

Let $FV(\sigma)$ denote the free variables in the formula, σ , and let $\sigma[t/x]$ denote the capture-avoiding substitution of a term t for all free x in σ .

Intuitively, the proof of a universal formula, $\forall xA$, proceeds by proving that, $A[y/x]$, holds for an *arbitrary* element y , given certain assumptions, Γ . We say that y is arbitrary in the sense that no specific information is assumed of y ; we formalize this notion by requiring that $\forall \sigma \in \Gamma : (y \notin FV(\sigma))$, abbreviated as $y \notin FV(\Gamma)$. In natural deduction style, we write this rule as:

$$\frac{[\Gamma] \quad y \notin FV(\Gamma) \quad A[y/x]}{\forall xA} \text{ (I}\forall\text{)}$$

In sequent style this is formulated as:

$$\frac{y \notin FV(\Gamma) \quad \Gamma \vdash A(y/x)}{\Gamma \vdash \forall xA} \text{ (R}\forall\text{)}$$

A problem with this standard rule stems from a discrepancy between provability and truth: it is conceivable that the truth of $\forall xA$ in a specific domain follows from separate proofs of $A[a_1/x]$, $A[a_2/x]$, ..., $A[a_n/x]$, ..., where each proof depends on *specific* properties of each a_i . Since the above rule requires the existence of a *uniform* proof of A , that is, a proof that is the same for all instances a_i , then we say that the conditions for provability are *stronger* than those for truth in this logic.

Universal quantifiers are consumed by drawing an arbitrary terms, t , of the quantified formula:

$$\frac{\forall xA}{A(t/x)} \text{ (E}\forall\text{)}$$

In sequent style:

$$\frac{A(t/n), \forall xA, \Gamma \vdash C}{\forall xA, \Gamma \vdash C} \text{ (L}\forall\text{)}$$

Dually, the proof of an existential formula, $\exists xA$ proceeds by proving that a property, $A[t/x]$, holds for some term t from assumptions, Γ . In the style of natural deduction, this is formalized as:

$$\frac{[\Gamma] \quad A[t/x]}{\exists xA} \text{ (I}\exists\text{)}$$

In sequent calculus:

$$\frac{\Gamma \vdash A(t/x)}{\Gamma \vdash \exists xA} \text{ (R}\exists\text{)}$$

To derive a consumption rule for existential quantification, we consider how an arbitrary consequence, C , of $\exists xA$ may be proven. If we know $\exists xA$, we can assume $A[y/x]$ for some variable y . Importantly, we are unable to consume $\exists xA$ if we assume anything else about y . Thus, any assumptions, Γ , must be such that $y \notin FV(\Gamma)$. Finally, if we conclude C , and $y \notin FV(C)$, we can eliminate the assumption $A[y/x]$. From this, we write the following natural deduction rule:

$$\frac{y \notin FV(\Gamma \cup C) \quad \frac{[\Delta] \quad [A[y/x]], \Gamma}{\exists xA} \quad C}{C} \text{ (E}\exists\text{)}$$

In sequent calculus:

$$\frac{y \notin FV(\Gamma \cup C) \quad A(y/x), \Gamma \vdash C}{\exists xA, \Gamma \vdash C} \text{ (L}\exists\text{)}$$

With these rules, we define the sequent logic $L1$ as containing: the constant 0 (zero), the unary function S (the successor function), and the following axioms and rules:

$$\begin{aligned} \mathbf{P1} : & \Gamma \vdash \forall n(n = 0 \vee \exists m : n = S(m)) \\ \mathbf{P2} : & \Gamma \vdash \forall n \forall m(n = S(m) \rightarrow m < n) \\ \mathbf{P3} : & \Gamma \vdash \exists nA \supset \exists n(A \wedge \forall m(m < n \supset \neg A[m/n])) \end{aligned}$$

$$\frac{}{\Gamma, \perp \vdash A} \text{ (L}\perp\text{)}$$

$$\frac{y \notin FV(\Gamma) \quad \Gamma \vdash A(y/x)}{\Gamma \vdash \forall xA} \text{ (R}\forall\text{)} \quad \frac{A(t/n), \forall xA, \Gamma \vdash C}{\forall xA, \Gamma \vdash C} \text{ (L}\forall\text{)}$$

$$\frac{\Gamma \vdash A(t/x)}{\Gamma \vdash \exists xA} \text{ (R}\exists\text{)} \quad \frac{y \notin FV(\Gamma \cup C) \quad A(y/x), \Gamma \vdash C}{\exists xA, \Gamma \vdash C} \text{ (L}\exists\text{)}$$

$$\frac{\Gamma, A \vdash C}{\Gamma \vdash A \rightarrow C} \text{ (R}\rightarrow\text{)} \quad \frac{\Gamma \vdash A \quad \Gamma, B \vdash C}{A \rightarrow B, \Gamma \vdash C} \text{ (L}\rightarrow\text{)}$$

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \text{ (R}\wedge\text{)} \quad \frac{\Gamma, A, B \vdash C}{\Gamma, A \wedge B \vdash C} \text{ (L}\wedge\text{)}$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash A \vee B} \text{ (R}\vee\text{)} \quad \frac{\Gamma, A \vdash C \quad \Gamma, B \vdash C}{\Gamma, A \vee B \vdash C} \text{ (L}\vee\text{)}$$

$$\frac{\Gamma, A(0), \forall n[A(n) \rightarrow A(S(n))] \vdash C}{\Gamma, \forall nA \vdash C} \text{ (Induction)}$$

Also, we define $L2$ as identical to $L1$ without the Induction rule and along with the rule of Excluded Middle:

$$\frac{\Gamma, A \vdash C \quad \Gamma, \neg A \vdash C}{\Gamma \vdash C} \text{ (EM)}$$

The derivability relation, \vdash , will be written as \vdash_1 or \vdash_2 to denote derivability in $L1$ and $L2$, respectively. Also, by $\sigma(n)$, we refer to a formula σ with 1 free variable that is substituted by n .

Our aim is to prove the following theorems:

THEOREM I (Admissibility of Induction in $L2$): For any formula, σ , and sequent multiset, Γ :

$$(\Gamma \vdash_2 \sigma(0)) \wedge (\Gamma \vdash_2 \forall n[\sigma(n) \rightarrow \sigma(S(n))]) \Rightarrow (\Gamma \vdash_2 \forall n\sigma(n))$$

THEOREM II (Inadmissibility of Excluded Middle in $L1$): There exist formulae, σ and γ , and a sequent multiset, Γ , such that:

$$(\Gamma, \sigma \vdash_1 \gamma) \wedge (\Gamma, \neg\sigma \vdash_1 \gamma) \wedge (\Gamma \not\vdash_1 \gamma)$$

Proof of Theorem 1:

Let $\Delta = \Gamma \vdash_2 \sigma(0), \Gamma \vdash_2 \forall n[\sigma(n) \rightarrow \sigma(S(n))], \neg\forall n\sigma$

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| 1) $\Delta \vdash \exists n[\sigma(n) \wedge \forall m[m < n \rightarrow \neg\sigma(m)]]$ | Rule: Infimum |
| 2) $\Delta' \vdash N = 0 \vee \exists m : N = S(m)$ | PC1 |
| 3) $\Delta', N = 0 \vdash \neg\sigma(0)$ | Rule: = E |
| 4) $\Delta', N = 0 \vdash \perp$ | 3), Rule: Non-contradiction |
| 5) $\Delta' \vdash \neg N = 0$ | 4), Rule: Reductio ad absurdum |
| 6) $\Delta', N = S(M) \vdash M < N$ | PC2 |
| 7) $\Delta', N = S(M) \vdash \neg\sigma(M)$ | 6), Rule: \rightarrow E |
| 8) $\Delta', N = S(M) \vdash \sigma(M)$ | 7), Double Negation |
| 9) $\Delta', N = S(M) \vdash \sigma(S(M))$ | 8), Rule: \rightarrow E |
| 10) $\Delta', N = S(M) \vdash \sigma(N)$ | 9), Rule: = E |
| 11) $\Delta', N = S(M) \vdash \perp$ | 10), Rule: Non-contradiction |
| 12) $\Delta' \vdash \neg N = S(M)$ | 11), Rule: Reductio ad absurdum |
| 13) $\Delta' \vdash \neg\exists m : N = S(m)$ | 12), Rule: \exists I |
| 14) $\Delta' \vdash \neg N = 0 \wedge \neg\exists m : N = S(m)$ | 5), 13), Rule: \wedge I |
| 15) $\Delta' \vdash \neg(N = 0 \vee \exists m : N = S(m))$ | 14), DeMorgan's Theorem |
| 16) $\Delta' \vdash \perp$ | 2), 15), Rule: Non-contradiction |
| 17) $\Delta \vdash \perp$ | 16), 1), Rule: \exists E |
| 18) $\Gamma, \sigma(0), \forall n : \sigma(n) \rightarrow \sigma(S(n)) \vdash \neg\exists n : \neg\sigma(n)$ | 17), Rule: non-contradiction |
| 19) $\Gamma, \sigma(0), \forall n : \sigma(n) \rightarrow \sigma(S(n)) \vdash \forall n : \sigma(n)$ | 18), Rule: $\neg\exists$, Rule: Double Negation |

Sources:

1. Jan von Plato, *Elements of Logical Reasoning*
2. Katalin Bimbo, *Proof Theory: Sequent Calculi and Formalisms*
3. <http://mathworld.wolfram.com/SequentCalculus.html>
4. Gaisi Takeuti, *Proof Theory* (2nd ed - 1987)