

# Modular Minimalist Grammar

Edward Stabler UCLA

2024-08-01

- Modular minimalist grammar composed over workspaces
- Interfaces
- Language model composed from grammar and interfaces
- Language model as 1 transduction (modularity breached!)

These slides, code, and (soon) draft paper: <https://github.com/epstabler/mgt>.  
The draft paper has the details for references mentioned in these slides/comments  
Thanks to audiences at the MG+1, MG+2 meetings for helpful suggestions.

1

2024-08-01

Modular Minimalist Grammar  
Edward Stabler UCLA  
2024-08-01

1 Modular minimalist grammar composed over workspaces  
2 Interfaces  
3 Language model composed from grammar and interfaces  
4 Language model as 1 transduction (modularity breached!)

These slides, code, and (soon) draft paper: <https://github.com/epstabler/mgt>.  
The draft paper has the details for references mentioned in these slides/comments.  
Thanks to audiences at the MG+1, MG+2 meetings for helpful suggestions.

MG derivational steps are broken up then reassembled,

The theory becomes **modular** in the sense that the syntax (the syntactic objects, movement relations, case and agreement properties, etc.) emerges from the interaction of separately defined processes. There are 'breaches' in modularity, where a 'later' process influences an 'earlier' one, but these breaches are restricted.

This modular approach makes MGs *easier to change*.

For many changes you might want to make, only one or two components will need to be modified, leaving the rest intact.

The last step, putting everything into 1 transduction, is reminiscent of Collins&Stabler and some other Chomskian, minimalist proposals, and raises similar issues. I think the scope and significance of those issues is perhaps clearer here.

## (De)composing each derivational step

5 grammatical functions: mrg, t, smc, match, ck  
+ 3 bureaucratic functions:

the 'cons' function :

$1 : [2,3] = [1,2,3]$

the 'tail' function :

$\text{tail } [1,2,3] = [2,3]$

the 'pair concatenation' function:

$([1,2],[a,b]) \text{ +++ } ([3],[c]) = ([1,2,3],[a,b,c])$

2

2024-08-01

(De)composing each derivational step

5 grammatical functions: mrg, t, smc, match, ck  
+ 3 bureaucratic functions

the 'cons' function :  $1 : [2,3] = [1,2,3]$   
the 'tail' function :  $\text{tail } [2,3] = [3]$   
the 'pair concatenation' function:  
 $([1,2],[a,b]) \text{ +++ } ([3],[c]) = ([1,2,3],[a,b,c])$

└ (De)composing each derivational step

One MG derivational step will be composed from 8 simple functions.  
We cover all the subcases of earlier MG, but without breaking the rule up that way.

The 3 bureaucratic functions are very simple.

Setup

Grammar: a finite set of lexical items, (ph form, label) pairs:  
 $g = \{ (jo, D), (which, N \rightarrow D.Wh), (likes, D.D \rightarrow V) \}$

Syntactic object: a lexical item or set of syntactic objects,  
and, later, also sequences of syntactic objects:  
 $SO = g \mid \{SO\} \mid [SO]$

Workspace: SOs with associated labels:  
 $WS = ([SO],[label])$

Our first reformulation of MG will derive workspaces.

2024-08-01

Setup

Grammar: a finite set of lexical items, (ph form, label) pairs  
 $g = \{ (jo, D), (which, N \rightarrow D.Wh), (likes, D.D \rightarrow V) \}$

Syntactic object: a lexical item or set of syntactic objects,  
and, later, also sequences of syntactic objects  
 $SO = g \mid \{SO\} \mid [SO]$

Workspace: SOs with associated labels  
 $WS = ([SO],[label])$

Our first reformulation of MG will derive workspaces.

We will define 5 linguistic functions over these types of things.

Initially, the SOs are lexical items or sets built from those (indicated by curly braces), but later we allow also sequences built from lexical items (indicated by square brackets) and slight alterations in the phonetic contents of the lexical items.

A workspace is a pair – a sequence of SOs paired with the sequence of labels of those SOs.

(As will become clear, WSs are basically similar to the derived structures of earlier MGs.)

5 linguistic functions

- (match)  $\frac{WS_1, \dots, WS_i \text{ initially, for } i = 2}{WS \text{ of matching elements, } WS' \text{ non-matching elements}}$
- (mrg)  $\frac{SO_1, \dots, SO_n}{\{SO_1, \dots, SO_n\}}$
- (ck)  $\frac{f.\alpha \rightarrow \beta_1, \quad f.\beta_2}{\alpha \rightarrow \beta_1, \quad \beta_2}$
- (t)  $\frac{WS}{WS - (SO_i, label_i) \text{ pairs where } label_i \text{ empty}}$
- (smc)  $\frac{WS}{WS \text{ if no 2 positive labels have same 1st feature}}$

2024-08-01

5 linguistic functions

(match)  $\frac{WS_1, \dots, WS_i \text{ initially, for } i = 2}{WS \text{ of matching elements, } WS' \text{ non-matching elements}}$

(mrg)  $\frac{SO_1, \dots, SO_n}{\{SO_1, \dots, SO_n\}}$

(ck)  $\frac{f.\alpha \rightarrow \beta_1, \quad f.\beta_2}{\alpha \rightarrow \beta_1, \quad \beta_2}$

(t)  $\frac{WS}{WS - (SO_i, label_i) \text{ pairs where } label_i \text{ empty}}$

(smc)  $\frac{WS}{WS \text{ if no 2 positive labels have same 1st feature}}$

Linearization is left to PF interface...

match finds neg element of  $WS_1$ . If  $WS_1$  has pos match  $SO_j, label_j$  then (move-over-merge)  $SO_j = \text{head SO of } WS_2$ , and use  $label_j$  (else) head  $WS_2$  is a pos match.

Other non-matching elements partitioned into separate workspace  $WS'$

Function ck is *modus ponens*, the *law of detachment*

Function t removes the inert, 'trivial' SOs, those with no features...

An example will make this clear, below

## MG composed: The derivational step

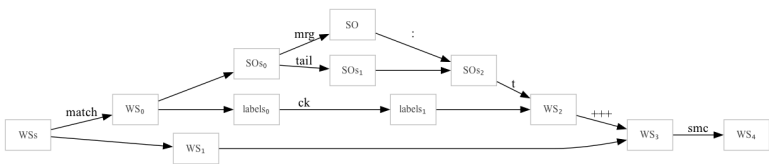
Derivational step d composed from 8 steps, each very simple:  
match, mrg, tail, :, ck, t, ++, smc

```

d WSs = let ((SOs,labels),others) = match WSs in
          smc (t (mrg SOs:tail SOs) (ck labels) +++ others)

```

Match selects SOs whose labels have matching first features;  
then mrg applies to SOs, and ck to labels; t removes inert elements;  
and smc crashes if two positive elements have the same first feature.



2024-08-01

# MG composed: The derivational step

MG composed: The derivational step

Derivational step d composed from 8 steps, each very simple  
 matches, wss, snc, ck, k, wss, wss  
 if WSS = let [(S1,labels),others] = match WSS in  
 snc () (mg [S1,snr S1c] [ck labels] ++ others)

Match selects S1s whose labels have matching first features.  
 After mg, apply to S1c, and ck to S1c1 to remove most elements,  
 and use create if two position elements have the same first feature.

← This is the actual Haskell definition in my github implementation.

The python implementation is not quite so elegant, but similar:

```
def d(wss):
    (matches, others) = match(wss)
    return smc( t( WS( [mg(matches._sos)] + matches._sos[1:], ck(matches._labels) ) ),append(others) )
```

To avoid the 'let...' construction, we could use projection functions to get the components from the output of match.

'Let...' constructions enhance readability, and in programming languages they can enhance efficiency by defining 'staged computations' that avoid recalculation of results.

Cf [https://en.wikipedia.org/wiki/Let\\_expression](https://en.wikipedia.org/wiki/Let_expression), Davies&Pfenning'01

## MG composed: The derivational step

```

d WSs = let ((SOs,labels),others) = match WSs in
          smc (t (mrg SOs:tail SOs) (ck labels) +++ others)

```

A **derived** WS = an element of closure of  $\{((w, l), l) | (w, l) \in g\}$  wrt d.

A derived WS is **complete** iff it has exactly 1 SO with exactly 1 feature.

(0) In derived workspaces, all SOs are connected by mrg: a head and substructures with outstanding features.

(0) means that we can view the workspace as a moving, labeled 'window' on the head SO, a kind of 'locus of attention'

Derivational step: example

The 8 substeps of a single derivational step in deductive form: merging *likes* with *Jo*



2024-08-01

Derivational step: example



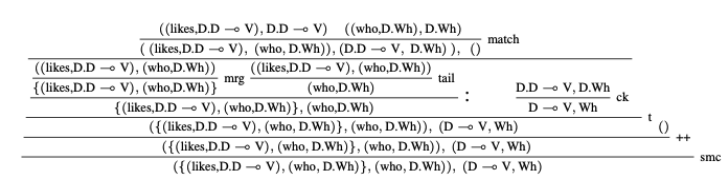
Instead of merging a verb with a direct object in 1 specialized step, we do it in 8 simpler steps that cover all the cases.  
A note about the notation for labels:

- (praise, D.D $\multimap$ V) Given 2 DPs, a VP.
- Dot for conjunction.
- Empty conjunction written  $\top$ , for top, true.
- Antecedent features are 'negative'.
- (which, N $\multimap$ D.Wh) Given NP, a wh DP.
- (student,  $\top \multimap$  N) A noun(phrase).
- Usually written: (student, N).
- $\top$  A label with no features.

In the derivation to the left here, when D is checked,  $\top$  remains. So that element is inert, and is 'forgotten' from the workspace by rule t.

Derivational step: example

The 8 substeps of a single derivational step in deductive form: merging *likes* with *who*



2024-08-01

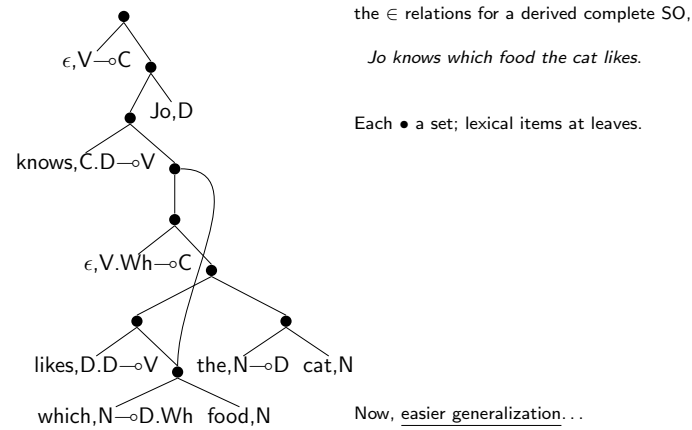
Derivational step: example



The same pattern of rules combines *likes* and *who*, but because *who* has two positive features, the effect of step t is different, and as a result, the resulting workspace has 2 LSOs instead of just 1. This would be accomplished with a different rule in many early MGs, but here it is exactly the same pattern of 8 steps

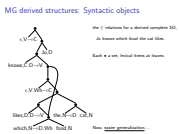
Since these 8 steps are exactly d, and d is the only rule, we can just show the 8 steps as one, and we do not need to label it.

MG derived structures: Syntactic objects



2024-08-01

MG derived structures: Syntactic objects

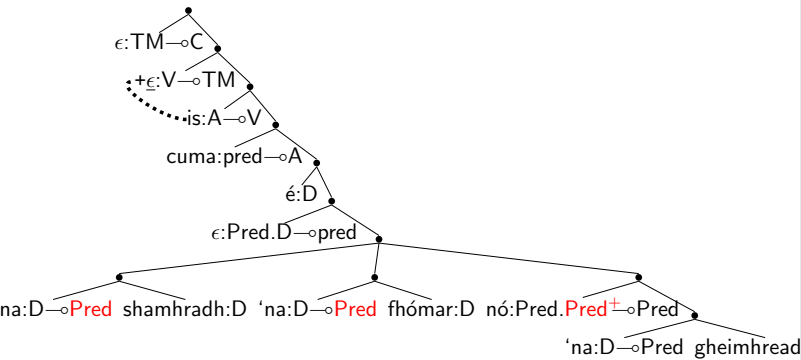


Since the feature checking done by  $d$  is so simple, after some practice, I find the SO itself to be the most readable notation for the derivation, though I can understand why linguists prefer highly redundant X-bar-like notations in the literature.

Each set represents 1 application of  $d$  to the workspaces corresponding to its children/elements. (This is formalized as transduction  $\ell$  below.)

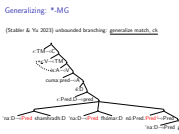
Generalizing: \*-MG

(Stabler & Yu 2023) unbounded branching: generalize match, ck



2024-08-01

Generalizing: \*-MG



This could not be done in MG versions before Stabler&Yu, slightly simplified here

One other detail is discussed in the written version of this talk: To allow multiple identical elements sisters of head, instead of the usual (global) indexing, merge is also adjusted: it builds *multisets*.

Using multisets is essentially equivalent to indexing, but local.

One other detail: What's that dotted line? Head movement. Treated here not by revising merge, but as a 'post-syntactic' transduction. . .

Labeling as transduction  $\ell$  from SO to WS

Define  $\ell$  with two cases, lexical leaves and sets:

$\ell \text{ lex} = \{ (\text{lex}, \text{label}) \}$   
 $\ell \{SO_1, \dots, SO_i\} = d(\ell SO_1) \dots (\ell SO_i)$

- (1) beginning at root,  $\ell$  descends to leaves, then applies  $d$  recursively, bottom-up
- (2)  $\ell$  is deterministic, since  $d$  is
- (3) All 'interfaces' (agree, head movement, linearization, etc) are minor embellishments of this one; all defined in terms of configurations created by merge – as we'll see. . .

2024-08-01

Labeling as transduction  $\ell$  from SO to WS

Labeling as transduction  $\ell$  from SO to WS

Define  $\ell$  with two cases, lexical leaves and sets:

$\ell \text{ lex} = \{ (\text{lex}, \text{label}) \}$

$\ell \{SO_1, \dots, SO_i\} = d(\ell SO_1) \dots (\ell SO_i)$

(1) beginning at root,  $\ell$  descends to leaves, then applies  $d$  recursively, bottom-up

(2)  $\ell$  is deterministic, since  $d$  is

(3) All 'interfaces' (agree, head movement, linearization, etc) are minor embellishments of this one; all defined in terms of configurations created by merge – as we'll see. . .

NB:  $\ell$  is a partial function – some merge structures cannot be labeled

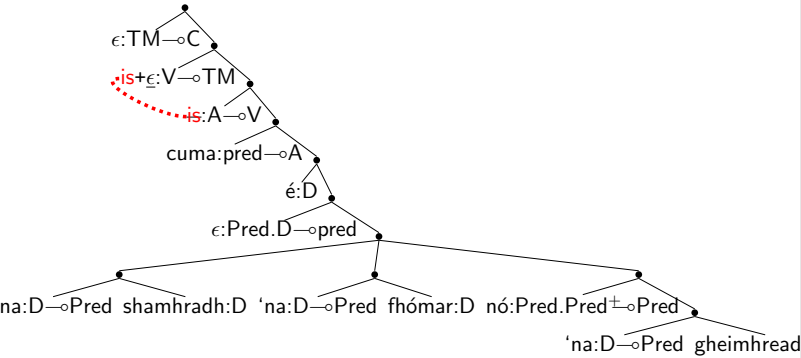
Property (3) is a surprising idea, with analogues in all the major traditions of syntax. As explained in the paper, each syntactic structure has exactly one basic phrase structure 'backbone': All embellishments (case, agree, linearization, . . . ) are then definable as homomorphisms.

Cf. Hornstein's (2024, pp7-8) "Fundamental Principle of Grammar": (FPG) "All grammatical relations are merge-mediated."

That sounds a lot like (3)! Hornstein suggests that FPG is incompatible with modularity, but that claim uses 'modular' in a sense different from ours (see the comments on slide 1), since he maintains, e.g., that defining merge as set formation and then defining case in terms of a kind spec-head checking *is* compatible with FPG, even though that *is* modular in our sense of the term. But he uses 'modular' more strictly than us. . . See the paper for more discussion

Interfaces:  $\ell$  in Irish

McCloskey 2022: V + Asp + TM complexes  
Branigan'23, Harizanov&Gribanova'19, ia: + vs -



2024-08-01

Interfaces:  $\ell$  in Irish

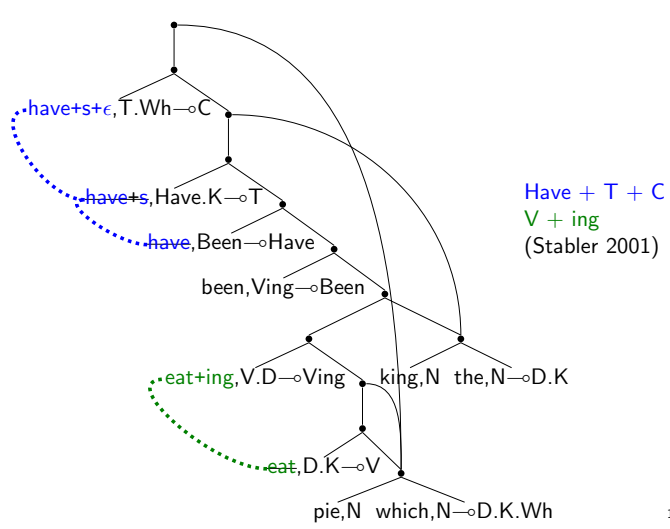
Interfaces:  $\ell$  in Irish

McCloskey 2022: V + Asp + TM complexes  
Branigan'23, Harizanov&Gribanova'19, ia: + vs -

V raises to TM

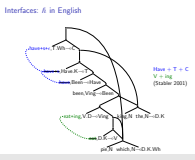
(McCloskey'22 discusses more complex examples, left for future work)

## Interfaces: $\hbar$ in English



2024-08-01

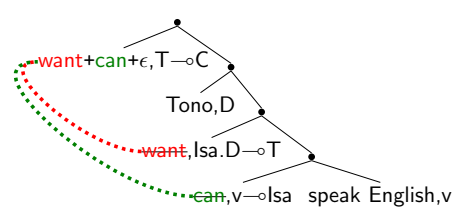
## └ Interfaces: $\hbar$ in English



The simplified treatment of Eng aux from Stabler 2001, deriving:  
which pie have +s +ε the king been eat +ing

13

## Interfaces: $\hbar$ in Japanese



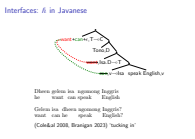
Dheen gelem isa ngomong Inggris  
 he want can speak English

Gelem isa dheen ngomong Inggris?  
 want can he speak English?

(Cole&al 2008, Branigan 2023) ‘tucking in’

2024-08-01

└ Interfaces:  $\hbar$  in Javanese



In one variety of Javanese, and in some other languages, more than 1 verb can move up to C, 'tucking in' so that linear order corresponds to linear order of the linearized sources.

This could not be done with previous MG head movement mechanisms

14

Interface: *h* as transduction

**Basic idea:** Lexical items marked + must associate with X0 stem  
If selector is marked +, move there.

**In BU transduction:** ‘Look ahead’ to selector.

**Strategy of precise definition:**

- a. On path from root to leaf, pass “+” to children
- b. On way up, at each node:
  - if “+”: then delete head and pass it (and upcoming) up
  - else: combine head with upcoming (if any)

2024-08-01

Interface: *h* as transduction

Interface: *h* as transduction

One simple rule suffices for all our examples

Interface: *h* as transduction

Basic idea: Lexical items marked + must associate with X0 stem  
If selector is marked +, move there.

**In BU transduction:** ‘Look ahead’ to selector.

**Strategy of precise definition:**

- a. On path from root to leaf: pass “+” to children
- b. On way up, at each node:
  - if “+”: then delete head and pass it (and upcoming) up
  - else: combine head with upcoming (if any)

Interface: Linear ordering *o*

A linearized MG = (MG, *o*).

*o*<sub>SVO</sub>: first merge <; nonfirst >

*o*<sub>SOV</sub>: all >

Both: Very minor variations on *ℓ*.  
Like first/nonfirst in SVO, easy to add category-sensitivity.

2024-08-01

Interface: Linear ordering *o*

Interface: Linear ordering *o*

Chomsky (1995:340) “we take the LCA to be a principle of the phonological component”  
Chomsky&al (2019:4) “a matter of externalization of internally generated expressions”

Still no consensus on constituent order:  
cf. LCA vs. Abels&Neeleman 2012 and many others

Interface: Linear ordering *o*

A linearized MG = (MG, *o*).

*o*<sub>first</sub>: first merge <; nonfirst >  
*o*<sub>all</sub>: all >

Both: Very minor variations on *ℓ*.  
Like first/nonfirst in SVO, easy to add category-sensitivity.



Interface:  $\phi_{SVO}$

```
 $\phi_{SVO} \text{ lex} = \{ (\text{lex}, \text{label}) \}$   
 $\phi_{SVO} \{SO_1, \dots, SO_i\} =$   
  find unique negWS among  $\ell SO_1, \dots, \ell SO_i$ ; #ineff!  
  if negWS lexical,  
  then: if  $> 1$  pos f:  
    then head(negWS)  
    else head(negWs):heads(posWSs)  
  else: if  $> 1$  pos f:  
    then head(negWS)  
    else heads(posWSs) ++ [head(negWS)]
```

2024-08-01

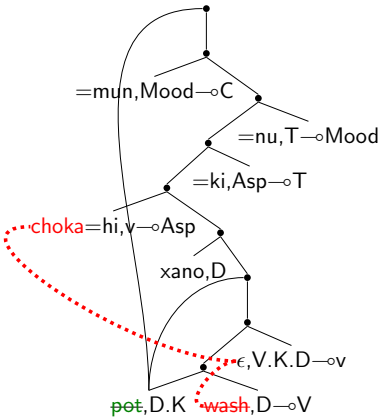
Interface:  $\phi_{SVO}$

```
Interface:  $\phi_{SVO}$   
  
if negWS == { (lex, label) }  
then (SO1, ..., SOi) ==  
  find unique negWS among (SO1, ..., SOi)  
  if negWS lexical  
  then if  $> 1$  pos f  
    then head(negWS)  
    else head(negWs):heads(posWSs)  
  else if  $> 1$  pos f  
    then head(negWS)  
    else heads(posWSs) ++ [head(negWS)]
```

Inefficient: While  $\ell$  goes to leaves and then applies d on the way up, this one goes to leaves, and then on its way up, repeatedly calls  $\ell$  which goes to the leaves again to compute the label and workspace.

This inefficiency can be repaired when language model is reformulated as a single transduction – see last slide

Interfaces:  $h$  and  $m$  in Amahuaca



Kuntii=mun choka=hi xano =ki =nu  
pot wash woman =3.PRES =DECL  
(Clem 2022) – An apparent FOFC violation

2024-08-01

Interfaces:  $h$  and  $m$  in Amahuaca

We distinguish head movement from ‘m-merger’ or ‘amalgamation’, which can form words based on adjacency/c-command – elements that need not be heads selected along a single extended projection (Harizanov&Gribanova 2019, Branigan 2023, inter alia)

Here a head movement is shown in red. After pot/kuntii, linearized as the left child of the root, it forms a phonological word with the clitic *mun*.

Currently thinking  $m$  could be formalized as (a component of) the map from linearized trees to prosodic structure (compare Stabler&Yu 2023)  
\*\* work in progress \*\*

Interfaces: *h* and *m* in Aleut



Snigaroff 2024 (41-3)  
cf Merchant 2011, Yuan 2018, 2022

kidu -lġu -xsiida -ku -u  
help big poor pres A[sg]:3  
'The big one is helping the poor one'

2024-08-01

└ Interfaces: *h* and *m* in Aleut



More complicated cases like this one are appearing in the literature.

Cf. e.g. Branigan 2023, Oxford 2014 on  
the Algonquian language Innu-aimûn, inter alia

The language model

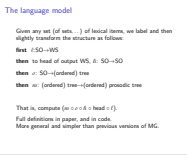
Given any set (of sets. . . ) of lexical items, we label and then  
slightly transform the structure as follows:

- first**  $\ell: SO \rightarrow WS$
- then** to head of output WS,  $h: SO \rightarrow SO$
- then**  $o: SO \rightarrow (\text{ordered}) \text{ tree}$
- then**  $m: (\text{ordered}) \text{ tree} \rightarrow (\text{ordered}) \text{ prosodic tree}$

That is, compute  $(m \circ o \circ h \circ \text{head} \circ \ell)$ .  
Full definitions in paper, and in code.  
More general and simpler than previous versions of MG.

2024-08-01

└ The language model



The full paper defines more modules –  
for affix hopping, agreement, case, etc.

# The language model as a single transduction

- Better idea?:** interleave steps and traverse SO once:
  - When  $\ell$  applies d, apply interface functions immediately
- Breaches modularity:** interfaces become pre- and post-syntactic bc they affect SO, which d tests, breaking connectedness
- Idea – apply not to results of d, but at t.**
  - ‘t’ for ‘transfer’**
  - (still breaks connectedness: Collins&Stabler’16,§11)
- work in progress . . .**

2024-08-01

The language model as a single transduction

The language model as a single transduction

Better idea?: interface steps and traverse SO once

When  $\ell$  applies d, apply interface functions immediately

Breaches modularity: interfaces become pre- and post-syntactic bc they affect SO, which d tests, breaking connectedness

Idea – apply not to results of d, but at t.

‘t’ for ‘transfer’

(still breaks connectedness: Collins&Stabler’16,§11)

work in progress . . .

Given (3) on slide 11, the “Fundamental Principle of Grammar”, it should be easy to fold all the interfaces together. . . (cf Kobele’11, Graf’11 on regularity of MG derivation languages)

So here we come close to Collins&Stabler’16. A number of Chomskian, minimalist proposals are trying to do closely related things informally.

Collins&Stabler §11 note problems that the breach causes for remnant movement, since that is a case where an element in the workspace can be complete, dropped from the workspace by t, even when it contains another element that is not inert.

But it is not obvious that the problem is restricted to that case. We need to watch for anything d tests that is possibly affected by interfaces, and for any information carried to the leaves top-down (as in the proposed treatment of head movement)

If we want incremental structure building, then we want to fold together all interface transductions, not just those that are regarded as part of ‘transfer’

21

## A A Haskell implementation

### A.1 Haskell: Derivations with unbounded merge

```
module Mg where    -- Multiset needed. E.g., start ghci with: stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List ( partition )

data F = C | D | N | V | A | P | Wh | Pred | Predx | T | K | Vx | Scr | Modal | Have | Be | Been |
        Ving | Ven | Do | Lf | Rt | B | Visa | Vgelem deriving (Show, Eq, Ord)
data Ft = One F | Plus F deriving (Show, Eq, Ord)
type Label = ([Ft], [Ft])
type Lex = ([String], Label)
data PhTree = Pl Lex | Ps [PhTree] | Pz deriving (Show, Eq, Ord) -- Pz is the empty tree
data SO = L Lex | S (MultiSet SO) | O PhTree deriving (Show, Eq, Ord)
type WS = ([SO],[Label])

-- basics: pair cons, pair concatenation, pair partition, feature parser
(a,b) @@ (xs,ys) = (a:xs, b:ys)
(xs,ys) +++ (zs,ws) = (xs ++ zs, ys ++ ws)
ppartition _ ([],[]) = ([],[])
ppartition p (f:fs, s:ss) = let (ps,nonps) = ppartition p (fs,ss) in
    if p f s then ((f,s) @@ ps, nonps) else (ps, (f,s) @@ nonps)
fplus ft = case ft of (One f) -> (f, False); (Plus f) -> (f, True)

-- merge
mrg :: [SO] -> SO
mrg sos = S (MultiSet.fromList sos)

-- already matched features can be 'forgotten'
ck :: [Label] -> [Label]
ck ((_:nns,nps):( [],_:pps):more) = [(nns,nps), ( [],pps) ] ++ map (const ([],[])) more

-- constituents 'forgotten' from workspace when 'inert', i.e. all features 'forgotten'
t :: [SO] -> [Label] -> WS
t (_:sos) ([],[]):labels = t sos labels
t (so:sos) (label:labels) = (so, label) @@ t sos labels
t [] [] = ([], [])

match :: [WS] -> (WS,WS)
match wss =
    let [(so:sos, label:labels), poswss] = partition ((/= []).fst.head.snd) wss in -- partition neg WSs
    let (f,plus) = (fplus.head.fst) label in
    case ( partition (\x y -> ((== One f).head.snd) y) (sos,labels), poswss) of -- partition matches
        ((([so'],[label']), imOthers), [(so':_,_)]) ->
            if so' == so' then ( ([so,so'],[label,label']), imOthers ) else error "merge-over-move" -- IM
        ((([],[]), imOthers), ws:wss') -> case ppartition (\x y -> ((== One f).head.snd) y) ws of -- EM
            (((so'),[label']), emOthers) ->
                if plus && emOthers == imOthers
                then ( ([so,so'],[label,label']) +++ atb label' emOthers wss', imOthers)
                else if null wss' then ( ([so,so'],[label,label']), imOthers +++ emOthers) else error "match"
    where
        atb _ _ [] = ([],[])
        atb label movers (ws:wss) = -- collect any additional comps with same label and movers
            let (([so'],[label']), others) = ppartition (\x y -> y == label) ws in -- partition matches
            if others == movers then (so',label') @@ atb label movers wss else error "match: ATB error"

-- if labels of WS satisfy shortest move constraint, return WS; else error
smc :: WS -> WS
smc (sos,labels) = if smc' [] labels then (sos,labels) else error "smc violation" where
    smc' _ [] = True
    smc' sofar (([],pps):labels) = let (f,_) = fplus p in (f 'notElem' sofar) && smc' (f:sofar) labels
    smc' sofar (_:labels) = smc' sofar labels

-- the derivational step: binary merge and label
d :: [WS] -> WS
d wss = let ((sos,labels), others) = match wss in
    smc (t (mrg sos:tail sos) (ck labels) +++ others)
```

## A.2. Haskell: Derivation as transduction

```
module MgL where -- Multiset needed. E.g., start ghci with: stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List (partition)
import Mg

-- label so with deterministic bottom-up transduction, applying d at every internal node
ell :: SO -> WS
ell so = case so of { (L lex) -> ([L lex], [snd lex]) ; (S s) -> d (map ell (MultiSet.toList s)) }
```

### A.3. Haskell: Head movement

```

module MgH where -- Multiset needed. E.g., start ghci with: stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List (partition)
import Mg
import MgL

-- map strings [w,...] to number of head-incorporator +'s on w, else 0
inc :: [String] -> Int
inc s = case s of { (('+':s'):_) -> 1 + inc [s'] ; _ -> 0 }

-- where i = #heads needed by c-commanding selector, (h i so) = (heads, so')
h :: Int -> SO -> ([String], SO)
h 0 (L lex) = ([], L lex)
h 1 (L (w,fs)) = (w, L ([],fs))
h i (S s) =
  let ([nws],pws:pwss) = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in -- partition neg WS
  case (head.fst) nws of
    L (w,fs) ->
      if isCoord (L (w,fs))
      then
        let (hs,pso) = h i ((head.fst) pws) in
        let psos = atbh i hs pwss in
        (hs, S (MultiSet.fromList (L (w, fs) : pso : psos)))
      else
        let i' = inc w + max 0 (i-1) in
        let (hs,pso) = h i' ((head.fst) pws) in
        let psos = atbh i' hs pwss in case i of
          0 -> ([], S (MultiSet.fromList (L (hs ++ w, fs) : pso : psos)))
          1 -> (hs ++ w, S (MultiSet.fromList (L ([], fs) : pso : psos)))
          _ -> (w ++ hs, S (MultiSet.fromList (L ([], fs) : pso : psos)))
    nso ->
      if isCoord nso
      then
        let (hs,nso') = h i nso in
        let (hs,pso) = h i ((head.fst) pws) in
        let psos = atbh i hs pwss in case i of
          0 -> ([], S (MultiSet.fromList (nso' : pso : psos)))
          _ -> (hs, S (MultiSet.fromList (nso' : pso : psos)))
      else
        let (hs,nso') = h i nso in
        let psos = map (head.fst) (pws:pwss) in
        (hs, S (MultiSet.fromList (nso' : psos)))
  where
    isCoord :: SO -> Bool
    isCoord (S s) = let [(so:_,_),_] = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in isCoord so
    isCoord (L (w, (_:(Plus _):_,_))) = True -- catch coord case with plus
    isCoord _ = False

atbh :: Int -> [String] -> [WS] -> [SO] -- collect additional comps with same hs extracted
atbh _ _ [] = []
atbh i hs (pws:pwss) = let (hs', pso) = h i ((head.fst) pws) in
  if hs' == hs then pso:atbh i hs pwss else error "atbh error"

```

```

module MgO where -- Multiset needed. E.g., start ghci with:stack ghci multiset
import Data.MultiSet (MultiSet)
import qualified Data.MultiSet as MultiSet
import Data.List ( partition )
import Mg
import MgL
import MgH

o_svo :: SO -> SO
o_svo (O t) = O t -- NB! recurse only as deeply as necessary
o_svo (L (w,l)) = O (Pl (w,l))
o_svo so = let (nso, pso, _, posfs, pwss) = o so in
  let psos = map (head.fst) pwss in
  let ts = map (\x -> case o_svo x of (O t) -> t) psos in
  case (o_svo nso, o_svo pso, posfs) of
    (O (Pl i), O t, _:_:_ ) -> O (Ps [Pl i, silent t])
    (O (Pl i), O t, _ ) -> O (Ps (Pl i:t:ts))
    (O t, O t', _:_:_ ) -> O (Ps [silent t', t])
    (O t, O t', _ ) -> O (Ps (t':(ts ++ [t])))

o_sov :: SO -> SO
o_sov (O t) = O t -- NB! recurse only as deeply as necessary
o_sov (L (w,l)) = O (Pl (w,l))
o_sov so = let (nso, pso, _, posfs, pwss) = o so in
  let psos = map (head.fst) pwss in
  let ts = map (\x -> case o_svo x of (O t) -> t) psos in
  case (o_sov nso, o_sov pso, posfs) of
    (O t, O t', _:_:_ ) -> O (Ps [silent t', t])
    (O t, O t', _ ) -> O (Ps ((t':ts)++[t]))

-- map SO to what ordering usually depends on: (head SO, comp SO, pos head features , pos comp features , addtl pos Ws)
o :: SO -> (SO,SO,[Ft],[Ft],[WS])
o (S s) = let ([nws],pws:pwss) = partition ((/= []).fst.head.snd) (map ell (MultiSet.toList s)) in -- partition neg WS
-- NB: to get pos features of IM complement, we need to find them in (ell nws); otherwise, they're in pws
  let (so:sos,(f:_,_):labels) = nws in case ppartition (\x y -> ((=f).head.snd) y) (sos, labels) of -- partition matches
    ([so'],[label'], _) -> ((head.fst) nws, (head.fst) pws, (snd.head.snd) nws, snd label', pwss)
  _ -> ((head.fst) nws, (head.fst) pws, (snd.head.snd) nws, (snd.head.snd) pws, pwss)

silent :: PhTree -> PhTree
silent (Pl (ws,label)) = Pl (map (\w -> if head w == '(' && last w == ')'
  then w
  else "(" ++ w ++ ")") ws, label)

silent (Ps phs) = Ps (map silent phs)

```

Given the derivations and interfaces defined in A.1-A.4, the examples, compositions and parsers are conceptually easy, but sometimes technically more involved, so they are not listed here. See <https://github.com/epstabler/mgt> for this code.

## B A Python implementation

Type hints are added to allow each python file to be checked with [mypy](#).

### B.1. Python setup

Unlike Haskell, Python cannot have lexical items in a set if those items are built with lists of features. (Python lists are not hashable.) So lexical items are (SO, label) pairs, where label is also a pair (posFeatures, negFeatures), where both positive and negative features are given in tuples.

Python tuples are written with parentheses. Since parentheses are also used for grouping, an extra comma must be added to length 1 tuples for disambiguation. The empty sequence is ().

The file mgTests.py has functions to print our data structures in more readable form. So, for example, here is the tuple-based representation of the grammar from §1.1.2 of the paper, and the ‘pretty-printed’ version of that grammar:

```
> python
>>> from mgTests import *

>>> for i in g112: print(i)

((), (('V',), ('C',)))
((), (('V', 'Wh'), ('C',)))
(('Jo',), ((), ('D',)))
(('the',), (('N',), ('D',)))
(('which',), (('N',), ('D', 'Wh')))
(('who',), ((), ('D', 'Wh')))
(('cat',), ((), ('N',)))
(('dog',), ((), ('N',)))
(('food',), ((), ('N',)))
(('likes',), (('D', 'D'), ('V',)))
(('knows',), (('C', 'D'), ('V',)))

>>> ppMg(g112)

(, V -o C)
(, V.Wh -o C)
(Jo, D)
(the, N -o D)
(which, N -o D.Wh)
(who, D.Wh)
(cat, N)
(dog, N)
(food, N)
(likes, D.D -o V)
(knows, C.D -o V)

>>>
```

Python also does not allow multisets of multisets, so an alternative implementation of multisets is provided here, based on frozendicts. The frozendict module can be installed with ‘pip install frozendict’. That module is used in the definition of the class of SO objects.

The basic types of objects – LI, SO, Label, WS – are all defined in mgTypes.py. That file is not listed here, since it is more complex than the corresponding type definitions and functions in Haskell, which were listed in full in Appendix A. But once appropriate Python object classes are defined, the python definitions of MG functions are similar to the Haskell...



## B.2. Python: Derivations with unbounded merge

```
""" https://github.com/epstabler/mgt/tree/main/python/mg.py """
from mgTypes import * # defines classes of objects: LI, SO, Label, WS
from typing import Tuple # for type-checking with mypy

def mrg(seq: list) -> SO:
    """ merge: form multiset from sequence of SOs """
    return SO(seq)

def ck(labels: list) -> list:
    """ remove first features from labels """
    return [f.ck() for f in labels [0:2]] + [Label ((0,0)) for f in labels [2:]]

def t(ws: WS) -> WS:
    """ remove SOs with no features in their label """
    return ws.pfilter(lambda x: not(x[1].is_empty()))

def fplus( feature ) -> Tuple[str,bool]:
    """ parse feature """
    if feature [-1] == '+': return (feature[:-1], True)
    else: return (feature, False)

def match(wss:list) -> Tuple[WS,WS]:
    """ partition elements of elements of WSs into (matchingWS, non-matchingWS) """
    (negwss, poswss) = partition (lambda x: x.is_neg(), wss) ## partition neg WSs (def in mgTypes.py)
    if len(negwss) != 1: raise RuntimeError("too many neg workspaces")
    so0, sos0, label0, labels0 = negwss[0]._sos [0], negwss[0]._sos [1:], negwss[0]._labels [0], negwss[0]._labels [1:]
    (f, plus) = fplus (label0._neg[0])
    (IMatches, IMothers) = WS(sos0,labels0).ppartition (lambda x: x[1]._pos[0] == f) # partition matches
    if IMatches._sos:
        so1, label1 = IMatches._sos[0], IMatches._labels[0]
        if len(poswss) != 1 or str(poswss[0]._sos[0]) != str(so1): raise RuntimeError("move-over-merge error")
        return (WS([so0,so1], [label0, label1]), IMothers)
    else:
        pws, pwss = poswss[0], poswss[1:]
        (EMatches, EMothers) = pws.ppartition (lambda x: x[1]._pos[0] == f) # partition matches
        if EMatches._sos:
            so1, label1 = EMatches._sos[0], EMatches._labels[0]
            if plus and str(IMothers) == str(EMothers): # str for frozen dict comparison issues
                moreComps = atb(label1, EMothers, pwss)
                return (WS([so0,so1], [label0, label1]).pappend(moreComps), IMothers)
            else:
                if pwss != []: raise RuntimeError("match: too many em pos workspaces")
                return (WS([so0,so1], [label0, label1]), IMothers.pappend(EMothers))
        else: raise RuntimeError("match: no matching pos workspaces")

def atb(label, movers, wss) -> WS:
    additionalComplementWS = WS([],[])
    for ws in wss:
        (matches, others) = ws.ppartition (lambda x: str(x[1]) == str(label)) # str for comparison issues
        if len(matches._sos) == 1: # and others == movers:
            additionalComplementWS = additionalComplementWS.pappend(matches)
        else:
            raise RuntimeError("atb: non-matching pos workspaces")
    return additionalComplementWS

def smc(ws: WS) -> WS:
    """ if WS has no 2 pos labels with same 1st feature, return WS """
    sofar = []
    for label in ws._labels:
        if label.is_pos():
            if label._pos[0] in sofar: raise RuntimeError('smc violation blocked')
            else: sofar.append(label._pos[0])
    return ws

def d(wss:list) -> WS:
    """ the derivational step: given list of workspaces, return derived workspace """
    (matches, others) = match(wss)
    return smc( t( WS( [mrg(matches._sos)] + matches._sos [1:], ck(matches._labels) ) ).pappend(others) )
```

### B.3. Python: Derivation as transduction

```
from mg import * # this imports frozendict , mgTypes, mg

def ell(so):
    """ Map so to its derived workspace, if any. """
    if isinstance(so,LI) or isinstance(so,O):
        return so.to_ws()
    else:
        (negwss, poswss) = partition (lambda x: x.is_neg(), map(ell,so.to_tuple ())) ## partition neg WSs (def in mgTypes.py)
        return d( negwss + poswss )
```

### B.4. Interfaces in Python: Head movement

```
from mgL import * # this imports frozendict , mgTypes, mg, mgL

def inc(h) -> int:
    """ return the number of head-incorporator '+' at the beginning of h """
    if not(h) or not(isinstance(h[0],str)):
        raise RuntimeError("inc: expected tuple of strings ")
    count = 0
    for i in range(len(h[0])):
        if h[0][i] == '+': count += 1
        else: break
    return count

def h(i, so):
    """ head movement, where i is the number of head-incorporators on governing head """
    if isinstance(so,LI):
        if i == 0: return ((), so)
        elif i == 1: return (so._ph, LI((), so._label.pair ()))
        else: RuntimeError("h: incorporator requirements not met")
    else:
        (negwss, poswss) = partition (lambda x: x.is_neg(), map(ell,so.to_tuple ())) ## partition neg WSs (def in mgTypes.py)
        so0 = negwss[0]._sos[0]
        pso0 = poswss[0]._sos[0]
        if isinstance(so0,LI):
            i0 = inc(so0._ph) + max([0,i-1])
            (hs,pso) = h(i0, pso0)
            psos = atbh(i0, hs, poswss[1:])
            if i == 0: return ((), SO([LI(hs + so0._ph, so0._label.pair ()), pso] + psos))
            elif i == 1: return (hs + so0._ph, SO([LI((), so0._label.pair ()), pso] + psos))
            else: return (so0._ph + hs, SO([LI((), so0._label.pair ()), pso] + psos))
        else:
            (hs,so1) = h(i, so0)
            psos = [ws._sos[0] for ws in poswss]
            return (hs, SO([so1] + psos))

def atbh(i, hs, wss) -> list:
    """ collect additional comps with hs extracted , across-the-board """
    if wss == []: return []
    else:
        (hs0, pso) = h(i, wss[0]._sos[0])
        if hs0 != hs: raise RuntimeError("atbh: non-identical head")
        else: return [pso] + atbh(i, hs, wss[1:])
```

## B.5. Interfaces in Python: Linearization

```

from mgH import * # this imports frozendict, mgTypes, mg, mgL, mgH

def o_svo(so) -> O:
    """ map so to ordered svo tuple """
    if isinstance(so, LI):
        return O((so,))
    else:
        (nso, pso, _, posfs, pwss) = o(so)
        nt, pt = o_svo(nso), o_svo(pso)
        psos = [ws._sos[0] for ws in pwss]
        pts = tuple(map(o_svo, psos))
        if len(posfs) > 1:
            comps = silent((pt,) + pts)
        else:
            comps = (pt,) + pts
        if isinstance(nso, LI):
            return O((nt,) + comps)
        else:
            return O(comps + (nt,))

def o_sov(so) -> O:
    """ map so to ordered sov tuple """
    if isinstance(so, LI):
        return O((so,))
    else:
        (nso, pso, _, posfs, pwss) = o(so)
        nt, pt = o_sov(nso), o_sov(pso)
        psos = [ws._sos[0] for ws in pwss]
        pts = tuple(map(o_sov, psos))
        if len(posfs) > 1:
            comps = silent((pt,) + pts)
        else:
            comps = (pt,) + pts
        return O(comps + (nt,))

def o(so) -> tuple:
    """ maps so to (head, comp, head_pos_features, comp_pos_features, otherPosWSs) """
    # NB: to get pos features of IM complement, we need to find them in ell(nws); otherwise, they're in pws
    if not(isinstance(so._so, frozendict.frozendict)): raise TypeError("o: type error")
    (negwss, poswss) = partition(lambda x: x.is_neg(), map(ell, so.to_tuple())) ## partition neg WSs (def in mgTypes.py)
    so0, sos0, label0, labels0 = negwss[0]._sos[0], negwss[0]._sos[1:], negwss[0]._labels[0], negwss[0]._labels[1:]
    (f, plus) = fplus(label0._neg[0])
    (IMmatches, IMothers) = WS(sos0, labels0).ppartition(lambda x: x[1]._pos[0] == f) # partition matches
    if IMmatches._sos:
        so1, label1 = IMmatches._sos[0], IMmatches._labels[0]
        return (so0, so1, label0._pos, label1._pos, poswss[1:])
    else:
        so1, label1 = poswss[0]._sos[0], poswss[0]._labels[0]
        return (so0, so1, label0._pos, label1._pos, poswss[1:])

def silent(x):
    if isinstance(x, tuple):
        return tuple([silent(o) for o in x])
    elif isinstance(x, O):
        if len(x._tuple) == 1: # lexical item
            ph, fs = x._tuple[0]._ph, x._tuple[0]._label.pair()
            newph = tuple(['(' + w + ')'] for w in ph)
            return O((LI(newph, fs),))
        else:
            return O(tuple([silent(o) for o in x._tuple]))

```

## B.6. Haskell: Compositions, examples, and parsing

Given the derivations and interfaces defined in B.1-B.5, the examples, compositions and parsers are conceptually easy, but sometimes technically more involved, so they are not listed here. See <https://github.com/epstabler/mgt> for this code.