

Homework #1

Eric Tao
Math 285: Homework #1

July 15, 2023

Question 1. Define $f : \mathbb{R} \rightarrow \mathbb{R}$ via:

$$f(x) = \begin{cases} e^{-1/x^2} & \text{for } x \neq 0 \\ 0 & \text{for } x = 0 \end{cases}$$

Using the definition of the derivative, prove that $f \in C^\infty(\mathbb{R})$, and that the derivatives $f^{(k)}(0)$ vanish for all $k \in \mathbb{N}$.

Solution. Well, first, we restrict ourselves to $\mathbb{R} \setminus \{0\}$. On this domain, $f(x) = e^{-1/x^2}$, and, familiarly, we can see that

$$f'(x) = e^{-x^{-2}} \frac{d}{dx}(-x^{-2}) = e^{-x^{-2}} 2x^{-3} = 2x^{-3} f(x)$$

then, we identify:

$$f^{(2)}(x) = f'(x)2x^{-3} - 6x^{-4}f(x) = 2x^{-3}f(x)2x^{-3} - 6x^{-4}f(x) = f(x)(4x^{-6} - 6x^{-4})$$

More generally, we can see that:

$$f^{(n)}(x) = f(x)p_n\left(\frac{1}{x}\right)$$

for $p_n(1/x)$ a polynomial in $1/x$ because the derivative of a polynomial in $1/x$ is simply a polynomial, and the derivative of f is $f'(x) = 2x^{-3}f(x)$. Explicitly:

$$f^{(n+1)}(x) = \frac{d}{dx} \left[f(x)p_n\left(\frac{1}{x}\right) \right] = f'(x)p_n\left(\frac{1}{x}\right) + f(x)p_n'\left(\frac{1}{x}\right) \frac{-1}{x^2} = f(x) \left[2x^{-3}p_n\left(\frac{1}{x}\right) - p_n'\left(\frac{1}{x}\right)x^{-2} \right] = f(x)p_{n+1}\left(\frac{1}{x}\right)$$

Further, we notice that by our identification:

$$p_{n+1}\left(\frac{1}{x}\right) = 2x^{-3}p_n\left(\frac{1}{x}\right) - p_n'\left(\frac{1}{x}\right)x^{-2}$$

and that because $p_0(1/x) = 1$, that $\deg(p_n(y)) = \deg(p_{n-1}(y)) + 3 = 3n$, where we can say this because the degree of the first term in the recurrence is $\deg(p_n) + 3$ and the degree of the second term is $\deg(p_n') + 2 = \deg(p_n) - 1 + 2 = \deg(p_n) + 1$, so the leading term in the first term cannot vanish, and we have that the overall polynomial has degree $\deg(p_n) + 3$.

Now, let's examine the limit as $x \rightarrow 0$ of $f^{(n)}(x)$. Well:

$$\lim_{x \rightarrow 0} f^{(n)}(x) = \lim_{x \rightarrow 0} f(x)p_n\left(\frac{1}{x}\right)$$

Here, we rewrite this as examining two easier limits, by letting $y = 1/x$:

$$\begin{cases} \lim_{y \rightarrow \infty} e^{-y^2} p_n(y) \\ \lim_{y \rightarrow -\infty} e^{-y^2} p_n(y) \end{cases}$$

where of course, $p_n(y)$ is a polynomial in y , with non-negative powers. Well:

$$\lim_{y \rightarrow \infty} e^{-y^2} p_n(y) = \lim_{y \rightarrow \infty} \frac{p_n(y)}{e^{y^2}}$$

Making the assumption that the leading coefficient of $p_n(y)$ is positive (if not, we pull out a negative sign and examine $-p_n(y)$), we see that the conditions for L'Hôpital's is met, as both numerator and denominator diverge to positive infinity.

Thus, we may take a derivative to find:

$$\lim_{y \rightarrow \infty} \frac{p_n(y)}{e^{y^2}} = \lim_{y \rightarrow \infty} \frac{p'_n(y)}{2ye^{y^2}} = \lim_{y \rightarrow \infty} \frac{p'_n(y)/2y}{e^{y^2}}$$

Well, if $p'_n(y)/2y$ has no positive powers, then we're done, as in that case, $\lim_{y \rightarrow \infty} p'_n(y)/2y = c$, at most a constant. Otherwise, if it does have a positive power, its limit remains ∞ , so we may iteratively keep taking derivatives since p_n has finite degree until this is true. Thus, because $\lim_{y \rightarrow \infty} e^{y^2} = \infty$, we have that:

$$\lim_{y \rightarrow \infty} \frac{p_n(y)}{e^{y^2}} = 0$$

The same argument works for $y \rightarrow -\infty$, with potentially examining $-p_n(y)$ if the leading term has odd degree. Thus, in terms of our original limit, we have that:

$$\lim_{x \rightarrow 0} f^{(n)}(x) = \lim_{x \rightarrow 0} f(x) p_n\left(\frac{1}{x}\right) = 0$$

So, we have that to remove the discontinuity, we would enforce that $f^{(n)}(0) = 0$ for all n . Thus, derivatives exist on all of \mathbb{R} continuously and smoothly for all n , as we may patch the discontinuity at $x = 0$. Thus, $f \in C^\infty(\mathbb{R})$. □

Question 2. Let $\bar{0} = (0, 0)$ be the origin in \mathbb{R}^2 , and let $B(\bar{0}, 1)$ be the open unit disk centered at the origin. To find a diffeomorphism between $B(\bar{0}, 1)$ and \mathbb{R}^2 , we identify \mathbb{R}^2 as $\{(x, y, 0) : x, y \in \mathbb{R}\} \subseteq \mathbb{R}^3$, and introduce the lower open hemisphere:

$$S = \{(x, y, z) : x^2 + y^2 + (z - 1)^2 = 1; z < 1\} \subseteq \mathbb{R}^3$$

as an intermediate space. Notice that the map:

$$f : B(\bar{0}, 1) \rightarrow S \text{ via } (a, b) \mapsto (a, b, 1 - \sqrt{1 - a^2 - b^2})$$

is a bijection.

(a) The stereographic projection $g : S \rightarrow \mathbb{R}^2$ through $(0, 0, 1)$ is the map that sends a point $(a, b, c) \in S$ to the point in the xy plane given by the line through $(0, 0, 1)$ and (a, b, c) . Show that it is given by:

$$(a, b, c) \mapsto (u, v) = \left(\frac{a}{1 - c}, \frac{b}{1 - c} \right); c = 1 - \sqrt{1 - a^2 - b^2}$$

and its inverse is given by:

$$(u, v) \mapsto \left(\frac{u}{w}, \frac{v}{w}, 1 - \frac{1}{w} \right); w = \sqrt{1 + u^2 + v^2}$$

(b)

Define

$$h = g \circ f : B(\bar{0}, 1) \rightarrow \mathbb{R}^2$$

Show that:

$$h(a, b) = \left(\frac{a}{\sqrt{1 - a^2 - b^2}}, \frac{b}{\sqrt{1 - a^2 - b^2}} \right)$$

Further, find a formula for $h^{-1}(u, v) = (f^{-1} \circ g^{-1})(u, v)$ and conclude that h is a diffeomorphism.

(c)

Generalize part (b) to \mathbb{R}^n .

Solution.

□

Question 3. Let A be an algebra over a field k . Let D_1, D_2 be derivations of A . Show that $D_1 \circ D_2$ need not be a derivation, but $D_1 \circ D_2 - D_2 \circ D_1$ is.

Solution. Counterexample: Let $A = k[x]$, the polynomial ring over the field k . Let $D_1 = \frac{d}{dx}$, the formal derivative with respect to x , and let $D_2 = x \frac{d}{dx}$.

First, we check that these are actual derivations. We can see this by the action on x^n for arbitrary n, m that these are k linear maps:

$$D_1 : \begin{cases} \frac{d}{dx}(cx^n) = cnx^{n-1} = c \frac{d}{dx}(x^n) \\ \frac{d}{dx}(x^n + x^m) = nx^{n-1} + mx^{m-1} = \frac{d}{dx}x^n + \frac{d}{dx}x^m \end{cases}$$

$$D_2 : \begin{cases} x \frac{d}{dx}(cx^n) = xcnx^{n-1} = cxn x^{n-1} = cx \frac{d}{dx}(x^n) \\ x \frac{d}{dx}(x^n + x^m) = xnx^{n-1} + xmx^{m-1} = x \frac{d}{dx}x^n + x \frac{d}{dx}x^m \end{cases}$$

Further, we can see that this follows the Leibniz rule:

$$D_1(fg) = \frac{d}{dx}(fg) = \frac{d}{dx}(f)g + f \frac{d}{dx}(g) = D_1(f)g + fD_1(g)$$

$$D_2(fg) = x \frac{d}{dx}(fg) = x \left[\frac{d}{dx}(f)g + f \frac{d}{dx}(g) \right] = x \frac{d}{dx}(f)g + fx \frac{d}{dx}(g) = D_2(f)g + fD_2(g)$$

However, consider $D_2 \circ D_1$ acting on $x^3 = x^2 * x$:

$$D_2 \circ D_1(x^3) = D_2\left(\frac{d}{dx}x^3\right) = D_2(3x^2) = 6x$$

On the other hand:

$$D_2 \circ D_1(x^2) * x + x^2 D_2 \circ D_1(x) = D_2(2x) * x + x^2 D_2(1) = 2x^2 + 0 = 2x^2$$

where we've used the fact that $\frac{d}{dx}(1) = 0$ to conclude $D_2(1) = 0$.

Thus, even though D_1, D_2 are derivations, $D_2 \circ D_1$ need not be a derivation.

However, now consider $D_1 \circ D_2 - D_2 \circ D_1$ for generic derivations D_1, D_2 .

Well:

$$\begin{aligned}
D_1 \circ D_2 - D_2 \circ D_1(fg) &= D_1 \circ D_2(fg) - D_2 \circ D_1(fg) = D_1(D_2(f)g + fD_2(g)) - D_2(D_1(f)g + fD_1(g)) = \\
D_1 \circ D_2(f)g + D_2(f)D_1(g) + D_1(f)D_2(g) + fD_1 \circ D_2(g) &- [D_2 \circ D_1(f)g + D_1(f)D_2(g) + D_2(f)D_1(g) + fD_2 \circ D_1(g)] = \\
D_1 \circ D_2(f)g + fD_1 \circ D_2(g) - D_2 \circ D_1(f)g - fD_2 \circ D_1(g) &= [D_1 \circ D_2(f) - D_2 \circ D_1(f)]g + f[D_1 \circ D_2(g) - D_2 \circ D_1(g)] = \\
D_1 \circ D_2 - D_2 \circ D_1(f)g + fD_1 \circ D_2 - D_2 \circ D_1(g) &
\end{aligned}$$

□

Question 4. Let U be a neighborhood of a point $p \in \mathbb{R}^n$, and let X, Y be smooth vector fields on U . Define a function $Z_p : C_p^\infty \rightarrow \mathbb{R}$ via:

$$Z_p(f) = X_p(Yf)$$

(a)

Show that Z_p does not satisfy the Leibniz rule, and is therefore not a derivation at p .

(b)

Show that $X_pY - Y_pX$ satisfies the Leibniz rule on C_p^∞ . Hence, $[X, Y]_p := X_pY - Y_pX$ is a tangent vector at p , and $[X, Y]$ is a vector field on U . Call this the Lie bracket of X, Y .

(c)

Let $X = \sum_i a^i \frac{\partial}{\partial x^i}$, $Y = \sum_j b^j \frac{\partial}{\partial x^j}$. Find the coefficient c_k in $[X, Y] = \sum_k c^k \frac{\partial}{\partial x^k}$.

(d)

Show that if the vector fields X, Y are smooth on U , then their Lie bracket is also a smooth vector field on U .

Solution.

□

Question 5. Let V be a vector space of dimension n , with basis e_1, \dots, e_n . Let $\alpha^1, \dots, \alpha^n$ be the dual basis for V^* . Show that a basis for the space of k -linear functions of V , $L_k(V)$ is $\{\alpha^{i_1} \otimes \dots \otimes \alpha^{i_k} \text{ for any multi-index } (i_1, \dots, i_k)\}$. In particular, conclude that $\dim(L_k(V)) = n^k$.

Solution.

□

Question 6. Let V be a vector space. For $a, b \in \mathbb{R}$, $f \in A_k(V)$, $g \in A_l(V)$, show that $af \wedge bg = (ab)f \wedge g$

Solution.

□

Question 7. Suppose we have two sets of covectors on a vector space V : $\{\beta^1, \dots, \beta^k\}, \{\gamma^1, \dots, \gamma^k\}$. Further, suppose we have that they are related by:

$$\beta^i = \sum_{j=1}^k a_j^i \gamma^j$$

for each $1 \leq i \leq k$, such that the a_j^i form the entries of a $k \times k$ matrix A . Show that:

$$\beta^1 \wedge \dots \wedge \beta^k = \det(A) \gamma^1 \wedge \dots \wedge \gamma^k$$

Solution.

