Neighborhood Semantics for Modal Logic

Lecture 4

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Plan for the Course

- ✓ Introduction, Motivation and Background Information
- ✓ Basic Concepts, Non-normal Modal Logics, Completeness, Incompleteness, Relation with Relational Semantics
- ✓ Decidability/Complexity, Topological Semantics for Modal Logic,
- Lecture 4: Advanced Topics Topological Semantics for Modal Logic, some Model Theory
- Lecture 5: Neighborhood Semantics in Action: Game Logic, Coalgebra, Common Knowledge, First-Order Modal Logic

Sketch of Completeness of First-Order Modal Logic

Theorem FOL + **E** + *CBF* is sound and strongly complete with respect to the class of frames that are either non-trivial and supplemented or trivial and not supplemented.

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Theorem FOL + **E** + *CBF* is sound and strongly complete with respect to the class of frames that are either non-trivial and supplemented or trivial and not supplemented.

Theorem FOL + K is sound and strongly complete with respect to the class of filters.

Lemma The augmentation of the smallest canonical model for $\mathbf{FOL} + \mathbf{K} + BF$ is a canonical for $\mathbf{FOL} + \mathbf{K} + BF$.

Theorem FOL + **K** + *BF* is sound and strongly complete with respect to the class of augmented first-order neighborhood frames.

Plan for the Course

What is the relationship between Neighborhood and other Semantics for Modal Logic? What about First-Order Modal Logic?

Can we import results/ideas from model theory for modal logic with respect to Kripke Semantics/Topological Semantics?

Model Constructions

- ▶ Disjoint Union
- Generated Submodel
- Bounded Morphism
- Bisimulation

Bounded Morphism

Let $\mathfrak{F}_1 = \langle W_1, N_1 \rangle$ and $\mathfrak{F}_2 = \langle W_2, N_2 \rangle$ be two neighbourhood frames.

A bounded morphism from \mathfrak{F}_1 to \mathfrak{F}_2 is a map $f:W_1\to W_2$ such that

for all
$$X \subseteq W_2$$
, $f^{-1}[X] \in N_1(w)$ iff $X \in N_2(f(w))$

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If $\mathfrak{M}_1 = \langle W_1, N_1, V_1 \rangle$ and $\mathfrak{M}_2 = \langle W_2, N_2, V_2 \rangle$ if f is a bounded morphism from $\langle W_1, N_1 \rangle$ to $\langle W_2, N_2 \rangle$ and for all p, $w \in V_1(p)$ iff $f(w) \in V_2(p)$.

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Lemma

Let $\mathfrak{M}_1 = \langle W_1, N_1, V_1 \rangle$ and $\mathfrak{M}_2 = \langle W_2, N_2, V_2 \rangle$ be two neighbourhood models and $f: W_1 \to W_2$ a bounded morphism. Then for each modal formula $\varphi \in \mathcal{L}$ and state $w \in W_1$, $\mathfrak{M}_1, w \models \varphi$ iff $\mathfrak{M}_2, f(w) \models \varphi$.

Let $\mathfrak{M}_1 = \langle W_1, N_1, V_1 \rangle$ and $\mathfrak{M}_2 = \langle W_2, N_2, V_2 \rangle$ with $W_1 \cap W_2 = \emptyset$ be two neighborhood models.

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- ► For each $X \subseteq W$ and $w \in W_i$, $X \in N(w)$ iff $X \cap W_i \in N_i(w)$, (i = 1, 2)

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- ► For each $X \subseteq W$ and $w \in W_i$, $X \in N(w)$ iff $X \cap W_i \in N_i(w)$, (i = 1, 2)

Lemma

For each collection of Neighborhood models $\{\mathfrak{M}_i \mid i \in I\}$, for each $w \in W_i$, \mathfrak{M}_i , $w \models \varphi$ iff $\biguplus_{i \in I} \mathfrak{M}_i$, $w \models \varphi$



Model Constructions

Generated Submodels?

Bisimulations?

Tree Model Property?

First-Order Correspondence Language?

Monotonic Modal Logic

A neighborhood frame is monotonic if N(w) is closed under supersets.

H. Hansen. Monotonic Modal Logic. 2003.

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Zag: If $X' \in N_2(f(w))$ then there is an $X \subseteq W$ such that

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 and $X \in N_1(w)$

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But then, there are only 2 generated submodels!

Let $\mathfrak{M}'=\langle W',N',V'\rangle$ be a submodel of $\mathfrak{M}=\langle W,N,V\rangle$. We want:

 \mathfrak{M}' is a generated submodel if the identity map $i:W'\to W$ is a bounded morphism: for all $w'\in W'$ and $X\subseteq W$

$$i^{-1}[X] = X \cap W' \in \mathcal{N}'(w') \text{ iff } X \in \mathcal{N}(w')$$

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Lemma

Let $\mathfrak{M}'=\langle W',N',V'\rangle$ be a generated submodel of $\mathfrak{M}=\langle W,N,V\rangle$. Then for all $\varphi\in\mathcal{L}$ and $w\in W'$, $\mathfrak{M}',w\models\varphi$ iff $\mathfrak{M},w\models\varphi$



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$$i^{-1}[X] = X \cap W' \in N'(w') \text{ iff } X \in N(w')$$

Lemma

If f is an injective bounded morphism from $\mathfrak{M}'=\langle W',N',V'\rangle$ to $\mathfrak{M}=\langle W,N,V\rangle$, then $\mathfrak{M}'|_{f[W']}$ is a generated submodel of \mathfrak{M}' .

Let $\mathfrak{M}=\langle W,N,V\rangle$ and $\mathfrak{M}'=\langle W',N',V'\rangle$ be two neighborhood models. A relation $Z\subseteq W\times W'$ is a bisimulation provided whenever wZw':

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Lemma

If \mathbb{M} , $w \leftrightarrow \mathbb{M}'$, w' then \mathbb{M} , $w \leftrightarrow \mathbb{M}'$, w'.

Non-monotonic Core

Let $\langle W, N \rangle$ be a monotonic frame. The non-monotonic core of N, denote N^c is defined as follows:

$$X \in N^c(w)$$
 iff $X \in N(w)$ and for all $X' \subsetneq X$, $X' \notin N(w)$

A monotonic model is core complete provided for each $X \subseteq W$, if $X \in N(w)$ then there is a $C \in N^c(w)$ such that $C \subseteq X$.

f is a bounded core morphism from \mathfrak{M}_1 to \mathfrak{M}_2 provided:

Atomic harmony: for each $p \in At$, $w \in V(p)$ iff $f(w) \in V'(p)$

Morphism: If $X \in N_1^c(w)$ then $f[X] \in N_2^c(f(w))$

Zag: If $X' \in N_2^c(f(w))$ then there is an $X \subseteq W$ such that

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$$f[X] = X'$$
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Fact: It is not true that f is a bounded core morphism iff $f^{-1}[X] \in N_1^c(w)$ iff $X \in N_1^c(f(w))$.

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Zag: If $X' \in N_2^c(f(w))$ then there is an $X \subseteq W$ such that

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Proposition If \mathfrak{M}_1 and \mathfrak{M}_2 are core-complete monotonic models. Then f is a bounded core morphism if f is an injenctive bounded morphism.

 $Z \subseteq W \times W'$ is a core bisimulation provided

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Zig: If $X \in N^c(w)$ then there is an $X' \subseteq W'$ such that

 $X' \in \mathcal{N}'^c(w')$ and $\forall x' \in X' \ \exists x \in X \ \text{such that} \ xZx'$

Zag: If $X' \in N'^c(w')$ then there is an $X \subseteq W$ such that

 $X \in N^{c}(w)$ and $\forall x \in X \exists x' \in X'$ such that xZx'

Restricting to the non-monotonic core

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$$X \in N^{c}(w)$$
 and $\forall x \in X \exists x' \in X'$ such that xZx'

Lemma: If \mathfrak{M} and \mathfrak{M}' are core-complete models, then Z is a core bisimulation iff Z is a bisimulation.

Restricting to the non-monotonic core

Lemma: Let \mathfrak{M} be a core-complete monotonic models and \mathfrak{M}' a submodel of \mathfrak{M} . Then \mathfrak{M}' is a genereated submodel iff

If $w' \in W'$ and $X \in N^c(w')$ then $X \subseteq W'$.

Hennessy-Milner Classes

A neighborhood frame is locally core-finite provided the model is core-complete where each $N^c(w)$ contains finitely many finite sets.

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Lemma

If \mathfrak{M} and \mathfrak{M}' are locally core-finite models. Then modal equivalence implies bisimularity.

Monotonic Modal Logic

What about the van Benthem Characterization Theorem? Goldblatt-Thomason Theorem?

Monotonic Modal Logic

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Theorem

Let K be a class of monotonic frames which is closed under taking ultra filter extensions. Then K is modally definable iff K is closed under disjoint unions, generated subframes, bounded morphic images and it reflects ultrafilter extensions.

The language \mathcal{L}_2 is built from the following grammar:

$$x = y \mid u = v \mid P_i x \mid x N u \mid u E x \mid \neg \varphi \mid \varphi \wedge \psi \mid \exists x \varphi \mid \exists u \varphi$$

Formulas of \mathcal{L}_2 are interpreted in two-sorted first order structures $\mathfrak{M} = \langle D, \{P_i \mid i \in \omega\}, N, E \rangle$ where $D = D^s \cup D^n$ (and $D^{s} \cap D^{n} = \emptyset$), each $Q_{i} \subseteq D^{s}$, $N \subseteq D^{s} \times D^{n}$ and $E \subseteq D^{n} \times D^{s}$. The usual definitions of free and bound variables apply.

Definition

- $ightharpoonup D^{s} = S, D^{n} = \bigcup_{s \in S} N(s)$
 - For each $i \in \omega$, $P_i = V(p_i)$
- $ightharpoonup R_N = \{(s, U) | s \in D^s, U \in N(s)\}$

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- $P_{\ni} = \{ (U,s) \mid s \in D^{s}, s \in U \}$

Definition

The standard translation of the basic modal language are functions $st_X : \mathcal{L} \to \mathcal{L}_2$ defined as follows as follows: $st_X(p_i) = P_i x$, st_X commutes with boolean connectives and

$$st_{x}(\Box \varphi) = \exists u(x\mathsf{R}_{N}u \land (\forall y(u\mathsf{R}_{\ni}y \leftrightarrow st_{y}(\varphi)))$$

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Lemma

Let \mathfrak{M} be a neighbourhood structure and $\varphi \in \mathcal{L}$. For each $s \in S$, $\mathfrak{M}, s \models \varphi$ iff $\mathfrak{M}^{\circ} \models st_{x}(\varphi)[s]$.

First-Order Correspondence Language

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$$\mathbf{N} = \{\mathfrak{M} \mid \mathfrak{M} \cong \mathfrak{M}^{\circ} \text{ for some neighbourhood model } \mathfrak{M}\}$$

(A1)
$$\exists x(x=x)$$

(A2)
$$\forall u \exists x (x R_N u)$$

(A3)
$$\forall u, v(\neg(u = v) \rightarrow \exists x((uR_{\ni}x \land \neg vR_{\ni}x) \lor (\neg uR_{\ni}x \land vR_{\ni}x)))$$

Of course, not every \mathcal{L}_2 -structures are translations of neighbourhood models.

$$\mathbf{N} = \{\mathfrak{M} \mid \mathfrak{M} \cong \mathfrak{M}^{\circ} \text{ for some neighbourhood model } \mathfrak{M} \}$$

$$(\mathsf{A1}) \ \exists x (x = x)$$

$$(\mathsf{A2}) \ \forall u \exists x (x \mathsf{R}_{N} u)$$

$$(\mathsf{A3}) \ \forall u, v (\neg (u = v) \rightarrow \exists x ((u \mathsf{R}_{\ni} x \land \neg v \mathsf{R}_{\ni} x)) \lor (\neg u \mathsf{R}_{\ni} x \land v \mathsf{R}_{\ni} x)))$$

Theorem

Suppose \mathfrak{M} is an \mathcal{L}_2 -structure. Then there is a neighbourhood structure \mathfrak{M}_{\circ} such that $\mathfrak{M} \cong (\mathfrak{M}_{\circ})^{\circ}$.

\mathcal{L}_2 over topological models

Theorem

 \mathcal{L}_2 interpreted over topological models lacks, Compactness, Löwenheim-Skolem and Interpolation, and is Π_1^1 -hard for validity.

The language \mathcal{L}_t is a sublanguage of \mathcal{L}_2 defined by the following restrictions:

- ▶ If α is positive in the open variable u and x is a point variable, then $\forall U(x \in U \rightarrow \alpha)$ is a formula of \mathcal{L}_t
- ▶ If α is negative in the open variable U and x is a point variable then $\exists U(x \in U \land \alpha)$ is a formula of \mathcal{L}_t

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Fact: \mathcal{L}_t cannot distinguish between bases and topologies.

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 \mathcal{L}_t can express many natural topological properties.

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- ▶ If α is negative in the open variable U and x is a point variable then $\exists U(x E U \land \alpha)$ is a formula of \mathcal{L}_t

 \mathcal{L}_t has Compactness, Löwenheim-Skolem and Interpolation.

Monotonic Fragment of First-Order Logic

On monotonic models:

$$\mathit{st}^{mon}_{x}(\Box \varphi) = \exists u(x\mathsf{R}_{N}u \wedge (\forall y(u\mathsf{R}_{\ni}y \rightarrow \mathit{st}_{y}(\varphi))).$$

Monotonic Fragment of First-Order Logic

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$$\mathit{st}^{mon}_{x}(\Box \varphi) = \exists u(x\mathsf{R}_{N}u \wedge (\forall y(u\mathsf{R}_{\ni}y \rightarrow \mathit{st}_{y}(\varphi))).$$

Theorem

A \mathcal{L}_2 formula $\alpha(x)$ is invariant for monotonic bisimulation, then $\alpha(x)$ is equivalent to $\operatorname{st}_x^{mon}(\varphi)$ for some $\varphi \in \mathcal{L}$.

M. Pauly. Bisimulation for Non-normal Modal Logic. 1999.

H. Hansen. Monotonic Modal Logic. 2003.

Do monotonic bisimulations work when we drop monotonicity?

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Definition

Two points w_1 from \mathfrak{F}_1 and w_2 from \mathfrak{F}_2 are behavorially equivalent provided there is a neighborhood frame \mathfrak{F} and bounded morphisms $f:\mathfrak{F}_1\to\mathfrak{F}$ and $g:\mathfrak{F}_2\to\mathfrak{F}$ such that $f(w_1)=g(w_2)$.

Theorem

Over the class ${\bf N}$ (of neighborhood models), the following are equivalent:

- $ightharpoonup \alpha(x)$ is equivalent to the translation of a modal formula
- $ightharpoonup \alpha(x)$ is invariant under behavioural equivalence.

H. Hansen, C. Kupke and EP. *Bisimulation for Neighborhood Structures*. CALCO 2007.

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Can we read off a notion of bisimulation? Not clear.

- ▶ Decidability of the satisfiability problem
- Canonicity
- Salqhvist Theorem
- ▶ ?????
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Theorem The McKinsey Axiom is canonical with respect to neighborhood semantics.

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Preview for Tomorrow: Neighborhood Semantics in Action

- ► Game Logic
- Concurrent PDL
- Common Knowledge
- Coalgebra

Thank You!