

502 ASSIGNMENTS SPRING 2017

Assignment I

1. Let \mathcal{V} be a vector space over the field $\mathbb{F} = \mathbb{R}$ or \mathbb{C} . A *seminorm* on \mathcal{V} is a map $\rho : \mathcal{V} \rightarrow \mathbb{R}$ satisfying

- (i) $\rho(v) \geq 0$ for all $v \in \mathcal{V}$
- (ii) $\rho(\alpha v) = |\alpha| \rho(v)$ for all $\alpha \in \mathbb{F}$ and $v \in \mathcal{V}$.
- (iii) $\rho(v + w) \leq \rho(v) + \rho(w)$ for all $v, w \in \mathcal{V}$.

Let φ be a continuous function $[0, 1] \rightarrow \mathbb{R}$.

For $f \in C[0, 1]$ define $\rho(f) = \int_0^1 |f(x)| \varphi(x) dx$. What conditions must φ satisfy so that ρ is a seminorm? What conditions must φ satisfy so that ρ is a norm?

2. Let $C^1[0, 1]$ denote the vector space of functions defined on the interval $[0, 1]$ which have continuous derivatives. (The derivative at an endpoint is the one-sided derivative.) For $f \in C^1[0, 1]$, let $\rho(f) = \max_{0 \leq x \leq 1} |f'(x)|$. Is ρ a norm? Is it a seminorm?

3. Determine which of the following formulas define a metric.

- (i) On \mathbb{R} , $d(x, y) = \sqrt{|x - y|}$
- (ii) On \mathbb{R} , $d(x, y) = (x - y)^2$
- (iii) On $\mathbb{R}^+ = \{x \in \mathbb{R} : x > 0\}$, $d(x, y) = |\log(y/x)|$

4. Do exercises 1, 2, and 4 in section 2.1, p. 27

due 1/18

Assignment II

1. In $(\mathbb{Q}, |\cdot|)$, let $a \in \mathbb{Q}$, describe

- (i) $\partial B_r(a)$ if $r \in \mathbb{Q}$, $r > 0$.
- (ii) $\partial B_r(a)$ if $r \in \mathbb{R} \setminus \mathbb{Q}$, $r > 0$.

2. Do problems 1, 2, 4, and 5 in Sec. 2.2, p. 34.

3. Do problems 1 and 3 in Sec. 2.3, p. 40.

due 1/25

Assignment III

1. Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be defined by

$$f(x) = \begin{cases} x, & \text{if } x \text{ is rational} \\ x^2, & \text{if } x \text{ is irrational} \end{cases}$$

Using the definition of continuity (i.e., involving convergent sequences), verify that f is continuous at $x = 0$ and at $x = 1$.

Show that for $0 < \epsilon < 1$, $f^{-1}(B_\epsilon(1))$ is a neighborhood of 1, but it is not an open neighborhood.

Recall the notation: $B_\epsilon(1) = (1 - \epsilon, 1 + \epsilon)$.

2. Let (X, d) be a metric space.

- (i) If $\{x_n\}_{n=1}^\infty$ is a convergent sequence with $\lim_{n \rightarrow \infty} x_n = x_0$, then the sequence $x_1, x_0, x_2, x_0, x_3, x_0, \dots$ is Cauchy.
- (ii) If $\{x_n\}_{n=1}^\infty$ is a sequence, and the sequence $x_1, x_0, x_2, x_0, x_3, x_0, \dots$ is Cauchy, then $\lim_{n \rightarrow \infty} x_n = x_0$.
- (iii) If $\{x_n\}_{n=1}^\infty$ is a Cauchy sequence, and $x_0 \in X$ is such that $x_n = x_0$ for infinitely many $n \in \mathbb{N}$, then $\lim_{n \rightarrow \infty} x_n = x_0$.

3. Let d, d' be two metrics on a (non-empty) set X . The metrics d, d' are *equivalent* if they satisfy the condition of Definition 2.3.12 in our text. We say the metrics d, d' are *Cauchy equivalent* if whenever a sequence $\{x_n\}$ is Cauchy in one of the metrics, it is Cauchy in the other. We say that the metrics d, d' are *strongly equivalent* if there exist positive constants m, M such that, for $x, y \in X$,

$$m d(x, y) \leq d'(x, y) \leq M d(x, y)$$

- (i) Show that each of these definitions gives an equivalence relation on the set of all metrics on the space X .
- (ii) Show that d, d' strongly equivalent implies d, d' Cauchy equivalent.
- (iii) Show that d, d' Cauchy equivalent implies d, d' equivalent.
- (iv) Show that on $X = \mathbb{R}_+$, the positive reals, the metrics $d(x, y) = |y - x|$ and $d'(x, y) = |\log(y/x)|$ are equivalent metrics, but not Cauchy equivalent.
- (v) On $X = \mathbb{R}$, let $d(x, y) = |y - x|$ and $d'(x, y) = \sqrt{|y - x|}$. Show that the two metrics are Cauchy equivalent but not strongly equivalent.

Recall, an *equivalence relation* \sim on a set S is a relation which satisfies

- (1) $s \sim s \ \forall s \in S$
- (2) If $s \sim t$ then $t \sim s$

- (3) If $s \sim t$ and $t \sim u$ then $s \sim u$

Apply this definition to the set S of all metrics on a space X .

4. Do problem 6 in Sec. 2.3, p. 40.

5. Do problems 2 and 4 in Sec. 2.4, p. 51.

Hint: For problem 2, show that $d(x_{n+1}, x_n) \leq \theta^{n-1}d(x_2, x_1)$. Use this to estimate $d(x_m, x_n)$.

due 2/1

Assignment IV

1. The space $X = C_b(\mathbb{R}, \mathbb{R})$ is equipped with the metric D given by

$$D(f, g) = \sup_{x \in \mathbb{R}} |f(x) - g(x)|$$

Which of the following subspaces Y is a complete metric space?

- (i) $Y = \{f \in X : \lim_{x \rightarrow \infty} f(x) = 0\}$
- (ii) $Y = \{f \in X : f \text{ is differentiable}\}$
- (iii) $Y = \{f \in X : \int_0^1 f(x) dx = 0\}$

2. Let $X = \mathbb{R}_+$, the set of positive real numbers, equipped with the metrics $d(x, y) = |y - x| + |1/y - 1/x|$ and $d'(x, y) = |\log(y/x)|$. Show that these metrics are Cauchy equivalent, and in fact \mathbb{R}_+ is complete in both metrics. Show furthermore that the metrics are not strongly equivalent.

3. Let (X, d_X) , (Y, d_Y) be metric space, and suppose (X, d_X) is complete. Further suppose that there is a continuous surjection $f : X \rightarrow Y$. Does it follow that (Y, d_Y) is complete? Either prove, or give a counterexample.

4. Consider the following sequence of functions $f_n : [0, 1] \rightarrow \mathbb{R}$, defined by

$$f_n(x) = \begin{cases} 0, & \text{if } x = 0, \\ 0, & \text{if } x \text{ is irrational,} \\ \frac{1}{q} & \text{if } x = \frac{p}{q}, \text{ with } q \leq n, \\ 0, & \text{if } x = \frac{p}{q}, \text{ with } q > n \end{cases}$$

where when we write $x = \frac{p}{q}$ we mean that the p, q have no common divisor greater than 1.

It is clear that the sequence $\{f_n\}$ converges *pointwise* to a function $f : [0, 1] \rightarrow \mathbb{R}$. Does the sequence $\{f_n\}$ converge uniformly to f , in other words, does $\|f_n - f\|_\infty \rightarrow 0$?

At what points is the function f continuous?

5. Do problems 5 and 7(all parts) in Sec. 2.4

due 2/8

Assignment V

1. Do problems 3, 4 and 6 in Sec. 2.5

2. Let $\{r_n\}_{n=1}^\infty$ be an enumeration of the rationals in the interval $[0, 1]$, and define $f : [0, 1] \rightarrow \mathbb{R}$ by

$$f(x) = \sum_{r_n < x} 2^{-n}$$

Show that f is discontinuous at every rational number in $[0, 1]$, and continuous at every irrational number in $[0, 1]$. In fact, show that f is left-continuous at every rational, and discontinuous from the right; that is, for $r \in (0, 1)$

$$\lim_{x \rightarrow r^-} f(x) = f(r) \quad \text{and} \quad \lim_{x \rightarrow r^+} f(x) < f(r)$$

Define $F : [0, 1] \rightarrow \mathbb{R}$, $F(x) = \int_0^x f(t) dt$. By the Fundamental Theorem of Calculus, F is differentiable at points x at which f is continuous, and at those points $F'(x) = f(x)$. But the FTC does not say anything if f is not continuous at x . So, it could happen that F is differentiable at x or not. Thus it follows from the FTC that F is differentiable at the irrational numbers in $(0, 1)$. Show that F is not differentiable at rational numbers in $(0, 1)$.

3. Let $a < b \in \mathbb{R}$, and let $\text{Lip}[a, b]$ denote the set of functions $f : [a, b] \rightarrow \mathbb{R}$, such that there is a positive constant M for which

$$|f(y) - f(x)| \leq M|y - x| \text{ for all } x, y \in [a, b].$$

M is said to be a Lipschitz constant for the function f , and f is said to be a Lipschitz function. Show that $\text{Lip}[a, b]$ is a subspace of $C[a, b]$.

Fix a positive constant M_0 . Let

$$A = \{f \in \text{Lip}[a, b] : M_0 \text{ is a Lipschitz constant for } f, \text{ and } |f(a)| \leq M_0\}$$

Show that A is compact in $C[a, b]$.

4. Let $C^1[0, 1]$ denote the set of continuous functions on the interval $[0, 1]$ which are differentiable, and such that the derivative is continuous. (Note: the derivative at the endpoints is the one-sided derivative.) Define a norm on $C^1[0, 1]$ by

$$\|f\| = \sup_{0 \leq x \leq 1} |f(x)| + \sup_{0 \leq x \leq 1} |f'(x)|$$

Show that $C^1[0, 1]$ is a complete metric space.

Hint: Let $\{f_n\}$ be a Cauchy sequence in $C^1[0, 1]$. Then both $\{f_n\}$ and $\{f'_n\}$ are Cauchy sequences in $C[a, b]$, which is complete, so they have limits, say, f, g respectively. Apply the Fundamental Theorem of Calculus to show that $f \in C^1[0, 1]$.

Let $B_1 = \{f \in C^1[0, 1] : \|f\| \leq 1\}$. Is B_1 compact $C^1[0, 1]$?

due 2/15

Assignment VI

1. A *partition* of $[0, 1]$ is a set of points $0 = x_0 < x_1 < \cdots < x_n = 1$. If $X = C([0, 1], \mathbb{R})$ with the usual supremum metric, and if $f \in X$, define the variation of f over the partition \mathcal{P} to be $V(f, \mathcal{P}) = \sum_{j=1}^n |f(x_j) - f(x_{j-1})|$. The variation of f (also called the total variation of f) is defined to be $V(f) = \sup_{\mathcal{P}} V(f, \mathcal{P})$, where the supremum is taken over all partitions of $[0, 1]$. Of course, the supremum could be $+\infty$. Consider the set

$$\{f \in X : f(0) = 1 \text{ and } V(f) \leq 1\}$$

Is this set complete in X ? Is it compact?

2. Let $X = C([0, 1], \mathbb{R})$ with the usual supremum metric, and $B_1[0] = \{f \in X : \|f\|_{\infty} \leq 1\}$. Define the Volterra integral operator on X by

$$Vf(x) = \int_0^x f(t) dt$$

Show that the closure of the set $V(B_1[0]) = \{Vf : f \in B_1[0]\}$ is compact in X .

3. Do problems 2, 4, and 6 in Sec. 3.1.

4. Let τ be the topology on the integers defined in problem 6. Is this topology Hausdorff?

5. Let (\mathbb{R}^2, τ) be the topological space of example 3.1.26.

(a) Is this topology Hausdorff?

(b) Let $(a, b) \in \mathbb{R}^2$. Is the one-point set $\{(a, b)\}$ closed? If not, what is its closure?

- (c) Let S be the square $S = \{(x, y) : 0 < x < 1, 0 < y < 1\}$. Describe ∂S .

due 2/22

Assignment VII

1. We define a topology on the natural numbers as follows: a set U is open if either $U = \emptyset$ or else

$$\liminf_n \frac{\text{card}(U \cap \{1, 2, \dots, n\})}{n} = 1$$

Verify that this defines a topology on \mathbb{N} . Is this topology Hausdorff?

2. On the set \mathbb{R} of real numbers, let τ be the topology in which the open sets are complements of countable sets, together with the empty set. Let $|\cdot|$ refer to the metric topology on \mathbb{R} defined by the absolute value metric.

- (a) Let $f : (\mathbb{R}, \tau) \rightarrow (\mathbb{R}, \tau)$, $f(x) = x^2$. Is f continuous?
- (b) Let $f : (\mathbb{R}, \tau) \rightarrow (\mathbb{R}, |\cdot|)$, $f(x) = x^2$. Is f continuous?
- (c) Let $f : (\mathbb{R}, |\cdot|) \rightarrow (\mathbb{R}, \tau)$, $f(x) = x^2$. Is f continuous?

3. Do problem 4 in Sec. 1.3 on page 20.

4. Do problems 4, 5 and 11 in Sec. 3.2, page 78.

5. Put a topology τ on \mathbb{R} as follows: a base \mathcal{B} for the topology τ consists of

$$\mathcal{B} = \{U_{a,b} = [a, b), a < b \in \mathbb{R}\}$$

- (a) Show that this topology is finer than the usual topology on \mathbb{R} .
- (b) Is this topology first countable?
- (c) Is this topology second countable?
- (d) Is this topology separable?

6. Let τ' be a topology on \mathbb{R} as follows: a base \mathcal{B}' for this topology consists of

$$\mathcal{B}' = \{U_{a,b} = [a, b), a < b, \text{ and } a \in \mathbb{Q}, b \in \mathbb{R}\}$$

Answer the same questions as in problem 5 for the topology τ' .

due 3/1

Assignment VIII

1. Do problems 2, 4, 7 and 8 in Sec. 3.3, page 88.

2. Let τ be the topology on \mathbb{R} which was defined in problem 6 of Assignment VII. Show that this topology is finer than the usual metric topology on \mathbb{R} . Show that the topology is second countable. Is $[0, 1]$ compact in this topology? Is \mathbb{R} locally compact in this topology?

3. Let $\{r_n\}$ be an enumeration of the rational numbers, and consider the functions

$$f(x) = \sum_{r_n < x} 2^{-n} \text{ and } g(x) = \sum_{r_n \leq x} 2^{-n}$$

Let τ be the topology on \mathbb{R} defined in problem 6 of Assignment VII. Let $(\mathbb{R}, |\cdot|)$ denote the reals with the usual topology. Viewing the functions f, g as functions from $(\mathbb{R}, \tau) \rightarrow (\mathbb{R}, |\cdot|)$, one of these functions is continuous, the other is not. Explain.

due 3/8

Assignment IX

1. Do problems 2, 4, 6, and 8 in Sec. 3.4, p. 99.

2. Let \mathcal{R} be a commutative ring with identity, which is an integral domain. Let X be the space of prime ideals of \mathcal{R} , equipped with the Zariski topology. Show that X is connected.

3. Let X be the space of all strictly increasing functions $f : [0, 1] \rightarrow [0, 1]$ such that $f(0) = 0$, $f(1) = 1$. Put the supremum metric on X , i.e., $d(f, g) = \sup_{0 \leq x \leq 1} |f(x) - g(x)|$.

- (a) Is X compact?
- (b) Is X locally compact?
- (c) Is X separable; either find a countable dense subset, or show it is not separable.
- (d) Is X connected? Is it path connected?

4. Do problems 1, 3 and 8 in Sec. 3.5, p. 106.

due 3/29

Assignment X

Recall the definition of a regular topological space: (X, τ) is regular if it is T_1 and if given any point $x_0 \in X$, and any closed set $F \subset X$ such that $x_0 \notin F$, there are open sets U, V in X such that $x_0 \in U$, $F \subset V$, and $U \cap V = \emptyset$.

Note: This definition is only mentioned in the chapter notes at the end of chapter 4.

1. Prove that if (X, τ) is a regular space, and if $Y \subset X$ is a subspace, then Y is regular.

2. Let g, h be continuous functions, $[0, 1] \rightarrow \mathbb{R}$ such that $g(0) = h(0)$. Prove that there is a continuous function $f : [0, 1] \times [0, 1] \rightarrow \mathbb{R}$ with “boundary values” g and h . That is,

$$f(x, 0) = g(x), \quad x \in [0, 1], \quad \text{and} \quad f(0, y) = h(y), \quad y \in [0, 1].$$

Indeed, give *two* proofs of this fact: one which uses Theorem 4.1.13 (Tietze’s extension theorem), and a second, constructive proof (which does not use any theorem in this chapter).

3. Do problems 2, 3, 4 and 7 in Sec. 4.1, pages 115 - 116.

4. Prove that if (X, τ_X) and (Y, τ_Y) are regular spaces, and $Z = X \times Y$ (with the product topology), then Z is regular.

due 4/5

Assignment XI

1. Do problems 2, 3 and 4 in Sec. 4.2, pp. 120 - 121.

2.(a) Let τ be the topology on \mathbb{R} defined by the base $\mathcal{B} = \{[a, b) : a, b \in \mathbb{R}\}$. Is (\mathbb{R}, τ) metrizable?

(b) Let τ' be the topology on \mathbb{R} defined by the base $\mathcal{B}' = \{[a, b) : a, b \in \mathbb{Q}\}$. Is (\mathbb{R}, τ') metrizable?

3. Let (X, τ) be a locally compact Hausdorff topological space which is not compact. Since any locally compact space is completely regular, there are two compactifications available: the one-point compactification X_∞ and the Stone-Ćech compactification, βX .

Let $\kappa : X \rightarrow X_\infty$ be the map $\kappa(x) = x$, where X is viewed as a subspace of X_∞ . If $\iota : X \rightarrow \beta X$ is the embedding of Theorem 4.2.4, then the theorem states there is a unique continuous map $\hat{\kappa} : \beta X \rightarrow X_\infty$ such that $\hat{\kappa} \circ \iota = \kappa$. Let ω be a point of $\beta X \setminus \iota(X)$. What is $\hat{\kappa}(\omega)$?

due 4/12

Assignment XII

1. Let $f : [0, 1] \rightarrow \mathbb{R}$, $f(x) = 2x + 1$. Let \mathcal{A} be the algebra over \mathbb{R} generated by f . Thus, a typical element of \mathcal{A} is a function $g = a_1f + a_2f^2 + \cdots + a_nf^n$ for $a_j \in \mathbb{R}$, $1 \leq j \leq n$, and $n \in \mathbb{N}$. Is \mathcal{A} dense in $C([0, 1], \mathbb{R})$?

2. Let $f : [0, \infty) \rightarrow \mathbb{R}$, $f(x) = e^{-x}$. Is the algebra generated by f dense in $C_0([0, \infty), \mathbb{R})$?

3. Let $S \subset \mathbb{R}^2$, with topology induced from the metric topology of \mathbb{R}^2 ,

$$S = \{(x, y) : 1 \leq x \leq 2, 1 \leq y \leq 2\}$$

Let $f : S \rightarrow \mathbb{R}$, $f(x, y) = 1/x$, and $g : S \rightarrow \mathbb{R}$, $g(x, y) = 1/y$. Let \mathcal{A} be the algebra of functions generated by f and g . Is \mathcal{A} dense in $C(S, \mathbb{R})$?

4. The unit circle \mathbb{T} can be expressed as the set of complex numbers z such that $|z| = 1$. Let \mathcal{A} be the algebra of functions $f : \mathbb{T} \rightarrow \mathbb{C}$ such that $f(z) = \sum_{j=1}^n a_j z^j$, $a_j \in \mathbb{C}$, $1 \leq j \leq n$, $n \in \mathbb{N}$. Is \mathcal{A} dense in $C(\mathbb{T}, \mathbb{C})$?

6. Do problems 2, 5, 6 and 8 in Sec. 4.3, p. 129.

due 4/21