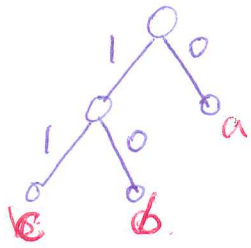


4. (a)  $\{ \underbrace{0}_a, \underbrace{10}_b, \underbrace{11}_c \}$



①  $f_a = \frac{1}{2}, f_b = \frac{1}{4}, f_c = \frac{1}{4}$

②  $f_a = \frac{5}{8}, f_b = \frac{1}{8}, f_c = \frac{1}{4}$

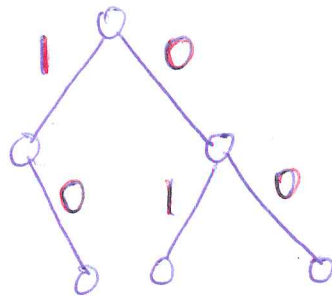
⋮

Just make sure  $f_a + f_b + f_c = 1$   
 $f_b$  and  $f_c$  least frequency.

(b).  $\{ 0, 1, 00 \}$

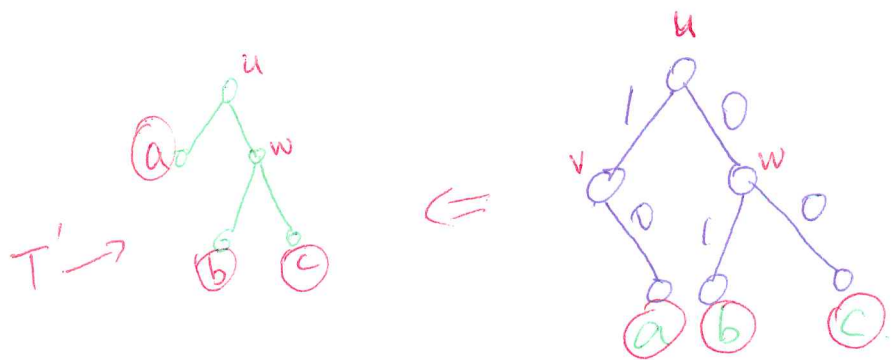
0 is prefix of 00, this is not  
 prefix-free code.

(c).  $\{ 10, 01, 00 \}$



← This is not a  
 full binary tree!

Lecture slides := Huffman-code, check page 10!  
 a full tree may not necessarily represent optimal code,  
 but optimal code must be full binary tree, why?



$\leftarrow T$

$$\text{cost}(T) = f_a \times 2 + f_b \times 2 + f_c \times 2$$

Suppose  $T$  is binary tree of optimal prefix code and is not Full.

Delete " $v$ ", let " $a$ " be the child of

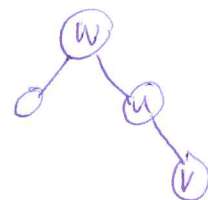
" $u$ ",  $\text{cost}(T') = \sum_{i=1}^n f_i \times \text{depth}_T(C_i)$  decrease!

$$\text{cost}(T') = f_a \times 1 + f_b \times 2 + f_c \times 2 < \text{cost}(T)$$

clearly, the new tree  $T'$  has smaller cost than  $T$ , contradiction!

General proof: case [1]:  $u$  is the root.

delete  $u$  and use  $v$  as the root.



case [2]:  $u$  is not the root.

- assume  $w$  be the parent of  $u$ .
- delete  $u$  and make  $v$  be a child of  $w$  in place of  $u$ .

In both case, the cost will decrease!

the new tree  $T'$  has smaller cost encoding than  $T$ , contradict to our assumption that  $T$  is the binary tree of optimal prefix code,