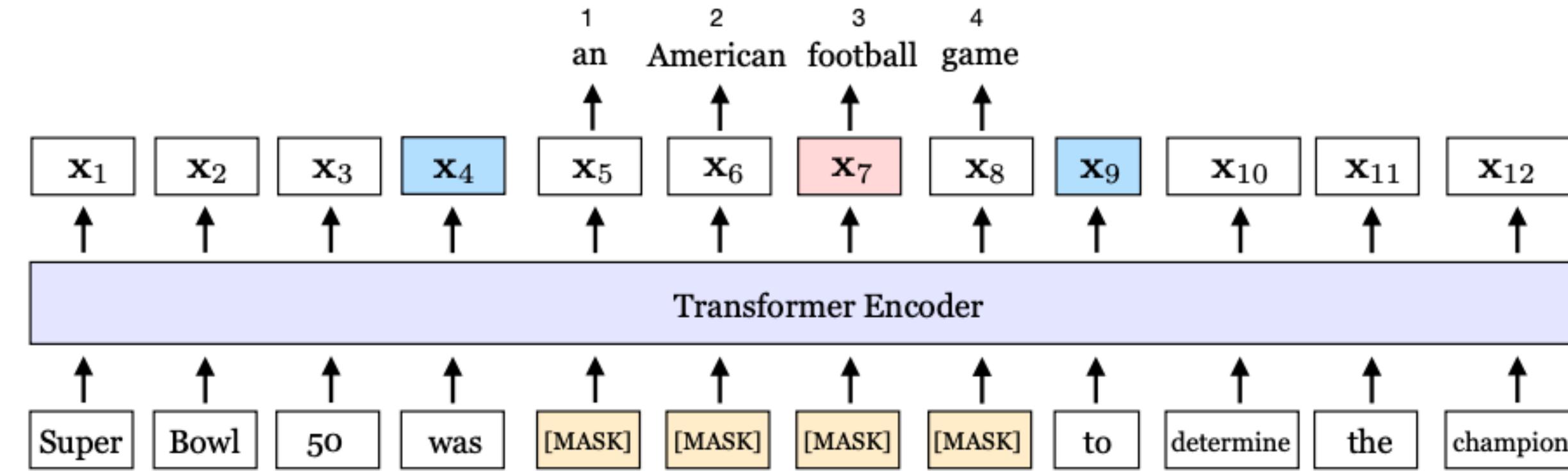
- BERT model has many many more parameters (110M or 330M)  
BiDAF has ~2.5M parameters.
- BiDAF is built on top of several bidirectional LSTMs while BERT is built on top of Transformers (no recurrence architecture and easier to parallelize).
- BERT is **pre-trained** while BiDAF is only built on top of GloVe (and all the remaining parameters need to be learned from the supervision datasets).

Pre-training is clearly a game changer but it is expensive..

# Can we design better pre-training objectives?

The answer is yes!

$$\begin{aligned}\mathcal{L}(\text{football}) &= \mathcal{L}_{\text{MLM}}(\text{football}) + \mathcal{L}_{\text{SBO}}(\text{football}) \\ &= -\log P(\text{football} \mid \mathbf{x}_7) - \log P(\text{football} \mid \mathbf{x}_4, \mathbf{x}_9, \mathbf{p}_3)\end{aligned}$$

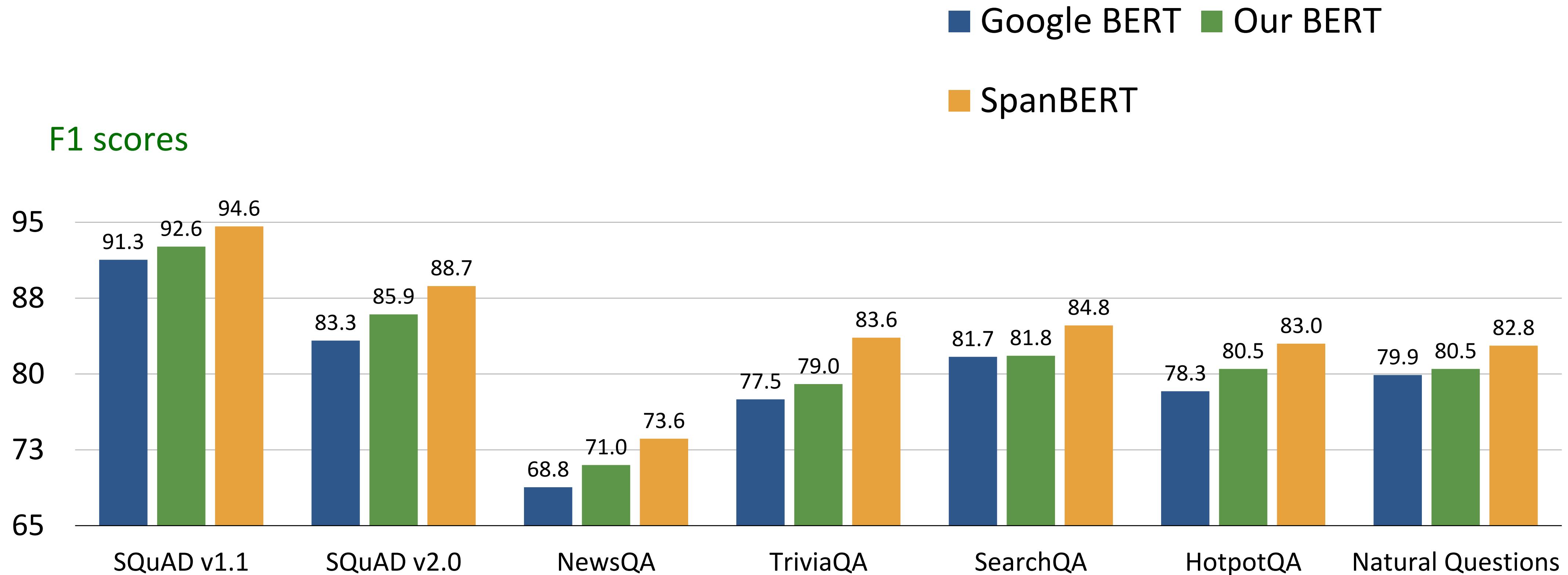


Two ideas:

- 1) masking contiguous spans of words instead of 15% random words
- 2) using the two end points of span to predict all the masked words in between = compressing the information of a span into its two endpoints

$$\mathbf{y}_i = f(\mathbf{x}_{s-1}, \mathbf{x}_{e+1}, \mathbf{p}_{i-s+1})$$

# SpanBERT performance



# Is reading comprehension solved?

- We have already surpassed human performance on SQuAD. Does it mean that reading comprehension is already solved? **Of course not!**
- The current systems still perform poorly on adversarial examples or examples from out-of-domain distributions

**Article:** Super Bowl 50

**Paragraph:** “*Peyton Manning became the first quarterback ever to lead two different teams to multiple Super Bowls. He is also the oldest quarterback ever to play in a Super Bowl at age 39. The past record was held by John Elway, who led the Broncos to victory in Super Bowl XXXIII at age 38 and is currently Denver’s Executive Vice President of Football Operations and General Manager. Quarterback Jeff Dean had jersey number 37 in Champ Bowl XXXIV.*”

**Question:** “What is the name of the quarterback who was 38 in Super Bowl XXXIII?”

**Original Prediction:** John Elway

**Prediction under adversary:** Jeff Dean

	Match Single	Match Ens.	BiDAF Single	BiDAF Ens.
Original	71.4	75.4	75.5	80.0
ADDSENT	27.3	29.4	34.3	34.2
ADDONESENT	39.0	41.8	45.7	46.9
ADDANY	7.6	11.7	4.8	2.7
ADDCOMMON	38.9	51.0	41.7	52.6

# Is reading comprehension solved?

Systems trained on one dataset can't generalize to other datasets:

Fine-tuned on	Evaluated on				
	SQuAD	TriviaQA	NQ	QuAC	NewsQA
SQuAD	<b>75.6</b>	46.7	48.7	20.2	41.1
TriviaQA	49.8	<b>58.7</b>	42.1	20.4	10.5
NQ	53.5	46.3	<b>73.5</b>	21.6	24.7
QuAC	39.4	33.1	33.8	<b>33.3</b>	13.8
NewsQA	52.1	38.4	41.7	20.4	<b>60.1</b>

# Is reading comprehension solved?

BERT-large model trained on SQuAD

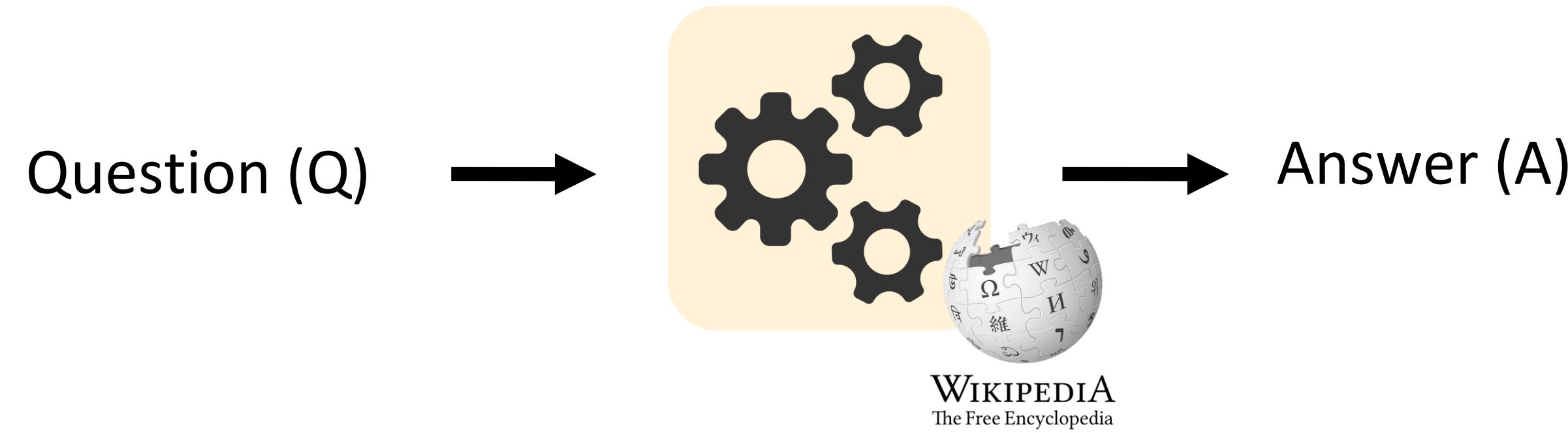
	<b>Test TYPE and Description</b>	<b>Failure Rate (⌚)</b>	<b>Example Test cases (with expected behavior and 🗃 prediction)</b>
Vocab	<b>MFT:</b> comparisons	20.0	C: Victoria is younger than Dylan. Q: Who is less young? A: Dylan 🗃: Victoria
	<b>MFT:</b> intensifiers to superlative: most/least	91.3	C: Anna is worried about the project. Matthew is extremely worried about the project. Q: Who is least worried about the project? A: Anna 🗃: Matthew
	<b>MFT:</b> match properties to categories	82.4	C: There is a tiny purple box in the room. Q: What size is the box? A: tiny 🗃: purple
	<b>MFT:</b> nationality vs job	49.4	C: Stephanie is an Indian accountant. Q: What is Stephanie's job? A: accountant 🗃: Indian accountant
	<b>MFT:</b> animal vs vehicles	26.2	C: Jonathan bought a truck. Isabella bought a hamster. Q: Who bought an animal? A: Isabella 🗃: Jonathan
Taxonomy	<b>MFT:</b> comparison to antonym	67.3	C: Jacob is shorter than Kimberly. Q: Who is taller? A: Kimberly 🗃: Jacob
	<b>MFT:</b> more/less in context, more/less antonym in question	100.0	C: Jeremy is more optimistic than Taylor. Q: Who is more pessimistic? A: Taylor 🗃: Jeremy
	<b>INV:</b> Swap adjacent characters in Q (typo)	11.6	C: ...Newcomen designs had a duty of about 7 million, but most were closer to 5 million.... Q: What was the ideal <b>duty</b> → <b>udty</b> of a Newcomen engine? A: INV 🗃: <b>7 million</b> → <b>5 million</b>
Robust.	<b>INV:</b> add irrelevant sentence to C	9.8	(no example)

# Is reading comprehension solved?

BERT-large model trained on SQuAD

Temporal	<b>MFT:</b> change in one person only	41.5	C: Both Luke and Abigail were writers, but there was a change in Abigail, who is now a model. Q: Who is a model? A: Abigail : Abigail were writers, but there was a change in Abigail
	<b>MFT:</b> Understanding before/after, last/first	82.9	C: Logan became a farmer before Danielle did. Q: Who became a farmer last? A: Danielle : Logan
Neg.	<b>MFT:</b> Context has negation	67.5	C: Aaron is not a writer. Rebecca is. Q: Who is a writer? A: Rebecca : Aaron
	<b>MFT:</b> Q has negation, C does not	100.0	C: Aaron is an editor. Mark is an actor. Q: Who is not an actor? A: Aaron : Mark
Coref.	<b>MFT:</b> Simple coreference, he/she.	100.0	C: Melissa and Antonio are friends. He is a journalist, and she is an adviser. Q: Who is a journalist? A: Antonio : Melissa
	<b>MFT:</b> Simple coreference, his/her.	100.0	C: Victoria and Alex are friends. Her mom is an agent Q: Whose mom is an agent? A: Victoria : Alex
	<b>MFT:</b> former/latter	100.0	C: Kimberly and Jennifer are friends. The former is a teacher Q: Who is a teacher? A: Kimberly : Jennifer
SRL	<b>MFT:</b> subject/object distinction	60.8	C: Richard bothers Elizabeth. Q: Who is bothered? A: Elizabeth : Richard
	<b>MFT:</b> subj/obj distinction with 3 agents	95.7	C: Jose hates Lisa. Kevin is hated by Lisa. Q: Who hates Kevin? A: Lisa : Jose

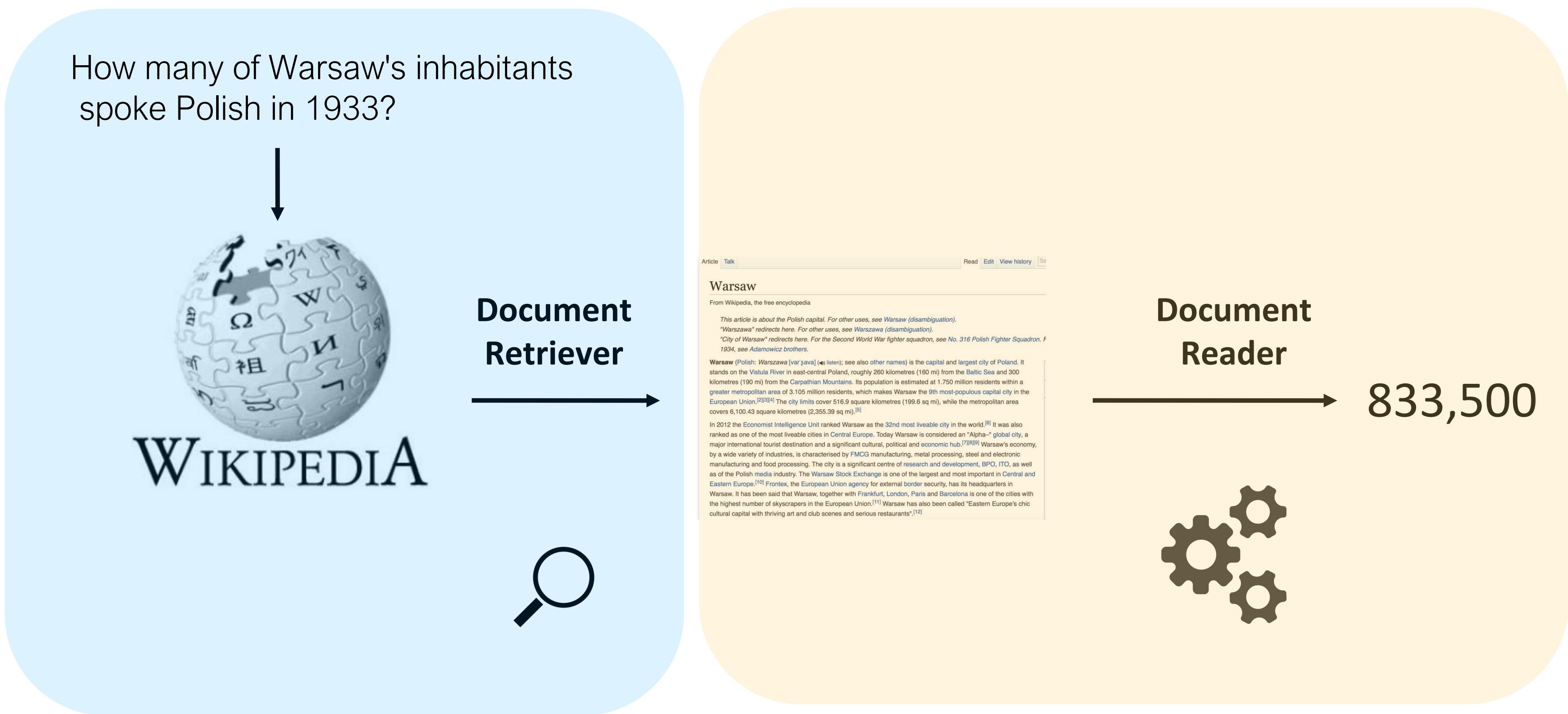
### 3. Open-domain question answering



- Different from reading comprehension, we don't assume a given passage.
- Instead, we only have access to a large collection of documents (e.g., Wikipedia). We don't know where the answer is located, and the goal is to return the answer for any open-domain questions.
- Much more challenging and a more practical problem!

*In contrast to **closed-domain** systems that deal with questions under a specific domain (medicine, technical support).*

# Retriever-reader framework



# Retriever-reader framework

- Input: a large collection of documents  $\mathcal{D} = D_1, D_2, \dots, D_N$  and  $Q$
  - Output: an answer string  $A$
- 
- Retriever:  $f(\mathcal{D}, Q) \rightarrow P_1, \dots, P_K$  K is pre-defined (e.g., 100)
  - Reader:  $g(Q, \{P_1, \dots, P_K\}) \rightarrow A$  A reading comprehension problem!

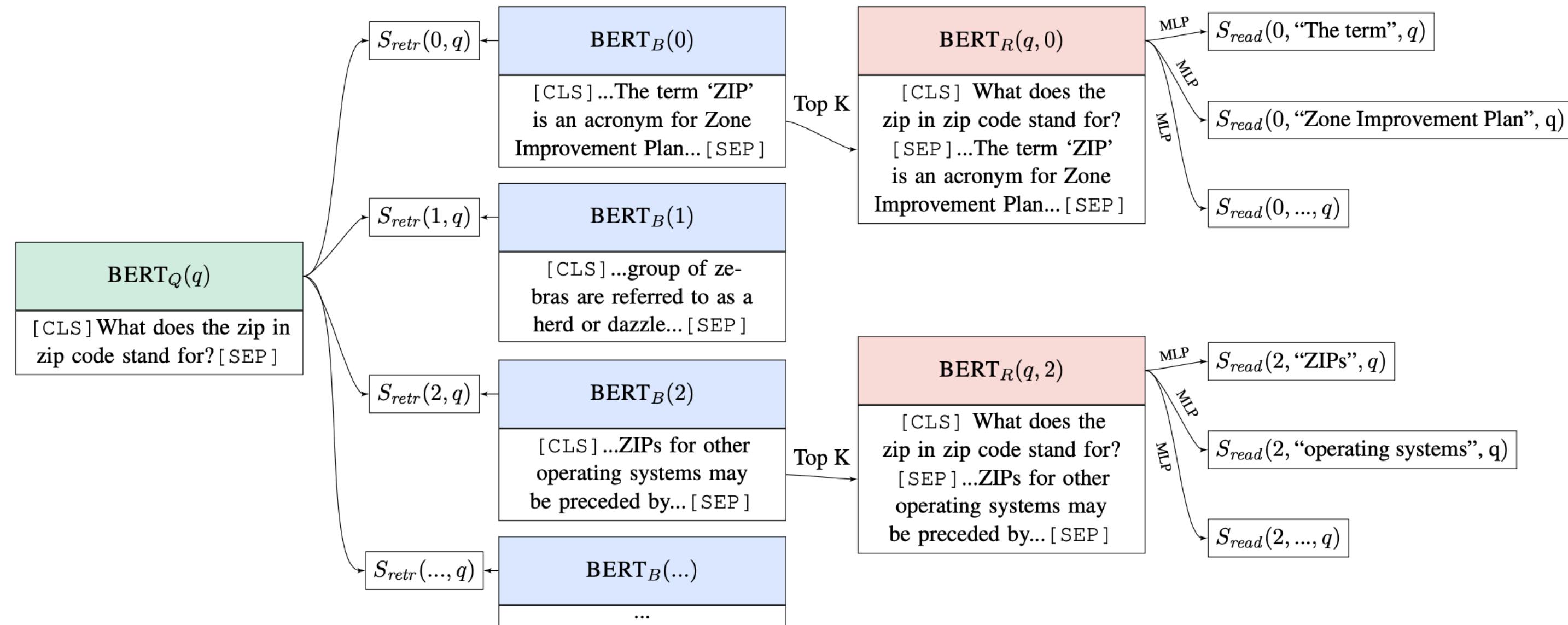
In DrQA,

- Retriever = A standard TF-IDF information-retrieval sparse model (a fixed module)
- Reader = a neural reading comprehension model that we just learned
  - Trained on SQuAD and other distantly-supervised QA datasets

*Distantly-supervised examples:*  $(Q, A) \rightarrow (P, Q, A)$

# We can train the retriever too

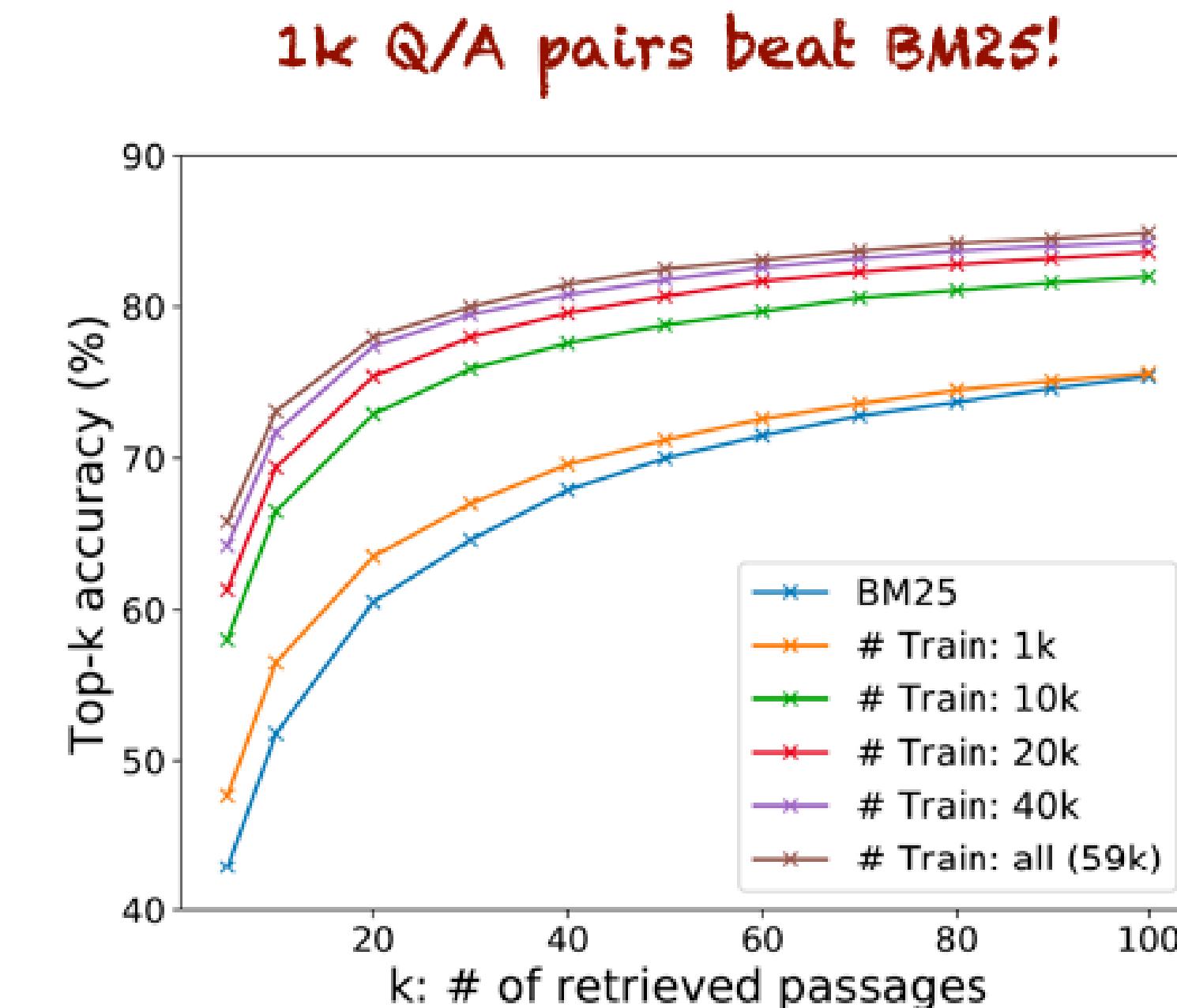
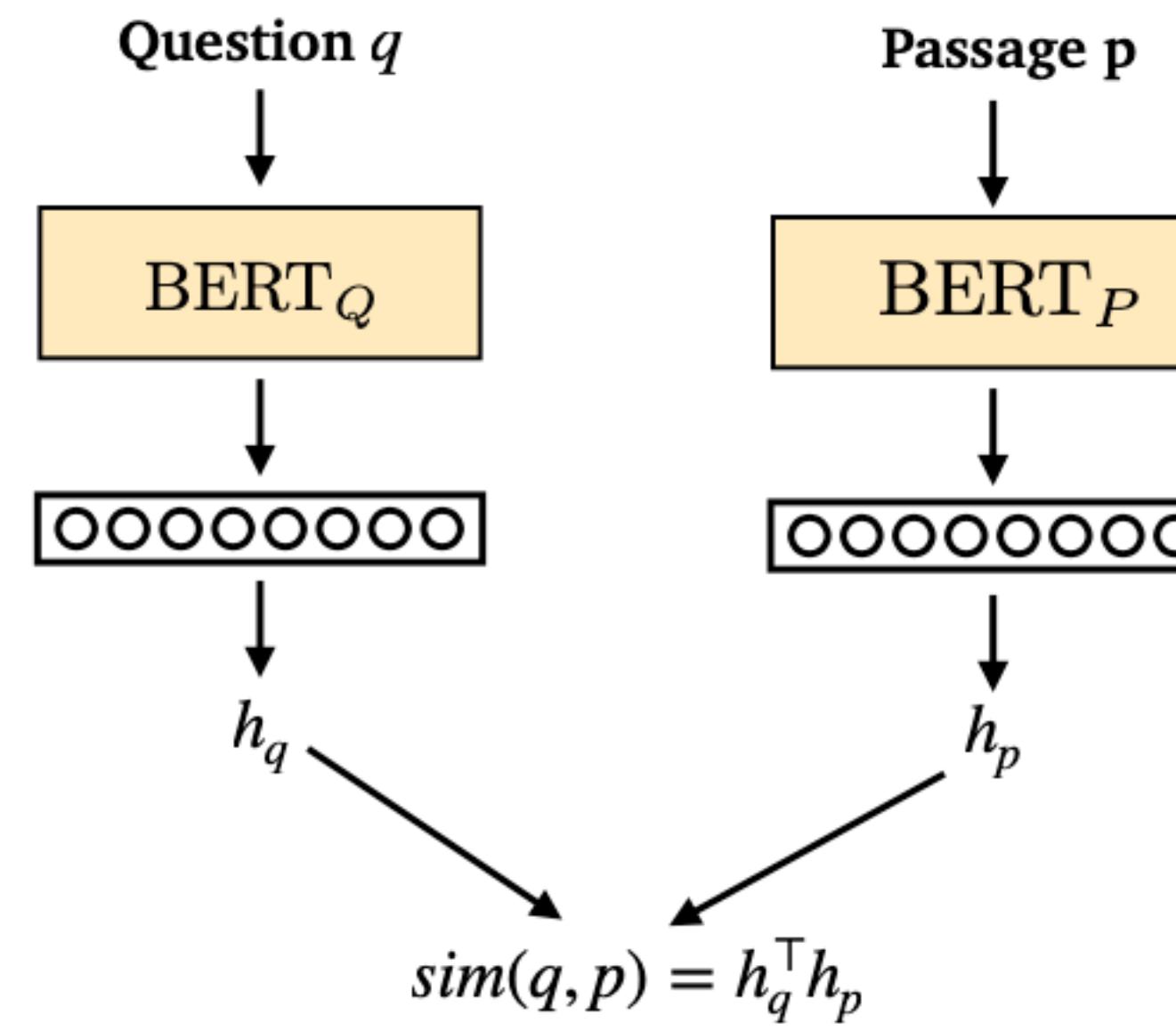
- Joint training of retriever and reader



- Each text passage can be encoded as a vector using BERT and the retriever score can be measured as the dot product between the question representation and passage representation.
- However, it is not easy to model as there are a huge number of passages (e.g., 21M in English Wikipedia)

# We can train the retriever too

- Dense passage retrieval (DPR) - We can also just train the retriever using question-answer pairs!



- Trainable retriever (using BERT) largely outperforms traditional IR retrieval models

# We can train the retriever too

Who tells harry potter that he is a wizard in the harry potter series? ▼ Run

Title: *Harry Potter (film series)*      Retrieval ranking: #90       $P(p|q)=0.85$      $P(a|p,q)=1.00$      $P(a,p|q)=0.84$

... and uncle. At the age of eleven, half-giant **Rubeus Hagrid** informs him that he is actually a wizard and that his parents were murdered by an evil wizard named Lord Voldemort. Voldemort also attempted to kill one-year-old Harry on the same night, but his killing curse mysteriously rebounded and reduced him to a weak and helpless form. Harry became extremely famous in the Wizarding World as a result. Harry begins his first year at Hogwarts School of Witchcraft and Wizardry and learns about magic. During the year, Harry and his friends Ron Weasley and Hermione Granger become entangled in the ...

Title: *Harry Potter (character)*      Retrieval ranking: #1       $P(p|q)=0.04$      $P(a|p,q)=0.97$      $P(a,p|q)=0.04$

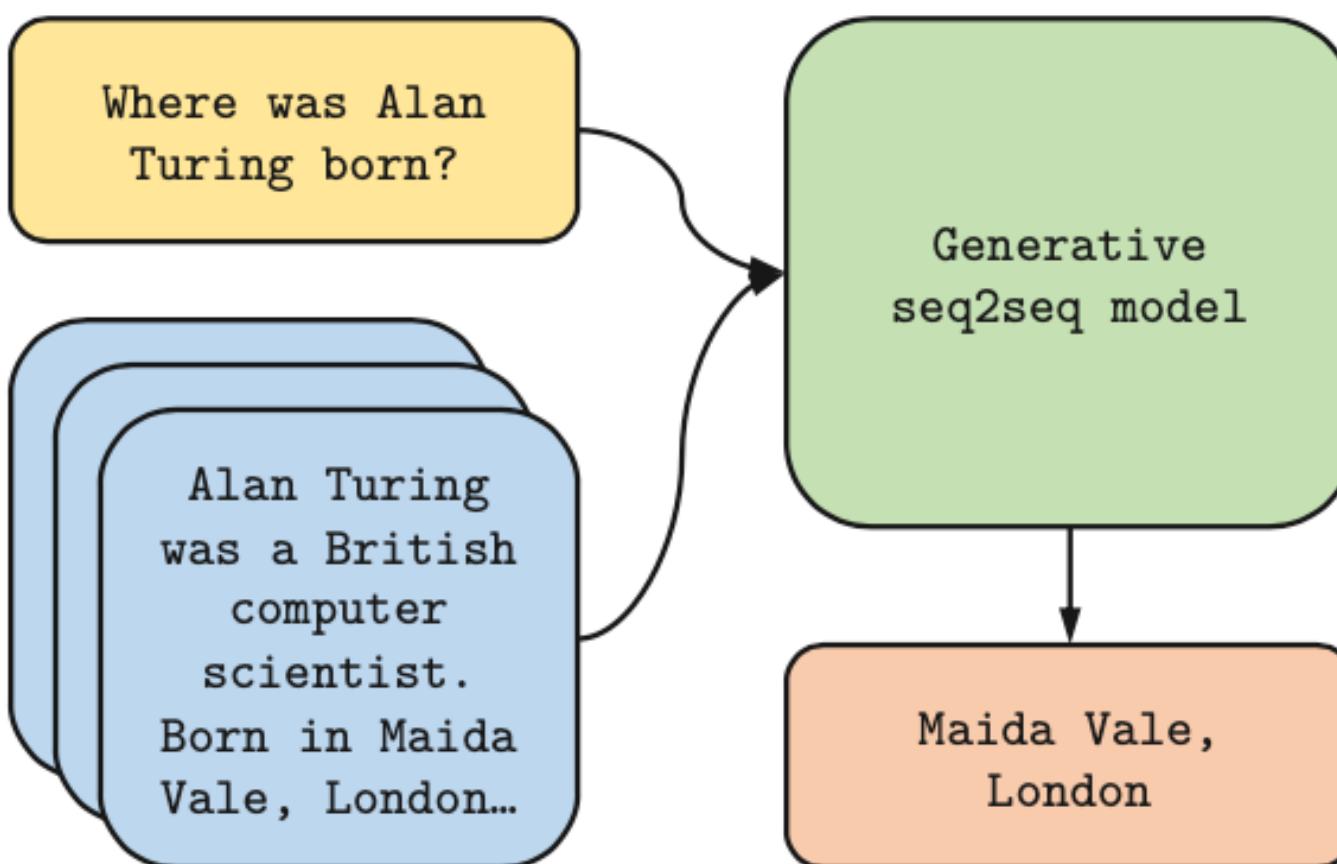
... Harry Potter (character) Harry James Potter is the titular protagonist of J. K. Rowling's "Harry Potter" series. The majority of the books' plot covers seven years in the life of the orphan Potter, who, on his eleventh birthday, learns he is a wizard. Thus, he attends Hogwarts School of Witchcraft and Wizardry to practice magic under the guidance of the kindly headmaster Albus Dumbledore and other school professors along with his best friends Ron Weasley and **Hermione Granger**. Harry also discovers that he is already famous throughout the novel's magical community, and that his fate is tied with that of ...

<http://qa.cs.washington.edu:2020/>

# Dense retrieval + generative models

Recent work shows that it is beneficial to generate answers instead of to extract answers.

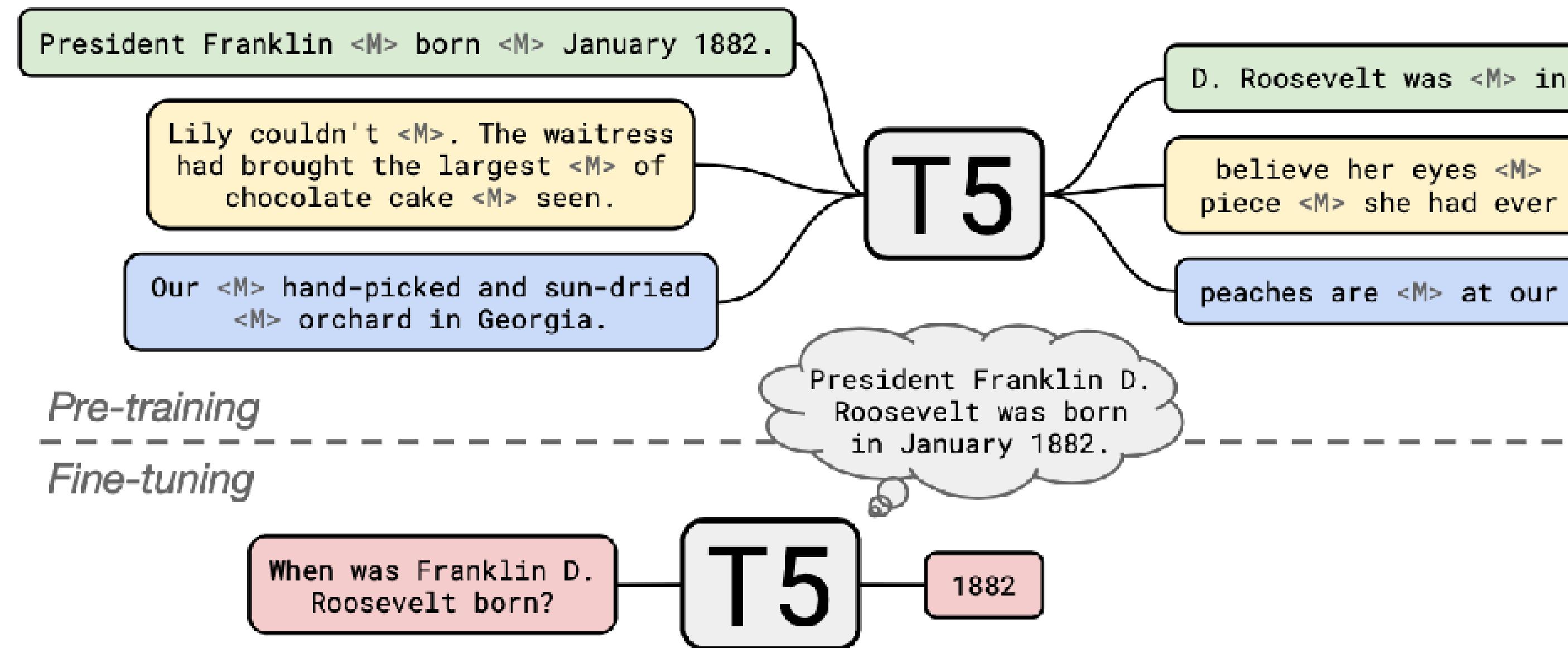
Fusion-in-decoder (FID) = DPR + T5



Model	NaturalQuestions	TriviaQA	
ORQA (Lee et al., 2019)	31.3	45.1	-
REALM (Guu et al., 2020)	38.2	-	-
DPR (Karpukhin et al., 2020)	41.5	57.9	-
SpanSeqGen (Min et al., 2020)	42.5	-	-
RAG (Lewis et al., 2020)	44.5	56.1	68.0
T5 (Roberts et al., 2020)	36.6	-	60.5
GPT-3 few shot (Brown et al., 2020)	29.9	-	71.2
Fusion-in-Decoder (base)	48.2	65.0	77.1
Fusion-in-Decoder (large)	<b>51.4</b>	<b>67.6</b>	<b>80.1</b>

# Large language models can do open-domain QA well

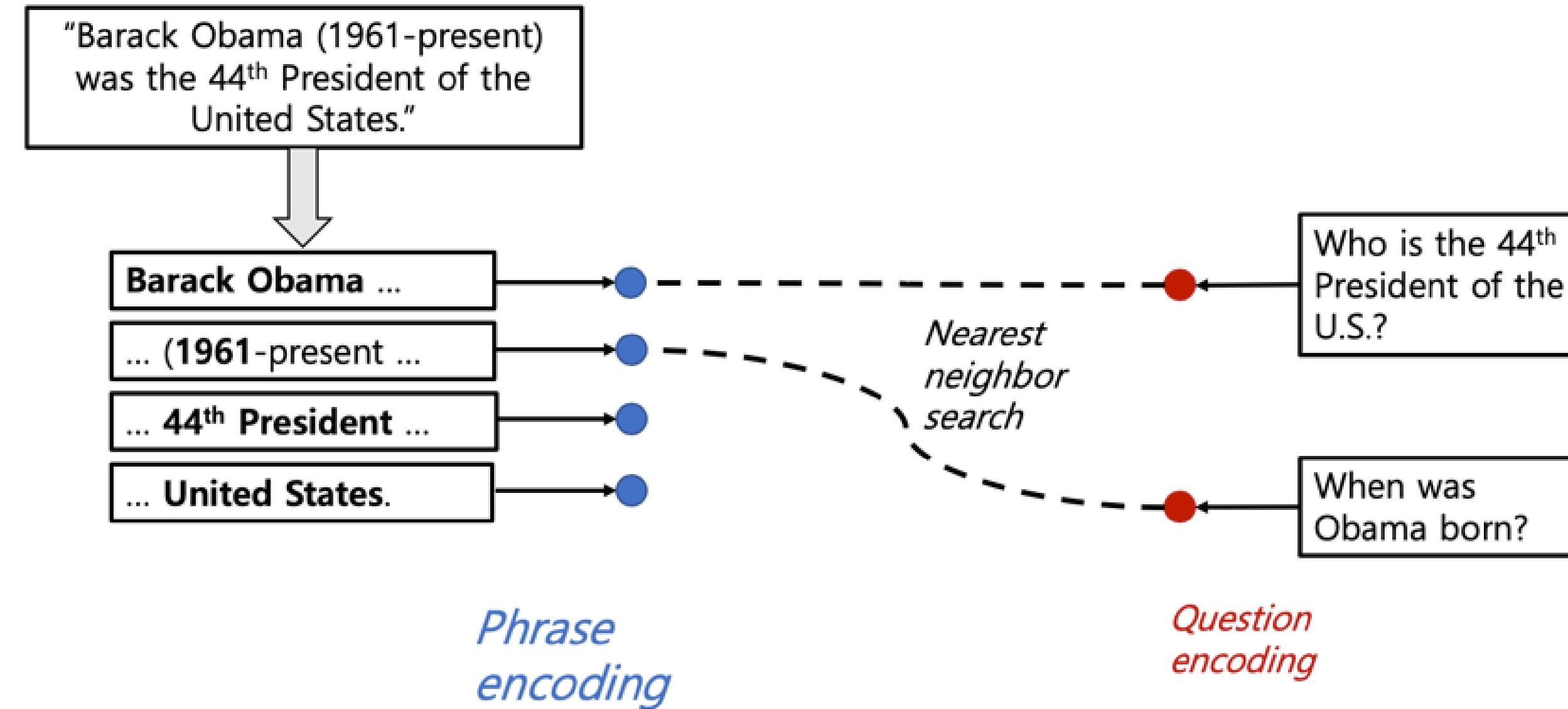
- ... without an explicit retriever stage



# Maybe the reader model is not necessary too!

It is possible to encode all the phrases (60 billion phrases in Wikipedia) using **dense** vectors and only do nearest neighbor search without a BERT model at inference time!

## Phrase Indexing



# Large language model-based QA (with web search!)

The screenshot shows a search interface with the following elements:

- Search bar:** "Where does Christopher D. Manning teach?"
- Search icons:** X, magnifying glass, and a gear icon.
- Filter tabs:** All, Chat (selected), Images, Videos, News, Maps, More.
- Result summary:** "Where does Christopher D. Manning teach?"
- Text snippet:** Christopher D. Manning is a professor of computer science and linguistics at Stanford University [1][2]. He is also the Director of the Stanford Artificial Intelligence Laboratory [1], and has been teaching at Stanford since 1994.
- Links:**
  1. Christopher Manning's Profile | Stanford Profiles  
<https://profiles.stanford.edu/chris-manning>
  2. Introduction to Information Retrieval: Manning, Christopher D ...  
<https://www.amazon.com/Introduction-Information-Retrieval-Christopher-Manning/dp/0521865719>
- Interaction icons:** Upvote, like, and dislike.

# Problems with large language model-based QA

The screenshot shows a search interface with the following elements:

- Logo:** A stylized "YOU.com" logo.
- Search Bar:** The text "What is the most cited paper by Christopher D. Manning?" is entered. To the right of the bar are a close button ("X"), a magnifying glass icon, and a settings gear icon.
- Filter Buttons:** A horizontal row of buttons: "All" (disabled), "Chat" (selected, highlighted in blue), "Images", "Videos", "News", "Maps", and "More".
- Result Summary:** A blue button-like box contains the question again: "What is the most cited paper by Christopher D. Manning?"
- Text Content:** A large text block states: "The most cited paper by Christopher D. Manning is "Effective Approaches to Attention-Based Neural Machine Translation", which was co-authored by Minh-Thang Luong [1], Hieu Pham, and Christopher D. Manning. This paper has been cited over 18,400 times and is one of the most influential papers in the field of Natural Language Processing." Below this is a numbered list:
  1. [Effective Approaches to Attention-based Neural Machine Translation](https://arxiv.org/abs/1508.04025)  
<https://arxiv.org/abs/1508.04025>
- Interaction Icons:** At the bottom right of the main content area are three small icons: a share symbol, a thumbs up, and a thumbs down.
- Input Field:** A white input field at the bottom left contains the placeholder text "Ask me anything...". To its right is a circular icon with a white envelope or message symbol.

Seems totally reasonable!

But (1) it's not his most cited paper, and (2) it doesn't have that many citations. Yikes! Also the reference to a web page doesn't help.