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Preprocessing Complexity for Some Graph Problems Parameterized by Structural Parameters

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Abstract

Structural graph parameters play an important role in parameterized complexity, including in kernelization. Notably, vertex cover, neighborhood diversity, twin-cover, and modular-width have been studied extensively in the last few years. However, there are many fundamental problems whose preprocessing complexity is not fully understood under these parameters. Indeed, the existence of polynomial kernels or polynomial Turing kernels for famous problems such as CLIQUE, CHROMATIC NUMBER, and STEINER TREE has only been established for a subset of structural parameters. In this work, we use several techniques to obtain a complete preprocessing complexity landscape for over a dozen of fundamental algorithmic problems.

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Keywords: Polynomial kernel, Polynomial Turing kernel, MK/WK-hard, Structural parameter

1. Introduction

Preprocessing techniques such as kernelization and Turing kernelization form a fundamental branch of parameterized algorithms and complexity [1, 2, 3]. For most popular algorithmic graph problems, the upper and lower bounds of kernelization are generally well-understood under the natural parameters, i.e. the value to be minimized or maximized. However, there is much knowledge to be gained for alternate parameters. In this work, we aim to complete the kernelization complexity landscape for the structural graph parameters vertex cover (vc) [4, 5], neighborhood diversity (nd) [6, 7], twin-cover (tc) [8, 9], and modular-width (mw) [10, 11], which all play an important role in parameterized complexity. For many famous problems such as CLIQUE, CHROMATIC NUMBER, and STEINER TREE, the existence or non-existence of efficient preprocessing, namely polynomial kernels and polynomial Turing kernels, had only been partially established in previous work (see the entries with a reference in Table 1). Using a variety of known and novel techniques, we present new preprocessing results for 13 different graph problems, leading to the following.

Theorem 1. *The results in Table 1 without references are correct.*

In particular, although vertex cover is a large graph parameter that usually admits positive preprocessing results, we derive several negative results. This is achieved by devising new polynomial parametric transformations, a refined Karp reduction, to prove some problems to be MK/WK-hard. This means that the problems have no polynomial Turing kernels unless all MK/WK-complete problems in the specific hierarchy have polynomial Turing kernels, and have no polynomial kernels unless $\text{coNP} \subseteq \text{NP/poly}$. On the positive side, a polynomial kernel for TRIANGLE PARTITION is trivial in parameter vc, but becomes complicated in parameter tc, though feasible as we

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Problems \ Parameters	vc	tc	nd	mw
TRIANGLE PARTITION	PK	PK	PC	open no PC [13]
INDUCED MATCHING	WK[1]-h	WK[1]-h	PC	PTC [14] no PC
STEINER TREE	MK[2]-h	MK[2]-h	PK [14]	PK [14]
CHROMATIC NUMBER	MK[2]-h	MK[2]-h	PC	PTC [14] no PC
CONNECTED DOMINATING SET	MK[2]-h	MK[2]-h	PK [14]	PK [14]
HAMILTONIAN CYCLE	PK [15]	PK [15]	PC	PTC [14] no PC
CLIQUE	PTK [12] no PC [12]	PTK no PC [12]	PC [16]	PTC [14] no PC
INDEPENDENT SET	PK [17]	PK	PC [16]	PTC [14] no PC
VERTEX COVER	PK [17]	PK	PC [16]	PTC [14] no PC
FEEDBACK VERTEX SET	PK [18]	PK [19]	PC [16]	PTC [14] no PC
ODD CYCLE TRANSVERSAL	PK [20]	PK	PC [16]	PTC [14] no PC
CONNECTED VERTEX COVER	WK[1]-h [21]	WK[1]-h [21]	PC [16]	PTC [14] no PC
DOMINATING SET	MK[2]-h [21]	MK[2]-h [21]	PC [16]	PTC [14] no PC

Table 1: Preprocessing results for the problems on the first column in parameters vertex cover (vc), twin-cover (tc), neighborhood diversity (nd), and modular-width (mw). The results for each problem include the existence of a polynomial kernel (PK), polynomial compression (PC), polynomial Turing kernel (PTK), and polynomial Turing compression (PTC). Moreover, that a problem is WK[1]-hard (WK[1]-h) or MK[2]-hard (MK[2]-h) means it has a conditional PTC lower bound. The first line and second line for each entry contain the positive and negative results of the problem, respectively. For example, INDUCED MATCHING is WK[1]-h in parameter vc and tc, has a PC in parameter nd, has a PTC but does not admit a PC in parameter mw. In addition, each result with a cited paper means it either comes from the paper or can be obtained straightforwardly using a result of the paper. Apart from TRIANGLE PARTITION(mw), all the problems are known to be FPT [13, 14].

show. It appears difficult to create reduction rules for the later parameter, but we are able to reduce it to a kernelizable intermediate problem that we call CONFLICT-FREE ASSIGNMENT, which is a variant of an assignment problem that may be of independent interest. So we first produce a polynomial compression for TRIANGLE PARTITION(tc) by reducing it to the new problem, then reduce the new problem back to TRIANGLE PARTITION to obtain the polynomial kernel. For the parameter neighborhood diversity, we design a meta-theorem for obtaining the polynomial compressions for all the problems in the table. As for modular width, we obtain polynomial compression lower bounds using the *cross-composition* technique [12], furthermore, we create new original problems when giving the cross-compositions for CONNECTED VERTEX COVER(mw), ODD CYCLE TRANSVERSAL(mw), FEEDBACK VERTEX SET(mw), and INDUCED MATCHING(mw). The definitions of all the problems in the paper can be found in the appendix.

Notation and Terminology. Let $G = (V, E)$ be a graph. For $S \subseteq V$, $G - S$ denotes the subgraph of G induced by $V \setminus S$. For $v \in V$, $N(v)$ denotes the set of neighbors of v . The parameter *vertex cover* of G , denoted by $vc(G)$ or vc , is the minimum number of vertices that are incident to all edges of G . Twin-cover was first proposed in [8]. $S \subseteq V$ is a *twin cover* of G if $G - S$ is a cluster, which is formed from the disjoint union of complete graphs, and any two vertices u, v in the same connected component of $G - S$ satisfy $N(u) \cap S = N(v) \cap S$. The parameter *twin-cover* of G , denoted by $tc(G)$ or tc , is the cardinality of a minimum twin-cover of G . Modular-width was first proposed in [22] and was first introduced into parameterized complexity in [11]. A *module* of G is $M \subseteq V$ such that, for every $v \in V \setminus M$, either $M \subseteq N(v)$ or $M \cap N(v) = \emptyset$. The empty set, V , and all $\{v\}$ for $v \in V$ are *trivial modules*. If all modules of G are trivial modules then G is a *prime* graph. The modular-width of G , which is denoted by $mw(G)$ or mw , is the vertex number of the largest prime induced subgraph of G . More information about modular-width can be found in [11, 23]. Neighborhood diversity was first proposed in [6]. Let $P \subseteq 2^V$ be a vertex partition of V . If P only includes modules, then P is a *modular partition*. A modular partition of V is called a *neighborhood partition* if every module in the modular partition is either a clique or an independent set, which are called *clique type* and *independent type*, respectively. The width of the partition is its cardinality. The *minimum neighborhood partition* of V , which can be obtained in polynomial time [6], is the *neighborhood partition* of V with the minimum width k . The *neighborhood diversity*, denoted by $nd(G)$ or nd , of G is the width of the minimum neighborhood partition of V . Based on Theorem 3 of [11] and Theorem 7 of [6], $mw(G) \leq nd(G) \leq O(2^{vc(G)})$. Based on Definition 3.1

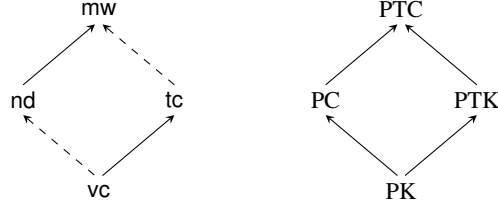


Fig. 1: The left and the right figures are the relations among the parameters and the types of preprocessing, respectively, discussed in this paper. The left figure includes parameters modular-width (mw), neighborhood diversity (nd), twin-cover (tc), and vertex cover (vc). The right figure includes polynomial Turing compression (PTC), polynomial Turing kernelization (PTK), polynomial compression (PC), and polynomial kernelization (PK). The arrows indicate generalization, e.g. PTC generalizes PTK and thus a problem has a PTK implies it has a PTC; mw generalizes tc and thus is bounded by a function f in tc, more specifically, solid and dashed arrows imply linear function f and exponential function f , respectively.

of [8] and Theorem 3 of [11], $\text{mw}(G) \leq O(2^{\text{tc}(G)})$ and $\text{tc}(G) \leq \text{vc}(G)$, which means that the negative results in this paper in parameter vertex cover also hold in parameter twin-cover. These parameters are related as in Figure 1.

A parameterized problem is a language $Q \subseteq \Sigma^* \times \mathbb{N}$. We call k the parameter if $(x, k) \in Q$. Q is fixed-parameter tractable (FPT) if Q is decidable in $f(k)|x|^{O(1)}$ time for some computable function f . $Q(\text{vc})$ denotes Q parameterized by vertex cover of its input graph. The definitions of $Q(\text{tc})$, $Q(\text{nd})$, and $Q(\text{mw})$ go the same way.

Definition 1. A *kernelization (compression)* for a parameterized problem Q is a polynomial-time algorithm, which takes an instance (x, k) and produces an instance (x', k') called a kernel, such that $(x, k) \in Q$ iff $(x', k') \in Q$ ($(x', k') \in Q'$ for a problem Q'), and the size of (x', k') is bounded by a computable function f in k . Moreover, we say Q admits a kernel or kernelization (compression) of $f(k)$ size.

In particular, Q admits a polynomial kernel or polynomial kernelization (PK) (polynomial compression (PC)) if f is polynomial. Compared to kernelization, compression allows the output instance to belong to any problem.

Definition 2. A *Turing kernelization (Turing compression)* for a parameterized problem Q is a polynomial-time algorithm with the ability to access an oracle for Q (a problem Q') that can decide whether $(x, k) \in Q$ with queries of size at most a computable function f in k , where the queries are called Turing kernels. Moreover, we say Q admits a Turing kernel or Turing kernelization (Turing compression) of $f(k)$ size.

In particular, Q admits a polynomial Turing kernel or a polynomial Turing kernelization (PTK) (polynomial Turing compression (PTC)) if f is a polynomial function. The relations among these preprocessing can be found in Figure 1. Finding a framework to rule out PTC or even PTK under some widely believed complexity hypothesis is a long-standing open problem [3]. PTCs of several special FPT problems are refuted under the assumption that exponential hierarchy does not collapse [24]. A completeness theory for PTK (PTC) was proposed in [21], which constructs a WK/MK-hierarchy and demonstrates a large number of problems in this hierarchy. Moreover, all the problems in WK[i]-complete or MK[j]-complete have PTKs (PTCs) if any problem in WK[i]-hard or MK[j]-hard has a PTK (PTC), where $i \geq 1$ and $j \geq 2$. For a parameterized problem Q , we can provide a polynomial parametric transformation (PPT) from a known WK/MK-hard problem to Q to demonstrate Q is WK/MK-hard, which gives evidence that Q does not have a PTK (PTC). The definition of PPT is as follows.

Definition 3 ([25, 21]). A polynomial parametric transformation (PPT) is a polynomial-time many-one reduction between two parameterized problems such that the parameter of the output instance is polynomially bounded by the parameter of the input instance.

2. Parameterization by vertex cover number

HAMILTONIAN CYCLE(vc) [15], ODD CYCLE TRANSVERSAL(vc) [20] have PKs. A PK for VERTEX COVER(vc) [17] implies a PK for INDEPENDENT SET(vc) since, for a graph, the vertex cover number plus the independence number equals the vertex number. Clearly, A PK for FEEDBACK VERTEX SET(k) [18] implies a PK for FEEDBACK VERTEX SET(vc) since the vertex cover number is at least the feedback vertex set number. Furthermore, the WK[1]-hardness of CONNECTED VERTEX COVER(k) [21] implies the WK[1]-hardness of CONNECTED VERTEX COVER(vc) since the vertex cover number is at most the connected vertex cover number. The input of INDUCED MATCHING is (G, k) , decide whether there exist $2k$ vertices whose induced subgraph in G is a matching of size k . A PPT from a WK[1]-hard problem MULTICOLORED-CLIQUE($k \log n$) [21] to INDUCED MATCHING(vc) is provided as follows.

Theorem 2. INDUCED MATCHING(vc) is WK[1]-hard.

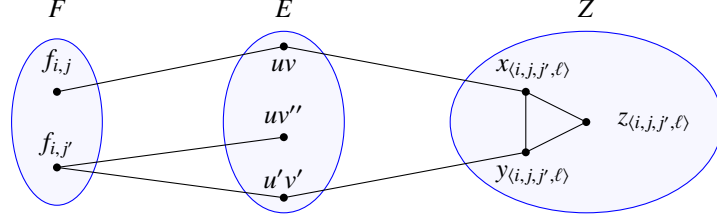


Fig. 2: Illustration of the reduction of INDUCED MATCHING problem. The color of u and u' is i . The color of v , v' , and v'' are j , j' , and j'' , respectively. In addition, $b_\ell(u) = 1$ and $b_\ell(u') = 0$.

Proof. Let (G, c) be an instance of MULTICOLORED-CLIQUE($k \log n$), where $G = (V, E)$ and $c : V \rightarrow [k]$. Assume that $V = [n]$ and, for $v \in V$, denote by $b_\ell(v) \in \{0, 1\}$ the ℓ -th bit of the binary representation of v . Without loss of generality, suppose $\log n$ is an integer. We construct an instance H of INDUCED MATCHING(vc). The vertex set is $V(H) = E \cup F \cup Z$, and the graph can be described as follows: (1) E is the set of edges of G . That is, each edge of G has a corresponding vertex in H , and they form an independent set in H . (2) For $i, j \in [k]$ with $i < j$, add a vertex $f_{i,j}$ to F . The neighbors of $f_{i,j}$ are $N(f_{i,j}) = \{uv \in E : c(u) = i, c(v) = j\}$. (3) For every pair of pairs $(i, j), (i, j') \in [k] \times [k]$, with i, j, j' distinct, and for every $\ell \in [\log n]$, add the vertices $x_{(i,j,j',\ell)}, y_{(i,j,j',\ell)}, z_{(i,j,j',\ell)}$ to Z . Their neighborhoods are $N(x_{(i,j,j',\ell)}) = \{uv \in E : c(u) = i, c(v) = j, b_\ell(u) = 1\} \cup \{y_{(i,j,j',\ell)}, z_{(i,j,j',\ell)}\}$, $N(y_{(i,j,j',\ell)}) = \{uv \in E : c(u) = i, c(v) = j', b_\ell(u) = 0\} \cup \{x_{(i,j,j',\ell)}, z_{(i,j,j',\ell)}\}$, and $N(z_{(i,j,j',\ell)}) = \{x_{(i,j,j',\ell)}, y_{(i,j,j',\ell)}\}$. Notice that $x_{(i,j,j',\ell)}, y_{(i,j,j',\ell)}, z_{(i,j,j',\ell)}$ induce a triangle in Z .

We claim that G contains a multicolored clique iff H contains an induced matching of size $\binom{k}{2} + |Z|/3$. Note that $F \cup Z$ is a vertex cover of H . Since $|F| = \binom{k}{2}$ and $|Z| \in O(k^3 \log n)$, the size of the parameter is polynomial in $k \log n$. Let v_1, \dots, v_k be vertices of a multicolored clique of G , where $c(v_i) = i$ for every $i \in [k]$. We construct an induced matching I of H in two steps. In step 1, for each edge $v_i v_j$ in the clique, $i < j$, add to I the edge $\{v_i v_j, f_{i,j}\}$ (one can easily check that these edges are independent). In step 2, consider values i, j, j', ℓ and the corresponding triangle in Z . If $b_\ell(v_i) = 0$, then there is no edge in H between any $v_i v_{j''}$ and $x_{(i,j,j',\ell)} \in Z$, for $j'' \neq i$. There is also no edge in H between any $v_j v_{j'}$ and $x_{(i,j,j',\ell)}$, for any $j, j' \neq i$. Hence we may add $x_{(i,j,j',\ell)} z_{(i,j,j',\ell)}$ to I , as it is independent from the edges added in step 1. Similarly, if $b_\ell(v_i) = 1$, we may add $y_{(i,j,j',\ell)} z_{(i,j,j',\ell)}$ to I instead. Notice that any two edges that we add in step 2 are independent since no edges are shared between any two triangles in Z . It follows that I is an induced matching, and its size is exactly $\binom{k}{2} + |Z|/3$.

Suppose that H contains an induced matching I of size $\binom{k}{2} + |Z|/3$. Notice that for i, j, j', ℓ , at most one edge incident to an element of $\{x_{(i,j,j',\ell)}, y_{(i,j,j',\ell)}, z_{(i,j,j',\ell)}\}$ can be in I , since they form a triangle. Therefore these can account for at most $|Z|/3$ edges of I . Since E is an independent set in H , the remaining $\binom{k}{2}$ edges of I can only be edges incident to the $f_{i,j}$ vertices of F . We may thus assume that each $f_{i,j}$ is incident to an edge of I . In turn, we may assume that for each triangle in Z , at least one of its vertices is incident to an edge in I . We claim that $C = \{uv : i, j \in [k], \{uv, f_{i,j}\} \in I\}$ form the edges of a multicolored clique of G . Notice that for each $i \neq j$, there exists exactly one edge $uv \in C$ such that $c(u) = i, c(v) = j$.

Now let $i \in [k]$ and assume, towards a contradiction, that there are $uv, u'v' \in C$ such that $c(u) = c(u') = i$ but $u \neq u'$. Let $j = c(v)$, $j' = c(v')$ (by the previous remark we may assume $j \neq j'$). Since $u \neq u'$, there is some ℓ such that $b_\ell(u) \neq b_\ell(u')$, say $b_\ell(u) = 1, b_\ell(u') = 0$, without loss of generality. Then in H , $x_{(i,j,j',\ell)}$ is a neighbor of uv and $y_{(i,j,j',\ell)}$ is a neighbor of $u'v'$. Since $\{uv, f_{i,j}\}, \{u'v', f_{i,j'}\} \in I$, neither $x_{(i,j,j',\ell)}$ or $y_{(i,j,j',\ell)}$ can be incident to an edge in I (see Figure 2). This implies that $z_{(i,j,j',\ell)}$ is also not incident to such an edge. That is, no vertex of the triangle for values i, j, j', ℓ is incident to an edge of I , a contradiction. \square

Corollary 1. INDUCED MATCHING(vc) does not admit a PC unless $\text{coNP} \subseteq \text{NP/poly}$.

Without loss of generality, suppose that the formula Φ of CNF-SAT(n), which is MK[2]-hard and will be the original problem in the following PPTs, has no duplicate clauses and each clause has no duplicate variables. Let x_1, \dots, x_n and C_1, \dots, C_m be the variables and the clauses of Φ , respectively. CLIQUE(vc) and CHROMATIC NUMBER(vc) do not admit PCs unless $\text{coNP} \subseteq \text{NP/poly}$, but, CLIQUE(vc) has a PTK [12]. For the PTK of CHROMATIC NUMBER(vc), STEINER TREE(vc), and CONNECTED DOMINATING SET(vc), we give the following negative result.

Theorem 3. CHROMATIC NUMBER(vc), STEINER TREE(vc), and CONNECTED DOMINATING SET(vc) are MK[2]-hard.

Sketch. In what follows, we assume that $i \in [n]$ and $j \in [m]$ (e.g. “for all i ” means for all $i \in [n]$). Given an instance Φ of CNF-SAT, we describe how to construct a graph G for problem Q .

Assume Q represents CHROMATIC NUMBER. First, add a complete graph with n vertices v_1, \dots, v_n . Second, add vertices w_i, \bar{w}_i and edge $w_i \bar{w}_i$ for all i , and edges $w_i v_k, \bar{w}_i v_k$ for all i and all $k \in [n] \setminus \{i\}$. Third, add vertices t_1, \dots, t_m , moreover, for all i, j , add $t_j w_i$ if literal x_i is not in the clause C_j of the instance Φ , and add $t_j \bar{w}_i$ if literal \bar{x}_i is not in the clause C_j . Finally, add a vertex u and edges uv_i, ut_j for all i and j . Clearly, all vertices of sets $\{v_1, \dots, v_n\}$, $\{w_1, \dots, w_n\}$, and $\{\bar{w}_1, \dots, \bar{w}_n\}$ together with vertex u are a vertex cover of G , so $\text{vc}(G) \leq 3n + 1$. It is then not too hard to complete the proof by showing that Φ is satisfiable iff G can be colored with $n + 1$ colors.

Assume Q represents STEINER TREE. First, add vertices c_1, \dots, c_m , vertex u , and n triangles to G , where the vertices of the i -th triangle are u_i, \bar{u}_i and v_i for all i . Then, for all i and j , add edge $u_i c_j$ if literal x_i is in C_j of Φ , and add edge $\bar{u}_i c_j$ if literal \bar{x}_i is in C_j of Φ . Moreover, connect u with all u_i and all \bar{u}_i . In addition, the terminal set K consists of all v_i , all c_j , and u . Clearly, the set $\{u_1, \dots, u_n\} \cup \{\bar{u}_1, \dots, \bar{u}_n\}$ forms a vertex cover of G , thus, $\text{vc}(G) \leq 2n$. one can show that Φ is satisfiable iff K is in a connected subgraph of G with at most $2n + m$ edges.

Assume Q represents CONNECTED DOMINATING SET. The construction here is similar to that of STEINER TREE. First, construct a graph the same as that of STEINER TREE. Then, add a vertex q and the edge qu . Clearly, all the vertices of $\{u_1, \dots, u_n\}$ and $\{\bar{u}_1, \dots, \bar{u}_n\}$ as well as vertex u form a vertex cover of G . Thus, $\text{vc}(G) \leq 2n + 1$. Moreover, Φ is satisfiable iff the size of the minimum connected dominating set of G is at most $n + 1$. \square

Corollary 2. STEINER TREE(vc), and CONNECTED DOMINATING SET(vc) have no PCs unless $NP \subseteq coNP/poly$.

In addition, a linear kernel for TRIANGLE PARTITION(vc) is trivial since at most $\frac{vc}{2}$ vertices can be outside of the vertex cover. However, a PK for TRIANGLE PARTITION(tc) becomes complicated, which is shown in Theorem 4.

3. Parameterization by twin-cover

A PK of HAMILTONIAN CYCLE parameterized by the *vertex-deletion distance from a cluster*, which is at most tc, is given in [15]. Thus, HAMILTONIAN CYCLE(tc) has a PK. FEEDBACK VERTEX SET(tc) admits a PK [19]. In addition, we know $\text{tc}(G) \leq \text{vc}(G)$. Therefore, the hardness results for the problems parameterized by vc in section 2 also hold for those problems parameterized by tc. We now turn to TRIANGLE PARTITION(tc). As we already mentioned, reduction rules seem difficult to produce, but we can reduce the problem to a kernelizable intermediate problem that we call CONFLICT-FREE ASSIGNMENT. We first give its definition and PK as follows.

Input: a bipartite graph $G = (B \cup S, E)$, where B and S are respectively called buyers and sellers; a profit function $p : B \rightarrow \mathbb{N}$; a weight function $w : B \rightarrow \mathbb{N}$; a capacity function $c : S \rightarrow \mathbb{N}$; a set $P \subseteq \binom{B}{2}$ called conflicting pairs; an integer q . In addition, the weights, profits, and capacities are at most exponential in $|B|$, that is $2^{\text{poly}(|B|)}$.

Question: does there exist a subset $F \subseteq E$ of edges satisfying that (1) each $b \in B$ is incident to at most one edge of F (each buyer is assigned to at most one seller); (2) for each $s \in S$, $\sum_{b:bs \in F} w(b) \leq c(s)$ (each seller is assigned buyers of at most its capacity); (3) for each $\{b_1, b_2\} \in P$, at most one of b_1 or b_2 is incident to an edge of F (no pair of conflicting buyers is assigned); (4) $\sum_{b \in V(F) \cap B} p(b) \geq q$ (profit of assigned buyers is at least q , where here $V(F)$ is the set of vertices incident to an edge of F).

Intuitively, each buyer b incurs profit $p(b)$ if we can assign it to a seller. However, b has a weight of $w(b)$, and each seller s can accommodate a total weight of $c(s)$. Moreover, P specifies pairs of buyers that cannot both be assigned (even forbidding assigning them to different sellers). We want to assign buyers under these constraints to achieve a profit of at least q . Clearly, CONFLICT-FREE ASSIGNMENT is in NP.

Lemma 1. CONFLICT-FREE ASSIGNMENT has a PK parameterized by $|B|$.

Sketch. The reduction rules are as follows. (1) Delete vertices degree 0. (2) Delete edges $bs \in E$ such that $w(b) > c(s)$. (3) Assume that rule 2 is not applicable and that there is $b \in B$ of degree at least $|B| + 1$. Then remove every edge of E incident to b , add a new vertex s in S of capacity $c(s) = w(b)$, and add the edge bs to E .

Apply the rules until exhaustion. The number of vertices is at most $|B| + |B|^2$, and each function can be encoded in $|B|^{O(1)}$ bits. Thus, the size of the kernel is a polynomial in $|B|$. \square

Theorem 4. TRIANGLE PARTITION(tc) has a PK.

Sketch. We first provide a Karp reduction from TRIANGLE PARTITION(tc) to CONFLICT-FREE ASSIGNMENT. Given an instance (G, k) of TRIANGLE PARTITION(tc) together with a minimum twin-cover X of $G = (V, E)$, where $|X| = \text{tc}$, let $C = \{C_1, \dots, C_m\}$ be the partition of $V \setminus X$ into cliques. We call a clique in C a good clique if it has a number of vertices that is not a multiple of 3, otherwise, we call it a bad clique. It is not hard to verify that each clique can be reduced to size at most $2\text{tc} + 2$, and the number of good cliques is at most tc . Then, we can update X by adding all good cliques into it, which is still a twin-cover of G . Thus, we may assume that, in instance (G, k) , C contains only bad cliques and $|X| = O(\text{tc}^2)$. The next result will be the main tool to relate TRIANGLE PARTITION(tc) and CONFLICT-FREE ASSIGNMENT.

Claim 1. G has a triangle partition iff there exists a partition $A = \{A_1, A_2, \dots, A_l\}$ of X into subsets of size 3 or 6, a map $f : A \rightarrow C \cup \{\emptyset\}$, and weight $w : A \rightarrow \mathbb{N}$, such that

(1) the following holds for each $A_i \in A$: if $f(A_i) = \emptyset$, then $G[A_i]$ is a triangle; otherwise, $f(A_i) = C_j$ for some C_j satisfying $A_i \subseteq N(C_j)$.

Also, if $|A_i| = 3$ and $G[A_i]$ has at least one edge, then $w(A_i) = 3$; if $|A_i| = 3$ and $G[A_i]$ has no edge, then $w(A_i) = 6$; if $|A_i| = 6$, then $G[A_i]$ has a matching of size 3 and $w(A_i) = 3$,

(2) for each $C_j \in C$, we have $\sum_{A_i \in f^{-1}(C_j)} w(A_i) \leq |C_j|$.

Sketch. (\Rightarrow) Let T be a triangle partition of G . We construct the partition A and maps f, w . For each $T_i \in T$ such that $T_i \subseteq X$, add T_i to A and put $f(T_i) = \emptyset$ ($w(T_i)$ does not matter). This satisfies the desired conditions since $G[T_i]$ is a triangle. Let X' be the vertices of X that are in a triangle of T that also includes vertices in a clique of C . It remains to partition X' . For every clique C_j , consider the set $P_j = \{C_j \cap T_i : T_i \in T, |C_j \cap T_i| \in \{1, 2\}\} \setminus \{\emptyset\}$. Thus P_j contains the “portion of triangles” that involve C_j , and the other portion of each such triangle is in X' . Note that the sum of sizes of the sets of P_j must be a multiple of 3. Then, each small groups in P_j should be $(K_1, K_2), (K_1, K_1, K_1), (K_2, K_2, K_2)$ that will tell us which are the A_i ’s, where K_t means a clique with t vertices.

(\Leftarrow) Suppose that A, f , and w as in the statement exist. We construct a triangle partition T . For each A_i such that $f(A_i) = \emptyset$, $G[A_i]$ is a triangle and we can add A_i to T . Consider A_i such that $f(A_i) = C_j$ for some j . Suppose that $|A_i| = 3$, with $A_i = \{x, y, z\}$. If $|A_i| = 3$ and $G[A_i]$ has at least one edge, say xy , add to T_i two triangles, one formed with xy and a vertex of C_j , the other formed with z and two vertices of C_j . This uses $w(A_i) = 3$ vertices of C_j . The other two cases are similar. Moreover, we do this for every A_i . Since $\sum_{A_i \in f^{-1}(C_j)} w(A_i) \leq |C_j|$ for every C_j , each clique has enough vertices to use for the above process. \square

Now, for each instance of TRIANGLE PARTITION(tc), we describe a corresponding instance of CONFLICT-FREE ASSIGNMENT, which is used to decide whether a partition as described above exists. We construct a graph $H = (B \cup S, E')$, functions p, w, c , conflicting pairs P and integer q . The vertices of B and S are in correspondence with some subsets of $V(G)$. First define $B = \binom{X}{3} \cup \binom{X}{6}$. Add to P the pair $\{b_1, b_2\} \in \binom{B}{2}$ if and only if the sets b_1 and b_2 have a non-empty intersection. For each $b \in B$, assign the profit $p(b) = |b|$, and the weight $w(b) = 3$ if $|b| = 3$ and $G[b]$ has at least one edge; $w(b) = 6$ if $|b| = 3$ and $G[b]$ has no edge; $w(b) = 3$ if $|b| = 6$ and $G[b]$ has a matching of size 3; otherwise, $w(b) = \infty$. We next define S . First, add each clique C_i of C as a vertex of S . Then, for each triple $t = \{x, y, z\} \in \binom{X}{3}$ such that $G[t]$ is a triangle, add a vertex called D_t to S . Summarizing, we have $S = C \cup \{D_t : t \subseteq X \text{ and } G[t] \text{ is a triangle}\}$. For each $C_i \in C$, put the capacity $c(C_i) = |C_i|$ and for each triangle t of X , put the capacity $c(D_t) = 3$. We define the edges E' of H . Consider $t \in \binom{X}{3} \cup \binom{X}{6}$, which is a vertex of B . If $G[t]$ is a triangle, add an edge from the vertex t in B to the vertex D_t in S . Next, assume $G[t]$ is not a triangle. Let $C(t) \subseteq C$ be the set of cliques of C that contain t in their neighborhood. Add an edge from $t \in B$ to every $C_i \in C(t)$ that is in S . Finally, define $q = |X|$. This completes the construction.

We show that G admits a triangle partition iff the constructed instance of CONFLICT-FREE ASSIGNMENT admits a solution. Suppose that G admits a partition T into triangles. Let A be a partition of X that satisfies Claim 1, with map f and weights w' . Construct a set $F \subseteq E'$ of edges of H as follows. We define

$$F = \{\{A_i, D_{A_i}\} : (A_i \in A) \wedge (f(A_i) = \emptyset)\} \cup \{\{A_i, f(A_i)\} : (A_i \in A) \wedge (f(A_i) \in C)\}.$$

Clearly, each $b \in B$ is incident to at most one edge of F . Because A is a partition, no two A_i ’s intersect, and thus no conflicting pair of vertices of B is incident to an edge of F . Consider each $s \in S$. If s is not incident with any edge in F , then $\sum_{b:bs \in F} w(b) = 0 < c(s)$. If s is some D_{A_i} such that $\{A_i, D_{A_i}\} \in F$, then $c(s) = 3$ since $G[A_i]$ is a triangle, and $\sum_{b:bs \in F} w(b) = w(A_i) = 3$ since $G[A_i]$ has at least one edge. Thus, $\sum_{b:bs \in F} w(b) \leq c(s)$. If s is some $f(A_i)$ such that $\{A_i, f(A_i)\} \in F$, then $\sum_{b:bs \in F} w(b) = \sum_{A_i \in f^{-1}(s)} w(A_i) = \sum_{A_i \in f^{-1}(s)} w'(A_i) \leq |s| = c(s)$ by Claim 1. Consider the profit of the assigned buyer. Since $q = |X|$, A partitions X , and $p(A_i) = |A_i|$ for each A_i , we have

$$\sum_{b \in V(F) \cap B} p(b) = \sum_{A_i \in A} p(A_i) = \sum_{A_i \in A} |A_i| = |X| = q$$

Thus, F is a solution to CONFLICT-FREE ASSIGNMENT.

Conversely, suppose that there is a solution $F \subseteq E'$ to CONFLICT-FREE ASSIGNMENT. Next, we construct a partition A of X , a map f , and weights function w' that satisfies Claim 1. Let $A = \{A_i \in B : A_i \text{ is incident to an edge of } F\}$. By our construction of the conflicting pairs P , no two A_i ’s intersect. Moreover, since the profit is at least $q = |X|$, the sum of sizes of the A_i ’s must be $|X|$, and thus A partitions X . In addition, the size of each A_i is either 3 or 6 since the size of any element of B is 3 or 6. We define weight $w' : A \rightarrow \mathbb{N}$ as follows: for any $A_i \in A$, $w'(A_i) = w(A_i)$. We define map $f : A \rightarrow C \cup \{\emptyset\}$ as follows: if $A_i \in A$ and $G[A_i]$ is a triangle, then $f(A_i) = \emptyset$; if $A_i \in A$ and $G[A_i]$ is not a triangle, then $f(A_i) = C_j$ such that $C_j \in C$ and $\{A_i, C_j\} \in F$. Consider f and w' . Since $\emptyset \notin C$, $G[A_i]$ is

a triangle if $f(A_i) = \emptyset$. Assume $f(A_i) = C_j$ for some $C_j \in C$. Then, $\{A_i, C_j\} \in F \subseteq E$ and $A_i \subseteq N(C_j)$ in G . A_i must be one of the following three types: (1) $|A_i| = 3$ and $G[A_i]$ has at least one edge, (2) $|A_i| = 3$ and $G[A_i]$ has no edge, (3) $|A_i| = 6$ and $G[A_i]$ has a matching of size 3, otherwise, $w(A_i) = \infty$ and $w(A_i) > c(C_j)$, a contradiction. Let $|A_i| = 3$ and $G[A_i]$ has at least one edge. Then $w'(A_i) = w(A_i) = 3$. Let $|A_i| = 3$ and $G[A_i]$ has no edge. Then $w'(A_i) = w(A_i) = 6$. Let $|A_i| = 6$. Then, A_i must be the type (3). Thus, $G[A_i]$ has a matching of size 3 and $w'(A_i) = w(A_i) = 3$. According to the definitions of w' and f and the fact that each seller is assigned buyers of at most its capacity in H , we have, for each $C_j \in C$,

$$\sum_{A_i \in f^{-1}(C_j)} w'(A_i) = \sum_{A_i \in f^{-1}(C_j)} w(A_i) = \sum_{\{A_i, C_j\} \in F} w(A_i) \leq c(C_j) = |C_j|.$$

Thus, the constructed A , f , and w' satisfy the requirements of Claim 1.

Clearly, in the produced instance, the size of B is $\text{tc}^{O(1)}$. Based on Lemma 1 and the Karp reduction above, we have a compression from TRIANGLE PARTITION(tc) to CONFLICT-FREE ASSIGNMENT of size $|B|^{O(1)} = \text{tc}^{O(1)}$. Moreover, CONFLICT-FREE ASSIGNMENT is in NP, so there exists a Karp reduction from CONFLICT-FREE ASSIGNMENT to the NP-complete problem TRIANGLE PARTITION(tc). Thus, TRIANGLE PARTITION(tc) has a PK. \square

In addition, it is not hard to prove the following theorem.

Theorem 5. CLIQUE(tc), VERTEX COVER(tc), INDEPENDENT SET(tc), and ODD CYCLE TRANSVERSAL(tc) have PKs.

4. Parameterization by neighborhood diversity

Every graph property expressible in monadic second-order logic (MSO_1) has a PC parameterized by nd [16]. We provide a meta-theorem to provide PCs for the problems in Table 1 that are not covered by MSO_1 . We say a decision problem Q is a *typical graph problem* if the instance of Q is (G, k) , where G is an undirected graph and k is the parameter that can be encoded in $O(\log |G|)$ bits.

Theorem 6. Let Q be a typical graph problem that admits a $2^{O(\text{nd}^c)}|G|^{O(1)}$ time algorithm for some constant $c > 0$. Then Q has a compression of bitlength $O(\text{nd}^{c+1} + \text{nd}^2)$.

Proof. We provide a compression from Q to a problem Q' which will be defined later. Let (G, k) be an instance of Q , where $G = (V, E)$. We can obtain the minimum neighborhood partition P of V in polynomial time. If $\text{nd}^c \leq \log |V|$, then decide the input using the $2^{O(\text{nd}^c)}|G|^{O(1)} = |G|^{O(1)}$ time algorithm. Assume $\text{nd}^c \geq \log |V|$ henceforth. Consider the quotient graph $G/P = (V', E')$, where a vertex v_M of V' is corresponding to the type M in P . First, label v_M with 0 if M is an independent type, and label v_M with 1 if M is a clique type. Secondly, assign a weight $|M|$ to v_M for each $v_M \in V'$, which can be encoded in $\log |M| \leq \text{nd}^c$ bits. Clearly, the labeled quotient graph can be encoded in $O(\text{nd}^{c+1} + \text{nd}^2)$ bits, because the edges and vertices of G/P can be encoded in $O(\text{nd}^2)$ bits, and the labels of the vertices of G/P can be encoded in $O(\text{nd}^{c+1})$ bits. In addition, we say G is the original graph of the labeled quotient graph. We now define the problem Q' as follows. The input of the problem is a labeled quotient graph and a parameter k , the objective is to decide whether the original graph of the labeled quotient graph together with the parameter k is a yes instance of Q . By the definition of typical graph problems, parameter k can be encoded in $O(\log |G|) = O(\text{nd}^c)$ bits. Thus, the size of the instance of Q' is $O(\text{nd}^{c+1} + \text{nd}^2)$ bits. \square

Since every graph property expressible in MSO_1 can be solved in time $2^{O(\text{nd})}|G|^{O(1)}$ [6], the result of Theorem 6 for PC covers problems that are potentially outside MSO_1 . The following problem can be solved in $2^{O(\text{nd})}|G|^{O(1)}$ time: HAMILTONIAN CYCLE [26, 27, 6], CONNECTED VERTEX COVER [28], CONNECTED DOMINATING SET [28], STEINER TREE [28], DOMINATING SET [29], ODD CYCLE TRANSVERSAL [14], VERTEX COVER [10], INDEPENDENT SET [10], CLIQUE [10], FEEDBACK VERTEX SET [10], INDUCED MATCHING [10], and CHROMATIC NUMBER [11], where the facts that $\text{nd}(G) \leq \text{cw}(G) + 1$ [6] and $\text{mw}(G) \leq \text{nd}(G)$ are needed when some results of the references are used. In addition, TRIANGLE PARTITION [13] can be solved in $2^{\text{nd}^{O(1)}}|G|^{O(1)}$ time.

Corollary 3. Parameterized by neighborhood diversity, the following problems have quadratic compressions: STEINER TREE, INDUCED MATCHING, CHROMATIC NUMBER, HAMILTONIAN CYCLE, CONNECTED DOMINATING SET, DOMINATING SET, CLIQUE, INDEPENDENT SET, VERTEX COVER, FEEDBACK VERTEX SET, ODD CYCLE TRANSVERSAL, CONNECTED VERTEX COVER. Moreover, TRIANGLE PARTITION admits a PC.

Note that CLIQUE, VERTEX COVER, and INDEPENDENT SET do not admit compressions of size $O(\text{nd}^{2-\epsilon})$ unless $\text{NP} \subseteq \text{coNP/poly}$ [30]. In addition, it is worth mentioning that, in Theorem 6, if Q is NP-complete and Q' is in NP, then Q admits a PK according to Theorem 1.6 of [3]. Thus, PKs for some problems here, such as CLIQUE, are obtained straightforwardly. We do not specify them here.

5. Parameterization by modular-width

We provide PC lower bounds for each problem in this section using the and/or-cross-composition technique [12]. For each and/or-cross-composition from L to R and its related polynomial equivalence relation R on Σ^* in this paper, there will be an equivalent class under R , which is called a bad class, including all strings each of which is not a valid instance of L . Since the bad class can be handled trivially, we may assume that Σ^* only includes valid instances of L .

Theorem 7. HAMILTONIAN CYCLE(mw) *does not admit a PC unless $NP \subseteq coNP/poly$.*

Sketch. We and-cross-compose HAMILTONIAN PATH into HAMILTONIAN PATH(mw). Assume any two instances G_1, G_2 are equivalent under R iff $|V(G_1)| = |V(G_2)|$ and $mw(G_1) = mw(G_2)$. Clearly, R is a polynomial equivalence relation. Consider the and-cross-composition where we are given t instances G_1, \dots, G_t of HAMILTONIAN PATH in an equivalence class of R , where $G_i = (V_i, E_i)$, $|V_i| = n$ and $mw(G_i) = mw$ for all $i \in [t]$. Produce an instance $G = (V, E)$ in $O(tn^2)$ time, where we first define $X = \{v_1, \dots, v_{t-1}\}$ as a set of $t-1$ new vertices. Let $S = \bigcup_{i=1}^t V_i$, $V = S \cup X$, and E is $\bigcup_{i=1}^t E_i$ together with all possible edges uv such that $u \in S$ and $v \in X$. It is not hard to verify that $mw(G) \leq n$, moreover, every G_i contains a Hamiltonian path iff G contains a Hamiltonian path. Finally, A PPT reduction from HAMILTONIAN PATH(mw) to HAMILTONIAN CYCLE(mw) is routine: add a vertex v as well as the edges between v and all vertices of the input graph to form the output graph. \square

We define a new problem named FEEDBACK VERTEX SET REFINEMENT as follows: given a graph G and a feedback vertex set F of G , decide whether G has a feedback vertex set of size $|F| - 1$?

Lemma 2. FEEDBACK VERTEX SET REFINEMENT *is NP-hard under Karp reduction.*

Sketch. The original problem of the Karp reduction is FEEDBACK VERTEX SET. Given an instance (G, k) of FEEDBACK VERTEX SET, where the graph $G = (V, E)$ and the vertex set $V = \{v_1, \dots, v_n\}$. Without loss of generality, assume $k < n - 1$. Construct a graph $G' = (V', E')$ as follows. First, add G and vertex sets $U = \{u_1, \dots, u_{n-1-k}\}$, $W = \{w_{i,j} \mid 1 \leq i \leq n-1-k \text{ and } 1 \leq j \leq n\}$ into G' . Secondly, for every u_i of U , connect u_i with all vertices of G . Thirdly, for every edge $u_i v_j$, connect $w_{i,j}$ with the two endpoints of $u_i v_j$. Clearly, V is a feedback vertex set of G' . Thus, (G', V) is an instance of FEEDBACK VERTEX SET REFINEMENT. Then, (G, k) is a yes instance iff (G', V) is a yes instance. \square

Theorem 8. FEEDBACK VERTEX SET(mw) *does not admit a PC unless $NP \subseteq coNP/poly$.*

Sketch. We or-cross-compose FEEDBACK VERTEX SET REFINEMENT into FEEDBACK VERTEX SET(mw). Assume any two instances $(G_1, F_1), (G_2, F_2)$ are equivalent under R iff $|V(G_1)| = |V(G_2)|$, $|F_1| = |F_2|$, and $mw(G_1) = mw(G_2)$. Given t instances $(G_1, F_1), \dots, (G_t, F_t)$ of FEEDBACK VERTEX SET REFINEMENT in an equivalence class of R , where $|V(G_i)| = n$, $|F_i| = k$ for all $i \in [t]$. Produce an instance $(G, kt - 1)$ in $O(tn^2)$ time, where $\bigcup_{i=1}^t G_i = G$. \square

The size of an induced matching is its edges number. A new problem named INDUCED MATCHING REFINEMENT: given G and an induced matching of G whose size is k , decide whether G has an induced matching of size $k + 1$?

Lemma 3. INDUCED MATCHING REFINEMENT *is NP-hard under Karp reduction.*

Sketch. The original problem of the Karp reduction is INDUCED MATCHING, which is NP-complete [31]. Given an instance (G, k) of INDUCED MATCHING, where $G = (V, E)$ and $V = \{v_1, \dots, v_n\}$. Without loss of generality, we may assume $2 \leq k \leq 0.5n$. Construct a graph $G' = (V', E')$ as follows. First, add G and all vertices of the sets $U = \{u_1, \dots, u_n\}$, $W = \{w_1, \dots, w_n\}$, $X = \{x_1, \dots, x_{n-k+1}\}$ into G' . Then, for every u_i of U , connect u_i with all vertices of $V \cup \{w_i\}$. Finally, connect x_i with w_i for every $i \in [n - k]$ and connect x_{n-k+1} with all vertices of $\{w_{n-k+1}, \dots, w_n\}$. Clearly, edge set $Y = \{u_1 w_1, \dots, u_n w_n\}$ is an induced matching of G' . Thus, (G', Y) is an instance of INDUCED MATCHING REFINEMENT of size n . Moreover, (G, k) is a yes instance iff (G', Y) is a yes instance. \square

Theorem 9. INDUCED MATCHING(mw) *does not admit a PC unless $NP \subseteq coNP/poly$.*

Sketch. An or-cross-composition goes the same way as that of Theorem 8. \square

We can also use the and/or-cross-composition technique to obtain the following results.

Theorem 10. *Parameterized by modular-width, CLIQUE, INDEPENDENT SET, VERTEX COVER, CHROMATIC NUMBER, DOMINATING SET, ODD CYCLE TRANSVERSAL, and CONNECTED VERTEX COVER do not admit PCs unless $NP \subseteq coNP/poly$.*

Conclusions. We conclude the paper by proposing an open question. Fomim et. al. state in the open problems chapter of their kernelization textbook [3]: “Finding an example demonstrating that polynomial compression is a strictly more general concept than polynomial kernelization, is an extremely interesting open problem.” Inspired by this, we propose the following question: do there exist quadratic kernels for the problems in Corollary 3 parameterized by neighborhood diversity? Even quadratic Turing kernels will be interesting.

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Appendix A. Parameterization by vertex cover number

Without loss of generality, suppose that the formula Φ of CNF-SAT(n), which is MK[2]-hard and will be the original problem in the following PPTs, has no duplicate clauses and each clause has no duplicate variables. Let x_1, \dots, x_n and C_1, \dots, C_m be the variables and the clauses of Φ , respectively. CLIQUE(VC) and CHROMATIC NUMBER(VC) do not admit PCs unless $\text{coNP} \subseteq \text{NP/poly}$, but, clique(vC) has a PTK [12]. For the PTK of CHROMATIC NUMBER(VC), we give the following negative result.

Theorem 11. CHROMATIC NUMBER(VC) is MK[2]-hard.

Proof. Given an instance Φ of CNF-SAT, construct a graph G as follows. In what follows, we assume that $i, k \in [n]$ and $j \in [m]$ (e.g. “for all i ” means for all $i \in [n]$). First, add a complete graph with n vertices v_1, \dots, v_n . Secondly, add vertices w_i, \bar{w}_i and edge $w_i \bar{w}_i$ for all i , and edges $w_i v_k, \bar{w}_i v_k$ for all i and k if $i \neq k$. Thirdly, add vertices t_1, \dots, t_m , moreover, for all i, j , add $t_j w_i$ if literal x_i is not in the clause C_j of the instance Φ , and add $t_j \bar{w}_i$ if literal \bar{x}_i is not in the clause C_j of the instance Φ . Finally, add a vertex u and edges uv_i, ut_j for all i and j . Clearly, all vertices of sets $\{v_1, \dots, v_n\}$, $\{w_1, \dots, w_n\}$, and $\{\bar{w}_1, \dots, \bar{w}_n\}$ together with vertex u are a vertex cover of G , so $\text{vc}(G) \leq 3n + 1$.

We claim that Φ is satisfiable iff G can be colored with $n + 1$ colors. Assume Φ is satisfiable. There is an assignment for variables x_1, \dots, x_n such that Φ is evaluated to true. First, each v_i is colored by c_i , and u is colored by c . For every pair w_i and \bar{w}_i , assign c_i to the vertex whose corresponding literal is true in the assignment of Φ , and the color c to the other vertex. Every C_j of Φ has at least one literal assigned to true, say x_i (or \bar{x}_i), thus, some neighbor \bar{w}_i (or w_i) of t_j is colored by c , and we can assign color c_i to t_j . As a result, graph G is colored by $n + 1$ colors. For the reverse direction, assume G is colored by colors c_1, \dots, c_n , and c . The subgraph induced by v_1, \dots, v_n and u is a complete graph with $n + 1$ vertices, so $n + 1$ colors are needed to color these vertices. Without loss of generality, suppose v_i is colored by c_i for every i , and u is colored by c . For every pair w_i and \bar{w}_i , their colors are different and come from $\{c_i, c\}$. Each t_j is adjacent to all w_i (or \bar{w}_i) if the literal x_i (or \bar{x}_i) is not in C_j . Thus, in every C_j of Φ , there is at least one literal whose corresponding vertex is not colored by c , otherwise, we need at least $n + 1$ colors to color the neighbor vertices of t_j , and $n + 2$ colors to color G . As a result, for every pair

w_i and \bar{w}_i , choose the vertex that is not colored by c and assign its corresponding literal true, then all clauses of Φ are satisfied. \square

Note that Theorem 11 also implies chromatic number(vc) has no PC unless $NP \subseteq coNP/poly$, which has been proved in [12], while our proof is much shorter.

Theorem 12. *STEINER TREE(vc) is MK[2]-hard.*

Proof. Let $i \in [n]$ and $j \in [m]$. Given an instance Φ of CNF-SAT. Construct a graph G as follows. First, add vertices c_1, \dots, c_m , vertex u , and n triangles to G , where the vertices of the i -th triangle are u_i, \bar{u}_i and v_i for all i . Then, for all i and j , add edge $u_i c_j$ if literal x_i is in C_j of Φ , and add edge $\bar{u}_i c_j$ if literal \bar{x}_i is in C_j of Φ . Moreover, connect u with all u_i and all \bar{u}_i . In addition, the terminal set K consists of all v_i , all c_j , and u . Clearly, the vertices $\{u_1, \dots, u_n\} \cup \{\bar{u}_1, \dots, \bar{u}_n\}$ form a vertex cover of G , thus, $vc(G) \leq 2n$.

We claim that Φ is satisfiable iff there is a connected subgraph T of G that contains at most $2n + m$ edges and all vertices of K . Assume Φ is satisfiable. There is an assignment for variables x_1, \dots, x_n that Φ is evaluated true. Consider the vertex set $V(T)$ of T . First, add all vertices of K to $V(T)$. Secondly, for all i , add vertex u_i to $V(T)$ if the corresponding literal x_i is evaluated true in the assignment, and add vertex \bar{u}_i to $V(T)$ if the corresponding literal \bar{x}_i is evaluated true in the assignment. Since Φ is satisfiable, at least one vertex of $V(T) \setminus K$ is adjacent to c_j for every j , which means that $N(c_j) \cap (V(T) \setminus K) \neq \emptyset$. Consider the edge set $E(T)$ of T . First, add edge uv to $E(T)$ for all $v \in V(T) \setminus K$. Secondly, for every c_j , add the edge between c_j and any one vertex of $N(c_j) \cap (V(T) \setminus K)$ to $E(T)$. Thirdly, since exact one of x_i and \bar{x}_i is evaluated true, exact one of u_i and \bar{u}_i is in $V(T)$ for every i . We may add an edge between v_i and the vertex in $N(v_i) \cap V(T)$ to $E(T)$ for every i . Clearly, T is a tree with $2n + m$ edges and $K \subseteq V(T)$. For the reverse direction, if there is a connected subgraph T of G that contains at most $2n + m$ edges and contains all vertices of K . It means that T contains at most $2n + m + 1$ vertices, otherwise, T is not connected. Suppose vertex set U consists of all u_i and \bar{u}_i . Clearly, we have $V(G) = K \cup U$. Consider every pair of u_i and \bar{u}_i . Since v_i is an isolated vertex in $G - \{u_i, \bar{u}_i\}$, at least one vertex of u_i and \bar{u}_i is in $V(T)$. In addition, K has $n + m + 1$ vertices and is a subset of $V(T)$, so $V(T) \cap (V(G) \setminus K) = V(T) \cap U$ contains at most n vertices. Thus, exact one of u_i and \bar{u}_i is in $V(T)$ for every i . Since T is connected and $N(c_j) \subseteq U$ for every j , $N(c_j) \cap (V(T) \cap U) \neq \emptyset$ for every j . Now, we may give an assignment to Φ as follows. For every i , assign true to variable x_i of Φ if vertex u_i is in $V(T) \cap U$, and assign false to variable x_i of Φ if vertex \bar{u}_i is in $V(T) \cap U$. Since every c_j has at least one adjacent vertex in $V(T) \cap U$, every clause of Φ is evaluated true under that assignment. \square

Corollary 4. *STEINER TREE(vc) does not admit a PC unless $NP \subseteq coNP/poly$.*

It is known that dominating set(vc) is MK[2]-hard [32, 21]. In the following, we study the CONNECTED DOMINATING SET(vc).

Theorem 13. *CONNECTED DOMINATING SET(vc) is MK[2]-hard.*

Proof. Let $f_{ids}(G)$ represent the size of the minimum connected dominating set of G . The construction here is similar to that of Theorem 12. Assume $i \in [n]$, $j \in [m]$. Given an instance Φ of CNF-SAT. Construct a graph G as follows. First, add vertices c_1, \dots, c_m as well as n triangles, and the vertices of the i -th triangle are u_i, \bar{u}_i , and v_i for all i . Secondly, for all i, j , add edge $u_i c_j$ if literal x_i is in clause C_j of Φ , and add edge $\bar{u}_i c_j$ if literal \bar{x}_i is in clause C_j of Φ . Thirdly, add a vertex p and edges $pu_i, p\bar{u}_i$ for all i . Finally, add a vertex q and the edge pq . Clearly, all the vertices of $\{u_1, \dots, u_n\}$ and $\{\bar{u}_1, \dots, \bar{u}_n\}$ as well as vertex p are a vertex cover of G . Thus, $vc(G) \leq 2n + 1$.

We claim Φ is satisfiable iff $f_{ids}(G) \leq n + 1$. Assume Φ is satisfiable. There is an assignment for x_1, \dots, x_n that Φ is evaluated true. First, add p to a vertex set D . Then, for all i , add vertex u_i to D if the corresponding literal x_i is evaluated true in the assignment, and add vertex \bar{u}_i to D if the corresponding literal \bar{x}_i is evaluated true in the assignment. Since all u_i and \bar{u}_i are neighbors of p , D is a connected dominating set of G , moreover, the size of D is $n + 1$. For the other direction, assume $f_{ids}(G) \leq n + 1$. Suppose D is a minimum connected dominating set of G . If $p, q \in D$, then $D \setminus \{q\}$ is a minimum connected dominating set, a contradiction. If $p, q \notin D$, then q is not dominated by D and is not in D , a contradiction. If $p \notin D$ and $q \in D$, then the subgraph induced in G by D is not connected, a contradiction. As a result, $q \notin D$ and $p \in D$. For every triangle $u_i - \bar{u}_i - v_i$, there is at least one vertex in D , otherwise, v_i is not dominated by D or is not in D . Thus, the size of D is $n + 1$, moreover, there is exactly one vertex from each triangle. Consider each triangle. If $v_i \in D$, then $u_i, \bar{u}_i \notin D$, and $G[D]$ is disconnected. Thus, $v_i \notin D$, and D includes exactly one of u_i, \bar{u}_i . For every i , assign true to x_i of Φ if $u_i \notin D$, and assign false to x_i of Φ if $\bar{u}_i \in D$. Since D is a dominating set of G , any clause of Φ has at least one literal assigned true. \square

Corollary 5. *CONNECTED DOMINATING SET(vc) does not admit a PC unless $NP \subseteq coNP/poly$.*

Note that DOMINATING SET is MK[2]-hard even parameterized by vertex cover number and dominating set number [32, 21]. This also holds for our results, because the connected dominating set number is less than the vertex cover number of G in our proof. It is worth mentioning that INDEPENDENT DOMINATING SET is also MK[2]-hard using a very similar proof as that of Theorem 13, where the only difference is that vertices p, q are not needed in the new construction.

Appendix B. Parameterization by twin-cover

Lemma 4. CONFLICT-FREE ASSIGNMENT has PK parameterized by $|B|$.

Proof. The reduction rules are as follows. (1) Delete vertices degree 0. (2) Delete edges $bs \in E$ such that $w(b) > c(s)$. (3) Assume that rule 2 is not applicable and that there is $b \in B$ of degree at least $|B| + 1$. Then remove every edge of E incident to b , add a new vertex s in S of capacity $c(s) = w(b)$, and add the edge bs to E . Clearly, rules 1 and 2 are safe. Rule 3 is safe because if we have a solution for the original instance, then we may use it directly for the modified instance if b is not assigned. If b is assigned to some seller, in the modified instance we just assign b to the newly created s , achieving the same profit. Conversely, if we have a solution to the modified instance, we use the same solution for the original instance — unless b is assigned to s . In that case, since b has more than $|B|$ neighbors in the original instance, one of them has no assigned buyer in the solution to the modified instance, and we may reassign b to this free neighbor.

Suppose we have applied the above rules until exhaustion. We know that every vertex of B has degree at most $|B|$ by Rule 2 and Rule 3 and, since there are no isolated vertices by Rule 1, it follows that $|S| \leq |B|^2$. Thus, the number of vertices is at most $|B| + |B|^2$. Since the weights, profits, and capacities are exponential in $|B|$, each of them can be encoded in $|B|^{O(1)}$ bits. Thus, the size of the kernel is a polynomial in $|B|$. \square

Theorem 14. TRIANGLE PARTITION(tc) has a PK.

Proof. We first provide a Karp reduction from TRIANGLE PARTITION(tc) to CONFLICT-FREE ASSIGNMENT. Given an instance (G, k) of TRIANGLE PARTITION(tc) together with a minimum twin-cover X of $G = (V, E)$, where $|X| = \text{tc}$, let $C = \{C_1, \dots, C_m\}$ be the partition of $V \setminus X$ into cliques. Let $i \in [m]$. For each $C_i \in C$, if C_i has more than $2\text{tc} + 2$ vertices, then we repeatedly delete any 3 vertices from it until its size satisfies that $2\text{tc} \leq |C_i| \leq 2\text{tc} + 2$. The reduction is safe because at most 2tc vertices in C_i form triangles with vertices outside C_i . We call a clique in C a good clique if it has a number of vertices that is not a multiple of 3, otherwise, we call it a bad clique. We may assume that there are at most tc good cliques, since each good clique needs at least one vertex in X to form a triangle. Now, we update X by adding all good cliques into it, which is still a twin-cover of G . The number of vertices in all good cliques is $O(\text{tc}^2)$, so $|X| = O(\text{tc}^2)$. Thus, we may assume that we are given an instance (G, k) of TRIANGLE PARTITION(tc) together with a twin-cover X of $G = (V, E)$, where $|X| = O(\text{tc}^2)$, such that the partition $C = \{C_1, \dots, C_m\}$ of $V \setminus X$ into cliques has only bad cliques. The next result will be the main tool to relate TRIANGLE PARTITION(tc) and CONFLICT-FREE ASSIGNMENT.

Claim 2. G has a triangle partition iff there exists a partition $A = \{A_1, A_2, \dots, A_l\}$ of X into subsets of size 3 or 6, a map $f : A \rightarrow C \cup \{\emptyset\}$, and weight $w : A \rightarrow \mathbb{N}$, such that

(1) the following holds for each $A_i \in A$: if $f(A_i) = \emptyset$, then $G[A_i]$ is a triangle; otherwise, $f(A_i) = C_j$ for some C_j satisfying $A_i \subseteq N(C_j)$. Also, if $|A_i| = 3$ and $G[A_i]$ has at least one edge, then $w(A_i) = 3$; if $|A_i| = 3$ and $G[A_i]$ has no edge, then $w(A_i) = 6$; if $|A_i| = 6$, then $G[A_i]$ has a matching of size 3 and $w(A_i) = 3$,

(2) for each $C_j \in C$, we have $\sum_{A_i \in f^{-1}(C_j)} w(A_i) \leq |C_j|$.

Proof. (\Rightarrow) Let T be a triangle partition of G . We construct the partition A and maps f, w . For each $T_i \in T$ such that $T_i \subseteq X$, add T_i to A and put $f(T_i) = \emptyset$ ($w(T_i)$ does not matter). This satisfies the desired conditions since $G[T_i]$ is a triangle. Let X' be the vertices of X that are in a triangle of T that also includes vertices in a clique of C . It remains to partition X' . For every clique C_j , consider the set $P_j = \{C_j \cap T_i : T_i \in T, |C_j \cap T_i| \in \{1, 2\}\} \setminus \{\emptyset\}$. Thus P_j contains the “portion of triangles” that involve C_j , and the other portion of each such triangle is in X' . Note that the sum of sizes of the sets of P_j must be a multiple of 3, say $3q$ for some integer q . In addition, assume P_j has x sets of size 1 and y sets of size 2. Then $3q = x + 2y$. (1) Repeatedly do the following process until $\min\{x, y\} = 0$: if there exist two elements $T_i \cap C_j, T_{i'} \cap C_j$ in P_j such that their sizes are 1 and 2, respectively, then add $B = (T_i \cup T_{i'}) \setminus C_j$ to A and both x, y decrease by 1. Moreover, put $f(B) = C_j$ and $w(B) = 3$; (2) Repeatedly do the following process until $x = 0$ ($y = 0$): if $x \neq 0$ (if $y \neq 0$), then, for any three elements $T_i \cap C_j, T_{i'} \cap C_j, T_{i''} \cap C_j$ in P_j , add $B = (T_i \cup T_{i'} \cup T_{i''}) \setminus C_j$ to A and x (y) decrease by 3. Moreover, put $f(B) = C_j$ and $w(B) = 6$ ($w(B) = 3$). We can decrease q by 1 during each repeat for the first step and the case $x \neq 0$ of the second step. For the case $y \neq 0$ of the second step, $3q = 2y$ means q is an even number, so we can decrease q by 2 during each repeat. Thus,

after the two steps, we have $3q = 0$ and all elements of P_j are properly arranged. Moreover, $|B| = 3$ and $G[B]$ has at least one edge in the process (1), $|B| = 3$ and $G[B]$ has no edge in the case $y \neq 0$ of the process (2), $|B| = 6$ and $G[B]$ has a matching of size 3 in the case $x \neq 0$ of the process (2). Since $w(B)$ in the processes always equals 3 times the decrease of q , $\sum_{A_i \in f^{-1}(C_j)} w(A_i) \leq 3q$, which is at most $|C_j|$.

(\Leftarrow) Suppose that A, f , and w as in the statement exist. We construct a triangle partition T . For each A_i such that $f(A_i) = \emptyset$, $G[A_i]$ is a triangle and we can add A_i to T . Consider A_i such that $f(A_i) = C_j$ for some j . Suppose that $|A_i| = 3$, with $A_i = \{x, y, z\}$. If $|A_i| = 3$ and $G[A_i]$ has at least one edge, say xy , add to T_i two triangles, one formed with xy and a vertex of C_j , the other formed with z and two vertices of C_j . This uses $w(A_i) = 3$ vertices of C_j . If $|A_i| = 3$ and $G[A_i]$ has no edge, add to T_i three triangles, one for each of x, y, z , each using two vertices of C_j . This uses $w(A_i) = 6$ vertices of C_j . Suppose that $|A_i| = 6$. Take a matching of size 3 in $G[A_i]$ and use the three edges to form three triangles, each edge of the matching using a vertex of C_j . This uses $w(A_i) = 3$ vertices of C_j . We do this for every A_i . Since $\sum_{A_i \in f^{-1}(C_j)} w(A_i) \leq |C_j|$ for every C_j , each clique has enough vertices to use for the above process. Moreover, every vertex of X is included in a triangle in the above process, since A_i partitions X . Finally, note that the above uses, for each C_j , a number of vertices that is a multiple of 3. Therefore, the unused vertices of each clique can be split into triangles. \square

Now, for each instance of TRIANGLE PARTITION(tc), we describe a corresponding instance of CONFLICT-FREE ASSIGNMENT, which is used to decide whether a partition as described above exists. We construct a graph $H = (B \cup S, E')$, functions p, w, c , conflicting pairs P and integer q . The vertices of B and S are in correspondence with some subsets of $V(G)$. First define $B = \binom{X}{3} \cup \binom{X}{6}$. Add to P the pair $\{b_1, b_2\} \in \binom{B}{2}$ if and only if the sets b_1 and b_2 have a non-empty intersection. For each $b \in B$, assign the profit $p(b) = |b|$ and the weight

$$w(b) = \begin{cases} 3 & \text{if } |b| = 3 \text{ and } G[b] \text{ has at least one edge;} \\ 6 & \text{if } |b| = 3 \text{ and } G[b] \text{ has no edge;} \\ 3 & \text{if } |b| = 6 \text{ and } G[b] \text{ has a matching of size 3;} \\ \infty & \text{otherwise.} \end{cases}$$

We next define S . First, add each clique C_i of C as a vertex of S . Then, for each triple $t = \{x, y, z\} \in \binom{X}{3}$ such that $G[t]$ is a triangle, add a vertex called D_t to S . Summarizing, we have $S = C \cup \{D_t : t \subseteq X \text{ and } G[t] \text{ induces a triangle}\}$. For each $C_i \in C$, put the capacity $c(C_i) = |C_i|$ and for each triangle t of X , put the capacity $c(D_t) = 3$. Now, define the edges E' of H . Consider $t \in \binom{X}{3} \cup \binom{X}{6}$, which is a vertex of B . If $G[t]$ is a triangle, add an edge from the vertex t in B to the vertex D_t in S . Next, assume $G[t]$ is not a triangle. Let $C(t) \subseteq C$ be the set of cliques of C that contain t in their neighborhood. Add an edge from $t \in B$ to every $C_i \in C(t)$ that is in S . Finally, define $q = |X|$. This completes the construction.

We show that G admits a triangle partition iff the constructed instance of CONFLICT-FREE ASSIGNMENT admits a solution. Suppose that G admits a partition T into triangles. Let A be a partition of X that satisfies Claim 2, with map f and weights w' . Construct a set $F \subseteq E'$ of edges of H as follows. We define

$$F = \{\{A_i, D_{A_i}\} : (A_i \in A) \wedge (f(A_i) = \emptyset)\} \cup \{\{A_i, f(A_i)\} : (A_i \in A) \wedge (f(A_i) \in C)\}$$

Clearly, each $b \in B$ is incident to at most one edge of F . Because A is a partition, no two A_i 's intersect, and thus no conflicting pair of vertices of B is incident to an edge of F . Consider each $s \in S$. If s does not incident with any edge in F , then $\sum_{b:bs \in F} w(b) = 0 < c(s)$. If s is some D_{A_i} such that $\{A_i, D_{A_i}\} \in F$, then $c(s) = 3$ since $G[A_i]$ is a triangle, and $\sum_{b:bs \in F} w(b) = w(A_i) = 3$ since $G[A_i]$ has at least one edge. Thus, $\sum_{b:bs \in F} w(b) \leq c(s)$. If s is some $f(A_i)$ such that $\{A_i, f(A_i)\} \in F$, then $\sum_{b:bs \in F} w(b) = \sum_{A_i \in f^{-1}(s)} w(A_i) = \sum_{A_i \in f^{-1}(s)} w'(A_i) \leq |s| = c(s)$ by Claim 2. Consider the profit of the assigned buyers. Since $q = |X|$, A partitions X , and $p(A_i) = |A_i|$ for each A_i , we have

$$\sum_{b \in V(F) \cap B} p(b) = \sum_{A_i \in A} p(A_i) = \sum_{A_i \in A} |A_i| = |X| = q.$$

Thus, F is a solution to CONFLICT-FREE ASSIGNMENT.

Conversely, suppose that there is a solution $F \subseteq E'$ to CONFLICT-FREE ASSIGNMENT. Next, we construct a partition A of X , a map f , and weights function w' that satisfies Claim 2. Let $A = \{A_i \in B : A_i \text{ is incident to an edge of } F\}$. By our construction of the conflicting pairs P , no two A_i 's intersect. Moreover, since the profit is at least $q = |X|$, the sum of sizes of the A_i 's must be $|X|$, and thus A partitions X . In addition, the size of each A_i is either 3 or 6 since the size of any element of B is 3 or 6. We define weight $w' : A \rightarrow \mathbb{N}$ as follows: for any $A_i \in A$, $w'(A_i) = w(A_i)$. We define map $f : A \rightarrow C \cup \{\emptyset\}$ as follows: if $A_i \in A$ and $G[A_i]$ is a triangle, then $f(A_i) = \emptyset$; if $A_i \in A$ and $G[A_i]$ is not a triangle, then $f(A_i) = C_j$ such that $C_j \in C$ and $\{A_i, C_j\} \in F$. Consider f and w' . Since $\emptyset \notin C$, $G[A_i]$ is

a triangle if $f(A_i) = \emptyset$. Assume $f(A_i) = C_j$ for some $C_j \in C$. Then, $\{A_i, C_j\} \in F \subseteq E$ and $A_i \subseteq N(C_j)$ in G . A_i must be one of the following three types: (1) $|A_i| = 3$ and $G[A_i]$ has at least one edge, (2) $|A_i| = 3$ and $G[A_i]$ has no edge, (3) $|A_i| = 6$ and $G[A_i]$ has a matching of size 3, otherwise, $w(A_i) = \infty$ and $w(A_i) > c(C_j)$, a contradiction. Let $|A_i| = 3$ and $G[A_i]$ has at least one edge. Then $w'(A_i) = w(A_i) = 3$. Let $|A_i| = 3$ and $G[A_i]$ has no edge. Then $w'(A_i) = w(A_i) = 6$. Let $|A_i| = 6$. Then, A_i must be the type (3). Thus, $G[A_i]$ has a matching of size 3 and $w'(A_i) = w(A_i) = 3$. According to the definitions of w' and f and the fact that each seller is assigned buyers of at most its capacity in H , we have, for each $C_j \in C$,

$$\sum_{A_i \in f^{-1}(C_j)} w'(A_i) = \sum_{A_i \in f^{-1}(C_j)} w(A_i) = \sum_{\{A_i, C_j\} \in F} w(A_i) \leq c(C_j) = |C_j|.$$

Thus, the constructed A , f , and w' satisfy the requirements of Claim 2.

Clearly, in the produced instance, the size of B is $\text{tc}^{O(1)}$. Based on Lemma 4 and the Karp reduction above, we have a compression from TRIANGLE PARTITION(tc) to CONFLICT-FREE ASSIGNMENT of size $|B|^{O(1)} = \text{tc}^{O(1)}$. Moreover, since CONFLICT-FREE ASSIGNMENT is in NP, there exists a Karp reduction from CONFLICT-FREE ASSIGNMENT to the NP-complete problem TRIANGLE PARTITION(tc). Thus, TRIANGLE PARTITION(tc) has a PK. \square

Theorem 15. CLIQUE(tc) has a PTK.

Proof. Given an instance (G, k) of CLIQUE(tc) together with a minimum twin-cover T of $G = (V, E)$. Then $G[V \setminus T]$ is a cluster. For every connected component C of $G[V \setminus T]$, produce a new instance $(G[T \cup \{v_C\}], k)$ for CLIQUE(tc), where $v_C \in V(C)$. The number of the connected components of $G[V \setminus T]$, which is at most $|V|$, equals the number of the produced new instances each of whose size equals $|T| + 1 = \text{tc} + 1$. Clearly, G has a clique of size at least k iff at least one of the produced new graphs $G[T \cup \{v\}]$ contains a clique of size at least $k - |V(C)| + 1$. \square

Theorem 16. VERTEX COVER(tc) and ODD CYCLE TRANSVERSAL(tc) have PKs.

Proof. Assume problem Q is either VERTEX COVER(tc) or ODD CYCLE TRANSVERSAL(tc). Given an instance (G, k) of Q together with a minimum twin-cover T of $G = (V, E)$. Assume C is a connected component (a complete graph) of $G[V \setminus T]$. Let Q be VERTEX COVER(tc). Since at least $|V(C)| - 1$ vertices of C are in the solution, we repeatedly do the following process for every C in $G[V \setminus T]$ with more than one vertex: delete all but one vertex of C and $k = k - |V(C)| + 1$. Now, parameter $|T|$ is at least the vertex cover number of G . Thus, we can obtain a 2tc kernel by using the kernelization for VERTEX COVER(vc) [17]. Let Q be ODD CYCLE TRANSVERSAL(tc). Suppose $|V(C)| \geq 3$. Since at least $|V(C)| - 2$ vertices of C are in the solution, we repeatedly do the following process for every C in $G[V \setminus T]$ with more than two vertices: delete all but two vertices of C from G , $k = k - |V(C)| + 2$. Now, parameter $|T|$ is at least the feedback vertex set number of G . Thus, we can obtain a polynomial kernel by using the kernelization for ODD CYCLE TRANSVERSAL in parameter feedback vertex set [20]. \square

Corollary 6. INDEPENDENT SET(tc) has a PK.

Appendix C. Parameterization by modular-width

We introduce the concept of *cross-composition* proposed in the paper [12], which is a technique for proving polynomial compression lower bounds.

Definition 4 (Polynomial equivalence relation [12]). An equivalence relation R on Σ^* is called a *polynomial equivalence relation* if the following two conditions hold:

1. there is an algorithm that given two strings $x, y \in \Sigma^*$ decides whether x and y belong to the same equivalence class in $(|x| + |y|)^{O(1)}$ time;
2. for any finite set $S \subseteq \Sigma^*$ the equivalence relation R partitions the elements of S into at most $(\max_{x \in S} |x|)^{O(1)}$ classes.

Definition 5 (And-cross-composition (or-cross-composition) [12]). Let $L \subseteq \Sigma^*$ be a set and let $Q \subseteq \Sigma^* \times \mathbb{N}$ be a parameterized problem. We say that L *and-cross-composes* (or *cross-composes*) into Q if there is a polynomial equivalence relation R and an algorithm which, given t strings x_1, \dots, x_t belonging to the same equivalence class of R , computes an instance $(y, k) \in \Sigma^* \times \mathbb{N}$ in time polynomial in $\sum_{i=1}^t |x_i|$ such that:

1. the instance (y, k) is yes for Q iff all instances x_i are yes for L (at least one instance x_i is yes for L);
2. the parameter value k is bounded by a polynomial in $\max_{i=1}^t |x_i| + \log t$.

Theorem 17 ([12]). *Let L be a NP-hard problem under Karp reductions. If L and/or-cross-composes into a parameterized problem Q , then Q does not admit a PC unless $NP \subseteq coNP/poly$.*

We provide an alternative definition of modular-width, which is more convenience for us to figure out the modular-width of some graphs. A module M is *maximal* if $M \subsetneq V$ and there are no modules M' such that $M \subsetneq M' \subsetneq V$. A module M is *strong* if, for any module M' , only one of the following conditions holds: (1) $M \subseteq M'$ (2) $M' \subseteq M$ (3) $M \cap M' = \emptyset$. Let $P \subseteq 2^V$ be a vertex partition of V . If P only includes modules of G , then P is a *modular partition*. For two modules M, M' of P , they are *adjacent* if all $v \in M$ are adjacent to all $v' \in M'$, and they are *non-adjacent* if no vertices of M are adjacent to a vertex of M' . A modular partition P that only contains maximal strong modules is a *maximal modular partition*. For a modular partition P of V , we define *quotient graph* $G_{/P} = (V_M, E_P)$ as follows. v_M represents the corresponding vertex of a module M of P . Vertex set V_M consists of v_M for all $M \in P$. For any $M, M' \in P$, edge $v_M v_{M'} \in E_P$ if M and M' are adjacent. All strong modules M of G can be represented by an inclusion tree $MD(G)$, which is called the *modular decomposition tree* of G . Each M is corresponding to a vertex v_M of $MD(G)$. The root vertex v_V of $MD(G)$ corresponds to V . Every leaf $v_{[v]}$ of $MD(G)$ corresponds a vertex v of G . For any two strong modules M and M' , $v_{M'}$ is a descendant of v_M in the inclusion tree iff M' is a proper subset of M . Consider an internal vertex v_M of $MD(G)$. If $G[M]$ is disconnected, then v_M is a *parallel* vertex. If $\overline{G[M]}$ is disconnected, then v_M is a *series* vertex. If both $G[M]$ and $\overline{G[M]}$ are connected, then v_M is a *prime* vertex. The *modular-width* of a graph G is the minimum number k such that the number of children of any prime vertex in $MD(G)$ is at most k .

Theorem 18. *CLIQUE(mw) does not admit a PC unless $NP \subseteq coNP/poly$.*

Proof. Let $\omega(G)$ represent the clique number of G . We provide an or-cross-composition from CLIQUE to CLIQUE(mw). Assume any two instances (G_1, k_1) , (G_2, k_2) of CLIQUE are equivalent under R iff $|V(G_1)| = |V(G_2)|$ and $|k_1| = |k_2|$. Obviously, R is a polynomial equivalence relation. Consider the or-cross-composition. Given t instances $(G_1, k), \dots, (G_t, k)$ of CLIQUE in an equivalence class of R , where $|V(G_i)| = n$ for all $i \in [t]$. Produce (G', k) in $O(tn^2)$ time, where $G' = \bigcup_{i=1}^t G_i$. Clearly, $mw(G') = \max_{1 \leq i \leq t} mw(G_i) \leq n$ and $\omega(G') = \max_{1 \leq i \leq t} \omega(G_i)$. Thus, at least one G_i with $\omega(G_i) \geq k$ iff $\omega(G') \geq k$. \square

Corollary 7. *INDEPENDENT SET(mw) and VERTEX COVER(mw) do not admit PCs unless $NP \subseteq coNP/poly$.*

Theorem 19. *CHROMATIC NUMBER(mw) does not admit a PC unless $NP \subseteq coNP/poly$.*

Proof. We can provide an and-cross-composition from CHROMATIC NUMBER to CHROMATIC NUMBER(mw). The reduction goes a similar way to that of Theorem 18, in which the output instance is the disjoint union of all the input instances, and the only difference is the use of *and*-cross-composition here. \square

Theorem 20. *HAMILTONIAN PATH(mw) does not admit a PC unless $NP \subseteq coNP/poly$.*

Proof. We and-cross-composes HAMILTONIAN PATH into HAMILTONIAN PATH(mw). Assume any two instances G_1, G_2 are equivalent under R iff $|V(G_1)| = |V(G_2)|$ and $mw(G_1) = mw(G_2)$. Clearly, R is a polynomial equivalence relation. Assume vertex set $X = \{v_1, \dots, v_{t-1}\}$. Consider the and-cross-composition. Given t instances G_1, \dots, G_t of HAMILTONIAN PATH in an equivalence class of R , where $G_i = (V_i, E_i)$, $|V_i| = n$ and $mw(G_i) = mw$ for all $i \in [t]$. Produce an instance $G = (V, E)$ in $O(tn^2)$ time, where $S = \bigcup_{i=1}^t V_i$, $V = S \cup X$, and $E = \bigcup_{i=1}^t E_i$ together with all possible edges uv such that $u \in S$ and $v \in X$. Clearly, the root v_V of the modular decomposition tree $MD(G)$ is series since \overline{G} is not connected. Moreover, v_V has two children v_X and v_S , each of which is a parallel vertex. Furthermore, all children of v_X are leaves of $MD(G)$ and all children of v_S are the roots of modular decomposition trees $MD(G_i)$ for all G_i . Therefore, $mw(G) = mw \leq n$. Next, we prove that every G_i contains a Hamiltonian path iff G contains a Hamiltonian path.

For the forward direction, suppose every G_i contains a Hamiltonian path L_i , and x_i, y_i are the ending vertices of L_i . For all $1 \leq i \leq t-1$, connect y_i of L_i with v_i , and connect v_i with x_{i+1} of L_{i+1} . Then, we obtain a new path $L = (V, E')$, where $E' = \bigcup_{i=1}^{t-1} (E(L_i) \cup \{y_i v_i, v_i x_{i+1}\}) \cup E(L_t)$. Clearly, L is a Hamiltonian path of G .

For the reverse direction, suppose G contains a Hamiltonian path $L = (V, E')$, where x, y are the ending vertices of L . For a subgraph S of G , L_S denotes the subgraph induced in L by $V(S)$. Assume L' is an induced subgraph of L , and $\sigma(L')$ denotes the number of all the edges of L with exactly one endpoint in L' . (Note that we consider only the edges in L whenever we use the function σ .) Clearly, $\sigma(L') = \sigma(L'')$ if L'' is the subgraph induced in L by $V \setminus V(L')$. Let H be a proper induced subgraph of G and $K = G - H$. Since $V(K) \neq \emptyset$ and L is connected, $\sigma(L_H) \geq 1$. Since the degree of any vertex of L is at most two, we have $\sigma(L_H) \leq 2|V(H)|$. More specifically, we consider the following three cases. First, assume $x, y \in V(H)$. K contains at least an internal vertex of L . So L_H contains at least two paths, each of which has at least one ending vertex that is adjacent to a vertex of K to ensure

the connectivity of L , so $\sigma(L_H) \geq 2$. The degrees of x, y are one in L , so $\sigma(L_H) \leq 2|V(H)| - 2$. Secondly, assume $x, y \in V(K)$. We have $2 \leq \sigma(L_H) \leq 2|V(H)|$ since $\sigma(L_H) = \sigma(L_K) \geq 2$. Moreover, L_H is a Hamiltonian path of H if $\sigma(L_H) = 2$. Thirdly, assume exactly one of x, y is in $V(H)$. Without loss of generality, suppose $x \in V(H)$. We have $1 \leq \sigma(L_H) \leq 2|V(H)| - 1$ since the degree of x in L is one. Moreover, L_H is a Hamiltonian path of H if $\sigma(L_H) = 1$. Now, consider the subgraphs G_1, \dots, G_t , and $G[X]$ of G . According to the construction of G , we always have $\sigma(L_{G[X]}) = \sum_{i=1}^t \sigma(L_{G_i})$. Assume $x, y \in X$. $\sigma(L_{G[X]}) \leq 2|X| - 2 \leq 2t - 4$, but $2t \leq \sum_{i=1}^t \sigma(L_{G_i})$ since $\sigma(L_{G_i}) \geq 2$ for all i , a contradiction. Assume exactly one of x, y is in X . Without loss of generality, assume $x \in X$ and $y \in V_1$. Then, we have $\sigma(L_{G[X]}) \leq 2|X| - 1 \leq 2t - 3$, but $2t - 1 \leq \sum_{i=1}^t \sigma(L_{G_i})$ since $\sigma(L_{G_1}) \geq 1$ and $\sigma(L_{G_i}) \geq 2$ for all $i \neq 1$, a contradiction. Suppose $x, y \in V \setminus X$. Then $\sigma(L_{G[X]}) \leq 2|X| \leq 2t - 2$. Assume $x \in V_j$ and $y \in V_k$, where $j, k \in [t]$. If $j = k$, then $x, y \in V_j$ and $\sigma(L_{G_i}) \geq 2$ for all i . Thus, we have $\sigma(L_{G[X]}) < 2t \leq \sum_{i=1}^t \sigma(L_{G_i})$, a contradiction. If $j \neq k$, then $\sigma(L_{G_j}) \geq 1$, $\sigma(L_{G_k}) \geq 1$, and $\sigma(L_{G_i}) \geq 2$ for all $i \neq j, k$. Thus, $\sigma(L_{G[X]}) \leq 2t - 2 \leq \sum_{i=1}^t \sigma(L_{G_i})$. Additionally, since $\sigma(L_{G[X]}) = \sum_{i=1}^t \sigma(L_{G_i})$, we have $\sum_{i=1}^t \sigma(L_{G_i}) = 2t - 2$. Consequently, $\sigma(L_{G_j}) = 1$, $\sigma(L_{G_k}) = 1$, and $\sigma(L_{G_i}) = 2$ for all $i \neq j, k$. Consider the subgraph G_j . Since $x \in G_j$ and $\sigma(L_{G_j}) = 1$, L_{G_j} is a Hamiltonian path of G_j . Similarly, L_{G_k} is a Hamiltonian path of G_k . Consider G_i for $i \neq j, k$. Since $x, y \notin G_i$ and $\sigma(L_{G_i}) = 2$, L_{G_i} is a Hamiltonian path of G_i . \square

A PPT reduction from HAMILTONIAN PATH(mw) to HAMILTONIAN CYCLE(mw) is a routine: add a vertex v as well as the edges between v and all vertices of the input graph to form the output graph.

Corollary 8. HAMILTONIAN CYCLE(mw) does not admit a PC unless $NP \subseteq coNP/poly$.

Inspired by the use of refinement problems in [33], we define VERTEX COVER REFINEMENT as follows: the input is a graph G and a vertex cover set C of G , decide whether G has a vertex cover set of size $|C| - 1$.

Lemma 5. VERTEX COVER REFINEMENT is NP-hard under Karp reduction.

Proof. We provide a Karp reduction from VERTEX COVER to it. Given an instance (G', k) of VERTEX COVER, where $G = (V', E')$. Without loss of generality, we may assume $k < |V'| - 1$. Construct a new graph $G = (V, E)$, where V is the union of V' and a new set V'' with $|V'| - 1 - k$ vertices, and E consists of all edges of E' and all uv such that $u \in V'$ and $v \in V''$. Clearly, V' is a vertex cover of G . Hence, (G, V') is an instance of VERTEX COVER REFINEMENT. Assume (G', k) is a yes instance of VERTEX COVER. There is a vertex cover S' of G' such that $|S'| \leq k$. Furthermore, $S' \cup V''$ with at most $k + |V'| - 1 - k = |V'| - 1$ vertices is a vertex cover of G . For the other direction, assume (G, V') is a yes instance of VERTEX COVER REFINEMENT. There is a vertex cover S of G such that $|S| \leq |V'| - 1$. Thus, at least one vertex u of V' is not in S . For any $v \in V''$, uv is not covered by S if v is not in S . Thus, all vertices of V'' are included in S , and G' has a vertex cover of size $|S| - |V''| \leq k$. \square

Theorem 21. CONNECTED VERTEX COVER(mw) does not admit a PC unless $NP \subseteq coNP/poly$.

Proof. We or-cross-composes VERTEX COVER REFINEMENT into CONNECTED VERTEX COVER(mw). Assume any two instances (G_1, C_1) , (G_2, C_2) are equivalent under R iff $|V(G_1)| = |V(G_2)|$, $|C_1| = |C_2|$, and $mw(G_1) = mw(G_2)$. Clearly, R is a polynomial equivalence relation.

Consider the or-cross-composition. Given t instances $(G_1, C_1), \dots, (G_t, C_t)$ of VERTEX COVER REFINEMENT in an equivalence class of R , where $|V(G_i)| = n$, $|C_i| = k$, and $mw(G_i) = mw$ for all $i \in [t]$. Produce an instance (G', kt) in $O(tn^2)$ time, where $G' = (V', E')$, $V' = \bigcup_{i=1}^t V(G_i) \cup \{v\}$, and E' equals $\bigcup_{i=1}^t E(G_i)$ together with all possible vw for $w \in V' \setminus \{v\}$. Clearly, $mw(G') = mw \leq n$. For one direction, assume at least one input instance is yes, say (G_t, C_t) . There exists a vertex set C with size at most $k - 1$ that is a vertex cover of G_t . Clearly, $C' = C_1 \cup \dots \cup C_{t-1} \cup C \cup \{v\}$ with at most kt vertices is a connected vertex cover of G' . For the other direction, assume (G', kt) is a yes instance. There exists a set C' with at most kt vertices that is a connected vertex cover of G' . If $k \geq n$, then any $k - 1$ vertices of G_i are a vertex cover of G_i for every i . If $k \leq n - 1$, then v is in C' , otherwise we need all vertices of $V(G') \setminus \{v\}$, which includes $nt > kt$ vertices, to cover all the edges that are incident with v . Thus, $C' \setminus \{v\}$ with at most $kt - 1$ vertices comes from the subgraphs G_1, \dots, G_t of G' and covers the edges inside these subgraphs. As a result, there is at least one G_i containing a vertex cover of size at most $k - 1$. \square

We define a new problem named FEEDBACK VERTEX SET REFINEMENT as follows: the input is a graph G and a feedback vertex set F of G , decide whether G has a feedback vertex set of size $|F| - 1$?

Lemma 6. FEEDBACK VERTEX SET REFINEMENT is NP-hard under Karp reduction.

Proof. The original problem of the Karp reduction is FEEDBACK VERTEX SET. Given an instance (G, k) of FEEDBACK VERTEX SET, where the graph $G = (V, E)$ and the vertex set $V = \{v_1, \dots, v_n\}$. Without loss of generality, assume $k < n - 1$. Construct a graph $G' = (V', E')$ as follows. First, add G and vertex sets $U = \{u_1, \dots, u_{n-1-k}\}$, $W = \{w_{i,j} \mid$

$1 \leq i \leq n-1-k$ and $1 \leq j \leq n$ into G' . Secondly, for every u_i of U , connect u_i with all vertices of G . Thirdly, for every edge $u_i v_j$, connect $w_{i,j}$ with the two endpoints of $u_i v_j$. Clearly, V is a feedback vertex set of G' . Thus, (G', V) is an instance of FEEDBACK VERTEX SET REFINEMENT. Assume (G, k) is a yes instance. There exists a feedback vertex set F of G such that $|F| \leq k$. Suppose forest T is the subgraph in G induced by $V \setminus F$. The subgraph in G' induced by $V' \setminus (F \cup U)$ is a forest which is generated from T by adhering $n-1-k$ leaf vertices $w_{1,j}, w_{2,j}, \dots, w_{n-1-k,j}$ to each vertex v_j of T . Therefore, $F \cup U$ with size at most $n-1$ is a feedback vertex set of G' . For the other direction, assume (G', V) is a yes instance. There is a feedback vertex set F' of G' such that $|F'| \leq n-1$. For all u_i , they are included in n triangles of G , which are $u_i - w_{1,1} - v_1, u_i - w_{1,2} - v_2, \dots, u_i - w_{1,n} - v_n$. If u_i is not in F' , then F' contains at least one vertex of each triangle other than u_i . In addition, apart from u_i , the vertices of all the n triangles are different. Thus, F' contains at least n vertices, a contradiction. Thus, $U \subseteq F'$ and G has a feedback vertex set of size $|F'| - |U| \leq k$. \square

Theorem 22. FEEDBACK VERTEX SET(mw) does not admit a PC unless $NP \subseteq coNP/poly$.

Proof. We or-cross-compose FEEDBACK VERTEX SET REFINEMENT into FEEDBACK VERTEX SET(mw). Assume any two instances $(G_1, F_1), (G_2, F_2)$ are equivalent under R iff $|V(G_1)| = |V(G_2)|$, $|F_1| = |F_2|$, and $mw(G_1) = mw(G_2)$. Clearly, R is a polynomial equivalence relation. Consider the or-cross-composition. Suppose $F_{fvs}(G)$ denotes the feedback vertex number of G . Given t instances $(G_1, F_1), \dots, (G_t, F_t)$ of FEEDBACK VERTEX SET REFINEMENT in an equivalence class of R , where $|V(G_i)| = n$, $|F_i| = k$, and $mw(G_i) = mw$ for all $i \in [t]$. Produce an instance $(G, kt-1)$ in $O(tn^2)$ time, where $\bigcup_{i=1}^t G_i = G = (V, E)$. Clearly, $mw(G) = mw \leq n$ and $F_{fvs}(G) = \sum_{i=1}^t F_{fvs}(G_i)$. Thus, $F_{fvs}(G_i) \leq k-1$ for at least one G_i iff $F_{fvs}(G) \leq kt-1$. \square

We define a new problem named ODD CYCLE TRANSVERSAL REFINEMENT as follows: the input is a graph G and an odd cycle transversal O of G , decide whether G has an odd cycle transversal of size $|O| - 1$?

Theorem 23. ODD CYCLE TRANSVERSAL(mw) has no PCs unless $NP \subseteq coNP/poly$.

Proof. We first show that ODD CYCLE TRANSVERSAL REFINEMENT is NP-hard under Karp reduction. The process is in the same way as that of Theorem 6. Then, we demonstrate that ODD CYCLE TRANSVERSAL(mw) does not admit a PC unless $NP \subseteq coNP/poly$. The process is in the same way as that of Theorem 22. \square

INDUCED MATCHING is NP-complete [31]. The size of an induced matching is the number of edges of the induced matching. We define a new problem named INDUCED MATCHING REFINEMENT as follows: the input is a graph G and an induced matching of G whose size is k , decide whether G has an induced matching of size $k+1$?

Lemma 7. INDUCED MATCHING REFINEMENT is NP-hard under Karp reduction.

Proof. The original problem of the Karp reduction is INDUCED MATCHING. Given an instance (G, k) of INDUCED MATCHING, where $G = (V, E)$ and $V = \{v_1, \dots, v_n\}$. Without loss of generality, we may assume $2 \leq k \leq 0.5n$. Construct a graph $G' = (V', E')$ as follows. First, add G and all vertices of the sets $U = \{u_1, \dots, u_n\}$, $W = \{w_1, \dots, w_n\}$, $X = \{x_1, \dots, x_{n-k+1}\}$ into G' . Then, for every u_i of U , connect u_i with all vertices of $V \cup \{w_i\}$. Finally, connect x_i with w_i for every $i \in [n-k]$ and connect x_{n-k+1} with all vertices of $\{w_{n-k+1}, \dots, w_n\}$. Clearly, edge set $Y = \{u_1 w_1, \dots, u_n w_n\}$ is an induced matching of G' . Thus, (G', Y) is an instance of INDUCED MATCHING REFINEMENT of size n .

Suppose (G, k) is a yes instance. There exists $I \subseteq V$ with $2k$ vertices such that the subgraph induced by I is a matching of size k . Consider graph G' . Suppose $W' = \{w_1, \dots, w_{n-k+1}\}$. The subgraph induced in G' by $I \cup W' \cup X$ is a matching with size $k + (n-k+1) = n+1$. Thus, (G', Y) is a yes instance of INDUCED MATCHING REFINEMENT. For the other direction, assume (G', Y) is a yes instance. There exists $I' \subseteq V'$ with $2(n+1)$ vertices such that the subgraph induced by I' is a matching of size $n+1$. We first use proof by contradiction to show that $I' \cap U$ is an empty set as follows. Suppose $I' \cap U$ contains a vertex u_i . Then $N(u_i) \cap I'$ are either $\{w_i\}$ or $\{v\}$, where $v \in V$. Assume $N(u_i) \cap I' = \{v\}$. Then $I' \subseteq L = V' \setminus N(\{u_i, v\})$ and the maximum induced matching (MIM) of $G'[L]$ equals that of G' . Obviously, if $1 \leq i \leq n-k$, then the size of the MIM of $G'[L]$ is $n-k+1 \leq n-1$. If $n-k+1 \leq i \leq n$, then the size of the MIM of $G'[L]$ is $n-k+2 \leq n$. This is a contradiction. Assume $N(u_i) \cap I' = \{w_i\}$. Then $I' \subseteq L = V' \setminus N(\{u_i, w_i\})$ and the MIM of $G'[L]$ equals that of G' . Clearly, the size of the MIM of $G'[L]$ is n , a contradiction. Now, we know $I' \subseteq V \cup W \cup X$. Clearly, the subgraph induced in G' by $V \cup W \cup X$ consists of $n-k$ independent edges, the subgraph G , and a star with k degrees. Since G' has an induced matching of size $n+1$ and the size of the MIM of a star is at most one, there is an induced matching of G whose size is at least $(n+1) - (n-k) - 1 = k$. Hence, (G, k) is a yes instance of INDUCED MATCHING. \square

Theorem 24. INDUCED MATCHING(mw) does not admit a PC unless $NP \subseteq coNP/poly$.

Proof. We can provide an or-cross-composition from INDUCED MATCHING REFINEMENT to INDUCED MATCHING(mw). The reduction goes the same way as that of Theorem 22, in which the output instance is the disjoint union of all the input instances. \square

We define DOMINATING SET REFINEMENT problem, which is NP-complete [33], as follows: the input is a graph G and a dominating set D of G , decide whether G has a dominating set of size $|D| - 1$?

Theorem 25. DOMINATING SET(mw) does not admit a PC unless $NP \subseteq coNP/poly$.

Proof. We can provide an or-cross-composition from DOMINATING SET REFINEMENT to DOMINATING SET(mw). The reduction goes the same way as that of Theorem 22, in which the output instance is the disjoint union of all the input instances. \square

Appendix D. Problem zoo

We provide problem statements for all problems discussed in this paper below.

CHROMATIC NUMBER

Input: A graph G and an integer k .

Question: Do there exist at most k colors to color the vertices of G such that no two adjacent vertices share the same color?

CLIQUE

Input: A graph G and an integer k .

Question: Does there exist a set X of at least k vertices of G such that any two vertices in X are adjacent?

CONNECTED DOMINATING SET

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that $G[X]$ is connected and every vertex not in X is adjacent to at least one member of X ?

CONNECTED VERTEX COVER

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that $G[X]$ is connected and every edge of G has at least one end point in X ?

DOMINATING SET

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that every vertex not in X is adjacent to at least one member of X ?

HAMILTONIAN CYCLE

Input: A graph G .

Question: Does there exist a cycle of G that visits each vertex of G exactly once?

HAMILTONIAN PATH

Input: A graph G .

Question: Does there exist a path of G that visits each vertex of G exactly once?

FEEDBACK VERTEX SET

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that $G - X$ is a forest?

INDEPENDENT SET

Input: A graph G and an integer k .

Question: Does there exist a set X of at least k vertices of G such that any two vertices in X have no edge?

INDUCED MATCHING

Input: A graph G and an integer k .

Question: Does there exist a set X of $2k$ vertices of G such that the subgraph induced by X is a matching consisting of k edges?

ODD CYCLE TRANSVERSAL

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that $G - X$ is a bipartite graph?

TRIANGLE PARTITION

Input: A graph G and an integer k .

Question: Does G contain $k/3$ vertex disjoint triangles whose union includes every vertex of G ?

STEINER TREE

Input: A graph G , a set of terminals $K \subseteq V(G)$, and an integer k .

Question: Does there exist a connected subgraph of G that contains at most k edges and contains all vertices of K ?

VERTEX COVER

Input: A graph G and an integer k .

Question: Does there exist a set X of at most k vertices of G such that every edge of G has at least one end point in X ?