Homework #3

CSE 446: Machine Learning Eric Boris: 1976637

Conceptual Questions

Problem 1

- a. False: SVM only maximizes the margin, it doesn't minimize generalization error among linear classifiers.
- b. **Decrease**: Lower values of σ allow the model to be more expressive.
- c. True: Neural networks often have non-convex loss functions with only local minima.
- d. False: This can lead to problems. It's better to initialize weights to random values.
- e. True: Without nonlinearities in the network, the network can only learn linear functions.
- f. True: We mitigate this by using SGD to improve the runtime of the backward pass.

Kernels

Problem 2

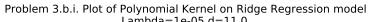
Show that $K(x, x') = e^{-\frac{(x-x')^2}{2}}$ is a kernel function for this feature map, i.e., $\phi(x) \cdot \phi(x') = e^{-\frac{(x-x')^2}{2}}$.

$$\begin{split} \phi(x) \cdot \phi(x') &= \left(\sum_{i=0}^{\infty} \frac{1}{\sqrt{i!}} e^{-\frac{x^2}{2}} x^i \right) \left(\sum_{i=0}^{\infty} \frac{1}{\sqrt{i!}} e^{-\frac{x'^2}{2}} x'^i \right) \\ &= e^{-\frac{x^2 + x'^2}{2}} \sum_{i=0}^{\infty} \left(\frac{1}{i!} (xx')^i \right) \\ &= e^{-\frac{x^2 + x'^2}{2}} e^{xx'} & \text{Talor Series of } e^x = \sum_{i=0}^{\infty} \frac{x^i}{i!} \\ &= e^{-\frac{(x-x')^2}{2}} \end{split}$$

Problem 3: Answers

- a. Polynomial Kernel: Lambda=1E-05 d=11.0 RBF Kernel: Lambda=1E-03 gamma=2.0802
- b. See Figures 1 and 2

Problem 3: Code



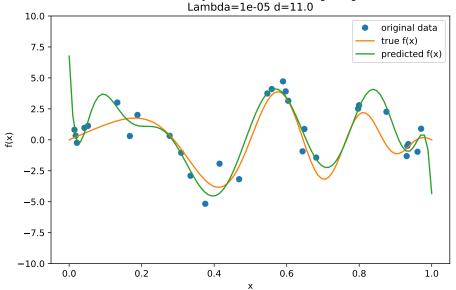


Figure 1

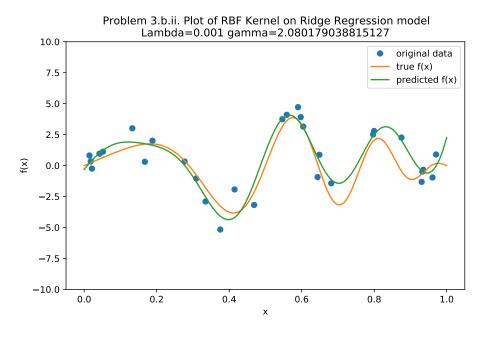


Figure 2

```
# Problem 3: Kernel Ridge Regression
2
3
   import numpy as np
4
   import matplotlib.pyplot as plt
5
6
   def generate_data(f, n):
7
         Generate n random samples of data and actual output using function f. '''
8
       \# Let x_i be uniformly random on [0, 1].
9
       x = np.random.rand(n)
10
       # Let epsilon_i \tilde{N}(0, 1).
```

```
11
        epsilon = np.random.randn(n)
12
        \# Let y_{-i} = f(x_{-i}) + epsilon_{-i}.
13
        y = f(x) + epsilon
14
        \# Return x as a column vector.
15
        return x.reshape(-1, 1), y
16
17
    \mathbf{def} \ \mathbf{f}_{-}\mathbf{star}(\mathbf{x}):
         ''' Compute the f star function given in the spec. '''
18
        return 4 * np.sin(np.pi * x) * np.cos(6 * np.pi * x ** 2)
19
20
    def polynomial_kernel(x, z, d):
21
         ''' Define the polynomial kernel given in the spec where d is a hyperparameter. '''
22
23
        return (1 + x.dot(z.T)) ** d
24
25
    def rbf_kernel(x, z, gamma):
         ''' Define the RBF kernel given in the spec where gamma is a hyperparameter. '''
26
27
        return np.exp(-gamma * squared_difference(x, z))
28
29
    def squared_difference(x, z):
          , Return the squared difference between x and z. ,,,
30
        return np.sum((x[:, :, None] - z[:, :, None].T) ** 2, axis=1)
31
32
33
    def leave_one_out_cv (model, X, y, regularized_lambdas, hyperparameters):
34
         ''' Compute the error of lambda and hypermeter combinations on the model using LOOCV. '''
35
        def error (model, X, y, regularized_lambda, hyperparameter):
36
37
             ^{\prime\prime} ^{\prime\prime} Perform LOOCV on one lambda / hyperparameter pair and return the model mean error.
38
             # Set the model to use the parameters
             model.regularized_lambda = regularized_lambda
39
40
             model.hyperparameter = hyperparameter
41
42
             # Perform LOOCV.
43
             error = []
             for i in range (len(X)):
44
                 # Use this to determine which indices to include in the "in" subsets.
                 indices = np. full(len(X), True)
46
                 indices[i] = False
47
48
49
                 # Define the cross validation subsets.
                 X_{in}, y_{in} = X[indices], y[indices]
50
51
                 X_{\text{out}}, y_{\text{out}} = X[i]. \text{ reshape}(1, -1), y[i]. \text{ reshape}(1, )
52
53
                 # Interpolate with the model.
                 model.train(X_in, y_in)
54
55
                 y_hat = model.predict(X_out)
56
57
                 # Compute and store the mean squared error.
                 error.append(np.mean((y_hat - y_out) ** 2))
58
59
60
             return np.mean(error)
61
62
        # Perform LOOCV.
        results = [(error(model, X, y, rl, hp), rl, hp) for rl in regularized_lambdas for hp in
63
             hyperparameters ]
64
        return np. array (results)
65
    def plot(title, subtitle, x_label, y_label, file_path, original_x, original_y, original_label,
66
                     true\_x \;,\;\; true\_y \;,\;\; true\_label \;,\;\; pred\_y \;,\;\; pred\_label \;,\;\; argsort \;,\;\; dim=(8,\;5)) \;: 
         ''' Plot the graphs for Problem 3 Part b. '''
67
        plt.figure(figsize=dim)
68
        plt.title(f'{title}\n{subtitle}')
69
70
        plt.xlabel(x_label)
        plt.ylabel(y_label)
71
72
        plt.plot(original_x[argsort, 0], original_y[argsort], 'o', label=original_label)
73
        plt.plot(true_x[:, 0], true_y, label=true_label)
74
        plt.plot(true_x[:, 0], pred_y, label=pred_label)
75
        plt.legend()
        plt.ylim([-10, 10])
76
```

```
77
         plt.savefig(file_path)
78
         plt.show()
79
80
     class KernelRidgeRegression:
         def __init__(self , kernel , regularized_lambda=1E-8, hyperparameter=None):
81
82
              self.kernel = kernel
              self.regularized_lambda = regularized_lambda
 83
 84
              self.hyperparameter = hyperparameter
85
         def train(self, X_train, y_train):
 86
              ',', Train the model.
87
 88
              self.mean = np.mean(X_train, axis=0)
 89
              self.std = np.std(X_train, axis=0)
 90
91
              X_{train} = (X_{train} - self.mean) / self.std
 92
              self.X_train = X_train
93
94
             K = self.kernel(X_train, X_train, self.hyperparameter)
95
              self.alpha = np.linalg.solve(K + self.regularized_lambda * np.eye(K.shape[0]), y_train
96
              return self.alpha
97
98
99
         def predict (self, X):
               ''', Predict using the model. ''',
100
             X = (X - self.mean) / self.std
101
             K = self.kernel(self.X_train, X, self.hyperparameter)
102
103
             return K.T. dot(self.alpha)
104
     def main():
105
106
         # Generate training data.
107
         X_{train}, y_{train} = generate_{data}(f=f_{star}, n=30)
108
         # Set the hyperparameter bounds.
109
110
         regularized_lambdas = 10.0 ** (-np.arange(2, 10))
111
         ds = np.arange(4, 20)
         \operatorname{gammas} = (1 \ / \ \operatorname{np.median}(\operatorname{squared\_difference}(\operatorname{X\_train}, \ \operatorname{X\_train}))) \ * \ \operatorname{np.linspace}(0, \ 2, \ 10)
112
113
         # Build and score both models.
114
115
         poly_model = KernelRidgeRegression(polynomial_kernel)
116
         poly_results = leave_one_out_cv(poly_model, X_train, y_train, regularized_lambdas, ds)
117
         rbf_model = KernelRidgeRegression(rbf_kernel)
118
         rbf_results = leave_one_out_cv(rbf_model, X_train, y_train, regularized_lambdas, gammas)
119
120
         # Part a. Find and assign the best lambdas and hyperparameters for and to the models.
121
         poly_model.regularized_lambda = poly_results[np.argmin(poly_results[:, 0])][1]
122
123
         poly_model.hyperparameter = poly_results[np.argmin(poly_results[:, 0])][2]
124
         rbf_model.regularized_lambda = rbf_results[np.argmin(rbf_results[:, 0])][1]
125
126
         rbf_model.hyperparameter = rbf_results[np.argmin(rbf_results[:, 0])][2]
127
128
         print(f'Problem 3.a.i. Best Lambda and d values with polynomial kernel: Lambda={poly_model
              .regularized_lambda \ \td={poly_model.hyperparameter}')
         print(f'Problem 3.a.ii. Best Lambda and gamma values with RBF kernel: Lambda={rbf_model.
129
              regularized_lambda}\tgamma={rbf_model.hyperparameter}')
130
         # Part b. Plot the learned functions using the best lambdas and hyperparameters from part
131
132
         X_{\text{evenly\_spaced}} = \text{np.linspace}(0, 1, 100).\text{reshape}(-1, 1)
133
         y_evenly_spaced = f_star(X_evenly_spaced[:, 0])
134
         argsort = np.argsort(X_train[:, 0])
135
         poly_model.train(X_train, y_train)
136
137
         y_hat_evenly_spaced_poly = poly_model.predict(X_evenly_spaced)
138
139
         plot(title='Problem 3.b.i. Plot of Polynomial Kernel on Ridge Regression model',
              subtitle=f'Lambda={poly_model.regularized_lambda} d={poly_model.hyperparameter}',
140
141
              x_label='x',
```

```
142
             y_label='f(x)',
             file_path='.../plots/3bi.pdf',
143
             original_x=X_train,
144
145
             original_y=y_train
146
             original_label='original data',
             true_x=X_evenly_spaced,
147
             true\_y=y\_evenly\_spaced,
148
             true_label='true f(x)',
149
150
             pred_y=y_hat_evenly_spaced_poly,
151
             pred_label='predicted f(x)',
152
             argsort=argsort)
153
154
         rbf_model.train(X_train, y_train)
         y_hat_evenly_spaced_rbf = rbf_model.predict(X_evenly_spaced)
155
156
         plot(title='Problem 3.b.ii. Plot of RBF Kernel on Ridge Regression model',
157
158
             subtitle=f'Lambda={rbf_model.regularized_lambda} gamma={rbf_model.hyperparameter}',
159
             x_label='x'
             y_label='f(x)
160
             file_path='.../plots/3bii.pdf',
161
             original_x=X_train,
162
163
             original_y=y_train ,
164
             original_label='original data',
165
             true_x=X_evenly_spaced,
166
             true_y=y_evenly_spaced,
             true\_label='true f(x)',
167
168
             pred_y=y_hat_evenly_spaced_rbf,
169
             pred_label='predicted f(x)',
170
             argsort=argsort)
171
    if -name - = '-main - ':
172
173
         main()
```

Neural Networks for MNIST

Problem 4: Answers

a., b., c.

We see that a deep network performs significantly better than a wide network with the same number of parameters. The deep network reaches comparable levels of performance to the wide in one epoch compared to eighteen epochs. This performance is shown in the following tables and plotted in figures 3 and 4. The reason for the deep network's better performance is likely due to there being more nonlinearities in the deeper model so the data are more immediately fit.

Model Performance

	Wide Net		Deep Net	
	Training	Testing	Training	Testing
Accuracy	0.9904	0.9749	0.9911	0.9718
Loss	0.0336	0.0844	0.0320	0.0928

Model Details

	Wide Net	Deep Net
Number of Parameters	50890	50890
Epochs trained	18	1

Problem 4: Code

```
1 # Problem 4: Neural Networks for MNIST
2
3 import numpy as np
```

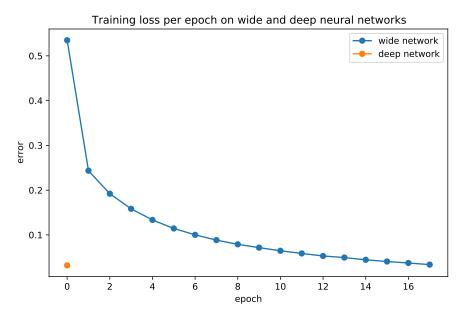


Figure 3

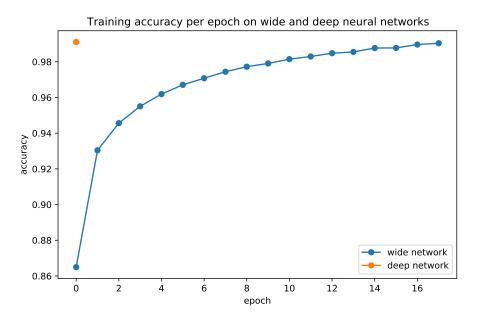


Figure 4

```
import torch
    import torch.nn as nn
5
    import torchvision.datasets as datasets
    {\bf import} \ \ {\bf torchvision.transforms} \ \ {\bf as} \ \ {\bf transforms}
8
    import matplotlib.pyplot as plt
9
    \mathbf{from} \ \operatorname{tqdm} \ \mathbf{import} \ \operatorname{tqdm}
10
11
    def get_loaders(root_path):
          Return MNIST train and test DataLoaders. '''
12
13
          train = torch.utils.data.DataLoader(
```

```
14
             datasets.MNIST(
15
                  root=root_path,
16
                  train=True.
17
                  download=True,
                  transform=transforms.ToTensor()),
18
19
             batch size=128.
20
             shuffle=True)
21
         test = torch.utils.data.DataLoader(
22
             datasets.MNIST(
23
                  root=root_path,
24
                  train=False.
25
                  download=True,
26
                  transform=transforms. ToTensor()),
27
             batch_size=128,
28
             shuffle=True)
29
         return train, test
30
31
    def count_parameters(weights, biases):
32
         ''' Return the total number of parameters used in the given weights and biases.
33
         parameters = sum([np.prod(w.shape) for w in weights])
34
         parameters += sum([np.prod(b.shape) for b in biases])
35
         return parameters
36
    def plot(title, x_label, y_label, file_name, x_1, y_1, label_1, x_2, y_2, label_2,
37
         \dim = (8, 5):
         ''', Plot two lines on single chart. '''
38
39
         plt.figure(figsize=dim)
40
         plt.title(title)
41
         plt.xlabel(x_label)
42
         plt.ylabel(y_label)
         plt.ylabel(ylabel)
plt.plot(x_1, y_1, '-o', label=label_1)
plt.plot(x_2, y_2, '-o', label=label_2)
43
44
         \texttt{plt.xticks} \, (\, \texttt{np.arange} \, (\, \texttt{0} \, , \, \, \, \textbf{max} (\, \texttt{x\_1} \,) \, + 1, \, \, \, 2 \, . \, \, \, \, \, \, \, \, ) \, ) \,
45
         plt.legend()
46
47
         plt.savefig(file_name)
48
         plt.show()
49
    class NeuralNetwork:
50
51
         def __init__(self, data_loader, learning_rate, n_neurons, n_layers, input_dim,
             output_dim):
52
             self.data_loader = data_loader
53
             self.learning_rate = learning_rate
54
55
             alpha = 1 / (np.sqrt(input_dim))
56
             self._weights(alpha, n_neurons, n_layers, input_dim, output_dim)
57
             self._biases(alpha, n_neurons, n_layers, output_dim)
58
59
         def _weights(self , alpha , n_neurons , n_layers , input_dim , output_dim):
              ''' Create the model's weights. '''
60
             weights = []
61
62
63
             # Define the input layer weights.
             weights.append(-2 * alpha * torch.rand(n_neurons, input_dim) + alpha)
64
             weights[-1].requires\_grad = True
65
66
             # Define the hidden layer weights.
67
68
             # Don't add the input and output layer weights.
             for _{-} in range(n_{-}layers - 2):
69
70
                  weights.append(-2 * alpha * torch.rand(n_neurons, n_neurons) + alpha)
71
                  weights [-1]. requires_grad = True
72
73
             # Define the output layer weights.
74
             weights.append(-2 * alpha * torch.rand(output_dim, n_neurons) + alpha)
75
             weights[-1].requires\_grad = True
76
77
             self.weights = weights
78
79
         def _biases(self, alpha, n_neurons, n_layers, output_dim):
```

```
''', Create the model's biases. '''
 80
 81
                            biases = []
 82
 83
                            # Define the input and hidden layer biases.
                            # Don't add the output layer biases.
 84
 85
                            for _ in range(n_layers - 1):
 86
                                     biases.append(-2 * alpha * torch.rand(n_neurons) + alpha)
 87
                                     biases[-1].requires\_grad = True
 88
                            # Define the output layer biases.
 89
 90
                            biases.append(-2 * alpha * torch.rand(output_dim) + alpha)
 91
                            biases[-1].requires\_grad = True
 92
 93
                            self.biases = biases
 94
 95
                   \mathbf{def} \ \operatorname{train} \left( \, \operatorname{self} \, , \ n_{-} \operatorname{epochs} \, , \ \operatorname{accuracy\_threshold} = 0.99 \, , \ \operatorname{verbose} = False \, \right) \colon
 96
                             ''', Train the model. '''
 97
                            # Use the weights and biases as the parameter.
 98
                            optimizer = torch.optim.Adam(self.weights + self.biases, lr=self.
                                    learning_rate)
 99
100
                            accuracies = []
                            losses = []
101
102
                            for epoch in range(n_epochs):
                                    # Perform forward and backwards passes through the data and return the
103
                                              model performance.
                                     accuracy, loss = self.measure_performance(self.data_loader, optimizer=
104
                                             optimizer, train=True)
105
106
                                     accuracies.append(accuracy)
107
                                     losses.append(loss)
108
109
                                     if verbose:
                                              print(f'Epoch=\{epoch\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tTraining\ Loss=\{losses[-1]\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=\{accuracy\}\tAccuracy=
110
                                                      }')
111
                                     # End training if minimium accuracy threshold is met.
112
113
                                     if accuracy > accuracy_threshold:
                                             break
114
115
116
                            return accuracies, losses, self.weights, self.biases
117
118
                   def measure_performance(self, data_loader, optimizer=None, train=False):
                             \ref{eq:constraints} . Perform forwards and backwards passes on the model and return the
119
                                    performance. ','
                            accuracy = 0
120
121
                            loss = 0
122
123
                            for X, y in tqdm(iter(data_loader)):
                                     # Change the dimensions of X.
124
                                    X = torch.flatten(X, start_dim=1, end_dim=3)
125
126
127
                                     # Perform the forward pass and get the predictions.
                                     logits = self.\_forward(X)
128
129
                                     y_hat = torch.argmax(logits, 1)
130
                                     # Compute the accuracy and loss.
131
132
                                     accuracy += torch.sum(y == y_hat)
                                     loss\_tmp \ = \ torch.nn.functional.cross\_entropy(logits, y, size\_average = 1)
133
                                              False)
134
135
                                     # Gradient descent backward pass.
136
                                     if train:
                                              optimizer.zero_grad()
137
138
                                              loss_tmp.backward()
139
                                              optimizer.step()
140
                                     loss += loss_tmp
141
142
```

```
143
                                   # Normalize the performance measures.
144
                                   loss /= len(data_loader.dataset)
                                   accuracy = accuracy.to(dtype=torch.float) / len(data_loader.dataset)
145
146
147
                                   return accuracy, loss
148
149
                        def _forward(self, x):
                                     ''' Perform a pass through the network using the given input x and ReLU
150
                                              non linearities.
                                   y = torch.matmul(x, self.weights[0].T) + self.biases[0]
151
                                   for i in range(1, len(self.weights)):
152
153
                                              y = torch.matmul(nn.functional.relu(y), self.weights[i].T) + self.biases[
                                                        i ]
                                   return y
154
155
156
             def main():
157
                        \# Load the training and test data.
158
                        train_loader , test_loader = get_loaders('../data/python_mnist/')
159
                        # Part a: Build, train, and test a wide neural network.
160
161
                        wide_net = NeuralNetwork(data_loader=train_loader, learning_rate=1E-3, n_neurons
                                   =64, n_{\text{layers}}=2, input_{\text{dim}}=784, output_{\text{dim}}=10)
162
                        wide\_train\_accuracies\;,\;\;wide\_train\_losses\;,\;\;wide\_weights\;,\;\;wide\_biases\;=\;wide\_net\;.
163
                                   train(n_epochs=500, verbose=True)
164
                        wide_parameters = count_parameters(wide_weights, wide_biases)
165
                        \mathbf{print}(\texttt{f'Wide net training results:} \\ \\ \mathsf{nAccuracy} = \{ \texttt{wide\_train\_accuracies}[-1] \} \\ \\ \mathsf{tLoss} = \{ \texttt{vide\_train\_accuracies}[-1] \} \\ \\ \mathsf{vide\_train\_accuracies}[-1] \} \\ \\ \mathsf{vide\_train\_accuracies}[-1] \\ \\ \mathsf{vide\_train\_
                                   wide_train_losses[-1]\tN Parameters={wide_parameters}\n')
166
167
                        wide_test_accuracy , wide_test_loss = wide_net.measure_performance(test_loader)
                        print(f'Wide net test results:\nAccuracy={wide_test_accuracy}\tLoss={
168
                                   wide_test_loss \\n')
169
                        # Part b: Build, train, and test a deep neural network.
170
171
                        deep_net = NeuralNetwork(data_loader=train_loader, learning_rate=1E-3, n_neurons
                                   =32, n_{\text{layers}}=3, input_{\text{dim}}=784, output_{\text{dim}}=10)
172
                        {\tt deep\_train\_accuracies}\;,\;\; {\tt deep\_train\_losses}\;,\;\; {\tt deep\_weights}\;,\;\; {\tt deep\_biases}\;=\; {\tt wide\_net}\;.
173
                                   train(n_epochs=500, verbose=True)
174
                        deep_parameters = count_parameters(deep_weights, deep_biases)
175
                        print(f'Deep net training results: \\ nAccuracy = {deep_train_accuracies[-1]} \\ tLoss = {deep_train_accurac
                                   deep\_train\_losses[-1]\tN Parameters={deep\_parameters}\n')
176
                        deep_test_accuracy , deep_test_loss = wide_net.measure_performance(test_loader)
177
178
                        print(f'Deep Net Test Results:\nAccuracy={deep_test_accuracy}\tLoss={
                                   deep_test_loss \\n')
179
180
                        # Plot the performance of both models.
181
                        x_wide_evenly_spaced = range(len(wide_train_losses))
182
                        x_deep_evenly_spaced = range(len(deep_train_losses))
183
184
                        plot(title='Training loss per epoch on wide and deep neural networks',
                                   x_label='epoch',
185
                                    y_label='error'
186
                                   file_name='../plots/4_losses.pdf',
187
188
                                   x_1=x_wide_evenly_spaced,
189
                                   y_1=[float(loss) for loss in wide_train_losses],
190
                                   label_1='wide network',
191
                                   x_2=x_deep_evenly_spaced,
192
                                   y_2=[float(loss) for loss in deep_train_losses],
193
                                   label_2='deep network')
194
195
                        plot(title='Training accuracy per epoch on wide and deep neural networks',
196
                                   x_label='epoch',
197
                                    y_label='accuracy',
                                   file_name='../plots/4_accuracies.pdf',
198
199
                                   x_1=x_wide_evenly_spaced,
200
                                   y_1=wide_train_accuracies,
201
                                   label_1='wide network',
```

```
202 x_2=x_deep_evenly_spaced,

203 y_2=deep_train_accuracies,

204 label_2='deep_network')

205

206

207 if __name__ == '__main__':

208 main()
```

Using Pretrained Networks and Transfer Learning

Problem 5: Answers

- a. Fixed Feature Extractor:
 - Plot for training loss: See figure 5

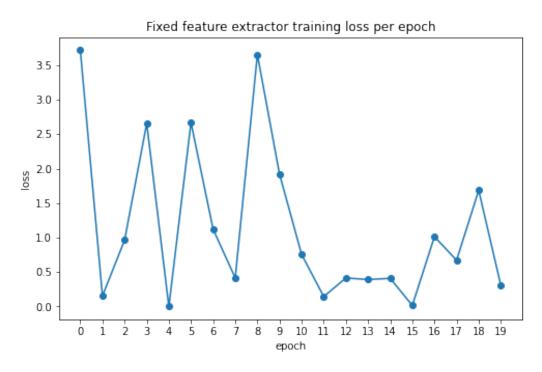


Figure 5

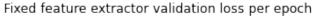
Plot for validation loss: See figure 6Highest validation accuracy: 0.8046

Test accuracy: 79.99Test loss: 0.6293

b. Fine-Tuning:

Plot for training loss: See figure 7
Plot for validation loss: See figure 8
Highest validation accuracy: 0.9088

• Test accuracy: 90.73



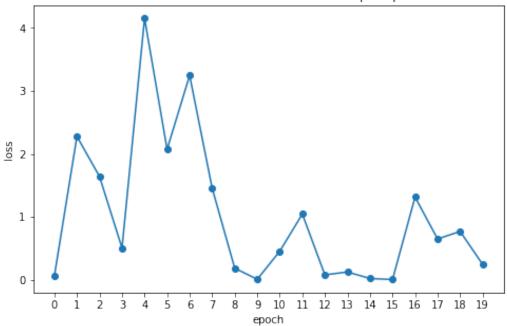


Figure 6

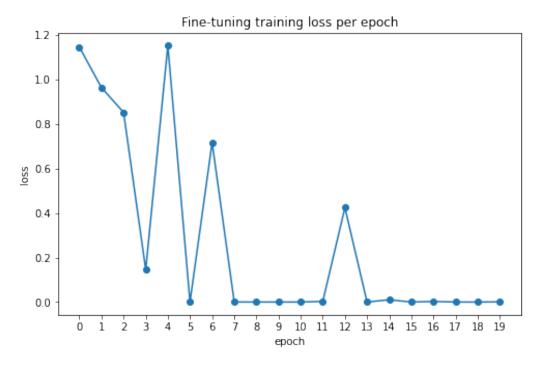


Figure 7

 \bullet Test loss: 0.4235

Problem 5: Code

- 1 # -*- coding: utf-8 -*-
- 2 """
- 3 CSE446 hw3 p5.ipynb



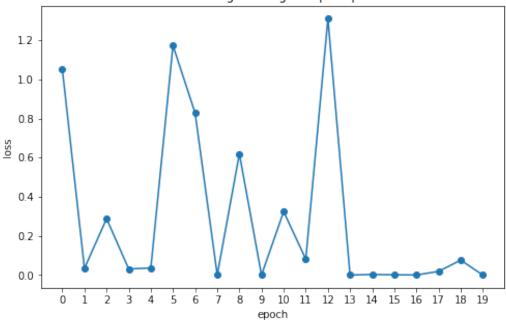


Figure 8

```
5
    Automatically generated by Colaboratory.
6
7
    Original file is located at
8
         https://\operatorname{colab}.\operatorname{research}.\operatorname{google}.\operatorname{com}/\operatorname{drive}/1\operatorname{EtJYFeZDQPBH3zOfZk9kJQ2KNA}\operatorname{agt9FT}
9
10
    Written\ using\ code\ from\ the\ following\ tutorials:
11
         https://pytorch.org/tutorials/beginner/blitz/cifar10\_tutorial.html
         https://pytorch.org/tutorials/beginner/transfer\_learning\_tutorial.html\#load-data
12
         https://pytorch.org/tutorials/beginner/finetuning\_torchvision\_models\_tutorial.html
13
14
15
16
    # Access google drive for saving and loading trained models.
17
    from google.colab import drive
    drive.mount('/content/drive')
18
19
20
    import torch
21
    import torchvision
22
    import torchvision.transforms as transforms
    import matplotlib.pyplot as plt
    import numpy as np
25
    import torch.nn as nn
    import torch.optim as optim
27
    from tqdm import tqdm
    from torch.utils.data import random_split
29
    import time
30
    import copy
31
    \#\ \textit{Use GPU if it's available}\ ,\ \textit{and CPU otherwise}\ .
32
    train_on_gpu = torch.cuda.is_available()
33
    train_on_multi_gpus = (torch.cuda.device_count() >= 2)
35
    gpus = torch.cuda.device_count()
36
    \#\ Define\ transforms\ for\ the\ data\ to\ work\ with\ the\ AlexNet\ model.
37
    transform = transforms. Compose ([transforms.Resize (256),
39
                                          transforms. ToTensor(),
                                         transforms. Normalize ((0.5, 0.5, 0.5), (0.5, 0.5, 0.5))])
40
41
```

```
42
43
    # Load the dataset.
    dataset = torchvision.datasets.CIFAR10(root='./data', train=True,
44
45
                                               download=True, transform=transform)
46
    # Split the dataset into training / validation such that validation is 10% of
47
    # the training.
49
    # Use a seed so that the training / validation split is the same each time.
50
51
    torch.manual_seed(43)
52
53
    \# We set the validation set to be 10% of the training data.
54
    val_size = 5000
    train_size = len(dataset) - val_size
55
56
57
    # Split the set.
58
    trainset , valset = random_split(dataset , [train_size , val_size])
59
    # Define the training and validation dataloaders.
    trainloader = torch.utils.data.DataLoader(trainset, batch_size=4,
61
62
                                                 shuffle=True, num_workers=2)
63
64
    valloader = torch.\,utils.data.\,DataLoader(\,valset\,,\,\,batch\_size\,{=}4,
65
                                               shuffle=True, num_workers=2)
66
    \# Join train and validation into a single dataloader
 67
    dataloaders = { 'train ': trainloader, 'val': valloader}
68
69
    dataset_sizes = { 'train ': train_size , 'val ': val_size }
70
71
    # Load and define the test dataloader.
    testset = torchvision.datasets.CIFAR10(root='./data', train=False,
72
73
                                              download=True, transform=transform)
 74
    testloader = torch.utils.data.DataLoader(testset, batch_size=4,
75
                                                shuffle=False, num_workers=2)
76
    test\_size = len(testset)
77
    # Define the dataset class names.
78
79
    classes = ('plane', 'car', 'bird', 'cat', 'deer', 'dog', 'frog', 'horse',
                    'ship', 'truck')
80
81
 82
    def train_model(model, criterion, optimizer, scheduler, num_epochs=25):
83
          '' Train the model and return the trained model as well as training
             performance data. ';
84
85
 86
         # Handle training on gpu or cpu.
 87
         if train_on_multi_gpus:
             print(f"\nTraining on {gpus} GPUs!\n")
 88
             model = torch.nn.DataParallel(model).cuda()
 89
         elif train_on_gpu:
90
             print('\nTraining on GPU!\n')
 91
92
             model = model.cuda()
93
         else:
94
             print('\nTraining on CPU; consider making n_epochs very small.\n')
95
 96
         since = time.time()
97
98
         best_model_wts = copy.deepcopy(model.state_dict())
99
         best_acc = 0.0
100
101
         train_losses = []
102
         valid_losses = []
103
104
         for epoch in tqdm(range(num_epochs)):
105
             # Each epoch has a training and validation phase
             for phase in ['train', 'val']:
106
                 if phase == 'train':
107
108
                     model.train() # Set model to training mode
109
                 else:
                     model.eval() # Set model to evaluate mode
110
```

```
111
112
                 running_loss = 0.0
113
                 running\_corrects = 0
114
115
                 # Iterate over data.
116
                 for inputs, labels in dataloaders[phase]:
                      if train_on_multi_gpus or train_on_gpu:
117
118
                          inputs, labels = inputs.cuda(), labels.cuda()
119
120
                      # zero the parameter gradients
                      optimizer.zero_grad()
121
122
123
                      # forward
124
                      # track history if only in train
                      with torch.set\_grad\_enabled(phase == 'train'):
125
126
                          outputs = model(inputs)
127
                          _{-}, preds = torch.max(outputs, 1)
128
                          loss = criterion (outputs, labels)
129
130
                          \# backward + optimize only if in training phase
                          if phase = 'train':
131
132
                              loss.backward()
133
                              optimizer.step()
134
135
                      # statistics
                      running_loss += loss.item() * inputs.size(0)
137
                      running_corrects += torch.sum(preds == labels.data)
138
                 if phase == 'train':
139
                      scheduler.step()
140
                 epoch_loss = running_loss / dataset_sizes[phase]
141
142
                 epoch_acc = running_corrects.double() / dataset_sizes[phase]
143
                 print('{} Loss: {:.4f} Acc: {:.4f}'.format(
144
145
                      phase, epoch_loss, epoch_acc))
146
147
                 # deep copy the model
                 if phase == 'val' and epoch_acc > best_acc:
148
                      best_acc = epoch_acc
149
150
                      best_model_wts = copy.deepcopy(model.state_dict())
151
152
                 # Store this epoch's training and validation losses.
153
                 if phase == 'train':
154
                      train_losses.append(loss)
155
                 else:
156
                      valid_losses.append(loss)
157
158
         time_elapsed = time.time() - since
         print('Training complete in {:.0f}m {:.0f}s'.format(
159
             time_elapsed // 60, time_elapsed % 60))
160
161
         print('Best val Acc: {:4f}'.format(best_acc))
162
163
         # load best model weights
164
         model.load_state_dict(best_model_wts)
         return model, train_losses, valid_losses
165
166
167
     def performance (model):
          '' Return the loss and performace of the model on the test data. '''
168
169
         correct = 0
170
         total = 0
171
         running_loss = 0.0
172
         with torch.no_grad():
173
             for data in testloader:
174
                 images, labels = data
175
                 \# Handle for gpu.
176
177
                 if train_on_multi_gpus or train_on_gpu:
178
                      images, labels = images.cuda(), labels.cuda()
179
```

```
180
                 \# Compute the accuracy.
181
                 outputs = model(images)
182
                 _, predicted = torch.max(outputs.data, 1)
183
                 total += labels.size(0)
                 184
185
186
                 # Compute the loss.
187
                 loss = criterion (outputs, labels)
188
                 running_loss += loss.item() * images.size(0)
189
190
         loss = running_loss / test_size
191
         accuracy = 100 * correct / total
192
193
         return loss, accuracy
194
195
    \mathbf{def} plot(title, x_label, y_label, x, y, file_dir, \dim = (8, 5)):
         ''' Plot the given data. ''
196
197
         plt.figure(figsize=dim)
198
         plt.title(title)
199
         plt.xlabel(x_label)
200
         plt.xticks(np.arange(0, max(x)+2, 1))
201
         plt.ylabel(y_label)
202
         plt.plot(x, y, '-o')
203
         plt.show()
204
         plt.savefig(file_dir)
205
    # Define the fixed feature extractor model and it's related components.
206
207
    ffe_model = torchvision.models.alexnet(pretrained=True)
208
    # Prevent all but the last layer from training.
209
    for param in ffe_model.parameters():
210
211
         param.requires_grad = False
212
    ffe_model.classifier [6] = nn.Linear (4096, 10)
213
214
215
    criterion = nn.CrossEntropyLoss()
    {\tt optimizer = optim.SGD(ffe\_model.parameters(), lr=0.001, momentum=0.9)}
216
217
    scheduler = optim.lr_scheduler.StepLR(optimizer, step_size=7, gamma=0.1)
218
219
    # Train and save the ffe model.
220
    ffe_model, ffe_train_losses, ffe_valid_losses = train_model(ffe_model, criterion, optimizer,
         scheduler, 20)
221
    PATH = '/content/drive/MyDrive/CSE446_hw3/cifar_ffe_model.pth'
222
223
    torch.save(ffe_model.state_dict(), PATH)
224
225
    plot(title='Fixed feature extractor training loss per epoch',
226
          x_label='epoch',
          y_label='loss'
227
          x=[x for x in range(len(ffe_train_losses))],
228
229
         y=ffe_train_losses
230
          file_dir='/content/drive/MyDrive/CSE446_hw3/ffe_t.png')
231
232
     plot(title='Fixed feature extractor validation loss per epoch',
          x_label='epoch',
233
234
          y_label='loss',
235
          x=[x for x in range(len(ffe_valid_losses))],
236
          y=ffe_valid_losses
          file_dir='/content/drive/MyDrive/CSE446_hw3/ffe_v.png')
237
238
239
    # Display the test performance of the ffe model
240
    loss, accuracy = performance(ffe_model)
241
    print(f'test Loss: {loss} Accuracy: {accuracy}')
242
    # Define the fine tuning model and it's related components.
244
    ft_model = torchvision.models.alexnet(pretrained=True)
245
246
    # Train every layer.
247 for param in ft_model.parameters():
```

```
248
         param.requires_grad = True
249
    ft_model.classifier [6] = nn.Linear (4096, 10)
250
251
     criterion = nn.CrossEntropyLoss()
252
253
     {\tt optimizer = optim.SGD(ft\_model.parameters(), lr = 0.001, momentum = 0.9)}
254
     scheduler = optim.lr_scheduler.StepLR(optimizer, step_size=7, gamma=0.1)
255
256
    \# Train and save the ft model.
257
    ft_model, ft_train_losses, ft_valid_losses = train_model(ft_model, criterion, optimizer,
         scheduler, 20)
258
259
    PATH = '/content/drive/MyDrive/CSE446_hw3/cifar_ft_model.pth'
260
    torch.save(ffe_model.state_dict(), PATH)
261
262
     plot(title='Fine-tuning training loss per epoch',
263
          x_label='epoch',
          y_label='loss',
264
265
          x=[x for x in range(len(ft_train_losses))],
266
          y=ft_train_losses
267
          file_dir='/content/drive/MyDrive/CSE446_hw3/ft_t.png')
268
269
     \verb|plot(title='Fine-tuning training loss per epoch',|\\
270
          x_label='epoch',
          y_label='loss',
271
272
          x=[x for x in range(len(ft_valid_losses))],
          y=ft_valid_losses,
273
          file_dir='/content/drive/MyDrive/CSE446_hw3/ft_v.png')
274
275
    {\it \# Display the test performance of the ft model}
276
277
    loss, accuracy = performance(ft_model)
278
    print(f'test Loss: {loss} Accuracy: {accuracy}')
```