Towards Linux Kernel Memory Safety

Submission 44

ABSTRACT

The security of billions of devices worldwide depends on the security and robustness of the mainline Linux kernel. However, the increasing number of kernel-specific vulnerabilities, especially memory safety vulnerabilities, shows that the kernel is a popular and practically exploitable target. Two major causes of memory safety vulnerabilities are reference counter overflows (temporal memory errors) and lack of pointer bounds checking (spatial memory errors).

To succeed in practice, security mechanisms for critical systems like the Linux kernel must also consider performance and deployability as critical design objectives. We present and systematically analyze two such mechanisms for improving memory safety in the Linux kernel: (a) an overflow-resistant reference counter data structure designed to accommodate typical reference counter usage in kernel source code, and (b) runtime pointer bounds checking using Intel MPX in the kernel.

CCS CONCEPTS

• Security and privacy → Operating systems security;

KEYWORDS

Linux kernel, memory safety

ACM Reference format:

Submission 44. 2018. Towards Linux Kernel Memory Safety. In *Proceedings of ACM Conference on Data and Application Security and Privacy, Tempe, Arizona USA, March 2018 (CODASPY'18)*, 12 pages.

https://doi.org/10.475/1234

1 INTRODUCTION

The Linux kernel lies at the foundation of millions of different devices around us, ranging from servers and desktops to smartphones and embedded devices. While there are many solutions for strengthening Linux application security covering access control frameworks (SELinux [42], AppArmor [4]), integrity protection systems (IMA/EVM [17], dm-verity [13]), encryption, key management and auditing, they are rendered ineffective if an attacker can gain control of the kernel. Recent trends in Common Vulnerabilities and Exposures (CVEs) indicate a renewed interest in exploiting the kernel [27]. Kernel bugs are long-lived, on average taking five years before being found and fixed [9], and even when fixed, security updates might not be deployed to all vulnerable devices. Thus, we cannot rely solely on retroactive bug fixes, but need proactive

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

CODASPY'18, March 2018, Tempe, Arizona USA
© 2018 Association for Computing Machinery.
ACM ISBN 123-4567-24-567/08/06...\$15.00
https://doi.org/10.475/1234

measures to harden the kernel by limiting its exploitability. This is the goal of the Kernel Self Protection Project (KSPP) [21], a large community of volunteers working on the mainline Linux kernel. In this paper, we describe our contributions, as part of the KSPP, to the development of two recent kernel memory safety mechanisms.

Depending on their severity, memory errors can allow an attacker to read, write, or execute memory, thus making them attractive targets. For example, use-after-free errors and buffer overflows feature prominently in recent Linux kernel CVEs [6, 37]. Memory errors arise due to the lack of inherent memory safety in C, the main implementation language of the Linux kernel. There are two fundamental classes of memory errors:

Temporal memory errors occur when pointers to uninitialized or freed memory are dereferenced. One common case is a *use-after-free* error e.g., dereferencing a pointer that has been prematurely freed by another execution thread. A null pointer dereference error, although common, is more challenging to exploit [48], whereas use-after-free errors are both exploitable and common, and have featured in CVE-2014-2851, CVE-2016-4558, and CVE-2016-0728, among others.

Spatial memory errors occur when pointers are used to access memory outside the bounds of their intended areas. A prime example is a buffer overflow, which occurs when the amount of data written exceeds the size of the buffer. Spatial memory errors have appeared in CVE-2014-0196, CVE-2016-8440, CVE-2016-8459, and CVE-2017-7895.

While memory safety has been scrutinized for decades (Section 7), much of the work has focused on user-space. These solutions are not readily transferable to kernel-space. For instance, solutions to protect against spatial memory errors use a static and fast addressing scheme to store pointer bounds by treating virtual memory as an endless physical memory [26, 38]. This approach is not viable in kernel-space as it cannot trivially handle arbitrary page faults. Although there have been a few proposals for improving kernel memory safety (e.g., kCFI [40] and KENALI [44]), these have not considered the critical issue of deployability in the mainline Linux kernel. Other mechanisms, such as the widely used Kernel Address Sanitizer (KASAN) [19], are intended as debugging facilities, not runtime protection.

In this paper, we present solutions for mitigating the major causes of both temporal and spatial memory errors: (1) we contributed to the design of refcount_t a new reference counter data type that prevents reference counter overflows; in particular, we analyzed how developers have used reference counters in the kernel, and based on this we designed extensions to the new refcount_t API; and (2) we developed a mechanism for performing efficient pointer bounds checking at runtime, using Intel Memory Protection Extensions (MPX). The new refcount_t data structure and API are already integrated into the Linux mainline kernel. More than half our patches (123/233 at the time of writing) to convert existing reference counters to use refcount_t have also been already integrated. In summary, we claim the following contributions:

- Extended refcount_t API: We present a heuristic technique to identify instances of reference counters in the kernel source code (Section 4.1). By analyzing reference counter usage in the kernel, we designed extensions to the API of the new refcount_t reference counter data structure (Section 4.3). We also converted the entire kernel source tree to use the new API (Section 4.6).
- MPXK: We present a spatial memory error prevention mechanism for the Linux kernel based on the recently released Intel Memory Protection Extensions (MPX) (Section 5).
- Evaluation: We present a systematic analysis of refcount_t and MPXK in terms of performance, security, and usability for kernel developers. (Section 6).

Our primary objective in this work was to deploy our results into the mainline Linux kernel. In Section 8 we reflect on our experience in this regard, and offer suggestions for other researchers with the same objective.

2 BACKGROUND

2.1 Linux kernel reference counters

Temporal memory errors are especially challenging in low-level systems that cannot rely on automated facilities such as garbage collection for object destruction and freeing of allocated memory. In simple non-concurrent C programs, objects typically have well defined and predictable allocation and release patterns, which make it trivial to free them manually. However, complex systems, such as the Linux kernel, rely heavily on object reuse and sharing patterns to minimize CPU and memory use.

In lieu of high-level facilities like garbage collection, object lifetimes can be managed by using *reference counters* to keep track of current uses of an object [8]. Whenever a new reference to an object is taken, the object's reference counter is incremented, and whenever the object is released the counter is decremented. When the counter reaches zero, it means that the object is no longer used anywhere, so the object can be safely destroyed and its associated memory can be freed. However, reference counting schemes are historically error prone; A missed increment or decrement, often in a rarely exercised code path, could imbalance the counter and cause either a memory leak or a use-after-free error.

Reference counters in the Linux kernel are typically implemented using the atomic_t type [25], which in turn is implemented as an int with a general purpose atomic API consisting of over 100 functions. This approach poses two problems. First, the general purpose API provides ample room for subtly incorrect implementations of reference counting schemes motivated by performance or implementation shortcuts. Second, as a general purpose integer atomic_t allows its instances to overflow. When reference counters are based on atomic_t, this implies that they can inadvertently reach zero via only increments. Overflow bugs are particularly hard to detect using static code analysis or fuzzing techniques because they require many consequent iterations before the overflow happens [32]. A recent surge of exploitable errors, such as CVE-2014-2851, CVE-2016-4558, CVE-2016-0728, CVE-2017-7487 and CVE-2017-8925, specifically target reference counters.

2.2 Intel Memory Protection Extensions (MPX)

Intel Memory Protection Extensions (MPX) [38] is a recent technology to prevent spatial memory errors. It is supported on both Pentium core and Atom core micro-architectures from Skylake and Goldmount onwards, thus targeting a significant range of end devices from desktops to mobile and embedded processors. In order to use the MPX hardware, both the compiler and operating system must support MPX. On Linux, MPX is supported by the GCC and ICC compilers, and the kernel supports MPX for user-space applications. MPX is source and binary compatible, meaning that no source code changes are required and that MPX-instrumented binaries can be linked with non-MPX binaries (e.g., system libraries). MPX-instrumented binaries can still be run on all systems, including legacy systems without MPX hardware. If MPX hardware is available, the instrumented binaries will automatically detect and configure this hardware during process initialization.

MPX prevents spatial memory errors by checking pointer bounds before a pointer is dereferenced. Conceptually, every pointer is associated with an upper and lower bound. Pointer bounds are determined by means of compile-time code instrumentation. For a given pointer, the bounds can either be set statically (e.g., based on static data structure sizes), or dynamically (e.g., using instrumented memory allocators). For example, malloc is instrumented to set the bounds of newly allocated pointers.

In order to perform a bounds check, the pointer's bounds must be loaded in one of the four new MPX bound (bndx) registers. When not in these registers, the MPX instrumentation stores bounds on the stack or in static memory. However, in some cases the number of bounds to be stored cannot be determined at compile time (e.g., a dynamically-sized array of pointers). In these cases, bounds are stored in memory in a new two-level metadata structure, and accessed using the new bound load (bndldx) and store (bndstx) instructions. These instructions use the address of the pointer to look up an entry in the process's Bound Directory (BD), which in turn points into a operating system managed Bound Table (BT), which stores the pointer's address and bounds. On 64-bit systems the BD is 2 GB, and each individual BT is 4 MB. To reduce this high memory overhead, the Linux kernel only allocates physical memory to the BD regions when they are written to, and only allocates individual BTs when they are accessed. When a bounds check fails, the CPU issues an exception that must be handled by the operating system.

3 PROBLEM STATEMENT

The goal of this work is to limit the Linux kernel's vulnerability to memory safety errors, with a focus on common errors and attacks. Specifically, we focus on preventing two causes of memory errors:

- Reference counter overflows: the security requirement is to design a reference counter type and associated API such that the counter is guaranteed never to overflow.
- Out-of-bounds memory accesses: the security requirement is to design an access control scheme that prevents out-ofbounds accesses.

In addition to the security requirements, the following are mandatory design considerations if the solution is to be used in practice:

- Performance. Any solutions added to the kernel must minimize performance impact. Some subsystems, such as networking and filesystem, are particularly sensitive to performance. Security mechanisms perceived as imposing a high performance overhead risk being rejected by the maintainers of these subsystems. Furthermore, the Linux kernel runs on a vast range of devices, including closed fixed-function devices like routers, where software attacks are not a threat but performance requirements are stringent. Thus, the solutions must adapt or be configurable depending on the needs of different usage scenarios.
- **Deployability.** Given our goal of integrating our solutions into the mainline Linux kernel, their implementation must follow the Linux kernel design guidelines, impose minimal kernel-wide changes, and be both maintainable and usable. *Usability for kernel developers* is particularly crucial for new features that may be adopted at the discretion of subsystem developers.

4 REFERENCE COUNTER OVERFLOWS

We developed a methodology to find reference counters in the kernel source code as well as analyze how they are typically used. A new type refcount_t and corresponding minimal API were introduced by Peter Zijlstra, one of the Linux maintainers of related subsystems. It provides various reference counter specific protections by preventing some outright incorrect behavior, i.e., incrementing on zero and overflowing the counter. Based on our analysis of reference counter use, we proposed several additions to the initial refcount_t API, making it widely usable as a replacement for existing reference counters and future implementations. To comprehensively prevent reference counter overflows, we have developed a set of patches to convert all conventional reference counters in the kernel to use refcount_t.

4.1 Analyzing Linux Kernel reference counters

Reference counters are spread across the Linux kernel. We thus systematically analyzed the source code to locate instances of reference counters based on the atomic_t type using Coccinelle [7], a static analyzer integrated into the Linux Kernel build system (KBuild). Coccinelle takes code patterns as input and finds (or replaces) their occurrences in the given code base. We defined three such code patterns to identify reference counters based on their behavior (see Listing 3 in the Appendix for the full patterns):

- Freeing a referenced object based on the return value from atomic_dec_and_test or one its variants. This is the archetypical reference counter use case.
- (2) Using atomic_add_return to decrement a variable and compare its updated value, typically against zero. These use cases are essentially variations of the basic dec_and_test cases albeit using a different function for the implementation.
- (3) Using atomic_add_unless to decrement a counter only when its value differs from one. This case is less common.

These patterns are strong indicators that the identified object employs an atomic_t reference counting scheme. So far, this approach detected all occurrences of reference counters. Some false positives were reported, particularly on implementations with atomic_t variables that occasionally exhibit reference counter-like behavior.

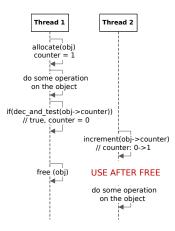


Figure 1: Potential use-after-free when incrementing a reference counter from zero.

For example, under one condition an object might be freed when such counter reaches zero (behaves like a reference counter), but under a different condition the object might instead be recycled when the counter reaches zero (see Section 4.5 for examples). Of the 250 atomic_t variables reported on an unmodified v4.10 kernel we have manually confirmed 233 variables as reference counters.

4.2 refcount t API

The initial refcount_t API, introduced by Peter Zijlstra¹ (Listing 1), was designed around strict semantically correct reference counter use. This meant that beyond set and read calls, the API provided only two incrementing functions, both of which refuse to increment the counter when its value is zero, and three variations of decrementing functions that enforce the checking of return values via compile time warnings. When decrementing the caller needs to know if the value reached zero, which is indicated by a return value of true. A true return value from refcount_inc_not_zero means that the counter was non-zero, indicating that the referenced object is safe to use.

Listing 1: Initial bare refcount_t API.

The checks are performed to ensure that a reference counter reaching zero triggers object release, thus preventing a potential memory leak, whereas increment on zero is prohibited to avoid potential use-after-free, as illustrated in Figure 1.

The main challenge in designing the refcount_t API was how to deal with an event that would otherwise cause the counter to overflow. One approach would be to simply ignore the event, such that the counter remains at its maximum value. However, this

¹Anonymized

means that the number of references to the object will be greater than the maximum counter value. If the counter is subsequently decremented, it will reach zero and the object will be freed before all references have been released (i.e., leading to a use-after-free error). To overcome this challenge, the refcount_t API instead *saturates* the counter, such that it remains at its maximum value, even if there are subsequent increment or decrement events. A saturated counter would, therefore, result in a memory leak since the object will never be freed. However, a cleanly logged memory leak is a small price to pay for avoiding the potential security vulnerabilities of a reference counter overflow. This approach is similar to that previously used by the PaX/Grsecurity patches [5].

4.3 Our extensions to the refcount_t API

Our efforts in finding existing reference counters revealed several variations of the strict archetypical reference counting schemes, which are incompatible with the initial refcount_t API. As a result, we designed several API additions that got integrated into the Linux kernel². The new API calls are shown in Listing 2.

Listing 2: Our additions to the refcount_t API.

```
void refcount_add(unsigned int i, refcount_t *r);
bool refcount_add_not_zero(unsigned int i, refcount_t *r);
void refcount_dec(refcount_t *r);
bool refcount_dec_if_one(refcount_t *r);
bool refcount_dec_not_one(refcount_t *r);
void refcount_sub(refcount_t *r);
bool refcount_sub_and_test(unsigned int i, refcount_t *r);
```

The functions allowing arbitrary additions and subtractions, i.e., the refcount_add and refcount_sub variants, are needed in situations where larger value changes are needed. For example, the sk_wmem_alloc variable in the networking subsystem serves as a reference counter but also tracks transfer queue, which need arbitrary additions and subtractions. refcount_sub_and_test and refcount_add_not_zero also provide a return value that, that indicate that the counter reached the value of zero, refcount dec accommodates situations where the forced return value checks would incur needless overhead. For instance, some functions in the btrfs filesystem handle nodes that are guaranteed to be cached, i.e., at least the reference held by the cache will be remaining. Finally, refcount_dec_if_one and refcount_dec_not_one enable schemes that require specific operations before or instead of releasing objects. For instance, the networking subsystem extensively utilizes patterns where the value of one indicates that an objects is invalid, but can be recycled.

4.4 Implementation considerations

To avoid costly lock or mutex use the refcount_t API generic, i.e., not architecture specific, implementation uses the *compare-and-swap* pattern, which is built around the atomic atomic_cmpxchg function, shown in Algorithm 1. On x86 atomic_cmpxchg is implemented as a single atomic CPU instruction, but the implementation is guaranteed to be atomic regardless of architecture. The function always returns the prior value but exchanges it only if it was equal to the given condition value *comp*. The compare-and-swap works by indefinitely looping until a cmpxchg succeeds. This

avoids costly locks and allows all but the cmpxchg to be non-atomic. Note that in the typical case, without concurrent modifications, the loop runs only once, thus being much more efficient than locks and potential blocking of execution.

Algorithm 1 cmpxchg(atomic, comp, new) sets the value of atomic to new if, and only if, the prior value of atomic was equal to comp. Whether the value was changed or not the function always returns the prior value of atomic. In practice the function is implemented as a single inline CPU instruction.

```
1: old ← atomic.value

2: if old = comp then

3: atomic.value ← new

4: end if

5: return old
```

As an example of the extended refcount_t API implementation, consider the refcount_add_not_zero function shown in Algorithm 2. It is used to increase refcount_t when acquiring a reference to the associated object, a return value of true indicates that the counter was non-zero, and thus the associated object is safe to use. The actual value from a user perspective is thus irrelevant and refcount_add_not_zero guarantees only that the return value is true if, and only if, the value of refcount_t at the time of the call was non-zero. Internally the function further guarantees that the increment takes place only when the prior value was in the open interval (0, *UINT_MAX*), thus preventing use-after-free due to increment from zero and use-after-free due to overflow. This case results in the default return statement at line 19. Attempted increment from zero or UINT MAX results in returns at lines 3 and 6. respectively. Finally, an addition that would overflow the counter instead saturates it by setting its value to UINT_MAX on line 10.

4.5 Challenges

Despite the additions to the refcount_t API, several reference counting instances nonetheless required careful analysis and in some cases challenging modifications to the underlying logic. These challenges were the main reason for not using Coccinelle to automatically convert reference counting schemes. The most common challenges are *object pool patterns* and unconventional reference counters.

While the object pool pattern is accommodated by our additions to the refcount_t API - namely, by providing functions that distinguish the value one - such implementations typically employ negative values or other means to distinguish between freed and recyclable objects. These patterns therefore often necessitated nontrivial changes to ensure that neither increment on zero operations nor negative values are expected. Overall we encountered 6 particularly challenging recycling schemes. For example, the inode refcount_t conversion spanned a total of 10 patches³ and required so many changes that it has not yet been accepted by the maintainer.

In some cases reference counters were used in *non-conventional* ways, such as to govern other behavior or track other statistics in addition to the strict reference count itself (e.g., the network socket

²Anonymized

³Anonymized

Ensure: $retval = true \Leftrightarrow refcount.value > 0$

Algorithm 2 refcount_add_not_zero (refcount, summand) attempts to add a summand to the value of refcount, and returns true if, and only if, the prior value was non-zero. Note that the function is not locked and only the cmpxchg (line 13) is atomic, i.e., the value of refcount.value can change at any point of execution. The loop ensures that the cmpxchg eventually succeeds. Overflow protection is provided by checking if the value is already saturated (line 6) and by saturating, instead of overflowing, on line 10.

```
Ensure: value\_unchanged \Leftrightarrow refcount.value \in \{0, UINT\_MAX\}
 1: val \leftarrow refcount.value \{use local copy\}
 2: while true do
       if val = 0 then
 3:
         return false {counter not incremented from zero}
 4:
 5:
       if val = UINT\_MAX then
 6:
         return true {counter is saturated, thus not zero}
 7:
       end if
 8:
       new \leftarrow val + summand \{calculate new value\}
 9:
       if new < val then
10:
         new \leftarrow UINT\_MAX {Saturate instead of overflow}
11:
12:
       old \leftarrow atomic\_cmpxchg(refcount.atomic, val, new)
13:
       if old = val then {if refcount.value was unchanged, then}
14:
         break {value was updated by cmpxchg}
15:
16:
       val \leftarrow old {update val for next iteration}
17:
18: end while
   return true {value incremented}
```

sk_wmem_alloc variable mentioned above). The refcount_t API can be surprising or outright erroneous for such unconventional uses; it might for instance be expected that such variables can be incremented from zero or potentially reach negative values. Our conversion efforts touched upon 21 reference counters in this category.

4.6 Deployment of refcount_t

We developed 233 distinct kernel patches, each converting one distinct variable, spanning all the kernel subsystems. During our work, the refcount_t API, with our additions, was also finalized in the mainline Linux kernel. The next stage of our work consisted of submitting all the patches to the respective kernel maintainers and adapting them based on their feedback. Based discussions with maintainers, some patches were permanently dropped, either because they would require extensive changes in affected subsystems or would incur unacceptable performance penalty without any realistic risk of actually overflowing the particular counter. As explained in Section 3, some performance-sensitive systems proved challenging to convert due to performance concerns. As a result, a new CONFIG_REFCOUNT_FULL kernel configuration option was added to allow switching the refcount_t protections off and thus use the new API without any performance overhead. This can be utilized by devices that have high performance requirements but

are less concerned about security based on their nature (e.g., closed devices such as routers that do not allow installation of untrusted software). These patching efforts, discussions, and patch reviews also uncovered related reference counting bugs that were fixed in subsequent kernel patches⁴.

5 OUT-OF-BOUNDS MEMORY ACCESS

To prevent spatial memory errors in the Linux kernel, we have adapted Intel MPX for in-kernel use. MPX was designed for both user-space and kernel-space (e.g., the hardware includes separate configuration registers for each). However, until now, the Linux kernel and the GCC compiler only supported MPX for user-space applications. Our solution, MPX for Kernel (MPXK), is realized as a new GCC instrumentation plugin (based on the existing MPX support in GCC). In the following subsections, we describe the various challenges of using MPX in the Linux kernel, and our respective solutions in MPXK.

5.1 Memory use

The first challenge arises from the high memory overhead incurred by the MPX Bound Directory (BD) and Bound Tables (BT). As explained in Section 2.2, user-space MPX attempts to reduce this overhead by allocating this memory only when needed. However, this requires the kernel to step in at arbitrary points during execution to handle page faults or Bound Faults caused by caused by BD dereferences or unallocated BTs. This approach cannot be used in MPXK because the kernel cannot handle page faults at arbitrary points within its own execution. It is also not feasible to pre-allocate the BD and BTs, as this would increase base memory usage by over 500% and require extensive modifications to accommodate certain classes of pointers (e.g., pointers originating from user-space). An alternative approach would be to substitute the hardware-backed BD and BTs with our own metadata, similar to SoftBound [26] or KASAN [19]. However, this would still incur the same memory and performance overheads as those systems.

To overcome this challenge, MPXK dynamically determines pointer bounds using *existing kernel metadata*. Specifically, we reuse the kernel memory management metadata created by kmalloc-based allocators. We define a new function, mpxk_load_bounds, that uses this existing metadata to determine the bounds for a pointer allocated by kmalloc, and loads these into the MPX registers. A side-effect of this approach is that bounds are rounded up to the nearest allocator cache size (i.e., may be slightly larger than the requested allocation size). However, this has no security implications because the allocator will not allocate any other objects in the round-up memory area [1]. MPXK thus never uses the bndldx or bndstx instructions. Our kernel instrumentation only uses mpxk_load_bounds when the bounds cannot be determined at compile-time (e.g., for dynamically allocated objects).

5.2 Kernel support code

In user-space, MPX is supported by GCC library implementations for various support functionality, such as initialization and function wrappers. The user-space instrumentation initializes MPX during

 $^{^{4}} http://lkml.org/lkml/2017/6/27/567, http://lkml.org/lkml/2017/3/28/383 \ etc.$

process startup by allocating the BD in virtual memory and initializing the MPX hardware. However, this existing initialization code cannot be used directly in the Linux kernel because kernel-space MPX must be configured during the kernel boot process.

In user-space, the compiler also provides instrumented wrapper functions for all memory manipulation functions, such as memmove, strcpy, and malloc. These user-space wrappers check incoming pointer bounds and ensure that the correct bounds are associated with the returned pointers. They are also responsible for updating the BD and BTs (e.g., memcopy must duplicate any BD and BT entries associated with the copied memory). However, these user-space wrappers also cannot be used in the kernel because the kernel implements its own standard library.

MPXK includes new code to initialize the MPX hardware during the kernel boot process. This is done by writing the MPX configuration into each CPU's bndcfgs Machine Specific Register (MSR). Although MPXK does not use a BD, it still reserves an address space for the BD but does not back this up with physical memory. This is done to ensure that any erroneous and/or malicious invocations of the bndldx or bndstx instructions will cause page faults and crash the kernel instead of potentially overwriting arbitrary memory.

MPXK includes a new set of kernel-specific wrapper functions, which are implemented as normal in-kernel library functions, and used by our new MPXK GCC-plugin. The MPXK wrappers are substantially less complex (and thus easier to audit for security) than their user-space counterparts, because the MPXK wrappers do not need to include logic for updating the DB or BTs.

5.3 Binary compatibility (Mixed code)

MPXK, like MPX, is binary compatible and can therefore be used in mixed environments with both MPXK enabled code and legacy (non-instrumented) code. A fundamental problem for any binarycompatible bounds checking scheme is that the instrumentation cannot track pointer manipulation performed by legacy code and therefore cannot make any assumptions about the pointer bounds after the execution flow returns from the legacy code. MPX offers a partial solution by storing the pointer's value together with its bounds (using the bndstx instruction) before entering legacy code. When the legacy code returns and bndldx is used to load the bounds again, this instruction will reset the pointer bounds, i.e. making them essentially infinite, if it detects that the current pointer value has been changed. However, MPXK does not use the bndstx and bndldx instructions but instead always attempt to load such bounds using mpxk_load_bounds. Note that this does give an additional advantage compare to MPX, since MPXK is able to load the bounds based on the pointer value.

Function arguments, both in MPX and MPXK, rely on the caller to supply bounds. As such, these schemes cannot determine bounds for arguments when called from non-instrumented code. In both MPX and MPXK, these bounds therefore cannot be checked. As future work, we are investigating how to determine such bounds using the new MPXK bound load function described above.

5.4 Kernel instrumentation

The MPXK instrumentation is based on the existing MPX support in GCC, but uses a new GCC plugin to adapt this for use in the

kernel. As described above, this new plugin instruments the kernel code with the new MPXK bound loading function, MPXK initialization code, and kernel-space wrapper functions. This plugin uses the GCC plugin system that has been incorporated into Kbuild, the Linux build system, since Linux v4.8. This means that MPXK is seamlessly integrated with the regular kernel build workflow. A developer simply needs to add predefined MPXK flags to any Makefile entries in order to include MPXK instrumentation. The plugin itself is implemented in four compiler passes, of which the first three operate on the high-level intermediate representation, GIMPLE, and the last on the lower-level RTL, as follows:

- mpxk_pass_wrappers replaces specific memory-altering function calls with their corresponding MPXK wrapper functions, e.g., replacing kmalloc calls mpxk_wrapper_kmalloc calls.
- mpxk_pass_cfun_args inserts MPXK bound loads for function arguments where bounds are not passed via the four bndx registers. This naturally happens when more than four bounds are passed, or due to implementation specifics for any argument beyond the sixth.
- mpxk_pass_bnd_store replaces bndldx calls with MPXK bound loads, and removes bndstx calls. This covers all high-level (GIM-PLE) loads and saves, including return values to legacy function calls
- mpxk_pass_sweeper is a final low-level pass that removes any remaining bndldx and bndstx instructions. This pass is required to remove instructions that are inserted during the expansion from GIMPLE to RTL.

6 EVALUATION

We evaluate our proposed solutions against the requirements defined in Section 3.

6.1 Security guarantees

We analyse the security guarantees of both refcount_t and MPXK, first through a principled theoretical analysis, and second by considering the mitigation of real-world vulnerabilities.

Reference counter overflows. With the exception of refcount_set and refcount_read, all functions that modify refcount_t can be grouped into increasing and decreasing functions. All increasing functions maintain the invariants that i) the resulting value will not be smaller than the original and that ii) a value of zero will not be increased. The decreasing functions maintain corresponding invariants that i) the resulting value will not be larger than the original and that ii) a value of UINT_MAX will not be decreased.

For example, the increasing function refcount_add_not_zero (Algorithm 2) maintains the invariants as follows:

- **input** = 0: Lines 3- 4 prevent the counter being increased.
- input = UINT_MAX: Lines 6- 7 ensure the counter will never overflow.
- input ∈ (0, UINT_MAX): Lines 10- 11 ensure that the counter value cannot overflow as a result of addition.

Line 13 ensures that the addition is performed atomically, thus preventing unintended effects if interleaved threads update the counter concurrently. Regardless of how the algorithm exits, the invariant is maintained. The same exhaustive case-by-case enumeration can be used to prove that all other refcount_t functions maintain the invariants.

An attacker could still attempt to cause a use-after-free error by finding and invoking an extra decrement (i.e., decrement without a corresponding increment). This is a fundamental issue inherent in all reference counting schemes. However, the errors caused by the extra decrement would almost certainly be detected early in development or testing. In contrast, missing decrements are very hard to detect through testing as they may require millions of increments to a single counter before resulting in observable errors. Thanks to the new refcount_t, missing decrements can no longer cause reference counter overflows.

In terms of real-world impact, refcount_t would have prevented several past exploits, including CVE-2014-2851, CVE-2016-0728, and CVE-2016-4558. Although it is hard to quantify the current (and future) security impact this will have on the kernel, observations during our conversion efforts support the intuition that the strict refcount_t API discourages unsafe implementations. For example, at least two new reference counting bugs⁵ were noticed and fixed due to their incompatibility with the new API.

Out-of-bounds memory access. The objective of MPXK is to prevent spatial memory errors by performing pointer bounds checking. Specifically, for objects with known bounds, MPXK will ensure that pointers to those objects cannot be dereferenced outside the object's bounds (e.g., as would be the case in a classic buffer overflow). A fundamental limitation of bounds checking schemes is that there are various cases in which the correct object bounds cannot be (feasibly) known by the scheme. We enumerate each of these cases and show that MPXK is at least as secure as existing schemes.

Pointer manipulation: If the attacker can corrupt a pointer's value to point to a different object without dereferencing the pointer, this can be used to subvert bounds checking schemes. For example, object-centric schemes such as KASAN enforce bounds based on the pointer's value. If this value is changed to point within another object's bounds, the checks will be made (incorrectly) against the latter object's bounds. In theory, pointer-centric schemes such as user-space MPX should not be vulnerable to this type of attack, since they do not derive bounds based on the pointer's value. However, presumably for compatibility reasons, if MPX detects that a pointer's value has changed, it resets the pointer's bounds (i.e., allows it to access the full memory space). MPXK, like KASAN, will use the corrupted value to infer an incorrect set of bounds. This is therefore no worse than MPX or object-centric schemes. However, it must be noted that this type of attack requires the attacker to have a *prior exploit* to corrupt the pointer in the first place.

Legacy code: Binary-compatibility is a fundamental problem for any scheme that tracks pointers' bounds. This is manifest in two cases: i) pointers returned from legacy (non-instrumented) code to an instrumented caller, and ii) pointers passed from legacy code as arguments to an instrumented function. In both cases, the pointer bounds are not known and thus cannot be tracked by the instrumented code. These issues often cannot be addressed in binary-compatible systems, MPXK however, in case i), uses its mpxk_load_bounds function to determine and load the bounds.

Table 1: CPU load measurements (in cycles).

Function	SkyLake	Sandy Bridge	Ivy Bridge-EP
atomic_inc()	15	13	10
refcount_inc()	31	37	31

One potential solution for case ii) is to add meta-data to track the bounds from legacy callers. This could be done by relaxing the strict binary-compatibility requirement, which may be feasible for the kernel, since the whole code base is typically compiled at once. The compiler could perform kernel-wide analysis while still only adding instrumentation to the intended subsystems. We plan to investigate this as future work.

One current limitation of MPXK is that the mpxk_load_bounds function currently can only retrieve the bounds of objects allocated by kmalloc. As future work, we plan to extend this to include pointers into static memory or the stack, which are not associated with any allocation pool. Even if MPXK is unable to determine the precise bounds, these pointers could still be restricted to sensible memory areas (e.g., specific stack frames).

As a practical demonstration of MPXK real-world effectiveness, we have tested MPXK against an exploit built around the recent CVE-2017-7184. The vulnerability, which affects the IP packet transformation framework xfrm, is a classic buffer overflow caused by omission of an input size verification. We first confirmed that we can successfully gain root privileges on a current Ubuntu 16.10 installation running a custom-built v4.8 kernel using the default Ubuntu kernel configuration. We then recompiled the kernel applying MPXK on the xfrm subsystem, which caused the exploit to fail with a bound violation reported by MPXK.

6.2 Performance

Reference counter overflows. Although the refcount_t functions consist mainly of low-overhead operations (e.g., additions and subtractions), they are often used in performance-sensitive contexts. Therefore, while absolute overhead of individual calls can be illuminating, we need to estimate the practical impact on overall performance. We performed various micro-benchmarks of the individual functions during the refcount_t development⁶. As shown in Table 1, refcount_inc introduces an average overhead of 20 CPU cycles, compared to atomic_inc. However micro-benchmarks cannot be considered in isolation when evaluating the overall performance impact.

To gauge the overall performance impact of refcount_t we conducted extensive measurements on the networking subsystem using the Netperf [31] performance measurement suite. We chose this subsystem because i) it is known to be performance-sensitive; ii) it has a standardized performance measurement test suite; and iii) we encountered severe resistance on performance grounds when proposing to convert this subsystem to use refcount_t. The concerns are well-founded due to the heavy use of reference counters (e.g., when sharing networking sockets and data) under potentially substantial network loads. The main challenge when evaluating performance impact on the networking subsystem is that there are no standardized workloads, and no agreed criteria as to what

 $^{^5} http://lkml.org/lkml/2017/6/27/409, http://lkml.org/lkml/2017/3/28/383$

⁶http://lwn.net/Articles/718280/

Table 2: Netperf refcount usage measurements

Netperf Test type	base	refcount	change (stddev)
UDP CPU use (%)	0.53	0.75	+42.1% (34%)
TCP CPU use (%)	1.13	1.28	+13.3% (3%)
TCP throughput (MB/s)	9358	9305	-0.6% (0%)
TCP throughput (tps)	14909	14761	-1.0% (0%)

constitutes "acceptable" performance overhead. Our test setup consisted of physically connected server and client machines running the mainline v4.11-rc8 kernel. We measured the real-world performance impact of converting all 78 reference counters in the networking subsystem and networking drivers from atomic_t to refcount_t.

As shown in Table 2, our Netperf measurements include CPU utilization for UDP and TCP streams, and TCP throughput in terms of MB/s and transactions per second (tps). The results indicate that throughput loss is negligible, but the average processing overhead can be substantial, ranging from 13% for UDP to 42% for TCP. The processing overhead can in many situations be acceptable; Desktop systems typically only use networking sporadically, and when they do, the performance is typically limited by the ISP link speed, not CPU bottlenecks. In contrast, this might not be the case in servers or embedded systems, and routers in particular, where processing resources may be limited and networking a major contributor to system load. However, such systems are typically closed systems, i.e., it is not possible to install additional applications or other untrusted software, so therefore their attack surface is already reduced. These considerations are the reason for the CONFIG_REFCOUNT_FULL kernel configuration option, which can be used to disable the protection offered by refcount_t when the performance overhead is too high, whilst still allowing all other systems to benefit from these new protection mechanisms (which are expected to be enabled by default in the future).

Out-of-bounds memory access. MPXK incurs three types of performance overhead (excluding compile-time overheads). First, the instrumentation naturally increases CPU utilization and kernel size. Although we do not use the costly MPX bound storage, some memory will nonetheless be used to store static global and intermediary bounds. Memory use comparisons, however, indicate that the memory overhead is negligible. When deploying MPXK over the xfrm subsystem, the memory overhead for in-memory kernel code is 110KB, which is a 0.7% increase in total size. Kernel image size overhead is increased by 45KB or 0.6%. Some CPU overhead is expected due to the instrumentation and bound handling. To measure this, we conducted micro-benchmarks on kmalloc and memcpy, comparing the performance of MPXK and KASAN, with the results shown in Table 3.

To better gauge the actual runtime performance impact, we again applied MPXK to xfrm and measured the impact on an IPSec tunnel where xfrm manages the IP package transformations. For measurements we used Netperf, running five-minute tests for a total of 10 iterations. The test system was running Ubuntu 16.04 LTS with a v4.8 Linux kernel. As shown in Table 4, there is a small reduction in TCP throughput, but the impact on CPU performance is negligible.

The micro-benchmarks indicate that MPXK, as expected, introduces a measurable performance overhead. However, compared to KASAN, the performance overhead is relatively small. The large scale tests on xfrm and Netperf confirm that similar performance measurements hold for full real-world systems. It should further be noted that MPXK is specifically designed for modular deployment, thus ensuring that it can be deployed incrementally without affecting performance sensitive modules or subsystems.

6.3 Deployability

Reference counter overflows. Deploying a new data type into a widely used system such as the Linux kernel is a significant realworld challenge. From a usability standpoint, the API should be as simple, focused, and self-documenting as reasonably possible. The refcount_t API in principle fulfils these requirements by providing a tightly focused API with only 14 functions. This is a significant improvement over the 100 functions provided by the atomic_t type previously used for reference counting. Of the 233 patches we submitted, 123 are currently accepted, and it is anticipated that the rest will be accepted in the near future. The refcount_t type has also been taken into use in independent work by other developers⁷. This gives a strong indication that the usability goals are met in practice. Our contributions also include the Coccinelle pattern used for analysis and detection of potential reference counting schemes that can be converted to refcount_t. This pattern is currently in the process of being contributed to the mainline kernel, at which point it can be used by individual developers and in various places in the Linux kernel testing infrastructure.

Out-of-bounds memory access. As with MPX, our MPXK design considers usability as a primary design objective. It is binary compatible, meaning that it can be enabled for individual translation units. It is also source-compatible in that it does not require any changes to source code. In some cases, pointer bounds checking can interfere with valid pointer arithmetic or other atypical pointer use sometimes seen in high-performance implementations. However, such compatibility issues are usually only present in architecturespecific implementations of higher-level APIs and can thus be accounted for in a centralized manner by annotating incompatible functions to prevent their instrumentation. Compatibility issues are also usually found during compile time and are thus easily detected in development. MPXK is fully integrated into Kbuild, providing predefined compilation flags for easy deployment. Using the MPXK_AUDIT parameter, MPXK can also be configured to run in permissive mode where violations are only logged, which is useful for development and incremental deployment.

The MPXK code-base is largely self-contained and thus easy to maintain. The only exceptions are the wrapper functions that are used to instrument memory manipulating functions. However, this is not a major concern because the kernel memory managing and string API is quite stable and changes are infrequent. The instrumentation is all contained in a GCC-plugin and is thus not directly dependent on GCC internals. MPXK is thus both easily deployable and easy to maintain.

⁷http://lkml.org/lkml/2017/6/1/762

	baseline	KASAN		MPXK	
	time (stddev)	ns diff (stddev)	% diff	ns diff (stdev)	% diff
No bound load.					
memcpy, 256 B	45 (0.9)	+85 (1.0)	+190%	+11 (1.2)	+30%
memcpy, 65 kB	2340 (4.3)	+2673 (58.1)	+114%	+405 (5.1)	+17%
Bound load needed.					
memcpy, 256 B	45 (0.8)	+87 (0.9)	+195%	+70 (1.5)	+155%
memcpy, 65 kB	2332 (5.8)	+2833 (28.2)	+121%	+475 (15.0)	+20%

Table 3: MPXK and KASAN CPU overhead comparison.

Table 4: Netperf measurements over an IPSec tunnel with the xfrm subsystem protected by MPXK.

Netperf test	baseline	MPXK	change (stddev)
UDP CPU use (%)	24.97	24.97	0.00% (0.02)%
TCP CPU use (%)	25.07	25.15	0.31% (0.29)%
TCP throughput (MB/s)	646.69	617.95	-4.44% (4.61)%
TCP throughput (tps)	1586.79	1547.85	-2.45% (1.66)%

7 RELATED WORK

Research on memory errors, both temporal and spatial, has long roots both in the industry and in academia. Many solutions have been presented to eliminate, mitigate and detect memory errors of various types, but they typically exhibit characteristics that prevent their wide deployment. Purify [16], Shadow Guarding [33, 34], SoftBound [26], as well as approaches in [18], [29, 47, 49], and [12], have non-acceptable run-time performance. CCured [28] and Cyclone [14] required source code changes. In addition CCured [28], Cyclone [14] and approach [3] are not backward compatible. Moreover, these solutions have typically focused on user-space and have not been intended or even supported in kernel-space. Some recent notable exceptions are kCFI [40] and KENALI [44], but they have not from an implementation standpoint targeted actual mainline kernel adoption. To our knowledge, none of these systems are in production use as run-time security mechanisms. A notable exception is the PaX/Grsecurity patches that have pioneered many in-use security mechanisms such as Address Space Layout Randomization [35]. PaX/Grsecurity also includes a PAX_REFCOUNT [5] feature that prevents reference counter overflows, but this requires extensive modification of the underlying atomic types. These extensive changes, and a potential race condition, made this feature unsuitable for mainline kernel adoption [5].

Some tools have reached high prominence and active use in the debugging of memory errors. These include Valgrind [30] which offers a suite of six tools for debugging, profiling, and error detection, including the detection of memory errors. AddressSanitizer [41] provides something of a gold standard in run-time memory error detection, but is unsuitable for run-time production use due to performance overhead. KASAN [19] is integrated into the mainline Linux kernel and offers similar protections for the kernel, but again incurs performance overheads unsuitable for most production use cases.

From a production perspective, much work has focused on preventing the exploitation of memory errors. Exploitability of buffer overflows, whether stack-based or heap-based, can be limited by

preventing an attacker from misusing overflown data. One early mitigation technique is the non-executable (NX) bit for stack, heap and data pages [36, 43]. However, this can be circumvented by using overflows for execution redirection into other legitimate code memory, such as the C library in so called return-to-libc attacks, or more generally to any executable process memory in return oriented programming attacks [20]. Another mitigation technique is the use stack canaries that allow the detection of overflows, e.g., StackGuard [10] and StackShield [45]. Such detection techniques can typically be circumvented or avoided using more selective overflows that avoid the canaries, or by exploiting other callback pointers such as exception handlers. Probabilistic mitigation techniques such as memory randomization [35, 46] are commonly used, but have proven difficult to secure against indirect and direct memory leaks that divulge randomization patterns, not to mention later techniques such as heap spraying [39].

In contrast to the development/debugging temporal safety tools and the run-time-friendly mitigation measures, MPXK is a runtime efficient system focused on the prevention of the underlying memory errors. MPXK is conceptually similar to previous solutions such as [14, 22, 23, 26, 28]. Like these systems, MPXK does not use fat-pointers, which alter the implementation of pointers to store both the pointer and the bounds together, but instead preserves the original memory layout. Unlike purely software-based systems such as SoftBound [26], MPXK has the advantage of hardware registers for propagating bounds and hardware instructions for enforcing bounds. HardBound [11] employs hardware support similar to MPXK but has a worst case memory overhead of almost 200% and has only been simulated on the micro-operation level and to date lacks any existing hardware support. Unlike MPXK, none of these previous schemes have been designed for use in the kernel.

8 DISCUSSION

The solutions proposed in this paper and related Linux kernel mainline patches are a step towards better memory safety in the mainline Linux kernel. One sobering fact when working with production systems and distributed development communities, such as the Linux kernel, is that there is always a trade-off between security and deployability. Security solutions outside the mainline kernel, such as the long-lived PaX/Grsecurity [15] patches can thrive, but in order to provide wide-spread security to a diverse space of devices, it is imperative to achieve integration into the mainline kernel.

Future work:

Our efforts to convert the remaining reference counters to refcount_t continue, but the initial work has already sparked other implementation efforts and a renewed focus on the problem. There are active efforts to migrate to the mainline kernel solutions that provide high-performance architecture-independent implementations [2].

Several MPXK improvements are also left for future work. Our support for bound loading can be extended with support for other allocators and memory region-based bounds for pointers not dynamically allocated. More invasive instrumentation could also be used to improve corner cases where function calls require bound loading. The wrapper implementations could similarly be improved by more invasive instrumentation, namely by forgoing wrappers altogether and instead employing direct instrumentation at call sites. This approach is not feasible on vanilla MPX due complications caused by the bound storage, but would be quite reasonable for MPXK.

MPX: suggestions for improvements:

While working with Intel MPX and adapting it for kernel use, we have identified some aspects that limit its usability for this use in particular, but also in more general use cases. One particular challenge was lacking documentation of both hardware and compiler behavior in atypical cases. In many cases, such behavior is impossible to determine without either manual run-time testing or extensive compiler source code analysis. While these limitations might not affect trivial user-space applications, they are glaring problems when working with performance-critical low-level systems such as the Linux kernel. This is not ideal for a technology that aims for wide adoption and can easily become an insurmountable barrier. From a pure hardware perspective, MPX currently provides only four registers for storing bounds. By increasing the register count, the instrumentation would be more efficient overall, and in particular function argument bound propagation would benefit by being able to omit costly bound loads.

Working with Linux kernel community:

The path to successful non-trivial mainline kernel patches is often a long and rocky road, especially for security-related features that have performance implications. Based on our experience in working with the Linux kernel developers in this project, we offer the following guidance for other researchers who also aim to have their solutions merged into the mainline Linux kernel.

Understanding context. It is not enough to simply read the Linux kernel contribution guidelines [24] and follow them when developing your proposal. It is much more useful to understand the *context* of the subsystem to which you are attempting to contribute. By context, we mean the recent history of the subsystem and planned developments, standard ways in which it is verified and tested, and the overall direction set by its maintainers. Presenting your contribution by embedding it in this context will increase the chances of its getting a fair hearing. For example, in our MPXK work, instead of contributing changes directly to the GCC core, we implemented our GCC instrumentation as a standalone GCC plugin (Section 5) using the GCC kernel plugin framework that was just recently

added to the mainline Linux kernel. This allowed us to be aligned with the overall direction of the GCC compiler development.

Fine-grained configurability. The Linux kernel runs on very different types of devices and environments with different threat models and security requirements. Thus any security solution (and especially those that have performance or usability implications) must not be monolithic but support the ability to be configured to several different grades. For example, we designed MPXK so that its level of protection can be independently enabled on each compilation unit or subsystem to protect places where it is most needed (see Section 6.3). Similarly, in our reference counter protection work, in addition to having a configuration flag for turning off the protection behind the refcount_t interface, there is ongoing work to provide an architecture-specific fast assembly implementation with only slightly relaxed security guarantees [2]. Also, the conversion to the new refcount_t API can happen independently for each reference counter. Kernel maintainers can thus gradually change their code to use refcount_t rather than requiring all kernel code to be changed at once (in fact, the latter is a major reason for kernel developers turning down the PaX/Grsecurity solution for reference counters.). Timing. Developing and deploying any new feature that affects more than a single kernel subsystem takes considerable time. This is due to the number of different people involved in maintaining various kernel subsystems and the absence of strict organization of the development process. Researchers should plan for this to make sure enough time is allocated. Also, one has to take into account various stages of kernel release process to understand when it is the best time to send your patches for review and get feedback from maintainers. For example, it took us a full year to reach the current state of reference counter protection work and have 123 out of 233 patches merged.

Finally, even if a proposed feature is not accepted in the end, both maintainers and security researchers can learn from the process, which can eventually lead to better security in the mainline kernel.

9 CONCLUSION

Securing the mainline Linux kernel is a vast and challenging task. In this paper we present a set of solutions that on the one hand limit the exploitability of memory errors by eliminating reference counter overflows and on the other provide hardware assisted solution for enforcing pointer bounds. Both solutions are aimed at practical deployability, with reference counter protection in particular already being widely deployed in the mainline kernel. While our solutions arguably exhibit some limitations, they nonetheless strike a pragmatic balance between deployability and security, thus ensuring they are in a position to benefit the millions of devices based on the mainline kernel.

REFERENCES

- Periklis Akritidis, Manuel Costa, Miguel Castro, and Steven Hand. 2009. Baggy Bounds Checking: An Efficient and Backwards-Compatible Defense against Outof-Bounds Errors.. In USENIX Security Symposium. 51–66.
- [2] Anonymized. 2017. Anonymized. (2017).
- [3] Todd M. Austin, Scott E. Breach, Gurindar S. Sohi, Todd M. Austin, Scott E. Breach, and Gurindar S. Sohi. 1994. Efficient detection of all pointer and array access errors. Proceedings of the ACM SIGPLAN 1994 conference on Programming language design and implementation PLDI '94 29, 6 (1994), 290–301. https://doi.org/10.1145/178243.178446

- [4] Mick Bauer. 2006. Paranoid penguin: an introduction to Novell AppArmor. Linux Journal 2006, 148 (2006), 13.
- [5] Rodrigo Branco. 2015. Grsecurity forum Guest Blog by Rodrigo Branco: PAX_REFCOUNT Documentation. (2015). https://forums.grsecurity.net/ viewtopic.php?f=7&t=4173
- [6] Haogang Chen, Yandong Mao, Xi Wang, Dong Zhou, Nickolai Zeldovich, and M Frans Kaashoek. 2011. Linux kernel vulnerabilities: State-of-the-art defenses and open problems. In Proceedings of the Second Asia-Pacific Workshop on Systems. ACM 5
- [7] Coccinelle 2017. Coccinelle Project. http://coccinelle.lip6.fr/. (2017).
- [8] George E. Collins. 1960. A Method for Overlapping and Erasure of Lists. Commun. ACM 3, 12 (1960), 655–657. https://doi.org/10.1145/367487.367501
- [9] Kees Cook. 2016. Status of the Kernel Self Protection Project. www.outflux.net/ slides/2016/lss/kspp.pdf (2016).
- [10] Crispan Cowan, Calton Pu, Dave Maier, Jonathan Walpole, Peat Bakke, Steve Beattie, Aaron Grier, Perry Wagle, Qian Zhang, and Heather Hinton. 1998. Stackguard: automatic adaptive detection and prevention of buffer-overflow attacks.. In USENIX Security Symposium, Vol. 98. 63–78.
- [11] Joe Devietti, Colin Blundell, Milo MK Martin, and Steve Zdancewic. 2008. Hard-bound: architectural support for spatial safety of the C programming language. In ACM SIGARCH Computer Architecture News, Vol. 36. ACM, 103–114.
- [12] Dinakar Dhurjati and Vikram Adve. 2006. Backwards-compatible array bounds checking for C with very low overhead. In Proceedings of the 28th international conference on Software engineering. ACM, 162–171.
- [13] dm-verify 2017. dm-verity project pages. http://source.android.com/security/verifiedboot/dm-verity. (2017).
- [14] Dan Grossman, Michael Hicks, Trevor Jim, and Greg Morrisett. 2005. Cyclone: A type-safe dialect of C. C/C++ Users Journal 23, 1 (2005), 112–139.
- [15] Grsecurity 2017. grsecurity. https://grsecurity.net. (2017).
- [16] Reed Hastings and Bob Joyce. 1991. Purify: Fast detection of memory leaks and access errors. In In Proc. of the Winter 1992 USENIX Conference. 125–138.
- [17] IMA 2017. Integrity Measurement Architecture (IMA) wiki pages. http://sourceforge.net/p/linux-ima/wiki/Home/. (2017).
- [18] Richard WM Jones and Paul HJ Kelly. 1997. Backwards-compatible bounds checking for arrays and pointers in C programs. In Proceedings of the 3rd International Workshop on Automatic Debugging; 1997 (AADEBUG-97). Linköping University Electronic Press, 13–26.
- [19] KASAN 2017. The Kernel Address Sanitizer (KASAN). www.kernel.org/doc/ html/v4.10/dev-tools/kasan.html. (2017).
- [20] Sebastian Krahmer. 2005. X86-64 Buffer Overflow Exploits and the Borrowed Code Chunks Exploitation Technique. (2005), 1–20. https://trailofbits.github.io/ ctf/exploits/references/no-nx.pdf
- [21] KSPP 2017. Kernel Self Protection Project wiki. http://www.kernsec.org/wiki/index.php/Kernel_Self_Protection_Project. (2017).
- [22] Dmitrii Kuvaiskii, Oleksii Oleksenko, Sergei Arnautov, Bohdan Trach, Pramod Bhatotia, Pascal Felber, and Christof Fetzer. 2017. SGXBOUNDS: Memory Safety for Shielded Execution. In Proceedings of the 2017 ACM European Conference on Computer Systems (EuroSys).
- [23] Albert Kwon, Udit Dhawan, Jonathan M Smith, Thomas F Knight Jr, and Andre DeHon. 2013. Low-fat pointers: compact encoding and efficient gate-level implementation of fat pointers for spatial safety and capability-based security. In Proceedings of the 2013 ACM SIGSAC conference on Computer & communications security. ACM, 721-732.
- [24] Linux Kernel Documentation 2017. Submitting patches: the essential guide to getting your code into the kernel. https://www.kernel.org/doc/html/v4.12/ process/submitting-patches.html. (2017).
- [25] Paul E McKenney. 2007. Overview of linux-kernel reference counting. Tech. Rep. N2167=07-0027. Linux Technology Center, IBM Beaverton. http://www.open-std.org/JTC1/sc22/wg21/docs/papers/2007/n2167.pdf
- [26] Santosh Nagarakatte, Jianzhou Zhao, Milo MK Martin, and Steve Zdancewic. 2009. SoftBound: Highly compatible and complete spatial memory safety for C. 44, 6 (2009), 245–258.
- [27] National Vulnerability Database. 2017. NVD: Statistics. (2017) https://nvd.nist.gov/vuln/search/statistics?advsearch=true&formtype=advanced&resultstype=statistics&query=kernel
- [28] George C Necula, Scott McPeak, and Westley Weimer. 2002. CCured: Type-safe retrofitting of legacy code. In ACM SIGPLAN Notices, Vol. 37. ACM, 128–139.
- [29] Nicholas Nethercote and Jeremy Fitzhardinge. 2004. Bounds-Checking Entire Programs without Recompiling. Proceedings of the Second Workshop on Semantics Program Analysis and Computing Environments for Memory Management SPACE 2004 (2004). http://www.njn.valgrind.org/pubs/bounds-checking2004.ps
- [30] Nicholas Nethercote and Julian Seward. 2007. Valgrind: a framework for heavy-weight dynamic binary instrumentation. In Proceedings of the 28th ACM SIGPLAN Conference on Programming Language Design and Implementation, Vol. 42. ACM, 89–100.
- [31] Netperf 2017. Netperf Project. http://hewlettpackard.github.io/netperf. (2017).
- [32] Vitaly Nikolenko. 2016. Exploiting COF Vulnerabilities in the Linux kernel. ruxcon.org.au/assets/2016/slides/ruxcon2016-Vitaly.pdf (2016).

- [33] Harish Patil and Charles Fischer. 1997. Low-cost, concurrent checking of pointer and array accesses in C programs. Softw., Pract. Exper. 27, 1 (1997), 87–110.
- [34] Harish Patil and Charles N Fischer. 1995. Efficient Run-time Monitoring Using Shadow Processing.. In AADEBUG, Vol. 95. 1–14.
- [35] PaX Team. 2003. PaX address space layout randomization (ASLR). (2003). http://pax.grsecurity.net/docs/aslr.txt
- [36] PaX Team. 2003. PaX non-executable pages design & implementation. (2003). http://pax.grsecurity.net
- [37] Supriya Raheja, Geetika Munjal, et al. 2016. Analysis of Linux Kernel Vulnerabilities. Indian Journal of Science and Technology 9, 48 (2016).
- [38] Ramu Ramakesavan, Dan Zimmerman, and Pavithra Singaravelu. 2015. Intel Memory Protection Extensions (Intel MPX) enabling guide. (2015). http:// pdfs.semanticscholar.org/bd11/4878c6471cb5ae28546a594bf25ba5c25c6f.pdf
- [39] Paruj Ratanaworabhan, V Benjamin Livshits, and Benjamin G Zorn. 2009. NOZ-ZLE: A Defense Against Heap-spraying Code Injection Attacks.. In USENIX Security Symposium. 169–186.
- [40] Sandro Rigo, Michalis Polychronakis, and Vasileios P Kemerlis. 2017. DROP THE ROP Fine-grained Control-flow Integrity for the Linux Kernel. https://www.blackhat.com/docs/asia-17/materials/asia-17-Moreira-Drop-The-Rop-Fine-Grained-Control-Flow-Integrity-For-The-Linux-Kernel-wp.pdf. (2017).
- [41] Konstantin Serebryany, Derek Bruening, Alexander Potapenko, and Dmitriy Vyukov. 2012. AddressSanitizer: A Fast Address Sanity Checker.. In USENIX Annual Technical Conference. 309–318.
- [42] Stephen Smalley, Chris Vance, and Wayne Salamon. 2001. Implementing SELinux as a Linux security module. NAI Labs Report 1, 43 (2001), 139.
- [43] Solar Designer. 1997. Linux kernel patch to remove stack exec permission. (1997). http://seclists.org/bugtraq/1997/Apr/31
- [44] Chengyu Song, Byoungyoung Lee, Kangjie Lu, William R. Harris, Taesoo Kim, and Wenke Lee. 2016. Enforcing Kernel Security Invariants with Data Flow Integrity. In Proceedings of the 2016 Annual Network and Distributed System Security Symposium (NDSS). San Diego, CA.
- [45] StackShield. 2011. A stack smashing technique protection tool for Linux. (2011). http://www.angelfire.com/sk/stackshield
- [46] Jun Xu, Zbigniew Kalbarczyk, and Ravishankar K Iyer. 2003. Transparent runtime randomization for security. In Reliable Distributed Systems, 2003. Proceedings. 22nd International Symposium on. IEEE, 260–269.
- [47] Wei Xu, Daniel C DuVarney, and R Sekar. 2004. An Efficient and Backwards-compatible Transformation to Ensure Memory Safety of C Programs. SIGSOFT Softw. Eng. Notes 29, 6 (2004), 117–126. https://doi.org/10.1145/1041685.1029913
- [48] Wen Xu, Juanru Li, Junliang Shu, Wenbo Yang, Tianyi Xie, Yuanyuan Zhang, and Dawu Gu. 2015. From collision to exploitation: Unleashing use-after-free vulnerabilities in linux kernel. In Proceedings of the 22nd ACM SIGSAC Conference on Computer and Communications Security. ACM, 414–425.
- [49] Suan Hsi Yong and Susan Horwitz. 2003. Protecting C programs from attacks via invalid pointer dereferences. In ACM SIGSOFT Software Engineering Notes, Vol. 28. ACM, 307–316.

APPENDIX

Listing 3: Coccinelle pattern for finding reference counters in the Linux kernel

```
// Check if refcount_t type and API should be used
// instead of atomic_t type when dealing with refcounters
// Confidence: Moderate
// URL: http://coccinelle.lip6.fr/
// Options: --include-headers
virtual report
@r1 exists@
identifier a, x;
position p1, p2;
identifier fname =~ ".*free.*";
identifier fname2 =~ ".*destroy.*";
identifier fname3 =~ ".*del.*";
identifier fname4 =~ ".*queue_work.*";
identifier fname5 =~ ".*schedule_work.*";
identifier fname6 =~ ".*call_rcu.*";
 atomic_dec_and_test@p1(&(a)->x)
 atomic_dec_and_lock@p1(&(a)->x, ...)
 atomic_long_dec_and_lock@p1(&(a)->x,
 atomic_long_dec_and_test@p1(&(a)->x)
```

```
atomic64_dec_and_test@p1(&(a)->x)
                                         local_dec_and_test@p1(&(a)->x)
)
(
fname@p2(a, ...); |
 fname2@p2(...); |
 fname3@p2(...);
 fname4@p2(...);
 fname5@p2(...);
fname6@p2(...);
@script:python depends on report@
p1 << r1.p1;
p2 << r1.p2;
aa
msg = "atomic_dec_and_test_variation
____before_object_free_at_line_\%s."
coccilib.report.print_report(p1[0],
                msg \% (p2[0].line))
@r4 exists@
identifier a, x, y;
position p1, p2;
identifier fname =~ ".*free.*";
(
atomic_dec_and_test@p1(&(a)->x)
atomic_dec_and_lock@p1(&(a)->x, ...)
 atomic_long_dec_and_lock@p1(&(a)->x, ...)|
atomic_long_dec_and_test@p1(&(a)->x)
 atomic64_dec_and_test@p1(&(a)->x)
local_dec_and_test@p1(&(a)->x)
)
y=a
fname@p2(y, ...);
@script:python depends on report@
p1 << r4.p1;
p2 << r4.p2;
aa
msg = "atomic_dec_and_test_variation
_____before_object_free_at_line_\%s."
coccilib.report.print_report(p1[0],
                 msg \% (p2[0].line))
@r2 exists@
identifier a, x;
position p1;
(
atomic_add_unless(&(a)->x,-1,1)@p1
atomic_long_add_unless(&(a)->x,-1,1)@p1 |
atomic64_add_unless(&(a)->x,-1,1)@p1
@script:python depends on report@
p1 << r2.p1;
msg = "atomic_add_unless"
coccilib.report.print_report(p1[0], msg)
@r3 exists@
identifier x:
position p1;
(
x = atomic_add_return@p1(-1, ...);
x = atomic_long_add_return@p1(-1, ...); |
x = atomic64_add_return@p1(-1, ...);
@script:python depends on report@
```

```
p1 << r3.p1;
@e
msg = "x_=_atomic_add_return(-1,...)"
coccilib.report.print_report(p1[0], msg)</pre>
```