# **Synchronization Distributed and Pervasive Systems**

Christian Fischer Pedersen cfp@eng.au.dk

Section of Electrical and Computer Engineering
Department of Engineering
Aarhus University

Revised on February 25, 2018

#### **Outline**

**Clock synchronization** 

Logical clock: Lamport time-stamps

Real clock: Precision Time Protocol

References

#### **Outline**

#### **Clock synchronization**

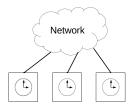
Logical clock: Lamport time-stamps

Real clock: Precision Time Protoco

References

# **Clock synchronization objectives**

- Common notion of local or global, real or logical, time
- ► Coordination of **logical** or **real** clocks in a distributed system
- ▶ Logical and real clocks: Able to obtain logical event ordering
- ▶ **Real clocks:** Ability to meet wall-clock deadlines
- Logical clocks: Ability to meet deadlines relative to events



#### **Synchronization**

How can you implement a synchronous algorithm in an asynchronous environment?

# **Clock synchronization challenges**

#### Logical clocks

- Achieve chronological local and global event ordering in a distributed system without synchronous real clocks
- Initial and on-going corrections are required

#### Real clocks

- Precise synchronization of clocks in nodes
- Each node's clock tend to drift due to instabilities in source oscillators and environmental conditions such as temperature and mechanical wear and tear
- Initial and on-going corrections are required

# Clock synchronization solutions for logical clocks

#### Examples

- Lamport time-stamps
- Vector/matrix clocks
- Version vectors
- ▶ ..

Clock synchronization

### Clock synchronization solutions for real clocks

NTP (Network Time Protocol) and SNTP (Simple NTP)

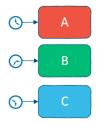
Synchronize clocks on the millisecond level

RBIS (Reference Broadcast Infrastructure Synchronization)

Synchronize clocks on the microsecond level

IEEE 1588 Precision Time Protocol (PTP) v1/v2

Synchronize clocks on the nanosecond level And many more ...



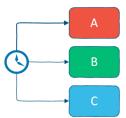
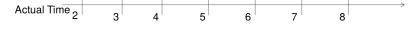
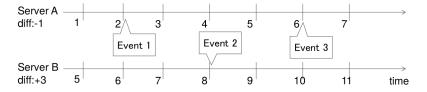


Figure: Global clock: Accurate

# **Example: Event ordering: Wrong order**





#### Event Ordering based on Actual Time

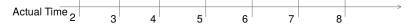
Time	
3	Event 1
5	Event 2
7	Event 3

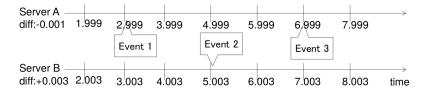
#### Event Ordering based on Timestamp

2	Event 1	
6	Event 3	← way, a wa a
8	Event 2	← reverse
	2	2 Event 1 6 Event 3

Figure: Reversed events due to offset clocks (Fujitsu).

# **Example: Event ordering: Right order**





Even	t Ordering	based of	on Actual	Time

Time	Event
3	Event 1
5	Event 2
7	Event 3

#### **Event Ordering based on Timestamp**

-		
2.999	Event 1	
5.003	Event 2	←
6.999	Event 3	← correct

Figure: Properly ordered events due to non-offset clocks (Fujitsu).

### **Outline**

**Clock synchronization** 

Logical clock: Lamport time-stamps

Real clock: Precision Time Protoco

References

# Logical clocks in general

- Achieves chronological event ordering across nodes in a distributed system
- Especially useful in absence of synchronous real clocks
- Often it is not necessary to know when (real clock) an event happened, but rather the logical ordering of events
- ► Each process keeps track of logical local and global time
- We need to keep the logical clocks synchronized
- Typically, a logical clock algorithm updates
  - logical local time after each local event
  - logical global time after each data exchange

Christian Fischer Pedersen, Electrical and Computer Engineering, Aarhus University

# **About Lamport time-stamps**

- ► (Leslie) Lamport time-stamps, 1978. Turing Award, 2013
- Algorithm to determine partial ordering of events in a distributed system
- A Lamport logical clock is basically an incrementing software counter maintained by each process



Time, Clocks, and the Ordering of Events in a Distributed System

Massachusetts Computer Associates, Inc.

### Lamport time-stamps pseudo code

- A process increments its counter before each local event
- ▶ The counter value is included with the message when sending

#### Send

```
time = time+1;
time_stamp = time;
send(Message, time_stamp);
```

- ► A process updates its counter when receiving a message to the greater of current counter and received time-stamp
- When the counter incremented, the msg is considered received

#### Receive

```
(message, time_stamp) = receive();
time = max(time_stamp, time)+1;
```

# The Happened-Before Relation 1/2

Events  $\{e_i\}_{i=1}^{E\in\mathbb{Z}_{++}}\subset\mathcal{E}$  are time-stamped by  $C(e_i)\subset\mathbb{Z}_{++}$  such that

- 1.  $e_1 \rightarrow e_2 \Rightarrow C(e_1) < C(e_2)$  (clock consistency)
- 2. thus, if  $C(e_1) \not< C(e_2)$  then  $e_1 \not\rightarrow e_2$  (contrapositive)
- 3.  $e_1 \rightarrow e_2 \Leftrightarrow C(e_1) < C(e_2)$  (strong clock consistency)

where  $\rightarrow$  denotes *happened-before*.

- Lamport clocks: 1, 2
- Vector/matrix clocks: 1, 2, 3 (strong clock consistency)

# The Happened-Before Relation 2/2

The following holds for the *happened-before* relation  $(\rightarrow)$ 

► Transitive:

$$\forall e_1, e_2, e_3$$
, if  $e_1 \rightarrow e_2$  and  $e_2 \rightarrow e_3$ , then  $e_1 \rightarrow e_3$ 

► Irreflextive:

$$\forall e_i: e_i \not\rightarrow e_i$$

Antisymmetric:

$$\forall e_1, e_2$$
, where  $e_1 \neq e_2$ , if  $e_1 \rightarrow e_2$ , then  $e_2 \not\rightarrow e_1$ .

### **Example: Event counting: The setup**

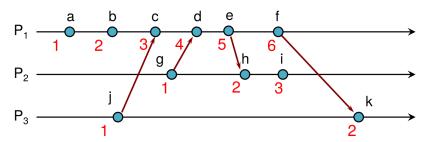
#### Consider the following

▶ Processes:  $P_1, P_2, P_3$ 

 $\triangleright$  Events: a, b, c, ..., k

- Local event counter in each process
- Clock is advanced between consecutive events in same process
- Processes communicate
- Each message carries a time-stamp of sender's logical clock

# **Example: Event counting: Wrong ordering**

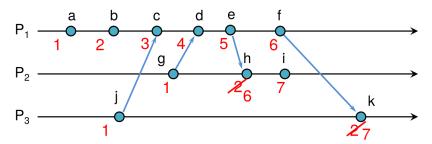


#### Wrong ordering

- $e \rightarrow h$  but  $5 \ge 2$
- $\blacktriangleright \ f \to k \text{ but } 6 \geq 2$

Courtesy of P. Krzyzanowski

# **Example: Event counting: Corrected ordering**



### Corrected ordering

- ightharpoonup e 
  ightarrow h and 5 < 6
- $\blacktriangleright \ f \to k \ {\rm and} \ 6 < 7$

Courtesy of P. Krzyzanowski

### **Outline**

**Clock synchronization** 

Logical clock: Lamport time-stamps

Real clock: Precision Time Protocol

References



IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems

#### **IEEE Instrumentation and Measurement Society**

Sponsored by the Technical Committee on Sensor Technology (TC-9)

1588

IEEE 3 Park Avenue New York, NY 10016-5997, USA 24 July 2008 IEEE Std 1588™-2008 (Revision of IEEE Std 1588-2002)

# Clock synchronization by Precision Time Protocol

- ► To synchronize clocks in nodes on a computer network
- Achieves sub-μs clock synchronization accuracy
- Suitable for distributed real-time systems
- Works on packet switched networks, e.g. Ethernet

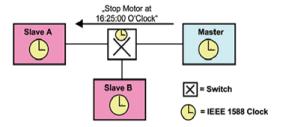


Figure: Clock synchronization by the Precision Time Protocol

lock synchronization Lamport PTP References

#### **Precision Time Protocol: Timeline**

- ► Originally defined in the IEEE 1588-2002 standard (PTPv1)
- Revised in 2008 to IEEE 1588-2008 (PTPv2)
- PTPv2 improves accuracy, precision, and robustness
- PTPv2 is not backwards compatible with PTPv1
- Current information may be found at nist.gov and ieee.org
- Conferences on IEEE 1588 PTP held in 2003, 2004, ...

### Precision Time Protocol: E.g. application area

#### Industrial automation

▶ Plant floor control and management of sensors and actuators

#### **Telecommunication**

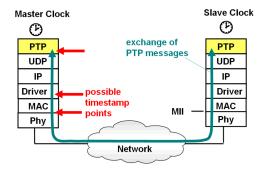
► Control voice, video, and text services in beyond 3G arch.

#### Audio and video bridging (IEEE AVB 802.1)

► Time-sensitive interoperability between multimedia devices

#### **Precision Time Protocol: Overall mechanics**

- For packet switched networks, e.g. Ethernet
- Synchronization and data transfer on the same network
- ▶ Minimal admin, network, software and hardware requirements
- Most precise clock synchronizes the other clocks



### Time stamping for clock synchronization

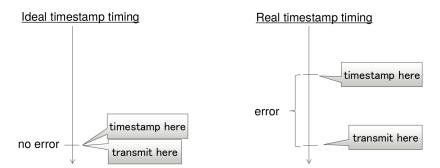


Figure: Minimize the time (error) between time of stamping and transmitting or receiving (Fujitsu).

### HW vs. SW time stamping

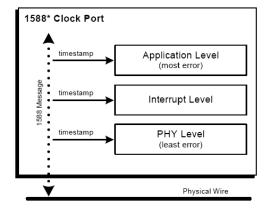
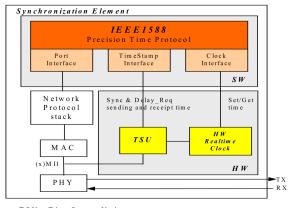


Figure: Hardware vs. software time stamping (Intel).

# Synchronization element with Time Stamp Unit



TSU - TimeStamp Unit

Figure: Synchronization element with Time Stamp Unit (TSU) and Real-time Clock in hardware. The rest of the protocol can be in software as timing requirements are lower (Mohl, 2003 [1]).

### Hardware support for IEEE PTP

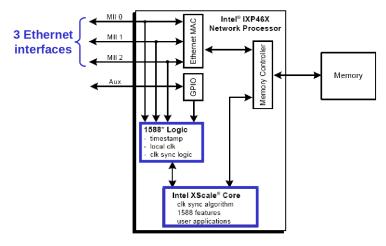


Figure: Hardware support for IEEE PTP, e.g. Intel IXP46X Network Processors (Intel).

#### PTP: Phase 1: Offset correction

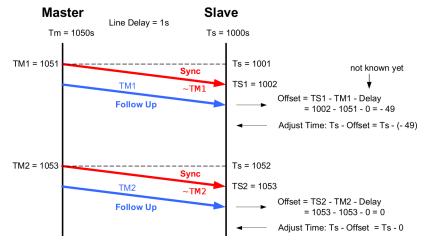


Figure: In offset correction, the line delay is assumed to be 0s. The actual delay (1s) is measured and added in the next phase (Mohl, 2003 [1]).

# PTP: Phase 2: Delay correction

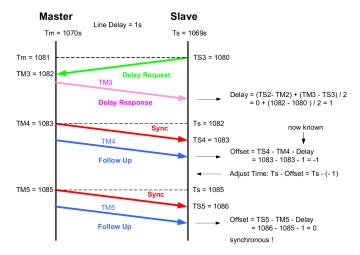


Figure: Known: M is  $\geq 0$ s ahead. Assumed: Symmetric delay (Mohl, 2003 [1]).

# E.g: Two subnets and a boundary clock

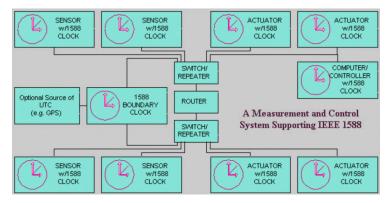


Figure: Measurement and control system with IEEE PTPv2 (www.nist.gov).

# Switch with boundary clock 1/2

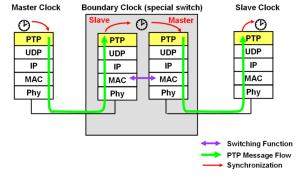


Figure: Boundary clocks synchronize subnet nodes' clocks across switches and routers (Weibel, 2009 [2]).

# Switch with boundary clock 2/2

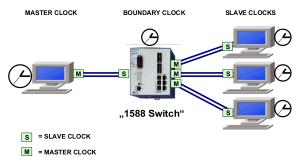


Figure: Switch with boundary clock (Mohl, 2003 [1]).

# **Synchronization hierarchy**

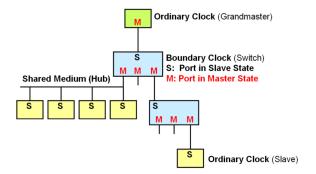


Figure: The most precise clock, i.e. the Grandmaster, is selected by the Best Master Clock Algorithm. Grandmaster is root of the hierarchy (Weibel, 2009 [2]).

# Master/Slave synchronization test (PTPv1)

- Network modules with pulse output (PPS: Pulse Per Second)
- Oscilloscope measures deviation between master and slave
- ▶ Offset mean, max, and std.dev.:  $(-4.25, \pm 100, 23.95)$  ns

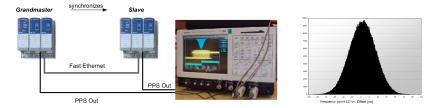
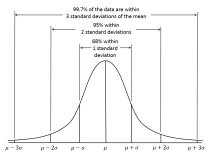


Figure: Master/Slave clock setup with Ethernet packet generator

(Mohl. 2003 [1])

#### Normal distribution - How errors often distribute



**Figure:** Normal dist: values less than one std,  $\sigma$ , away from the mean,  $\mu$ , account for 68.27% of the set; while  $2\sigma$  from  $\mu$  account for 95.45%; and  $3\sigma$  account for 99.73%. Fig.: Courtesy of Dan Kernler

PDF: 
$$f(x|\mu, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right)$$

### **Outline**

**Clock synchronization** 

Logical clock: Lamport time-stamps

Real clock: Precision Time Protoco

References

#### References I

- Mohl, D. (2003). IEEE 1588 Precise time synchronization as the basis for real time applications in automation. Technical report, White Paper, Industrial Networking Solutions, pp. 1–8.
- [2] Weibel, H. (2009). Technology update on IEEE 1588: The second edition of the high precision clock synchronization protocol. Technical report, Zurich University of Applied Sciences, Winterthur, Switzerland.