

Combinatorics and counting

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Combinatorics and counting

Product rule

If A and B are finite sets, then $|A \times B| = |A| \cdot |B|$.

Proof: Obvious, but prove with induction on $|A|$.

General product rule

If A_1, A_2, \dots, A_m are finite sets, then

$$|A_1 \times A_2 \times \dots \times A_m| = |A_1| \cdot |A_2| \cdot \dots \cdot |A_m|$$

Proof: Induction on m , using the basic product rule.

Counting subsets

A finite set, S , has $2^{|S|}$ distinct subsets.

Proof: Suppose $S = \{s_1, s_2, \dots, s_m\}$. There is a one-to-one correspondence (bijection), between subsets of S and bit strings of length $m = |S|$. The bit string of length $|S|$ we associate with a subset $A \subseteq S$ has a 1 in position i if $s_i \in A$, and 0 in position i if $s_i \notin A$, for all $i \in \{1, \dots, m\}$.

By the product rule, there are $2^{|S|}$ such bit strings.

Counting functions

Number of functions

For all finite sets A and B , the number of distinct functions, $F : A \rightarrow B$, mapping A to B is:

$$|B|^{|A|}$$

Proof: Suppose $A = \{a_1, \dots, a_m\}$. There is a one-to-one correspondence between functions $f : A \rightarrow B$ and strings (sequences) of length $m = |A|$ over an alphabet of size $n = |B|$.

By the product rule, there are n^m such strings of length m .

Inclusion-exclusion principle

For any finite sets A and B (not necessarily disjoint):

$$|A \cup B| = |A| + |B| - |A \cap B|$$

Generalized pigeonhole principle

Theorem

If $N \geq 0$ objects are placed in $k \geq 1$ boxes, then at least one box contains at least $\lceil \frac{N}{k} \rceil$ objects.

Proof

Suppose no box has more than $\lceil \frac{N}{k} \rceil - 1$ objects. Sum up the number of objects in the k boxes. It is at most

$$k \cdot \left(\left\lceil \frac{N}{k} \right\rceil - 1 \right) < k \cdot \left(\left(\frac{N}{k} + 1 \right) - 1 \right) = N$$

Thus, there must be fewer than N . Contradiction.

E.g. "At least d students in this course were born in the same month"

Suppose the number of students registered for the course is 145.

What is the maximum number d for which **it is certain** that the statement is true?

Solution

Since we are assuming there are 145 registered students, $\lceil \frac{145}{12} \rceil = 13$, so by GPP we know that the statement is true for $d = 13$.

Permutations

A **permutation** of a set S is an ordered arrangement of the elements of S . i.e. A sequence containing every element of S exactly once.

k -permutations

A k -permutation of a set S is an ordered arrangement of k distinct elements of S .

If we have a set of size n , the number of k -permutations of the n -set are the different ordered arrangements of a k -element subset of the set with n elements. This is given by:

$$\frac{n!}{(n-k)!}$$

E.g. how many ways can first and second place be awarded to 10 people?

Here, we have $n = 10$ and $k = 2$.

Order does matter in this case, because someone coming first and another person coming second is not the same, the other way round.

Therefore, we have to find the 2-permutations of 10 elements.

$$\frac{10!}{(10-2)!}$$

Combinations

A k -element combination of the set with n elements is a k -element subset, in which the elements are not ordered. The number of k -element combinations of the set with n elements is given by:

$$\binom{n}{k} = \frac{n!}{(n-k)!k!}$$

E.g. How many different 5-card poker hands can be dealt from a deck of 52 cards?

$$\binom{52}{5}$$

E.g. How many different 47-card poker hands can be dealt from a deck of 52 cards?

$$\binom{52}{47}$$

Binomial theorem

$$\forall n \geq 0, \quad (x + y)^n = \sum_{r=0}^n \binom{n}{r} x^{n-r} y^r$$

k -combinations with repetition

To choose k elements **with repetition allowed** from a set of size n , we can do this in the following number of ways:

$$\binom{n+r-1}{r} = \binom{n+r-1}{n-1}$$

E.g. How many different solutions in non-negative integers x_1, x_2 and x_3 does the following equation have?

$$x_1 + x_2 + x_3 = 11$$

Solution: We have to place 11 pebbles into three different bins x_1 , x_2 and x_3 .

This is the equivalent to choosing an 11-combination (with repetition) from a set of size 3, so the answer is:

$$\binom{11 + 3 - 1}{11}$$

Summary

Type	Repetition allowed?	Formula
r -permutations	No	$\frac{n!}{(n-r)!}$
r -combinations	No	$\frac{n!}{(n-r)!r!} = \binom{n}{r}$
r -permutations	Yes	n^r
r -combinations	Yes	$\frac{(n+r-1)!}{r!(n-1)!} = \binom{n+r-1}{r}$

Useful example questions

Question: How many solutions are there to the equation $x_1 + x_2 + x_3 + x_4 + x_5 + x_6 = 29$ where x_i ($i = 1, 2, 3, 4, 5, 6$) is a non-negative integer, such that $x_i > 1$ for $i = 1, 2, 3, 4, 5, 6$.

Answer: Let $x_i = y_i + 2 \iff y_i = x_i - 2$ for $i = 1, 2, 3, 4, 5, 6$. Then, we obtain

$$y_1 + y_2 + y_3 + y_4 + y_5 + y_6 = 29 - 6 \cdot 2 = 17$$

Where y_i is now a non-negative number for $i = 1, 2, 3, 4, 5, 6$. The number of solutions to this equation can now be reduced to solving a typical stars and bars problem:

$$\binom{17 + 6 - 1}{6 - 1} = \binom{22}{5}$$

Question: How many solutions are there to the equation $x_1 + x_2 + x_3 + x_4 + x_5 + x_6 = 29$ where x_i ($i = 1, 2, 3, 4, 5, 6$) is a non-negative integer, such that $x_1 \leq 5$.

Answer: If x_1 is fixed, then the number of solutions for the other variables is:

$$\binom{5 + (29 - x_1) - 1}{5 - 1} \quad \text{or} \quad \binom{5 + (29 - x_1) - 1}{(29 - x_1)}$$

Since the solutions are in one-to-one correspondence with the reorderings of $29 - x_1$ stars and $5 - 1 = 4$ bars.

The possible values for x_1 are 0, 1, 2, 3, 4, 5 and hence, the answer is:

$$\sum_{k=0}^5 \binom{5 + (29 - k) - 1}{4}$$

Give a formula for the coefficient of x^k in the expansion of $(x + \frac{1}{x})^{100}$, where k is an integer.

Answer: We know that:

$$\text{Coefficient of } x^{n-r} y^r = \binom{n}{r}, \text{ where } y = \frac{1}{x}$$

$$x^{n-r} \cdot \frac{1}{x^r} = x^k$$

$$n - 2r = k$$

$$r = \frac{n - k}{2}$$

Coefficient of x^k in the expansion of $(x + \frac{1}{x})^n$ is $\binom{n}{\frac{n-k}{2}}$.

Formula for the coefficient of x^k in the expansion of $(x + \frac{1}{x})^{100}$ is $\binom{100}{\frac{100-k}{2}}$.

Give a formula for the coefficient of x^k in the expansion of $(x - \frac{1}{x})^{100}$ where k is an integer.

Answer: A general term in this expression is of form:

$$\binom{100}{r} x^{100-r} \left(-\frac{1}{x}\right)^r = \binom{100}{r} x^{100-2r} (-1)^r$$

For all integers k such that $k = 100 - 2r$. Rearranging for r gives: $r = \frac{100-k}{2}$.

The coefficient is $\binom{100}{\frac{100-k}{2}} (-1)^{\frac{100-k}{2}}$.