

## Exercise session 7

**Problem 1** (2p). Let  $M$  be a compact submanifold of  $\mathbf{R}^N$ . Show that there is an  $\epsilon > 0$  and a submersion  $p: M^\epsilon \rightarrow M$ , where  $M^\epsilon = \{x \in \mathbf{R}^N \mid d(x, M) < \epsilon\}$  and  $d$  denotes the Euclidean distance.

Solution: we use the tubular neighborhood: There is a function  $\varepsilon: M \rightarrow (0, \infty)$  st.

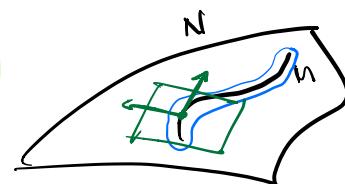
$$\psi: V_M^\epsilon := \{(x, v) \in T_M: |v| < 1\} \longrightarrow \mathbf{R}^N \\ (x, v) \longmapsto x + \varepsilon(x)v$$

is a diffeomorphism onto its image  $M^\epsilon$ . Since  $M$  is compact, the function  $\varepsilon$  obtains a minimal value  $\varepsilon_0 \in (0, \infty)$ . The subset  $M^{\varepsilon_0} \subseteq M^\epsilon$  is an open submanifold and hence

$$M^{\varepsilon_0} \xrightarrow{\psi^{-1}|_{M^{\varepsilon_0}}} V_M^{\varepsilon_0} \xrightarrow{\text{pr}} M$$

is a submersion.

Notation:  $s_\varepsilon: M^\epsilon \xrightarrow{\sim} V_M^\epsilon \xrightarrow{\text{pr}} M$ .



**Problem 2 (4p).** Prove the following strengthening of the tubular neighborhood theorem: let  $M \subset N$  be a submanifold, and define its normal bundle  $\nu_M$  such that for  $x \in M$ ,  $\nu_{M,x}$  is a complement of  $T_x M$  in  $T_x N$ . Then there is a diffeomorphism between an open neighborhood of  $M$  in  $\nu_M$  with an open neighborhood of  $M$  in  $N$ . (Taken from Guillemin-Pollack, ex. II.2.16. There are some hints there as well.)

Solution: Let  $\varepsilon: N \rightarrow (0, \infty)$  a cont. function s.t.

$N^\varepsilon \subseteq \cup_{N \in \mathbb{R}^n} \nu_N$ . Consider

$$f: \nu_{M,N} \longrightarrow \mathbb{R}^n \ni N \ni M$$

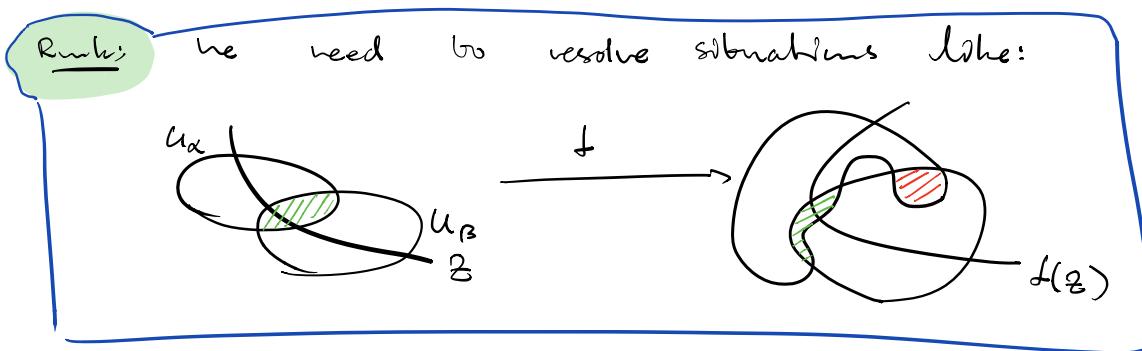
$$(x, v) \longmapsto x + v$$

Then  $f^{-1}(N^\varepsilon)$  is open and contains  $M \subseteq \nu_{M,N}$ . The composition  $f^{-1}(N^\varepsilon) \xrightarrow{f} N^\varepsilon \xrightarrow{s_\varepsilon} N$  is the identity on  $M \subseteq N$  and  $d(s_\varepsilon)_x$  is an isomorphism for  $x \in M$ . Hence it suffices to prove:

Improved inverse function theorem: If  $f: X \rightarrow Y$  is a smooth map s.t.  $d f_x$  is an isomorphism at a submanifold  $Z \subseteq X$  and  $f|_Z: Z \xrightarrow{\sim} f(Z)$  then  $f$  maps an open neighborhood of  $Z$  diffeomorphically onto an open neighborhood of  $f(Z)$ .

Proof: By the classical inverse function theorem we have for every  $x \in Z$  an open neighborhood  $x \in U_x \subseteq X$  s.t.  $f|_{U_x}: U_x \rightarrow f(U_x)$

is a diffeomorphism. Let  $g_x : f(U_x) \rightarrow U_x$  denote the local inverse. By second-countability we may choose a locally finite subcollection  $\{V_\alpha, g_\alpha\}_{\alpha \in I} \subseteq \{f(U_x), g_x\}_{x \in S}$  still covering  $f(S)$ . The goal is to use the fact that  $f|_S$  is a diffeomorphism onto  $f(S)$  to show that the  $g_\alpha$ 's glue on some open nbhd of  $f(S)$ .



Define  $B_{\alpha\beta} = \{y \in V_\alpha \cap V_\beta : g_\alpha(y) \neq g_\beta(y)\} \subseteq V_\alpha \cap V_\beta$  and put  $\tilde{V}_\alpha = V_\alpha \setminus \bigcup_{\beta} \overline{B_{\alpha\beta}}$  and  $W = \bigcup_{\alpha} \tilde{V}_\alpha$ . We claim that  $f(S) \subseteq W$ . Indeed, the  $V_\alpha$ 's cover  $f(S)$  and if  $y \in V_\alpha \cap V_\beta \cap f(S)$  then  $g_\alpha(y) = g_\beta(y)$  since  $f|_S$  is injective. Hence  $y \notin B_{\alpha\beta}$ . Now choose an open nbhd  $N_y$  intersecting only finitely many  $V_\alpha$ 's. If  $N_y \cap V_\beta = \emptyset$  then  $N_y \cap \overline{B_{\alpha\beta}} = \emptyset$  and hence we have a finite number of sets say  $B_{\alpha\beta_1}, \dots, B_{\alpha\beta_n}$  which  $y$  could potentially lie in. But if we take  $N_y$

to be the image of some  $N_x \subseteq U_\alpha \cap U_{\beta_i}$  with

$f(x) = y$  then  $g_\alpha(y) = g_{\beta_i}(y) = f(x)$  and  $N_y \cap B_{\alpha\beta_i} = \emptyset$ .

This is where choosing  $N_x \subseteq U_\alpha \cap \left(\bigcap_{i=1}^n U_{\beta_i}\right)$  we get  $N_y \cap B_{\alpha\beta_i} = \emptyset$  & i.

the local finiteness. Hence  $y \notin \overline{\bigcup_{\beta_i} B_{\alpha\beta_i}}$  and  $f(z) \in W$ . In fact,

choosing  $N_y$  small enough we may assume

$N_y \cap \overline{B_{\alpha\beta_i}} = \emptyset$  and hence we have

$$f(z) \subseteq \bigcup_y N_y := \tilde{W} \stackrel{\text{open}}{\subseteq} W.$$

Define  $g: \tilde{W} \longrightarrow X$

$$y \longmapsto \sum_\alpha \lambda_\alpha(y) g_\alpha(y). \quad (*)$$

Q: So why is this well-defined?

We have that  $\lambda_\alpha(y) = 0$  for all but  
finitely many  $\alpha$ , say  $\alpha_1, \dots, \alpha_e$ , and

$$g_{\alpha_1}(y) = \dots = g_{\alpha_e}(y)$$

$$\text{so } \sum_\alpha \lambda_\alpha(y) g_\alpha(y) = (\sum_\alpha \lambda_\alpha(y)) g_{\alpha_1}(y) = g_{\alpha_1}(y).$$

**Problem 3 (4p).** Let  $\Delta: M \rightarrow M \times M$  be the diagonal embedding of  $M$  into  $M \times M$ , i.e.  $\Delta(x) = (x, x)$ . Show that the map  $TM \rightarrow \nu_M$  sending  $(x, v)$  to  $((x, x), (v, -v))$  is a diffeomorphism. (Taken from Guillemin-Pollack, ex. II.2.17-18. There are some hints there as well.)

Solution: The diagonal  $n \xrightarrow{\Delta} M \times M$  induces the diagonal  $\Delta: TM \longrightarrow T(M \times M)$

$$(x, v) \longmapsto (x, x, v, -v)$$

and the vector  $(v, -v) \in T_x M \times T_x M$  is orthogonal to  $(v, v)$ .

Hence  $\gamma_M = \{(x, x, v, -v) \in T(M \times M)\}$  and

$$TM \longrightarrow \gamma_M$$

$(x, v) \longmapsto (x, x, v, -v)$  is a bijection.

We will show that

$$\gamma: TM \longrightarrow T(M \times M)$$

$$(x, v) \longmapsto (x, x, v, -v)$$

is an open (smooth) immersion.

Let  $(U, \varphi)$  be a chart for  $M$  giving a chart  $\pi^{-1}(U) \xrightarrow{(\varphi, d\varphi_{|U})} \varphi(U) \times \mathbb{R}^n \subseteq \mathbb{R}^{2n}$  for  $TM$  and a chart

$$\pi^{-1}(U \times U) \xrightarrow{(\varphi, \varphi, d\varphi_{|U}, d\varphi_{|U})} \varphi(U) \times \varphi(U) \times \mathbb{R}^{2n} \text{ for } T(M \times M).$$

In these charts the differential takes the form

$$\begin{bmatrix} I & \\ & I \\ I & \\ & -I \end{bmatrix}: \mathbb{R}^{2n} \longrightarrow \mathbb{R}^{4n} \text{ which}$$

is clearly injective.

Hence  $\pi$  is an immersion.

Now let  $U \subseteq M$  be an open set.  $TM \cong \pi^{-1}(M) \cong U \times \mathbb{R}^n$  and let  $V \subseteq \mathbb{R}^n$  be open. Then we may view  $U \times V$  as an open set of  $TM$  and the open sets of this form generates the topology. Hence it is enough to show that  $\pi(U \times V)$  is open. But  $\pi(U \times V) = (U \times U \times V \times (-V)) \cap V_M$  which is open.  $\square$

**Problem 4** (bonus problem, 3p). The  $k$ th homotopy group  $\pi_k(X, x_0)$  of a pointed space  $(X, x_0)$  is defined as the set of homotopy classes of maps  $S^k \rightarrow X$  sending a chosen base point  $*$  of  $S^k$  to  $x_0$ . The homotopies are also to be taken rel  $*$ .

Using smooth approximation of continuous maps, show that  $\pi_k(S^n) = 0$  (i.e. that every map is homotopic to the constant map) when  $k < n$ . (Hint: Every smooth map must miss a point of  $S^n$ .)

Solution: If  $k < n$  and  $f: S^k \rightarrow S^n$  is smooth then  $df_x$  is non-surjective  $\forall x \in S^k$ . By Sard's theorem  $f(S^k)$  has measure zero. In particular,  $f$  is not surjective.

The smooth approx. theorem implies that every class in  $\pi_k(S^n)$  is repr. by a smooth map  $f: S^k \rightarrow S^n$ .

Let  $f: S^k \rightarrow S^n$  be smooth. Then it is not surjective. Suppose that  $x \in S^n \setminus f(S^k)$ . Then  $f$

factorizes as  $f: S^k \xrightarrow{f} S^n \setminus \{\text{pt}\} \xrightarrow{f''} S^n$ .  $\cong R^{n-1} \cong *$ .

Hence  $[f] \in \pi_k(S^n)$  lies in the image of

$$0 = \pi_k(R^{n-1}) \longrightarrow \pi_k(S^n)$$

by functoriality. Hence  $[f] = 0$ .  $\square$

$$[f] = \pi_k(f)([\text{id}: S^k \rightarrow S^k]) = \pi_k(f'')([f']).$$

Functoriality: If  $g: X \rightarrow Y$  then we want to define

$$\begin{aligned} \pi_n(X) &\longrightarrow \pi_n(Y) \\ [f] &\mapsto [g \circ f] \quad (f: S^n \rightarrow X). \end{aligned}$$

This is well-defined since if  $H: S^n \times I \rightarrow X$  is a nullhomotopy for  $f$ , then  $g \circ H: S^n \times I \rightarrow Y$  is  $\sim$   $g \circ f$ .

(1) Composition: Given  $X \xrightarrow{g} Y \xrightarrow{h} Z$   
we get  $\pi_n(h \circ g)([f]) = [h \circ g \circ f]$   
 $= \pi_n(h)([g \circ f])$   
 $= \pi_n(h) \circ \pi_n(g)([f]).$

(2) Identity: Obvious.

Hence  $\pi_k: (\text{Top}_*) \rightarrow (\text{Grp})$  is a functor.