

Full atomistic reaction mechanism with kinetics for CO reduction on Cu(100) from ab initio molecular dynamics free-energy calculations at 298 K

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A critical step toward the rational design of new catalysts that achieve selective and efficient reduction of CO₂ to specific hydrocarbons and oxygenates is to determine the detailed reaction mechanism including kinetics and product selectivity as a function of pH and applied potential for known systems. To accomplish this, we apply ab initio molecular metadynamics simulations (AIM μ D) for the water/Cu(100) system with five layers of the explicit solvent under a potential of -0.59 V [reversible hydrogen electrode (RHE)] at pH 7 and compare with experiment. From these free-energy calculations, we determined the kinetics and pathways for major products (ethylene and methane) and minor products (ethanol, glyoxal, glycolaldehyde, ethylene glycol, acetaldehyde, ethane, and methanol). For an applied potential (U) greater than -0.6 V (RHE) ethylene, the major product, is produced via the Eley–Rideal (ER) mechanism using H₂O + e[−]. The rate-determining step (RDS) is C–C coupling of two CO, with $\Delta G^\ddagger = 0.69$ eV. For an applied potential less than -0.60 V (RHE), the rate of ethylene formation decreases, mainly due to the loss of CO surface sites, which are replaced by H⁺. The reappearance of C₂H₄ along with CH₄ at U less than -0.85 V arises from ^{*}CHO formation produced via an ER process of H⁺ with non-adsorbed CO (a unique result). This ^{*}CHO is the common intermediate for the formation of both CH₄ and C₂H₄. These results suggest that, to obtain hydrocarbon products selectively and efficiency at pH 7, we need to increase the CO concentration by changing the solvent or alloying the surface.

reaction mechanism | electrocatalysis | copper | QM metadynamics | free-energy reaction barriers

To reduce the carbon footprint while converting renewable energy sources (such as the wind or solar) into stable chemical forms, we need to develop an economical process for the CO₂ reduction reaction (CO₂RR) to chemicals and fuels. Copper (Cu) is the only nonalloyed metal that can electrochemically catalyze the formation of significant amounts of hydrocarbons (1). However, Cu leads to an overpotential of almost 1 V for a reasonable current (5–10 mA·cm^{−2}) and faradaic efficiency (>69%) (2), and it leads to a fairly broad mixture of major and minor products, including hydrogen (H₂), ethylene (C₂H₄), and methane (CH₄) as major products plus small amounts of other C₂S and oxygenates (3). Although Cu remains far from ideal for CO₂ reduction, it provides a benchmark for determining and validating the mechanism underlying Cu's unique ability to catalyze hydrocarbon formation. This mechanism can then provide a basis for designing new catalysts that increase product selectivity and rates while simultaneously lowering overpotentials.

Some excellent reviews summarize previous research associated with CO₂RR on copper (4–6). Although many aspects of the mechanism remain unknown, CO is established as an important intermediate producing both C₂H₄ and methane CH₄ as major products. Indeed electroreduction of CO leads to similar product distributions and onset potentials as observed for CO₂RR (5–8). Online electrochemical mass spectrometry (OLEMS)

was used to identify the products formed from the electroreduction of various compounds that might be intermediates on the C₂H₄ and ethanol (C₂H₅OH) pathways (3, 9).

The formation of CH₄ from CO depends on pH in such a way that the rate-determining step (RDS) must involve the transfer of a proton and an electron (10, 11). Recent experiments and density functional theory (DFT) calculations suggested that the key intermediate in the formation of CH₄ are ^{*}CHO on Cu(100) (12) and Cu(211) (13) and ^{*}COH on Cu(111) (14). On the other hand, the formation of C₂H₄ from CO does not depend on pH. Therefore, a dimer of CO, whose formation does not involve the transfer of a hydrogen atom but does depend on potential, has been suggested as the key intermediate in the C–C coupling (15).

The selectivity toward C₁ and C₂ production also depends on the surface structure of the copper electrode. For example, the formation of CH₄ is favored on Cu(111), whereas formation of C₂H₄ is dominant on Cu(100) (10, 16), and C₂H₅OH is generated at Cu(110) and Cu(511) (17). Evidence also exists that Cu polycrystalline (PC) generates ample amounts of C₂H₄ (3, 6), indicating that Cu(100) is the dominant crystal facet of Cu PC. Indeed, operando electrochemical scanning tunneling microscopy showed that a Cu PC electrode held at a fixed negative potential undergoes stepwise surface reconstruction to establish the Cu(100) surface (18).

Fig. 1 shows the competition between C₂H₄ formation, hydrogen evolution (HER), and CH₄ formation as a function of applied potential (U) observed experimentally in CO reduction reactions (CORR) on Cu(100) at pH 7 (11). C₂H₄ formation starts at $U = -0.40$ V [reversible hydrogen electrode (RHE)] and increases to a maximum at -0.60 V with a high current that is hard to explain by the reduction of a surface-adsorbed species (10).

Significance

Converting CO₂ to fuels is of great interest nowadays. Copper (Cu) is the only metal that produces hydrocarbon products, making it of interest for learning the reaction mechanisms underlying the selectivity and activity of Cu catalysts. In this report, we examine the reaction mechanism of CO reduction on Cu(100) at pH 7 including explicitly the aqueous solvent with ab initio molecular metadynamics simulations (AIM μ D) free-energy calculations, which we find to explain all experimental observations. We expect that this understanding will suggest how to modify the Cu catalyst or replace it with a new material to enhance production of just a single such product while reducing the energy requirements (overpotential).

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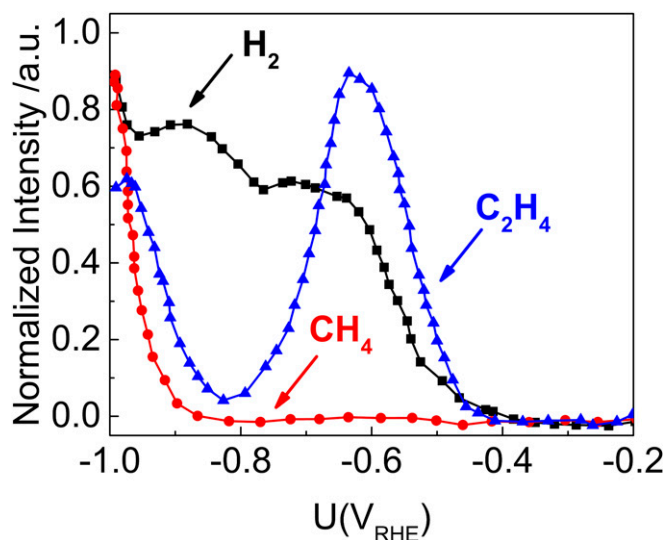


Fig. 1. Experimental mass fragments of C_2H_4 , H_2 , and CH_4 , determined with OLEMS for reduction of CO in 0.1 M phosphate buffers (pH 7). C_2H_4 products in blue triangles, H_2 products in black squares and CH_4 products in red circles. This figure is based on the experimental data from Schouten et al. (11).

Significant H_2 initiates at $U = -0.4$ V (RHE) and increases monotonically as U becomes more negative, which is the major contribution to current (10, 11). C_2H_4 formation decreases from -0.60 V (RHE) down to 0 at $U = -0.80$ V (RHE), whereas H_2 production increases but without producing CH_4 . At $U = -0.85$ V (RHE), the formation of CH_4 first appears with C_2H_4 again observed, with both amounts increasing monotonically for more negative potentials.

Quantum-mechanical (QM) calculations can provide atomistic mechanistic insight about CORR (12–15, 19–22). However, previous studies have all been deficient in not fully including solvent effects. Early calculations ignored the solvent in the simulation but corrected afterward (13, 15), whereas later studies used a variety of implicit solvation methods sometimes with a few explicit solvent molecules (14). These various studies led to a range of inconsistent results. For example, the predicted free-energy barriers for CO dimerization range from 0.33 to 1.22 eV, depending on the solvation model (15, 20–22). Consequently, we concluded that it is essential to use multiple layers of explicit water to describe reactions at the catalyst–solvent interface properly.

In this paper, free energies were calculated by metadynamics (23, 24) and further converged using thermodynamic integration by constrained molecular dynamics (blue moon ensemble) (25) to explain the experimentally observed product distribution as a function of U for Cu(100). These free-energy calculations provide a thorough reaction mechanism for major hydrocarbon productions (ethylene and ethane) and minor productions in CORR, which should help guide the design of new generations of catalysts.

Results and Discussion

Applied Potentials Less Negative Than -0.6 V (U greater than -0.6 V). C_2H_4 is the product with the lowest kinetic barrier. For $U = -0.4$ to -0.6 V (RHE), our calculations with explicit solvent find that the most favorable reduction of CO is C–C coupling to form $^*CO-CO$, which has a free-energy barrier for the transition state saddle point (ΔG^\ddagger) of 0.69 eV. We find that $^*CO-CO$ is quickly hydrated to $^*CO-COH$, which then is quickly reduced to $^*COH-COH$. The reaction barriers for these two steps are both within 0.14 eV. Indeed, we observe these reactions directly in our ab initio molecular dynamics (AIMD) simulation, because the low

reaction barriers are surmounted within a few picoseconds. These reactions are via the Eley–Rideal (ER) mechanism using $H_2O + e^-$. Actually, in our calculations, we found that when adding hydrogen to oxygen, reactions via ER always have lower kinetic barriers than those via Langmuir–Hinshelwood (LH) mechanisms. The formation of $^*COH-COH$ explains the experimental observation of C_2 oxygenated products containing two oxygens, such as glycolaldehyde ($CH_2OH-CHO$) and ethylene glycol (CH_2OH-CH_2OH) (3).

Dihydroxylation of $^*COH-COH$ leads to the formation of $^*C-COH$ with $\Delta G^\ddagger = 0.62$ eV via ER. Reduction of $^*C-COH$ to $^*CH-COH$ has $\Delta G^\ddagger = 0.44$ eV via ER (0.91 eV via LH). The next step is dihydroxylation of $^*CH-COH$ to $^*CH-C$ with $\Delta G^\ddagger = 0.61$ eV via ER by using $H_2O + e^-$.

The reactions from $^*CH-C$ to C_2H_4 seem straightforward, showing selectivity toward hydrocarbon species. Thus, $^*CH-C$ is reduced to $^*CH_2-C$ instead of $^*CH-CH$, because $\Delta G^\ddagger = 0.61$ eV (ER) for $^*CH_2-C$ formation ($\Delta G^\ddagger = 0.93$ eV via LH) is lower than the $\Delta G^\ddagger = 1.07$ eV (ER) for $^*CH-CH$ formation (1.41 eV via LH). We find that $^*CH_2-C$ is reduced to $^*CH_2-CH$ with $\Delta G^\ddagger = 0.49$ eV (ER) (1.10 eV via LH). Finally, formation of C_2H_4 from $^*CH_2-CH$ has $\Delta G^\ddagger = 0.38$ eV (ER) (1.15 eV via LH).

Summarizing, we find that adding hydrogen to carbon species through the ER mechanisms always has a lower reaction barrier than reactions through LH (Fig. 2) in the C_2H_4 formation pathway. Therefore, at $U = -0.59$ V (RHE) and pH 7, the lowest kinetic reaction pathway for C_2H_4 formation is purely through the ER mechanism, so that no step requires surface hydrogen (H^*). This ER pathway explains the high current for C_2H_4 formation observed experimentally, which is beyond that expected from surface reactions (10). In this ER pathway of C_2H_4 formation, CO dimerization is the RDS with $\Delta G^\ddagger = 0.69$ eV.

The second pathway to the C_2H_4 product. A second pathway for C_2H_4 formation is through *CCO , which was first proposed by Calle-Vallejo and Koper (15). Indeed, *CCO formation is energetically more favorable on Cu(100), but ΔG^\ddagger for *CCO formation (0.69) is 0.67 eV higher than that of $^*COH-COH$ formation (0.02), making it kinetically forbidden. Thus, the *CCO pathway provides only a minor contribution to the C_2H_4 formation.

In this *CCO pathway for C_2H_4 formation, *CCO is first reduced to $^*CH-CO$ via LH with $\Delta G^\ddagger = 0.69$ eV. Then, $^*CH-CO$ is reduced to $^*CH-COH$ with $\Delta G^\ddagger = 1.49$ eV via LH, which merges into the $^*COH-COH$ pathway to also form C_2H_4 .

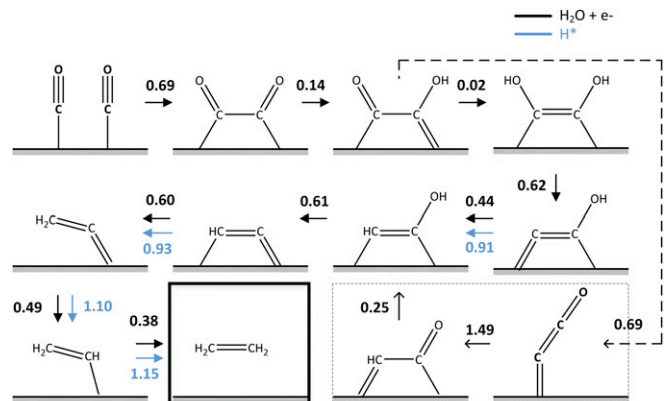


Fig. 2. Lowest kinetic pathways for the eight-electron reduction of CO to ethylene (C_2H_4). Both Eley–Rideal (ER) (in black) and Langmuir–Hinshelwood (LH) mechanisms (in blue) are considered. In ER, $H_2O + e^-$ (producing OH^*) are the reactants, whereas in LH, H^* is the reactant. The reaction free-energy barriers (ΔG^\ddagger) are provided. The slashed line shows the minor pathway for C_2H_4 formation through $^*C=C=O$.

The entire reaction pathway for C_2H_4 formation is shown in Fig. 2. Snapshots of reactive intermediates from AIMD simulations are shown in Fig. 3.

Most kinetic favorable pathway to CH_4 products. The lowest energy pathway for CH_4 formation at pH 7 is as follows:

- Reduction of CO to *CHO with an energy barrier of 0.96 eV via LH (0.97 eV via ER),
- Quick reduction of *CHO to *CHOH with $\Delta G^\ddagger = 0.24$ eV via ER,
- Then dehydroxylation of *CHOH leads to $^*CH + OH^-$ with $\Delta G^\ddagger = 0.59$ eV via ER.
- Next is *CH reduction to *CH_2 with $\Delta G^\ddagger = 0.40$ eV via ER (0.97 eV via LH),
- Then *CH_2 reduction to *CH_3 with $\Delta G^\ddagger = 0.55$ eV via ER (1.05 eV via LH),
- And finally, *CH_3 reduction to CH_4 with $\Delta G^\ddagger = 0.81$ eV via ER (0.86 eV via LH).

The entire reaction pathway for CH_4 formation is shown in Fig. 4. Snapshots of reactive intermediates from AIMD simulations are shown in Fig. 5.

At pH 7, *CHO formation is the RDS for CH_4 formation with $\Delta G^\ddagger = 0.96$ eV via LH, whereas CO dimerization is the RDS for C_2H_4 formation with $\Delta G^\ddagger = 0.69$ eV. Therefore, at pH 7, C_2H_4 is predominant for U greater than -0.8 V (RHE), as experimentally observed. Note that *CHO formation is pH dependent, whereas C–C coupling is pH independent (20). Thus, at pH 0, ΔG^\ddagger of *CHO formation decreases to 0.55 eV ($0.96 - 0.0592 \times 7$), whereas the reaction barrier of C–C coupling remains at 0.69 eV. This pH dependency explains why CH_4 is predominant at pH 0, as experimentally observed (11).

HER is the major side reaction competing with CO reduction, which limits the faradaic efficiency. It is known that the presence of CO retards HER due to the stronger binding of CO to Cu metal surface (2). On the Cu(100) surface, our QM calculations with full solvation lead to a binding energy (ΔE_b) of -0.80 eV for CO and -0.11 eV for H atom (hollow site) reference to H_2 . Therefore, at zero applied potential ($U = 0$ V), the binding energy of CO is much larger than that of H^* . The maximum CO coverage can reach $1/3$ ML, as predicted in a previous DFT calculation (26).

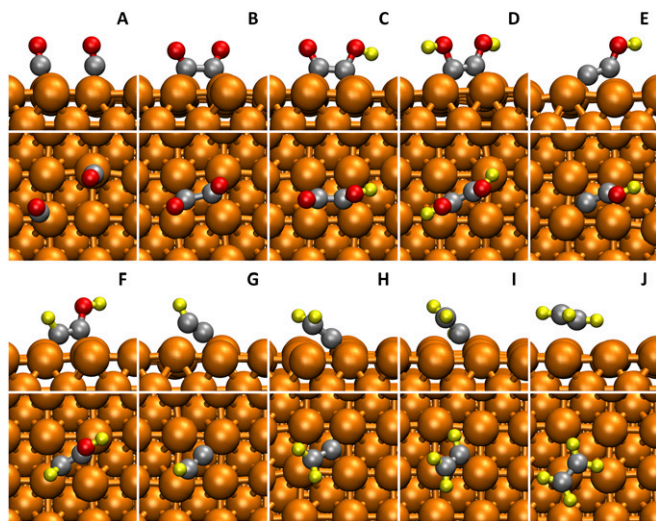


Fig. 3. Snapshots (side view and top view) of reactants (A), reactive intermediates (B–I), and production (J) in the ethylene (C_2H_4) pathway from AIMD simulations at 298 K. The solvent water molecules are hidden for viewing convenience. The colors are Cu in orange, C in gray, H in yellow, and O in red.

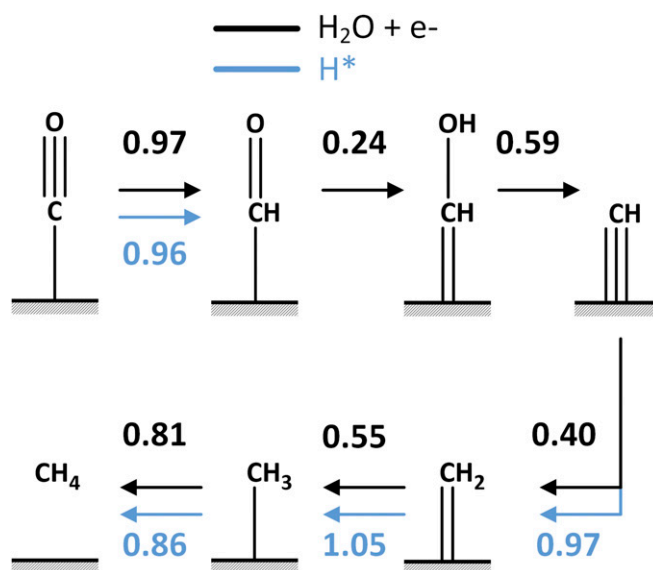


Fig. 4. Lowest kinetic pathway for the six-electron reduction of CO to methane (CH_4). Both the Eley–Rideal (ER) mechanism (in black) and the Langmuir–Hinshelwood (LH) mechanism (in blue) are considered. In the ER mechanism, $H_2O + e^-$ (producing OH^-) are the reactants, whereas in the LH mechanism, H^* is the reactant. The reaction free-energy barriers (ΔG^\ddagger) are provided.

Comparison with experiment and previous calculations. On the Cu(100) surface, C_2H_4 formation starts at $U = -0.4$ V and is pH independent (RHE dependent) (11), indicating that the RDS does not involve hydrogen. Additionally, no CH_4 formation is observed at this potential, pointing out that the mechanism is different from at U less than -0.8 V, where there is branching to both C_2H_4 and CH_4 . These experimental observations agree with the theory that the first step of C_2H_4 formation is related to coupling of two CO molecules mediated by electron transfer to form $^*CO-CO$.

We find that $\Delta G^\ddagger = 0.69$ eV for the RDS. Previous calculations of the reaction barrier for CO dimerization have ranged from 0.33 to 1.22 eV, depending on the solvation models and applied potentials (15, 20–22).

Potentials from -0.6 to -0.8 V. The above tendencies for U greater than -0.8 V are reversed for more negative U . As U becomes more negative, the ΔE_b of H increases with a slope close to 1 eV/V [$H_2O(aq) + e^- \rightarrow OH^-(aq) + H^*$]. In contrast, more negative U has little impact on ΔE_b of CO, because charges barely transfer during CO adsorption. To validate this, the charge transfers during CO adsorption were calculated under fixed applied potentials by optimizing the number of electrons (N_e) along the reaction path (27) within the framework of joint density functional theory (28), and the solvation effects was handled by the charge-asymmetric nonlocally determined local-electric implicit model (29). We find that, for increasingly negative U , ΔE_b of H^* increases, and finally matches the CO binding at $U = -0.69$ V (RHE). Consequently, at U more negative than -0.69 , surface H^* and *CO compete for surface sites. Although no experimental data are available about the surface coverage of H under CORR condition, previous experimental studies (analyzed based on Volmer–Heyrovsky pathways and Volmer–Tafel pathways) estimated the surface coverage of H^* to range from 0.3 to 1.0 at large negative overpotentials (30, 31).

Furthermore, experiments on Cu(100) starting from $U = -0.64$ to -0.70 V (saturated calomel electrode) or -0.40 to -0.46 V (RHE) suggest that H^* induces reconstruction leading to a concomitant increase in HER (32). A recent in situ surface-enhanced spectroscopic experiment shows that at -0.7 V H^* is

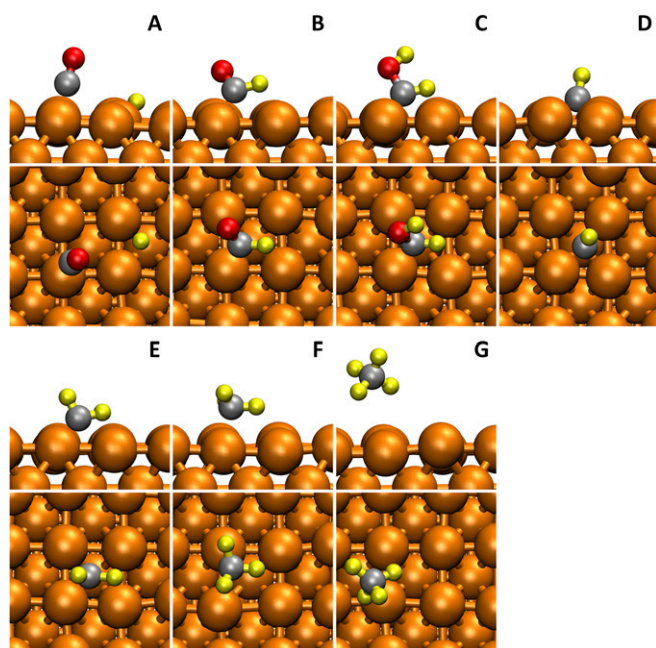


Fig. 5. Snapshots (side view and top view) of reactants (A), reactive intermediates (B–F), and production (G) in the methane (CH_4) pathway from AIMD simulations at 298 K. The solvent water molecules are hidden for viewing convenience. The colors are Cu in orange, C in gray, H in yellow, and O in red.

capable of partially displacing $^*\text{CO}$; however, $^*\text{CO}$ is unable to displace H^* to any detectable level (33). This increase in H^* coverage causes a decrease in CO surface coverage that significantly slows the CO dimerization and hence C_2H_4 formation. We consider that site-blocking effects explain the decline of C_2H_4 formation down to 0 as $U = -0.60$ goes to -0.80 V (10, 11). Of course, we cannot exclude that other effects may contribute, such as deposition of metal impurities (34) and mass diffusion limitations (35).

Potentials are more negative than -0.8 V. Interestingly, we found that this site-blocking effect at very negative U does not block $^*\text{CHO}$ formation, which can be explained by $^*\text{CHO}$ formation proceeding via an ER mechanism from nonadsorbed CO. To demonstrate this, we carried out simulations for the extreme case of full H^* (1 ML) coverage to block all available sites for CO adsorption. Under this condition, any CO on the surface would quickly desorb. With explicit solvent, we calculate that at 1-ML H^* coverage, the distance of CO from the Cu surface is ~ 3.35 Å (as shown in Fig. 6A). We find that $\Delta G^\ddagger = 1.01$ eV for this solvent CO to react with a surface H^* to produce $^*\text{CHO}$, very close to the value ($\Delta G^\ddagger = 0.96$ eV) derived at low H^* coverage for adsorbed CO to react with H^* . As a consequence, the H^* site-blocking effect does not block $^*\text{CHO}$ formation. Because $^*\text{CHO}$ formation can proceed from a reaction pathway in which CO is not adsorbed (as shown in Fig. 6), we can understand the appearance of CH_4 at U less than -0.85 V (RHE).

We calculate that recurrence of C_2H_4 for U less than -0.85 arises from the pathway in which nonadsorbed CO reacts with $^*\text{CHO}$, as shown in Fig. 7. In this case, a second nonadsorbed CO reacts with $^*\text{CHO}$ to form $^*\text{CO-CHO}$ with $\Delta G^\ddagger = 0.71$ eV. Goodpaster et al. (21) first proposed this reaction pathway to explain the recurrence of C_2H_4 production. Although our predicted ΔG^\ddagger is close to the Goodpaster value, 0.68 eV, our reaction pathways are significantly different. Goodpaster assumed CO already adsorbed on the Cu surface based on his implicit solvation model, whereas we find that a nonadsorbed CO is a reactant in our explicit solvent calculations. Consequently,

our mechanism involves only one surface site (Fig. 7), which we expect to be close to the experimental conditions at U less than -0.85 V (RHE).

Summarizing, we find that the recurrence of C_2H_4 at U less than -0.85 V (RHE) is due to the coupling of nonadsorbed CO with $^*\text{CHO}$. Consequently, C_2H_4 and CH_4 formation share a common intermediate, which explains the appearance of C_2H_4 and CH_4 following each other for $U < 0.85$ V (RHE).

Summary of Reaction Mechanism for CH_4 and C_2H_4 Products for All Potentials. Armed with the complete free-energy information from above, we can now explain the reaction mechanism of CORR on Cu(100) at pH 7 as follows:

- For U greater than -0.6 V (RHE), C_2H_4 is the major product through CO dimerization with $\Delta G^\ddagger = 0.69$ eV. We find that H_2O is the hydrogen source for all these reduction steps in C_2H_4 formation, leading to a high current as experimentally observed. CH_4 was not observed at U greater than -0.85 V (RHE), because $^*\text{CHO}$ formation is unfavorable due to the high reaction barrier ($\Delta G^\ddagger = 0.96$ eV via adsorbed CO and the $\Delta G^\ddagger = 1.01$ eV via nonadsorbed CO).
- As U decreases from -0.6 to -0.8 V, the H^* binding energy increases, leading to an increased surface concentration of H^* , with a concomitant decreased concentration of $^*\text{CO}$, which significantly slows C_2H_4 formation and finally totally blocks this reaction for $U = -0.80$ V.
- At U less than -0.85 V, a nonadsorbed CO hydrogenation pathway contributes to the occurrence of CH_4 in addition to the adsorbed CO pathway. The reoccurrence of C_2H_4 formation for U less than -0.85 V in parallel with CH_4 arises from $^*\text{CO-CHO}$ formation through nonadsorbed CO coupling with $^*\text{CHO}$. Thus, $^*\text{CHO}$ is the common intermediate for both C_2H_4 and CH_4 formation at U less than -0.85 V.

Other Hydrocarbon and Oxygenate Products. Apart from the two major hydrocarbon products, small amounts of several other C1 to C3 products are observed experimentally under CO_2 reduction conditions (3). The mechanisms for the formation of these minor productions are also an important part of CORR and CO_2RR . We find the lowest kinetic reaction pathways to minor products of C_2 products at pH 7 proceed as follows (in each case, the number below the arrow is the ΔG^\ddagger):

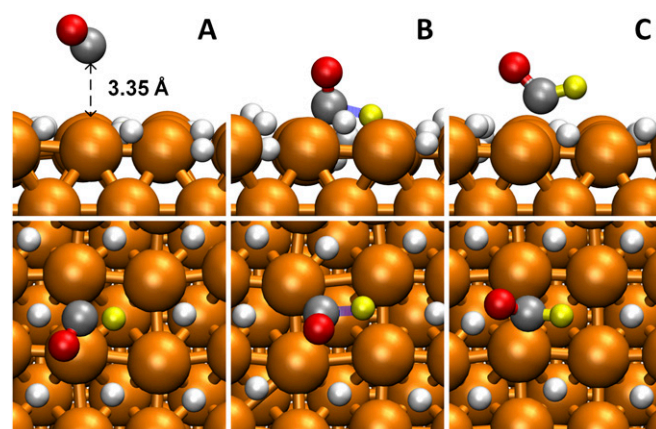


Fig. 6. Reactive trajectories of $^*\text{CHO}$ formation for high coverage of surface H^* (1 ML of H^*) from AIMD simulations at 298 K (A) nonadsorbed CO, (B) transition state, and (C) $^*\text{CHO}$. The solvent water molecules are hidden for viewing convenience. The colors are Cu in orange, C in gray, H in yellow, and O in red. The reaction barrier is 1.01 eV.

Electronic structure calculations were performed within the DFT framework, as implemented in the Vienna ab initio simulation program (VASP) (42–45), a plane-wave pseudopotential package. The exchange and correlation energies were calculated using the Perdew, Burke, and Ernzerhof (PBE) functional within the generalized gradient approximation (GGA) (46, 47). The PBE-D3 method was used to correct van der Waals interaction of water–water and water–Cu (48). The charges on various species were derived using a Bader analysis (49). To compare with the constant potential of experiments, we used the procedure proposed by Chan and Nørskov to remove any artifacts involving work function changes during the chemical reaction (50). The scheme of work function calculation is in Fig. S2. The obtained work functions of

elementary reactions are in Tables S5 and S6 and the corrected free energies are in Tables S7 and S8.

Supporting Information includes models and simulation details, free-energy results, and constant potential corrections.

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