

## ENGR 421 – HW6

### Data Importing:

I imported the data and divide it to training and test sets.

```
data = np.genfromtxt("hw06_images.csv", delimiter=",")
labels = np.genfromtxt("hw06_labels.csv", dtype=int)

X_train = data[0:1000]
y_train = labels[0:1000]

X_test = data[1000:]
y_test = labels[1000:]

N_train = len(y_train)
D_train = X_train.shape[1]

N_test = len(y_test)
D_test = X_test.shape[1]

C = 10
s = 10
```

```
def gaussian_kernel(X1, X2, s):
    D = dt.cdist(X1, X2)
    K = np.exp(-D ** 2 / (2 * s ** 2))
    return K

K_train = gaussian_kernel(X_train, X_train, s)
```

### LAB8 Code Modifications & Confusion Matrixes:

For Lab8 code I put it in a function to call multiple times and this function takes y, C value (for part 7) and s value. Actually, s value is constant for all parts, but I add it to make the function more dynamic. And it returns the alpha and w0 parameters.

```
def lab8(y, C, s):
    yyK = np.matmul(y[:, None], y[None, :]) * K_train

    # set learning parameters
    epsilon = 1e-3

    P = cvx.matrix(yyK)
    q = cvx.matrix(-np.ones((N_train, 1)))
    G = cvx.matrix(np.vstack((-np.eye(N_train), np.eye(N_train))))
    h = cvx.matrix(np.vstack((np.zeros((N_train, 1)), C * np.ones((N_train, 1)))))
    A = cvx.matrix(1.0 * y[None, :])
    b = cvx.matrix(0.0)

    # use cvxopt library to solve QP problems
    result = cvx.solvers.qp(P, q, G, h, A, b)
    alpha = np.reshape(result["x"], N_train)
    alpha[alpha < C * epsilon] = 0
    alpha[alpha > C * (1 - epsilon)] = C

    # find bias parameter
    support_indices, = np.where(alpha != 0)
    active_indices, = np.where(np.logical_and(alpha != 0, alpha < C))
    w0 = np.mean(
        y[active_indices] * (1 - np.matmul(yyK[np.ix_(active_indices, support_indices)], alpha[support_indices]))
    )
    return alpha, w0
```

Since we have 5 classes I create a copy of `y_train` for 5 times for each class. For each class I set the other class values -1 and set that class's values to 1 for the One-Versus-All approach. For example, for 3<sup>rd</sup> class in its `y` array the 1, 2, 4, and 5 values set to -1 and 3 to 1.

```
Y = []
for i in range(5):
    tmp = y_train.copy()
    tmp[tmp != i + 1] = -1
    tmp[tmp == i + 1] = 1
    Y.append(tmp)
```

Then called the `lab8` function for all classes to calculate the `f_predicted`s.

```
for y in Y:
    alpha, w0 = lab8(y, C, s)
    Alphas.append(alpha)
    w0s.append(w0)

f_predicted = np.matmul(K_train, y[:, None] * alpha[:, None]) + w0
F.append(f_predicted)
# calculate confusion matrix
y_predicted = 2 * (f_predicted > 0.0) - 1
confusion_matrix = pd.crosstab(np.reshape(y_predicted, N_train), y, rownames=['y_predicted'], colnames=['y_train'])
print(confusion_matrix)
```

Then combine the results and printed Confusion Matrix for Train data. I calculated the `f_predicted`s via parameters `y`, `alpha` and `w0` for each class. Then I take the max values' classes with `np.argmax` function for each data and used it.

```
preds_train = np.argmax(F, axis=0) + 1
confusion_matrix_train = pd.crosstab(np.reshape(preds_train, N_train), y_train, rownames=['y_predicted'], colnames=['y_train'])
print(confusion_matrix_train)
```

Confusion Matrix Train					
y_train	1	2	3	4	5
y_predicted					
1	207	1	0	9	0
2	2	199	1	1	0
3	0	1	204	6	0
4	0	1	4	185	1
5	0	0	0	0	178

The result same with the pdf's.

For test data, again for each data I calculated the `f_predicted`s for all classes and put them in array (after that I picked the max values' class). For calculating `f_predicted`s I used the `alpha`,

$w_0$ , and  $y$  for each classes own where I obtained while training data part. I didn't recall the Lab8 function again.

```
K_test = gaussian_kernel(X_test, X_train, 10)
F_test = []
f_preds = []

for i in range(len(Y)):
    y = Y[i]
    alpha = Alphas[i]
    w0 = w0s[i]
    f_predicted = np.matmul(K_test, y[:, None] * alpha[:, None]) + w0
    f_preds.append(f_predicted)

preds_test = np.argmax(f_preds, axis=0) + 1
confusion_matrix_test = pd.crosstab(np.reshape(preds_test, N_test), y_test, rownames=['y_predicted'], colnames=['y_test'])
print(confusion_matrix_test)
```

And I found the confusion matrix for test data, and it is same with the pdf's.

Confusion Matrix Test					
y_test	1	2	3	4	5
y_predicted					
1	641	23	3	137	9
2	43	714	27	40	4
3	4	39	666	90	10
4	100	32	69	541	16
5	12	2	6	15	757

## Part 7 Plotting Accuracy for Different C Values:

I redo the same thing to find the confusion matrixes for different C values for both test and train data and store their matrixes in two different arrays.

```
113 Cs = [0.1, 1, 10, 100, 1000]
114 training_cm = []
115 test_cm = []
116
117
118 for c in Cs:
119     F_train = []
120     Alphas = []
121     w0s = []
122     for y in Y:
123         alpha, w0 = lab8(y, c, s)
124         Alphas.append(alpha)
125         w0s.append(w0)
126
127     f_predicted = np.matmul(K_train, y[:, None] * alpha[:, None]) + w0
128     F_train.append(f_predicted)
129     # calculate confusion matrix
130     y_predicted = 2 * (f_predicted > 0.0) - 1
131     confusion_matrix = pd.crosstab(np.reshape(y_predicted, N_train), y, rownames=['y_predicted'], colnames=['y_train'])
132     print(confusion_matrix)
133
134     preds = np.argmax(F_train, axis=0)
135     preds = preds + 1
136     confusion_matrix = pd.crosstab(np.reshape(preds, N_train), y_train, rownames=['y_predicted'], colnames=['y_train'])
137     print(confusion_matrix)
138     training_cm.append(confusion_matrix)
```

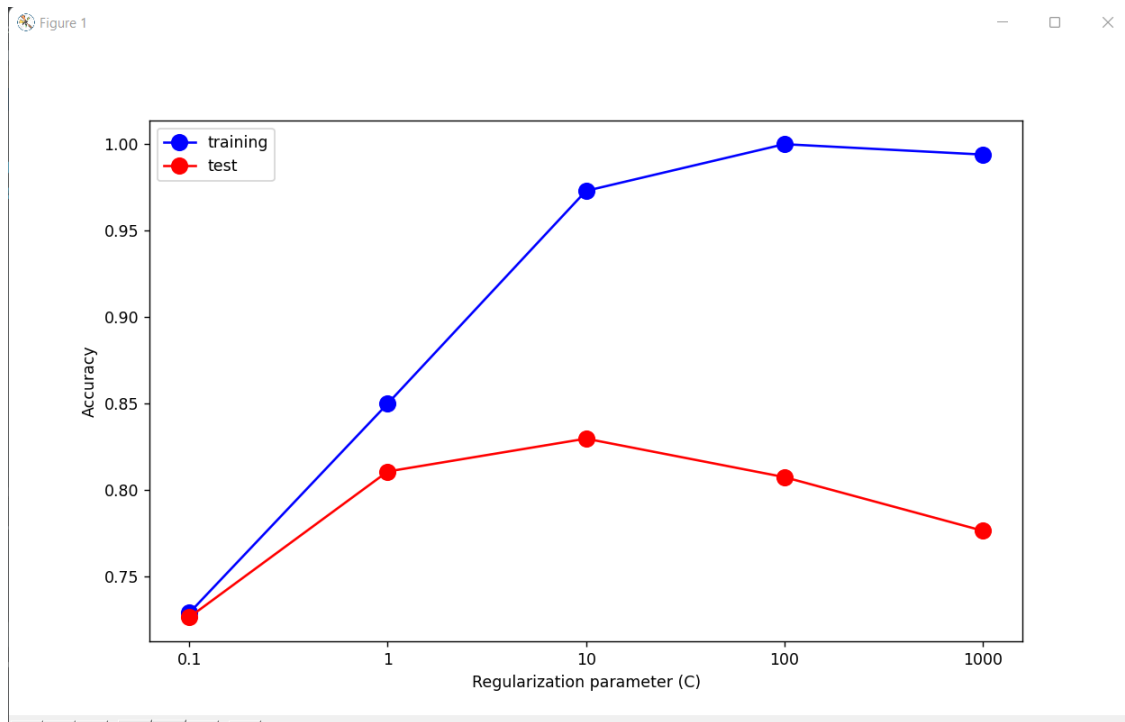
```
139
140     f_test_preds = []
141
142     for i in range(len(Y)):
143         y = Y[i]
144         alpha = Alphas[i]
145         w0 = w0s[i]
146         f_predicted = np.matmul(K_test, y[:, None] * alpha[:, None]) + w0
147         f_test_preds.append(f_predicted)
148     preds_test = np.argmax(f_test_preds, axis=0) + 1
149     confusion_matrix = pd.crosstab(np.reshape(preds_test, N_test), y_test, rownames=['y_predicted'], colnames=['y_test'])
150     print(confusion_matrix)
151     test_cm.append(confusion_matrix)
```

For accuracy calculation, I take the diagonal values' sum and divide it with the total sum of matrix for each of them separately.

```
155     sum = 0
156     accur = 0
157     accuracy_training = []
158     for cm in training_cm:
159         for i in range(5):
160             for j in range(5):
161                 sum = sum + cm.loc[i + 1, j + 1]
162                 if i == j:
163                     accur = accur + cm.loc[i + 1, j + 1]
164             accuracy_training.append(accur / sum)
165             accur = 0
166             sum = 0
167
168     sum = 0
169     accur = 0
170     accuracy_test = []
171     for cm in test_cm:
172         for i in range(5):
173             for j in range(5):
174                 sum = sum + cm.loc[i + 1, j + 1]
175                 if i == j:
176                     accur = accur + cm.loc[i + 1, j + 1]
177             accuracy_test.append(accur / sum)
178             accur = 0
179             sum = 0
180
```

When plotting the plot since in C values interval is not equal but, in the pdf, it was set to equal interval, I convert the C values label to string. The values are exactly same with the pdf's plot.

```
182     C_values = list(map(str, Cs))
183     plt.figure(figsize=(10, 6))
184     plt.plot(C_values, accuracy_training, "bo-", markersize=10, label="training")
185     plt.plot(C_values, accuracy_test, "ro-", markersize=10, label="test")
186     plt.xlabel("Regularization parameter (C)")
187     plt.ylabel("Accuracy")
188     plt.legend(loc='upper left')
189     plt.show()
```



At the end, before plotting, since printed confusion matrixes for train and test data at part 5 and part 6 were lost after the cvx.solvers's prints, I reprint the same calculated matrixes. To look at them easily.

```
# Final Prints (I printed matrixes again since they were lost after cvxopt.solvers' prints)
print("\n")
print("Confusion Matrix Train")
print(confusion_matrix_train)
print("\n")
print("Confusion Matrix Test")
print(confusion_matrix_test)
```