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# Predictions of Chemical Shifts for Reactive Intermediates in CO<sub>2</sub> Reduction under *Operando* Conditions

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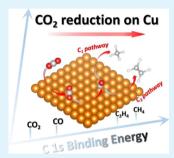
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ABSTRACT: The electroreduction of CO<sub>2</sub> into value-added products is a significant step toward closing the global carbon loop, but its performance remains far from meeting the requirement of any practical application. The insufficient understanding of the reaction mechanism is one of the major causes that impede future development. Although several possible reaction pathways have been proposed, significant debates exist due to the lack of experimental support. In this work, we provide opportunities for experiments to validate the reaction mechanism by providing predictions of the core-level shifts (CLS) of reactive intermediates, which can be verified by the X-ray photoelectron spectroscopy (XPS) data in the experiment. We first validated our methods from benchmark calculations of cases with reliable experiments, from which we reach consistent predictions with experimental results. Then, we conduct theoretical calculations under conditions close to the *operando* experimental ones and predict the C 1s CLS of 20 reactive intermediates in



the  $CO_2$  reduction reaction ( $CO_2RR$ ) to  $CH_4$  and  $C_2H_4$  on a Cu(100) catalyst by carefully including solvation effects and applied voltage (U). The results presented in this work should be guidelines for future experiments to verify and interpret the reaction mechanism of  $CO_2RR$ .

KEYWORDS: carbon loop, CO<sub>2</sub> reduction reaction, chemical shifts, reactive intermediates

# 1. INTRODUCTION

As a typical greenhouse gas, the rapid growth of CO<sub>2</sub> emission, associated with the evolution of developed economies and the consequent rapid growth of energy resources and the chemical industry, has been demonstrated to play an important role in inducing drastic climate change. Substantial progress in decarbonization methods is strongly needed at present in order to close the carbon cycle, that is, to reduce net CO<sub>2</sub> emissions rapidly.<sup>2</sup> Among them, the electrochemical reduction of CO<sub>2</sub> to useful organics (such as formate, methane, ethylene, ethanol, etc.) is of considerable importance to nature and motivates research interests in areas such as energy, chemistry, and materials science.<sup>3,4</sup> In particular, a significant amount of research focusing on copper (Cu) materials has been conducted because Cu, among many transition metals, is unique in reducing CO<sub>2</sub> to hydrocarbons in a sizable amount.<sup>5-7</sup> Electrocatalysis is a powerful way to successfully conduct CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR), but the lack of molecular-based understanding of the reactive intermediate and reaction pathways at the electrode-electrolyte interface (EEI) is a significant impediment to future development. Several wellestablished experimental techniques, such as surface-enhanced Raman scattering spectroscopy (SERS), 8,9 ambient-pressure Xray photoelectron spectroscopy (APXPS), 10-12 and so on, have been used to investigate the process of CO<sub>2</sub> reduction reactions, but it is difficult to clarify the mechanism of variable deepreduction products, particularly when separating short-term

reaction intermediates due to the limitation of experimental resolution. In short, the understanding of the electrochemical  $\rm CO_2RR$  mechanism is still far from satisfactory, which hinders progress in the field.

Various reaction mechanisms of CO<sub>2</sub>RR on Cu have been proposed based on experimental observations and theoretical models. 13-15 In general, it is likely that the step for adsorption and activation of CO<sub>2</sub> (i.e., \*CO<sub>2</sub>) is the starting point for CO<sub>2</sub>RR, but there is still some uncertainty on which is the first intermediate in the next step, namely, whether the intermediate binds the proton (hydrogenation) through the carbon atom or oxygen atom (\*COOH vs \*OCHO intermediates), leading to the CO and formate pathways, respectively. 16,17 For deep products (such as  $C_2H_4$ ), the reaction mechanism is even more ambiguous. Until now, it is generally agreed that CO is the primary intermediate in the formation of  $C_1$  and  $C_{2+}$ compounds, but all the reaction mechanisms after CO formation are still unclear. <sup>14</sup> Usually, the major selectivity between the C<sub>1</sub> and  $C_{2+}$  pathways is the competition between the hydrogenation mechanism and the dimerization process, based on the pH as

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found in the experiment.<sup>18</sup> In previous work, we have theoretically suggested<sup>19</sup> complete reaction pathways of CO,  $CH_4$ , and  $C_2H_4$  formation, but these predictions need to be validated by experimental results thus far not available.

In order to facilitate development and provide guidance on the design of catalysts with enhanced performance, our strategy is to provide a full atomistic understanding of how CO<sub>2</sub> interacts with and transforms on the electrode surfaces. More importantly, we plan to correlate these predictions directly with the experimental operando characterization of the established reaction intermediates using a variety of spectroscopic techniques, such as IR, Raman, and X-ray photoelectron spectroscopy (XPS). We have thus carried out full explicit solvent QM-based MD to characterize more than 20 reactive intermediates involved in carbon dioxide reduction and subsequent carbon monoxide reduction reactions on the Cu(100) surface (CO<sub>2</sub>RR and CORR, respectively). Since these QM-MD characterizations were carried out at 298 K with explicit consideration of the solvent and applied voltage, <sup>19</sup> the simulation results can be compared directly with operando experiments, providing a systematic, straightforward interpretation of the experimental data.<sup>20</sup> Many QM calculations have been reported with the atomistic insight of the reaction mechanism of CO<sub>2</sub>RR. However, previous studies were deficient in not fully including solvent effects. For example, early calculations ignored the solvent in the simulation, 21,22 while later studies 15 used a variety of implicit solvation models that do not include the role of hydrogen bonding by the solvent. In contrast, our simulations use five layers of explicit water to ensure that they can be compared to operando experiments and to ensure that the reactions at the catalyst-solvent interface are properly treated. Previously, we have predicted vibrational signatures of CO<sub>2</sub>RR on Cu(100), <sup>20</sup> but to achieve a complete picture with full validation and understanding and to resolve interpretation, including cases with vibrational signatures definitively overlapping, rigorous information on XPS data is

To provide a basis for comparing with *operando* atmospheric pressure XPS experiments, we predict here the C 1s XPS binding energy based on QM-MD at 298 K in explicit solution to characterize all plausible species generated during the reduction process. We do provide a complete picture of spectroscopic signatures of CO<sub>2</sub>RR at the Cu(100) surface to trigger experimental efforts in this field. We expect that this will help us to guide experimental studies of reaction pathways and dramatically accelerate the development and design of new electrocatalysts.

# 2. COMPUTATIONAL DETAILS

**2.1. VASP AIMD Simulations.** Here, we simulate the water/Cu(100) interface using 48 explicit water molecules (five layers, 1.21 nm-thick) on a 4 × 4 Cu(100) surface slab (three layers) with an area of 1.02 nm². To equilibrate the waters interacting with the interface, we carried out 2 ns reactive molecular dynamics (R.M.D.) simulations using the reactive force field (ReaxFF) with parameters for Cu and  $H_2O$ . Starting from this well-equilibrated interface, we carried out 10 ps *ab initio* molecular dynamics (AIMD) simulation at 298 K. We find that including one extra Na solvated in the solution leads to a work function of 3.40 ( $\pm$ 0.25) eV, which corresponds to -0.59 V (R.H.E.) (3.40 - 4.40 + 0.0592 × 7 = -0.59 V), close to the potential [-0.60 V (R.H.E.)] with maximum  $C_2H_4$  production at pH 7.<sup>24</sup> The simulation box is 40 Å along the *z*-axis with a

vacuum of 24 Å. The lateral dimensions of the slab were fixed using a lattice parameter of 3.61 Å. Two CO molecules and one H atom were placed on the  $4 \times 4$  unit cell (on the top site), corresponding to a surface coverage of 1/8 ML and 1/16 ML, respectively. A snapshot of the simulation box is shown in the Supporting Information (Figure S1). We consider that this model of QM with an explicit treatment of the water dynamics at operando condition temperature provides a representative description of the reaction kinetics.

Electronic structure calculations were performed within the framework of density functional theory (DFT), as implemented in the Vienna *ab initio* simulation program (VASP) of version 5.4.4.<sup>25–28</sup> The exchange and correlation energies were calculated using the Perdew, Burke, and Ernzerhof (PBE) functionals within the generalized gradient approximation (GGA).<sup>29,30</sup> Projector augmented wave potentials represented core electrons. Spin polarization did not have an appreciable effect on the overall energies. For example, the total energies are different by less than 0.01 eV for adsorbed hydrogen (\*H) on the Cu(100) surface. The calculations were therefore carried out without spin polarization to reduce computational demands.

We used a plane-wave cutoff energy of 400 eV and the first-order Methfessel–Paxton scheme with a smearing width of 0.2 eV. Dipole corrections were applied along the z-axis. The PBE-D3 method was employed to correct van der Waals interactions of water—water and water—Cu. The energy minimization criterion was that all forces on free atoms were <0.02 eV/Å.

We used a 1.2 fs time step in the molecular dynamics (MD) simulations with the hydrogen mass set to 2 atomic mass units. These MD simulations used only the gamma point of the Brillouin zone without consideration of symmetry. The velocities were rescaled every 20 MD steps to readjust the target temperature to equilibrium. We employed a Nose—Hoover thermostat for the free energy calculations with a temperature damping parameter of 100 fs.

2.2. Prediction of the Core-Level Shift. The XPS simulations were conducted to predict the core-level energies of carbon atoms in equilibrated frames from the ab initio MD trajectory implemented in VASP at the PBE-D3 level. In VASP, there are two approaches for the calculation of the core-level shift (CLS)—the initial- and final-state approximation. The initial-state approximation is based on the Kohn-Sham (K.S.) eigenvalues of the core states after a self-consistent calculation of the valence charge density, while the final-state approximation requires removing the electron from the core and placing it into the valence.<sup>32</sup> We selected the initial-state method to predict the relative CLS because previous studies have shown that such an approach is reliable in reproducing the relative binding energy change as measured experimentally. The work function's effect was not considered during the calculations (see Table S1 for more details). The s<sup>2</sup>p2 electronic state of C was used for the CLS calculations. For directly comparing with the experimental observation, all the calculated CLSs were transformed to absolute values.

# 3. RESULTS AND DISCUSSION

In this work, we focus on Cu(100), which has been shown to be a stable surface under  $CO_2RR$  operando conditions, and it is known to be more active and selective toward  $C_2H_4$ , which is the most desirable among the  $CO_2RR$  products.<sup>34</sup> In our previous work, <sup>19</sup> we have predicted the reaction pathway of  $C_2H_4$  formation as follows

$$l\text{-CO}_2 \rightarrow b\text{-CO}_2 \rightarrow *\text{COOH} \rightarrow *\text{CO}$$
 (1)

After \*CO formation, the formation of C<sub>2</sub>H<sub>4</sub> is as follows

\*CO + \*CO 
$$\rightarrow$$
 \*OC $-$ CO  $\rightarrow$  \*OC $-$ COH  
 $\rightarrow$  \*HOC $-$ COH  $\rightarrow$  \*C $-$ COH  $\rightarrow$  \*CH $-$ COH  
 $\rightarrow$  \*C $-$ CH  $\rightarrow$  \*C $-$ CH<sub>2</sub>  $\rightarrow$  \*CH $-$ CH<sub>2</sub>  $\rightarrow$  C<sub>2</sub>H<sub>4</sub> (2)

In this reaction pathway, two reactive intermediates are important: one is \*CO that is the only confirmed reactive intermediate during CO<sub>2</sub>RR and the other is \*CO-CO, which facilitates the formation of the C<sub>2+</sub> product by CO coupling.<sup>1</sup>

We are also interested in the formation of CH<sub>4</sub>, which is another major hydrocarbon product. While Cu(111) is selective for the formation of  $CH_{4}$ ,  $^{18}CH_{4}$  is also important on Cu(100). In our previous work,  $^{19}$  we predict the reaction pathway of CH<sub>4</sub> formation as follows

\*CO 
$$\rightarrow$$
 \*CHO  $\rightarrow$  \*CHOH  $\rightarrow$  \*CH.  $\rightarrow$  \*CH<sub>2</sub>  $\rightarrow$  \*CH<sub>3</sub>  $\rightarrow$  \*CH<sub>4</sub> (3)

Note that CH<sub>4</sub> formation is also from CO. In this reaction pathway, \*CHO is the important reactive intermediate that determines both the thermodynamics and kinetics. All the optimized structures for reactive intermediates and the applied potential (U) for each species are shown in Figure 1 and Table S2.

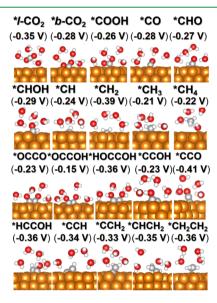


Figure 1. Optimized atomic structures of reactive intermediates in CO<sub>2</sub>RR/CORR from AIMD simulations with five layers of explicit solvation. Colors are used as follows: Cu in orange, C in gray, O in red, and H in white. Only the water molecules in close contact are shown, while the other water molecules are removed for viewing convenience. The applied potentials for each species are shown in black brackets.

In order to benchmark the accuracy of the PBE functional in predicting the CLS, we compared multiple cases with available experimental data. The predictions and comparisons are shown in Table 1. To compare with the relative CLS, the gas-phase CO<sub>2</sub> is taken as a reference according to the experimental results. As shown in Figure 2, PBE with initial-state (PBE-I) approximation systematically underestimates the CLS when compared with experimental results. These underestimations come in part from the initial-state approximation and in part from the PBE

functional. Since we must use a plane-wave, non-hybrid, noncharged approach to make AIMD on large periodic systems computationally practical, to account for the difference between a working and fully predictive DFT/CLS approach, we propose a local fragment similarity (LFS) procedure. Thus, we first investigate a prototypical set of gas-phase species using a finalstate CLS approach, the B3LYP xc-functional, 35,36 and the ADF code, <sup>37</sup> employing a large basis set of Slater orbitals on PBE/D3optimized geometries. The corresponding results reported in Table 1 and Figure 2 demonstrate that we can get fully predictive results via such final-state DFT/hybrid CLS simulations, with an accuracy of 0.1-0.2 eV in CLS predictions. We then employ a LFS procedure consisting of the following steps:

- (1) For each chemically different adsorbate species, we select a representative geometry of that species on the surface of the catalyst.
- (2) On the selected geometry, we freeze the coordinates of atoms of the adsorbate and remove all other atoms.
- (3) We predict DFT/CLS values via both VASP/PW/PBE/ initial-state and ADF/Slater/B3LYP/final-state approaches and derive the difference between these two values for each given adsorbate species, as reported in Table 2.
- (4) We take the differences in CLS values in point (3) as best estimates of corrections due to intrinsic limitations of our working DFT/CLS method, and we use them to derive our best predictions of CLS values under operando conditions in Table 4 and Figure 3.

The advantage of PBE-I is its high efficiency, which provides a practical solution for a periodic system with hundreds of atoms. Such predictions can be systematically improved when a hybrid function calculation with final-state approximation (HFF) is ready for calculations with hundreds of atoms in a periodic box. Before that, we propose that a PEB-I calculation with a postage correction by referencing to HFF is the most efficient and accurate way to predict the CLS of electrochemical reactions.

The experimental measurement of XPS under operando conditions is very challenging for the experiment, but it is possible using our simulation approach. Thus, we report the CLS of all the reactive intermediates in the reaction pathway of CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> formation in advance of and to guide future experiments. The reactants, reactive intermediates, and products considered in this manuscript include \*l-CO<sub>2</sub>, \*b-CO<sub>2</sub>, \*COOH, \*CO, \*CHO, \*CHOH, \*CH, \*CH2, \*CH3, \*CH4, \*OCCO, \*OCCOH, \*HOCCOH, \*CCOH, \*CCO, \*HCCOH, \*CCH, \*CCH2, \*CHCH2, and \*CH2CH2. In our previous work, we investigated energetics and kinetics carefully. At -0.6 V, we take 0.1 eV as a criterion because it roughly leads to a ratio between the reactant and product of 50:1. Otherwise, the product is too small to be significant. We thus predict that possible experimentally detectable species include \*l-CO2 \*b-CO2, \*CO, and \*CCO. The C 1s CLS of these species is predicted as 278.50, 277.27, 276.99, and 274.18 and 276.12, respectively, and is reported in Table 3. Qualitatively, as expected, the CLS values continuously decrease along the whole reduction process. Although the lifetimes of the other species are low on Cu(100), they may survive in specified sites on advanced catalysts, such as oxygen-derived Cu nanoparticles. We, therefore, provide all the predictions for future experimental

All the predictions are collected in Figure 3 and Table 4. The reported CLS prediction is the average of 20 samples from a 10

Table 1. Calculated and Experimental Binding Energy of C 1s Relative to  $CO_{2(g)}$  [i.e.,  $R_{CLS} = (CLS-CO_2-CLS-Molecule)$ ] for Selected Molecules as Benchmarks of Relevance for CO<sub>2</sub>RR<sup>a</sup>

molecules	С	calculated (PBE-level)/ $\mathrm{eV}^b$	calculated (B3LYP-level)/ $eV^c$	experimental/eV <sup>38,39</sup>
$CO_{2(g)}$		0 <sup>d</sup>	$0^d$	$0^d$
co		1.62	1.32	1.48
CH <sub>4</sub>		5.38	6.97	6.80
CH <sub>3</sub> OH		3.79	5.40	5.31
НСООН		1.12	2.05	1.91
$C_2H_2(sp)$		4.65	6.57	6.50
$C_2H_4(sp^2)$		4.89	7.12	7.00
$C_2H_6(sp^3)$		5.30	7.22	6.90
CH <sub>3</sub> COOH	$C_{(CH_3)}$	4.58	5.99	6.11
	$C_{(COOH)}$	1.34	2.54	2.33

<sup>a</sup>Simulated data are reported in the gas phases discussed in the text. <sup>b</sup>We put all these molecules into a large box  $(10 \times 10 \times 10 \text{ Å})$  and then calculated the core-level energy using the initial-state approach in VASP. We calculated all these gas-phase molecules with the hybrid B3LYP method in ADF. <sup>d</sup>The binding energy for gas-phase CO<sub>2</sub> was set to 0 to get a relative value for the CLS.

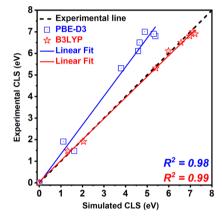


Figure 2. Relative energy differences of the C 1s CLS of eight molecules regarding gas-phase CO<sub>2</sub> (i.e., set CLS<sub>CO<sub>2</sub></sub> = 0) at the PBE (red) and B3LYP (blue) level compared to experimental values (solid black line at 0 eV).

ps NVT simulation with 500-step intervals. These XPS predictions can provide critical information to identify reactive intermediates.

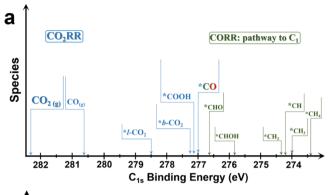
- As a first striking outcome of our simulations, we find a 3.80 eV difference between  $CO_2(g)$  and l- $CO_2$ . This is the result of the overall effect of solvation, adsorption, and applied voltage, with explicit solvation playing here the most important role, as proved by the fact that we find a similar shift also in the absence of the Cu(100) surface (as shown in Figure S2). This is a major prediction that awaits experimental validation.
- The 1.51 eV difference between l-CO<sub>2</sub> and \*CO is a second major prediction that could also be validated by experiments in a relatively easy procedure since \*CO is one of the stable intermediates, and both CO<sub>2</sub> and \*CO can be produced experimentally by working at a bias just below the electrochemically active one.
- Third, since both b-CO<sub>2</sub> and \*COOH have been proposed as possible intermediates in the path from CO<sub>2</sub> to CO and their CLS is 277.27 and 277.10 eV, respectively, signals in between l-CO2 and \*CO, if they are observed experimentally, can prove the existence of these reaction intermediates from CO<sub>2</sub> to CO and potentially even monitor their inter-conversion if sufficient experimental resolution is achieved.

Table 2. Binding Energy Differences of CO<sub>2</sub> and Subsequent CO2RR Reductive Fragments Calculated by PBE and B3LYP, Respectively

fragments <sup>a</sup>	(PBE	oinding ergy -level) V)	ene (B3LY	oinding ergy P-level) EV)	Exp. (eV)	unpaired electrons
l-CO2	0 <sup>b</sup>		0 <sup>c</sup>		0 <sup>d</sup>	0
b-CO2	0.82		0.24			0
СООН	0.41		1.72			1
CO	1.27		1.06		1.48	0
CHO	1.31		2.62			1
СНОН	2.64		4.93			2
CH	2.05		4.94			3
$CH_2$	3.92		5.82			2
$CH_3$	4.69		5.61			1
$CH_4$	5.32		7.04		6.80	0
OC-CO	0.42		3.05			2
OCx- $CyOH$	C <sub>x</sub> : 2.13	C <sub>y</sub> : 1.23	3.05	C <sub>y</sub> : 3.87		3
нос-сон	2.87		5.85			2
Cx = Cy = O	C <sub>x</sub> : 2.56	C <sub>y</sub> : 0.98	C <sub>x</sub> : 4.69	C <sub>y</sub> : 2.78		2
Cx- $Cy$ OH	C <sub>x</sub> : 3.34	C <sub>y</sub> : 2.22	C <sub>x</sub> : 5.68	C <sub>y</sub> : 4.44		1
$CxH-C_yOH$	C <sub>x</sub> : 3.81	C <sub>y</sub> : 1.98	C <sub>x</sub> : 6.78	C <sub>y</sub> : 4.89		2
Cx- $CyH$	C <sub>x</sub> : 2.76	C <sub>y</sub> : 3.12	C <sub>x</sub> : 4.80	C <sub>y</sub> : 5.17		1
Cx-CyH2	C <sub>x</sub> : 2.50	C <sub>y</sub> : 4.17	C <sub>x</sub> : 4.15	C <sub>y</sub> : 6.22		0
$CxH-C_yH2$	C <sub>x</sub> : 3.96	C <sub>y</sub> : 4.62	C <sub>x</sub> : 6.42	C <sub>y</sub> : 6.77		1
$C_2H_4$	4.71		7.04		7.00	0

<sup>a</sup>The geometries or 20 reactive fragments were taken from the surface species without all the Cu atoms and other solvent molecules. Reference to CO<sub>2</sub> at PBE. <sup>c</sup>Reference to CO<sub>2</sub> at B3LYP. <sup>d</sup>Reference to CO<sub>2</sub> in the experiment.

The most reactive intermediate in the CH<sub>4</sub> formation is \*CHO, and its CLS is 276.63 eV, close to that of \*CO, while the other intermediates (such as \*CHOH, \*CH, etc.) exhibit a CLS more significantly different from that of CO. These differences will be of help to distinguish \*CHO from the other species and to determine the relative energy of CH<sub>4</sub>.



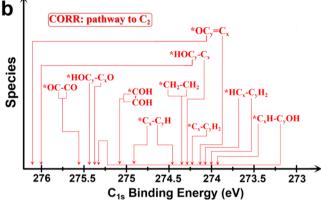


Figure 3. Calculated C 1s binding energy for 20 reactive intermediates in CO<sub>2</sub>RR and CORR processes, (a) CO<sub>2</sub> reduction to C<sub>1</sub> pathway, and (b) CO reduction to C<sub>2</sub> pathway.

Table 3. Comparison of the Calculated C 1s Binding Energy for Important Reactive Intermediates in CO<sub>2</sub>RR

species	C 1s binding energy (eV)	relative difference (eV)
$CO_2(g)$	282.30	0
*l-CO <sub>2</sub>	278.50	3.80
*b-CO <sub>2</sub>	277.27	5.03
*CO	276.99	5.31
*CHO	276.63	5.67
*OC-CO	275.51	6.79
*CCO	274.18, 276.12	8.12, 6.18

 The difference of CLSs between \*CO and C₂H₄ is 2.63 eV. Interestingly, at variance with the pathway to CH<sub>4</sub>, some of the intermediates (such as \*C=C=O, \*CHCH<sub>2</sub>, etc.) in the C<sub>2</sub>H<sub>4</sub> formation pathway exhibit larger chemical shifts than C<sub>2</sub>H<sub>4</sub>. In particular, \*OC-CO has been predicted as one of the most important reaction intermediates.<sup>19</sup> The CLS of \*OC-CO is 275.51 eV, which is well separated from that of either \*CO or C<sub>2</sub>H<sub>4</sub>. Thus, XPS measurements should be able to distinguish \*OC-CO from other intermediates univocally.

Overall, these XPS predictions set the stage for assigning the CLSs to important intermediate species and will be especially useful when resolution via experimental data alone is difficult.

We have reported the detailed relative free energies in our previous work. 17,19 Based on these free energy data, CO<sub>2</sub>, CO, and products such as CH<sub>4</sub> are expected to be stable, while the other reactive intermediates are short-lived. Nevertheless, specified conditions, such as applied voltage, undercoordinated sites, and so on, are of help to stabilize specified intermediates and extend their lifetime, which provides opportunities for

Table 4. Calculated Binding Energy of CO<sub>2</sub> and Subsequent CO<sub>2</sub>RR Reduction Intermediates on the Cu(100) Surface<sup>a</sup>

species	C 1s binding energy (eV)	species	C 1s binding energy (eV)
*1-CO2	278.50	*OC-CO	275.51
* <i>b</i> -CO2	277.27	*OCx-CyOH	$C_x$ : $C_y$ : 275.43
*COOH	277.10	*НОС-СОН	275.14
*CO	276.99	*Cx=Cy=O	$C_x$ : $C_y$ : 274.18 276.12
*СНО	276.63	*Сх-СуОН	$C_x$ : $C_y$ : 274.28 276.00
*СНОН	275.81	*СхН-СуОН	$C_x$ : $C_y$ : 273.85 275.36
*СН	274.19	*C <i>x</i> -C <i>y</i> H	$C_x$ : $C_y$ : 274.48
*CH <sub>2</sub>	274.32	*C <i>x</i> -C <i>y</i> H2	$C_x$ : $C_y$ : 274.24
*CH <sub>3</sub>	273.99	$*C_xH-CyH_2$	$C_x$ : $C_y$ : 274.10 274.00
*CH <sub>4</sub>	273.41	$*C_2H_4$	274.36

<sup>a</sup>Where relevant, C<sub>x</sub> and C<sub>y</sub> distinguish two carbon atoms in different chemical environments in the same molecular species. <sup>b</sup>The C 1s binding energies for 20 reaction species on Cu(100) are obtained from the average value of core-level energy of 20 snapshots randomly taken from the QM-MD trajectory.

experimental characterization. In our previous work, 17 we predicted that at PZC (-0.38 V), *l*-CO<sub>2</sub> is 0.32 eV more stable than b-CO<sub>2</sub>. Assuming that the electron transfer from l-CO<sub>2</sub> to b-CO<sub>2</sub> is 0.5 e<sup>-</sup>, it is not changing significantly with U. Then, b- $CO_2$  becomes more stable when U is more negative than -1.02

Noting that the solvent configurations in EDL are very sensitive to the distance to the interface, it is possible that the CLS of physisorbed species, such as CO<sub>2</sub>, is also sensitive to the distance to the interface. Indeed, in our results, the comparison between gas-phase and operando predictions, for example, for CO<sub>2</sub>, demonstrates that solvation plays an important role in stabilizing the excited species. Our current initial-state approximation does capture this, while future final-state simulations may provide better insights.

### 4. CONCLUSIONS

In summary, we carried out first-principles AIMD simulations to investigate the reactive intermediates in CO2 reduction under operando conditions with explicit consideration of solvation and applied voltage effects. We propose a local fragment similarity (LFS) procedure in which the initial-state CLS approximation is improved with a postage correction by referencing to hybrid xcfunctional DFT calculations with final-state effects (HFF) as an efficient and accurate way to predict the CLS of electrochemical reactions. The CLS of C 1s in 20 reaction intermediates was predicted at the DFT-PBE-D3 level. The analysis of the predicted XPS results showed a crucial effect of solvation on the CLS, with a downward shift of nearly 4 eV. It is also shown that it is possible to distinguish important reactive intermediates, such as \*b-CO<sub>2</sub>, \*CHO, and \*OC-CO, by taking l-CO<sub>2</sub>, CO, C<sub>2</sub>H<sub>4</sub>, and CH<sub>4</sub> as references. Our predictions have a twofold goal: (i) to provide valuable information for the experiment to resolve and interpret the data from XPS measurements and (ii) to build up a reaction mechanism at the atomistic level that can be validated using several independent and mutually complementing characterization techniques, so as to solve the mechanistic questions still debated. The understanding of the reaction mechanism will pave the way to the rational design of advanced CO<sub>2</sub>RR catalysts.

#### ASSOCIATED CONTENT

# Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsami.1c02909.

Atomic structure of the water/Cu(100) electrode, optimized structures of  $CO_2$  and  $CO_2 + nH_2O_2$ comparison of the calculated binding energy of the C 1s reference to vacuum, and coordinates for all predicted intermediates (PDF)

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