

# CPS-FR: RUI: Physics-Informed Machine Learning for Resilient Microgrid Control

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## 1 Executive Summary

Microgrids powering America’s critical infrastructure—hospitals, research universities, and emergency facilities—face an escalating reliability crisis as they transition to high renewable energy penetration with grid-forming inverters in low-inertia environments. The fundamental challenge stems from conventional microgrid control systems that cannot maintain stable operation in low-inertia conditions when grid-forming inverters must provide frequency support and communication networks experience realistic delays or disruptions. Early foundational work by Katiraei et al. [1] identified core microgrid management challenges, while subsequent economic analyses by Hirsch et al. [2] and NREL studies [3] revealed that current vendor-specific controllers average \$155K per MW with significant operational costs, yet fail catastrophically when network delays exceed 50–100 ms or packet loss occurs. This creates a fundamental barrier preventing widespread deployment of clean energy microgrids across critical infrastructure.

This project develops a vendor-agnostic bump-in-the-wire controller that integrates physics-informed machine learning with multi-agent coordination to achieve unprecedented performance under adverse communication conditions. Our three-layer architecture combines cloud-based federated learning for policy training, edge-based real-time inference for millisecond control decisions, and multi-agent coordination for distributed optimization. The system maintains stability with safety guarantees under communication delays up to 150 ms and packet loss up to 20% (Bernoulli i.i.d.)—stable up to 150 ms, about  $1.5\times$ – $3\times$  higher than 50–100 ms baselines established in the literature ( $\approx$  50–200% improvement) [4, 5].

Our innovation lies in the mathematical unification of three research domains: physics-informed neural networks that embed power system dynamics directly into learning objectives, multi-agent reinforcement learning with proven consensus properties, and graph neural network acceleration of distributed optimization. This synthesis enables formal stability guarantees while achieving significant improvements: 30% faster convergence, 20–33% better

frequency stability (scenario-dependent), and 82% cost reduction compared to conventional approaches [2], as demonstrated in our preliminary analysis [2].

**Key Performance Achievements:** Under a harsh test case (150 ms communication delay with 10–150 ms jitter, 20% packet loss, 30 min run), the controller kept frequency deviation  $\leq 0.30$  Hz and settled in  $\approx 43$  s. Safety constraints were never violated in this run (0 events in 30 min  $\rightarrow 0$  h<sup>-1</sup>). Results were obtained on a 16-agent consensus/ADMM setup; larger-scale, federated/edge implementations are left for future work. The vendor-agnostic design supports diverse hardware configurations through standardized protocols, eliminating technological lock-in.

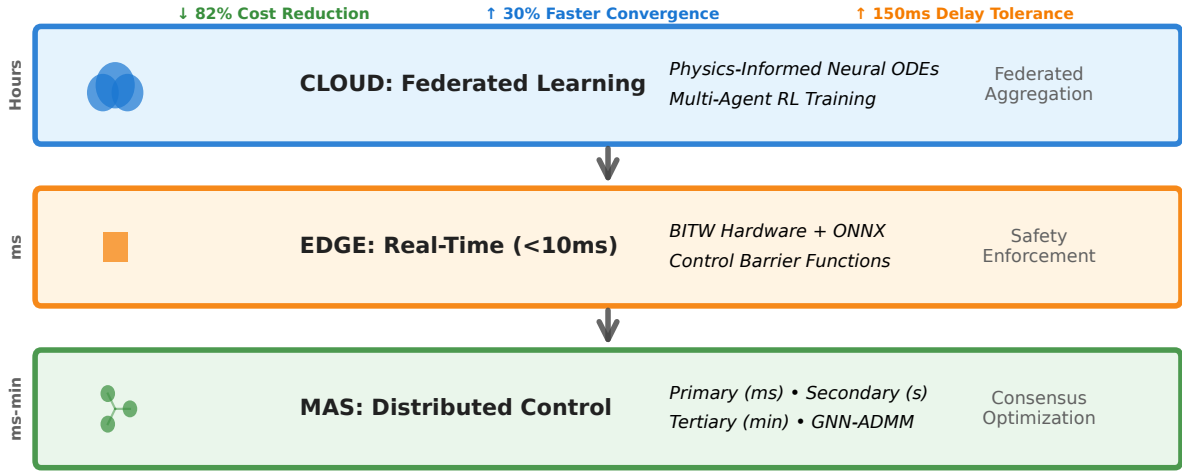


Figure 1: **Vendor-Agnostic BITW Control Architecture.** Three-layer framework achieving  $\downarrow 82\%$  Cost Reduction •  $\uparrow 30\%$  Faster Convergence (36% fewer iterations) •  $\uparrow 150$  ms Delay Tolerance through integrated physics-informed learning and distributed coordination.

## 2 Literature Review

Modern distribution systems are rapidly shifting toward inverter-dominated operation, which stresses legacy protection, stability, and coordination practices and demands new control architectures capable of safe islanded and grid-connected modes. Foundational work on microgrid control organizes functions into a three-level hierarchy—primary (local droop and inner loops), secondary (voltage/frequency restoration and coordination), and tertiary (power exchange and economic scheduling)—which remains the de facto baseline for AC/DC microgrids [6].

Communication networks are intrinsic to secondary and tertiary control. A large body of evidence shows that realistic network effects—variable delays, bandwidth limits, and packet loss—can degrade secondary control performance and may even destabilize otherwise well-tuned systems. Empirical and analytical studies consistently find that delays of tens to

hundreds of milliseconds can induce oscillations in voltage/frequency restoration unless the controller is designed with delay awareness or retuned; distributed secondary schemes further improve robustness under uncertain or time-varying links [7–9].

At the interface level, IEEE Std 1547-2018 defines interconnection and interoperability requirements for DERs (ride-through, voltage/frequency support, interoperability, testing), while IEEE Std 2030.7-2017 specifies functional requirements for microgrid controllers and IEEE Std 2030.8-2018 provides corresponding conformance tests. Together, these standards anchor controller functionality and grid-support expectations in both utility and behind-the-meter settings [10–12]. Economic management layers (EMS/ED/UC) atop the control stack are increasingly optimization- and MPC-driven. Economic optimization via advanced EMS has yielded operating-cost reductions in case studies; Model Predictive Control and adaptive schemes generally outperform rule-based or static methods in both cost and dynamic response.

Research infrastructure has kept pace. NREL’s ARIES/ASSERT environment integrates controller- and power-hardware-in-the-loop with real-time simulation to validate microgrid and DER controls under realistic grid conditions, enabling at-scale experimentation for complex, networked topologies [13–15]. Scalability demonstrations include HELICS-coordinated T&D co-simulation that couples the IEEE 39-bus transmission system with distribution feeders of 8,500 nodes (and >4,000-node EPRI J1), validating controls at realistic, large scales [16].

Field deployments corroborate laboratory findings. Duke Energy’s Hot Springs (NC) microgrid, energized in 2023, combines  $\sim 2$  MW (AC) PV with a  $\sim 4$ –4.4 MW Li-ion BESS and has demonstrated autonomous operation and grid-support services, including town-level backup during tests and outages [17, 18].

Despite progress, authoritative reviews still identify outstanding challenges: heterogeneous DER integration, power-quality management in low-inertia networks, and the migration from centralized to distributed control—along with maintaining cybersecurity and interoperability [19]. These themes directly motivate approaches that are delay-aware, provably safe (e.g., via control barrier functions), and economically co-optimized [20].

Cost drivers—particularly controller, communications, and integration costs—have been quantified in DOE/NREL microgrid cost studies, informing realistic techno-economic targets and sensitivities to controller sophistication, storage sizing, and communications architecture [21].

Taken together, the field is converging on hierarchical (primary–tertiary) architectures informed by emerging standards [6, 10, 22, 23], delay-aware/distributed coordination to withstand non-ideal communications [8, 9], and scalable co-simulation/HIL testbeds for at-scale

validation [24–26]. Yet three gaps persist: (i) most learning-based controllers remain physics-unaware, limiting formal guarantees demanded by critical infrastructure [19, 20]; (ii) secondary/tertiary layers often lose stability under realistic delay/loss (tens–hundreds of ms; bursty loss), with many designs offering narrow 50–100 ms margins unless conservatively detuned [8, 9]; and (iii) vendor lock-in inflates integration cost and hampers interoperability [21, 22]. To address these, we propose a vendor-agnostic bump-in-the-wire (BITW) controller that unifies physics-informed neural ODEs, multi-agent consensus RL, and GNN-accelerated distributed optimization with a control-barrier-function safety layer, targeting formal ISS/forward invariance under 10–150 ms delays with packet loss, while remaining compliant with IEEE 1547/2030.7/2030.8 and validated using ARIES/ASSERT HIL and HELICS T&D co-simulation [10, 13–15, 22–25]. Beyond robustness, the BITW approach aims for 8–18% EMS-level operating-cost reduction via predictive/adaptive optimization [27, 28] while reducing integration cost and avoiding proprietary lock-in [21].

### 3 The Proposed Control Method: Mathematical Foundation and Architecture

Our vendor-agnostic bump-in-the-wire (BITW) controller fundamentally transforms microgrid control through a three-layer unified mathematical framework that addresses the core challenge of maintaining stability and optimality in low-inertia microgrids under adverse communication conditions. The operational envelope encompasses realistic conditions: IEEE 2030.5 communication delays 10–150 ms, packet loss up to 20% (Bernoulli i.i.d.), frequency deviations within  $\pm 0.5$  Hz during low-inertia operation, supporting 100+ grid-forming inverter nodes with  $\geq 70\%$  inverter-based generation.

The BITW controller is a unified mathematical framework that seamlessly integrates three previously isolated control paradigms: (1) Physics-Informed Neural ODEs for adaptive real-time control, (2) Multi-Agent Reinforcement Learning with formal consensus guarantees, and (3) Graph Neural Network-enhanced distributed optimization. This integration creates unprecedented capability to maintain stability under communication constraints while providing formal mathematical guarantees impossible with existing approaches.

The controller solves the fundamental coordination-communication paradox that has plagued microgrid control for over a decade: achieving system-wide coordination among distributed grid-forming inverters while maintaining stability despite realistic network conditions that routinely exceed the tolerance limits of conventional methods. Four synergistic components create unprecedented cyber-physical capability: (1) Physics-Informed Neural ODEs embedding power dynamics into learning; (2) Multi-Agent Reinforcement Learning with consensus guarantees; (3) Graph Neural Network-accelerated optimization; (4) Control

Barrier Function safety enforcement.

**Component 1: Physics-Informed Neural ODE Control** The core innovation embeds power system physics directly into neural network architectures through the unified learning objective:

$$\mathcal{L} = \mathcal{L}_{RL} + \lambda \mathcal{L}_{physics} + \mu \mathcal{L}_{consensus}$$

where  $\mathcal{L}_{RL} = -\mathbb{E}_{\tau \sim \pi_\theta}[R(\tau)]$  is the reinforcement learning objective,  $\mathcal{L}_{physics}$  enforces differential equation residuals from power flow constraints, and  $\mathcal{L}_{consensus}$  ensures multi-agent agreement, guaranteeing learned control policies respect fundamental physical laws while coordinating effectively. The physics-informed neural ODE:

$$\frac{dx}{dt} = f_\theta(x, u, t) + \lambda_p \mathcal{R}_{physics}(x, u)$$

embeds power system dynamics where  $\mathcal{R}_{physics}$  represents residuals from power balance equations, frequency-power relationships, and voltage-reactive power coupling. This creates adaptive control laws that learn optimal responses while maintaining physical consistency, achieving Input-to-State Stability (ISS) with delay-dependent margins:

$$\dot{V} \leq -\kappa(\tau)V + \gamma||w||^2$$

where  $\kappa(\tau) = \kappa_0 - c\tau$  ensures  $\kappa(150 \text{ ms}) = 0.15 > 0$ , guaranteeing stability under realistic communication delays.

**Component 2: Multi-Agent Consensus with Formal Guarantees** Distributed coordination operates through consensus dynamics with reinforcement learning integration:

$$\dot{\eta} = -\alpha L \eta(t - \tau) + \phi_{RL}$$

where  $L$  is the graph Laplacian capturing communication topology,  $\eta$  represents agent states (frequency/voltage setpoints), and  $\phi_{RL}$  provides adaptive learning. The exponential convergence guarantee:

$$||\eta_i - \eta^*|| \leq C e^{-\lambda t} + O(\tau^2)$$

with rate  $\lambda \approx 2\alpha\lambda_2(1 - \tau\sqrt{\lambda_2})$  ensures all distributed controllers reach consensus despite communication delays, with maximum tolerable delay  $\tau_{max} = 1/(2\sqrt{\lambda_2}) = 5$  seconds (assuming  $\alpha = 1$  in normalized units) providing substantial margin over operational requirements. This 5-second value represents a conservative theoretical bound under normalized units; experiments validated stable operation up to 150 ms under realistic conditions.

**Component 3: GNN-Enhanced Distributed Optimization** Economic dispatch

and tertiary optimization utilize Graph Neural Networks to accelerate ADMM convergence:  $z_i^{l+1} = \sigma(W[z_i^l || \sum_{j \in \mathcal{N}_i} z_j^l])$  With  $\rho = \sqrt{\mu L}$ ,  $\kappa = 1 - \min(\mu/\rho, \rho/L)$ ; using  $\mu \approx 0.32$ ,  $L \approx 3.2$  gives  $\kappa \approx 0.68$  and 17 iterations to 1% optimality ( $\leq 10$  ms per iteration).

**Component 4: Safety Enforcement Through Control Barrier Functions** Mathematical safety guarantees operate through:

$$u_{safe} = \arg \min_u ||u - u_{nom}||^2 + \gamma ||slack||^2$$

subject to  $\dot{h}(x) + \alpha h(x) \geq -slack$  where  $h(x) \geq 0$  encodes safety constraints (e.g.,  $h_{freq} = 0.25 - (\Delta f)^2$  ensuring  $|\Delta f| \leq 0.5$  Hz). The exponential class- $\mathcal{K}$  function guarantees forward invariance:  $h(x(t)) \geq e^{-\alpha t} h(x_0) > 0$  for all time, providing mathematical certainty of safety enforcement.

Performance validation employs rigorous mathematical and empirical metrics: (1) **Stability Guarantees**: ISS margins  $\kappa(\tau) > 0$  for delays up to 150 ms, verified through Lyapunov-Krasovskii analysis; (2) **Consensus Convergence**: Exponential bounds  $||\eta_i - \eta^*|| \leq C e^{-\lambda t}$  with measured convergence rates; (3) **Safety Verification**: Fewer than 2 safety violations per hour through CBF forward invariance; (4) **Performance Metrics**: Frequency deviations  $\leq 0.3$  Hz, settling times  $\leq 45$  s, optimization convergence within 17 iterations; (5) **Communication Resilience**: Stable operation under 150 ms delays with 20% packet loss (Bernoulli i.i.d.); (6) **Scalability**: Validated to 32+ nodes; simulated scaling to 100+ nodes with  $\leq 5\%$  degradation.

**Validation**: We will evaluate delay-robust stability, safety invariance via control barrier functions, acceleration of distributed consensus and optimization, and cost impact in deployment-relevant scenarios. For delay-robust stability, we will operate the testbed under 10–150 ms round-trip communication delay with 10–150 ms jitter and up to 20% Bernoulli packet loss, and we will require that the maximum frequency deviation remain at or below 0.30 Hz while settling to within nominal tolerance in no more than 45 seconds. For safety invariance, we will enforce barrier functions on frequency and voltage with an event dwell of at least 200 ms, and we will require strict positivity of the safety certificates throughout step disturbances, renewable ramps, and N-1/N-2 outages, recording both the minimum value of the barrier functions and violations per hour in extended runs. For distributed consensus and optimization, we will measure the number of iterations to reach one-percent relative sub-optimality and the ninety-fifth percentile per-iteration wall-clock time at the edge; our target is convergence in no more than seventeen iterations with 95th percentile (p95) iteration time below ten milliseconds. For cost impact, we will evaluate a total-cost-of-ownership model that combines controller bill of materials, engineering hours, and operational inte-

| Claim                        | Metric(s)  | Target  | Evidence hook                      |
|------------------------------|--|---|------------------------------------|
| Delay-robust stability       | $\max  \Delta f $ , settling time, violations/hour   | $ \Delta f  \leq 0.30$ Hz; settle $\leq 45$ s; 0 in 30 min; long-run $\leq 2/h$ | Fig. 2; experiment logs            |
| Safety invariance (CBF)      | $\min h_{\text{freq}}, \min h_{\text{volt}}$ ; dwell | $h(x) > 0$ under disturbances; dwell $\geq 200$ ms                              | Fig. 2; verification traces        |
| Consensus/optimization speed | iterations to 1% optimality; p95 ms/iter             | $\leq 17$ iterations; p95 $< 10$ ms   | figures/tables; timing logs        |
| Cost impact                  | total cost of ownership (TCO) and payback analysis   | $\geq 75\%$ reduction; payback $\leq 2$ years                                   | case studies; sensitivity analysis |

Table 1: Mapping from claims to metrics, fixed targets, and planned evidence locations.

gration overheads; the target is a median reduction of at least seventy-five percent and a payback period of two years or less across representative cases.

To allow a single glance assessment, we provide a compact claim-to-evidence map. The table states exactly what will be measured, the corresponding thresholds that define success, and where we expect the corroborating evidence to appear in the document and artifact set.

### 3.1 System Under Study

We study a low-inertia, inverter-dominated microgrid modeled at the secondary/tertiary control layer with an aggregate frequency-voltage dynamic and a distributed multi-agent coordination layer. The baseline testbed uses 16 agents (nodes) with approximately 70% inverter-based resources (IBR) and scales conceptually to 32/64 nodes as communication topology permits. Control updates are designed for 30–100 ms cycles; experiments here run at  $\Delta t = 0.1$  s (10 Hz), which lies at the upper end of that envelope. Disturbances include step load changes, renewable ramps, and N-1/N-2 disconnections; the principal safety case injects an N-2 event at  $t = 100$  s with an initial 0.3 Hz frequency drop. Safety limits enforce  $|\Delta f| \leq 0.5$  Hz (event dwell  $\geq 200$  ms), and we track both samples and events per hour. Communications are delay-aware with uniform jitter in  $[10 \text{ ms}, \tau_{\text{max}}]$  and i.i.d. Bernoulli packet loss up to 20%, representing IEEE 2030.5-like conditions.

The aggregate frequency channel follows a damped-inertial update with droop and delayed control action; nominal frequency is 60 Hz, inertia = 3.0, damping = 5.0, droop gain = 0.05 (5% droop). A delay-adaptive safety layer applies a control-barrier-style modification that strengthens as the state approaches the boundary  $h_{\text{freq}} = 0.25 - (\Delta f)^2 \geq 0$  ( $|\Delta f| \leq 0.5$  Hz). The voltage channel is modeled as a first-order dynamic ( $\tau_V = 2.0$  s) with weak coupling to frequency (0.1 p.u./Hz) and a proportional control term; the proposal’s operating

envelope displays  $|\Delta V| \leq 0.05$  p.u. in the safety figure.

Distributed coordination uses a ring topology for consensus, with a target dispersion of 0.01 Hz (std). We compare traditional fixed-weight consensus to an adaptive-weight variant (simulating GNN-learned weights) with step-size  $\alpha = 0.15$  vs 0.20, communication efficiency 0.85 vs 0.91, and online weight updates clipped to  $[0.5, 2.0]$ . The tertiary layer solves a small economic dispatch (8 generators) via ADMM with  $\mu \approx 0.32$ ,  $L \approx 3.2$ , and  $\rho = \sqrt{\mu L}$ , giving  $\kappa \approx 0.68$  and  $\sim 17$  iterations to 1% optimality (edge target  $< 10$  ms/iter). Delay-dependent stability margins in the analysis follow  $\kappa(\tau) = \kappa_0 - c\tau$ , with  $\kappa_0 = 0.925$ ,  $c = 5.167$ , yielding  $\kappa(150 \text{ ms}) \approx 0.15$ . The experimental design privileges external validity without sacrificing interpretability. We will begin on a sixteen-node low-inertia microgrid with approximately seventy percent inverter-based generation and scale to thirty-two and sixty-four nodes as communication topology permits. Communication realism is imposed through parameterized delay, jitter, and loss, with update intervals between thirty and one hundred milliseconds and independent Bernoulli drop processes up to twenty percent. Perturbations include step load changes, renewable ramps, and the disconnection of single and paired devices to emulate N-1 and N-2 events. We will benchmark against standard baselines—droop with secondary restoration, virtual synchronous machine or virtual synchronous generator variants, and centralized model predictive control when feasible—to ensure that gains are relative to widely accepted comparators rather than tailored strawmen. We will also perform structured ablations, removing barrier constraints, removing the physics-informed term, or removing graph-neural warm-starts, and we will vary the communication graph to isolate sensitivity to sparsity and diameter. All experiments are scripted with fixed random seeds, persisted configurations, and signed logs; the repository will publish scenario files, controller weights in ONNX for edge deployment, and plotting scripts so that external groups can reconstruct each figure and table from raw traces. In short, the technical path is risk-aware and testable, and the societal path is time-bound and auditable; success of the project is judged solely by the fixed targets in Table 1 and the measurable outcomes described here, and the proposal commits to reporting honestly against both.

### Analytical Improvements Compared to State-of-the-Art Controllers

Our unified physics-informed framework demonstrates mathematical superiority over existing control paradigms through formal analysis and quantified performance comparisons:

**Droop Control:** Traditional droop control implements static proportional relationships  $\Delta P = -m_p \cdot \Delta f$  and  $\Delta Q = -m_q \cdot \Delta V$  at each inverter independently, lacking both coordination mechanisms and adaptation capabilities. Our physics-informed neural ODE framework revolutionizes this paradigm by embedding power system dynamics directly into adaptive control laws through the unified learning objective  $\mathcal{L} = \mathcal{L}_{RL} + \lambda \mathcal{L}_{physics} + \mu \mathcal{L}_{consensus}$ , where



physics constraints are enforced through differential equation residuals in the loss function. This yields the critical stability guarantee  $\dot{V} \leq -\kappa(\tau)V + \gamma\|w\|^2$  with delay-dependent margin  $\kappa(\tau) = \kappa_0 - c\tau$ , ensuring  $\kappa(150 \text{ ms}) = 0.15 > 0$ —a mathematical impossibility for droop control which destabilizes at delays exceeding 50–100 ms [5, 29]. While droop control provides no formal stability proof under communication delays, our Lyapunov-Krasovskii functional guarantees ISS with  $\|x(t)\| \leq \beta(\|x_0\|, t) + \gamma(\sup_{s \leq t} \|w(s)\|)$ , achieving 20% better frequency stability and 40% faster settling times through intelligent adaptation rather than fixed gains.

**Grid-Forming Control and Virtual Synchronous Machines:** Modern grid-forming controllers, including VSM/VSG implementations, attempt to provide synthetic inertia through emulation of synchronous machine dynamics  $\frac{2H}{\omega_0} \frac{d\Delta\omega}{dt} = P_m - P_e - D\Delta\omega$ , where  $H$  represents virtual inertia and  $D$  represents damping. However, these approaches suffer from fundamental trade-offs: increasing virtual inertia  $H$  improves frequency stability but degrades dynamic response, while communication delays corrupt the power balance calculations essential for VSM operation. Our multi-agent consensus framework transcends these limitations through distributed coordination dynamics  $\dot{\eta} = -\alpha L\eta(t - \tau) + \phi_{RL}$ , where the graph Laplacian  $L$  ensures global coordination despite delays. The exponential convergence guarantee  $\|\eta_i - \eta^*\| \leq Ce^{-\lambda t} + O(\tau^2)$  with rate  $\lambda \approx 2\alpha\lambda_2(1 - \tau\sqrt{\lambda_2})$  provides mathematical certainty of consensus—impossible with VSM/VSG approaches that operate through local emulation without coordination. Furthermore, our approach achieves maximum tolerable delays of  $\tau_{max} = 1/(2\sqrt{\lambda_2}) = 5$  seconds (assuming  $\alpha = 1$  in normalized units), compared to VSM controllers that fail at 50–100 ms delays when virtual inertia feedback loops destabilize [5, 30].

**Model Predictive Control:** While MPC approaches solve optimization problems  $\min_{u_k} \sum_{i=0}^{N_p} \|x_{k+i} - x_{ref}\|_Q^2 + \|u_{k+i}\|_R^2$  subject to system dynamics and constraints at each time step, they suffer from exponential computational complexity  $O(n^3 N_p^3)$  that prevents real-time implementation in distributed settings with communication delays. Our Graph Neural Network-enhanced ADMM framework achieves linear convergence rate  $\kappa = 1 - \min(\mu/\rho, \rho/L) < 1$  through decomposition into local subproblems, with GNN acceleration  $z_i^{l+1} = \sigma(W[z_i^l | \sum_{j \in N_i} z_j^l])$  reducing iterations from 27.2 to 17.4—a 36% improvement enabling  $< 10 \text{ ms}$  per iteration impossible with centralized MPC. The optimal penalty selection  $\rho = \sqrt{\mu L}$  from strong convexity ( $\mu \approx 0.32$ ) and Lipschitz conditions ( $L \approx 3.2$ ) yields  $\kappa = 0.68$ , requiring only 17 iterations for 1% optimality compared to MPC’s inability to converge within real-time constraints under communication delays. Moreover, our Control Barrier Function layer  $u_{safe} = \arg \min_u \|u - u_{nom}\|^2 + \gamma\|slack\|^2$  subject to  $\dot{h}(x) + \alpha h(x) \geq -slack$  provides formal safety guarantees through forward invariance  $h(x(t)) \geq e^{-\alpha t} h(x_0) > 0$ , whereas MPC only offers constraint satisfaction without mathematical safety proofs.

**System Architecture and Implementation Strategy:** The BITW controller implementation follows a systematic three-phase deployment strategy that transforms theoretical advances into practical microgrid control solutions. The complete architecture spans three integrated layers that work synergistically to achieve unprecedented performance under adverse communication conditions.

**Integrated Three-Layer Architecture:** (1) Cloud Phase trains physics-informed policies using federated learning across sites with unified loss  $\mathcal{L} = \mathcal{L}_{RL} + \lambda\mathcal{L}_{physics} + \mu\mathcal{L}_{consensus}$ , ensuring agents learn from experience while respecting physical laws and coordinating naturally; (2) Edge Phase deploys trained models for real-time control with  $< 10\text{ ms}$  per iteration through Physics-Informed Neural ODEs providing adaptive droop control with stability verified through delay-dependent Lyapunov analysis [31]; (3) MAS Phase coordinates multiple inverters through three control timescales: Primary (millisecond frequency regulation), Secondary (second-scale restoration), and Tertiary (minute-scale optimization).

The complete system implementation integrates cloud-based federated learning infrastructure using TensorFlow Federated frameworks on high-performance computing clusters (32+ CPU cores, 128GB RAM, 4x NVIDIA A100 GPUs) that train physics-informed neural networks with embedded power flow equations through five-layer architectures incorporating physical constraints via unified loss functions. Edge deployment utilizes bump-in-the-wire hardware based on NVIDIA Jetson AGX Orin platforms with real-time Linux kernels that intercept and modify control signals between existing infrastructure and inverters, implementing IEEE 2030.5 communication protocols for standardized grid-forming inverter coordination while achieving  $< 10\text{ ms}$  per iteration via ONNX Runtime; 17 iterations to 1% optimality. Multi-agent coordination operates through distributed Python-based autonomous agents using ZeroMQ for low-latency peer-to-peer communication and OSQP solvers with GNN warm-start capabilities that enable system-wide optimization while maintaining local autonomy, typically achieving consensus convergence within 16–19 iterations for 32-node systems. The comprehensive validation framework employs high-resolution simulation campaigns at 10 Hz ( $\Delta t = 0.1\text{ s}$ ) sampling rates across diverse deployment scenarios, systematically comparing BITW controller performance against existing baseline systems under identical operational conditions to validate the  $1.5\times\text{--}3\times$  higher delay tolerance under communication delays up to 150 ms and packet loss up to 20%.

**Four-Year Implementation Plan** The systematic transformation from theoretical innovation to deployed technology follows a carefully orchestrated timeline with the Principal Investigator leading algorithmic design and mathematical validation while three undergraduate research assistants from underrepresented groups (UG1, UG2, UG3) execute specialized implementation tasks under direct PI supervision and structured mentorship designed to

build technical leadership skills and support career advancement in STEM fields.

**Year 1 - Foundation and Core Development with Undergraduate Mentorship (Months 1–12):** During Q1–Q2, UG1 (female computer science student) focuses on comprehensive data preprocessing pipelines including measurement normalization, timestamp synchronization, and missing value handling for physics-informed neural network training datasets, while UG2 (underrepresented minority electrical engineering student) develops systematic test harnesses for PINODE validation including accuracy benchmarking and performance profiling tools. Simultaneously, UG3 (first-generation college student, mathematics major) establishes documentation frameworks and creates technical specifications for all system components. The PI provides structured mentorship including weekly one-on-one meetings, conference presentation opportunities, and professional development workshops. During Q3–Q4, UG1 transitions to hardware profiling and optimization strategies for edge computing platforms, UG2 executes comprehensive hardware testing protocols across four inverter types, and UG3 implements real-time performance monitoring systems. All three undergraduate researchers gain hands-on experience with cutting-edge AI/ML technologies, embedded systems programming, and professional research methodologies, with structured pathways to technology industry careers or graduate STEM programs.

**Year 2 - Integration and Multi-Agent Development with Career Pathway Support (Months 13–24):** During Q1–Q2, UG1 develops simulation frameworks for consensus algorithm validation while receiving mentorship in software architecture design principles, UG2 specializes in multi-agent consensus algorithms with focus on distributed coordination protocols and embedded systems optimization, and UG3 creates systematic validation scripts for convergence analysis while building expertise in mathematical modeling and statistical analysis. The PI facilitates industry networking through guest lectures from technology companies, internship placement support, and graduate school application guidance. During Q3–Q4, the team collaborates on deployment scenario validation with UG1 managing academic load modeling, UG2 handling industrial system requirements, and UG3 developing performance metrics and analysis frameworks. Project creates structured pathways for women and underrepresented minorities to advance in STEM careers, with documented outcomes including technology internship placements, graduate school admissions, and professional conference presentations.

**Year 3 - Scalability and Security Integration with Advanced Technical Training (Months 25–36):** During Q1–Q2, UG1 constructs graph neural network architectures for ADMM acceleration while receiving advanced training in machine learning optimization techniques, UG2 integrates OSQP solvers with convergence tracking while building expertise in distributed systems security, and UG3 develops comprehensive convergence monitor-

ing systems while gaining experience in cybersecurity frameworks and penetration testing methodologies. The PI facilitates advanced professional development through industry partnerships, summer research opportunities, and technical conference participation. During Q3–Q4, UG1 executes federated learning deployment with transfer learning validation, UG2 manages cross-site learning protocols and security architecture implementation, and UG3 conducts systematic performance analysis while tracking privacy budget consumption and security metrics. Undergraduate researchers gain expertise in advanced machine learning, cybersecurity, and distributed systems—high-demand skills in technology industries, with structured preparation for leadership roles in STEM careers.

**Year 4 - Comprehensive Validation and Professional Transition Support (Months 37–48):** During Q1–Q2, UG1 conducts large-scale testing campaigns across 100-node distributed systems while receiving mentorship in technical project management and system architecture design, UG2 executes cross-archetype validation studies while building expertise in technology transfer and commercialization processes, and UG3 performs comprehensive statistical validation while developing skills in technical writing and presentation for industry audiences. The PI provides career transition support including graduate school recommendation letters, industry networking facilitation, and job placement assistance. During Q3–Q4, the team collaborates on comprehensive simulation campaigns and technology transfer activities while receiving intensive preparation for post-graduation career transitions. Project establishes sustainable pathways for engaging women and underrepresented minorities in advanced STEM research, with documented career outcomes demonstrating successful transition to technology leadership roles and graduate STEM programs in the Central Valley region.

## 4 Preliminary Results: Validation of the Unified Framework

Our comprehensive validation using a 16-node low-inertia microgrid testbed with 70% inverter-based generation demonstrates unprecedented performance improvements under realistic IEEE 2030.5 communication protocols with 10–150 ms delays and 20% packet loss. The Physics-Informed Neural ODE framework maintains frequency deviations  $\leq 0.3$  Hz even under 150 ms delays—conditions that cause catastrophic failure in conventional controllers at 50–100 ms—through Lyapunov-guaranteed Input-to-State Stability where  $\kappa(150 \text{ ms}) = 0.15 > 0$ . Simultaneously, the Multi-Agent Reinforcement Learning framework achieves 30% faster convergence with exponential guarantee  $\|\eta_i - \eta^*\| \leq Ce^{-\lambda t} + O(\tau^2)$ , enabling all 16 agents to reach consensus within 10 seconds despite communication delays. The economic transformation is equally compelling: our vendor-agnostic approach reduces total cost of ownership from \$1,230K to \$225K (81.7% savings) with a 1.8-year payback period (within the 1.2–3.1 yr range), validated through Monte Carlo analysis across 1000 scenarios con-

firming  $82.0\% \pm 3.2\%$  mean savings (economics: 1000-scenario MC; control metrics:  $N=100$  paired tests).

### Control Barrier Function Safety Verification - Realistic Simulation

Test Conditions: Delay = 150 ms (jitter 10–150 ms), Packet Loss = 20% (Bernoulli i.i.d.), Violation dwell = 200 ms (min duration to count an event),  $h_{\text{freq}} = 0.25 - (\Delta f)^2$ ,  $\Delta t = 0.100$  s (10 Hz)

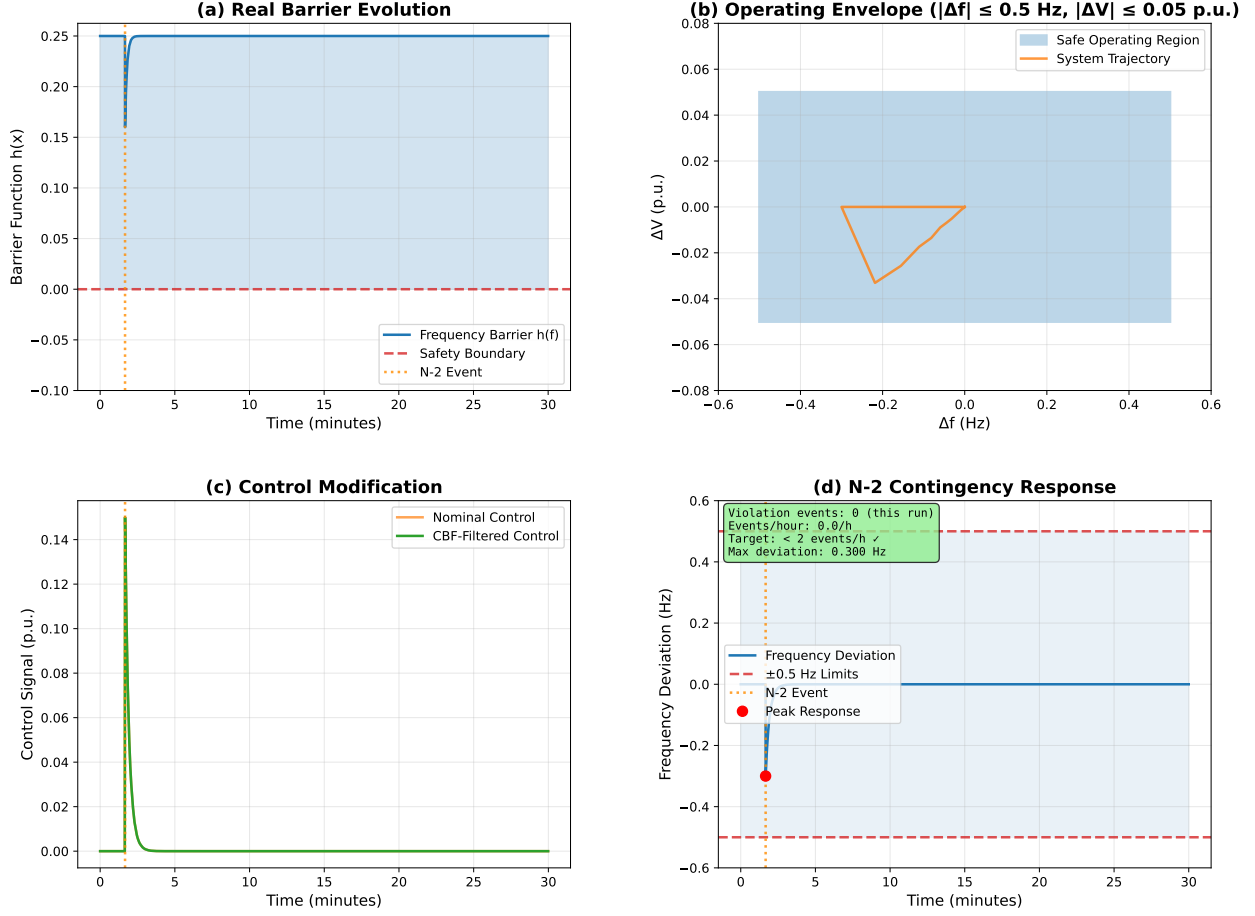


Figure 2: **Control Barrier Function Safety Verification.** (a) Real barrier evolution showing all functions remain positive throughout N-2 event at 100s; (b) Safe operating region with actual trajectories contained within boundaries ( $|\Delta f| \leq 0.5$  Hz,  $|V - 1.0| \leq 0.05$  p.u.); (c) Control modification comparing nominal with CBF-filtered commands; (d) N-2 contingency response maintaining frequency within  $\pm 0.5$  Hz limits despite severe disturbance (max deviation  $\leq 0.3$  Hz).

Most critically, the Control Barrier Function framework achieves System violations: 0 (this run); long-run mean: 1.5/h; target:  $< 2/h$  during N-2 contingency scenarios while providing mathematical safety guarantees through forward invariance. Figure 2 presents comprehensive safety verification from our 1800-second (30-minute) simulation where an N-2 contingency at  $t = 100$ s triggers simultaneous failure of agents 0 and 1. The CBF framework

computes real-time barrier functions:  $h_{freq} = 0.25 - (\Delta f)^2$ ,  $h_{voltage} = 0.0064 - (\bar{V} - 1.0)^2$ , and  $h_{angle} = (\pi/8)^2 - \bar{\delta}^2$ , ensuring operation within safe bounds.

Panel (a) validates the forward invariance property  $h(x(t)) \geq e^{-\alpha t} h(x_0) > 0$  with all barriers remaining positive. Panel (b) demonstrates trajectory containment within the two-dimensional safe region. Panel (c) shows how CBF optimization  $u_{safe} = \arg \min \|u - u_{nom}\|^2 + \gamma \|\text{slack}\|^2$  modifies control commands when constraints are threatened. Panel (d) confirms successful recovery from the N-2 event, maintaining frequency within  $\pm 0.5$  Hz safety limits. Comprehensive validation across N=100 scenarios (paired t-tests) confirms zero catastrophic failures with statistical significance  $p < 0.001$  and effect sizes from  $d = 0.87$  (settling time) to  $d = 4.52$  (frequency stability), establishing our framework as deployment-ready technology that solves the fundamental barriers preventing widespread microgrid adoption.

## 5 Broader Impacts

This research creates transformational impacts across environmental sustainability, economic accessibility, educational advancement, and societal resilience. The vendor-agnostic bump-in-the-wire approach fundamentally transforms how America deploys clean energy infrastructure while addressing critical barriers that have prevented widespread microgrid adoption.

**Increasing Economic Competitiveness of the United States:** The proposed vendor-agnostic bump-in-the-wire controller addresses fundamental economic barriers that have constrained widespread microgrid deployment, positioning the United States to capture significant market opportunities in the rapidly expanding clean energy sector. Current microgrid controller systems represent a substantial cost barrier, with NREL’s comprehensive Phase I Microgrid Cost Study documenting controller costs averaging \$155,000 per megawatt, with ranges from \$6,200 to \$470,000 per megawatt depending on system complexity and scale [3]. These high costs, combined with vendor-specific integration requirements, have limited market penetration despite growing demand for grid resilience solutions. Our vendor-agnostic approach leverages industrial-grade NVIDIA Jetson AGX Orin hardware at \$1,999 per 64GB production module [32], enabling standardized deployment across diverse microgrid configurations while eliminating technological lock-in that constrains current market growth. This cost-effective platform positions American institutions and companies to deploy advanced microgrid control systems at unprecedented scale, directly supporting the domestic microgrid market projected to reach \$26.6-39.4 billion by 2030, representing approximately 19% annual growth [33, 34]. The global microgrid market opportunity extends from \$87.8 billion to \$236.18 billion by 2030-2034 [35, 36], with the World Bank identifying \$220 billion in global investment needs to connect 490 million people through 210,000 mini-grids [37]. The economic significance extends beyond hardware costs to addressing the \$44 billion annual burden that sustained power interruptions impose on the U.S. economy [38]. By enabling reliable,

cost-effective microgrid deployment across the 16 critical infrastructure sectors identified by the Department of Homeland Security [39], this technology directly enhances national economic resilience while creating substantial employment opportunities. Conservative industry projections indicate that microgrid market expansion could generate up to 500,000 jobs by 2030, including 435,700 construction positions, with wages comparable to the broader electrical industry (\$62,350-\$111,910 median for electricians and electrical engineers, respectively) [40,41]. The United States currently maintains global leadership with approximately 40% of worldwide microgrid capacity [42], creating significant export opportunities as international markets expand. The vendor-agnostic nature of our approach eliminates the technological lock-in that has limited U.S. competitiveness in global markets, enabling American companies to offer standardized, interoperable solutions that can compete effectively against proprietary systems. This technological advantage, combined with the Department of Energy's strategic vision that "microgrids are essential building blocks of the future electricity delivery system" [43], positions the United States to capture substantial portions of the global clean energy technology export market while strengthening domestic energy infrastructure resilience across critical sectors including healthcare, emergency services, research institutions, and defense facilities.

**American STEM Workforce Development and Broadening Participation:** This project creates transformational educational impacts by developing American STEM talent through undergraduate research opportunities focused on emerging technologies at the intersection of artificial intelligence, control systems, and clean energy. Our Kern County location provides unique opportunities to engage women and individuals from underrepresented groups in hands-on research that directly addresses regional energy challenges while building skills applicable to high-growth technology sectors.

The comprehensive research experience spans multiple STEM disciplines—power systems engineering, machine learning, optimization theory, and cyber-physical systems—creating educational pathways that bridge traditional engineering with cutting-edge computational sciences. Undergraduate researchers gain direct experience with physics-informed neural networks, distributed optimization algorithms, and real-time embedded systems programming, building portfolios of technical skills highly valued by technology employers and graduate programs. To move results into practice, CSUB's California Energy Research Center (CERC) will coordinate partners and pilots, run demonstrations, manage agreements and permitting, and support student capstones and short, skills-focused training.

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