Points: 16 + 2 bonus

Due: 2.12.2021 23:59 CET

To get access to this week's code use the following link: https://classroom.github.com/a/lm4BKkH2

General constraints for submissions: Please adhere to these rules to make our and your life easier! We will deduct points if you fail to do so.

- Your code should work with Python 3.8.
- You should only fill out the *TODO-gaps* and not change anything else in the code.
- Add comments to your code, to help us understand your solution.
- Your code should adhere to the PEP8 style guide. We allow line lengths of up to 120.
- While working on the exercise, push all commits to the dev branch (details in assignment 1). Only push your final results to the master branch, where they will be automatically tested in the cloud. If you push to master more than 3 times per exercise, we will deduct points.
- All provided unit tests have to pass: In your *GitHub* repository navigate to *Actions* → your last commit → Autograding → education/autograding to see which tests have passed. The points in autograding only show the number of tests passed and have nothing to do with the points you get for the exercise.
- for loops can be slow in Python, use vectorized numpy operations wherever possible (see assignment 1 for an example).
- Submit a single PDF named submission.pdf with the answers and solution paths to all pen and paper questions in the exercise. You can use Latex with the student template (provided in exercise 1 / ILIAS) or do it by hand.
- Please help us to improve the exercises by filling out and submitting the feedback.md file.
- We do not tolerate plagiarism. If you copy from other teams or the internet, you will get 0 points. Further action will be taken against repeat offenders!
- Passing the exercises ($\geq 50\%$) is a requirement for passing the course.

How to run the exercise and tests

- See the setup.pdf in exercise 1 / ILIAS for installation details.
- We always assume you run commands in the root folder of the exercise repository.
- If you use miniconda, do not forget to activate your environment with conda activate mydlenv
- Install the required packages with pip install -r requirements.txt
- Python files in the *root folder* of the repository contain the scripts to run the code.
- Python files in the tests/ folder of the repository contain the tests that will be used to check your solution.
- Test everything at once with python -m pytest
- Run a single test with python -m tests.test_something (replace something with the test's name).
- To check your solution for the correct code style, run pycodestyle --max-line-length 120 .
- The scripts runtests.sh (Linux/Mac) or runtests.bat (Windows) can be used to run all the tests described above.

This exercise focuses on convolutional neural networks. We will:

- Implement a convolution layer
- Extend the convolution with various parameters
- Apply a convolutional network

1. Pen and Paper Tasks

To get an intuition of how convolution works, you are going to solve the forward as well as the backward pass of a 1D cross-correlation of a given input. Remember that convolution is just like cross-correlation, except that we flip over the filter before correlating.

You are given the following:

An input,
$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}$$

A kernel,
$$\mathbf{w} = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \end{bmatrix}$$

A scalar bias, b.

When this kernel is applied by sliding over the given input, with a stride of 1 and no padding, we expect an output $\hat{\mathbf{y}} = \begin{bmatrix} \hat{y}_1 \\ \hat{y}_2 \end{bmatrix}$

Consider the loss function L to be given by:

$$L(\mathbf{y}, \hat{\mathbf{y}}) = \frac{1}{2} \|\mathbf{y} - \hat{\mathbf{y}}\|_2^2$$

Remember, do not flip the kernel, since we compute cross-correlation.

Your tasks are:

1) [1 point] Perform a single forward pass of this cross-correlation with stride 1 and no padding. Then, plug in the following values for \mathbf{x}, \mathbf{w} and b to get $\hat{\mathbf{y}}$:

$$\mathbf{x} = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}$$

$$\mathbf{w} = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix}$$

$$b = 1$$

Make sure you show the formulas, not just the end results. Also, compute the loss L. Consider $\mathbf{y} = \begin{bmatrix} 2 \\ 4 \end{bmatrix}$ to be the ground truth.

- 2) [2 points] Perform a single backward pass of this convolution, i.e., find $\frac{\partial L}{\partial \mathbf{w}}$ and $\frac{\partial L}{\partial b}$.
- 3) [1 point] Now, plug in the values from (1) above to get the updated values of \mathbf{w} and b at the end of the backward pass. Assume a learning rate of 0.01. Perform one more forward pass with the updated weights and bias. What is the loss now?

2. [1 point] Image Dataset

With convolutions, we finally can treat the MNIST data samples as what they are: grayscale images. Instead of flattened features, we load them in 3D shape (number of channels, height, width). The number of channels in this case is 1. When we consider the different samples too, the shape of x_train now becomes: x_train.shape == (n_samples, channels, width, height) == (50000, 1, 28, 28).

Todo: Complete the function plot_data in file lib/plot_images.py and run file plot_mnist.py. A sample plot looks like this:

MNIST Training data

5 0 4

1 4 3 5

3 6 1 7 2 8 6 9

3. [1 point] Convolution Output Size

The output of the convolution operation depends on the input size (I), filter size (W), stride (S), and padding (P).

Todo: Implement the formula for computing the output dimensions of a convolution operation. Complete the function calculate_conv_output in file lib/convolutions.py and run file show_conv_output_dims.py

4. ConvLayer Implementation

1) [2 points] In this exercise, you have to implement the forward pass of your own convolutional layer, which actually computes *cross-correlation* (this is to ensure compatibility with PyTorch). This means we do not flip the kernel.

But before you dive in, finish the TODOs in lib/convolution_warmup.py. This exercise will familiarize you with the building blocks of the forward pass of a 2D convolution. You can then apply what you learned in the next part of this exercise.

2) [2 points] Having finished the warmup, you're now ready to implement a forward pass of the 2D convolution. The backward pass has already been implemented for you.

Input dimensions for the 2d conv layer are (batch, channel, width, height).

Todo: Complete class Conv2D in file lib/convolutions.py and run file run_simple_conv.py

Note: Work iteratively. E.g. first implement simple convolution, add stride and padding afterwards. Additionally, note that the tests in tests/test_conv_layer.py are also iterative.

Note: You may iterate over that last two axes of the activation map using nested for-loops. However, to keep the implementation fast, **do not use more than two nested for-loops in the convolution**. Broadcasting over channels can be helpful here.

5. [2 points] Experiments

Now let us finally train our model. Make sure to set the correct input dimensions. For faster training, we have limited the number of samples to 10000. You can reduce the number of samples to train/validate on if training takes too long on your device, or increase it to see if results improve. To do so, add the argument --max_datapoints NUM_DATAPOINTS when running either of the two experiment scripts.

Todo: Complete function create_conv_model in file lib/experiments.py and run file run_conv_experiment.py. You should get roughly 96% accuracy at the end of the tenth epoch.

6. Shift Invariance

1) [2 points] Implementation

Let us now explore an important property of convolutions: shift invariance. For this, we will shift the validation data by two pixels to the right and bottom. Then, we will compare the accuracies of a standard MLP and the convolution model we trained above on the shifted data.

Todo: Complete function run_shifted_conv_experiment in file lib/experiments.py and run the file run_shifted_conv_experiment.py

2) [2 points] Questions

Todo: What do you observe regarding the accuracies? How can the results be explained?

Todo: What could we do to improve the accuracy of the MLP model on the shifted validation set?

7. [1 bonus point] Code Style

On every exercise sheet, we will also make use of pycodestyle to adhere to a common python standard. Your code will be automatically evaluated on submission (on push to master). Run pycodestyle --max-line-length=120 . to check your code locally.

8. [1 bonus point] Feedback

Todo: Please give us feedback by filling out the feedback.md file.

- Major Problems?
- Helpful?
- Duration (hours)? For this, please follow the instructions in the feedback.md file.
- Other feedback?

This assignment is due on 2.12.2021 23:59 CET. Submit your solution for the tasks by uploading (git push) the PDF, txt file(s) and your code to your group's repository. The PDF has to include the name of the submitter(s). Teams of at most 3 students are allowed.