

# Deep Learning for Audio

## Lecture 3

Pavel Severilov

AI Masters

2023

# Outline

1. RNN-Transducer (RNN-T)
2. Language models for ASR
3. Byte-pair encoding (BPE)
4. Whisper

# ASR: SOTA models

- ▶ RNN-T (2018, Google)
- ▶ MoChA (2018, Google) (Tricks to make LAS online)
- ▶ wav2vec (2019, Facebook AI Research) (use WAV not spectrograms)
- ▶ Jasper (2019, Nvidia) (Encoder – CNN ; Loss: CTC)
- ▶ QuartzNet (2019, Nvidia) (Encoder – TDS CNN ; Loss – CTC)
- ▶ ContextNet (2020, Google) (Encoders – CNN and LSTM ; Loss – RNN-T)
- ▶ Whisper (2022, OpenAi) (Encoder - Transformer; Loss - multitask)

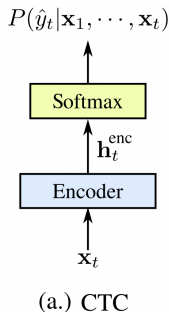
# Outline

1. RNN-Transducer (RNN-T)
2. Language models for ASR
3. Byte-pair encoding (BPE)
4. Whisper

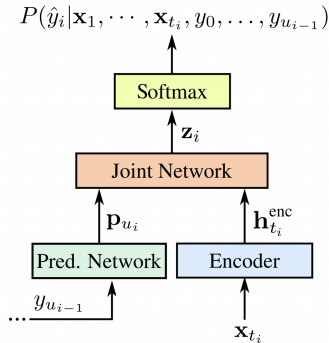
## CTC and Attention models: recap

	<b>CTC</b>	<b>Listen, Attend and Spell: LAS</b>	<b>?</b>
Summary	Maximize probability of all possible CTC-paths leading to target.	Encoder-decoder architecture with attention.	???
Online	+	-	+
Context dependent	-	+	+
Multiple outputs for each input	-	-	+

# RNN-T: idea



(a.) CTC



(b.) RNN-Transducer

- ▶ Predictor is autoregressive: takes as input the previous outputs.
- ▶ Joiner – feedforward network, combines the encoder vector  $\mathbf{h}_t$  and predictor vector  $\mathbf{p}_u$

He et al. *Streaming End-to-end Speech Recognition for Mobile Devices* / 2019, Google, Inc.

## RNN-T: model

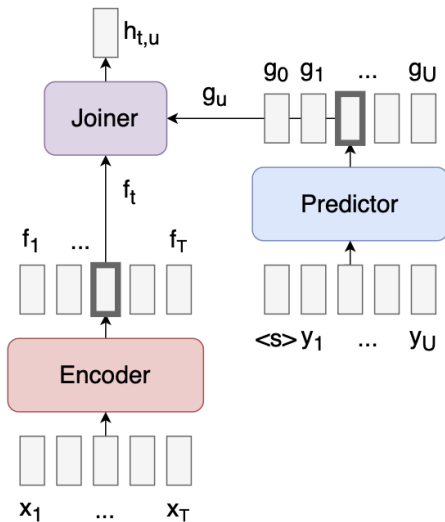
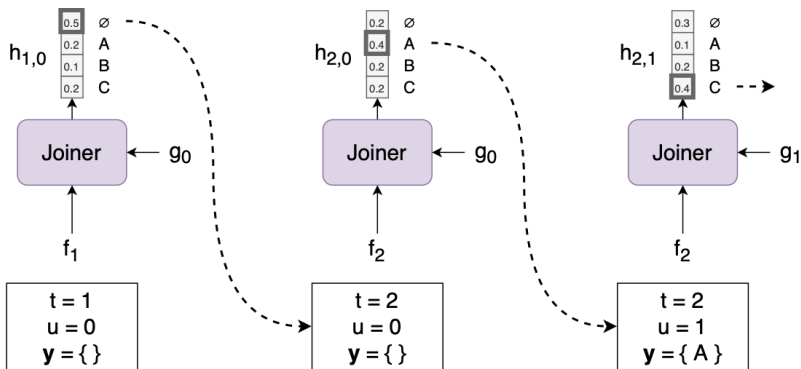


Figure: RNN-T architecture

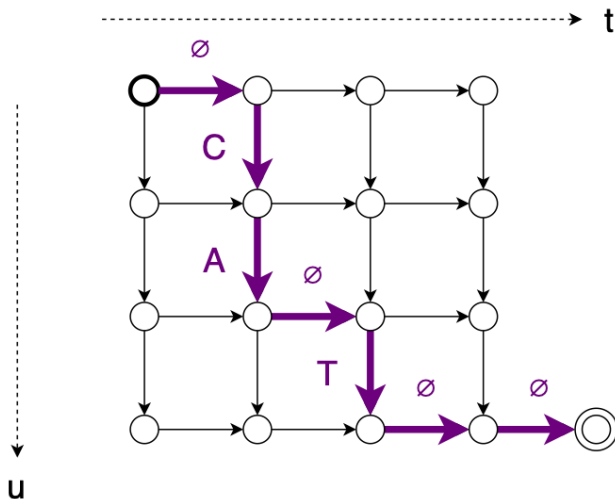
# RNN-T: model



**Figure:** Steps example of RNN-T inference:  $t$  – audio-encoder timestamp,  $u$  – Predictor (char network) step



## RNN-T: training



**Figure:** Alignment  $\{\emptyset, C, A, \emptyset, T, \emptyset, \emptyset\}$  for input sequence of length  $T = 4$  and an output sequence "CAT" of length  $U = 3$

## RNN-T: training

We need to get  $p(\mathbf{y}|\mathbf{x})$  as the sum of the probabilities of all possible alignments between  $\mathbf{x}$  and  $\mathbf{y}$

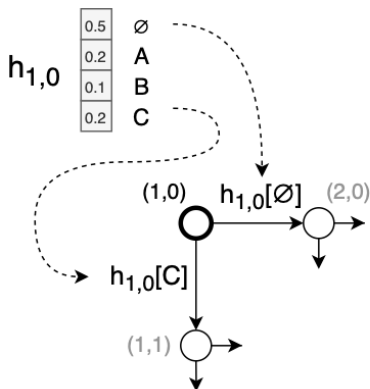


Figure:

$\mathbf{z} = \emptyset, C, A, \emptyset, T, \emptyset, \emptyset$

$$p(\mathbf{z} | \mathbf{x}) = h_{1,0}[\emptyset] \cdot h_{2,0}[C] \cdot h_{2,1}[A] \cdot h_{2,2}[\emptyset] \cdot h_{3,2}[T] \cdot h_{3,3}[\emptyset] \cdot h_{4,3}[\emptyset]$$

*Sequence-to-sequence learning with Transducers blog post*

## RNN-T: training

**Objective:** minimize the loss function  $-\log p(y|x)$

To compute the sum efficiently, compute  $\alpha_{t,u}$ , for  $1 \leq t \leq T$  and  $0 \leq u \leq U$

$$\alpha_{t,u} = \alpha_{t-1,u} \cdot h_{t-1,u}[\emptyset] + \alpha_{t,u-1} \cdot h_{t,u-1}[y_{u-1}]$$

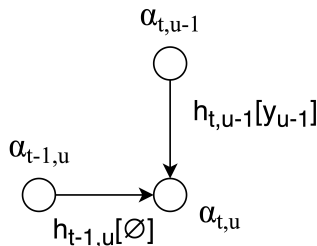


Figure: Computing  $\alpha_{t,u}$  to get  $p(\mathbf{y} | \mathbf{x}) = \alpha_{T,U} \cdot h_{T,U}[\emptyset]$

# Outline

1. RNN-Transducer (RNN-T)
2. Language models for ASR
3. Byte-pair encoding (BPE)
4. Whisper

# Language models (LM): why need in ASR?

- ▶ Language models recap: a model that estimates the probability of a text.
  - ▶ N-gramms
  - ▶ Neural networks (BERT, GPT-3, ...)
  - ▶ Example:  
 $P(\text{let's go two a movie}) = 0.01$   
 $P(\text{let's go to a movie}) = 0.6$
- ▶ ASR problem:
  - ▶ Spelling of a word heavily depends on its context
  - ▶ Labeled audio data is difficult to obtain
- ▶ How LM helps:
  - ▶ Improves final WER
  - ▶ Improves performance for small audio datasets
  - ▶ Can be used to adapt model to new domain

# LM: how to integrate in ASR?

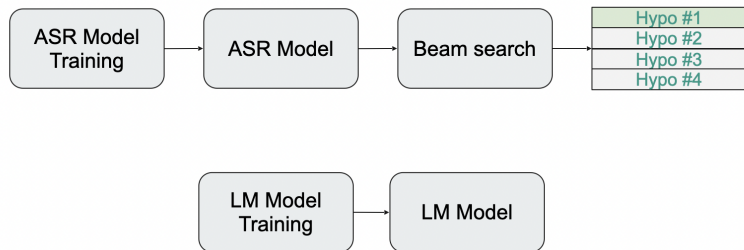
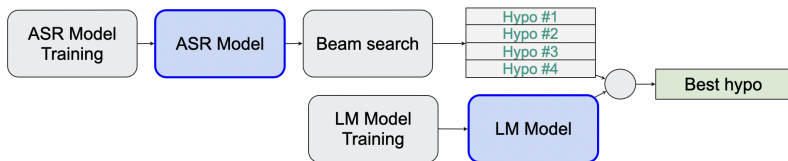


Figure: ASR pipeline VS Language models pipeline

## LM: final hypothesis rescoring



**Figure:** Final hypothesis rescoring: rescore beam-search output with LM probs

$$\mathbf{y}^* = \arg \max_{\mathbf{y}} \log p(\mathbf{y} \mid \mathbf{x}) + \lambda \log p_{LM}(\mathbf{y}) + \beta \cdot \text{len}(\mathbf{y})$$

$\text{len}(\mathbf{y})$  – function of word length, anti-penalty for long words

## LM: shallow fusion

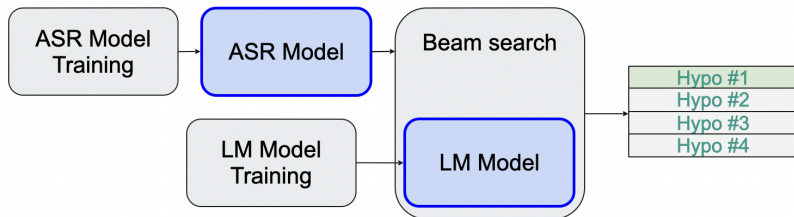


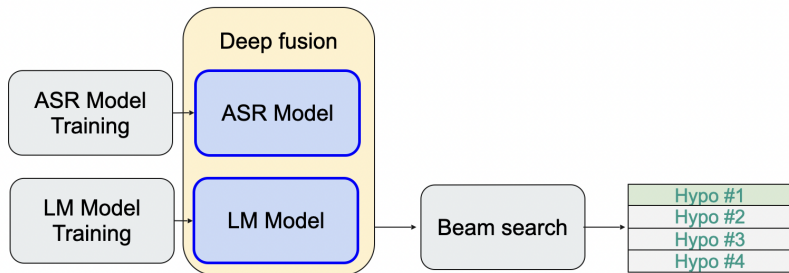
Figure: Shallow fusion: use LM rescoring after each beam search step

Practice:

- ▶ requires much more LM runs
- ▶ use light LM for shallow fusion
- ▶ use heavy LM for second-pass rescoring

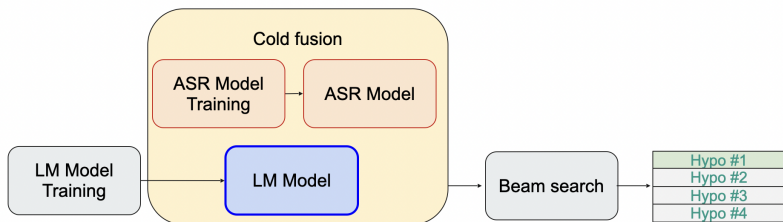


## LM: Deep fusion



**Figure:** Deep fusion: integrates the external LM into the encoder-decoder model (ASR) by fusing together the hidden states of the external LM and the decoder

# LM: Cold fusion



**Figure:** Cold fusion: train like in Deep fusion, but jointly with ASR model

## LM in ASR: comparison of approaches

Model	SWB	CH	Full
LAS	17.1	27.9	22.6
Shallow Fusion	<b>15.6</b>	<b>26.6</b>	<b>21.1</b>
Deep Fusion	16.3	27.2	21.7
Cold Fusion	16.3	27.3	21.8

**Table:** Word error rates (%) on Eval2000 for the LAS baseline model and fusion approaches. SWB=Switchboard, CH=CallHome, Full=Eval2000.

# Outline

1. RNN-Transducer (RNN-T)
2. Language models for ASR
3. Byte-pair encoding (BPE)
4. Whisper

# BPE: motivation & idea

- ▶ Motivation: a lot of characters have different pronunciation in different contexts
- ▶ Idea: let's use n-gramms as tokens in addition
- ▶ Advantages:
  - ▶ Less decoder steps → faster training and inference
  - ▶ Better generalization → better WER

## BPE: algorithm

1. Each character – token
2. Most popular n-gram: add new token
3. Replace n-gram with a new token
4. Restrict maximum length of tokens
5. New vocabulary = all characters + new tokens

Iteration	Sequence	Vocabulary
0	a b a b c a b c	{a, b, c}
1	ab ab c ab c	{a, b, c, ab}
2	ab abc abc	{a, b, c, ab, abc}
3	ababc abc	{a, b, c, ab, abc, ababc}
4	ababcabc	{a, b, c, ab, abc, ababc, ababcabc}

Table: BPE: example for sequence {ababcabc}

# Outline

1. RNN-Transducer (RNN-T)
2. Language models for ASR
3. Byte-pair encoding (BPE)
4. Whisper

# Whisper

- ▶ ASR system trained on 680,000 hours of multilingual and multitask data collected from the web
- ▶ Shows that large and diverse dataset leads to improved robustness to accents, background noise and technical language
- ▶ Can translate text into English
- ▶ 99 languages in train



# Whisper: training data

## Multitask training data (680k hours)

### English transcription



"Ask not what your country can do for ..."



Ask not what your country can do for ...

### Any-to-English speech translation



"El rápido zorro marrón salta sobre ..."



The quick brown fox jumps over ...

### Non-English transcription



"언덕 위에 올라 내려다보면 너무나 넓고 넓은 ..."



언덕 위에 올라 내려다보면 너무나 넓고 넓은 ...

### No speech



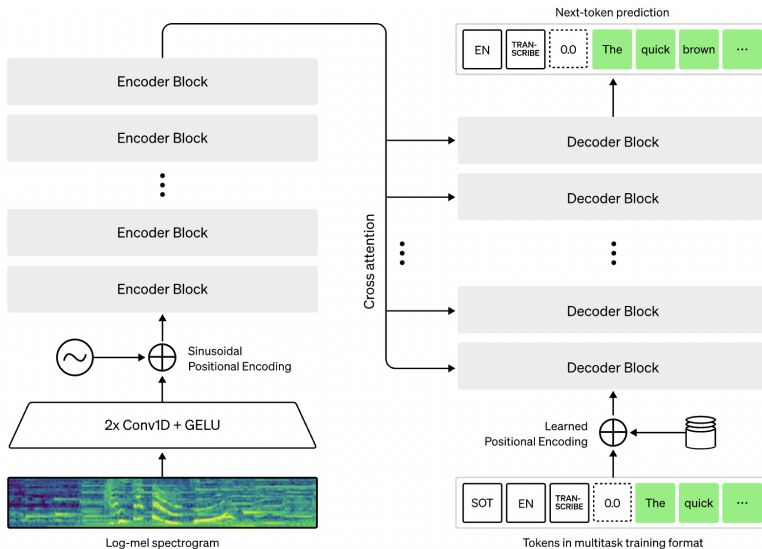
(background music playing)



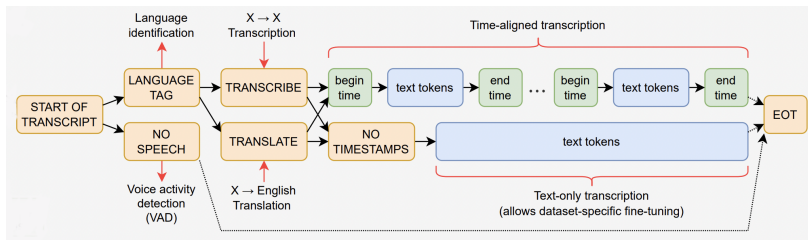
∅

**Figure:** 17% Multilingual Speech Recognition (117k hours), 18% Translation (126k hours), 65% English Speech Recognition (438k hours)

# Whisper: architecture



# Whisper: multitask learning



# Whisper: results

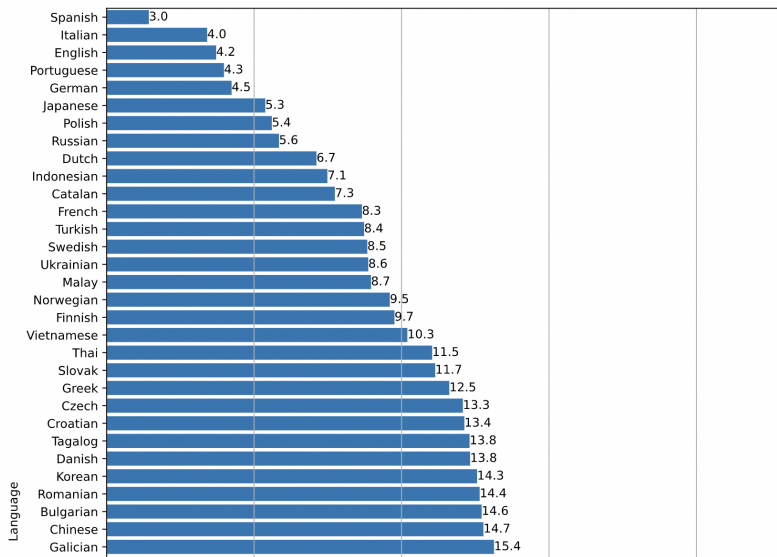


Figure: WER, %, Fleurs dataset