#### **Constraint Satisfaction Problems**





AIMA Chapter 6

### Two Types of Search Problems

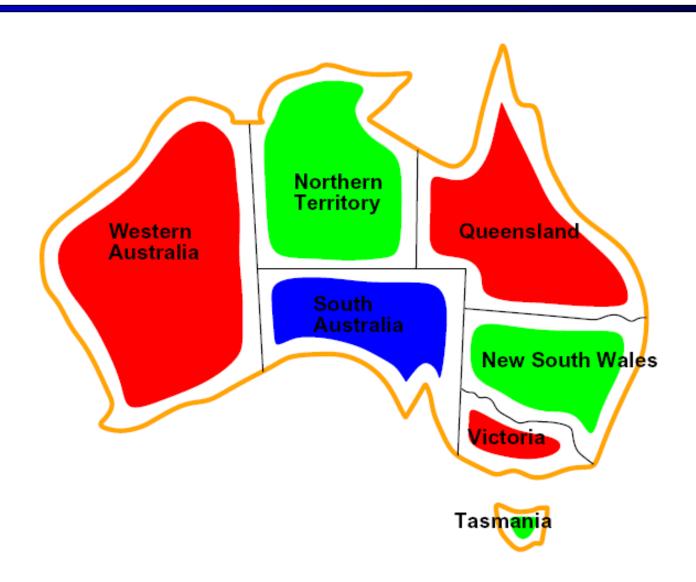
- Planning = standard search problems
  - The optimal path to the goal (sequence of actions) is the important thing
  - Paths have various costs and depths
  - State is a "black box": arbitrary data structure
  - Successor function can be anything
  - Goal test can be any function over states





- Identification = constraint satisfaction problems (CSPs):
  - The goal itself is important, not the path
  - All paths may be at the same depth or cost (for some formulations)
  - State is defined by variables  $X_i$  with values from a domain D (sometimes D depends on i)
  - Successor function: assign a value to an unassigned variable
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables

## **CSP Examples**



## **Example: Map Coloring**

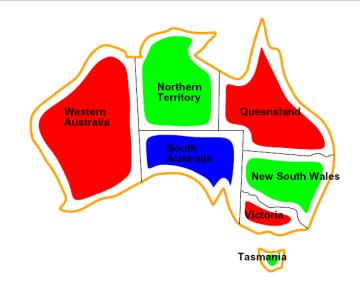
- Variables: WA, NT, Q, NSW, V, SA, T
- Domains:  $D = \{red, green, blue\}$
- Constraints: adjacent regions must have different colors

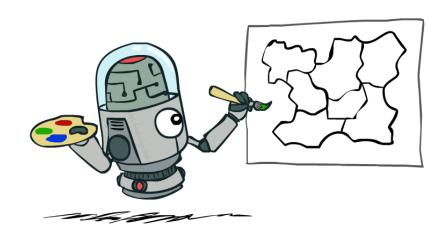
Implicit:  $WA \neq NT$ 

Explicit:  $(WA, NT) \in \{(red, green), (red, blue), \ldots\}$ 

 Solutions are assignments satisfying all constraints, e.g.:

{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}





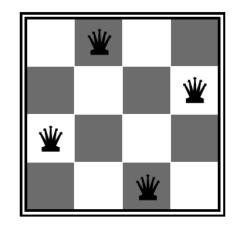
#### Example: N-Queens

#### • Formulation 1:

• Variables:  $X_{ij}$ 

■ Domains: {0, 1}

Constraints





$$\forall i, j, k \ (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\} \ (j \neq k)$$

$$\forall i, j, k \ (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\} \ (i \neq k)$$

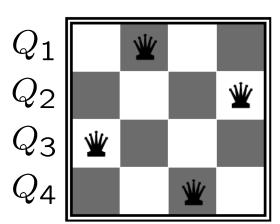
$$\forall i, j, k \ (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\} \ \sum_{i,j} X_{ij} = N$$

$$\forall i, j, k \ (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$$

### Example: N-Queens

#### Formulation 2:

- Variables:  $Q_k$
- Domains:  $\{1, 2, 3, ... N\}$



#### Constraints:

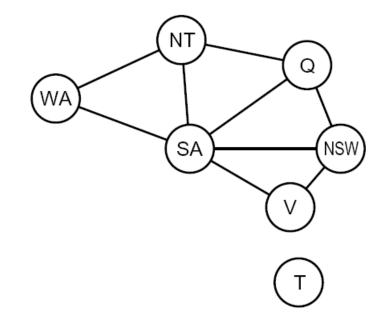
Implicit:  $\forall i, j \text{ non-threatening}(Q_i, Q_j)$ 

Explicit:  $(Q_1, Q_2) \in \{(1, 3), (1, 4), \ldots\}$ 

• • •

### **Constraint Graphs**

- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- Now we can develop general-purpose CSP algorithms on the constraint graph
- What if there are constraints relating more than two variables?



## Example: Cryptarithmetic

#### Variables:

$$F T U W R O X_1 X_2 X_3$$

Domains:

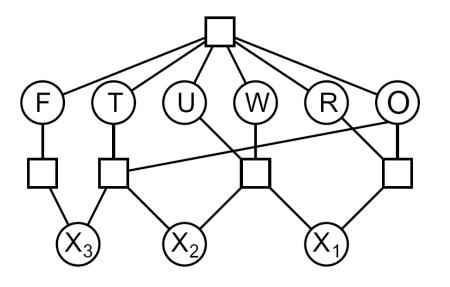
$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

Constraints:

$$O + O = R + 10 \cdot X_1$$

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#### Varieties of CSPs and Constraints



#### Varieties of CSPs

#### Discrete Variables

- Finite domains
  - Size d means  $O(d^n)$  complete assignments
  - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
- Infinite domains (integers, strings, etc.)
  - E.g., job scheduling, variables are start/end dates for each job
  - Linear constraints solvable, nonlinear undecidable

#### Continuous variables

- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by LP methods





#### Varieties of Constraints

#### Varieties of Constraints

 Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

$$SA \neq green$$

Binary constraints involve pairs of variables, e.g.:

$$SA \neq WA$$

Higher-order constraints involve 3 or more variables:

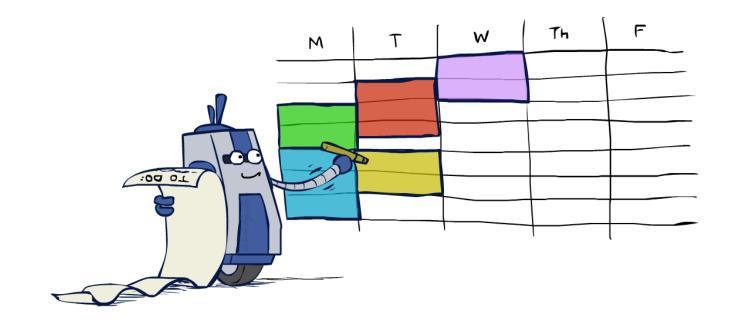
#### Preferences (soft constraints):

- E.g., red is better than green
- Often representable by a cost for each variable assignment
- Gives constrained optimization problems
- (We'll ignore these until we get to Bayes' nets)



#### Real-World CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- ... lots more!



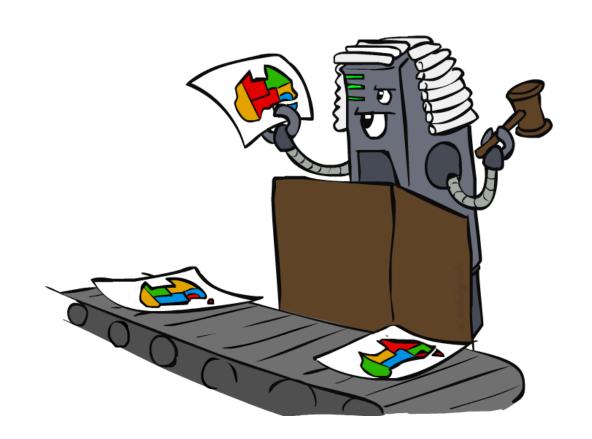
Many real-world problems involve real-valued variables...

# Solving CSPs



#### Standard Search Formulation

- Standard search formulation of CSPs
- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
    - Variable assignments are commutative, so fix ordering
    - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Goal test: the current assignment is complete and satisfies all constraints

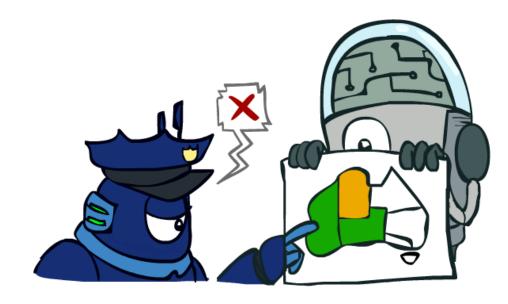


#### Search Methods

- What would DFS do?
  - Demo
  - What's wrong?

#### **Backtracking Search**

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea: Check constraints as you go
  - I.e. consider only values which do not conflict with previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with this improvement is called backtracking search (not the best name)
- Can solve n-queens for n ≈ 25



# Demo – Backtracking

#### **Backtracking Search**

```
function Backtracking-Search(csp) returns solution/failure
   return Recursive-Backtracking({ }, csp)
function Recursive-Backtracking (assignment, csp) returns soln/failure
   if assignment is complete then return assignment
   var \leftarrow \text{Select-Unassigned-Variable}(\text{Variables}[csp], assignment, csp)
   for each value in Order-Domain-Values (var, assignment, csp) do
       if value is consistent with assignment given Constraints [csp] then
           add \{var = value\} to assignment
           result \leftarrow \text{Recursive-Backtracking}(assignment, csp)
           if result \neq failure then return result
           remove \{var = value\} from assignment
   return failure
```

Backtracking = DFS + variable-ordering + fail-on-violation

## Improving Backtracking

- General-purpose ideas give huge gains in speed
- Filtering: Can we detect inevitable failure early?
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Structure: Can we exploit the problem structure?

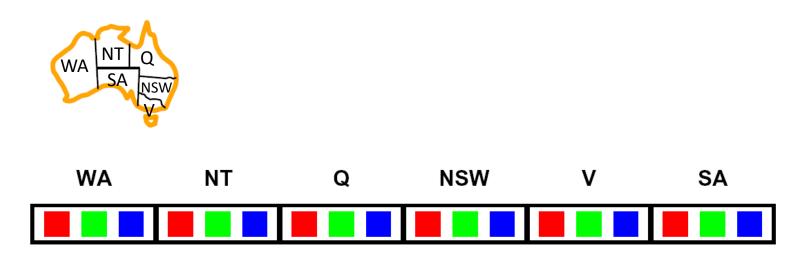


# Filtering



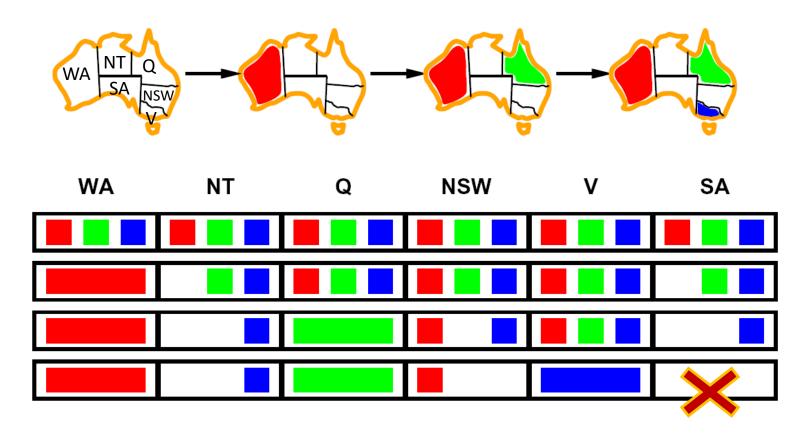
## Filtering: Forward Checking

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: Cross off values that violate a constraint when added to the existing assignment; whenever any variable has no value left, we backtrack



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#### Demo

- Backtracking
- Backtracking with Forward Checking

## Filtering: Constraint Propagation

 Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:





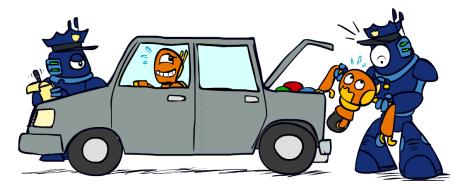
- NT and SA cannot both be blue!
- Can we detect this early?

## Consistency of A Single Arc

An arc X → Y is consistent iff for every x in the tail there is some y in the head which could be assigned without violating a constraint







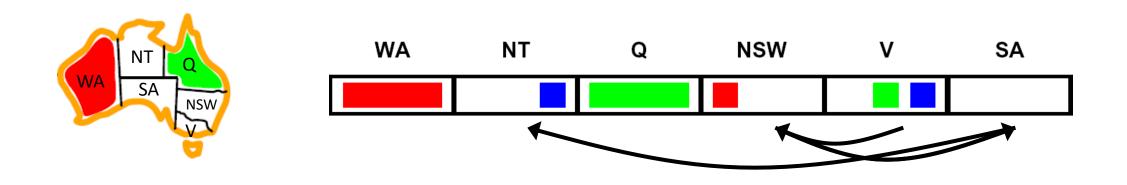
Delete from the tail!

Forward checking?

Enforcing consistency of arcs pointing to each new assignment

## Arc Consistency of an Entire CSP

A simple form of propagation makes sure all arcs are consistent:



- Important: If Y loses a value, then arc  $X \rightarrow Y$  needs to be rechecked!
- Arc consistency detects failure earlier than forward checking
- What's the downside of enforcing arc consistency?

Remember: Delete from the tail!

## Enforcing Arc Consistency in a CSP

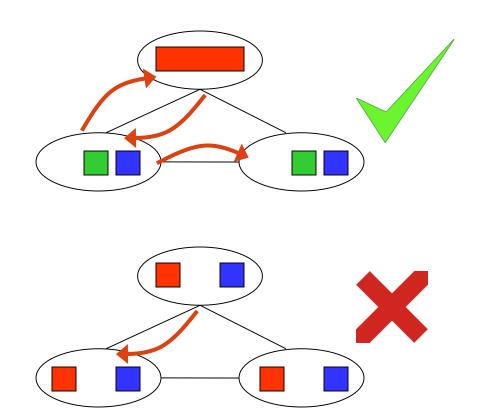
```
function AC-3(csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_i) \leftarrow \text{REMOVE-FIRST}(queue)
      if Remove-Inconsistent-Values(X_i, X_i) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_j) returns true iff succeeds
   removed \leftarrow false
   for each x in Domain[X_i] do
      if no value y in DOMAIN[X<sub>i</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_i
         then delete x from Domain[X_i]; removed \leftarrow true
   return removed
```

Runtime: O(n²d³), can be reduced to O(n²d²)

## Limitations of Arc Consistency

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)

• Arc consistency still runs inside a backtracking search!



#### Demo

- Backtracking with Forward Checking
- Backtracking with Arc Consistency

# K-Consistency

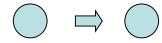


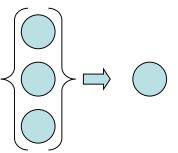
### K-Consistency

- Increasing degrees of consistency
  - 1-Consistency (Node Consistency): Each single node's domain has a value which meets that node's unary constraints
  - 2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other
  - K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the k<sup>th</sup> node.

Higher k more expensive to compute







### Strong K-Consistency

- Strong k-consistency: also k-1, k-2, ... 1 consistent
- Claim: strong n-consistency means we can solve without backtracking!
- Why?
  - Choose any assignment to any variable
  - Choose a new variable
  - By 2-consistency, there is a choice consistent with the first
  - Choose a new variable
  - By 3-consistency, there is a choice consistent with the first 2
  - **-** ...

# Ordering

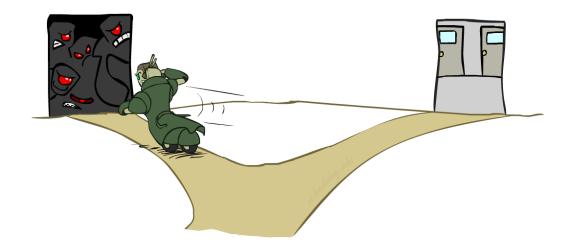


## Ordering: Minimum Remaining Values

Variable Ordering: Minimum remaining values (MRV):

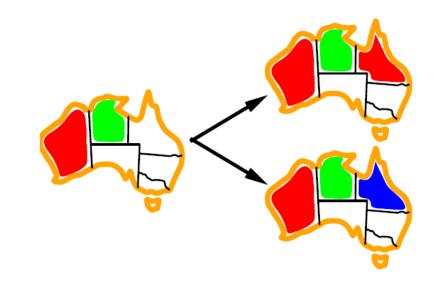
- Need to run filtering
- Choose the variable with the fewest legal left values in its domain \*
- Also called "most constrained variable"





## Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the *least* constraining value
  - I.e., the one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this! (E.g., rerunning filtering)

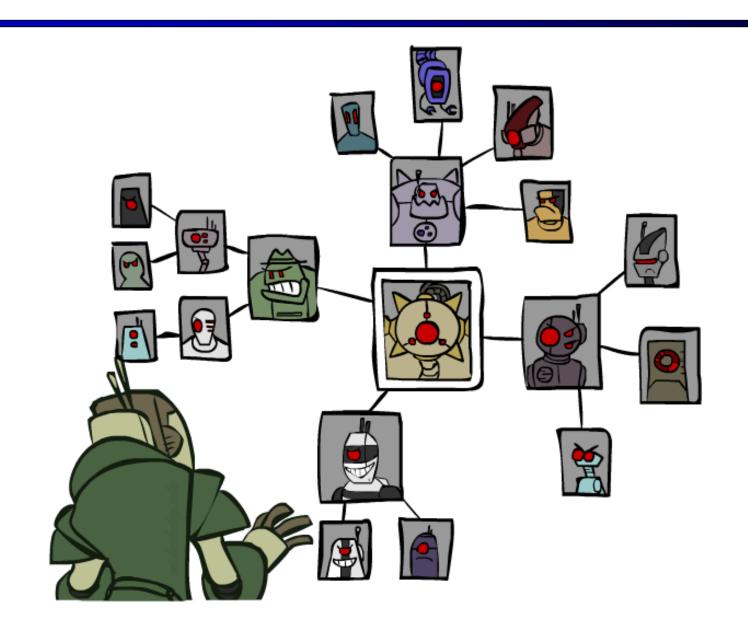


Combining these ordering ideas makes
 1000 queens feasible



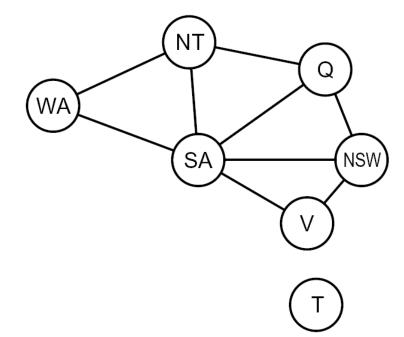
Demo -- Backtracking + Forward Checking + Ordering

### Structure

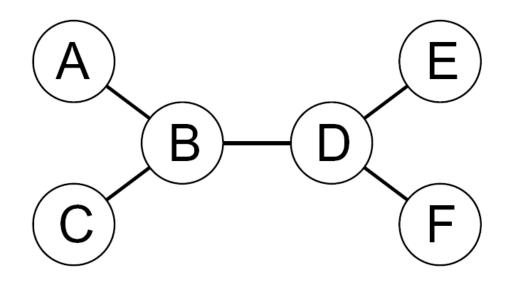


#### Problem Structure

- Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- Independent subproblems are identifiable as connected components of constraint graph
- Suppose a graph of n variables can be broken into subproblems of only c variables:
  - Worst-case solution cost is O((n/c)(d<sup>c</sup>)), linear in n
  - E.g., n = 80, d = 2, c = 20
  - $2^{80}$  = 4 billion years at 10 million nodes/sec
  - $(4)(2^{20}) = 0.4$  seconds at 10 million nodes/sec



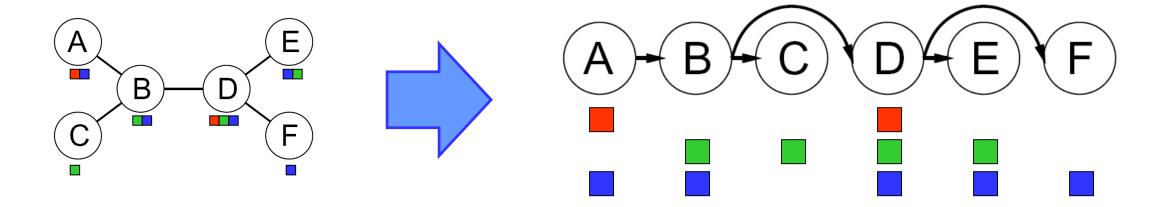
#### Tree-Structured CSPs



- Theorem: if the constraint graph has no loops, the CSP can be solved in O(n d²) time
  - Compare to general CSPs, where worst-case time is O(d<sup>n</sup>)
- This property also applies to probabilistic reasoning (later)
- An example of the relation between syntactic restrictions and the complexity of reasoning

#### Tree-Structured CSPs

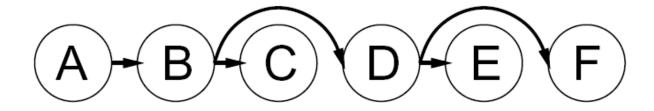
- Algorithm for tree-structured CSPs:
  - Order: Choose a root variable, order variables so that parents precede children



- Remove backward: For i = n : 2, apply RemoveInconsistent(Parent(X<sub>i</sub>),X<sub>i</sub>)
- Assign forward: For i = 1 : n, assign X<sub>i</sub> consistently with Parent(X<sub>i</sub>)
- Runtime: O(n d²)

#### Tree-Structured CSPs

- Claim 1: After backward pass, all root-to-leaf arcs are consistent
- Proof: Each X→Y was made consistent at one point and Y's domain could not have been reduced thereafter (because Y's children were processed before Y)

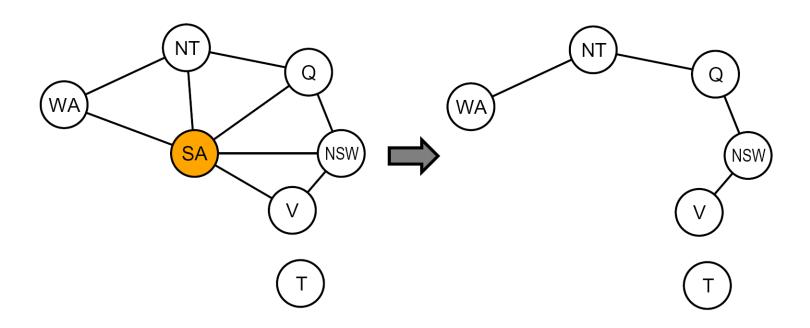


- Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack
- Easy to prove
- Why doesn't this algorithm work with cycles in the constraint graph?
- Note: we'll see this basic idea again with Bayes' nets

# **Cutset Conditioning**



### Nearly Tree-Structured CSPs



- Cutset: a set of variables s.t. the remaining constraint graph is a tree
- Cutset conditioning: instantiate (in all ways) the cutset and solve the remaining tree-structured CSP
  - Cutset size c gives runtime O( (d<sup>c</sup>) (n-c) d<sup>2</sup>), very fast for small c

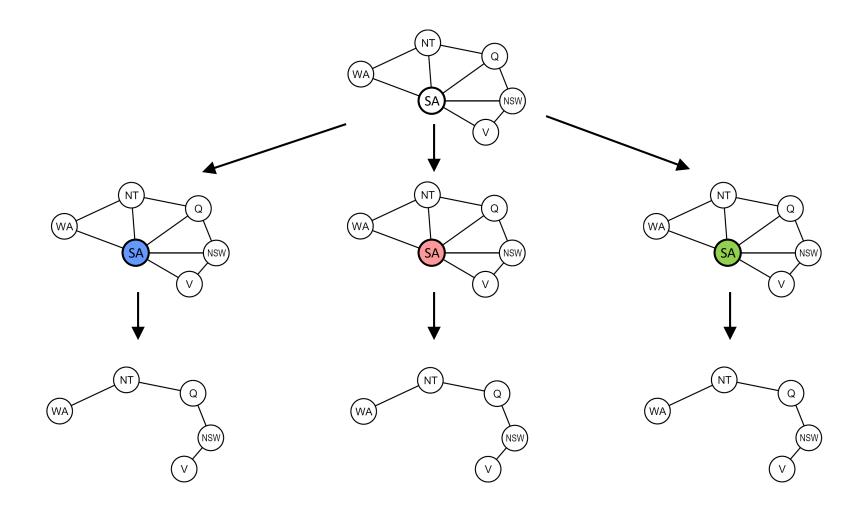
## **Cutset Conditioning**

Choose a cutset

Instantiate the cutset (all possible ways)

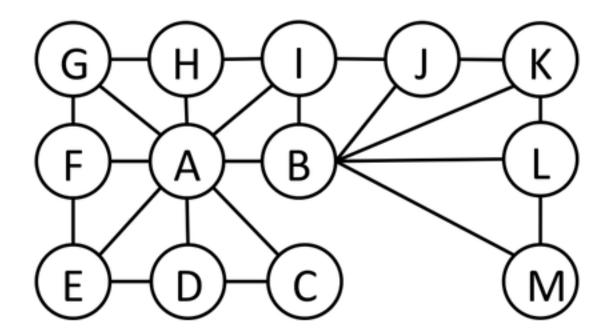
Compute residual CSP for each assignment

Solve the residual CSPs (tree structured)



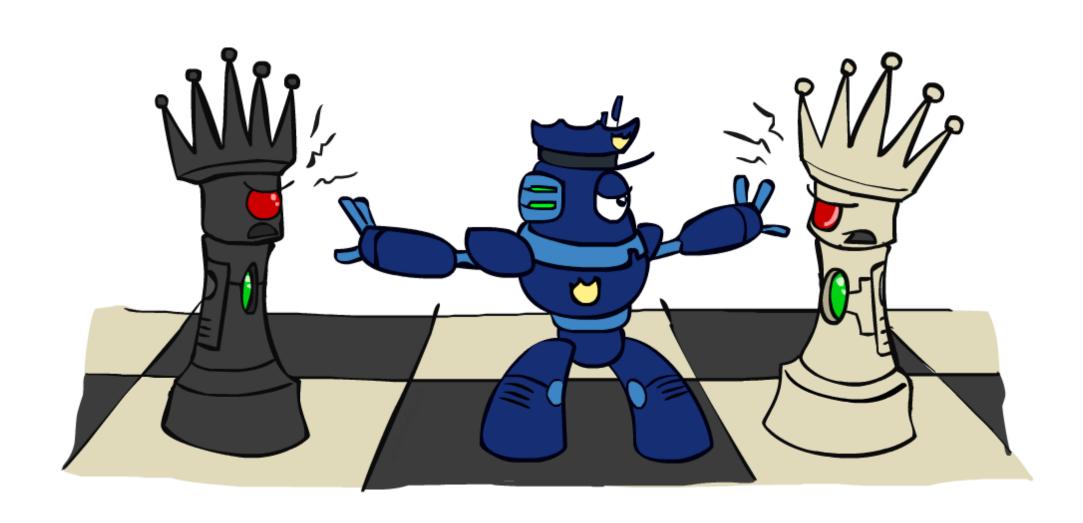
### Finding Cutset

Find the smallest cutset for the graph below.



- Finding the smallest cutset is NP-hard
- But there are efficient approximation algorithms

## **Iterative Improvement**



### Iterative Algorithms for CSPs

- Idea:
  - Take a complete assignment with unsatisfied constraints
  - Reassign variable values to minimize conflicts



- Algorithm: While not solved,
  - Variable selection: randomly select any conflicted variable
  - Value selection: min-conflicts heuristic:
    - Choose a value that violates the fewest constraints

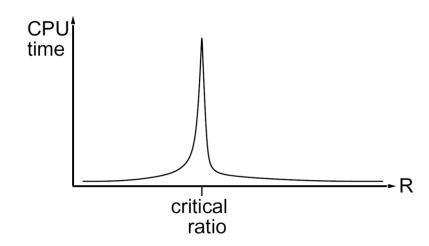


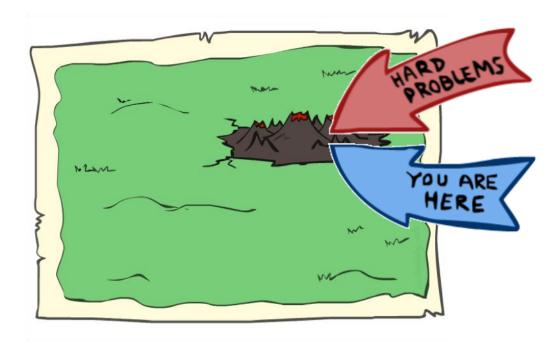
## Demo – Iterative Improvement – Coloring

#### Performance

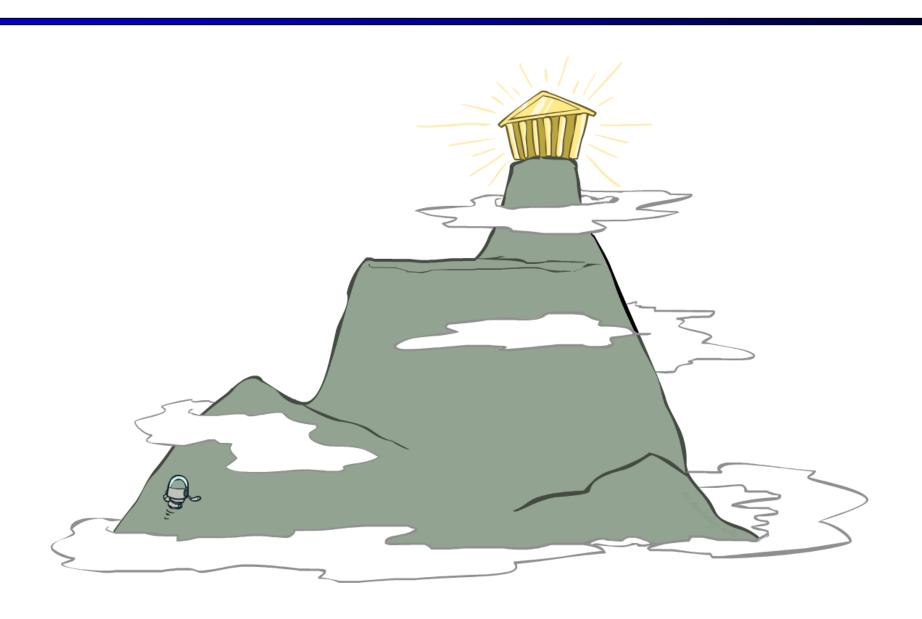
- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!
- The same appears to be true for any randomly-generated CSP except in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



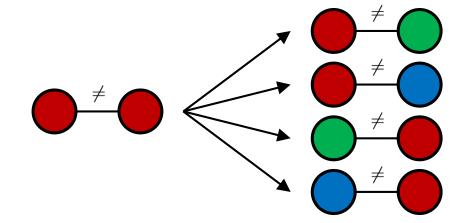


## Local Search



#### Local Search

- Goal: identification, optimization
- Local search: improve a single option until you can't make it better
- State: a complete assignment
- Successor function: local changes
  - There can be different definitions of "local"



Generally much faster and more memory efficient (but incomplete and suboptimal)

## Hill Climbing

Simple, general idea:

Start wherever

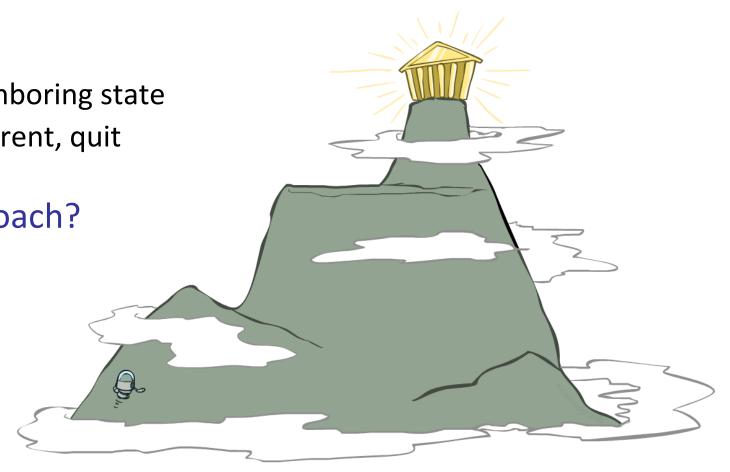
Repeat: move to the best neighboring state

If no neighbors better than current, quit

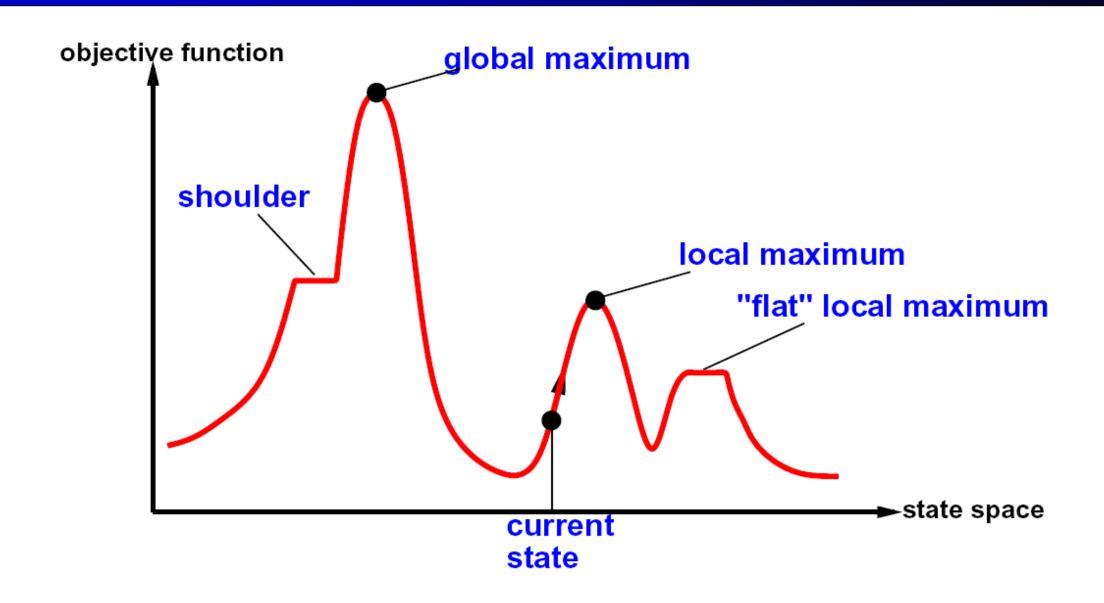
What's good about this approach?

Simple, fast

What's bad about it?

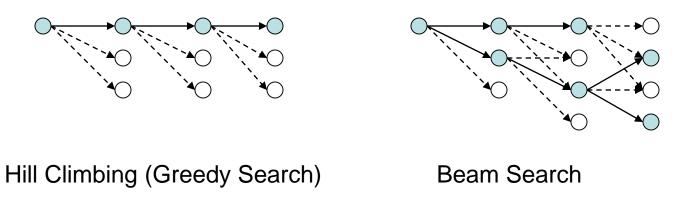


## Hill Climbing Diagram



#### Beam Search

• Like greedy hill climbing search, but keep K states at all times:



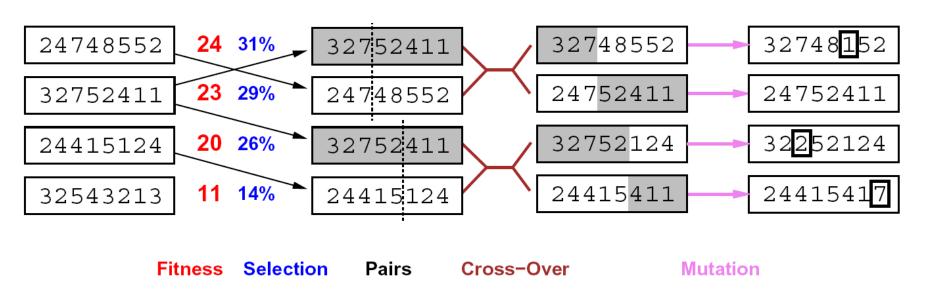
- The best choice in MANY practical settings
- Optimal?

### Simulated Annealing

- Idea: Escape local maxima by allowing downhill moves
  - Pick a random move
  - Always accept an uphill move
  - Accept a downhill move with probability e △E / T
  - But make the probability smaller (by decreasing T) as time goes on
- Theoretical guarantee
  - If T decreased slowly enough, will converge to optimal state!
- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum,
     the less likely you are to ever make them all



### Genetic Algorithms





- Genetic algorithms use a natural selection metaphor
  - Keep the best (or sample) N states at each step based on a fitness function
  - Pairwise crossover operators, with optional mutation to give variety

#### Summary: CSPs

- CSPs are a special kind of search problem:
  - States are partial assignments
  - Goal test defined by constraints
- Basic solution: backtracking search
- Speed-ups:
  - Filtering
    - Forward Checking, Arc Consistency
  - Ordering
    - MRV, LCV
  - Structure
    - Tree structured, Cutset conditioning
- Iterative min-conflicts (local search) is often effective in practice



