Modular Minimalist Grammar

Edward Stabler UCLA

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- Modular minimalist grammar composed over workspaces
- Interfaces
- Language model composed from grammar and interfaces
- Language model as 1 transduction (modularity breached!)

These slides, code, and (soon) draft paper: https://github.com/epstabler/mgt. The draft paper has the details for references mentioned in these slides/comments Thanks to audiences at the MG+1, MG+2 meetings for helpful suggestions.

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Edward Staber (ICLA 2024-08-01

- Million and Company of the Compa

MG derivational steps are broken up then reassembled,

The theory becomes **modular** in the sense that the syntax (the syntactic objects, movement relations, case and agreement properties, etc.) emerges from the interaction of separately defined processes. There are 'breaches' in modularity, where a 'later' process influences an 'earlier' one, but these breaches are restricted.

This modular approach makes MGs easier to change.

For many changes you might want to make, only one or two components will need to be modified, leaving the rest intact.

The last step, putting everything into 1 transduction, is reminiscent of Collins&Stabler and some other Chomskian, minimalist proposals, and raises similar issues. I think the scope and signficance of those issues is perhaps clearer here.

(De)composing each derivational step

5 grammatical functions: mrg, t, smc, match, ck + 3 bureaucratic functions:

the 'cons' function:

$$1:[2,3]=[1,2,3]$$

the 'tail' function:

tail
$$[1,2,3] = [2,3]$$

the 'pair concatenation' function:

$$([1,2],[a,b]) +++ ([3],[c]) = ([1,2,3],[a,b,c])$$

((b))composing each derivational step $\begin{array}{c} \text{ 1-} \\ \text{ 1-} \\ \text{ 2-} \\ \text{ 1-} \\ \text{ (De)} \\ \text{ composing each derivational step} \end{array}$

 $\underline{\mathsf{One}}$ MG derivational step will be composed from 8 simple functions. We cover all the subcases of earlier MG, but without breaking the rule up that way.

The 3 bureaucratic functions are very simple.

Setup

Grammar: a finite set of lexical items, (ph form, label) pairs: $g = \{ (jo, D), (which, N \multimap D.Wh), (likes, D.D \multimap V) \}$

Syntactic object: a lexical item or set of syntactic objects, and, later, also sequences of syntactic objects: $SO = g \mid \{SO\} \mid [SO]$

 $\mathsf{SO} = \mathsf{g} \mid \{\mathsf{SO}\} \mid [\mathsf{SO}]$

Workspace: SOs with associated labels: WS = ([SO],[label])

Our first reformulation of MG will derive workspaces.

Setup

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\$\frac{1}{2}\$ (in (5) state). **Let (30) (ins. 10-10). **

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Special solution is shadd late as a fed related sides. (in 50) (

We will define 5 linguistic functions over these types of things.

Initially, the SOs are lexical items or sets built from those (indicated by curly braces), but later we allow also sequences built from lexical items (indicated by square brackets) and slight alterations in the phonetic contents of the lexical items.

A workspace is a pair – a sequence of SOs paired with the sequence of labels of those ${\sf SOs}.$

(As will become clear, WSs are basically similar to the derived structures of earlier MGs.)

5 linguistic functions

 $({\rm match}) \; \frac{{\sf WS}_1, \ldots, {\sf WS}_i \quad {\sf initially, \; for \; } i=2}{{\sf WS \; of \; matching \; elements}, \; {\sf WS' \; non-matching \; elements}}$

$$(\text{mrg}) \; \frac{\mathsf{SO}_1, \dots, \mathsf{SO}_n}{\{\mathsf{SO}_1, \dots, \mathsf{SO}_n\}}$$

(ck)
$$\frac{f.\alpha \multimap \beta_1, f.\beta_2}{\alpha \multimap \beta_1, \beta_2}$$

(t) $\frac{\text{WS}}{\text{WS - (SO}_i, \text{ label}_i) \text{ pairs where label}_i \text{ empty}}$

$$(\mathrm{smc}) \ \frac{\mathrm{WS}}{\mathrm{WS} \ \ \text{if no 2 positive labels have same 1st feature}}$$



Linearization is left to PF interface...

match finds neg element of WS₁. If WS₁ has pos match SO_j , label $_j$ then (move-over-merge) $SO_j = \text{head } SO$ of WS₂, and use label $_j$ (else) head WS₂ is a pos match.

Other non-matching elements partitioned into separate workspace WS'

Function ck is modus ponens, the law of detachment

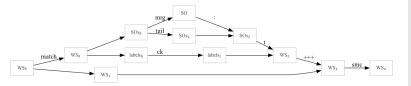
Function t removes the inert, 'trivial' SOs, those with no features. . . An example will make this clear, below

MG composed: The derivational step

Derivational step d composed from 8 steps, each very simple: match, mrg, tail, :, ck, t, +++, smc

d WSs = let ((SOs, labels), others) = match WSs in smc (t (mrg SOs:tail SOs) (ck labels) +++ others)

Match selects SOs whose labels have matching first features; then mrg applies to SOs, and ck to labels; t removes inert elements; and smc crashes if two positive elements have the same first feature.



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MG composed: The derivational step

d WSs = let ((SOs,labels),others) = match WSs in smc (t (mrg SOs:tail SOs) (ck labels) +++ others)

A **derived** WS = an element of closure of $\{((w, l), l)|(w, l) \in g\}$ wrt d.

A derived WS is **complete** iff it has exactly 1 SO with exactly 1 feature.

(0) In derived workspaces, all SOs are connected by mrg: a head and substructures with outstanding features.

MG composed: The derivational step

Local Composed: The derivation of the step of the step

← This is the actual Haskell definition in my github implementation.

The python implementation is not quite so elegant, but similar:

$$\begin{split} & \mathsf{def}\,\,\mathsf{d(wss):} \\ & (\mathsf{matches, others}) = \mathsf{match(wss)} \\ & \mathsf{return}\,\,\mathsf{smc}(\,\,\mathsf{t(\,WS(\,[\mathsf{mrg(matches..sos)}]\,+\,\,\mathsf{matches..sos}[1:],\,\,\mathsf{ck(matches..labels)}\,\,)\,\,).\mathsf{pappend(others)}\,\,) \end{split}$$

To avoid the 'let \dots ' construction, we could use projection functions to get the components from the output of match.

'Let...' constructions enhance readability, and in programming languages they can enhance efficiency by defining 'staged computations' that avoid recalculation of results.

Cf https://en.wikipedia.org/wiki/Let_expression, Davies&Pfenning'01

(0) means that we can view the workspace as a moving, labeled 'window' on the head SO, a kind of 'locus of attention'

Derivational step: example

The 8 substeps of a single derivational step in deductive form: merging *likes* with *Jo*

$$\frac{((likes,D.D \multimap V),D.D \multimap V) \ \, ((Jo,D),D)}{((likes,D.D \multimap V), (Jo,D)), (D.D \multimap V,D), \ \, ()} \ \, match}{\frac{((likes,D.D \multimap V), (Jo,D))}{((likes,D.D \multimap V), (Jo,D))} \ \, mg} \frac{((likes,D.D \multimap V), (Jo,D))}{(Jo,D)} \ \, tail}{\frac{(Jo,D)}{(likes,D.D \multimap V), (Jo,D)}, (Jo,D)} : \frac{D.D \multimap V,D}{D \multimap V,T} \ \, ck}{\frac{(Jo,D) \multimap V,D}{(Jo,D)}, (Jo,D)} : \frac{(Jo,D) \multimap V,D}{(Jo,D)} : \frac{(Jo,D) \multimap V,D}{D \multimap V,T} \ \, ck} + \frac{(Jo,D) \multimap V,D)}{(Jo,D)} : \frac{(Jo,D) \multimap V,D}{(Jo,D)} : \frac{(Jo,D) \multimap V,D}{D \multimap V,T} \ \, ck} + \frac{(Jo,D) \multimap V,D}{(Jo,D)} : \frac{(Jo,D) \multimap V,D}{D \multimap V,T} : \frac{(Jo,D) \multimap V,D}{D \multimap V,D} : \frac{(Jo,D) \multimap V,D}{D \multimap V,D}$$

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Derivational step: example

The 8 substeps of a single derivational step in deductive form: merging *likes* with *who*

$\frac{\left((\text{likes,D.D} \multimap V), D.D \multimap V\right) \left((\text{who,D.Wh}), D.Wh\right)}{\left(\left(\text{likes,D.D} \multimap V\right), \left(\text{who,D.Wh}\right)\right), \left(D.D \multimap V, D.Wh\right)\right), \left(\right)} \text{ match}$	
$ \frac{ ((likes,D.D \multimap V), (who,D.Wh)) }{ \{ (likes,D.D \multimap V), (who,D.Wh) \} } \operatorname{mrg} \frac{ ((likes,D.D \multimap V), (who,D.Wh)) }{ (who,D.Wh) } \operatorname{tail} \\ \vdots \\ D.D \multimap V, D.Wh \\ ck$	
	- t ()
({(likes,D,D -o V), (who,D,Wh)}, (who,D,Wh)), (D -o V,Wh) (//likes,D,D -o V), (who,D,Wh)}, (who,D,Wh)), (D -o V,Wh)	++ smc

Derivational step: example

The Jahons of single instituted step is districtle form energy districtly as the single instituted step is districtle form energy districtly as the single instituted step is districtle form energy districtly as the single instituted step is districtly form and in the single instituted step is districtly form and instituted step in districtly as the single instituted step is districtly as the single instituted step in districtly as

Instead of merging a verb with a direct object in 1 specialized step, we do it in 8 simpler steps that cover all the cases. A note about the notation for labels:

 $\begin{array}{lll} \text{(praise, D.D} {\longrightarrow} \text{V)} & \text{Given 2 DPs, a VP.} \\ & \text{Dot for conjunction.} \\ & \text{Empty conjunction written } \top \text{, for top, true.} \\ & \text{Antecedent features are 'negative'.} \\ \text{(which, N} {\longrightarrow} \text{D.Wh}) & \text{Given NP, a wh DP.} \\ \text{(student, \top {\longrightarrow} \text{N}$)} & \text{A noun(phrase).} \\ & \text{Usually written: (student, N).} \\ & \top & \text{A label with no features.} \end{array}$

In the derivation to the left here, when D is checked, \top remains. So that element is inert, and is 'forgotten' from the workspace by rule t.

Derivational step: example

Derivational step: example

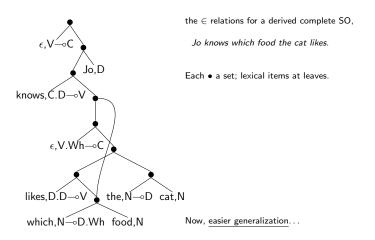
Derivational step: example

Derivational step: example

The same pattern of rules combines *likes* and *who*, but because *who* has two positive features, the effect of step t is different, and as a result, the resulting workspace has 2 LSOs instead of just 1. This would be accomplished with a different rule in many early MGs, but here it is exactly the same pattern of 8 steps

Since these 8 steps are exactly d, and d is the only rule, we can just show the 8 steps as one, and we do not need to label it.

MG derived structures: Syntactic objects



☐MG derived structures: Syntactic objects



Since the feature checking done by d is so simple, after some practice, I find the SO itself to be the most readable notation for the derivation, though I can understand why linguists prefer highly redundant X-bar-like notations in the literature.

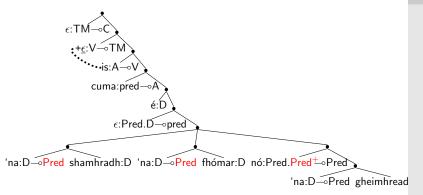
Each set represents 1 application of d to the workspaces corresponding to its children/elements. (This is formalized as transduction ℓ below.)

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Generalizing: *-MG

(Stabler & Yu 2023) unbounded branching: generalize match, ck



Generalizing: *-MG

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This could not be done in MG versions before Stabler $\$ Yu, slightly simplified here

One other detail is discussed in the written version of this talk: To allow multiple identical elements sisters of head, instead of the usual (global) indexing, merge is also adjusted: it builds *multisets*.

Using multisets is essentially equivalent to indexing, but local.

One <u>other</u> detail: What's that dotted line? Head movement. Treated here not by revising merge, but as a 'post-syntactic' transduction. . .

Labeling as transduction ℓ from SO to WS

Define ℓ with two cases, lexical leaves and sets:

$$\ell \text{ lex} = \{ (\text{lex,label}) \}$$

 $\ell \{SO_1, \dots, SO_i\} = d (\ell SO_1) \dots (\ell SO_i)$

- (1) beginning at root, ℓ descends to leaves, then applies d recursively, bottom-up
- (2) ℓ is deterministic, since d is
- (3) <u>All</u> 'interfaces' (agree, head movement, linearization, etc) are minor embellishments of this one; all defined in terms of configurations created by merge as we'll see. . .

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Labeling as transduction ℓ from SO to WS

Define I with two cases, locical leaves and sets:

\[l \text{ loc} = \left(\text{loc} \text{ loc} \right) \\ (\text{loc} \text{ loc} \right) \\
\[l \left(\text{SO}_1, ..., \text{SO}_1 \right) = d (l \text{SO}_1) ... (l \text{SO}_1) \\
\]

(1) beginning at root, \(l \text{ descends to leaves,} \)
then applies of recensively, bottom-up

NB: ℓ is a partial function – some merge structures cannot be labeled

Property (3) is a surprising idea, with analogues in all the major traditions of syntax. As explained in the paper, each syntactic structure has exactly one basic phrase structure 'backbone': All embellishments (case, agree, linearization,...) are then definable as homomorphisms.

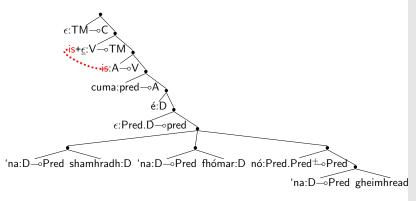
Cf. Hornstein's (2024, pp7-8) "Fundamental Principle of Grammar": (FPG) "All grammatical relations are merge-mediated."

That sounds a lot like (3)! Hornstein suggests that FPG is incompatible with modularity, but that claim uses 'modular' in a sense different from ours (see the comments on slide 1), since he maintains, e.g., that defining merge as set formation and then defining case in terms of a kind spec-head checking *is* compatible with FPG, even though that *is* modular in our sense of the term. But he uses 'modular' more strictly than us. . . See the paper for more discussion

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Interfaces: h in Irish

McCloskey 2022: V + Asp + TM complexes Branigan'23, Harizanov&Gribanova'19, ia: + vs - t



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□Interfaces: \hbar in Irish



V raises to TM

(McCloskey'22 discusses more complex examples, left for future work)

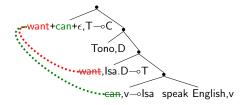
Interfaces: h in English



Interfaces: \$\ell\$ in English

The simplified treatment of Eng aux from Stabler 2001, deriving: which pie have +s $+\epsilon$ the king been eat +ing

Interfaces: h in Javanese



Dheen gelem isa ngomong Inggris he want can speak English

Gelem isa dheen ngomong Inggris? want can he speak English? (Cole&al 2008, Branigan 2023) 'tucking in' ☐Interfaces: ħ in Javanese

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In one variety of Javanese, and in some other languages, more than $1\ \text{verb}$ can move up to C, 'tucking in' so that linear order corresponds to linear order of the linearized sources.

This could not be done with previous MG head movement mechanisms

Interface: h as transduction

Basic idea: Lexical items marked + must associate with X0 stem If selector is marked +, move there.

In BU transduction: 'Look ahead' to selector.

Strategy of precise definition:

- a. On path from root to leaf, pass "+" to children
- b. On way up, at each node:

if "+": then delete head and pass it (and upcoming) up

else: combine head with upcoming (if any)

Interface: Linear ordering o

A linearized MG = (MG, o).

 o_{svo} : first merge <; nonfirst >

 o_{sov} : all >

Both: Very minor variations on ℓ .

Like first/nonfirst in SVO, easy to add category-sensitivity.

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 \sqsubseteq Interface: \hbar as transduction

One simple rule suffices for all our examples

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Interface: Linear ordering o

Chomsky (1995:340) "we take the LCA to be a principle of the phonological component" Chomsky&al (2019:4) "a matter of externalization of internally generated expressions"

Still no consensus on constituent order: cf. LCA vs. Abels&Neeleman 2012 and many others

Interface: o_{svo}

```
\begin{split} o_{svo} & \text{ lex} = \{ \text{ (lex,label) } \} \\ o_{svo} & \{ \text{SO}_1, \dots, \text{SO}_i \} = \\ & \text{ find unique negWS among } \ell \, \text{SO}_1, \dots, \ell \, \text{SO}_i; \\ & \text{ if negWS lexical,} \\ & \text{ then: if } > 1 \text{ pos f:} \\ & \text{ then head(negWS)} \\ & \text{ else head(negWs):heads(posWSs)} \\ & \text{ else: if } > 1 \text{ pos f:} \\ & \text{ then head(negWS)} \\ & \text{ else heads(posWSs)} + + \text{ [head(negWS)]} \end{split}
```

| Interface: 0 | Inte

Inefficient: While ℓ goes to leaves and then applies d on the way up, this one goes to leaves, and then on its way up, repeatedly calls ℓ which goes to the leaves again to compute the label and workspace.

This inefficiency can be repaired when language model is reformulated as a single transduction – see last slide

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Interfaces: h and m in Amahuaca



Kuntii=mun choka=hi xano =ki =nu pot wash woman =3.PRES =DECL (Clem 2022) – An apparent FOFC violation lacksquare Interfaces: \hbar and m in Amahuaca

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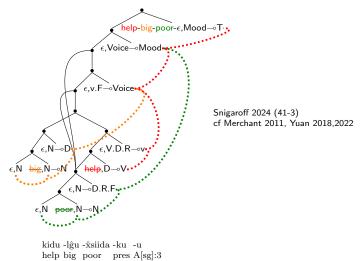


We distinguish head movement from 'm-merger' or 'amalgamation', which can form words based on adjacency/c-command – elements that need not be heads selected along a single extended projection (Harizanov&Gribanova 2019, Branigan 2023, inter alia)

Here a head movement is shown in red. After pot/kuntii, linearized as the left child of the root, it forms a phonological word with the clitic *mun*.

Currently thinking m could be formalized as (a component of) the map from linearized trees to prosodic structure (compare Stabler&Yu 2023) ** work in progress **

Interfaces: h and m in Aleut



Unterfaces: h and m in Aleut

More complicated cases like this one are appearing in the literature.

Cf. e.g. Branigan 2023, Oxford 2014 on the Algonquian language Innu-aimûn, inter alia

The language model

Given any set (of sets...) of lexical items, we label and then slightly transform the structure as follows:

 $\textbf{first} \quad \ell\text{:SO}{\rightarrow} WS$

then to head of output WS, $h: SO \rightarrow SO$

'The big one is helping the poor one'

then $o: SO \rightarrow (ordered)$ tree

then m: (ordered) tree \rightarrow (ordered) prosodic tree

That is, compute $(m \circ o \circ h \circ \text{head} \circ \ell)$.

Full definitions in paper, and in code.

More general and simpler than previous versions of MG.

The language model

One are up (of sim,) of limited home, up hold and share object to contain the forest and the state of the state of

The full paper defines more modules – for affix hopping, agreement, case, etc.

The language model as a single transduction

Better idea?: interleave steps and traverse SO once:

When ℓ applies d, apply interface functions immediately

Breaches modularity: interfaces become pre- and post-syntactic bc they affect SO, which d tests, breaking connectedness

Idea – apply not to results of d, but at t. 't' for 'transfer'

(still breaks connectedness: Collins&Stabler'16,§11)

work in progress ...

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The language model as a single transduction

Better idea?: interleave steps and traveres 50 goog:

When (applies d, apply interface functions immediately
Breaches modularity: interfaces become pre- and post-syntac

Idea – apply not to results of d, but at t.

"t" for "transfer"

(still breaks connectedness: Collins&Stabler16.§1

work in progress

Given (3) on slide 11, the "Fundamental Principle of Grammar", it should be easy to fold all the interfaces together...(cf Kobele'11, Graf'11 on regularity of MG derivation languages)

So here we come close to Collins & Stabler' 16. A number of Chomskian, minimalist proposals are trying to do closely related things informally.

Collins&Stabler $\S11$ note problems that the breach causes for remnant movement, since that is a case where an element in the workspace can be complete, dropped from the workspace by t, even when it contains another element that is not inert.

But it is not obvious that the problem is restricted to that case. We need to watch for anything d tests that is possibly affected by interfaces, and for any information carried to the leaves top-down (as in the proposed treatment of head movement)

If we want incremental structure building, then we want to fold together \underline{all} interface transductions, not just those that are regarded as part of 'transfer'

A A Haskell implementation

A.1 Haskell: Derivations with unbounded merge

```
-- Multiset needed. E.g., start ghci with: stack ghci multiset
module Mg where
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List ( partition )
data F = C | D | N | V | A | P | Wh | Pred | Predx | T | K | Vx | Scr | Modal | Have | Be | Been |
         Ving | Ven | Do | Lf | Rt | B | Visa | Vgelem deriving (Show, Eq. Ord)
data Ft = One F | Plus F deriving (Show, Eq, Ord)
type Label = ([Ft], [Ft])
type Lex = ([String], Label)
data PhTree = Pl Lex | Ps [PhTree] | Pz deriving (Show, Eq, Ord) -- Pz is the empty tree
data SO = L Lex | S (MultiSet SO) | O PhTree deriving (Show, Eq. Ord)
type WS = ([SO], [Label])
-- basics: pair cons, pair concatenation, pair partition, feature parser
(a,b) @@ (xs,ys) = (a:xs, b:ys)
(xs,ys) +++ (zs,ws) = (xs ++ zs, ys ++ ws)
ppartition _ ([],[]) = (([],[]),([],[]))
ppartition p(f:fs, s:ss) = let(ps,nonps) = ppartition p(fs,ss) in
  if p f s then ((f,s) @@ ps, nonps) else (ps, (f,s) @@ nonps)
fplus ft = case ft of (One f) \rightarrow (f, False); (Plus f) \rightarrow (f, True)
-- merge
mrg :: [SO] \rightarrow SO
mrg sos = S (MultiSet.fromList sos)
-- already matched features can be 'forgotten'
ck :: [Label] -> [Label]
ck ((\_:nns,nps):([],\_:pps):more) = [(nns,nps), ([],pps)] ++ map(const([],[])) more
-- constituents 'forgotten' from workspace when 'inert', i.e. all features 'forgotten'
t :: [SO] -> [Label] -> WS
t (\_:sos) (([],[]):labels) = t sos labels
t (so:sos) (label: labels) = (so, label) @@t sos labels
t [][] = ([], [])
match:: [WS] -> (WS,WS)
match wss =
  let ([(so:sos, label: labels)], poswss) = partition ((/= []).fst.head.snd) wss in -- partition neg WSs
  let (f, plus) = (fplus.head.fst) label in
    case (ppartition (x y \rightarrow ((= One f).head.snd) y) (sos, labels), poswss) of -- partition matches
       ((([so'],[label']), imOthers), [(so'':_,_)]) \rightarrow if so'' == so' then ( ([so,so'],[label, label']), imOthers ) else error "merge-over-move" --IM
      ((([],[]), \text{imOthers}), \text{ ws:wss'}) \rightarrow \text{case ppartition } ((x, y, -)) ((== \text{One f}).\text{head.snd}) y) \text{ ws of } --EM
         (([so'],[label']), emOthers) ->
           if plus && emOthers == imOthers
           then ( ([so,so'],[label, label']) +++ atb label' emOthers wss', imOthers)
           else if null wss' then ( ([so,so'],[label,label']), imOthers +++ emOthers) else error "match"
    atb _ _ [] = ([],[])
    atb label movers (ws:wss) =
                                          -- collect any additional comps with same label and movers
      let (([so'],[label']), others) = ppartition (x y -> y == label) ws in -- partition matches
        if others == movers then (so', label') @@ atb label movers was else error "match: ATB error"
-- if labels of WS satisfy shortest move constraint, return WS; else error
smc :: WS \rightarrow WS
smc (sos, labels) = if smc' labels then (sos, labels) else error "smc violation" where
  smc' _ [] = True
  smc' sofar (([],p:ps): labels) = let (f,_) = fplus p in (f 'notElem' sofar) && smc' (f: sofar) labels
  smc' sofar (_: labels) = smc' sofar labels
-- the derivational step: binary merge and label
d :: [WS] -> WS
d wss = let ((sos, labels), others) = match wss in
  smc (t (mrg sos: tail sos) (ck labels) +++ others)
```

A.2. Haskell: Derivation as transduction

```
module MgL where — Multiset needed. E.g., start ghci with: stack ghci multiset import Data.MultiSet (MultiSet) import qualified Data.MultiSet as MultiSet import Data.List (partition) import Mg

— label so with deterministic bottom—up transduction, applying d at every internal node ell :: SO -> WS
ell so = case so of { (L lex) -> ([L lex], [snd lex]) ; (S s) -> d (map ell (MultiSet. toList s)) }
```

A.3. Haskell: Head movement

```
module MgH where -- Multiset needed. E.g., start ghci with: stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List ( partition )
import Mg
import MgL
-- map strings [w ,...] to number of head-incorporator +'s on w, else 0
inc :: [String] -> Int
inc s = case s of \{ (('+':s'):_) \rightarrow 1 + inc [s'] ; _ -> 0 \}
-- where i = \#heads needed by c-commanding selector, (h \ i \ so) = (heads, so')
h :: Int \rightarrow SO \rightarrow ([String], SO)
h \ 0 \ (L \ lex) = ([], L \ lex)
h \ 1 \ (L \ (w,fs)) = (w, L \ ([],fs))
h i (S s) =
  let ([nws],pws:pwss) = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in -- partition neg WS
    case (head. fst ) nws of
      L(w,fs) \rightarrow
         if isCoord (L (w,fs))
         then
            let (hs,pso) = h i ((head. fst) pws) in
           let psos = atbh i hs pwss in
              (hs, S (MultiSet.fromList (L (w, fs) : pso : psos)))
         else
           let i' = inc w + max 0 (i-1) in
           let (hs,pso) = h i' ((head. fst) pws) in
           let psos = atbh i' hs pwss in case i of
             0 \rightarrow ([], S \text{ (MultiSet. fromList (L (hs ++ w, fs) : pso : psos)))}
              1 \rightarrow (hs ++ w, S (MultiSet.fromList (L ([], fs) : pso : pso )))
             \_ \rightarrow (w ++ hs, S (MultiSet.fromList (L ([], fs) : pso : pso s)))
      nso ->
         if isCoord nso
         then
           let (hs, nso') = h i nso in
           let (hs,pso) = h i ((head. fst) pws) in
           let psos = atbh i hs pwss in case i of
             0 -> ([], S (MultiSet.fromList (nso': pso: psos)))
_-> (hs, S (MultiSet.fromList (nso': pso: psos)))
           let (hs, nso') = h i nso in
           let psos = map (head. fst) (pws:pwss) in
              (hs, S (MultiSet.fromList (nso': psos)))
  where
    is Coord (S s) = let ([(so:\_,\_)], \_) = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in is Coord so
    is Coord (L (w, (_:(Plus _):_,_))) = True -- catch coord case with plus
    isCoord _ = False
    atbh :: Int -> [String] -> [WS] -> [SO] -- collect additional comps with same hs extracted
    atbh _ _ [] = []
    atbh i hs (pws:pwss) = let (hs', pso) = h i ((head. fst) pws) in if hs' == hs then pso:atbh i hs pwss else error "atbh error"
```

A.4. Haskell: Ordering

```
module MgO where -- Multiset needed. E.g., start ghci with: stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List ( partition )
import Mg
import MgL
import MgH
o_svo :: SO \rightarrow SO
o_svo (\frac{0}{t}) = \frac{0}{t} -- NB! recurse only as deeply as necessary
o_svo (L (w,1)) = O(Pl(w,1))
o_svo so = let (nso, pso, \_, posfs, pwss) = o so in
            let psos = map (head. fst) pwss in
            let ts = map ((x -> case o\_svo x of (O t) -> t) psos in
              case (o_svo nso, o_svo pso, posfs) of
                (O(Pl\ i),Ot,\underline{:}\underline{:}\underline{)} \rightarrow O(Ps[Pl\ i,\ silent\ t])
                (O(Pl\ i),Ot,\_) \rightarrow O(Ps(Pl\ i:t:ts))
                (O t, O t', _:_:_) -> O (Ps [ silent t', t])
(O t, O t', _) -> O (Ps (t':(ts ++ [t])))
o sov :: SO -> SO
o_sov(Ot) = Ot -- NB! recurse only as deeply as necessary
o_{sov}(L(w,l)) = O(Pl(w,l))
o_sov so = let (nso, pso, _, posfs, pwss) = o so in
            let psos = map (head. fst ) pwss in
             let ts = map (\langle x \rangle - scale o svo x of (O t) - st) psos in
              case (o_sov nso, o_sov pso, posfs) of
                (O t, O t', _::_:_) \rightarrow O (Ps [silent t', t])
                (Ot,Ot',\_) \rightarrow O(Ps((t':ts)++[t]))
-- map SO to what ordering usually depends on: (head SO, comp SO, pos head features, pos comp features, addtl pos WSs)
o :: SO \rightarrow (SO,SO,[Ft],[Ft],[WS])
o (S s) = let ([nws],pws:pwss) = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in -- partition neg WS
  -- NB: to get pos features of IM complement, we need to find them in (ell nws); otherwise, they're in pws
  let (so:sos,(f:_,_): labels) = nws in case ppartition (x y \rightarrow ((==f).head.snd) y) (sos, labels) of -- partition matches
    (([so'],[label']), _) ->((head.fst) nws, (head.fst) pws, (snd.head.snd) nws, snd label', pwss)
                               ( (head. fst ) nws, (head. fst ) pws, (snd.head.snd) nws, (snd.head.snd) pws, pwss )
 silent :: PhTree -> PhTree
 silent (Pl (ws, label)) = Pl (map (w \rightarrow if head w == '(' && last <math>w == ')'
                                            then w
                                            else "(" ++ w ++ ")") ws, label)
silent (Ps phs) = Ps (map silent phs)
```

A.5. Haskell: Compositions, examples, and parsing

Given the derivations and interfaces defined in A.1-A.4, the examples, compositions and parsers are conceptually easy, but sometimes technically more involved, so they are not listed here. See https://github.com/epstabler/mgt for this code.

B A Python implementation

Type hints are added to allow each python file to be checked with mypy.

B.1. Python setup

Unlike Haskell, Python cannot have lexical items in a set if those items are built with lists of features. (Python lists are not hashable.) So lexical items are (SO, label) pairs, where label is also a pair (posFeatures, negFeatures), where both positive and negative features are given in tuples.

Python tuples are written with parentheses. Since parentheses are also used for grouping, an extra comma must be added to length 1 tuples for disambiguation. The empty sequence is ().

The file mgTests.py has functions to print our data structures in more readable form. So, for example, here is the tuple-based representation of the grammar from §1.1.2 of the paper, and the 'pretty-printed' version of that grammar:

```
> python
>>> from mgTests import *
>>> for i in g112: print(i)
((), (('V',), ('C',)))
((), (('V', 'Wh'), ('C',)))
(('Jo',), ((), ('D',)))

(('the',), (('N',), ('D',)))

(('which',), (('N',), ('D', 'Wh')))

(('who',), ((), ('D', 'Wh')))
(('cat',), ((), ('N',)))
(('dog',), ((), ('N',)))
(('food',), ((), ('N',)))
(('likes',), (('D', 'D'), ('V',)))
(('knows',), (('C', 'D'), ('V',)))
>>> ppMg(g112)
(, V -o C)
(, V.Wh -o C)
(Jo, D)
(the, N - o D)
(which, N -o D.Wh)
(who, D.Wh)
(cat, N)
(dog, N)
(food, N)
(likes, D.D -o V)
(knows, C.D -o V)
>>>
```

Python also does not allow multisets of multisets, so an alternative implementation of multisets is provided here, based on frozendicts. The frozendict module can be installed with 'pip install frozendict'. That module is used in the definition of the class of SO objects.

The basic types of objects (LI, SO, Label, WS) are all defined in mgTypes.py. That file is not listed here, since it is more complex than the corresponding type definitions and functions in Haskell, which were listed in full in Appendix A. But once appropriate Python object classes are defined, the python definitions of MG functions are similar to the Haskell...

B.2. Python: Derivations with unbounded merge

```
""" https://github.com/epstabler/mgt/tree/main/python/mg.py """
from mgTypes import * # defines classes of objects: LI, SO, Label, WS
from typing import Tuple # for type-checking with mypy
def mrg(seq: list) -> SO:
  """ merge: form multiset from sequence of SOs """
 return SO(sea)
def ck(labels: list) -> list:
     remove first features from labels """
  return [f.ck() \text{ for } f \text{ in labels } [0:2]] + [Label ((),()) \text{ for } f \text{ in labels } [2:]]
def t(ws: WS) \rightarrow WS:
  """ remove SOs with no features in their label """
 \verb"return ws.pfilter(lambda x: \verb"not(x[1].is_empty()))"
def fplus( feature ) -> Tuple[str,bool]:
  """ parse feature """
  if feature [-1] == '+': return (feature[:-1], True)
 else: return (feature, False)
def match(wss:list) -> Tuple[WS,WS]:
  """ partition elements of elements of WSs into (matchingWS, non-matchingWS) """
  (negwss, poswss) = partition (lambda x: x.is_neg(), wss) ## partition neg WSs (def in mgTypes.py)
  if len(negwss) != 1: raise RuntimeError("too many neg workspaces")
 so0, sos0, label0, labels0 = negwss[0]._sos[0], negwss[0]._sos[1:], negwss[0]._labels [0], negwss[0]._labels [1:]
  (f, plus) = fplus (label0._neg[0])
  (IMmatches, IMothers) = WS(sos0,labels0). ppartition (lambda x: x[1]._pos[0] == f) # partition matches
  if IMmatches._sos:
   so1, label1 = IMmatches._sos[0], IMmatches._labels[0]
    if len(poswss) != 1 or str(poswss[0]._sos[0]) != str(so1): raise RuntimeError("move-over-merge error")
    return ( WS([so0,so1], [label0, label1]), IMothers)
   pws, pwss = poswss[0], poswss[1:]
    (EMmatches, EMothers) = pws. ppartition (lambda x: x[1]._pos[0] == f) # partition matches
    if EMmatches._sos:
      so1, label1 = EMmatches._sos[0], EMmatches._labels[0]
                                                                         # str for frozen dict comparison issues
      if plus and str(IMothers) == str(EMothers):
        moreComps = atb(label1, EMothers, pwss)
        return (WS([so0,so1], [label0, label1]). pappend(moreComps), IMothers)
      else:
        if pwss != []: raise RuntimeError("match: too many em pos workspaces")
        return (WS([so0,so1], [label0, label1]), IMothers.pappend(EMothers))
    else: raise RuntimeError("match: no matching pos workspaces")
def atb(label, movers, wss) -> WS:
 additionalComplementWS = WS([],[])
  for ws in wss:
    (matches, others) = ws. ppartition (lambda x: str(x[1]) == str(label)) # str for comparison issues
    if len(matches._sos) == 1: # and others == movers:
      additionalComplementWS = additionalComplementWS.pappend(matches)
      raise RuntimeError("atb: non-matching pos workspaces")
  return additionalComplementWS
def smc(ws: WS) \rightarrow WS:
  """ if WS has no 2 pos labels with same 1st feature, return WS """
  sofar = \Pi
  for label in ws._labels:
    if label.is_pos():
      if label._pos[0] in sofar: raise RuntimeError('smc violation blocked')
      else: sofar.append(label._pos[0])
 return ws
def d(wss:list) \rightarrow WS:
   "" the derivational step: given list of workspaces, return derived workspace """
  (matches, others) = match(wss)
  return smc(t(WS([mrg(matches._sos)] + matches._sos [1:], ck(matches._labels))).pappend(others))
```

B.3. Python: Derivation as transduction

```
""" https:// github.com/epstabler/mgt/tree/main/python/mgL.py """
from mg import * # this imports frozendict , mgTypes, mg

def ell(so):
    """ Map so to its derived workspace, if any. """
    if isinstance(so,LI) or isinstance(so,O): return so.to_ws()
    else:
        (negwss, poswss) = partition (lambda x: x.is_neg(), map(ell,so. to_tuple())) ## partition neg WSs (def in mgTypes.py)
    return d(negwss + poswss)
```

B.4. Interfaces in Python: Head movement

```
""" https://github.com/epstabler/mgt/tree/main/python/mgH.py """
from mgL import *
                            # this imports frozendict, mgTypes, mg, mgL
definc(h) \rightarrow int:
  """ return the number of head-incorporator '+' at the beginning of h """
 if not(h) or not(isinstance(h[0].str)):
    raise RuntimeError("inc: expected tuple of strings")
 count = 0
  for i in range(len(h[0])):
    if h[0][i] == '+': count += 1
    else:break
  return count
def h(i, so):
  """ head movement, where i is the number of head-incorporators on governing head """
  if isinstance(so,LI):
   if i == 0: return ((), so)
    elif i == 1: return (so._ph, LI((), so._label.pair()))
    else: RuntimeError("h: incorporator requirements not met")
    (negwss, poswss) = partition (lambda x: x.is_neg(), map(ell, so. to_tuple ())) ## partition neg WSs (def in mgTypes.py)
   so0 = negwss[0]._sos[0]
   pso0 = poswss[0].\_sos[0]
    if isinstance(so0,LI):
      i0 = inc(so0._ph) + max([0,i-1])
      (hs, pso) = h(\overline{i0}, pso0)
      psos = atbh(i0, hs, poswss[1:])
      if i == 0: return ((), SO([LI(hs + so0.\_ph, so0.\_label.pair ()), pso] + psos))
      elif i == 1: return (hs + so0._ph, SO([LI((), so0._label.pair()), pso] + psos))
     else: return (so0._ph + hs, SO([LI((), so0._label.pair()), pso] + psos))
      (hs, so1) = h(i, so0)
      psos = [ws.\_sos[0] for ws in poswss]
      return (hs, SO([so1] + psos))
def atbh(i, hs, wss) \rightarrow list:
  """ collect additional comps with hs extracted, across-the-board """
 if wss == []: return[]
 else:
    (hs0, pso) = h(i, wss[0]. \_sos[0])
    if hs0 != hs: raise RuntimeError("atbh: non-identical head")
    else: return [pso] + atbh(i, hs, wss[1:])
```

B.5. Interfaces in Python: Linearization

```
""" https://github.com/epstabler/mgt/tree/main/python/mgO.py """
from mgH import * # this imports frozendict, mgTypes, mg, mgL, mgH
def o_svo(so) \rightarrow 0:
  """ map so to ordered svo tuple """
 if isinstance(so,LI):
    return O((so,))
  else:
    (nso, pso, \_, posfs, pwss) = o(so)
    nt, pt = o_svo(nso), o_svo(pso)
    psos = [ws.\_sos[0] for ws in pwss]
    pts = tuple(map(o_svo, psos))
    if len(posfs) > 1:
      comps = silent ((pt,) + pts)
      comps = (pt,) + pts
    if isinstance(nso,LI):
      return O((nt,) + comps)
    else:
      return O( comps + (nt,))
def o_sov(so) \rightarrow O:
  """ map so to ordered sov tuple """
  if isinstance(so,LI):
    return O((so,))
  else:
    (nso, pso, \_, posfs, pwss) = o(so)
    nt, pt = o sov(nso), o sov(pso)
    psos = [ws.\_sos[0] for ws in pwss]
    pts = tuple(map(o_sov, psos))
    if len(posfs) > 1:
      comps = silent ((pt,) + pts)
      comps = (pt,) + pts
    return O( comps + (nt,) )
def o(so) \rightarrow tuple:
   """ maps so to (head, comp, head_pos_features, comp_pos_features, otherPosWSs) """
 # NB: to get pos features of IM complement, we need to find them in ell (nws); otherwise, they're in pws
  if not(isinstance(so._so,frozendict.frozendict)): raise TypeError("o: type error")
  (negwss, poswss) = partition (lambda x: x.is_neg(), map(ell, so. to_tuple ())) ## partition neg WSs (def in mgTypes.py)
 so0, \ sos0, \ label0, \ labels0 = negwss[0].\_sos[0], \ negwss[0].\_sos[1:], \ negwss[0].\_labels[0], \ negwss[0].\_labels[1:]
  (f, plus) = fplus(label0._neg[0])
  (IMmatches, IMothers) = WS(sos0,labels0). ppartition (lambda x: x[1]._pos[0] == f) # partition matches
  if IMmatches._sos:
    so1, label1 = IMmatches._sos[0], IMmatches._labels[0]
    return (so0, so1, label0._pos, label1._pos, poswss[1:])
  else:
    so1, label1 = poswss[0]._sos[0], poswss[0]._labels[0]
    return (so0, so1, label0._pos, label1._pos, poswss[1:])
def silent(x):
  if isinstance(x,tuple):
    return tuple([silent(o) for o in x])
  elif isinstance(x,0):
    if len(x.\_tuple) == 1: # lexical item
      ph, fs = x._tuple [0]._ph, x._tuple [0]._label.pair() newph = tuple(['('+w+')' \text{ for } w \text{ in } ph])
      return O((LI(newph, fs),))
    else:
      return O( tuple([silent(o) for o in x._tuple]) )
```

B.6. Haskell: Compositions, examples, and parsing

Given the derivations and interfaces defined in B.1-B.5, the examples, compositions and parsers are conceptually easy, but sometimes technically more involved, so they are not listed here. See https://github.com/epstabler/mgt for this code.