# **Performance Tuning**

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For some workloads, it is possible to improve performance by either caching data in memory, or by turning on some experimental options.

# **Caching Data In Memory**

Spark SQL can cache tables using an in-memory columnar format by calling spark.catalog.cacheTable("tableName") or dataFrame.cache(). Then Spark SQL will scan only required columns and will automatically tune compression to minimize memory usage and GC pressure. You can call spark.catalog.uncacheTable("tableName") or dataFrame.unpersist() to remove the table from memory.

Configuration of in-memory caching can be done using the setConf method on SparkSession or by running SET key=value commands using SQL.

Property Name	Default	Meaning	Since Version
spark.sql.inMemoryColumnarStorage.compressed	true	When set to true Spark SQL will automatically select a compression codec for each column based on statistics of the data.	1.0.1
spark.sql.inMemoryColumnarStorage.batchSize	10000	Controls the size of batches for columnar caching.  Larger batch sizes can improve memory utilization and compression, but risk OOMs when caching data.	1.1.1

# **Other Configuration Options**

The following options can also be used to tune the performance of query execution. It is possible that these options will be deprecated in future release as more optimizations are performed automatically.

Property Name	Default	Meaning	Since Version
spark.sql.files.maxPartitionBytes	134217728 (128 MB)	The maximum number of bytes to pack into a single partition when reading files. This configuration is effective only when using file-based sources such as Parquet, JSON and ORC.	2.0.0
<pre>spark.sql.files.openCostInBytes</pre>	4194304 (4 MB)	The estimated cost to open a file, measured by the number of bytes could be scanned in the same time. This is used when putting multiple files into a partition. It is better to over-estimated, then the partitions with small files will be faster than partitions with bigger files (which is scheduled first). This configuration is effective only when using file-based sources such as Parquet, JSON and ORC.	2.0.0

...

spark.sql.files.minPartitionNum	Default Parallelism	The suggested (not guaranteed) minimum number of split file partitions. If not set, the default value is `spark.default.parallelism`. This configuration is effective only when using file-based sources such as Parquet, JSON and ORC.	3.1.0
spark.sql.broadcastTimeout	300	Timeout in seconds for the broadcast wait time in broadcast joins	1.3.0
spark.sql.autoBroadcastJoinThreshold	10485760 (10 MB)	Configures the maximum size in bytes for a table that will be broadcast to all worker nodes when performing a join. By setting this value to -1 broadcasting can be disabled. Note that currently statistics are only supported for Hive Metastore tables where the command ANALYZE TABLE <tablename> COMPUTE STATISTICS noscan has been run.</tablename>	1.1.0
spark.sql.shuffle.partitions	200	Configures the number of partitions to use when shuffling data for joins or aggregations.	1.1.0
spark.sql.sources.parallelPartitionDiscovery.threshold	32	Configures the threshold to enable parallel listing for job input paths. If the number of input paths is larger than this threshold, Spark will list the files by using Spark distributed job. Otherwise, it will fallback to sequential listing. This configuration is only effective when using filebased data sources such as Parquet, ORC and JSON.	1.5.0
spark.sql.sources.parallelPartitionDiscovery.parallelism	10000	Configures the maximum listing parallelism for job input paths. In case the number of input paths is larger than this value, it will be throttled down to use this value. Same as above, this configuration is only effective when using file-based data sources such as Parquet, ORC and JSON.	2.1.1

# **Join Strategy Hints for SQL Queries**

The join strategy hints, namely BROADCAST, MERGE, SHUFFLE\_HASH and SHUFFLE\_REPLICATE\_NL, instruct Spark to use the hinted strategy on each specified relation when joining them with another relation. For example, when the BROADCAST hint is used on table 't1', broadcast join (either broadcast hash join or broadcast nested loop join depending on whether there is any equi-join key) with 't1' as the build side will be prioritized by Spark even if the size of table 't1' suggested by the statistics is above the configuration spark.sql.autoBroadcastJoinThreshold.

When different join strategy hints are specified on both sides of a join, Spark prioritizes the BROADCAST hint over the MERGE hint over the SHUFFLE\_HASH hint over the SHUFFLE\_REPLICATE\_NL hint. When both sides are specified with the BROADCAST hint or the SHUFFLE\_HASH hint, Spark will pick the build side based on the join type and the sizes of the relations.

Note that there is no guarantee that Spark will choose the join strategy specified in the hint since a specific strategy may not support all join types.

Scala Java Python R SQL

spark.table("src").join(spark.table("records").hint("broadcast"), "key").show();

For more details please refer to the documentation of <u>Join Hints</u>.

Coalesce hints allows the Spark SQL users to control the number of output files just like the coalesce, repartition and repartitionByRange in Dataset API, they can be used for performance tuning and reducing the number of output files. The "COALESCE" hint only has a partition number as a parameter. The "REPARTITION" hint has a partition number, columns, or both/neither of them as parameters. The "REPARTITION\_BY\_RANGE" hint must have column names and a partition number is optional. The "REBALANCE" hint has an initial partition number, columns, or both/neither of them as parameters.

```
SELECT /*+ COALESCE(3) */ * FROM t

SELECT /*+ REPARTITION(3) */ * FROM t

SELECT /*+ REPARTITION(c) */ * FROM t

SELECT /*+ REPARTITION(3, c) */ * FROM t

SELECT /*+ REPARTITION */ * FROM t

SELECT /*+ REPARTITION_BY_RANGE(c) */ * FROM t

SELECT /*+ REPARTITION_BY_RANGE(3, c) */ * FROM t

SELECT /*+ REBALANCE */ * FROM t

SELECT /*+ REBALANCE(3) */ * FROM t

SELECT /*+ REBALANCE(3) */ * FROM t

SELECT /*+ REBALANCE(3) */ * FROM t
```

For more details please refer to the documentation of Partitioning Hints.

#### **Adaptive Query Execution**

Adaptive Query Execution (AQE) is an optimization technique in Spark SQL that makes use of the runtime statistics to choose the most efficient query execution plan, which is enabled by default since Apache Spark 3.2.0. Spark SQL can turn on and off AQE by spark.sql.adaptive.enabled as an umbrella configuration. As of Spark 3.0, there are three major features in AQE: including coalescing post-shuffle partitions, converting sort-merge join to broadcast join, and skew join optimization.

#### **Coalescing Post Shuffle Partitions**

This feature coalesces the post shuffle partitions based on the map output statistics when both spark.sql.adaptive.enabled and spark.sql.adaptive.coalescePartitions.enabled configurations are true. This feature simplifies the tuning of shuffle partition number when running queries. You do not need to set a proper shuffle partition number to fit your dataset. Spark can pick the proper shuffle partition number at runtime once you set a large enough initial number of shuffle partitions via spark.sql.adaptive.coalescePartitions.initialPartitionNum configuration.

Property Name	Default	Meaning	Since Version
spark.sql.adaptive.coalescePartitions.enabled	true	When true and spark.sql.adaptive.enabled is true, Spark will coalesce contiguous shuffle partitions according to the target size (specified by spark.sql.adaptive.advisoryPartitionSizeInBytes), to avoid too many small tasks.	3.0.0
spark.sql.adaptive.coalescePartitions.parallelismFirst	true	When true, Spark ignores the target size specified by spark.sql.adaptive.advisoryPartitionSizeInBytes (default 64MB) when coalescing contiguous shuffle partitions, and only respect the minimum partition size specified by spark.sql.adaptive.coalescePartitions.minPartitionSize (default 1MB), to maximize the parallelism. This is to avoid performance regression when enabling adaptive query execution. It's recommended to set this config to false and respect the target size specified by spark.sql.adaptive.advisoryPartitionSizeInBytes.	3.2.0
spark.sql.adaptive.coalescePartitions.minPartitionSize	1MB	The minimum size of shuffle partitions after coalescing. Its value can be at most 20% of spark.sql.adaptive.advisoryPartitionSizeInBytes. This is useful when the target size is ignored during partition coalescing, which is the default case.	3.2.0
spark.sql.adaptive.coalescePartitions.initialPartitionNum	(none)	The initial number of shuffle partitions before coalescing. If not set, it equals to spark.sql.shuffle.partitions. This configuration only has an effect when spark.sql.adaptive.enabled and spark.sql.adaptive.coalescePartitions.enabled are both enabled.	3.0.0
spark.sql.adaptive.advisoryPartitionSizeInBytes	64 MB	The advisory size in bytes of the shuffle partition during adaptive optimization (when spark.sql.adaptive.enabled is true). It takes effect when Spark coalesces small shuffle partitions or splits skewed shuffle partition.	3.0.0

### Converting sort-merge join to broadcast join

AQE converts sort-merge join to broadcast hash join when the runtime statistics of any join side is smaller than the adaptive broadcast hash join threshold. This is not as efficient as planning a broadcast hash join in the first place, but it's better than keep doing the sort-merge join, as we can save the sorting of both the join sides, and read shuffle files locally to save network traffic(if spark.sql.adaptive.localShuffleReader.enabled is true)

Property Name	Default	Meaning	Since Version
spark.sql.adaptive.autoBroadcastJoinThreshold	(none)	Configures the maximum size in bytes for a table that will be broadcast to all worker nodes when performing a join. By setting this value to -1 broadcasting can be disabled. The default value is same with spark.sql.autoBroadcastJoinThreshold. Note that, this config is used only in adaptive framework.	3.2.0

# Converting sort-merge join to shuffled hash join

AQE converts sort-merge join to shuffled hash join when all post shuffle partitions are smaller than a threshold, the max threshold can see the config spark.sql.adaptive.maxShuffledHashJoinLocalMapThreshold.

Property Name	Default	Meaning	Since Version
spark.sql.adaptive.maxShuffledHashJoinLocalMapThreshold	0	Configures the maximum size in bytes per partition that can be allowed to build local hash map. If this value is not smaller than spark.sql.adaptive.advisoryPartitionSizeInBytes and all the partition size are not larger than this config, join selection prefer to use shuffled hash join instead of sort merge join regardless of the value of spark.sql.join.preferSortMergeJoin.	3.2.0

# **Optimizing Skew Join**

Data skew can severely downgrade the performance of join queries. This feature dynamically handles skew in sort-merge join by splitting (and replicating if needed) skewed tasks into roughly evenly sized tasks. It takes effect when both spark.sql.adaptive.enabled and spark.sql.adaptive.skewJoin.enabled configurations are enabled.

Property Name	Default	Meaning	Sinc Vers
spark.sql.adaptive.skewJoin.enabled	true	When true and spark.sql.adaptive.enabled is true, Spark dynamically handles skew in sort-merge join by splitting (and replicating if needed) skewed partitions.	3.0.0
spark.sql.adaptive.skewJoin.skewedPartitionFactor	5	A partition is considered as skewed if its size is larger than this factor multiplying the median partition size and also larger than spark.sql.adaptive.skewJoin.skewedPartitionThresholdInBytes.	3.0.0
spark.sql.adaptive.skewJoin.skewedPartitionThresholdInBytes	256MB	A partition is considered as skewed if its size in bytes is larger than this threshold and also larger than spark.sql.adaptive.skewJoin.skewedPartitionFactor multiplying the median partition size. Ideally this config should be set larger than spark.sql.adaptive.advisoryPartitionSizeInBytes.	3.0.0

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