

Stimulating the Pre-Catalyst Redox Reaction and the Proton–Electron Transfer Process of Cobalt Phthalocyanine for CO₂ Electroreduction

Hengyu Li, Jie Wei, Xuya Zhu, Lin Gan, Tao Cheng, and Jia Li*



Cite This: *J. Phys. Chem. C* 2022, 126, 9665–9672



Read Online

ACCESS |



Metrics & More

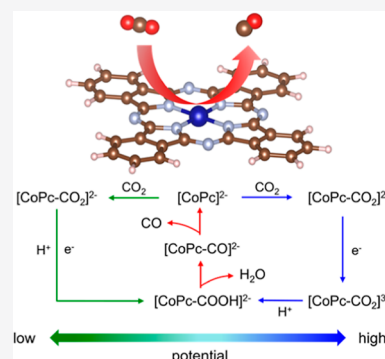


Article Recommendations



Supporting Information

ABSTRACT: The mechanism for electrochemical carbon dioxide reduction reaction (CO₂RR) to carbon monoxide on cobalt phthalocyanine (CoPc) in aqueous electrolytes remains debatable, impeding the design of high-performance catalysts. By using a quasi-empirical protocol with density functional theory calculations, we identify the mechanisms of two important steps for CO₂RR on CoPc: the reduction of Co^{II}Pc to form catalytically active [Co^IPc]^{2−} for CO₂ adsorption and the proton–electron transfer to the key intermediate [CoPc-COO]^{2−} to form [CoPc-COOH]^{2−}. According to the charge states and pK_a analysis, the formation of the adsorbed carboxyl (*COOH) takes place via the concerted proton–electron transfer process at low potentials, and the sequential proton–electron transfer process becomes thermodynamically favored at more reductive potentials, which successfully elucidates the potential-dependent reaction kinetics of CO₂RR on CoPc catalysts. Electron-withdrawing substituents of CoPc would enhance the reduction of CoPc but hinder the protonation of *CO₂, which accounts for previous conflicting results. Our findings not only deepen the understanding of CoPc-catalyzed CO₂RR but also provide a guideline for molecular engineering of CoPc-based catalysts.



INTRODUCTION

Excessive exploitation and utilization of conventional fossil fuels have led to a high concentration of atmospheric CO₂, causing severe environmental and climatic problems.^{1,2} Electrochemical CO₂ reduction reaction (CO₂RR), because of its mild condition and controllable production, is considered an ideal approach to converting CO₂ into useful chemicals and reaching the goal of a carbon-neutral economy.^{3,4} For CO₂ reduction to CO, metallo-phthalocyanines have emerged as promising electrocatalysts in recent years due to their maximum atom efficiency, low overpotential, and high selectivity.^{5–7} In particular, cobalt phthalocyanine (CoPc) has been demonstrated to be the most active for CO₂ reduction to CO.^{8–14} Near Faradaic unity efficiencies to CO and onset overpotentials as low as 170 mV have also been reported.^{9,13,14}

However, the reaction mechanism of CO₂RR on CoPc is still a topic of debate. It is generally believed that CO₂RR on CoPc begins after the reduction of Co^{II}Pc to [Co^IPc][−], and Co^I is the active site.^{8,15–17} However, this is contradictory to recent in situ X-ray absorption spectroscopy results, which suggests that the oxidation state of cobalt remains +2 throughout the reaction process.^{18,19} The inconsistency originates from an insufficient understanding of the redox properties and electronic configurations of reaction intermediates. Besides, whether the reaction steps follow a concerted proton–electron transfer (CPET) process or a sequential PET (SPET) process is also under discussion.²⁰

Experimental kinetic studies show that the reaction shifts from CPET-dominant to SPET-dominant as the applied potential becomes more reductive.²¹ However, none of the theoretical studies provide insights into the potential-dependent kinetics of the electron transfer process.

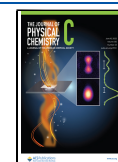
The incomprehensive reaction mechanism has limited the rational modification of CoPc to achieve better performance. Both electron-donating^{12,17} and electron-withdrawing^{22,23} substituents have been investigated and reported to improve the catalytic performance of CoPc. For instance, perfluorinated cobalt phthalocyanine (CoPcF₁₆) was reported to have better activity,²⁴ while a later study showed even lower activity of CoPcF₁₆ compared to the pristine CoPc.²⁵ The conflicting phenomena result in difficulty in providing the strategy for the modification of CoPc.

Herein, we perform density functional theoretical (DFT) calculations of the electroreduction mechanism of CO₂ to CO on CoPc by considering previously overlooked charge states and electronic configurations of reaction intermediates at the working potentials. Based on the charge states of intermediates, we reveal a full picture of the potential-dependent

Received: February 15, 2022

Revised: May 19, 2022

Published: June 3, 2022



concerted and non-concerted (sequential) PET processes of the CO₂ reduction mechanism on CoPc, which successfully accounts for the experimentally observed potential dependence of reaction kinetics. Based on this, we further evaluate the influence of different substituents on the CO₂RR activity of CoPc, which helps understand the contradictory positive effects of electron-donating or electron-withdrawing groups substituted in CoPc. Our results provide important guidelines for the design of new CoPc-based CO₂RR electrocatalysts.

METHODS

All spin-polarized DFT calculations were performed using the Vienna ab initio simulation package (VASP).^{26,27} Electronic structures and redox potentials of CoPc intermediates were calculated with the revised Heyd–Scuseria–Ernzerhof-screened hybrid functional (HSE06),²⁸ while the rest of the calculations were carried out with the DFT + *U* method^{29,30} on account of the computational cost. An effective *U* of 4 eV was used because it can provide an accurate energy level of d states for the Co of CoPc compared to the valence-band photoelectron spectra,³¹ and the adsorption energies of key intermediates calculated are in agreement with the HSE06 results (Section S1 in the Supporting Information). In all calculations, the energy cutoff of the plane wave basis set was 500 eV. Only Gamma points were used for the Brillouin zone sampling. All atoms were relaxed until the force on each atom was less than 0.01 eV/Å. The D3 functional was employed to describe the nonnegligible van der Waals interactions.^{32,33}

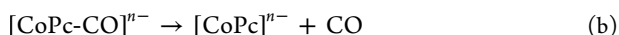
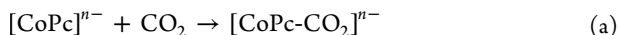
A continuum dielectric model as implemented in the VASPsol code^{34,35} was used to simulate the aqueous environment. To obtain more accurate redox potentials and pK_a values as well as to investigate the effect of hydrogen bonding, we employed a micro-solvation model with two explicit water molecules for intermediates with carboxyl groups.^{36,37} However, we did not add any explicit water molecules to the geometry of [CoPc-CO]^{n−} because ab initio molecular dynamics simulations showed that the adsorbed carbon monoxide could hardly form hydrogen bonds with water. (Section S2 in the Supporting Information).

The one-electron redox potential of the A^{(n+1)−}/A^{n−} couple relative to the reversible hydrogen electrode (RHE) was calculated as

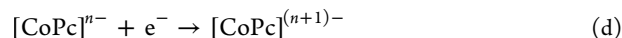
$$U_{\text{RHE}}^{\circ} = -\frac{\Delta G^{\circ}}{F} - U^{\text{toSHE}} + 0.0592 \times \text{pH}$$

where *F* is the Faraday constant; Δ*G*[°] is the Gibbs free energy difference between A^{(n+1)−} and A^{n−}; and *U*^{toSHE} is the reference potential for the standard hydrogen electrode (SHE), and the value of 4.6 V³⁸ was employed. The pH was set to 7 to be comparable to the experimental data. However, the as-calculated absolute redox potentials using the hybrid DFT or DFT + *U* method would have systematic errors, which have been reported in the literature,^{39,40} although these methods are believed to provide accurate predictions of electronic configurations. The errors and corresponding corrections will be discussed in the following section based on the calculated data.

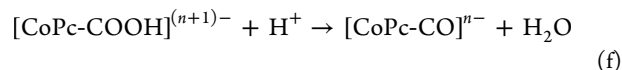
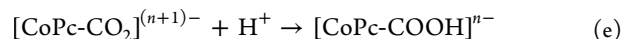
The free energy profiles involve the following molecule adsorption/desorption steps



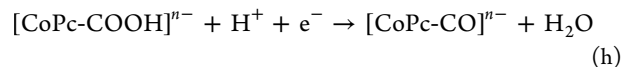
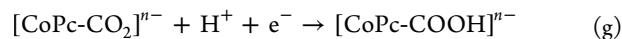
electron transfer (ET) steps



proton transfer (PT) steps



and CPET steps



To calculate the free energy change of these steps, we considered the free energy with the gas phase correction.⁴¹ For ET steps, the free energy changes of step (c) (Δ*G*_c) and step (d) (Δ*G*_d) were calculated with corrected redox potentials

$$\Delta G_c = -e(U_{\text{RHE}}^{\text{o,cor}}([\text{CoPc-CO}_2]^{n-}/[\text{CoPc-CO}_2]^{(n+1)-}) - U)$$

$$\Delta G_d = -e(U_{\text{RHE}}^{\text{o,cor}}([\text{CoPc}]^{n-}/[\text{CoPc}]^{(n+1)-}) - U)$$

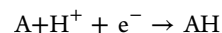
where *U* is the applied potential on the RHE scale. For the PT step (e), the pK_a of [CoPc-COOH]^{n−} was calculated using the isodesmic proton-exchange reaction scheme³⁷ to determine whether the protonation is spontaneous at the operative pH (Section S3 in Supporting Information). Then, the free energy change of step (e) (Δ*G*_e) was calculated as

$$\Delta G_e = -2.303 RT^* \text{pK}_a + 0.0592 \text{ pH}$$

For the CPET step (g), the free energy change (Δ*G*_g) was calculated directly by adding the items of Δ*G*_c and Δ*G*_e

$$\Delta G_g = \Delta G_c + \Delta G_e$$

The well-established computational hydrogen electrode (CHE) model⁴² was not used, with which the free energy change of a CPET step (Δ*G*_{CHE}) was calculated as



$$\Delta G_{\text{CHE}} = G(\text{AH}) - G(\text{A}) - \frac{1}{2}G(\text{H}_2)$$

Because

$$\begin{aligned} G(\text{AH}) - G(\text{A}) &= [G(\text{AH}) - G(\text{A}^-)] \\ &\quad + [G(\text{A}^-) - G(\text{A})] \\ &= \text{PA} + \text{EA} + G(\text{H}^+) + G(\text{e}^-) \end{aligned}$$

where PA is the proton affinity of A[−] and EA is the electron affinity of A; the systematic errors in calculating PA and EA would also lead to an error in Δ*G*_{CHE}. In our case, we found that the free energy change of the potential-deciding step calculated with the CHE model strongly disagrees with the experimental value (Supporting Information Section S1), so the CHE model was considered to be inadequate for the quantitative study of reaction mechanisms. Finally, the free energy changes of steps (f) and (h) were not directly

calculated but were derived by the free energy change of the overall reaction minus the other steps.

Since previous experimental studies suggested that the reaction rate is pH-dependent,^{8,21,24} the free energies of the transition states of the proton-involved steps were calculated to ascertain the rate-determining steps (RDS). The climbing image nudged elastic band (CI-NEB)^{43,44} method was used to locate the transition states. Bicarbonate was used as the proton source because the pK_a of bicarbonate (10.3) was smaller than the pK_a of H_2O (14.0).⁴⁵ The PT steps have the initial and final states with the same number of electrons so that the direct CI-NEB calculation would be adequate. However, a CPET step has the initial and final states with a different number of electrons. To determine the transition state of CPET steps, we employed the grand canonical potential kinetics (GCP-K) method⁴⁶ and assumed that the electron numbers of intermediates could only be integers for molecular catalysts. (Section S4 in the Supporting Information).

RESULTS & DISCUSSION

Redox Properties and Valence States of $[CoPc]^{n-}$. As shown in Figure 1, the calculated structure of CoPc has a D_{4h}

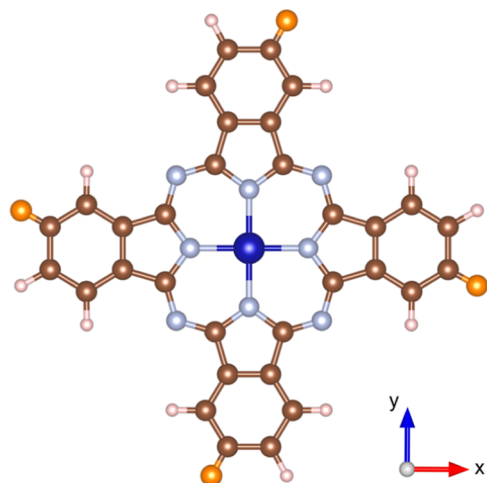


Figure 1. Molecular structure of a typical CoPc-based catalyst ($CoPcX_4$). Brown, pink, gray, and blue spheres represent C, H, N, and Co atoms, respectively. The orange spheres represent an arbitrary functional group X ($X = H$ atom for pristine CoPc).

symmetry, and the Co–N bond length is 1.917 Å, which is in good agreement with the experimental value of 1.91 Å.⁴⁷ Figure S5 shows the projected density of states (PDOSs) for CoPc. The Co atom in the CoPc has seven 3d electrons, and the electronic configuration is $d_{xy}^2 d_{xz}^2 d_{yz}^2 d_{z^2}^1$. Valence-band photoelectron spectroscopy (PES) measurements showed that the highest occupied molecular orbital (HOMO) peak contains purely ligand states,^{48,49} which agrees with the nonmetallic HOMO predicted by the calculation. The ligand also contributes to most of the lowest unoccupied molecular orbital (LUMO) peaks. Only low-intensity d_x states are found to be present in the LUMO. These states originate in a hybridization of the metal d orbitals with the aza-bridge nitrogen p_z orbitals, which are directed on the diagonal axes of the molecule and out of the molecular plane.³¹ The calculated ground state of $[CoPc]^-$ is $[Co^{II}Pc]^-$ with the electronic configuration of $d_{xy}^2 d_{xz}^2 d_{yz}^2 d_{z^2}^1$ for Co 3d electrons, and the

phthalocyanine ligand is solely reduced. The unpaired electrons on the Co atom and those on the ligand are in opposite spin states. With the reduction of the ligand, the symmetry of the CoPc molecule decreases to D_{2h} . Moreover, the Co–N bond lengths along the two axes are 1.918 and 1.903 Å, respectively. The reduction on the Co with the electronic configuration of $d_{xy}^2 d_{xz}^2 d_{yz}^2 d_{z^2}^1$ is found to be 0.22 eV higher than the ground state in which the ligand is reduced. The second reduction of CoPc also occurs on the ligand, with Co remaining in the +2 oxidation state. The statement of unreduced Co metal is inconsistent with the previous opinion but is reasonable since the ligand contributes to the LUMO of CoPc.

Redox Potentials and Reaction Mechanisms. In comparing the theoretical and experimental results, we performed electrochemical characterization of the redox potentials for CoPc in CO_2 -saturated aqueous solution of 0.1 M $KHCO_3$ ($pH = 6.71$), which is a common operative condition for CO_2RR . In the cyclic voltammogram of CoPc (Figure S6a), two pairs of redox peaks with the reduction waves at around -0.07 and -0.33 V (vs RHE) are observed, which represent the reduction reaction of $CoPc/[CoPc]^-$ and $[CoPc]^-/[CoPc]^{2-}$, respectively.^{50,51} Compared with the experimental results, the calculated absolute reduction potentials, as listed in Table 1, are much more negative.

Table 1. Calculated Absolute (abs.) and Corrected (cor.) Redox Potentials of Reaction Intermediates. * Experimental Value was used for the $[CoPc]^-/[CoPc]^{2-}$ and $[CoPc]^-/[CoPc]^{2-}$ Redox Couple

reduced	oxidized	redox potential (abs., vs RHE)	redox potential (cor.)
$[CoPc]^-$	$[CoPc]$	-0.746 V	-0.07 V*
$[CoPc]^{2-}$	$[CoPc]^-$	-1.096 V	-0.33 V*
$[CoPc-CO_2]^{2-}$	$[CoPc-CO_2]^-$	-0.702 V	0.019 V
$[CoPc-CO_2]^{3-}$	$[CoPc-CO_2]^{2-}$	-1.115 V	-0.394 V
$[CoPc-COOH]^-$	$[CoPc-COOH]$	-0.722 V	-0.001 V
$[CoPc-COOH]^{2-}$	$[CoPc-COOH]^-$	-0.944 V	-0.223 V
$[CoPc-COOH]^{3-}$	$[CoPc-COOH]^{2-}$	-1.665 V	-0.944 V
$[CoPc-CO]^-$	$[CoPc-CO]$	-0.832 V	-0.111 V
$[CoPc-CO]^{2-}$	$[CoPc-CO]^-$	-1.006 V	-0.285 V
$[CoPc-CO]^{3-}$	$[CoPc-CO]^{2-}$	-1.743 V	-1.022 V

However, their potential difference (0.35 V) is basically consistent with the experimental result (0.26 V). Following previous suggestions that the relative hybrid DFT redox potentials are more reliable than the absolute values,⁵² we used the experimentally measured redox potential values of $CoPc/[CoPc]^-$ and $[CoPc]^-/[CoPc]^{2-}$ as references to calibrate the calculated absolute redox potentials of the following key intermediate species (namely, corrected redox potentials), such as $[CoPc-CO_2]^{2-}$, $[CoPc-COOH]^{2-}$, $[CoPc-CO]$, and so forth, as listed in Table 1.

The average reported onset potential of CO_2RR to CO on CoPc is -0.34 V versus RHE.^{14,23,53,54} At this potential, according to the experimental redox potential, CoPc is reduced to $[CoPc]^{2-}$. In addition, $CoPc-CO_2$, $CoPc-COOH$, and $CoPc-CO$ are all predicted to be in the charge state of -2 at the onset potential according to the calculated redox potentials. The charge states of intermediates indicate that the formation of $*COOH$ and $*CO$ follows the CPET

pathway, as indicated by the red and green arrows in Figure 2a, and the energy profile of the whole reaction is shown in Figure

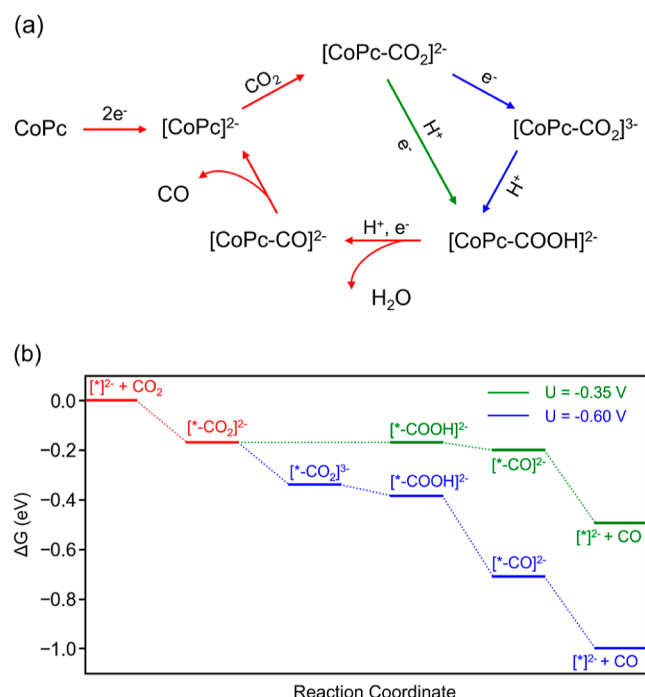
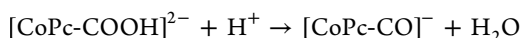


Figure 2. (a) Schematic mechanism and (b) free energy profile of CO₂ reduction at different applied potentials, where * represents the CoPc molecule.

2b. The possibility of the SPET pathway for the formation of *COOH and *CO was also considered. In the PT step for the formation of *COOH, the charge states of CoPc-CO₂ and CoPc-COOH are -2 and -1, respectively. However, the pK_a of [CoPc-COOH]⁻ is 3.5, indicating the minimal protonation of [CoPc-CO₂]²⁻ at an operative pH. Meanwhile, since the intermediate CoPc-COOH is in the charge state of -2, the PT step in the SPET pathway for the formation of *CO is



which is thermodynamically endothermic with ΔG = +0.04 eV.

Therefore, the formation of *COOH and *CO can hardly occur according to the SPET pathway. In addition, with the above-mentioned CPET pathways, the onset potential of CO₂RR to CO on CoPc is calculated to be -0.35 V versus RHE, which agrees well with the experimental values of -0.34 V, validating our method to calculate the free energy differences of CPET pathways precisely. At more negative potentials (U = -0.60 V vs RHE was taken as an example here), [CoPc-CO₂]²⁻ can be reduced to [CoPc-CO₂]³⁻, while the charge states of other intermediates remain unchanged. Thus, the formation of *COOH follows the SPET pathway. The overall reaction pathway is indicated by the red and blue arrows in Figure 2a, and the energy profile is shown in Figure 2b. In addition, the calculated pK_a of [CoPc-COOH]²⁻ is 7.73, so the protonation of [CoPc-CO₂]³⁻ spontaneously occurs at operative pH in thermodynamics, which further supports the SPET pathway.

In kinetics, at the low overpotential (U₁ = -0.35 V), the formation of *COOH has a higher energy barrier of 0.89 eV (Table 2), which is the RDS of the reaction. However, the protonation of [CoPc-CO₂]³⁻ is the RDS with a higher energy

Table 2. Energy Barriers of the Proton-Involved Reaction Steps at U₁ = -0.35 V and U₂ = -0.60 V

reaction step	ΔG _{TS} (eV, U ₁)	ΔG _{TS} (eV, U ₂)
[*CO ₂] ²⁻ + H ⁺ + e ⁻ → [*COOH] ²⁻	0.89	/
[*CO ₂] ³⁻ + H ⁺ → [*COOH] ²⁻	/	0.67
[*COOH] ²⁻ + H ⁺ + e ⁻ → [*CO] ²⁻ + H ₂ O	0.26	0.15

barrier of 0.67 eV (Table 2) at a high overpotential (U₂ = -0.60 V). Thus, the potential-dependent kinetics of CO₂ reduction on CoPc²¹ can be well explained by the above results. At low overpotentials where CoPc-CO₂ can hardly be reduced to [CoPc-CO₂]³⁻, the RDS (formation of *COOH) takes place through the CPET pathway. As the applied potential shifts more negative, more intermediates are in the charge state of -3, and the reaction can shift from CPET-dominant to SPET-dominant. Thus, the charge state of CoPc-CO₂ is the key factor in the potential-dependent kinetics of CO₂ reduction. However, the reaction mechanism at highly reductive potentials (<-1 V vs RHE) where the intermediates are in higher charge states will not be discussed here, as mass transport becomes an important factor in the reaction kinetics in this potential range.¹⁸

It is noted that the adsorption of CO₂ is the first step for the reaction. As shown in Figure 3a, CO₂ is only physisorbed on neutral CoPc, while it can adopt a bent geometry and become chemisorbed on [CoPc-CO₂]²⁻, as shown in Figure 3b. Hydrogen bonding also plays an essential part in the adsorption of CO₂. The length of the Co-C bond in [CoPc-CO₂]²⁻ is 2.13 Å when the structure is optimized in a fully implicit solvent. With the addition of explicit water molecules, the bond length is shortened to 2.01 Å, as shown in Figure 3c. The PDOS of Co in CoPc and C in CO₂ indicates that the σ bond of Co-C is a hybridization of Co-d_{z²} and C-p_z, as shown in Figure 3d. Hydrogen bonding can exert a drawing effect on the electrons of [CoPc-CO₂]²⁻. The increased intensity of C-p_z indicates that more electrons are drawn into the bonding state, and thus the adsorption of CO₂ is enhanced.

Modification of CoPc. Based on our proposed mechanism, we discuss how the substituents would influence the CO₂RR activity of CoPc in the following. The derivatives that we investigated are in the form of CoPcX₄ (Figure 1). The Hammett constant (σ_p) was used to evaluate the charge effect induced by the substituents, which was defined by Hammett from the ionization constant of benzoic acid (K_H) and the ionization constant of a para-substituted benzoic acid (K_X) in the water at 25 °C

$$\sigma_p = \lg K_X - \lg K_H$$

Previous studies which assumed the Co center to be the redox site showed that the electron-withdrawing groups could facilitate the reduction of the Co center, and the correlation between redox potentials and the Hammett constant of substituents is approximately linear.⁵⁴ Interestingly, as shown in Figure 4a, our results are in good agreement with the experimental trends, although the reductions occur on the ligand in our calculation. In actual reactions, due to the aggregation of molecules, the CoPc may need more reductive potential to be reduced than predicted in theory.⁵⁵ In addition, it has been reported that only a fraction of CoPc sites can be reduced to being electrochemically active at the working potential.^{53,54} Since the chemisorption of CO₂ requires the

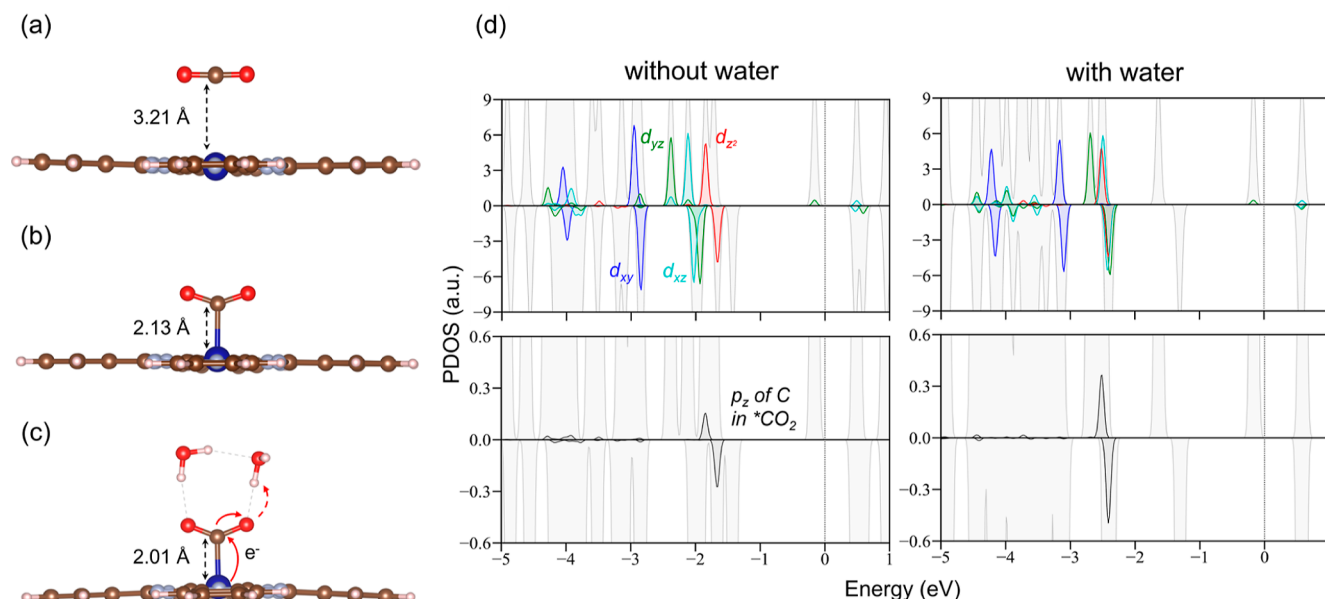


Figure 3. Atomic structures of (a) CoPc-CO₂, (b) [CoPc-CO₂]²⁻, and (c) [CoPc-CO₂]²⁻ with two explicit water molecules. (d) Projected density of states of Co and C in CO₂ for [CoPc-CO₂]²⁻ with and without explicit water molecules. The energy level of HOMO is set to be 0 eV. The total density of states is represented in gray, d_{z²} in red, d_{xz} in cyan, d_{yz} in green, d_{xy} in blue, and p_z of C in *CO₂ in black, respectively.

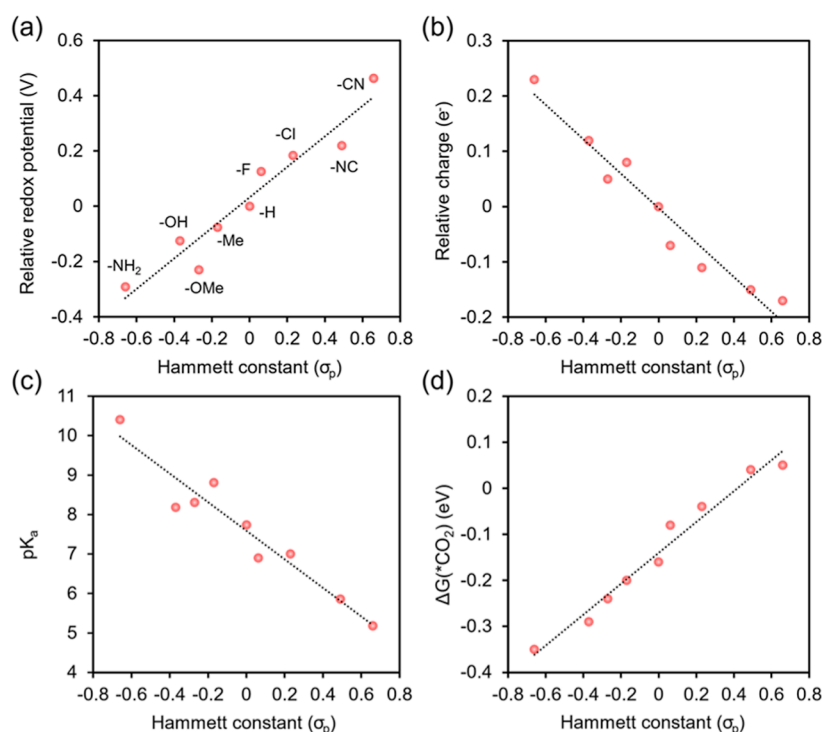


Figure 4. Correlations between the para position Hammett constant (σ_{para}) of substituent X and (a) redox potential of [CoPcX₄]⁻/[CoPcX₄]²⁻ relative to [CoPc]⁻/[CoPc]²⁻, (b) charge of the central part of [CoPcX₄-CO₂]²⁻ relative to [CoPc-CO₂]²⁻, (c) pK_a of [CoPcX₄-COOH]²⁻, and (d) adsorption free energy of CO₂ on [CoPcX₄]²⁻. The Hammett constants are taken from experimental data.⁵⁷

CoPc to be in the charge state of -2, the enhanced reduction can increase the number of active sites and promote the observed activity with the electron-withdrawing groups.

However, the electron-withdrawing substituents also lead to decreased charge density around the central active part (Figure 4b, the central active part refers to the atoms except those in the outer benzene rings), which would weaken the Coulomb interaction between the adsorbed CO₂ and hydrogen, resulting in a lower pK_a of [CoPcX₄-COOH]²⁻ (Figure 4c) and thus

hindering the kinetics of PT. Meanwhile, because the adsorbed CO₂ adopts a bent structure, the adsorption is stabilized by the interaction between the dipole of *CO₂ and the local electric field.⁵⁶ The weaker dipole-field interaction caused by the lower charge density around the active center would lead to the weaker adsorption of CO₂ (Figure 4d) and hinder the occurrence of the reaction, which is consistent with the observation of in situ scanning tunneling microscopy.²⁵ The optimal modification strategy for the improved activity of

CoPc should be proposed in consideration of the redox potential, pK_a , and CO_2 adsorption. If the molecules can be well dispersed and the ET problem can be overcome so that there is no need to enhance the reduction of CoPc to increase the number of active sites, the electron-donating substituents could show their advantage in boosting the adsorption of CO_2 and promoting the reaction kinetics, while if the reduction of CoPc remains to be the major constraint on the CO_2 RR kinetics, the activity can be improved with electron-withdrawing substituents.

CONCLUSIONS

In summary, our study revealed the reaction mechanism of electrochemical CO_2 reduction to CO on CoPc through DFT calculations. The $\text{Co}^{\text{II}}\text{Pc}$ molecule is first electrochemically reduced to a charged $[\text{Co}^{\text{II}}\text{Pc}]^{2-}$ with the valence state of the Co center remaining unchanged, which, with the aid of water, is a necessary process for the adsorption of CO_2 . The reaction pathway and kinetics are determined by the charge states of intermediates. At the onset potential, the RDS is the formation of $^*\text{COOH}$, which follows a CPET pathway. At more reductive potentials, the SPET pathway becomes thermodynamically favored, which leads to the potential-dependent reaction kinetics. The inductive effect of substituents is essential to the activity of CoPc-based catalysts. The electron-withdrawing substituents can facilitate the reduction of CoPc to the charged $[\text{Co}^{\text{II}}\text{Pc}]^{2-}$ but are disadvantageous in the adsorption of CO_2 and PT, thereby playing dual roles of the coin. For CoPc molecules well dispersed on the conductive support, which also facilitates a facile electroreduction of CoPc, an electron-donating substitute would be a better choice to facilitate the key PT to the $[\text{Co}^{\text{II}}\text{Pc-COO}]^{2-}$ intermediate. Our results are helpful in rationally designing efficient catalysts for electrochemical CO_2 reduction.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.2c01125>.

Comparison of binding energies calculated by HSE06 and PBE + U , micro-solvation structures of intermediates, isodesmic proton-exchange reaction scheme, GCP-K, atomic structures of transition states, relative energies for intermediates in different spin states, and electrochemical characterization of redox potentials (PDF)

AUTHOR INFORMATION

Corresponding Author

Jia Li – Institute of Materials Research and Shenzhen Geim Graphene Center, Shenzhen International Graduate School, Tsinghua University, Shenzhen 518055, P. R. China; orcid.org/0000-0001-9361-2281; Email: li.jia@sz.tsinghua.edu.cn, thulijia@tsinghua.edu.cn

Authors

Hengyu Li – Institute of Materials Research and Shenzhen Geim Graphene Center, Shenzhen International Graduate School, Tsinghua University, Shenzhen 518055, P. R. China
Jie Wei – Institute of Materials Research and Shenzhen Geim Graphene Center, Shenzhen International Graduate School, Tsinghua University, Shenzhen 518055, P. R. China

Xuya Zhu – Institute of Materials Research and Shenzhen Geim Graphene Center, Shenzhen International Graduate School, Tsinghua University, Shenzhen 518055, P. R. China
Lin Gan – Institute of Materials Research and Shenzhen Geim Graphene Center, Shenzhen International Graduate School, Tsinghua University, Shenzhen 518055, P. R. China;

orcid.org/0000-0003-3486-6016

Tao Cheng – Institute of Functional Nano and Soft Materials (FUNSOM), Jiangsu Key Laboratory for Carbon-Based Functional Materials and Devices, Soochow University, Suzhou 215123, P. R. China; orcid.org/0000-0003-4830-177X

Complete contact information is available at:
<https://pubs.acs.org/doi/10.1021/acs.jpcc.2c01125>

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

This work was supported by the National Science Foundation of China (11874036, 52173222), the Guangdong Province Key Area R&D Program (2019B010940001, 2020B1212060015), the Local Innovative and Research Teams Project of Guangdong Pearl River Talents Program (2017BT01N111), and the Basic Research Project of Shenzhen, (JCYJ20200109142816479, WZC20200819115243002). T.C. thanks the support from the Suzhou Key Laboratory of Functional Nano & Soft Materials, Collaborative Innovation Center of Suzhou Nano Science & Technology, the 111 Project, and the National Natural Science Foundation of China (21903058 and 22173066). Computational resources were provided by the Advanced Computing Center of Yunnan University and the Guangzhou Supercomputing Center.

REFERENCES

- (1) Schwartz, S. E. Uncertainty in climate sensitivity: Causes, consequences, challenges. *Energy Environ. Sci.* **2008**, *1*, 430–453.
- (2) Chu, S.; Majumdar, A. Opportunities and challenges for a sustainable energy future. *Nature* **2012**, *488*, 294–303.
- (3) Zhang, B.; Zhang, J. Rational design of Cu-based electrocatalysts for electrochemical reduction of carbon dioxide. *J. Energy Chem.* **2017**, *26*, 1050–1066.
- (4) Appel, A. M.; Bercaw, J. E.; Bocarsly, A. B.; Dobbek, H.; DuBois, D. L.; Dupuis, M.; Ferry, J. G.; Fujita, E.; Hille, R.; Kenis, P. J. A.; et al. Frontiers, opportunities, and challenges in biochemical and chemical catalysis of CO_2 fixation. *Chem. Rev.* **2013**, *113*, 6621–6658.
- (5) Back, S.; Lim, J.; Kim, N.-Y.; Kim, Y.-H.; Jung, Y. Single-atom catalysts for CO_2 electroreduction with significant activity and selectivity improvements. *Chem. Sci.* **2017**, *8*, 1090–1096.
- (6) Ju, W.; Bagger, A.; Hao, G.-P.; Varela, A. S.; Sinev, I.; Bon, V.; Roldan Cuenya, B.; Kaskel, S.; Rossmeisl, J.; Strasser, P. Understanding activity and selectivity of metal-nitrogen-doped carbon catalysts for electrochemical reduction of CO_2 . *Nat. Commun.* **2017**, *8*, 944.
- (7) Yang, H. B.; Hung, S.-F.; Liu, S.; Yuan, K.; Miao, S.; Zhang, L.; Huang, X.; Wang, H.-Y.; Cai, W.; Chen, R.; et al. Atomically dispersed Ni(I) as the active site for electrochemical CO_2 reduction. *Nat. Energy* **2018**, *3*, 140–147.
- (8) Zhu, M.; Ye, R.; Jin, K.; Lazowski, N.; Manthiram, K. Elucidating the reactivity and mechanism of CO_2 electroreduction at highly dispersed cobalt phthalocyanine. *ACS Energy Lett.* **2018**, *3*, 1381–1386.
- (9) Wu, H.; Zeng, M.; Zhu, X.; Tian, C.; Mei, B.; Song, Y.; Du, X.-L.; Jiang, Z.; He, L.; Xia, C.; et al. Defect engineering in polymeric

cobalt phthalocyanine networks for enhanced electrochemical CO₂ Reduction. *ChemElectroChem* **2018**, *5*, 2717–2721.

(10) Choi, J.; Wagner, P.; Gambhir, S.; Jalili, R.; MacFarlane, D. R.; Wallace, G. G.; Officer, D. L. Steric modification of a cobalt phthalocyanine/graphene catalyst to give enhanced and stable electrochemical CO₂ reduction to CO. *ACS Energy Lett.* **2019**, *4*, 666–672.

(11) Wang, M.; Torbensen, K.; Salvatore, D.; Ren, S.; Joulié, D.; Dumoulin, F.; Mendoza, D.; Lassalle-Kaiser, B.; Işci, U.; Berlinguette, C. P.; et al. CO₂ electrochemical catalytic reduction with a highly active cobalt phthalocyanine. *Nat. Commun.* **2019**, *10*, 3602.

(12) De Riccardis, A.; Lee, M.; Kazantsev, R. V.; Garza, A. J.; Zeng, G.; Larson, D. M.; Clark, E. L.; Lobaccaro, P.; Burroughs, P. W. W.; Bloise, E.; et al. Heterogenized pyridine-substituted cobalt(II) phthalocyanine yields reduction of CO₂ by tuning the electron affinity of the Co Center. *ACS Appl. Mater. Interfaces* **2020**, *12*, 5251–5258.

(13) Ren, S.; Joulié, D.; Salvatore, D.; Torbensen, K.; Wang, M.; Robert, M.; Berlinguette, C. P. Molecular electrocatalysts can mediate fast, selective CO₂ reduction in a flow cell. *Science* **2019**, *365*, 367–369.

(14) Zhang, Z.; Xiao, J.; Chen, X.-J.; Yu, S.; Yu, L.; Si, R.; Wang, Y.; Wang, S.; Meng, X.; Wang, Y.; et al. Reaction mechanisms of well-defined metal-N₄ sites in electrocatalytic CO₂ reduction. *Angew. Chem., Int. Ed.* **2018**, *57*, 16339–16342.

(15) Rollmann, L. D.; Iwamoto, R. T. Electrochemistry, electron paramagnetic resonance, and visible spectra of cobalt, nickel, copper, and metal-free phthalocyanines in dimethyl sulfoxide. *J. Am. Chem. Soc.* **1968**, *90*, 1455–1463.

(16) Mahmood, M. N.; Masheder, D.; Harty, C. J. Use of gas-diffusion electrodes for high-rate electrochemical reduction of carbon dioxide. II. Reduction at metal phthalocyanine-impregnated electrodes. *J. Appl. Electrochem.* **1987**, *17*, 1223–1227.

(17) Abe, T.; Taguchi, F.; Yoshida, T.; Tokita, S.; Schnurpfel, G.; Wöhrle, D.; Kaneko, M. Electrocatalytic CO₂ reduction by cobalt octabutoxyphthalocyanine coated on graphite electrode. *J. Mol. Catal. A: Chem.* **1996**, *112*, 55–61.

(18) Xia, Y.; Kashtanov, S.; Yu, P.; Chang, L.-Y.; Feng, K.; Zhong, J.; Guo, J.; Sun, X. Identification of dual-active sites in cobalt phthalocyanine for electrochemical carbon dioxide reduction. *Nano Energy* **2020**, *67*, 104163.

(19) Liu, Y.; Deb, A.; Leung, K. Y.; Nie, W.; Dean, W. S.; Penner-Hahn, J. E.; McCrory, C. C. L. Determining the coordination environment and electronic structure of polymer-encapsulated cobalt phthalocyanine under electrocatalytic CO₂ reduction conditions using in situ X-Ray absorption spectroscopy. *Dalton Trans.* **2020**, *49*, 16329–16339.

(20) Corbin, N.; Zeng, J.; Williams, K.; Manthiram, K. Heterogeneous molecular catalysts for electrocatalytic CO₂ reduction. *Nano Res.* **2019**, *12*, 2093–2125.

(21) Zeng, J. S.; Corbin, N.; Williams, K.; Manthiram, K. Kinetic analysis on the role of bicarbonate in carbon dioxide electroreduction at immobilized cobalt phthalocyanine. *ACS Catal.* **2020**, *10*, 4326–4336.

(22) Abe, T.; Imaya, H.; Yoshida, T.; Tokita, S.; Schlettwein, D.; Wöhrle, D.; Kaneko, M. Electrochemical CO₂ reduction catalysed by cobalt octacyanophthalocyanine and its mechanism. *J. Porphyrins Phthalocyanines* **1997**, *1*, 315–321.

(23) Zhang, X.; Wu, Z.; Zhang, X.; Li, L.; Li, Y.; Xu, H.; Li, X.; Yu, X.; Zhang, Z.; Liang, Y.; et al. Highly selective and active CO₂ reduction electrocatalysts based on cobalt phthalocyanine/carbon nanotube hybrid structures. *Nat. Commun.* **2017**, *8*, 14675.

(24) Morlanés, N.; Takanabe, K.; Rodionov, V. Simultaneous reduction of CO₂ and splitting of H₂O by a single immobilized cobalt phthalocyanine electrocatalyst. *ACS Catal.* **2016**, *6*, 3092–3095.

(25) Wang, X.; Cai, Z. F.; Wang, Y. Q.; Feng, Y. C.; Yan, H. J.; Wang, D.; Wan, L. J. In-situ scanning tunneling microscopy of cobalt-phthalocyanine-catalyzed CO₂ reduction reaction. *Angew. Chem., Int. Ed.* **2020**, *59*, 16098–16103.

(26) Kresse, G.; Furthmüller, J. Efficiency of ab-initio total energy calculations for metals and semiconductors using a plane-wave basis set. *Comput. Mater. Sci.* **1996**, *6*, 15–50.

(27) Kresse, G.; Furthmüller, J. Efficient iterative schemes for ab initio total-energy calculations using a plane-wave basis set. *Phys. Rev. B* **1996**, *54*, 11169–11186.

(28) Krukau, A. V.; Vydrov, O. A.; Izmaylov, A. F.; Scuseria, G. E. Influence of the exchange screening parameter on the performance of screened hybrid functionals. *J. Chem. Phys.* **2006**, *125*, 224106.

(29) Anisimov, V. I.; Zaanen, J.; Andersen, O. K. Band theory and Mott insulators: Hubbard U instead of Stoner I. *Phys. Rev. B* **1991**, *44*, 943–954.

(30) Liechtenstein, A. I.; Anisimov, V. I.; Zaanen, J. Density-functional theory and strong interactions: Orbital ordering in Mott-Hubbard insulators. *Phys. Rev. B* **1995**, *52*, R5467–R5470.

(31) Brumboiu, I. E.; Haldar, S.; Lüder, J.; Eriksson, O.; Herper, H. C.; Brena, B.; Sanyal, B. Influence of electron correlation on the electronic structure and magnetism of transition-metal phthalocyanines. *J. Chem. Theory Comput.* **2016**, *12*, 1772–1785.

(32) Grimme, S. Semiempirical GGA-type density functional constructed with a long-range dispersion correction. *J. Comput. Chem.* **2006**, *27*, 1787–1799.

(33) Grimme, S.; Antony, J.; Ehrlich, S.; Krieg, H. A consistent and accurate ab initio parametrization of density functional dispersion correction (DFT-D) for the 94 elements H–Pu. *J. Chem. Phys.* **2010**, *132*, 154104.

(34) Mathew, K.; Sundararaman, R.; Letchworth-Weaver, K.; Arias, T. A.; Hennig, R. G. Implicit solvation model for density-functional study of nanocrystal surfaces and reaction pathways. *J. Chem. Phys.* **2014**, *140*, 084106.

(35) Fishman, M.; Zhuang, H. L.; Mathew, K.; Dirschka, W.; Hennig, R. G. Accuracy of exchange-correlation functionals and effect of solvation on the surface energy of copper. *Phys. Rev. B* **2013**, *87*, 245402.

(36) Pliego, J. R.; Riveros, J. M. Theoretical calculation of pK_a using the cluster-continuum model. *J. Phys. Chem. A* **2002**, *106*, 7434–7439.

(37) Göttle, A. J.; Koper, M. T. M. Proton-coupled electron transfer in the electrocatalysis of CO₂ reduction: prediction of sequential vs. concerted pathways using DFT. *Chem. Sci.* **2017**, *8*, 458–465.

(38) Mathew, K.; Kolluru, V. S. C.; Mula, S.; Steinmann, S. N.; Hennig, R. G. Implicit self-consistent electrolyte model in plane-wave density-functional theory. *J. Chem. Phys.* **2019**, *151*, 234101.

(39) Roy, L. E.; Jakubikova, E.; Guthrie, M. G.; Batista, E. R. Calculation of one-electron redox potentials revisited. Is it possible to calculate accurate potentials with density functional methods? *J. Phys. Chem. A* **2009**, *113*, 6745–6750.

(40) Galst'yan, A.; Knapp, E.-W. Accurate redox potentials of mononuclear iron, manganese, and nickel model complexes. *J. Comput. Chem.* **2009**, *30*, 203–211.

(41) Granda-Marulanda, L. P.; Rendón-Calle, A.; Builes, S.; Illas, F.; Koper, M. T. M.; Calle-Vallejo, F. A semiempirical method to detect and correct DFT-based gas-phase errors and its application in electrocatalysis. *ACS Catal.* **2020**, *10*, 6900–6907.

(42) Nørskov, J. K.; Rossmeisl, J.; Logadottir, A.; Lindqvist, L.; Kitchin, J. R.; Bligaard, T.; Jónsson, H. Origin of the overpotential for oxygen reduction at a fuel-cell cathode. *J. Phys. Chem. B* **2004**, *108*, 17886–17892.

(43) Henkelman, G.; Uberuaga, B. P.; Jónsson, H. A climbing image nudged elastic band method for finding saddle points and minimum energy paths. *J. Chem. Phys.* **2000**, *113*, 9901–9904.

(44) Henkelman, G.; Jónsson, H. Improved tangent estimate in the nudged elastic band method for finding minimum energy paths and saddle points. *J. Chem. Phys.* **2000**, *113*, 9978–9985.

(45) Lu, X.; Ahsaine, H. A.; Dereli, B.; Garcia-Esparza, A. T.; Reinhard, M.; Shinagawa, T.; Li, D.; Adil, K.; Tchalala, M. R.; Kroll, T.; et al. Operando elucidation on the working state of immobilized fluorinated iron porphyrin for selective aqueous electroreduction of CO₂ to CO. *ACS Catal.* **2021**, *11*, 6499–6509.

(46) Huang, Y.; Nielsen, R. J.; Goddard, W. A. Reaction mechanism for the hydrogen evolution reaction on the basal plane sulfur vacancy site of MoS₂ using grand canonical potential kinetics. *J. Am. Chem. Soc.* **2018**, *140*, 16773–16782.

(47) Mason, R.; Williams, G. A.; Fielding, P. E. Structural chemistry of phthalocyaninato-cobalt(II) and -manganese(II). *J. Chem. Soc., Dalton Trans.* **1979**, 676–683.

(48) Ellis, T. S.; Park, K. T.; Ulrich, M. D.; Hulbert, S. L.; Rowe, J. E. Interaction of metallophthalocyanines (MPc, M=Co, Ni) on Au(001): Ultraviolet photoemission spectroscopy and low energy electron diffraction study. *J. Appl. Phys.* **2006**, *100*, 093515.

(49) Grobosch, M.; Schmidt, C.; Kraus, R.; Knupfer, M. Electronic properties of transition metal phthalocyanines: The impact of the central metal atom (d5–d10). *Org. Electron.* **2010**, *11*, 1483–1488.

(50) Ouyang, J.; Shigehara, K.; Yamada, A.; Anson, F. C. Hexadecafluoro- and octacyano phthalocyanines as electrocatalysts for the reduction of dioxygen. *J. Electroanal. Chem. Interfacial Electrochem.* **1991**, *297*, 489–498.

(51) Lieber, C. M.; Lewis, N. S. Catalytic reduction of carbon dioxide at carbon electrodes modified with cobalt phthalocyanine. *J. Am. Chem. Soc.* **1984**, *106*, 5033–5034.

(52) Leung, K.; Nielsen, I. M. B.; Sai, N.; Medforth, C.; Shelnutt, J. A. Cobalt–porphyrin catalyzed electrochemical reduction of carbon dioxide in water. 2. Mechanism from first principles. *J. Phys. Chem. A* **2010**, *114*, 10174–10184.

(53) Han, N.; Wang, Y.; Ma, L.; Wen, J.; Li, J.; Zheng, H.; Nie, K.; Wang, X.; Zhao, F.; Li, Y.; et al. Supported cobalt polyphthalocyanine for high-performance electrocatalytic CO₂ reduction. *Chem* **2017**, *3*, 652–664.

(54) Chen, J.; Zhu, M.; Li, J.; Xu, J.; Han, Y.-F. Structure-activity relationship of the polymerized cobalt phthalocyanines for electrocatalytic carbon dioxide reduction. *J. Phys. Chem. C* **2020**, *124*, 16501–16507.

(55) Chen, X.; Wei, D.; Ahlquist, M. S. G. Aggregation and significant difference in reactivity therein: blocking the CO₂-to-CH₃OH reaction. *Organometallics* **2021**, *40*, 3087–3093.

(56) Vijay, S.; Gauthier, J. A.; Heenen, H. H.; Bukas, V. J.; Kristoffersen, H. H.; Chan, K. Dipole-field interactions determine the CO₂ reduction activity of 2D Fe-N-C single-atom catalysts. *ACS Catal.* **2020**, *10*, 7826–7835.

(57) Hansch, C.; Leo, A.; Taft, R. W. A survey of Hammett substituent constants and resonance and field parameters. *Chem. Rev.* **1991**, *91*, 165–195.

Recommended by ACS

Kinetic Analysis on the Role of Bicarbonate in Carbon Dioxide Electoreduction at Immobilized Cobalt Phthalocyanine

Joy S. Zeng, Karthish Manthiram, *et al.*

MARCH 06, 2020
ACS CATALYSIS

READ 

Resolving Deactivation Pathways of Co Porphyrin-Based Electrocatalysts for CO₂ Reduction in Aqueous Medium

Aleksei N. Marianov, Yijiao Jiang, *et al.*

MARCH 09, 2021
ACS CATALYSIS

READ 

Understanding the Enhanced Catalytic CO₂ Reduction upon Adhering Cobalt Porphyrin to Carbon Nanotubes and the Inverse Loading Effect

Xiaoyu Chen, Mårten S. G. Ahlquist, *et al.*

JANUARY 22, 2020
ORGANOMETALLICS

READ 

Low Overpotential CO₂ Activation by a Graphite-Adsorbed Cobalt Porphyrin

Soumalya Sinha, Jeffrey J. Warren, *et al.*

OCTOBER 07, 2020
ACS CATALYSIS

READ 

Get More Suggestions >