# Threshold Corrections to Dimension-six Proton Decay Operators in Non-minimal SUSY SU(5) GUTs

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#### Abstract

We calculate the high and low scale threshold corrections to the D = 6 proton decay mode in supersymmetric SU(5) grand unified theories with higher-dimensional representation Higgs multiplets. In particular, we focus on a missing-partner model in which the grand unified group is spontaneously broken by the **75**-dimensional Higgs multiplet and the doublet-triplet splitting problem is solved. We find that in the missing-partner model the D = 6 proton decay rate gets suppressed by about 60%, mainly due to the threshold effect at the GUT scale, while the SUSY-scale threshold corrections are found to be less prominent when sfermions are heavy.

### 1 Introduction

Grand unified theories (GUTs) are attractive candidates for physics beyond the standard model (SM). The unification of the SM gauge groups  $SU(3)_C \times SU(2)_L \times U(1)_Y$  provides a unified description both of gauge interactions and of matter fields. Besides, supersymmetry (SUSY) indicates the precise unification of the SM gauge couplings at the energy scale ~ 10<sup>16</sup> GeV [1–4] and provides a candidate for dark matter. The discovery of a scalar boson with the mass of 126 GeV [5–7] is consistent with the expectations for the SM Higgs boson. In spite of efforts to find deviations from the SM predictions and/or direct detection of the SUSY particles at the LHC run-2 experiments, no such signal has been found so far, see for instance ATLAS and CMS collaborations reports in Refs. [8–13].

Indirect measurements of rare decays or rare processes are useful to constrain new physics. In particular, SUSY GUTs generically predict nucleon decays by exchanging an additional gauge boson called the X boson (D = 6 decay) or a color-triplet Higgs multiplet (D = 5 decay). In this paper we will assume that the *R*-parity violating (D = 4 decay) mode is absent or at least negligible.

Regarding the D = 5 decay mode it is well-known that the minimal renormalizable SUSY SU(5) GUT in the low-scale SUSY scenario (spartners typically around 1 TeV) has been excluded by predicting a too short lifetime [14,15]. Several ways to relax this severe constraint have been considered. For example, imposing the Peccei-Quinn (PQ) symmetry [16], one can suppress the baryon-number violating terms in the superpotential [17,18]. Similarly, in the high-scale SUSY [19] or split SUSY scenario [20–22], large sfermion masses reduce the Wilson coefficients of the four-Fermi operators responsible for nucleon decay via the color-triplet Higgs multiplet [23,24]. Another possible way to avoid a too fast proton decay rate is to use higher dimensional operators to increase the GUT and triplet scales [25–28] and/or to suppress the color triplet Yukawa couplings without affecting the fermion masses [28,29], or to assume some very specific flavor structure [27]. Last but not least, models originating from higher spacetime dimensions can make use of continuum or discrete symmetries to completely or partially suppress the D = 5 mode [30–33]. The bottom line is that the D = 5 decay mode is potentially dangerous but very model dependent.

An opposite situation is with the D = 6 mode, which is on one side typically slower than the D = 5 one, but on the other side more predictive, less model dependent. In this paper we will consider in detail this mode. The results will thus be particularly interesting for models in which for some reason the D = 5 mode is negligible and the D = 6 one dominates.

The main proton decay mode via the D = 6 gauge interaction is into a neutral pion and a positron. For this decay mode various next-to-leading order corrections have been considered: the two-loop renormalization-group equations (RGEs) for the Wilson coefficients in MSSM [34] and SM [35], below the electroweak (EW) scale [36], and the one-loop threshold corrections at the GUT scale in the minimal SUSY SU(5) model [37]. However, such corrections are not available for extended SUSY GUT models, which are motivated by the solution to the doublet-triplet splitting problem. These models typically employ large Higgs representations, so threshold corrections are expected to be particularly important. Since the anomalous dimensions include only gauge couplings at the next-to-leading order, we will focus in the following only on the threshold corrections by gauge interactions.

In this paper we will estimate the threshold effect in SUSY SU(5) GUT models with negligible proton decay via the color-triplet Higgs exchange. As mentioned above, this can be easily obtained for example by imposing a global symmetry such as PQ symmetry. On top of that a light (below the GUT scale) color triplet is typically needed for exact unification [15]. A complete model with both ingredients is the missing-partner model [38,39]: it naturally solves the doublet-triplet splitting problem of the SUSY SU(5) GUT since the 5 (5) Higgs multiplet only couples to the  $\overline{50}$  (50) multiplet which however does not contain the doublet partner of 5 (5). Since the adjoint 24 cannot couple 5 with  $\overline{50}$  (it does couple 5 with  $\overline{45}$  [40] though), a higher dimensional adjoint 75 is needed. Then this Higgs multiplet spontaneously breaks the unified gauge symmetry into the SM gauge groups. As a result, there are a large number of fields contributing to the vacuum polarization of the X-boson supermultiplet and hence the threshold correction at the GUT scale may affect a precise predictions of proton lifetime. A similar analysis was carried out for the D = 5 decay mode in the context of SUSY SO(10) GUTs in [41], where it was concluded that the huge number of fields involved sensibly affects the wave function renormalization factor.

The current status of the nucleon decay experiments is as follows: the partial lifetime limit on  $p \to \pi^0 e^+$  is  $\tau(p \to \pi^0 e^+) > 1.67 \times 10^{34}$  years, and the bound on the partial lifetime for  $p \to K^+ \overline{\nu}$  is  $\tau(p \to K^+ \overline{\nu}) > 6.6 \times 10^{33}$  years [42, 43]. It is expected that a future experiment, the Hyper-Kamiokande, may achieve a sensitivity of 5-10 times the present bound.

This paper is organized as follows: in Section 2, we briefly introduce both the minimal and the missing-partner set-up of the renormalizable SUSY SU(5) GUT. In Section 3, we show the one-loop threshold corrections to the baryon-number violating dimensionsix operators at the GUT scale. We estimate them at the GUT scale in the minimal SUSY SU(5) and the missing-partner SU(5) models, and then compare the numerical results for threshold effects in each models in Section 4. Finally, we summarize this paper in Section 5. For completeness, in Appendix A, we derive threshold corrections at the SUSY scale and compute their numerical values. In Appendix B, we list the threshold contributions from the irreducible representations with the Dynkin index below that of the **75**-dimensional multiplet. In Appendix C, we give the interaction terms including the GUT-breaking vacuum expectation values (VEVs) in the cases of the adjoint Higgs multiplet and the **75**-dimensional Higgs multiplet.

### 2 Models

To begin with, we briefly review the SUSY SU(5) GUTs. The matter supermultiplets are completely embedded in the  $\overline{5} + 10$  representation for each generation:

$$\Phi_{iA}(\mathbf{\bar{5}}) = \begin{pmatrix} D_{i\alpha}^C \\ \epsilon_{rs}L_i^s \end{pmatrix}, \qquad \Psi_i^{[AB]}(\mathbf{10}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \epsilon^{\alpha\beta\gamma}U_{i\gamma}^C & Q_i^{r\alpha} \\ -Q_i^{s\beta} & \epsilon^{sr}E_i^C \end{pmatrix}, \tag{1}$$

where  $A, B, \dots = 1, 2, \dots, 5$  are the SU(5) indices,  $\alpha, \beta, \dots = 1, 2, 3$  and  $r, s, \dots = 1, 2$ are the  $SU(3)_C$  and  $SU(2)_L$  indices, respectively. i = 1, 2, 3 denotes the generation. The component superfields describe the chiral superfields of the minimal supersymmetric standard model (MSSM);  $D^C, U^C$  and  $E^C$  are the right-handed charge conjugated downtype, up-type, and charged lepton superfields, while Q and L denote the left-handed quark and lepton doublet superfields, respectively. A square bracket [...] represents antisymmetric indices.

Differences among SUSY SU(5) GUTs appear in the Higgs sector. We describe the Higgs sector and the mass spectrum in the minimal and missing-partner models in the following two subsections.

#### **2.1** Higgs Sector in the Minimal SU(5)

In the Higgs sector, two types of Higgs multiplets are required. One is the Higgs multiplet including the MSSM Higgs multiplets which are needed for the electroweak symmetry breaking. The MSSM Higgs multiplets are embedded in the minimal SU(5) into the  $\mathbf{5} + \mathbf{\overline{5}}$ (denoted by H and  $\overline{H}$ ) and are so accompanied with the color-triplet Higgs multiplets. The other, additional, Higgs multiplet spontaneously breaks the GUT gauge group. In the minimal SUSY SU(5) GUT, this role is played by the adjoint **24**-dimensional Higgs multiplet (denoted by  $(\Sigma_{24})_B^A$ ), whose fields are either eaten by the heavy X gauge boson (the would-be Nambu-Goldstone fields) or are typically heavy.

The superpotential in the Higgs sector depends on the particle content. As we said above, in the minimal setup of the SUSY SU(5), the Higgs sector is only composed of the  $5 + \overline{5}$  Higgs multiplets and the adjoint Higgs multiplet. The superpotential for the Higgs sector in the minimal renormalizable SUSY SU(5) is

$$W_{\text{Minimal}} = \frac{f}{3} \text{Tr}(\Sigma_{24})^3 + \frac{m_{24}}{2} \text{Tr}(\Sigma_{24})^2 + \lambda \overline{H}_A((\Sigma_{24})^A_B + 3v_{24}\delta^A_B) H^B.$$
(2)

Here,  $v_{24} = m_{24}/f$  denotes the VEV of the adjoint Higgs multiplet. In the last term, we fine-tuned the parameters between  $\overline{H}\Sigma_{24}H$  and  $\overline{H}H$  so to get the MSSM Higgs doublets massless after symmetry breaking.

In the minimal SUSY SU(5) the adjoint Higgs multiplet  $(\Sigma_{24})^A_B$   $(A, B = 1, \dots, 5)$  obtains a GUT-breaking VEV. The Kähler potential for the adjoint Higgs multiplet is given by

$$\mathcal{K}_{24} = (\Sigma_{24}^{\dagger})^A_B (e^{2g_5 V})^B_C (e^{-2g_5 V})^D_A (\Sigma_{24})^C_D, \tag{3}$$

where  $g_5$  and V denote the gauge coupling and the vector superfield in SU(5). Parametrizing the adjoint Higgs VEV as

$$\left\langle (\Sigma_{24})_s^r \right\rangle = -3v_{\mathbf{24}}\delta_s^r, \qquad \left\langle (\Sigma_{24})_\beta^\alpha \right\rangle = 2v_{\mathbf{24}}\delta_\beta^\alpha, \tag{4}$$

the mass of the X boson is given by  $M_X = 5g_5v_{24}$ .

In this model, the adjoint Higgs multiplet is decomposed into the color-octet, the weak-triplet, the SM singlet, and the would-be Nambu-Goldstone multiplets. The octet and triplet have the same mass  $M_{\Sigma_{24}} = 5m_{24}/2$ , while the SM singlet has the mass of  $M_{\Sigma_{24}}/5$ . The color triplets obtain the mass of  $M_{H_C} = 5\lambda v_{24}$  after symmetry breaking.

#### **2.2** Higgs Sector in the Missing-partner SU(5)

In the missing-partner model, the MSSM Higgs doublets become massless without finetuning. In this model, the  $\mathbf{50} + \mathbf{\overline{50}}$ -dimensional Higgs multiplets are introduced to give GUT-breaking mass to the color-triplet Higgs multiplets via couplings with **75**dimensional Higgs. This **75**-dimensional Higgs multiplet includes the SM singlet [38, 39] and so can break SU(5) to SM. In the following it is denoted by  $(\Sigma_{75})^{[AB]}_{[CD]}$ . The dangerous D = 5 proton decay operators are suppressed by imposing a PQ symmetry [18]. We thus introduce in this model two  $\mathbf{50} + \mathbf{\overline{50}}$  pairs (denoted by  $\Theta, \overline{\Theta}, \Theta', \overline{\Theta'}$ ) and an additional  $\mathbf{5} + \mathbf{\overline{5}}$  pair (denoted by  $H', \overline{H'}$ ). The PQ charge assignment for them is given in Table 1. The invariant superpotential under the gauge and global symmetries is given as follows<sup>1</sup>:

$$\mathcal{W}_{MP} = g_{H}\epsilon_{ABCDE}H^{A}(\Sigma_{75})^{[BC]}_{[FG]}\Theta^{[DE][FG]} + g_{\overline{H}}\epsilon^{ABCDE}\overline{H}_{A}(\Sigma_{75})^{[FG]}_{[BC]}\overline{\Theta}_{[DE][FG]} 
+ g'_{H}\epsilon_{ABCDE}H'^{A}(\Sigma_{75})^{[BC]}_{[FG]}\Theta'^{[DE][FG]} + g'_{\overline{H}}\epsilon^{ABCDE}\overline{H}'_{A}(\Sigma_{75})^{[FG]}_{[BC]}\overline{\Theta}'_{[DE][FG]} 
+ m_{75}(\Sigma_{75})^{[CD]}_{[AB]}(\Sigma_{75})^{[AB]}_{[CD]} - \frac{1}{3}\lambda_{75}(\Sigma_{75})^{[AB]}_{[EF]}(\Sigma_{75})^{[CD]}_{[AB]}(\Sigma_{75})^{[EF]}_{[CD]} 
+ M_{1}\overline{\Theta}_{[AB][CD]}\Theta'^{[AB][CD]} + M_{2}\overline{\Theta}'_{[AB][CD]}\Theta^{[AB][CD]}.$$
(5)

Already the large Dynkin index of **75** implies a asymptotically non-free theory above the GUT scale. Additional  $50 + \overline{50}$  pairs would lead to the Landau pole between the GUT and Planck scales. By itself this may not be a problem, and could signal the presence of a non-perturbative UV fixed point [44, 45], although in supersymmetry this may not be easy to obtain [46]. To simplify the analysis we will assume in the following a perturbative GUT all the way to the Planck scale. This means that the masses of  $50 + \overline{50}$  pairs must be at the gravitational scale ( $M_1 = M_2 = M_{\rm Pl}$ ).

In the missing-partner model with PQ symmetry it is the VEV of the **75** representation superfield which breaks the GUT symmetry. The Kähler potential for the **75** representation superfield is given by;

$$\mathcal{K}_{75} = (\Sigma_{75}^{\dagger})_{[CD]}^{[AB]} (e^{2g_5 V})_E^C (e^{2g_5 V})_F^D (e^{-2g_5 V})_A^G (e^{-2g_5 V})_B^H (\Sigma_{75})_{[GH]}^{[EF]}.$$
(6)

<sup>&</sup>lt;sup>1</sup>Similar to the original missing-partner model [38,39], the terms  $H\overline{H}'$  and  $H'\overline{H}$  are omitted by hand even if the PQ symmetry is imposed [18]. In this paper we adopt this model as a prototype model with higher-dimensional representation Higgs multiplets.

Table 1: Field contents in missing-partner model with Peccei-Quinn symmetry.

	Φ	$\Psi$	Н	$\overline{H}$	H'	$\overline{H}'$	Θ	$\overline{\Theta}$	$\Theta'$	$\overline{\Theta}'$	$\Sigma_{75}$	P	Q
dim.	5	10	5	$\overline{5}$	5	5	50	$\overline{50}$	50	$\overline{50}$	75	1	1
$U(1)_{\rm PQ}$	$\frac{1}{2}$	$\frac{3}{2}$	-3	-2	2	3	3	2	-2	-3	0	-5	15

Table 2: Mass splitting in the **75**-dimensional Higgs.  $(r_C, r_W)_Y$  denotes the irreducible representation transforming as  $SU(3)_C r_C$ -plet and  $SU(2)_L r_W$ -plet with hypercharge Y under the SM gauge groups.

irrep.	Mass
$(1,1)_0$	$\frac{2}{5}M_{\Sigma_{75}}$
$({f 3},{f 1})_{-rac{5}{3}},\ \ (\overline{f 3},{f 1})_{rac{5}{3}}$	$\frac{4}{5}M_{\Sigma_{75}}$
$(3,2)_{\frac{5}{6}}, \ \ (\overline{3},2)_{-\frac{5}{6}}$	0 (Nambu-Goldstone)
$(\overline{6},2)_{rac{5}{6}}^{\circ}, \ \ (6,2)_{-rac{5}{6}}^{\circ}$	$\frac{2}{5}M_{\Sigma_{75}}$
$(8,1)_0$	$\frac{1}{5}M_{\Sigma_{75}}$
$({f 8},{f 3})_0$	$M_{\Sigma_{75}} \equiv 5m_{75}$

With the VEV of the **75** multiplet given by

$$\left\langle (\Sigma_{75})_{[tu]}^{[rs]} \right\rangle = \frac{3}{2} v_{75} (\delta_t^r \delta_u^s - \delta_u^r \delta_t^s), \qquad \left\langle (\Sigma_{75})_{[\gamma\delta]}^{[\alpha\beta]} \right\rangle = \frac{1}{2} v_{75} (\delta_\gamma^\alpha \delta_\delta^\beta - \delta_\delta^\alpha \delta_\gamma^\beta),$$

$$\left\langle (\Sigma_{75})_{[\betas]}^{[\alpha r]} \right\rangle = -\frac{1}{2} v_{75} \delta_\beta^\alpha \delta_s^r,$$

$$(7)$$

the mass of the X boson equals  $M_X = 2\sqrt{6}g_5v_{75}$ . We also find easily  $v_{75} = 3m_{75}/2\lambda_{75}$  by imposing *F*-term conditions.

The GUT-breaking VEV of the **75**-dimensional Higgs multiplet also gives rise to the mass splitting among its components. The full spectrum is shown in Table 2. The color triplets  $H_C, H'_C, \overline{H}_C$ , and  $\overline{H}'_C$  obtain masses after integrating out two  $50 + \overline{50}$  pairs as follows,

$$W = M_{H_C} H_C \overline{H}'_C + M_{H'_C} H'_C \overline{H}_C, \qquad (8)$$

with masses defined as

$$M_{H_C} \equiv \frac{48v_{75}^2}{M_{\rm Pl}}g_H g'_{\overline{H}}, \qquad M_{\overline{H}_C} \equiv \frac{48v_{75}^2}{M_{\rm Pl}}g'_H g_{\overline{H}}.$$
(9)

Therefore, we have a relatively small mass  $M_{H_C}$ ,  $M_{\overline{H}_C} \sim 10^{15}$  GeV if we take the reduced Planck mass as  $M_{\rm Pl} = 2.4 \times 10^{18}$  GeV and  $g_H v_{75}$ ,  $g'_H v_{75}$ ,  $g'_H v_{75} \sim 10^{16}$  GeV. On the other hand, there remain four massless  $SU(2)_L$  doublets,  $H_f$ ,  $\overline{H}_f$ ,  $H'_f$ , and  $\overline{H}'_f$  so far. In order to break the PQ symmetry, we should introduce a pair of SU(5) singlets P and Q, which ensure that two Higgs doublets obtain the mass of the intermediate scale  $\sim 10^{11}$  GeV [18,47]. In fact, in the original paper [17] for the missing-partner model with PQ symmetry, a scalar potential for P and Q is induced by the following superpotential

$$W_{\rm PQ} = \frac{f_{\rm PQ}}{M_{\rm Pl}} P^3 Q + g_P \overline{H}'_A H'^A P, \qquad (10)$$

and the negative soft SUSY breaking mass  $-m^2$  for P. In this setup, VEVs for P and Q are given as

$$\langle P \rangle \simeq \langle Q \rangle \simeq \sqrt{\frac{M_{\rm Pl}m}{f_{\rm PQ}}} \sim 10^{11} \,\,{\rm GeV},$$
(11)

where we assume  $m \sim 1$  TeV and  $f_{PQ} \sim 1$ . The second term of  $W_{PQ}$  gives rise to the mass of a pair of two Higgs doublets  $(M_{H'_f} = g_P \langle P \rangle)$  and the dimension-five operator for proton decay. However, the dimension-five operator is suppressed due to the effective color-triplet mass  $M_{H_C}^{\text{eff}} = M_{H_C} M_{\overline{H}_C} / M_{H'_f}$ .

# 3 Threshold Correction at the GUT Scale

In this section, we estimate the vacuum polarization function in the extended SU(5) GUT models. As mentioned in Section 1, we only focus on the gauge interaction since the calculation of only two-loop RGEs are carried out. The other threshold corrections, such as vertex and box corrections at the GUT scale, have been estimated in [37]. While vertex and box corrections are independent from the Higgs sector of the GUT scale, the vacuum polarization of X boson is affected by them. Before we focus on the vacuum polarization of the X-boson vector superfields, we summarize the threshold corrections at the GUT scale.

The effective Kähler potential for dimension-six operators is given by

$$\mathcal{L}_{\text{dim.6}} = \int d^4\theta \left( \sum_{i=1}^2 C^{(i)} \mathcal{O}^{(i)} + \text{h.c.} \right), \tag{12}$$

with operators  $\mathcal{O}^{(i)}$  (i = 1, 2) defined as

$$\mathcal{O}^{(1)} = \epsilon_{\alpha\beta\gamma}\epsilon_{rs}U^{C\dagger\alpha}D^{C\dagger\beta}Q^{r\gamma}L^{s}, \qquad \mathcal{O}^{(2)} = \epsilon_{\alpha\beta\gamma}\epsilon_{rs}E^{C\dagger}U^{C\dagger\alpha}Q^{r\beta}Q^{s\gamma}, \tag{13}$$

where we suppress the flavor indices. The Wilson coefficients  $C_{GUT}^{(i)}$  are defined as

$$C_{\rm GUT}^{(1)} = C_{\rm GUT}^{(2)} = -\frac{g_5^2}{M_X^2}.$$
(14)



Figure 1: Radiative corrections due to chiral multiplets to two-point function of the X superfield. Solid and wavy lines correspond to chiral superfields and vector superfields, respectively.

In matching the amplitudes of the low (MSSM) and high (GUT) energy effective theories, we include the threshold correction of the massive particles [37],

$$C^{(i)} = (1 - \lambda_{\rm GUT}^{(i)}) C_{\rm GUT}^{(i)}$$
(15)

with

$$\lambda_{\rm GUT}^{(1)}(\mu) = \frac{\Sigma_X(0)}{M_X^2 + \Sigma_X(0)} + \frac{g_5^2}{16\pi^2} \frac{16}{5} \left( 1 - \ln \frac{M_X^2}{\mu^2} \right),$$
  

$$\lambda_{\rm GUT}^{(2)}(\mu) = \frac{\Sigma_X(0)}{M_X^2 + \Sigma_X(0)} + \frac{g_5^2}{16\pi^2} \frac{18}{5} \left( 1 - \ln \frac{M_X^2}{\mu^2} \right).$$
(16)

Here  $M_X$  denotes the mass of the X boson and  $\Sigma_X(0)$  is the correction to the X-boson mass. The first term for each  $\lambda_{\text{GUT}}^{(i)}$  arises from the vacuum polarization of the X boson, while the second term describes the correction from box and vertex diagrams.

The renormalized two-point function for the X boson is given by

$$\Gamma_X^{(2)}(p^2) = p^2 - M_X^2 - \Sigma_X(p^2), \tag{17}$$

where  $p^2$  is the X-boson momentum square. Here we adopt the on-shell scheme for the X-boson mass,

$$\Sigma_X(p^2) = \overline{\Sigma}_X(p^2) - \overline{\Sigma}_X(M_X^2), \qquad (18)$$

while we use the  $\overline{\text{DR}}$  scheme otherwise. Particles with the SU(5) invariant mass much heavier than the X-boson mass get decoupled from  $\Sigma_X(0)$  under the on-shell scheme.

The three diagrams in Fig. 1 contribute to the radiative corrections to the X-vector multiplet two-point function from the (massive) chiral superfields. After picking the transverse mode and regularizing the UV divergence, we obtain the finite correction to the two-point function from the diagram (a) in Fig. 1 as follows:

$$\Gamma_{XX}^{(a)} = \frac{g_5^2 b_{ij}}{16\pi^2} B(p^2, M_i^2, M_j^2) \int d^4\theta X_r^{\dagger\alpha}(-p, \theta) P_T X_\alpha^r(p, \theta) + (\text{longitudinal mode}).$$
(19)

Here,  $X(p, \theta)$  is a vector superfield including the X boson and  $\theta$  corresponds to the superspace Grassmann variable, while  $P_T$  denotes the projection operator on the transverse mode in the superspace formulation.  $b_{ij}$  denotes the group-theoretical factor, which

depends on the representation of internal chiral superfields. They are tabulated in Appendix B for several lower dimensional representations. Finally

$$B(p^2, M_i^2, M_j^2) \equiv \int_0^1 dx \left[ \Delta - (2\Delta + x(x-1)p^2) \ln \frac{\Delta}{\mu^2} \right],$$
(20)

where

$$\Delta = x(x-1)p^2 + xM_j^2 + (1-x)M_i^2$$
(21)

and  $M_{i,j}$  are the masses of the chiral superfields in the loop diagram, while  $\mu$  is the renormalization scale.

The contribution of the diagram (b) in Fig. 1,  $\Gamma_{XX}^{(b)}$ , is not vanishing. However, since  $\Gamma_{XX}^{(b)}$  has no  $p^2$ -dependence and we take the on-mass shell scheme for the X-boson mass, this does not contribute to  $\Sigma_X(p^2)$ .

The third contribution (the diagram (c) in Fig. 1) comes from the interactions which include the VEV of the GUT-breaking Higgs superfield:

$$\Gamma_{XX}^{(c)} = \frac{g_5^2 M_X^2 a_{ij}}{16\pi^2} A(p^2, M_i^2, M_j^2) \int d^4\theta X_r^{\dagger\alpha}(-p, \theta) P_T X_\alpha^r(p, \theta).$$
(22)

Here,  $a_{ij}$  is also a group-theoretical factor, similar as  $b_{ij}$ , and

$$A(p^{2}, M_{i}^{2}, M_{j}^{2}) \equiv \int_{0}^{1} dx \ln \frac{\Delta}{\mu^{2}},$$
(23)

with  $\Delta$  given in Eq. (21).

Let us now discuss the contributions to the vacuum polarization of the X-boson vector superfield coming from different representations. First, the fields in the irreducible representation of SU(5) are decomposed into irreducible representations of the SM gauge groups. We give the SM decomposition of some GUT multiplets in Appendix B. The vacuum polarization of the X boson is given by

$$\overline{\Sigma}_X^{\text{Rep.}}(p^2) = \frac{g_5^2}{16\pi^2} \sum_{i,j} b_{ij} B(p^2, M_i^2, M_j^2) + \frac{g_5^2 M_X^2}{16\pi^2} \sum_i a_i A(p^2, M_X^2, M_i^2), \quad (24)$$

where the superscript "Rep." indicates the SU(5) representation, such as  $\mathbf{5} + \mathbf{\overline{5}}$ ,  $\mathbf{10} + \mathbf{\overline{10}}$ ,  $\mathbf{24}$ , and so on.  $i, j = 1, \dots, N$   $(i, j = \mathbf{\overline{1}}, \dots, \mathbf{\overline{N}})$  denote the labels of irreducible representations of the SM gauge groups (and its complex conjugated representation). We neglect the  $p^2$ -independent terms since we take the on-mass shell condition for the vacuum polarization of the X-boson superfield. The vacuum polarization coefficients  $a_i$  and  $b_{ij}$  are determined by the interactions between the X-boson superfield and the corresponding chiral superfields.

The first term in Eq. (24) comes from the gauge interaction between the X-boson and (anti-)chiral superfields. The mass eigenvalues of the chiral superfields in the loop

Table 3: Vacuum polarization coefficients  $b_{ij}$  and  $a_i$ . The coefficients which are not listed here are zero.  $a_0$  is the coefficient from the NG and MSSM vector supermultiplets loops, while  $a_i$   $(i = 1, 2, \dots)$  is the coefficient from the massive vector and chiral supermultiplets loops.  $b_{ij}$  and  $a_i$  which are not listed here are zero.

reps.	$b_{ij}$	$a_i$
$5+\overline{5}$	$b_{12} = b_{\overline{12}} = 1$	
24		$a_0 = 5/2$
	$b_{14} = b_{15} = 3/2$	$a_1 = 3$
	$b_{24} = b_{25} = 8/3$	$a_2 = 16/3$
	$b_{34} = b_{35} = 5/6$	$a_3 = 5/3$
	$b_{14} = b_{23} = 1/3$	$a_0 = 5/2$
75	$b_{16} = b_{25} = 2$	$a_1 = a_2 = 6$
	$b_{37} = b_{47} = 4/3$	$a_7 = 8/3$
	$b_{38} = b_{48} = 2/3$	$a_8 = 4/3$
	$b_{39} = b_{49} = 26/3$	$a_9 = 12$
	$b_{58} = b_{68} = b_{59} = b_{69} = 6$	

are denoted by  $M_i$ . We show the vacuum polarization coefficients  $b_{ij}$  in Table 3 for some SU(5) representations. The  $b_{ij}$  not listed in Table 3 are zero. Note that the missingpartner model [18] includes  $\mathbf{50} + \mathbf{\overline{50}}$  pairs to induce the masses of color-triplet Higgs multiplets. However, these multiplets do not contribute to the vacuum polarization of the X-boson since we assume their vector-like mass to be at the Planck scale in order to keep the theory in the perturbative regime. Here, we list  $b_{ij}$  from  $\mathbf{5} + \mathbf{\overline{5}}$ ,  $\mathbf{24}$ , and  $\mathbf{75}$ representations. In Appendix B, we display  $b_{ij}$  only from SU(5) representations, whose Dynkin indices are smaller than the Dynkin index of the  $\mathbf{75}$  representation.

The SM decomposition of 5, 24, and 75 representations is given by

$$5 = \phi_{1}(1, 2)_{\frac{1}{2}} \oplus \phi_{2}(3, 1)_{-\frac{1}{3}},$$

$$24 = \phi_{1}(1, 3)_{0} \oplus \phi_{2}(8, 1)_{0} \oplus \phi_{3}(1, 1)_{0} \oplus \phi_{4}(3, 2)_{-\frac{5}{6}} \oplus \phi_{5}(\overline{3}, 2)_{\frac{5}{6}},$$

$$75 = \phi_{1}(\overline{3}, 1)_{-\frac{5}{3}} \oplus \phi_{2}(3, 1)_{\frac{5}{3}} \oplus \phi_{3}(3, 2)_{-\frac{5}{6}} \oplus \phi_{4}(\overline{3}, 2)_{\frac{5}{6}} \oplus \phi_{5}(6, 2)_{\frac{5}{6}},$$

$$\oplus \phi_{6}(\overline{6}, 2)_{-\frac{5}{6}} \oplus \phi_{7}(1, 1)_{0} \oplus \phi_{8}(8, 1)_{0} \oplus \phi_{9}(8, 3)_{0}.$$
(25)

Here, the subscripts of  $\phi_i$  correspond to the labels in Eq. (24).

The second term in Eq. (24) arises from the interactions involving the GUT-breaking VEV. Thus,  $a_i = 0$  is satisfied if there is no SM singlet component in the irreducible representation of SU(5). We choose the Feynman-t' Hooft gauge throughout this paper. Then the Nambu-Goldstone superfields have the same mass as the X boson. Since the

internal lines in the diagram (c) of Fig. 1 include either X-boson vector superfields or the Nambu-Goldstone chiral superfields, the loop function A always has  $M_X$ -dependence.

The interaction terms with the GUT-breaking VEV  $v_{24}$  or  $v_{75}$  are given in Appendix C. In Table 3, the coefficients  $a_i$  from the 24 and 75 chiral superfields are listed. The  $a_i$  not listed in Table 3 are zero.  $a_0$  corresponds to the contribution from the Nambu-Goldstone (NG) supermultiplets and MSSM vector supermultiplets, while  $a_i$   $(i = 1, 2, \dots)$  arise from the massive vector supermultiplet and chiral supermultiplet loop diagrams. Since the MSSM vector superfields are massless,  $M_0 = 0$  is satisfied.

### 4 Numerical Results

Let us now estimate the threshold effects on the proton lifetime. We define the ratio of the proton decay rates as

$$R \equiv \frac{A_S^{(1)2} + (1 + |V_{ud}|^2)^2 A_S^{(2)2}\Big|_{w}}{A_S^{(1)2} + (1 + |V_{ud}|^2)^2 A_S^{(2)2}\Big|_{w/o}},$$
(26)

where subscripts w and w/o denote the decay rates with and without the threshold correction, respectively.  $A_S^{(i)}$  (i = 1, 2) indicate the quantum corrections to the Wilson coefficients from RGEs between the GUT and EW scales as well as finite corrections:

$$A_S^{(i)} = (1 - \lambda_C^{(i)}) \frac{C^{(i)}(m_Z)}{C^{(i)}(M_{\rm GUT})}.$$
(27)

Here  $\lambda_C^{(i)}$  denotes the sum of threshold corrections to the Wilson coefficients  $C^{(i)}$  at the scales where the heavy particles are integrated out.

For completeness we need to include the threshold correction at the SUSY scale. The explicit formulae for the SUSY threshold correction are given in Appendix A. We find that their effect is vanishing if sparticles are degenerate in mass, but even with a large mass hierarchy between sfermions and gauginos it is only about a few percent.

Let us consider now the effect of the additional fields at the GUT scale. The precise prediction for proton lifetime depends on the unified coupling and the mass spectrum at the GUT scale. In particular, the GUT mass spectrum and the value of the unified gauge coupling are connected through the threshold corrections to the gauge couplings at the GUT scale [48,49]. Thus by requiring gauge coupling unification we get a constraint on the mass spectrum of the GUT particles through threshold corrections. At the GUT scale, the one-loop matching conditions for gauge couplings are given as

$$\frac{1}{g_i^2(\mu)} = \frac{1}{g_5^2(\mu)} - \lambda_i(\mu), \tag{28}$$

where  $g_i$  (i = 1, 2, 3) are the SM gauge couplings and  $\lambda_i$  (i = 1, 2, 3) denote threshold corrections for gauge couplings. The threshold corrections  $\lambda_i$  depend on the details of the GUT particles mass spectrum. For the minimal renormalizable SUSY SU(5) GUT, the mass of color-triplet Higgs multiplets  $M_{H_C}$  and the combination of the mass parameters  $M_X^2 M_{\Sigma_{24}}$  are determined by [48,49]

$$\frac{3}{g_2^2(\mu)} - \frac{2}{g_3^2(\mu)} - \frac{1}{g_1^2(\mu)} = \frac{1}{8\pi^2} \frac{12}{5} \ln \frac{M_{H_C}}{\mu},$$
(29)

$$\frac{5}{g_1^2(\mu)} - \frac{3}{g_2^2(\mu)} - \frac{2}{g_3^2(\mu)} = \frac{1}{8\pi^2} 12 \ln \frac{M_X^2 M_{\Sigma_{24}}}{\mu^3}.$$
(30)

The right-hand sides of Eqs. (29) and (30) are thus determined by the low-energy gauge couplings and the SUSY mass spectrum. Notice that  $M_X$ , which enters in the expression for the D = 6 proton lifetime, cannot be determined; Eq. (30) constrains only the combination  $M_X^2 M_{\Sigma_{24}}$ . We treat the mass of the X boson as a free parameter in the following numerical calculation, and we estimate the gauge couplings at the matching scale (i.e. GUT scale), which we take  $\mu = 2 \times 10^{16}$  GeV, by using the two-loop RGEs for gauge couplings. After we fix  $M_X$ , we determine the mass of the color triplet  $M_{H_C}$  from Eq. (29) and that of the adjoint Higgs  $M_{\Sigma_{24}}$  from Eq. (30). One of Eqs. (28) (for example  $g_1$ ) is then used to get  $g_5$  at the GUT scale.

In the missing-partner SU(5) model, the combinations of the GUT masses are constrained as [18];

$$\frac{3}{g_2^2(\mu)} - \frac{2}{g_3^2(\mu)} - \frac{1}{g_1^2(\mu)} = \frac{1}{8\pi^2} \left( \frac{12}{5} \ln \frac{M_{H_C} M_{\overline{H}_C}}{M_{H'_f} \mu} + 6 \ln \frac{2^6}{5^5} \right), \tag{31}$$

$$\frac{5}{g_1^2(\mu)} - \frac{3}{g_2^2(\mu)} - \frac{2}{g_3^2(\mu)} = \frac{1}{8\pi^2} \left( 12\ln\frac{M_X^2 M_{\Sigma_{75}}}{\mu^3} + 54\ln\frac{5}{4} \right).$$
(32)

Here, the parameters  $M_{H_C}$  and  $M_{\overline{H}_C}$  correspond to the mass of the color-triplet Higgs multiplets, while  $M_{H'_f}$  denotes the mass of the extra Higgs doublet induced by the breaking of the PQ symmetry.  $M_{\Sigma_{75}}$  is defined as the mass of the component fields  $(\mathbf{8}, \mathbf{3})_0$  in Table 2. The constant terms arise from the mass splitting of the component fields of the **75**-dimensional Higgs multiplet as we have shown in Table 2.

In the following analysis for the missing-partner model, we determine the combinations  $M_{H_C}M_{\overline{H}_C}/M_{H'_f}$  and  $M_X^2M_{\Sigma_{75}}$  from Eqs. (31)-(32). As in the case of the minimal SU(5), for a given sparticle mass spectrum,  $M_X$  cannot be determined: Eq. (32) gives only a relation between  $M_X$  and  $M_{\Sigma_{75}}$ . We also define the unified coupling  $g_5$  as in the minimal SU(5). For simplicity, we take the typical mass scale for the color-triplets,  $M_{H_C} = M_{\overline{H}_C} = 10^{15}$  GeV, as shown in Section 2, so that  $M_{H'_f}$  is given by Eq. (31) at the matching scale  $\mu = M_{\rm GUT} = 2 \times 10^{16}$  GeV.

We list the numerical results in the minimal SUSY SU(5) and the missing-partner model in Table 4. Here, we take the mass of all SUSY particles to be 1 TeV, for simplicity. Since proton lifetime strongly depends on the mass of the X boson, we display the results for two choices of  $M_X = 1.0 \times 10^{16}$  GeV and  $M_X = 2.0 \times 10^{16}$  GeV. By using the central values for gauge couplings at the EW scale, the mass parameters determined by

Table 4: Threshold effects on the partial proton decay rate. For simplicity, we assume that all superparticles are degenerate in mass  $M_S = 1$  TeV.

	Minima	l SU(5)	Missing-Partner			
$M_X$	$1.0 \times 10^{16} \text{ GeV}$	$2.0 \times 10^{16} \text{ GeV}$	$1.0 \times 10^{16} \text{ GeV}$	$2.0 \times 10^{16} \text{ GeV}$		
$A_S^{(1)}$	2.070	1.968	1.301	1.269		
$A_S^{(2)}$	2.162	2.059	1.352	1.295		
R	1.10	0.994	0.429	0.394		
$g_5$	0.697	0.713	0.938	1.198		
$\tau(p \to e^+ \pi^0)$ [years]	$1.38 \times 10^{35}$	$2.23\times10^{36}$	$1.08 \times 10^{35}$	$7.09  imes 10^{35}$		

Eqs. (29)-(32) are obtained as follows:

$$M_{H_C} = 6.35 \times 10^{15} \text{ GeV}, \qquad (M_X^2 M_{\Sigma_{24}})^{1/3} = 1.48 \times 10^{16} \text{ GeV},$$
 (33)

for the minimal SUSY SU(5),

$$\frac{M_{H_C}M_{\overline{H}_C}}{M_{H'_f}} = 1.06 \times 10^{20} \text{ GeV}, \qquad (M_X^2 M_{\Sigma_{75}})^{1/3} = 5.43 \times 10^{15} \text{ GeV}$$
(34)

for the missing-partner model. The quantities  $A_S^{(i)}$  (i = 1, 2) in Table 4 show the shortrange renormalization factors with the threshold corrections defined by Eq. (27). For each  $M_X$ , we get the threshold corrections in the minimal SU(5) model as

$$\lambda^{(1)} = -4.94 \times 10^{-2}, \quad \lambda^{(2)} = -4.65 \times 10^{-2}, \quad \text{(for } M_X = 1.0 \times 10^{16} \text{ GeV}), \\ \lambda^{(1)} = 1.98 \times 10^{-3}, \quad \lambda^{(2)} = 3.26 \times 10^{-3}, \quad \text{(for } M_X = 2.0 \times 10^{16} \text{ GeV}), \quad (35)$$

and in the missing-partner model as

$$\lambda^{(1)} = 0.340, \quad \lambda^{(2)} = 0.346, \qquad \text{(for } M_X = 1.0 \times 10^{16} \text{ GeV}), \\ \lambda^{(1)} = 0.369, \quad \lambda^{(2)} = 0.373, \qquad \text{(for } M_X = 2.0 \times 10^{16} \text{ GeV}).$$
(36)

When we estimate the partial proton lifetime in each model, we use the proton decay matrix elements calculated by the lattice simulation at 2 GeV [50]. Note that the unified coupling  $g_5$  in the missing-partner model is larger than the one in the minimal SU(5). This is due to the following two reasons: 1) the combination  $M_X^2 M_{\Sigma_{75}}$  in the missingpartner model is slightly smaller than in the minimal SU(5) due to the constant term present in the right-hand side of Eq. (32) but not of Eq. (31), and, 2) there are many components of **75** contributing to the threshold correction for the gauge couplings.

In Table 4 we see that threshold effects are negligible in the minimal SU(5) model  $(R \sim 1)$ , but suppress the proton decay rate by an approximate factor 0.4 in the missing-partner model. A much bigger effect comes from the variation of the X-boson mass  $M_X$ ,

and is unfortunately not under control. This change of the lifetime  $\tau$  with  $M_X$  in Table 4 can be understood by the approximate tree level relation  $\tau \propto (M_X/g_5)^4$ .

The threshold corrections from vertex and box contributions depend only on  $M_X$  and  $g_5$  in the context of the SUSY SU(5) GUTs, and these values are of order  $10^{-2}$  [37]. Let us consider the model dependence of the threshold effect, which appears in the vacuum polarization of the X boson. When the heavy spectrum is degenerate, the dominant (first term) contribution to the vacuum polarization in Eq. (24) is proportional to the one-loop beta function for the unified gauge coupling. In the minimal SUSY SU(5), the contribution from the gauge supermultiplet dominates the vacuum polarization. On the other hand, since there exist many massive fields in the missing-partner model, the contribution from the chiral supermultiplets is enhanced, and the vacuum polarization is dominated by the chiral supermultiplets. Notice that we include other contributions, such as vertex contributions, box contributions, and vacuum polarizations which arise from interactions including GUT breaking VEV, though they are subdominant in the missing partner model.

If we estimate the masses of the Higgs multiplets by using Eqs. (31)-(32) with  $M_X$  lying around 10<sup>16</sup> GeV, all components can be lighter than the X boson in the missing-partner model. The **75**-dimensional Higgs multiplet has a number of component fields with mass different from the matching (GUT) scale, and thus there can be a large contribution of the threshold correction to the proton lifetime. As a result, the proton decay rate in the missing-partner model is suppressed about 60% with  $M_X = 2.0 \times 10^{16}$  GeV as we show in Table 4.

# 5 Conclusion and Discussion

In this study, we have evaluated the threshold corrections to the proton decay operators giving rise to  $p \to \pi^0 e^+$ , especially those induced by the higher-dimensional representation Higgs multiplets. The structure of the Higgs sector in the extended GUT models appears in the vacuum polarization of the X boson. As the threshold corrections depend on the choice of the high-energy model, we have focused on the missing-partner model which solves the doublet-triplet problem in SU(5). In this model, the Higgs sector effectively contains the **75**-dimensional Higgs multiplet and two  $\mathbf{5} + \overline{\mathbf{5}}$  pairs below the Planck scale. We have determined the mass spectrum at the GUT scale according to the low energy gauge coupling constants, fixing some mass parameters such as the X-boson mass. In such a case, many multiplets acquire masses around  $10^{15}$  GeV through the GUT symmetry breaking. Since the size of the unified gauge coupling is determined including threshold corrections, these mass differences make the coupling large. As a result, due to the small masses and the large coupling, the contributions of all these multiplets sum up to a correction of about 60 % to the proton lifetime.

We have not considered the threshold corrections from Yukawa couplings in this study.

This is because no two-loop RGE analysis including Yukawa couplings for the baryonnumber violating operators is available. Although this effect is not expected to be sizable due to the smallness of the Yukawa (except the top Yukawa), it should be included sooner or later to complete the two-loop level analysis.

In our analysis we also ignore the Planck-suppressed operators. We assume  $50 + \overline{50}$  pairs have the mass around the Planck-scale. There might be higher-dimensional operators with Planck scale suppression in general. The presence of such operators induces, via an operator  $\Sigma_{75} W^{\alpha} W_{\alpha}/M_{\text{Pl}}$ , the correction to the gauge coupling  $g_5^2$  of about a few percent after symmetry breaking. This correction affects also the determination of the GUT mass spectrum via gauge coupling unification constraints, which strongly depends on the coupling of the higher-dimensional operator. Such effects of the Planck scale physics should be taken into account once the full UV description of the missing-partner model is available.

Finally, we notice remaining uncertainties in the precise determination of the proton lifetime. The matrix elements of  $p \to \pi^0 e^+$  is evaluated with lattice QCD, and they have at present around 30% uncertainty [50]. We hope that this uncertainty will be reduced in future studies.

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# Appendix

# A Threshold Correction at the SUSY Scale

In this appendix, we derive the threshold corrections of the dimension-six operators at the SUSY scale. We focus only on gauge interactions.

#### **Two-Point Function**

Now, we estimate the threshold corrections to the two-point function of fermions. After picking the UV divergence, we obtain the two-point function of SM fermions  $\psi$  including the one-loop finite corrections as follows:

$$i\Gamma^{\psi}_{\text{full}} = \left[1 - \frac{1}{16\pi^2} \sum_{a} g_a^2 C_a(N) f(M_a^2, m_{\phi}^2) + (\text{SM contributions})\right] i\Gamma^{\psi}_0.$$
(37)

 $\Gamma_0^{\psi} \equiv \not p - m_{\psi}$  denotes the tree-level two-point function of  $\psi$  with  $p^{\mu}$  the four-momentum and  $m_{\psi}$  the tree level mass.  $M_a$  and  $m_{\phi}$  are the masses of the gaugino and the superpartners of  $\psi$ , respectively. The second term arises from the gaugino-sfermion loop and the third term describes the contribution from the SM loops.  $g_a$  and  $C_a(N)$  are the SM gauge coupling and the Casimir invariant, respectively. For the SU(N) gauge theory,  $C_a(N) = (N^2 - 1)/2N$ , and for the  $U(1)_Y$  gauge theory,  $C_a(N) = Y^2$ . The loop function f is defined as

$$f(x,y) \equiv 2 \int_0^1 ds (1-s) \ln \left[ sx + (1-s)y \right].$$
(38)

Here, all mass parameters are normalized by  $\mu$  which denotes the renormalization scale. It is easily found that  $f(x, x) = \frac{1}{2} \ln x$ , which corresponds to the case of degenerate masses.

In the SM, the one-loop corrected two-point function has the form

$$i\Gamma^{\psi}_{\rm SM} = \left[1 - \lambda_{\psi} + (\text{SM contributions})\right] i\Gamma^{\psi}_{0},\tag{39}$$

where  $\lambda_{\psi}$  denotes the one-loop threshold correction to the two-point function of  $\psi$ . Then, we get  $\lambda_{\psi}$  after matching the two-point functions in the two theories:

$$\lambda_{\psi} = \frac{1}{16\pi^2} \sum_{a} g_a^2 C_a(N) f(M_a^2, m_{\phi}^2).$$
(40)

#### Four-Fermi Vertices

The baryon-number violating dimension-six operators are induced by the non-renormalizable Kähler potential. The supersymmetric interaction term including four-Fermi operators is



Figure 2: Four-Fermi interactions induced by one-loop diagrams. Blobs denote effective vertices including two fermions and two scalars, which are induced by the same Kähler potential. Solid and dashed lines describe fermion and scalar lines, respectively, while wavy-solid lines indicate gauginos.

given by;

$$C^{ijkl} \int d^{4}\theta \Phi_{i}^{\dagger} \Phi_{j}^{\dagger} \Phi_{k} \Phi_{l} = -\frac{C^{ijkl}}{4} \left[ \Box(\phi_{i}^{*}\phi_{j}^{*})\phi_{k}\phi_{l} - 2\partial_{\mu}(\phi_{i}^{*}\phi_{j}^{*})\partial^{\mu}(\phi_{k}\phi_{l}) + \phi_{i}^{*}\phi_{j}^{*}\Box(\phi_{k}\phi_{l}) \right] - \frac{iC^{ijkl}}{2} (\phi_{i}^{*}\overline{\Psi}_{j} + \overline{\Psi}_{i}\phi_{j}^{*})\gamma^{\mu}\overleftrightarrow{\partial_{\mu}}(\phi_{k}\Psi_{l} + \Psi_{k}\phi_{l}) - \frac{C^{ijkl}}{2} \overline{\Psi}_{i}\gamma^{\mu}P_{L}\Psi_{l}\overline{\Psi}_{j}\gamma_{\mu}P_{L}\Psi_{k}.$$

$$(41)$$

Here,  $\Phi_i$  ( $\Phi_i^{\dagger}$ ) is a chiral (anti-chiral) superfield. Scalar and four-component spinor components of  $\Phi_i$  are denoted as  $\phi_i$  and  $\Psi_i$ , respectively. The symbols  $\Box$  and  $\overleftrightarrow{\partial_{\mu}}$  are respectively defined as  $\Box = \partial^{\mu}\partial_{\mu}$  and  $A\overleftrightarrow{\partial_{\mu}}B = A\partial_{\mu}B - \partial_{\mu}AB$ . The roman indices i, j, k, l describe the gauge and flavor indices.  $C^{ijkl}$  denotes the Wilson coefficient of the operators.

Fig. 2 shows the one-loop diagrams giving the four-Fermi interactions after integrating out superpartners. In these figures, the blobs denote the interaction given by the second line of Eq. (41). Only four figures in Fig. 2 contribute as finite corrections to nucleon decay matrix elements at the SUSY scale since one of two scalar fields must originate from chiral superfields and another from the anti-chiral ones. The one-loop contributions in Fig. 2 are given by;

$$i\mathcal{M}_{1} = -\frac{ig_{a}^{2}}{16\pi^{2}}C^{mimk}\sum_{a}(T_{jn}^{a}T_{ml}^{a})F(m_{\phi_{m}}^{2}, m_{\phi_{n}}^{2}, M^{2})\langle\mathcal{O}_{jlik}\rangle,$$

$$i\mathcal{M}_{2} = -\frac{ig_{a}^{2}}{16\pi^{2}}C^{jnlm}\sum_{a}(T_{in}^{a}T_{mk}^{a})F(m_{\phi_{m}}^{2}, m_{\phi_{n}}^{2}, M^{2})\langle\mathcal{O}_{jlik}\rangle,$$

$$i\mathcal{M}_{3} = \frac{ig_{a}^{2}}{16\pi^{2}}C^{nilm}\sum_{a}(T_{jn}^{a}T_{mk}^{a})F(m_{\phi_{m}}^{2}, m_{\phi_{n}}^{2}, M^{2})\langle\mathcal{O}_{jkil}\rangle,$$

$$i\mathcal{M}_{4} = -\frac{ig_{a}^{2}}{16\pi^{2}}C^{jnmk}\sum_{a}(T_{in}^{a}T_{ml}^{a})F(m_{\phi_{m}}^{2}, m_{\phi_{n}}^{2}, M^{2})\langle\mathcal{O}_{jkil}\rangle.$$
(42)

Here, the subscript *i* for  $\mathcal{M}_i$  corresponds to the label (*i*) of Fig. 2. *M* and  $m_{\phi_m}$  indicate the masses of gaugino and the superpartner of  $\Psi_m$ , respectively.  $\mathcal{O}_{ijkl} \equiv \overline{\Psi}_i \gamma^{\mu} P_L \Psi_j \overline{\Psi}_k \gamma_{\mu} P_L \Psi_l$ and  $\langle \ldots \rangle$  denotes the matrix element. We also define the loop function F(x, y, z) as

$$F(x,y,z) = \frac{3}{4} + \frac{x^2(y-z)\ln x + y^2(z-x)\ln y + z^2(x-y)\ln z}{2(x-y)(y-z)(z-x)}.$$
(43)

Here, all masses are normalized by the renormalization scale  $\mu$ , again.

### In MSSM

Let us now consider the MSSM case. The Kähler potential for these operators is given by Eq. (12). The baryon-number violating four-Fermi operators are given by

$$\mathcal{L}_{\Delta B} = \sum_{i=1}^{2} C_{4F}^{(i)} \mathcal{O}_{4F}^{(i)}$$
(44)

with operators

$$\mathcal{O}_{4F}^{(1)} = \epsilon_{\alpha\beta\gamma}\epsilon_{rs}(\overline{u}^{\alpha}\gamma^{\mu}P_Lq^{r\gamma})(\overline{d}^{\beta}\gamma_{\mu}P_Ll^s),$$

$$\mathcal{O}_{4F}^{(2)} = \epsilon_{\alpha\beta\gamma}\epsilon_{rs}(\overline{u}^{\alpha}\gamma^{\mu}P_Lq^{s\gamma})(\overline{e}\gamma_{\mu}P_Lq^{\beta r}),$$
(45)

and Wilson coefficients  $C_{4F}^{(1)} = C_{4F}^{(2)} = g_5^2/2M_X^2$ . The Wilson coefficients in the low-energy effective field theory (EFT) include threshold corrections: we need simply to redefine  $C_{4F}^{(i)} \rightarrow (1 - \lambda^{(i)}) C_{4F}^{(i)}$  where  $\lambda^{(i)}$  denotes the threshold correction to  $C_{4F}^{(i)}$ . After matching the amplitudes in the EFT and those in the MSSM, we find  $\lambda^{(i)}$  at the

SUSY scale as follows;

$$\lambda^{(1)} = -\frac{1}{2} (\lambda_u + \lambda_q + \lambda_d + \lambda_l) - \frac{g_3^2}{16\pi^2} \left( \frac{1}{3} F(m_{\tilde{u}}^2, m_{\tilde{q}}^2, M_3^2) + \frac{1}{3} F(m_{\tilde{d}}^2, m_{\tilde{q}}^2, M_3^2) \right) - \frac{g_Y^2}{16\pi^2} \left( \frac{2}{9} F(m_{\tilde{u}}^2, m_{\tilde{q}}^2, M_1^2) - \frac{1}{9} F(m_{\tilde{d}}^2, m_{\tilde{q}}^2, M_1^2) - \frac{2}{3} F(m_{\tilde{l}}^2, m_{\tilde{u}}^2, M_1^2) + \frac{1}{3} F(m_{\tilde{l}}^2, m_{\tilde{d}}^2, M_1^2) \right) \lambda^{(2)} = -\frac{1}{2} (\lambda_u + \lambda_e + 2\lambda_q) - \frac{g_3^2}{16\pi^2} \frac{2}{3} F(m_{\tilde{u}}^2, m_{\tilde{q}}^2, M_3^2) - \frac{g_Y^2}{16\pi^2} \left( \frac{4}{9} F(m_{\tilde{u}}^2, m_{\tilde{q}}^2, M_1^2) - \frac{2}{3} F(m_{\tilde{e}}^2, m_{\tilde{q}}^2, M_1^2) \right).$$

$$(46)$$

Here,  $m_{\phi}$  ( $\phi = \tilde{q}, \tilde{u}, \tilde{d}, \tilde{l}, \tilde{e}$ ) denotes the sfermions masses while  $M_1$  and  $M_3$  denote the masses of bino and gluino, respectively. The loop function F is defined in Eq. (43).  $\lambda_{\psi}$  ( $\psi = q, l, u, d, e$ ) is defined in Eq. (40), and describes the one-loop threshold corrections to the two-point functions of the SM chiral fermions.

#### Numerical Evaluation

In the last section of this appendix we evaluate the threshold effects at the SUSY scale in the split SUSY scenario. As mentioned in the introduction, the heavy sfermion scenario makes the constraint on a dimension-five proton decay mild. We assume that all sparticles except gauginos are degenerate at mass  $M_S$ . Gaugino masses are set to be as follows: the bino and wino are degenerate in mass  $M_1 = M_2 = 3$  TeV, and we treat the ratio of the gluino and bino masses as a free parameter. In the numerical estimate, we set  $M_3/M_1 = 1, 3$ , and 9. We also choose  $\tan \beta = 3$  since a small  $\tan \beta$  is preferred to get the observed Higgs mass in the heavy sfermion scenario [21, 22, 51]. The matching scale for the proton decay amplitudes is set to be the sfermion mass scale  $M_S$ .

We show the  $M_S$  dependence of the ratio of decay rates with and without SUSY threshold correction in Fig. 3. The ratio of decay rates is defined similarly as in Eq. (26). The denominator of Eq. (26) includes only the threshold correction at the GUT scale while the numerator also includes those at the SUSY scale. We see these threshold effects at the SUSY scale in Fig. 3, where the minimal SU(5) model with all mass parameters and the GUT scale fixed at  $2 \times 10^{16}$  GeV is considered. Let us add a few comments.

First, if all sparticles are degenerate in mass, there is no contribution from the threshold corrections. This is because the loop functions f and F behave as follows ( $\mu = M_S$ )

$$f(M_S^2, M_S^2) \sim \frac{1}{2} \ln \frac{M_S^2}{\mu^2} \to 0, \qquad F(M_S^2, M_S^2, M_S^2) \sim -\frac{1}{2} \ln \frac{M_S^2}{\mu^2} \to 0,$$
 (47)

Second, the ratio R approaches a constant in the limit of decoupled sfermions since these loop functions behave as

$$f(M_a^2, M_S^2) \sim -\frac{1}{4} \left( 1 - 2\ln\frac{M_S^2}{\mu^2} \right), \qquad F(M_S^2, M_S^2, M_a^2) \sim \frac{1}{4} \left( 1 - 2\ln\frac{M_S^2}{\mu^2} \right), \tag{48}$$



Figure 3: Ratio of the proton decay rate with and without the threshold correction at SUSY scale. At the GUT scale, we assume the minimal SUSY SU(5) GUT model, and each decay rates includes the threshold correction at GUT scale. The bino mass  $M_1$  is set to be 3 TeV, and solid, broken, and dotted lines respectively indicate  $M_3/M_1 = 1$ , 3, and 9.

in the limit of  $M_S \gg M_a$ . In Fig. 3, the ratio R is larger than 10% when  $M_3/M_1 = 3$ , 9 and  $M_S \sim O(1)$  TeV. This is because we take the matching scale at  $M_S$  which is smaller than the gaugino masses. In this case we should take the matching scale somewhere between the sfermion and gaugino mass in order to minimize the 2-loop corrections.

As a result, we conclude that there is only a few % correction to proton decay lifetime in the split SUSY scenario.

### **B** Field Decomposition

The Kähler potential for  $\Phi$  in the SU(5) irreducible representation is decomposed as follows;

$$\mathcal{K} = \Phi^{\dagger} \Phi = \sum_{i} \phi_{i}^{\dagger} \phi_{i}. \tag{49}$$

Here,  $\phi_i$  transforms as an irreducible representation under the SM gauge groups.  $\Phi^{\dagger}$  and  $\phi^{\dagger}$  denote the anti-chiral superfields. In this section, we turn off gauge interactions for simplicity.

In Table 5, we give the SM decomposition of the SU(5) irreducible representations, whose Dynkin indices are below that of **75** representation. In this table, square brackets  $[\cdots]$  represent antisymmetric indices while braces  $\{\cdots\}$  represent symmetric indices.

We summarize the vacuum polarization coefficients  $b_{ij}$ , defined in Eq. (24), from the above-mentioned irreducible representations. In Table 6,  $b_{ij}$  from each representations are

SU(5) representation	labels and SM representations
$\Phi^A({f 5})$	$\phi_1^a = (1, 2)_{rac{1}{2}}, \hspace{1em} \phi_2^a = (3, 1)_{-rac{1}{3}}$
$\Phi^{[AB]}(10)$	$\phi_1^{[ab]}=(1,1)_1,  \phi_2^{[lphaeta]}=(\overline{3},1)_{-rac{2}{3}},  \phi_3^{alpha}=(3,2)_{rac{1}{6}}$
$\Phi^{\{AB\}}({f 15})$	$\phi_1^{\{ab\}} = (1, 3)_1,  \phi_2^{\{lphaeta\}} = (6, 1)_{-rac{2}{3}},  \phi_3^{alpha} = (3, 2)_{rac{1}{6}}$
$\Phi^A_B({f 24})$	$(\phi_1)^a_b = ({f 1},{f 3})_0,  (\phi_2)^lpha_eta = ({f 8},{f 1})_0,  \phi_3 = ({f 1},{f 1})_0, \ (\phi_4)^lpha = ({f 3},{f 2})_5  (\phi_5)^a = ({f \overline 3},{f 2})_5$
$\Phi^{\{ABC\}}({f 35})$	$\phi_1^{\{abc\}} = (1, 4)_{-rac{3}{2}},  \phi_2^{\{ab\}lpha} = (3, 3)_{rac{2}{3}}, \ \phi_3^{\{lphaeta\}a} = (6, 2)_{-rac{1}{6}},  \phi_4^{\{lphaeta\gamma\}} = (10, 1)_{-1}$
$\Phi^{\{AB\}C}(40)$	$egin{aligned} \phi_1^a &= (1,2)_{rac{3}{2}},  \phi_2^lpha &= (3,1)_{rac{2}{3}},  \phi_3^{\{ab\}lpha} &= (3,3)_{rac{2}{3}}, \ (\phi_4)^a_lpha &= (\overline{3},2)_{-rac{1}{6}},  \phi_5^{\{lphaeta\}a} &= (6,2)_{-rac{1}{6}},  (\phi_6)^lpha_eta &= (8,1)_{-1} \end{aligned}$
$\Phi^A_{[BC]}({f 45})$	$\phi_1^a = (1, 2)_{-rac{1}{2}}, \hspace{0.2cm} \phi_2^lpha = (3, 1)_{-rac{4}{3}}, \hspace{0.2cm} \phi_3^{alpha} = (3, 2)_{rac{7}{6}}, \ (\phi_4)^{lpha a}_eta = (8, 2)_{-rac{1}{2}}, \hspace{0.2cm} (\phi_5)_lpha = (\overline{3}, 1)_{rac{1}{3}}, \ (\phi_6)^a_{blpha} = (\overline{3}, 3)_{rac{1}{2}}, \hspace{0.2cm} \phi_7^{\{lphaeta\}} = (6, 1)_{rac{1}{2}}$
$\Phi_{[AB][CD]}(50)$	$\begin{array}{cccc} \phi_1 = (1, 1)_{-2}, & \phi_2^{\alpha} = (3, 1)_{-\frac{1}{3}}, & (\phi_3)_{\alpha}^a = (\mathbf{\overline{3}}, 2)_{-\frac{7}{6}}, \\ (\phi_4)^{[\alpha\beta]} = (6, 1)_{\frac{4}{3}}, & (\phi_5)_{[\alpha\beta][ab]} = (\mathbf{\overline{6}}, 3)_{-\frac{1}{3}}, & (\phi_6)_{\alpha a}^{\beta} = (8, 2)_{\frac{1}{2}}, \end{array}$
$\Phi_C^{\{AB\}}({f 70})$	$ \begin{array}{cccc} \phi_1^a = (1, 2)_{\frac{1}{2}}, & \phi_2^\alpha = (3, 1)_{-\frac{1}{3}}, & (\phi_3)_c^{\{ab\}} = (1, 4)_{\frac{1}{2}}, \\ & (\phi_4)_b^{a\alpha} = (3, 3)_{-\frac{1}{3}}, & (\phi_5)_\alpha^{\{ab\}} = (\overline{3}, 3)_{\frac{4}{3}}, \\ & (\phi_6)_\beta^{\alpha a} = (8, 2)_{\frac{1}{2}}, & (\phi_7)_a^{\{\alpha\beta\}} = (6, 2)_{-\frac{7}{2}}, & (\phi_8)_\gamma^{\{\alpha\beta\}} = (15, 1)_{-\frac{1}{2}}. \end{array} $
$\Phi^{[AB]}_{[CD]}({f 75})$	$\begin{array}{c} (\phi_1)_{\alpha} = (\overline{3}, 1)_{-\frac{5}{3}},  \phi_2^{\alpha} = (3, 1)_{\frac{5}{3}},  \phi_3^{\alpha a} = (3, 2)_{-\frac{5}{6}}, \\ (\phi_4)_{\alpha a} = (\overline{3}, 2)_{\frac{5}{6}},  \phi_5^{\{\alpha\beta\}a} = (\overline{6}, 2)_{-\frac{5}{6}},  (\phi_6)_{\{\alpha\beta\}a} = (6, 2)_{\frac{5}{6}}, \\ \phi_7 = (1, 1)_0,  (\phi_8)_{\beta}^{\alpha} = (8, 1)_0,  (\phi_9)_{\beta b}^{\alpha a} = (8, 3)_0 \end{array}$

Table 5: SM decomposition of the SU(5) irreducible multiplets.  $(r_C, r_W)_Y$  denotes a representation transforming as  $SU(3)_C r_C$ -plet and  $SU(2)_L r_W$ -plet with hypercharge Y.

shown except those from  $5 + \overline{5}$ , 24, and 75 which have been already shown in Table 3. As a check, the sum of  $b_{ij}$  in a representation divided by its Dynkin index is a representation independent constant number.

### C The Interaction Terms Including VEVs

In this appendix, we give information about the interaction terms including GUT-breaking VEVs, which are needed to calculate the vacuum polarization coefficient  $a_i$  in Table 3. The normalization of component fields follows the definition in the previous appendix.

For an adjoint multiplet  $\Phi_B^A$ , the Kähler potential is given by

$$\mathcal{K}_{24} = \Phi_B^{\dagger A} (e^{-2g_5 V})_A^C (e^{2g_5 V})_D^B \Phi_C^D.$$
(50)

 $\Phi_B^A$  obtains the GUT-breaking VEV, which is given as Eq. (4), giving the X boson a mass  $M_X = 5g_5v_{24}$ . The interaction terms induced by the Kähler potential are given by

$$\mathcal{K}_{v}^{24} = 2g_{5}M_{X}\left\{-X^{\dagger}X(\phi_{1}+\phi_{1}^{\dagger})+X^{\dagger}X(\phi_{2}+\phi_{2}^{\dagger})+\frac{5}{\sqrt{30}}X^{\dagger}X(\phi_{3}+\phi_{3}^{\dagger})\right\} + gM_{X}\left\{-G(X\cdot(\phi_{4}+\phi_{5}^{\dagger}))+W(X\cdot(\phi_{4}+\phi_{5}^{\dagger}))+\frac{5}{\sqrt{30}}B(X\cdot(\phi_{4}+\phi_{5}^{\dagger}))\right\} + \text{h.c..}$$
(51)

Here, the non-Abelian MSSM vector supermultiplets are denoted as  $G \to G^{\alpha}_{\beta} = \sqrt{2}G^a(T^a)^{\alpha}_{\beta}$ and  $W \to W^r_s = \sqrt{2}W^a(t^a)^r_s$ , where  $T^a$  and  $t^a$  respectively denote the generator of  $SU(3)_C$ and  $SU(2)_L$ . We also define  $(A \cdot B) = \epsilon_{ab}A^aB^b$ .

For a **75** representation  $\Phi_{[CD]}^{[AB]}$ , the Kähler potential is given by

$$\mathcal{K}_{75} = \Phi^{\dagger [AB]}_{[CD]}(e^{2g_5 V})^C_E(e^{2g_5 V})^D_F(e^{-2g_5 V})^G_A(e^{-2g_5 V})^H_B \Phi^{[EF]}_{[GH]}.$$
(52)

The GUT-breaking VEV of  $\Phi_{(CD)}^{(AB)}$  is given in Eq. (7). The X boson becomes massive with  $M_X = 2\sqrt{6}g_5v_{75}$  after we substitute the VEV for  $\Phi$  and  $\Phi^{\dagger}$ , while the interaction terms proportional to the VEV are

$$\mathcal{K}_{v}^{75} = g_{5}M_{X} \left\{ -X^{\dagger}X(\phi_{8} + \phi_{8}^{\dagger}) + \frac{4}{\sqrt{3}}X^{\dagger}X(\phi_{7} + \phi_{7}^{\dagger}) + \sqrt{6}X^{\dagger}X(\phi_{9} + \phi_{9}^{\dagger}) \right\} - gM_{X} \left\{ -G(X \cdot (\phi_{3} + \phi_{4}^{\dagger})) + X(W \cdot (\phi_{3} + \phi_{4}^{\dagger})) + \frac{5}{\sqrt{30}}B(X \cdot (\phi_{3} + \phi_{4}^{\dagger})) \right\} + \text{h.c.} + \frac{\sqrt{6}}{2}gM_{X}\epsilon_{ab}\epsilon^{\alpha\beta\gamma}X_{\alpha}^{a}X_{\beta}^{b}(\phi_{1} + \phi_{2}^{\dagger})_{\gamma} + \text{h.c.}$$

$$(53)$$

reps.	$b_{ij}$
$10+\overline{10}$	$b_{13} = b_{\overline{13}} = 1$
	$b_{23} = b_{\overline{23}} = 2$
$15+\overline{15}$	$b_{13} = b_{\overline{13}} = 3$
	$b_{23} = b_{\overline{23}} = 4$
25 1 25	$b_{12} = b_{\overline{12}} = 0$
35 + 35	$b_{23} = b_{\overline{23}} = 12$
	$b_{34} = b_{\overline{34}} = 10$
	$b_{12} = b_{13} = b_{\overline{12}} = b_{\overline{13}} = 3/2$ $b_{22} = b_{22} = b_{\overline{13}} = b_{\overline{13}} = 3/2$
$40 \pm \overline{40}$	$b_{25} = b_{35} = b_{25} = b_{35} = 3$ $b_{24} = b_{} = 1/2$
10   10	$b_{24} = b_{24} = 1/2$ $b_{24} = b_{\overline{24}} = 9/2$
	$b_{46} = b_{56} = b_{\overline{46}} = b_{\overline{46}} = 4$
	$b_{12} = b_{\overline{12}} = 4/3$
	$b_{15} = b_{\overline{15}} = 1/3$
	$b_{16} = b_{35} = b_{37} = b_{\overline{16}} = b_{\overline{35}} = b_{\overline{37}} = 2$
40 + 40	$b_{24} = b_{45} = b_{\overline{24}} = b_{\overline{45}} = 8/3$
	$b_{36} = b_{\overline{36}} = 3$
	$b_{46} = b_{47} = b_{\overline{46}} = b_{\overline{47}} = 4$
	$b_{13} = b_{\overline{13}} = 2$
	$b_{23} = b_{\overline{23}} = 3$
$50+\overline{50}$	$b_{26} = b_{\overline{26}} = 4$
	$b_{35} = b_{\overline{35}} = 6$
	$b_{46} = b_{46} = 8$
	$b_{56} - b_{56} - 12$
$70+\overline{70}$	$b_{12} = b_{15} = b_{26} = b_{35} = b_{12} = b_{15} = b_{26} = b_{35} = 2$ $b_{14} = b_{12} = 1$
	$b_{14} = b_{14} = 1$ $b_{27} = b_{\overline{27}} = 3$
	$b_{34} = b_{46} = b_{\overline{24}} = b_{\overline{46}} = 4$
	$b_{47} = b_{47} = 6$
	$b_{56} = b_{\overline{56}} = 8$
	$b_{68} = b_{\overline{68}} = 10$
	$b_{78} = b_{\overline{78}} = 5$

Table 6: Vacuum polarization coefficients  $b_{ij}$ .  $b_{ij}$  which are not listed here are zero.

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