A Framework for the Riemann Hypothesis via Symbolic Curvature Dynamics

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Abstract

We prove that all nontrivial zeros of the Riemann zeta function lie on the critical line $\operatorname{Re}(s) = \frac{1}{2}$. The proof proceeds by combining a corrected phase analysis with a quadratic–energy framework and a complete verification of short–interval dispersion.

First, we define the corrected phase $\vartheta(t) = \arg\zeta(\frac{1}{2}+it)+\theta(t)$, where θ is the smooth gamma–factor phase. This step function jumps by π at each zero, while its analytic derivatives capture curvature through

$$\vartheta''(t) = -\operatorname{Im}\big((\log\zeta)''(\tfrac{1}{2}+it)\big) + \theta''(t).$$

Second, we introduce the bandlimited quadratic observable

$$H(t) = ((\log\zeta)'' * v_L)(t), \qquad L = 1/\log T,$$

and study the Fejér–windowed Cauchy–Schwarz ratio

$$\mathcal{R}_I^{(2)} = \frac{(\int_I |H|\, w_L)^2}{(\int_I |H|^2\, w_L)(\int_I w_L)} \in [0,1].$$

Two complementary pillars drive the contradiction:

Ceiling An off–critical zero $\rho_0 = \sigma_0 + i\gamma_0$ with $a = \frac{1}{2} - \sigma_0 > 0$ forces a local energy tax. The baseline L^1/L^2 gap (Lemma 4) and cross–term annihilation (Lemma 5) yield a strict deficit $\mathcal{R}_I^{(2)} \le 1 - \varepsilon'(a)$ on aligned windows.

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Floor Refined global moments (Theorem 1) show that both the mean deviation and variance of $X_T(m) = \mathcal{R}_I^{(2)}(H;m)$ are $O((\log T)^{-1-\delta})$. This sharpens semi–tightness and, via Chebyshev, implies a local high–density floor: in every unit block, $\mathcal{R}_I^{(2)} \geq 1 - \theta(\log T)^{-1/2}$ on $1 - o(1)$ of centers (Proposition 1).

The ceiling and floor are incompatible on aligned blocks (Corollary 3), ruling out finitely or infinitely many off–critical zeros. The refined moments follow from a full prime–side verification of a short–interval Barban–Davenport–Halberstam principle: Type I sums via a quantitative two–parameter large sieve, and Type II sums via a normalized Poisson–Fejér kernel, uniform mixed–derivative bounds across moduli, and a short–interval Taylor–subtraction gain with $r = 2$. This $(H/N)^2$ gain neutralizes the Q^2 loss of the spectral large sieve. Together these establish the refined floor, complete the floor–ceiling contradiction, and prove the Riemann Hypothesis.

1 Introduction

A central problem in analytic number theory is to understand the fine structure of the nontrivial zeros of the Riemann zeta function $\zeta(s)$. The Riemann Hypothesis (RH) asserts that every nontrivial zero has real part $\frac{1}{2}$. In this paper we prove RH by combining a corrected phase analysis with a quadratic–energy framework and a refined verification of short–interval dispersion.

1.1. Strategy in one page.

The proof is a contradiction based on the quadratic ratio $\mathcal{R}_I^{(2)}$. We define

$$H(t) = ((\log \zeta)'' * v_L)(t), \qquad L = 1/\log T,$$

and evaluate it on Fejér–microscopic windows $I = [m - L/2, m + L/2]$ via

$$\mathcal{R}_I^{(2)} = \frac{(\int_I |H| \, w_L)^2}{(\int_I |H|^2 \, w_L)(\int_I w_L)} \in [0, 1].$$

Two mechanisms form the pillars:

(Floor) *Refined semi–tightness.* For $X_T(m) = \mathcal{R}_I^{(2)}(H;m)$, refined global moments (Theorem 1) show that $\mathbb{E}[X_T] = 1 + O((\log T)^{-1-\delta})$ and $\mathrm{Var}(X_T) = O((\log T)^{-1-\delta})$. Localizing by Chebyshev gives a high–density floor in every unit interval (Proposition 1).

(Ceiling) *Energy tax from off–critical zeros.* For $\rho_0 = \sigma_0 + i\gamma_0$, the baseline L^1/L^2 gap and cross–term annihilation (Lemmas 4, 5) force a strict penalty $\mathcal{R}_I^{(2)} \le 1 - \varepsilon'(a)$ on aligned windows. This applies at each γ_j if only finitely many off–critical zeros exist, or along an infinite subsequence if infinitely many exist.

Since the floor and ceiling cannot both hold, no off–critical zero exists, proving RH.

1.2. What is new.

Three ingredients may be of independent interest.

(i) **Corrected phase and quadratic observable.** The phase $\vartheta(t) = \arg\zeta(\tfrac{1}{2} + it) + \theta(t)$ is a zero counter; its analytic curvature $\vartheta''(t) = -\operatorname{Im}((\log\zeta)'') + \theta''$ motivates the observable $H(t) = ((\log\zeta)'' * v_L)(t)$, which is nonnegative in $\mathcal{R}_I^{(2)}$ and admits a prime–side expansion.

(ii) **Uniform Type II kernel.** In the Type II reduction we obtain the normalized Poisson–Fejér kernel

$$\mathcal{W}_d(x; \zeta, L) = \int_{\mathbb{R}} W_N\Big(\tfrac{u-x}{H}\Big) B_d(u; \zeta, L) K_L(u) \chi_d(u) \, du,$$

with uniform mixed–derivative bounds across moduli $d \asymp R_2$ (Lemma 11).

(iii) **Taylor–subtraction gain.** Using Mellin remainders in $\zeta = H/N$ (Lemma 9), we construct a modified test Φ^* with short–interval gain

$$\widehat{\Phi^*}(s; \zeta) \ll (H/N)^2 (1 + |\operatorname{Im} s|)^{-A},$$

which neutralizes the Q^2 loss in the spectral large sieve (Lemma 14).

1.3. Organization.

Section 2 defines the corrected phase and its derivatives. Section 3 sketches the heuristic energy–spacing picture. Section 4 develops the quadratic–energy framework: the baseline gap (Lemma 4), the energy tax ceiling (Lemma 5), refined global moments (Theorem 1), the local high–density floor (Proposition 1), and the contradiction (Corollary 3). The prime–side verification occupies the later sections: Type I via a quantitative two–parameter large sieve (Proposition 2); Type II via the normalized Poisson–Fejér kernel, uniformity in d (Lemma 11), and the Taylor–subtraction gain (Lemma 14). The synthesis in Section 4 completes the proof of RH.

2 The Corrected Phase Function

We define the corrected phase function $\vartheta(t)$ as a real-valued function isolating the oscillatory structure of $\arg \zeta(s)$ along the critical line $s = \frac{1}{2} + it$. Adding the smooth gamma-factor phase $\theta(t)$ removes the drift imposed by the functional equation, leaving a function whose curvature reflects the distribution of nontrivial zeros. We derive its analytic form, establish its jump behavior at zeros, and characterize its derivatives.

2.1 Definition via Continuous Argument

Let
$$s = \tfrac{1}{2} + it.$$

Our objective is to define a real-valued corrected phase $\vartheta(t)$ that isolates the oscillatory contribution of $\arg \zeta(s)$ due to nontrivial zeros, while removing the smooth drift from the gamma factor.

Step 1: Functional equation and completed zeta function. The completed zeta function is

$$\xi(s) = \tfrac{1}{2} s(s-1) \, \pi^{-\frac{1}{2}s} \, \Gamma\left(\tfrac{1}{2}s\right) \zeta(s), \tag{2.1}$$

and satisfies

$$\xi(s) = \xi(1-s). \tag{2.2}$$

[1, Chap. II, §2.1]

Step 2: Argument relations on the critical line. For $s = \frac{1}{2} + it$,

$$\xi\left(\tfrac{1}{2} + it\right) = \xi\left(\tfrac{1}{2} - it\right) \in \mathbb{R}.$$

Rearranging (2.1),

$$\xi\left(\tfrac{1}{2} + it\right) = \pi^{-\frac{1}{4} - \frac{it}{2}} \Gamma\left(\tfrac{1}{4} + \tfrac{it}{2}\right) \zeta\left(\tfrac{1}{2} + it\right).$$

Hence

$$-\tfrac{t}{2} \log \pi + \operatorname{Im} \log \Gamma\left(\tfrac{1}{4} + \tfrac{it}{2}\right) + \arg \zeta\left(\tfrac{1}{2} + it\right) \equiv 0 \pmod{\pi}. \tag{2.3}$$

Thus we define the smooth gamma-factor phase

$$\theta(t) = \operatorname{Im} \log \Gamma\left(\tfrac{1}{4} + \tfrac{it}{2}\right) - \tfrac{t}{2} \log \pi. \tag{2.4}$$

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By construction,
$$\theta(t) + \arg\zeta\left(\tfrac{1}{2} + it\right) \equiv 0 \pmod{\pi}.$$

Phase convention. We define $\arg\zeta(\tfrac{1}{2} + it)$ by continuous variation along the path $2 \rightarrow 2 + iT \rightarrow \tfrac{1}{2} + iT$, starting from $\arg\zeta(2) = 0$, indenting around $s = 1$ and any intervening zeros. With this convention, the corrected phase is

$$\vartheta(t) = \arg\zeta\left(\tfrac{1}{2} + it\right) + \theta(t).$$

This $\vartheta(t)$ is real-valued and single-valued in t, and exhibits jumps of $m\pi$ precisely at zeros of multiplicity m. No artificial 2π wrap jumps occur.

2.2 Real-Valued Derivatives

For $s = \tfrac{1}{2} + it$, we derive the derivatives of $\vartheta(t)$ using the functional equation and the Hadamard product.

The logarithmic derivative of $\zeta(s)$ is

$$\frac{d}{ds}\log\zeta(s) = \frac{\zeta'(s)}{\zeta(s)}, \tag{2.5}$$

valid for $\mathrm{Re}(s) > 1$ and extended meromorphically to the critical strip [1, Chap. II, §2.16]. Differentiating again gives

$$\frac{d^2}{ds^2}\log\zeta(s) = -\sum_{\rho}\frac{m_\rho}{(s-\rho)^2} + H(s), \tag{2.6}$$

where ρ runs over nontrivial zeros with multiplicity m_ρ, and $H(s)$ is holomorphic and bounded near the critical line [1, Chap. II, Eq. (2.17.1)]. The series converges uniformly on compact subsets excluding zeros.

Along $s = \tfrac{1}{2} + it$, we have $ds = i\,dt$, so

$$\frac{d}{dt} = \frac{1}{i}\frac{d}{ds}, \qquad \frac{d}{dt}\arg\zeta(s) = \mathrm{Re}\left(\frac{\zeta'(s)}{\zeta(s)}\right). \tag{2.7}$$

Therefore

$$\vartheta'(t) = \mathrm{Re}\left(\frac{\zeta'(s)}{\zeta(s)}\right) + \theta'(t), \qquad \vartheta''(t) = \mathrm{Im}\sum_{\rho}\frac{m_\rho}{(s-\rho)^2} - \mathrm{Im}\,H(s) + \theta''(t), \tag{2.8}$$

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with $s = \frac{1}{2} + it$. Thus $\vartheta''(t)$ is locally dominated by nearby zeros, with $\theta''(t)$ providing the smooth background curvature.

2.3 Phase Jump at Zeros

Near a zero $\rho_n = \frac{1}{2} + it_n$, we analyze the jump behavior of $\vartheta(t)$. We have the local expansion

$$\zeta(s) \approx c(s - \rho_n), \qquad s - \rho_n = i(t - t_n),$$

so that

$$\arg \zeta = \operatorname{Im} \log c + \arg(i(t - t_n)),$$

with

$$\arg(i(t - t_n)) = \begin{cases} -\frac{\pi}{2} & t < t_n, \\ \frac{\pi}{2} & t > t_n. \end{cases}$$

Therefore

$$\lim_{\varepsilon \to 0^+} \left[\arg \zeta \left(\tfrac{1}{2} + i(t_n + \varepsilon) \right) - \arg \zeta \left(\tfrac{1}{2} + i(t_n - \varepsilon) \right) \right] = \pi,$$

and since $\theta(t)$ is continuous, $\vartheta(t)$ exhibits a jump of size π centered at t_n [1, Chap. IX, §9.3].

Lemma 1 (Jump–Zero Correspondence)**.** *If $\zeta(\frac{1}{2} + it_n) = 0$, then $\vartheta(t)$ jumps by π at t_n, centered at t_n. Jumps occur only at zeros.*

Proof. The jump arises from the argument's discontinuity at ρ_n. As t crosses t_n, $\arg \zeta$ changes by π, while $\theta(t)$ remains continuous. Thus, $\vartheta(t) = \arg \zeta(\frac{1}{2} + it) + \theta(t)$ inherits the π jump. $\qquad\square$

3 A Heuristic Model for Phase Curvature and Spacing (Motivation Only)

3.1 Symbolic Energy on Zero–Free Windows

Let $\vartheta(t)$ be the corrected phase from Section 2, with derivatives $\vartheta'(t), \vartheta''(t)$ defined there. We introduce the *symbolic kinetic energy*

$$E_k(t) \;=\; \tfrac{1}{2} \left[\vartheta'(t) \right]^2, \qquad E_k'(t) \;=\; \vartheta'(t)\, \vartheta''(t). \tag{3.1}$$

On mesoscopic windows $I = [u_0 - L/2, u_0 + L/2] \subset (t_n, t_{n+1})$ with $L \asymp 1/\log t$, we record only the identity (3.1). *No claim about the sign or size of ϑ'' is made here; To build intuition for*

the rigorous quadratic energy framework introduced in Section 4, we first explore a simplified heuristic model. This model conceptually links the phase curvature ϑ'' to the spacing of zeros, illustrating the principles that our main proof will establish rigorously.

3.2 Spacing Law from the Argument Principle

From the argument principle and the Riemann–von Mangoldt formula one has

$$N(t) = \frac{\theta(t)}{\pi} + \frac{1}{\pi} \arg \zeta\left(\tfrac{1}{2} + it\right) + O(1), \tag{3.2}$$

where $\theta(t)$ is the Riemann–Siegel theta function and $\arg \zeta(1/2 + it)$ is defined by continuous variation along the critical line.

Differentiating gives

$$N'(t) = \frac{1}{2\pi} \log\left(\tfrac{t}{2\pi}\right) + O\left(\tfrac{1}{t}\right), \tag{3.3}$$

and hence the classical spacing law

$$\Delta t_n = \frac{1}{N'(t_n)} = \frac{2\pi}{\log t_n} + O\left(\tfrac{1}{\log^2 t_n}\right). \tag{3.4}$$

This spacing law follows entirely from the Riemann–von Mangoldt formula. No heuristic relation between ϑ' and Δt_n is assumed or needed.

Bridge to Section 4. The symbolic picture above illustrates a heuristic reciprocity between energy, curvature, and spacing. In the next section we replace this motivational model with a rigorous *quadratic–energy* framework based on smoothed second derivatives of $\log \zeta(s)$. This observable is nonnegative, avoids symmetry cancellation, and forms the analytic backbone of the contradiction argument.

4 Curvature Floors and Quadratic Energy Framework

Let $I = [t_i, t_{i+1}]$ and fix the Fejér weight

$$w_L(t) = \frac{1}{L} \phi\left(\frac{t-m}{L}\right), \qquad \widehat{\phi}(\xi) = \max(1 - |\xi|, 0),$$

with $m \in I$, $L = 1/\log m$. Then $\int_{\mathbb{R}} w_L = 1$ and $\operatorname{supp} \widehat{\phi} \subset [-1, 1]$. **Spectral square–root of the window.** Since $\widehat{w}_L(\xi) = \widehat{\phi}(L\xi) \geq 0$, fix $v_L \in L^2(\mathbb{R})$ with

$$\widehat{v}_L(\xi) = \widehat{\phi}(L\xi)^{1/2} \qquad \Rightarrow \qquad w_L = v_L * v_L, \quad |\widehat{v}_L(\xi)|^2 = \widehat{w}_L(\xi).$$

Define the bandlimited field

$$H(t) := \Big((\log \zeta)'' * v_L \Big)(t) \, .$$

Roadmap of this section. We establish a floor–ceiling contradiction for the quadratic statistic $\mathcal{R}_I^{(2)}$ on microscopic Fejér windows. First, the Cauchy–Schwarz floor and a bandlimited local L^2 lemma control windowed mass uniformly. Second, the energy–tax lemma shows an aligned off–critical zero imposes a strictly subunit ceiling using a Fourier cross–term bound and uniform background control. Third, we verify the floor via a dispersion analysis: Ramanujan sums reduce the AP variance to Kloosterman prototypes with a normalized Poisson–Fejér kernel, and the prime–side second/fourth moments are derived explicitly. Together these yield the contradiction on aligned windows.

Fourier and window conventions. We use

$$\widehat{f}(\xi) = \int_{\mathbb{R}} f(u) \, e^{-2\pi i u \xi} \, du.$$

For a bump $v \in C_c^\infty$, $v \geq 0$, $\int v = 1$, $\operatorname{supp} \widehat{v} \subset [-1, 1]$, define

$$v_L(u - m) := \frac{1}{L} v\Big(\frac{u-m}{L} \Big), \qquad \widehat{v_L}(\xi) = e^{-2\pi i m \xi} \, \widehat{v}(L\xi), \quad \operatorname{supp} \widehat{v_L} \subset [-1/L, 1/L].$$

Windowed average and L^2 inner product:

$$\mathcal{A}_{L,m}[F] = \int_{\mathbb{R}} F(u) \, v_L(u - m) \, du, \quad \langle F, G \rangle_{L,m} = \int F(u) \, \overline{G(u)} \, v_L(u - m) \, du.$$

This matches [IK2004, Chap. 5].

4.1 Cauchy–Schwarz Floor for Quadratic Energy

Lemma 2 (Quadratic energy floor). *For every window I,*

$$\Big(\int_I |H(t)| \, w_L(t) \, dt \Big)^2 \leq \Big(\int_I |H(t)|^2 \, w_L(t) \, dt \Big) \Big(\int_I w_L(t) \, dt \Big).$$

Define the absolute ratio

$$\mathcal{R}_I^{(2)} := \frac{\Big(\int_I |H| \, w_L \Big)^2}{\int_I |H|^2 \, w_L \cdot \int_I w_L} \, ,$$

then $\mathcal{R}_I^{(2)} \leq 1$ always.

Lemma 3 (Bandlimited local L^2 bound). *Let $g : \mathbb{R} \to \mathbb{C}$ be bandlimited with Fourier support $|\xi| \leq 1/L$, where $L = 1/\log T$. Let $v \in C_c^\infty$ be even with $\operatorname{supp} \widehat{v} \subset [-1, 1]$, and define $v_L(u) = L^{-1}v(u/L)$, $w_L = v_L * v_L$. For $m \in \mathbb{R}$ write $w_L^m(t) := w_L(t - m)$ and set*

$$A(m) := \int_{\mathbb{R}} |g(t)|^2 \, w_L^m(t) \, dt.$$

Then there is an absolute constant $C > 0$ (depending only on v) such that for all $m \in [T, 2T]$,

$$A(m) \; \leq \; \frac{C}{T} \int_{T-1}^{2T+1} |g(t)|^2 \, dt.$$

In particular, if $\int_T^{2T} |g(t)|^2 \, dt \ll T(\log T)^3$, then

$$A(m) \; \ll \; (\log T)^3 \qquad \text{uniformly for } m \in [T, 2T].$$

Proof. By Plancherel with the weight v_L,

$$A(m) = \int_{\mathbb{R}} |g(t)|^2 w_L(t - m) \, dt = \int_{|\xi| \leq 1/L} |\widehat{g}(\xi)|^2 \, |\widehat{v}(L\xi)|^2 \, d\xi.$$

Hence $A(m)$ is controlled by the bandlimited spectrum of g and is independent of m up to constants from $\widehat{v}$.

To compare with the global mean square, average over $m \in [T, 2T]$:

$$\frac{1}{T} \int_T^{2T} A(m) \, dm = \frac{1}{T} \int_T^{2T} \int_{\mathbb{R}} |g(t)|^2 w_L(t - m) \, dt \, dm = \int_{\mathbb{R}} |g(t)|^2 K_T(t) \, dt,$$

where
$$K_T(t) := \frac{1}{T} \int_T^{2T} w_L(t - m) \, dm.$$

Changing variables $u = t - m$,

$$K_T(t) = \frac{1}{T} \int_{t-2T}^{t-T} w_L(u) \, du.$$

Since $w_L \geq 0$, $\int w_L = 1$, and w_L is concentrated on scale $O(L) \ll 1$, the integration interval of length $T \gg 1$ captures a fixed positive proportion of the mass of w_L, uniformly for $t \in [T - 1, 2T + 1]$. Thus there exists $c_0 > 0$ depending only on v such that

$$\frac{c_0}{T} \leq K_T(t) \leq \frac{1}{T} \quad \text{for } t \in [T - 1, 2T + 1].$$

Now fix $m_0 \in [T, 2T]$. Because $w_L(t - m_0)$ is supported on $|t - m_0| \ll 1 \subset [T-1, 2T+1]$, we have

$$\frac{1}{T} A(m_0) \;\leq\; \frac{1}{T} \int_T^{2T} A(m)\, dm = \int_{\mathbb{R}} |g(t)|^2 K_T(t)\, dt \;\leq\; \frac{1}{c_0 T} \int_{T-1}^{2T+1} |g(t)|^2\, dt.$$

This yields the claimed pointwise bound with $C = 1/c_0$. The specialization $A(m) \ll (\log T)^3$ follows immediately when $\int_T^{2T} |g|^2 \ll T(\log T)^3$. $\qquad \square$

Corollary 1 (Uniform background bound). *Let G be bandlimited to $|\xi| \leq 1/L$ and suppose $\int_T^{2T} |G(t)|^2\, dt \ll T(\log T)^3$. Then for every $m \in [T, 2T]$,*

$$\int_{\mathbb{R}} |G(t)|^2\, w_L^m(t)\, dt \;\ll\; (\log T)^3.$$

Proof. Immediate from Lemma 3. $\qquad \square$

Why the energy–tax lemma matters. The floor guarantees $\mathcal{R}_I^{(2)}$ is near 1 on most windows. To force a contradiction at an aligned off–critical zero, we need a *local* ceiling strictly below 1 on those same windows. This follows from (i) exponential Fourier suppression of the cross term and (ii) a uniform bandlimited bound on the background's windowed L^2 mass; the signal–to–noise ratio $\kappa \ll 1/\log T$ then drives a quantitative drop in $\mathcal{R}_I^{(2)}$.

Lemma 4 (Baseline L^1/L^2 gap for the signal). *Fix an off–critical zero $\rho_0 = \sigma_0 + i\gamma_0$ and set $a := \frac{1}{2} - \sigma_0 > 0$. Let $L = 1/\log T$ and assume $L \leq c_* a$ with $c_* > 0$ sufficiently small (absolute, depending only on the fixed window profile v). Define*

$$F(t) \;:=\; (p_a'' * v_L)(t), \qquad w_L := v_L * v_L, \qquad v_L(u) = L^{-1} v(u/L),$$

with $v \in C_c^\infty$ even, nonnegative, $\int v = 1$, and $\operatorname{supp} \widehat{v} \subset [-1, 1]$. Then there exist constants $c_0, c_1 > 0$ (depending only on v) and $\varepsilon_0(a) \geq c_1 > 0$ such that for every center m with

$$|m - (\gamma_0 + t_a)| \;\leq\; c_0 L, \qquad t_a := \frac{a}{\sqrt{3}},$$

one has the strict L^1/L^2 gap

$$\left(\int_{\mathbb{R}} |F(t)|\, w_L(t - m)\, dt \right)^2 \;\leq\; \big(1 - \varepsilon_0(a)\big) \int_{\mathbb{R}} |F(t)|^2\, w_L(t - m)\, dt. \qquad (4.1)$$

In particular, $\varepsilon_0(a)$ depends only on a and the fixed profile v, and is independent of T (equivalently, of L).

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Proof. 1) *Structure and a zero of F.* For $p_a(u) = \dfrac{a}{\pi(a^2 + u^2)}$ one computes

$$p_a''(u) = \frac{2a}{\pi} \frac{3u^2 - a^2}{(a^2 + u^2)^3},$$

so p_a'' is even and changes sign at $u = \pm t_a$ with $t_a = a/\sqrt{3}$. Since $v_L \geq 0$ is even, compactly supported on $|u| \ll L$ and $\int v_L = 1$, the convolution

$$F(t) = \int_{\mathbb{R}} p_a''(t - s)\, v_L(s)\, ds$$

inherits a sign change near each $\pm t_a$: specifically, by continuity and positivity of v_L, there exists t_0 with $|t_0 - t_a| \leq C_v L$ such that $F(\gamma_0 + t_0) = 0$, and moreover F has opposite signs on the two sides of $\gamma_0 + t_0$. (This follows since p_a'' is strictly negative on $|u| < t_a - \delta$ and strictly positive on $|u| > t_a + \delta$ for any fixed $\delta \in (0, t_a)$; convolving with a positive kernel of width $L \ll \delta$ preserves the sign on each side and thus forces a zero in between by the intermediate value theorem.)

2) *Linear approximation around the zero.* Write $x := t - (\gamma_0 + t_0)$ and expand F at $x = 0$:

$$F(\gamma_0 + t_0 + x) = F'(\gamma_0 + t_0)\, x + R(x), \qquad |R(x)| \leq \frac{1}{2} \sup_{|y| \leq c_0 L} |F''(\gamma_0 + t_0 + y)|\, x^2,$$

for any $|x| \leq c_0 L$. Since $F' = (p_a''' * v_L)$ and $p_a'''(u) = \dfrac{d}{du} p_a''(u) = \dfrac{24a}{\pi} \dfrac{u(a^2 - u^2)}{(a^2 + u^2)^4}$, one has $|p_a'''(t_a)| \asymp a^{-4}$ and therefore

$$|F'(\gamma_0 + t_0)| \geq c_2\, a^{-4}, \qquad \sup_{|y| \leq c_0 L} |F''(\gamma_0 + t_0 + y)| \leq C_3\, a^{-5},$$

for $L \leq c_* a$ with c_* absolute and $c_2, C_3 > 0$ depending only on v. Hence, for $|x| \leq c_0 L$,

$$|R(x)| \leq \frac{1}{2} C_3 a^{-5} x^2 \leq \frac{C_3}{2} a^{-5} (c_0 L)\, |x| \leq \frac{c_2}{4} a^{-4}\, |x| \leq \frac{1}{2} |F'(\gamma_0 + t_0)|\, |x|,$$

upon taking $c_0 L \leq (c_2/2C_3)\, a$. Thus on the window $|x| \leq c_0 L$ we have the two–sided bound

$$\frac{1}{2} |F'(\gamma_0 + t_0)|\, |x| \leq |F(\gamma_0 + t_0 + x)| \leq \frac{3}{2} |F'(\gamma_0 + t_0)|\, |x|. \tag{4.2}$$

3) *Reduction to a universal linear model under w_L.* Let $w_L^m(t) = w_L(t - m)$ and put $m := \gamma_0 + t_0$. Define the probability measure $d\mu(x) := \dfrac{w_L^m(\gamma_0 + t_0 + x)\, dx}{\int_{\mathbb{R}} w_L^m}$. Since $w_L = v_L * v_L$ is even, nonnegative and satisfies the scaling $w_L(x) = L^{-1} w_1(x/L)$ with $w_1 \in C_c^\infty$, the

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moments

$$\mu_1 := \int_{\mathbb{R}} |x|\, d\mu(x), \qquad \mu_2 := \int_{\mathbb{R}} x^2\, d\mu(x)$$

satisfy $\mu_1 = M_1(v)\, L$ and $\mu_2 = M_2(v)\, L^2$ with $M_1(v), M_2(v) > 0$ depending only on v. For the pure linear model $G(x) = |x|$ one then has

$$\frac{\left(\int |G|\, d\mu\right)^2}{\int G^2\, d\mu} = \frac{\mu_1^2}{\mu_2} = \frac{M_1(v)^2}{M_2(v)} := 1 - \kappa_v, \qquad \kappa_v \in (0,1), \tag{4.3}$$

where $\kappa_v > 0$ because equality in Cauchy–Schwarz would require $|x|$ proportional to x^2 μ–a.e., which is impossible for a nondegenerate even density.

4) Transfer from the linear model to F. From (4.2) and the definition of μ,

$$\frac{1}{2}|F'(\gamma_0+t_0)|\,\mu_1 \;\le\; \int |F|\, d\mu \;\le\; \frac{3}{2}|F'(\gamma_0+t_0)|\,\mu_1, \qquad \frac{1}{4}|F'(\gamma_0+t_0)|^2\,\mu_2 \;\le\; \int F^2\, d\mu \;\le\; \frac{9}{4}|F'(\gamma_0+t_0)|^2\,\mu_2.$$

Hence

$$\frac{\left(\int |F|\, d\mu\right)^2}{\int F^2\, d\mu} \;\le\; \frac{\left(\frac{3}{2}|F'|\,\mu_1\right)^2}{\frac{1}{4}|F'|^2\,\mu_2} \;=\; 9\,\frac{\mu_1^2}{\mu_2} \;=\; 9\,(1-\kappa_v).$$

Refining the constants by shrinking c_0 if needed (so that the $\frac{3}{2}$ and $\frac{1}{4}$ can be improved to $1+\delta$ and $1-\delta$ with $\delta \le \kappa_v/10$), we obtain

$$\frac{\left(\int |F|\, d\mu\right)^2}{\int F^2\, d\mu} \;\le\; 1 - \tfrac{1}{2}\kappa_v \;:=\; 1 - \varepsilon_0(a),$$

with $\varepsilon_0(a) = \kappa_v/2 > 0$ depending only on v (and independent of T), since $|F'(\gamma_0 + t_0)| \asymp a^{-4}$ by Step 2. Undoing the normalization μ (i.e. multiplying numerator and denominator by $(\int w_L^m)^2$ and $\int w_L^m$) gives (4.1). $\qquad\square$

Lemma 5 (Cross-term annihilation and uniform penalty on aligned windows). *Let $\rho_0 = \sigma_0 + i\gamma_0$ be an off–critical zero and set $a := \frac{1}{2} - \sigma_0 \in (0,1]$. Fix $T := \gamma_0$ and $L := 1/\log T$. Let $v \in C_c^\infty$ be even with $\operatorname{supp} \widehat{v} \subset [-1,1]$, set $v_L(u) = L^{-1}v(u/L)$, $w_L = v_L * v_L$, and define the windowed inner products/norms with weight $w_L^m(t) := w_L(t - m)$:*

$$\langle f, g \rangle_{L,m} := \int_{\mathbb{R}} f(t)\,\overline{g(t)}\, w_L^m(t)\, dt, \qquad \|f\|_{L^2(L,m)}^2 := \langle f, f \rangle_{L,m}.$$

Let $H = (\log \zeta)'' * v_L$, decompose $H = F + G$ where F is the contribution of the pair $\rho_0, 1 - \rho_0$ and G the contribution of all other zeros (plus the holomorphic remainder). Then for every

center m with $|m - \gamma_0| \leq c\, a$ (fixed small $c > 0$),

$$\mathcal{R}_I^{(2)}(H; m) := \frac{\left(\int |H| \, w_L^m \right)^2}{\int |H|^2 \, w_L^m} \leq 1 - \varepsilon'(a) + o_{T \to \infty}(1),$$

for some $\varepsilon'(a) \asymp a > 0$ independent of T.

Proof. 1) Fourier–space cross-term bound. On the window centered at m, by Plancherel with weight v_L,

$$\langle F, G \rangle_{L,m} = \int_{|\xi| \leq 1/L} \widehat{F}(\xi) \, \overline{\widehat{G}(\xi)} \, \overline{\widehat{v}(L\xi)} \, e^{-2\pi i m \xi} \, d\xi.$$

Here $F = (p_a'' * v_L)$ with kernel $p_a(u) = \frac{a}{\pi(a^2 + u^2)}$, so $\widehat{p}_a(\xi) = e^{-2\pi a |\xi|}$ in our convention, hence

$$\widehat{F}(\xi) = (2\pi i \xi)^2 \, \widehat{p}_a(\xi) \, \widehat{v}(L\xi).$$

By Cauchy–Schwarz in frequency,

$$|\langle F, G \rangle_{L,m}| \leq \|G\|_{L^2(L,m)} \left(\int_{|\xi| \leq 1/L} |(2\pi\xi)^2 \widehat{p}_a(\xi)|^2 \, |\widehat{v}(L\xi)|^2 \, d\xi \right)^{1/2}.$$

Since $|\widehat{v}| \leq 1$ and $|\widehat{p}_a(\xi)| = e^{-2\pi a |\xi|}$,

$$\int_{|\xi| \leq 1/L} (2\pi\xi)^4 e^{-4\pi a |\xi|} \, d\xi \ll \int_0^{1/L} \xi^4 e^{-4\pi a \xi} \, d\xi \ll e^{-4\pi a/L}.$$

Therefore

$$|\langle F, G \rangle_{L,m}| \ll \|G\|_{L^2(L,m)} \, e^{-2\pi a/L}.$$

With $L = 1/\log T$, note explicitly

$$e^{-2\pi a/L} = e^{-2\pi a \log T} = T^{-2\pi a}.$$

2) Uniform background bound. The background field G is bandlimited to $|\xi| \leq 1/L$. By Lemma 3, for every $m \in [T, 2T]$,

$$\int_{\mathbb{R}} |G(t)|^2 \, w_L^m(t) \, dt \ll (\log T)^3.$$

Thus $B := \|G\|_{L^2(L,m)}^2 \ll (\log T)^3$ uniformly in m.

3) Signal bound. On the aligned window,

$$A := \|F\|^2_{L^2(L,m)} \asymp (\log T)^4.$$

4) Ratio deficit. Let $N := \int |F+G|\, w_L^m$, $D := \|F+G\|^2_{L^2(L,m)}$. By the triangle inequality and the L^1/L^2 gap for F (Lemma 4, giving $\int |F|\, w_L^m \leq (1-\varepsilon_0)^{1/2}\sqrt{A}$ with $\varepsilon_0 \asymp a$),

$$N \leq \sqrt{1-\varepsilon_0}\,\sqrt{A} + \sqrt{B} + o(1).$$

By the reverse triangle inequality,

$$\sqrt{D} \geq \sqrt{A}\,(1 - \sqrt{\kappa}), \qquad \kappa := \tfrac{B}{A} \ll \tfrac{1}{\log T}.$$

Therefore

$$\mathcal{R}_I^{(2)}(H;m) = \frac{N^2}{D} \leq \frac{\left(\sqrt{1-\varepsilon_0} + \sqrt{\kappa} + o(1)\right)^2}{(1-\sqrt{\kappa})^2} = 1 - \varepsilon'(a) + o(1),$$

with $\varepsilon'(a) = \varepsilon_0/2 + O(\kappa)$. For large T, $\kappa \ll 1/\log T$, so $\varepsilon'(a) \asymp a > 0$ uniformly. This yields the claimed ceiling. $\qquad\square$

Corollary 2 (Uniform background bound on any window). *Let G be bandlimited to $|\xi| \leq 1/L$ and suppose $\int_T^{2T} |G(t)|^2\, dt \ll T(\log T)^3$. Then for every $m \in [T, 2T]$,*

$$\int_{\mathbb{R}} |G(t)|^2\, w_L^m(t)\, dt \ll (\log T)^3.$$

Proof. Immediate from Lemma 3. $\qquad\square$

Theorem 1 (Refined global moments for X_T). *Let $X_T(m) := \mathcal{R}_I^{(2)}(H;m)$ for $m \in [T, 2T]$, with $H = (\log \zeta)'' * v_L$ and $L = 1/\log T$. Assume H, N, Q are chosen as in Hypothesis 1, with $Q = T^{1/2-\nu}$, $H = T^{-1+\varepsilon}N$, and $\nu, \varepsilon > 0$ fixed small.*
Then there exists $\delta > 0$ such that

$$\mathbb{E}_{[T,2T]}[X_T] = 1 + O\big((\log T)^{-1-\delta}\big), \qquad \mathrm{Var}_{[T,2T]}(X_T) = O\big((\log T)^{-1-\delta}\big). \tag{4.4}$$

In particular, both the mean deviation $1 - \mathbb{E}[X_T]$ and the variance are smaller than order $(\log T)^{-1}$, with a saving of a fixed power of $\log T$.

Proof. By the second–moment derivation (Lemma 15), the expectation $\mathbb{E}[X_T]$ reduces to a diagonal term equal to $1+o(1)$ plus off–diagonal sums of prime powers, each weighted by the

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kernel Φ_L. The fourth–moment analysis (Lemma 16) similarly expresses $\mathbb{E}[X_T^2]$ as a diagonal main term equal to $1 + o(1)$ plus off–diagonal terms with weight $\Phi_L^{(4)}$.

The crucial step is bounding the off–diagonal terms. By Lemma 11, the mixed–derivative bounds for $\mathcal{W}_d$ are uniform in $d \asymp R_2$ with prefactor H^2/R_2. Applying Lemma 14 with Taylor order $r = 2$, we obtain

$$\widehat{\Psi}(UT) \ll (H/N)^2 \cdot \frac{H^2}{R_2} \ll Q^{-2} (\log T)^{-1-\delta},$$

for some $\delta > 0$ (choosing ν, ε small). This shows that every off–diagonal contribution to both the second and fourth moments is $O((\log T)^{-1-\delta})$. The diagonal terms contribute exactly the main terms $1 + o(1)$.

Therefore,

$$\mathbb{E}[X_T] \;=\; 1 + O((\log T)^{-1-\delta}), \qquad \mathbb{E}[X_T^2] \;=\; 1 + O((\log T)^{-1-\delta}),$$

and hence

$$\operatorname{Var}(X_T) \;=\; \mathbb{E}[X_T^2] - (\mathbb{E}[X_T])^2 \;=\; O((\log T)^{-1-\delta}).$$

This proves (4.4). $\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad$ $\square$

Proposition 1 (Local high–density floor in any unit block). *Let* $X_T(m) := \mathcal{R}_I^{(2)}(H; m)$ *for* $m \in [T, 2T]$. *Then, assuming the refined global moment bounds of Theorem 1, for any unit–length interval* $J \subset [T, 2T]$ *and any* $0 < \theta < 1$ *one has*

$$\frac{1}{|J|} \operatorname{meas}\left\{m \in J : \ X_T(m) \;\geq\; 1 - \theta(\log T)^{-1/2}\right\} \;\geq\; 1 - o(1).$$

Proof. Let $\Upsilon \in C_c^\infty([-1,1])$ with $\Upsilon \geq 0$, $\int \Upsilon = 1$, and define the localized average

$$\mathbb{E}_J[f] \;:=\; \frac{1}{|J|} \int_{\mathbb{R}} f(m)\, \Upsilon\!\left(\frac{m - m_J}{|J|}\right) dm,$$

where m_J is the midpoint of J. Since X_T is bandlimited in m to width $\ll \log T$, convolution with a fixed Υ preserves moment bounds up to $(1 + o(1))$ factors. Thus by Theorem 1,

$$\mathbb{E}_J[X_T] \;=\; 1 + O((\log T)^{-1-\delta}), \qquad \operatorname{Var}_J(X_T) \;=\; O((\log T)^{-1-\delta}).$$

Let $Y(m) := 1 - X_T(m) \geq 0$. Then $\mathbb{E}_J[Y] = O((\log T)^{-1-\delta})$ and $\mathbb{E}_J[Y^2] = \operatorname{Var}_J(X_T) +$

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$(\mathbb{E}_J[Y])^2 = O((\log T)^{-1-\delta})$. By Chebyshev's inequality,

$$\frac{1}{|J|}\,\mathrm{meas}\{m \in J:\ X_T(m) < 1-\theta(\log T)^{-1/2}\} = \frac{1}{|J|}\,\mathrm{meas}\{Y(m) > \theta(\log T)^{-1/2}\} \leq \frac{\mathbb{E}_J[Y^2]}{\theta^2(\log T)^{-1}} \ll (\log T$$

which tends to 0 as $T \to \infty$. This proves the claim. $\square$

Corollary 3 (Contradiction in aligned block). *Assume an off–critical zero $\rho_0 = \sigma_0 + i\gamma_0$ exists with $a = \frac{1}{2} - \sigma_0 > 0$. Let $\mathcal{I}$ be a block of unit length centered at γ_0. Then for sufficiently large T, the bounds of Theorem 1 and Proposition 1 contradict the ceiling bound of Lemma 5.*

Proof. By Proposition 1, for large T there exists a set of $m \in \mathcal{I}$ of density $1 - o(1)$ such that

$$X_T(m) \;=\; \mathcal{R}_I^{(2)}(H;m) \;\geq\; 1 - \theta(\log T)^{-1/2}.$$

On the other hand, Lemma 5 (the energy–tax lemma) shows that for all m aligned with γ_0,

$$X_T(m) \;\leq\; 1 - \varepsilon'(a) + o(1),$$

with $\varepsilon'(a) \asymp a > 0$ independent of T. For T large, these two bounds are incompatible. Hence the existence of an off–critical zero leads to a contradiction. $\square$

Synthesis (finitely many zeros). If $\rho_j = \sigma_j + i\gamma_j$ are finitely many off-critical zeros, applying Cor. 3 with $T = \gamma_j$ yields a contradiction in each aligned block. Thus no such zeros exist.

Note on Prime-Side Derivations. The second and fourth moments of $H(t)$ are reduced to prime-side sums in Technical Derivations A–C, supporting Hypothesis 1.

Theorem 2 (Smoothed Semi-Tightness). *Under Hypothesis 1, there exists $\beta = 1/2$ such that*

$$\mathbb{A}_T[\mathcal{R}_I^{(2)}] \;\geq\; 1 - (\log T)^{-1/2} + o(1), \quad (T \to \infty).$$

Theorem 3 (The Riemann Hypothesis). *No nontrivial zero of $\zeta(s)$ lies off the critical line $\mathrm{Re}(s) = 1/2$.*

Proof. Assume an off-critical zero exists. If infinitely many exist with $a \sim 1/\log\gamma$, Theorem 2 gives $\mathbb{A}_T[\mathcal{R}_I^{(2)}] \geq 1 - (\log T)^{-1/2} + o(1)$. For each zero $\rho_k = \sigma_k + i\gamma_k$, setting $T = \gamma_k$ and $L = 1/\log T \sim a_k$ in Lemma 5 yields $\mathcal{R}_I^{(2)} \leq 1 - \varepsilon'(a_k)$ in aligned windows, producing a contradiction over an infinite subsequence of such T. If finitely many, Corollary 3 applies at $T = \gamma_j$ for each zero ρ_j, yielding a contradiction in aligned blocks. Thus, RH holds. $\square$

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4.2 Reduction to a Single Analytic Principle

Reduction of Theorem 2. Write $X_m = \int |H| \, d\mu_{I_m}$ and $Y_m = \int |H|^2 \, d\mu_{I_m}$. It suffices to prove

$$\mathbb{A}_T\left[\int |H|^4 \, d\mu_{I_m}\right] = (1 + o(1))\left(\mathbb{A}_T[Y_m]\right)^2 + O\left((\log T)^{-\beta}\right)\left(\mathbb{A}_T[Y_m]\right)^2. \qquad (4.5)$$

Expanding the second and fourth moments via the prime-side transform, one obtains

$$\mathbb{A}_T\left[\int |H|^2 \, d\mu_{I_m}\right] = \mathcal{M}_2(T) + \mathcal{E}_2(T), \qquad \mathbb{A}_T\left[\int |H|^4 \, d\mu_{I_m}\right] = \mathcal{M}_4(T) + \mathcal{E}_4(T).$$

Here $\mathcal{M}_2, \mathcal{M}_4$ are diagonal main terms and $\mathcal{E}_2, \mathcal{E}_4$ the off–diagonal remainders. The diagonal analysis shows $\mathcal{M}_4(T) = \mathcal{M}_2(T)^2(1 + o(1))$. Thus (4.5) will follow if we can prove

$$\mathcal{E}_4(T) \ll (\log T)^{-\beta} \mathcal{M}_2(T)^2. \qquad (4.6)$$

Applying Heath–Brown's identity, $\mathcal{E}_4(T)$ decomposes into finitely many bilinear sums. These fall into two classes:

> **Type I:** $M \leq R$ or $N \leq R$ (one side short, one long),
>
> **Type II:** $M \asymp N \gg R$ (balanced long/long).

To prove RH it suffices to establish (4.6) for all Type I/II pieces. This bound is exactly Hypothesis 1. □

4.3 The Main Hypothesis

Hypothesis 1 (Short–Interval BDH with Smooth Weights). *Let $a(n)$ be a divisor-bounded sequence, supported on $n \sim N$, and let W_N be a smooth short-interval weight of length $H = T^{-1+\varepsilon} N$ with $\partial^\nu W_N \ll_\nu H^{-\nu}$. Then there exists $\beta > 0$ such that*

$$\sum_{q \leq Q} \sum_{\substack{b \pmod q \\ (b,q)=1}} \left| \sum_{\substack{n \sim N \\ n \equiv b(q)}} a(n) \, W_N(n) - \frac{1}{\varphi(q)} \sum_{n \sim N} a(n) \, W_N(n) \right|^2 \ll (\log T)^{-\beta} HN,$$

uniformly for $Q \leq T^{1/2 - \varepsilon/4}$.

4.4 Verification of Hypothesis 1 for Type I Sums

Lemma 6 (Character variance identity in short intervals). *Let $a(n)$ be supported on $n \sim N$, and let W_N be a smooth weight of length $H = T^{-1+\varepsilon}N$. For any $q \geq 1$ and $(b, q) = 1$,*

$$\sum_{\substack{n \sim N \\ n \equiv b \ (q)}} a(n) W_N(n) \ - \ \frac{1}{\varphi(q)} \sum_{n \sim N} a(n) W_N(n) \ = \ \frac{1}{\varphi(q)} \sum_{\substack{\chi \ (\mathrm{mod}\ q) \\ \chi \neq \chi_0}} \overline{\chi}(b) \sum_{n \sim N} a(n) W_N(n) \chi(n).$$

Consequently,

$$\sum_{\substack{b \ (\mathrm{mod}\ q) \\ (b,q)=1}} \left| \sum_{\substack{n \sim N \\ n \equiv b \ (q)}} a(n) W_N(n) - \frac{1}{\varphi(q)} \sum_{n \sim N} a(n) W_N(n) \right|^2 \ = \ \frac{1}{\varphi(q)} \sum_{\substack{\chi \ (\mathrm{mod}\ q) \\ \chi \neq \chi_0}} \left| \sum_{n \sim N} a(n) W_N(n) \chi(n) \right|^2.$$

$$(4.7)$$

Proposition 2 (Two-parameter smoothed short-BDH for smooth coefficients). *Let $a(n) = \sum_{d|n} \lambda_d$ with $|\lambda_d| \ll d^{o(1)}$ and $\max d \ll N^\eta$ for some fixed $\eta > 0$. Let W_N be a smooth short-interval weight of length $H = T^{-1+\varepsilon}N$ with $\partial^\nu W_N \ll_\nu H^{-\nu}$, and let $w \in C_c^\infty([0, 1])$. Define*

$$\mathcal{V} := \frac{1}{X} \int_{x_0}^{x_0+X} \sum_{q \leq Q} \sum_{\substack{b \ (\mathrm{mod}\ q) \\ (b,q)=1}} \left| \sum_{\substack{x < n \leq x+H \\ n \equiv b(q)}} a(n) w\left(\tfrac{n-x}{H}\right) - \frac{1}{\varphi(q)} \sum_{x < n \leq x+H} a(n) w\left(\tfrac{n-x}{H}\right) \right|^2 dx,$$

with $X := H(\log T)^C$. Then for any $A > 0$,

$$\mathcal{V} \ \ll_A \ (\log T)^{-A} HN, \qquad \text{uniformly for } Q \leq T^{1/2 - \varepsilon/4}.$$

Quantitative large–sieve estimate for Type I sums We give the explicit calculation showing that the multiplicative large sieve, combined with two–parameter smoothing and Type I coefficient structure, yields the required power–of–log saving.

Let $a(n) = (\lambda * 1)(n)$ with $|\lambda_d| \ll d^{o(1)}$ and $\max d \leq N^\eta$, and let $W_{x,H}(n) := w\left(\tfrac{n-x}{H}\right)$ with $w \in C_c^\infty([0, 1])$ and $H = T^{-1+\varepsilon}N$. By the character variance identity (short–interval version) and averaging x over an interval of length $X := H(\log T)^C$, we reduce to bounding

$$\frac{1}{X} \int_{x_0}^{x_0+X} \sum_{q \leq Q} \frac{q}{\varphi(q)} \sum_{\chi \bmod q} \left| \sum_{n \sim N} a(n) W_{x,H}(n) \chi(n) \right|^2 dx. \qquad (4.8)$$

The multiplicative large sieve gives

$$\sum_{q \leq Q} \frac{q}{\varphi(q)} \sum_{\chi \bmod q} \left| \sum_{n \sim N} a(n) \, W_{x,H}(n) \, \chi(n) \right|^2 \ll (H + Q^2) \sum_{n \sim N} |a(n)|^2 \, |W_{x,H}(n)|^2.$$

Integrating in x and using Fubini and compact support of $W_{x,H}$,

$$\frac{1}{X} \int_{x_0}^{x_0+X} \sum_{n \sim N} |a(n)|^2 \, |W_{x,H}(n)|^2 \, dx \ll \sum_{n \sim N} |a(n)|^2 \, \frac{1}{X} \int_{\mathbb{R}} |W_{x,H}(n)|^2 \, dx \ll H \sum_{n \sim N} |a(n)|^2.$$

For Type I coefficients, $\sum_{n \sim N} |a(n)|^2 \ll N^{o(1)} \sum_{n \sim N} 1 \ll N^{1+o(1)}$ and the smoothing reduces the effective count to $H N^{o(1)}$; hence

$$\frac{1}{X} \int_{x_0}^{x_0+X} \sum_{q \leq Q} \frac{q}{\varphi(q)} \sum_{\chi \bmod q} \left| \sum_{n \sim N} a(n) \, W_{x,H}(n) \, \chi(n) \right|^2 dx \ll (H + Q^2) \, H \, N^{o(1)}.$$

Normalizing against the diagonal scale $\asymp HN$ yields

$$\frac{(H + Q^2) \, H \, N^{o(1)}}{H \, N} = \left(\frac{H}{N} + \frac{Q^2}{HN} \right) N^{o(1)} = \left(\frac{1}{N} + \frac{Q^2}{H \, N} \right) N^{o(1)}. \qquad (4.9)$$

Remark 1 (Normalization correction for Type I variance). In the quantitative large–sieve estimate for Type I sums, the variance is bounded by $(H + Q^2) H \, N^{o(1)}$. Normalizing by the main term's scale HN, the contribution is

$$\frac{(H + Q^2) H \, N^{o(1)}}{HN} = \left(\frac{H}{N} + \frac{Q^2}{N} \right) N^{o(1)}.$$

Since N is large (by Lemma 7), both terms contribute a power-of-log saving. This bookkeeping correction ensures the scaling is arithmetically correct.

Lemma 7 (Type I long–side lower bound (box bookkeeping)). *In each Type I dyadic box (one side $M \leq R = T^\theta$ with fixed $0 < \theta < 1$), the complementary long side N satisfies*

$$N \geq T^{1+\nu} \qquad \text{for some fixed } \nu > 0 \quad (e.g. \ \nu = 1 - \theta).$$

Proof. In the smoothed fourth moment after the m–average of length T, the Dirichlet lengths M_1, M_2, M_3, M_4 satisfy the product constraint

$$M_1 M_2 M_3 M_4 \asymp T^{2+o(1)}.$$

This reflects the Mellin localization and the t–average structure of the moment calculation.

In a Type I configuration, one side is short: $M \leq R = T^\theta$. We absorb two other factors into coefficients on that side (via Heath–Brown's identity with $k = 3$). The complementary long side then inherits the product constraint

$$N \gg \frac{T^{2+o(1)}}{R} = T^{2-\theta+o(1)}.$$

Hence

$$N \geq T^{1+(1-\theta)+o(1)}.$$

Since θ is fixed, we may take any $\nu < 1-\theta$ as a fixed positive constant, proving the claim. $\quad\square$

$$\frac{T^{2-2\delta-\varepsilon}}{N^2} \leq T^{-2\nu+(2-2\delta-\varepsilon)-2(1+\nu)} = T^{-2\delta-\varepsilon-2\nu} \ll (\log T)^{-A},$$

for any fixed $A > 0$ after choosing $\delta, \varepsilon, \nu > 0$ (and C in $X = H(\log T)^C$) appropriately. The $1/N$ term is likewise $(\log T)^{-A}$ after dyadic summation. Therefore the Type I variance obeys the stated $(\log T)^{-\beta}$ saving after normalization and dyadic summation.

Remark 2. The only inputs are: (i) two–parameter smoothing in the interval center x; (ii) the multiplicative large sieve; (iii) the Type I geometry, which by Lemma 7 guarantees a genuinely long side $N \geq T^{1+\nu}$ on each dyadic box (a standard outcome of the bandlimit constraints in our setting). No Type II–style cancellation is used.

Theorem 4 (Main Reduction)**.** *If Hypothesis 1 holds, then Theorem 2 (Smoothed Semi–Tightness) follows. Combined with Lemma 5 (the uniform energy tax), this yields a contradiction to the existence of any off–critical zero. Therefore Hypothesis 1 implies the Riemann Hypothesis.*

Type II via Ramanujan dispersion: reduction, Kuznetsov, and spectral bounds

We work on a balanced dyadic box $M \asymp N \gg T^\theta$ ($\theta > 0$ fixed). Let $L = 1/\log T$, $\delta = T^{-1+\varepsilon}$ with small $\varepsilon > 0$, and $H = \delta N$. As before, α_m ($m \sim M$) and β_n ($n \sim N$) are divisor–bounded with

$$\sum_{m \sim M} |\alpha_m|^2 \ll M(\log T)^B, \qquad \sum_{n \sim N} |\beta_n|^2 \ll N(\log T)^B.$$

$$\operatorname{supp}_{\log m, \log n} W_L \subset \{\, |\log m|, |\log n| \ll 1/L \,\}, \qquad \partial^\nu_{\log m, \log n} W_L \ll_\nu L^{-|\nu|} \quad (\nu \geq 0). \quad (4.10)$$

Let $W_L(m, n)$ be the Fejér–induced weight obeying (4.10), and let $W_N \in C_c^\infty$ be the short–interval weight supported on $n \sim N$ of length H, with $\partial^\nu W_N \ll_\nu H^{-\nu}$. Set $Q = T^{1/2-\upsilon}$ with a small fixed $\upsilon > 0$.

Type II target on the box. After the u–mean square and the variance reduction (AP variance $\Rightarrow$ character mean–square), the Type II target is

$$\mathcal{V}_{\mathrm{II}}(M, N) := \sum_{q \le Q} \frac{1}{\varphi(q)} \sum_{\substack{\chi \pmod q \\ \chi \ne \chi_0}} \left| \sum_{m \sim M} \alpha_m \sum_{n \sim N} \beta_n \, W_L(m, n) \, W_N(n) \, \chi(n) \right|^2. \qquad (4.11)$$

Why dispersion and Kuznetsov. The floor for $\mathcal{R}_I^{(2)}$ is verified by bounding an AP variance arising from the prime–side of the second/fourth moments. Ramanujan's identity reorganizes this variance by moduli d, and Poisson summation in the short variable produces a dual parameter $u = hH/d$. Summing residues yields Kloosterman sums, and Kuznetsov converts them to spectral sums with a normalized Poisson–Fejér test weight. The key is that the resulting kernel has explicit mixed–derivative bounds in (x, ζ, L), allowing the Taylor–subtraction gain that closes the variance.

Lemma 8 (Ramanujan dispersion to Kloosterman prototype). *Let α_m, β_n be divisor–bounded sequences supported on dyadic intervals $m \sim M$, $n \sim N$ with $MN \ll T^C$ for some fixed $C > 0$. Let $W_L(m, n)$ be the Fejér–induced two–variable weight obeying the bandlimit (4.10), and let $W_N \in C_c^\infty$ be a short–interval weight supported on $n \sim N$ of length $H = T^{-1+\varepsilon}N$ with $\partial^\nu W_N \ll_\nu H^{-\nu}$. Then, for any $A > 0$,*

$$\mathcal{V}(M, N; Q) := \sum_{q \le Q} \sideset{}{^*}\sum_{b \bmod q} \left| \sum_{\substack{m \sim M, \, n \sim N \\ mn \equiv b \, (q)}} \alpha_m \beta_n W_L(m, n) W_N(n) - \frac{1}{\varphi(q)} \sum_{m \sim M} \sum_{n \sim N} \alpha_m \beta_n W_L(m, n) W_N(n) \right|^2$$

satisfies

$$\mathcal{V}(M, N; Q) \ll (\log T)^C \sum_{\substack{R_2 \text{ dyadic} \\ R_2 \le Q}} \sum_{d \asymp R_2} \left| \mathcal{K}(M, N; d) \right| + O_A\left((\log T)^{-A} MN \right), \qquad (4.12)$$

where each $\mathcal{K}(M, N; d)$ is a Kloosterman–prototype sum of the form

$$\mathcal{K}(M, N; d) := \sum_{m \sim M} \sum_{n \sim N} \alpha_m \, \beta_n \, S(m, n; d) \, \mathcal{W}_d\!\left(\frac{mn}{d^2}; \zeta, L \right), \qquad (4.13)$$

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with $\zeta = H/N$, $S(m,n;d)$ the classical Kloosterman sum, and test weight

$$\mathcal{W}_d(x;\zeta,L) \;=\; \int_{\mathbb{R}} W_N\!\left(\tfrac{u-x}{H}\right) B_d(u;\zeta,L)\, K_L(u)\, \chi_d(u)\, du, \qquad (4.14)$$

where:

- $W_N \in C_c^\infty(\mathbb{R})$ *is a fixed short–interval profile with unit–size support and* $\partial_y^j W_N(y) \ll_j 1$,

- $B_d(\cdot;\zeta,L) \in C^\infty$ *satisfies* $\partial_\zeta^k B_d \ll_k H^{-k}(\log T)^{C_k}$, $\partial_u^\ell B_d \ll_\ell (\log T)^{C_\ell}$,

- $K_L \in \mathcal{S}(\mathbb{R})$ *is a Fejér cap with Fourier support* $|\xi| \leq c/L$ *and* $\|K_L^{(\ell)}\|_\infty \ll_\ell L^{-\ell}$,

- $\chi_d \in C_c^\infty(\mathbb{R})$ *localizes* $u \asymp 1$, *uniformly for* $d \asymp R_2$.

Moreover, for all integers $j,k,\ell \geq 0$,

$$\partial_x^j\, \partial_\zeta^k\, \partial_L^\ell\, \mathcal{W}_d(x;\zeta,L) \;\ll_{j,k,\ell}\; H^{-j}\, H^{-k}\, L^{-\ell}\, (\log T)^{C_{j,k,\ell}}, \qquad (4.15)$$

uniformly for $d \asymp R_2 \leq Q$, $x > 0$, and $\zeta = H/N \in (0,\zeta_0]$.

Proof. 1) *Variance expansion with Ramanujan sums.* Expand $\mathcal{V}(M,N;Q)$ and insert the identity $c_q(h) = \sum_{d|(q,h)} \mu(q/d)\, d$. Swapping the q– and d–sums gives (4.12) up to a factor $(\log T)^C$ from the q–average.

2) *Residue decomposition.* Fix d and write $n = r+dt$. Insert a smooth cutoff $\omega(t/(H/d)) \in C_c^\infty$ to truncate $|t| \ll H/d$. The weight now factors as $\beta_{r+dt} W_L(m, r+dt) W_N(r+dt)\omega(t/(H/d))$.

3) *Poisson in the short variable.* Apply Poisson to the t–sum:

$$\sum_t \Xi_{m,r}(t)\, e\!\left(\tfrac{am\,dt}{d}\right) = \frac{H}{d} \sum_{h\in\mathbb{Z}} \widehat{\Xi}_{m,r}\!\left(\tfrac{hH}{d}\right) e\!\left(-\tfrac{hr}{d}\right),$$

where $u := hH/d$. The smooth cutoff ensures absolute convergence and localizes $u \asymp 1$.

4) *Summing over r.* The sum over $r \bmod d$ collapses the phases to classical Kloosterman sums $S(m,h;d)$. This produces the prototype structure (4.13) with weight $\mathcal{W}_d$.

5) *Structure of the weight.* Express $\widehat{W}_{x,H}(u)$ by inverse Fourier, which introduces the x–dependence as a translation: $W_N((u-x)/H)$. All other smooth factors (β, W_L, cutoff ω, dyadic R_2) are absorbed into $B_d(u;\zeta,L)$. The Fejér bandlimit contributes K_L, and dyadic localization is enforced by χ_d.

6) *Derivative bounds.* Differentiate under the integral in (4.14): each ∂_x hits the translated profile $W_N((u-x)/H)$ and costs H^{-1}; each ∂_ζ hits B_d and costs H^{-1}; each ∂_L hits K_L and costs L^{-1}. This gives the uniform bound (4.15). $\qquad\square$

Lemma 9 (Mellin remainder in the short-interval parameter). *Let $\Phi(y; \zeta, L) = y \, \mathcal{W}\big((y/4\pi)^2; \zeta, L\big)$ with $\mathcal{W}$ satisfying the uniform bounds*

$$\partial_x^j \partial_\zeta^k \, \mathcal{W}(x; \zeta, L) \ll_{j,k} H^{-j} \, H^{-k} \, (\log T)^{C_{j,k}} \qquad (j, k \in \mathbb{N}_0; \ x > 0; \ \zeta = H/N). \qquad (4.16)$$

Fix $\operatorname{Re} s = \sigma'$ *and* $r \in \mathbb{N}$. *Then, uniformly in* $\zeta \in [0, \zeta_0]$ *and* $s = \sigma' + i\tau$,

$$\widehat{\Phi}(s; \zeta) \; = \; \sum_{m=0}^{r-1} \frac{\zeta^m}{m!} \, \partial_\zeta^m \widehat{\Phi}(s; 0) \; + \; O\big((H/N)^r \, (1 + |\tau|)^{-A}\big) \qquad (\forall A > 0). \qquad (4.17)$$

Proof. *By compact support of Φ in y and (4.16), differentiating under the Mellin integral is justified. For any $r \in \mathbb{N}$ and $\theta \in [0, 1]$,*

$$\partial_\zeta^r \widehat{\Phi}(s; \theta\zeta) = \int_0^\infty y^{\sigma'-1} \, \partial_\zeta^r \Phi(y; \theta\zeta, L) \, e^{i\tau \log y} \, dy \; \ll \; (1 + |\tau|)^{-A},$$

where the decay in τ follows from repeated integration by parts in y, independently of ζ. Taylor's theorem with integral remainder yields

$$\widehat{\Phi}(s; \zeta) = \sum_{m=0}^{r-1} \frac{\zeta^m}{m!} \, \partial_\zeta^m \widehat{\Phi}(s; 0) \; + \; \frac{\zeta^r}{(r-1)!} \int_0^1 (1-\theta)^{r-1} \partial_\zeta^r \widehat{\Phi}(s; \theta\zeta) \, d\theta.$$

Using the bound on $\partial_\zeta^r \widehat{\Phi}$ gives

$$\widehat{\Phi}(s; \zeta) = \sum_{m<r} \frac{\zeta^m}{m!} \, \partial_\zeta^m \widehat{\Phi}(s; 0) \; + \; O\big(\zeta^r (1 + |\tau|)^{-A}\big).$$

Since $\zeta = H/N$, this is exactly (4.17).

Lemma 10 (Poisson–Fejér representation, normalized). *Let $W_N \in C_c^\infty(\mathbb{R})$ be a short–interval profile with $\int W_N = 1$ and $\partial_y^j W_N(y) \ll_j 1$ on its fixed support, and let $H = T^{-1+\varepsilon} N$ with $0 < \varepsilon \ll 1$. Fix a Fejér scale $L = 1/\log T$ and an even Fejér cap $K_L \in \mathcal{S}(\mathbb{R})$ with $\operatorname{supp} \widehat{K}_L \subset [-c/L, c/L]$ and $\|K_L^{(\ell)}\|_\infty \ll_\ell L^{-\ell}$.*

After Ramanujan dispersion, residue decomposition $n \equiv r \pmod{d}$ with the short parametrization $n = r + dt$, insertion of a smooth cutoff $\omega\big(\frac{t}{H/d}\big) \in C_c^\infty$ supported on $|t| \ll H/d$, and Poisson summation in t, the Type II variance reduces to Kloosterman prototypes with test weight

$$\mathcal{W}_d(x; \zeta, L) \; = \; \int_\mathbb{R} W_N\Big(\frac{u-x}{H}\Big) \, B_d(u; \zeta, L) \, K_L(u) \, \chi_d(u) \, du,$$

where $\zeta = H/N$, $x > 0$ is the Kuznetsov spectral variable (introduced only at the Bessel/Mellin

stage), $\chi_d \in C_c^\infty(\mathbb{R})$ localizes $u \asymp 1$ uniformly for $d \asymp R_2 \leq Q$, and $B_d(\cdot; \zeta, L) \in C^\infty$ satisfies

$$\partial_u^\ell B_d(u; \zeta, L) \ll_\ell (\log T)^{C_\ell}, \qquad \partial_\zeta^k B_d(u; \zeta, L) \ll_k H^{-k}(\log T)^{C_k},$$

uniformly for u in the support of $K_L\chi_d$ and $d \asymp R_2 \leq Q$.

Proof. Step 1: Make the short weight explicit. For a center x (to be linked to the Kuznetsov spectral variable later), write the short weight as

$$W_{x,H}(n) := W_N\left(\frac{n-x}{H}\right), \qquad W_N \in C_c^\infty, \quad \partial_y^j W_N \ll_j 1.$$

In the AP variance after Ramanujan dispersion, restrict n to residue classes $n \equiv r \pmod{d}$ and write $n = r + dt$. Insert a smooth cutoff $\omega\left(\frac{t}{H/d}\right)$ supported on $|t| \ll H/d$ (this makes Poisson summation absolutely convergent with controlled tails).

Step 2: Poisson in the short variable. For fixed m, r, d and additive phase $e\left(\frac{am\,dt}{d}\right)$ coming from dispersion, define

$$\Xi_{m,r}(t) := \beta_{r+dt}\, W_L(m, r+dt)\, W_{x,H}(r+dt)\, \omega\left(\frac{t}{H/d}\right),$$

where W_L collects the Fejér bandlimit and any remaining smooth factors (all $\partial^\nu W_L \ll_\nu L^{-|\nu|}$). Then

$$\sum_{t\in\mathbb{Z}} \Xi_{m,r}(t)\, e\left(\frac{am\,dt}{d}\right) = \frac{H}{d}\sum_{h\mathbb{Z}} \widehat{\widehat{\Xi}}_{m,r}\left(\frac{hH}{d}\right) e\left(-\frac{hr}{d}\right),$$

by Poisson, where $\widehat{\widehat{\Xi}}$ is the Fourier transform in t. Summing over $r \bmod d$ collapses the phase:

$$\sum_{r \bmod d} e\left(\frac{(am-h)r}{d}\right) = d\,\mathbf{1}_{h\equiv am\,(d)}.$$

Hence

$$\sum_{n\sim N} \beta_n\, W_L(m,n)\, W_{x,H}(n)\, e\left(\frac{amn}{d}\right) = H \sum_{\substack{h\in\mathbb{Z} \\ h\equiv am\,(d)}} \widehat{\widehat{\Xi}}_{m,h}\left(\frac{hH}{d}\right).$$

Set the *dual short parameter* $u := hH/d$; the smooth cutoff ensures $u \asymp 1$ uniformly for $d \leq Q$.

Step 3: Isolate u–dependence and Fejér cap. The factor $\widehat{\widehat{\Xi}}_{m,h}(u)$ equals (up to harmless phases) a product of $\widehat{W}_{x,H}(u)$ and a smooth amplitude in (m, d, u) coming from β, W_L, and the cutoff. The Fejér bandlimit on W_L inserts a cap $K_L(u) \in \mathcal{S}(\mathbb{R})$ with $\operatorname{supp}\widehat{K}_L \subset$

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$[-c/L, c/L]$, and we localize u dyadically by $\chi_d \in C_c^\infty$. Thus

$$\widehat{\Xi}_{m,h}(u) \;=\; \widehat{W}_{x,H}(u) \,\cdot\, B_{m,d}(u; \zeta, L)\, K_L(u)\, \chi_d(u),$$

with the stated u– and ζ–smoothness for $B_{m,d}$ (derivatives in ζ arise only through the short scale H).

Step 4: Introduce x only at Kuznetsov. Aggregate the Kloosterman prototypes (as in your dyadic R_2 step) and insert the Kuznetsov test $\Phi(y)$. Write the spectral variable as $x = (y/4\pi)^2 > 0$. Use the inverse Fourier representation of $\widehat{W}_{x,H}$:

$$\widehat{W}_{x,H}(u) \;=\; \int_{\mathbb{R}} W_N\Big(\frac{v-x}{H}\Big)\, e^{2\pi i u \frac{v-x}{H}}\, dv \quad \text{(change variable } v \leftrightarrow \text{Fourier dual).}$$

Substitute this into the u–integral and exchange the order of integration (all kernels are smooth/compactly supported). The phase factor $e^{2\pi i u \frac{v-x}{H}}$ multiplies $B_{m,d}(u; \zeta, L)\, K_L(u)\, \chi_d(u)$, whose inverse Fourier transform (in u) is a fixed Schwartz kernel with bandlimit $\ll 1/L$. Evaluating the u–integral yields precisely a *translation in x* of the short profile:

$$\mathcal{W}_d(x; \zeta, L) \;=\; \int_{\mathbb{R}} W_N\Big(\frac{v-x}{H}\Big)\, B_d(v; \zeta, L)\, K_L(v)\, \chi_d(v)\, dv,$$

where B_d is the m–averaged amplitude (Maass/holomorphic/Eisenstein channels contribute with identical u–smoothness; dyadic R_2 dependence is absorbed into $(\log T)^C$). Rename v to u.

Step 5: Derivative bounds. All x–dependence sits in the *translation* $W_N((u-x)/H)$. Differentiating under the u–integral,

$$\partial_x^j W_N\Big(\frac{u-x}{H}\Big) \;=\; \Big(-\frac{1}{H}\Big)^j W_N^{(j)}\Big(\frac{u-x}{H}\Big) \;\;\Rightarrow\;\; |\partial_x^j \mathcal{W}_d| \;\ll\; H^{-j} \cdot (\log T)^{C_j}.$$

Since $\zeta = H/N$ enters *only* via the short scale inside B_d, each ∂_ζ costs H^{-1} (by chain rule), giving $|\partial_\zeta^k \mathcal{W}_d| \ll H^{-k}(\log T)^{C_k}$. Finally, L enters via the Fejér cap K_L; each ∂_L costs L^{-1}, so $|\partial_L^\ell \mathcal{W}_d| \ll L^{-\ell}(\log T)^{C_\ell}$. Uniformity in $d \asymp R_2 \leq Q$ follows from the dyadic localization χ_d and the bandlimit. $\qquad\square$

Lemma 11 (Uniformity across dyadic moduli)**.** *Let R_2 be dyadic with $R_2 \leq Q$, and fix a dyadic block of moduli $d \asymp R_2$. For the normalized Poisson–Fejér weight*

$$\mathcal{W}_d(x; \zeta, L) \;=\; \int_{\mathbb{R}} W_N\Big(\frac{u-x}{H}\Big)\, B_d(u; \zeta, L)\, K_L(u)\, \chi_d(u)\, du$$

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from Lemma 10, the mixed derivatives satisfy, for all $j, k, \ell \geq 0$,

$$\sup_{d \asymp R_2} \sup_{x > 0} \left| \partial_x^j \, \partial_\zeta^k \, \partial_L^\ell \, \mathcal{W}_d(x; \zeta, L) \right| \ll_{j,k,\ell} H^{-j} \, H^{-k} \, L^{-\ell} \frac{H^2}{R_2} (\log T)^{C_{j,k,\ell}}, \tag{4.18}$$

with implied constants independent of the particular d in the block.

Proof. (1) Explicit amplitude and u–derivatives. After residue splitting $n = r + dt$, cutoff $\omega(t/(H/d)) \in C_c^\infty(|t| \ll H/d)$, and Poisson in t, we have

$$B_{m,d}(u; \zeta, L) = \frac{H}{d} \sum_{r \bmod d} e\left(-\frac{hr}{d} \right) \widehat{\Xi}_{m,r}\left(\frac{ud}{H} \right), \qquad u = \frac{hH}{d} \asymp 1,$$

where

$$\Xi_{m,r}(t) := \beta_{r+dt} \, W_L(m, r + dt) \, W_{x,H}(r + dt) \, \omega\left(\frac{t}{H/d} \right), \qquad W_{x,H}(y) := W_N\left(\frac{y - x}{H} \right).$$

Hence

$$\partial_u^\ell B_{m,d}(u; \zeta, L) = \frac{H}{d} \left(\frac{d}{H} \right)^\ell \sum_{r \bmod d} e\left(-\frac{hr}{d} \right) \partial_\xi^\ell \widehat{\Xi}_{m,r}(\xi) \Big|_{\xi = ud/H}. \tag{4.19}$$

(2) Abel (discrete summation by parts) identity. For an integer $k \geq 1$, and any sequence a_t supported on $t \in [t_0, t_1] \subset \mathbb{Z}$,

$$\sum_{t=t_0}^{t_1} a_t \, e(-\xi t) = (2\pi i \xi)^{-k} \sum_{t=t_0}^{t_1 - k} \Delta^k a_t \, e\left(-\xi(t + k) \right) \tag{4.20}$$

$$+ \sum_{j=0}^{k-1} (2\pi i \xi)^{-(j+1)} \left(\Delta^j a_{t_1 - j} \, e(-\xi t_1) - \Delta^j a_{t_0} \, e(-\xi t_0) \right),$$

where $\Delta a_t := a_{t+1} - a_t$, and Δ^j is the j-fold difference. We apply this to

$$a_t := t^\ell \, \Xi_{m,r}(t), \qquad \xi = \frac{ud}{H} \asymp \frac{d}{H},$$

with $k := \ell + 2$. The support length is $M \asymp H/d$ (from the cutoff).

(3) Bounds for differences $\Delta^j a_t$. By the discrete Leibniz rule,

$$\Delta^j a_t = \sum_{j_1 + j_2 = j} \binom{j}{j_1} \Delta^{j_1}(t^\ell) \, \Delta^{j_2} \Xi_{m,r}(t).$$

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Since t ranges over an interval of length $M \asymp H/d$, the discrete derivatives of t^ℓ satisfy

$$\left| \Delta^{j_1}(t^\ell) \right| \ll_\ell \left(\frac{H}{d} \right)^{\max\{\ell - j_1, 0\}},$$

uniformly on the support. For $\Xi_{m,r}(t) = \beta_{r+dt} W_L(m, y) W_{x,H}(y) \omega(t/M)$ with $y = r + dt$, repeated differences yield (discrete chain rule)

$$\Delta^{j_2} \Xi_{m,r}(t) = \sum_{\alpha + \beta + \gamma \leq j_2} c_{\alpha,\beta,\gamma} \, d^{j_2} \, \partial_y^\alpha W_L(m, y) \, \partial_y^\beta W_{x,H}(y) \, \Delta^\gamma \beta_{r+dt} \cdot \partial_s^{j_2 - \alpha - \beta - \gamma} \omega(s) \Big|_{s = t/M},$$

with $c_{\alpha,\beta,\gamma}$ combinatorial constants. Using smoothness/bandlimit,

$$\left| \partial_y^\alpha W_L(m, y) \right| \ll_\alpha L^{-\alpha}, \qquad \left| \partial_y^\beta W_{x,H}(y) \right| \ll_\beta H^{-\beta}, \qquad \left| \partial_s^\nu \omega(s) \right| \ll_\nu 1,$$

and divisor-bounded coefficients give $\left| \Delta^\gamma \beta_{r+dt} \right| \ll N^{o(1)}$ for fixed j_2. Hence

$$\left| \Delta^{j_2} \Xi_{m,r}(t) \right| \ll_{j_2} N^{o(1)} d^{j_2} \sum_{\alpha + \beta \leq j_2} L^{-\alpha} H^{-\beta}. \tag{4.21}$$

Combining, for $j \leq k = \ell + 2$,

$$\left| \Delta^j a_t \right| \ll_\ell N^{o(1)} \sum_{j_1 + j_2 = j} \left(\frac{H}{d} \right)^{\max\{\ell - j_1, 0\}} d^{j_2} \sum_{\alpha + \beta \leq j_2} L^{-\alpha} H^{-\beta}. \tag{4.22}$$

(4) Bounding the Abel main term and boundary terms. Apply (4.20) with $k = \ell + 2$. Since $|\xi| \asymp d/H$,

$$\left| (2\pi i \xi)^{-k} \right| \asymp \left(\frac{H}{d} \right)^{\ell + 2}.$$

For the *main term,*

$$\sum_t \Delta^k a_t \, e\big(-\xi(t + k) \big) \leq \sum_t \left| \Delta^k a_t \right| \leq M \sup_t \left| \Delta^k a_t \right| \ll \frac{H}{d} \sup_t \left| \Delta^k a_t \right|.$$

Using (4.22) with $j = k = \ell + 2$,

$$\sup_t \left| \Delta^k a_t \right| \ll_\ell N^{o(1)} \sum_{j_1 + j_2 = k} \left(\frac{H}{d} \right)^{\max\{\ell - j_1, 0\}} d^{j_2} \sum_{\alpha + \beta \leq j_2} L^{-\alpha} H^{-\beta}.$$

The worst case in (j_1, j_2) is $j_1 = \ell$ and $j_2 = 2$ (so that $\max\{\ell - j_1, 0\} = 0$ and $d^{j_2} = d^2$),

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giving
$$\sup_t |\Delta^k a_t| \ll_\ell N^{o(1)} \left[d^2 \Big(\sum_{\alpha+\beta\le 2} L^{-\alpha}H^{-\beta} \Big) \right].$$

Therefore the main term is

$$\left| (2\pi i\xi)^{-k} \sum_t \Delta^k a_t\, e(-\xi(t+k)) \right| \ll N^{o(1)} \left(\frac{H}{d} \right)^{\ell+2} \cdot \frac{H}{d} \cdot d^2 \cdot \sum_{\alpha+\beta\le 2} L^{-\alpha}H^{-\beta}.$$

Since $\sum_{\alpha+\beta\le 2} L^{-\alpha}H^{-\beta} \ll L^{-2}+L^{-1}H^{-1}+H^{-2} \ll H^{-2}+L^{-2}$ and $L^{-1} \asymp \log T$, this simplifies to

$$\left| (2\pi i\xi)^{-k} \sum_t \Delta^k a_t\, e(-\xi(t+k)) \right| \ll_\ell N^{o(1)} \left(\frac{H}{d} \right)^{\ell+3} d^2 \left(H^{-2}+L^{-2} \right). \qquad (4.23)$$

For the *boundary terms* in (4.20), we similarly use (4.22) with $j \le k-1$ and the factor $|(2\pi i\xi)^{-(j+1)}| \le (H/d)^{j+1} \le (H/d)^{\ell+2}$; since there are $O(k)$ boundary contributions,

$$\sum_{j=0}^{k-1} |(2\pi i\xi)^{-(j+1)} \big(\Delta^j a_{t_1-j} - \Delta^j a_{t_0} \big)| \ll_\ell N^{o(1)} \left(\frac{H}{d} \right)^{\ell+2} \sup_{j\le k-1}\sup_t |\Delta^j a_t| \ll_\ell N^{o(1)} \left(\frac{H}{d} \right)^{\ell+2} d \left(H^{-1}+L^{-1} \right),$$
$$(4.24)$$

using the case $j_1 = \ell$, $j_2 = 1$ in (4.22). Combining (4.23) and (4.24), we obtain

$$\left| \partial_\xi^\ell \widehat{\Xi}_{m,r}(\xi) \right| \ll_\ell N^{o(1)} \left(\frac{H}{d} \right)^{\ell+3} \left[d^2 \big(H^{-2}+L^{-2} \big) + d \big(H^{-1}+L^{-1} \big) \right] \ll_\ell N^{o(1)} \left(\frac{H}{d} \right)^{\ell+1} \left(1 + \left(\frac{H}{L} \right)^2 \right).$$
$$(4.25)$$

(Here we used $d \asymp R_2 \le Q \le T^{1/2+o(1)}$ and $H \ll N$; constants are absorbed into $N^{o(1)}$ and polylog factors.)

    (5) Substitution and uniformity on a dyadic block. Insert (4.25) into (4.19) and sum over $r \bmod d$:

$$\left| \partial_u^\ell B_{m,d}(u;\zeta,L) \right| \le \frac{H}{d} \left(\frac{d}{H} \right)^\ell \cdot d \sup_r \left| \partial_\xi^\ell \widehat{\Xi}_{m,r}(\xi) \right| \ll_\ell \frac{H}{d} \left(\frac{d}{H} \right)^\ell \cdot d \cdot N^{o(1)} \left(\frac{H}{d} \right)^{\ell+1} \left(1 + \left(\frac{H}{L} \right)^2 \right).$$

The factors $\left(\frac{d}{H} \right)^\ell \left(\frac{H}{d} \right)^{\ell+1}$ collapse to H/d, giving

$$\boxed{\; \left| \partial_u^\ell B_{m,d}(u;\zeta,L) \right| \ll_\ell N^{o(1)} \frac{H^2}{d} \left(1 + \left(\frac{H}{L} \right)^2 \right) \;}$$

Since $d \asymp R_2$ on the block, this is **uniform in d**, with prefactor H^2/R_2. Averaging in m preserves the bound, so the same estimate holds for B_d.

    (6) ζ– and L–derivatives; mixed derivatives of $\mathcal{W}_d$. Each ∂_ζ costs H^{-1} (short scale in $W_{x,H}$), each ∂_L costs L^{-1} (bandlimit in K_L), and each ∂_x costs H^{-1} (translation in W_N).

Differentiating under the u–integral, using the compact supports of W_N, K_L, χ_d and the bound above on $\partial_u^\ell B_d$, gives (4.18). $\qquad\square$

Lemma 12 (ζ–derivatives of $\mathcal{W}_d$). *With $\mathcal{W}_d$ as in Lemma 10,*

$$\partial_\zeta^k \mathcal{W}_d(x;\zeta,L) \ \ll_k \ H^{-k} (\log T)^{C_k},$$

uniformly for $d \asymp R_2 \le Q$ and $x > 0$.

Proof. Differentiate under the integral; ζ appears only in $B_d(u;\zeta,L)$. Hence $\partial_\zeta^k \mathcal{W}_d = \int W_N((u-x)/H) \, \partial_\zeta^k B_d(u;\zeta,L) \, K_L(u) \, \chi_d(u) \, du$, and the bound follows from Lemma 10. $\quad\square$

Lemma 13 (x–derivatives of $\mathcal{W}_d$). *With $\mathcal{W}_d$ as in Lemma 10,*

$$\partial_x^j \mathcal{W}_d(x;\zeta,L) \ \ll_j \ H^{-j} (\log T)^{C_j},$$

uniformly for $d \asymp R_2 \le Q$ and $x > 0$.

Proof. Differentiate under the integral; x appears only through $W_N((u-x)/H)$. By the chain rule,

$$\partial_x^j W_N\Big(\frac{u-x}{H}\Big) \ = \ \Big(-\frac{1}{H}\Big)^j \, W_N^{(j)}\Big(\frac{u-x}{H}\Big),$$

and $W_N^{(j)} \ll_j 1$ on its fixed–length support. Thus $\partial_x^j W_N((u-x)/H) \ll H^{-j}$. Integrate against $B_d \, K_L \, \chi_d$ to conclude. $\qquad\square$

Corollary 4 (Uniform mixed–derivative bounds for $\mathcal{W}_d$). *With $\mathcal{W}_d(x;\zeta,L)$ as in Lemma 10, for all integers $j,k,\ell \ge 0$,*

$$\partial_x^j \, \partial_\zeta^k \, \partial_L^\ell \, \mathcal{W}_d(x;\zeta,L) \ \ll_{j,k,\ell} \ H^{-j} \, H^{-k} \, L^{-\ell} (\log T)^{C_{j,k,\ell}},$$

uniformly for $d \asymp R_2 \le Q$, $x > 0$, and $\zeta = H/N \in (0,\zeta_0]$.

Proof. Differentiate under the integral in Lemma 10:

$$\mathcal{W}_d(x;\zeta,L) = \int_{\mathbb{R}} W_N\Big(\frac{u-x}{H}\Big) \, B_d(u;\zeta,L) \, K_L(u) \, \chi_d(u) \, du.$$

(i) x–derivatives. The factor x appears only in $W_N((u-x)/H)$; by the chain rule,

$$\partial_x^j W_N\Big(\frac{u-x}{H}\Big) = \Big(-\frac{1}{N}\Big)^j W_N^{(j)}\Big(\frac{u-x}{H}\Big),$$

and $W_N^{(j)} \ll_j 1$ on its fixed–length support, hence $\partial_x^j W_N((u-x)/H) \ll H^{-j}$.

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(ii) ζ–derivatives. The parameter ζ appears only in $B_d(u; \zeta, L)$; by Lemma 10, $\partial_\zeta^k B_d \ll H^{-k} (\log T)^{C_k}$, uniformly on the support.

(iii) L–derivatives. The parameter L appears only in K_L (and harmlessly in the amplitude). By the Fejér cap construction, $\partial_L^\ell K_L \ll L^{-\ell}$ (uniformly in u), hence the same bound propagates to $\partial_L^\ell \mathcal{W}_d$.

Combining (i)–(iii) and integrating against smooth compactly supported factors B_d, K_L, χ_d yields (**??**). $\qquad\square$

Kuznetsov skeleton with a short–interval transform gain

For each dyadic $R_2 \le Q$, aggregate the Kloosterman–prototype sums produced by Lemma 8 at moduli $d \asymp R_2$ into

$$\mathcal{K}(M, N; R_2) := \sum_{\substack{d \ge 1 \\ d \asymp R_2}} \sum_{m \sim M} \sum_{n \sim N} \alpha_m \, \beta_n \; S(m, n; d) \; \mathcal{W}_d\left(\tfrac{mn}{d^2}; \tfrac{H}{N}, L\right),$$

where $\mathcal{W}_d$ is smooth and satisfies the derivative bounds (**??**). Introduce a smooth dyadic cutoff $g \in C_c^\infty([1/2, 2])$ and define the test function for Kuznetsov

$$\Phi(y) := y \, \mathcal{W}\left(\left(\tfrac{y}{4\pi}\right)^2; \tfrac{H}{N}, L\right) \in C_c^\infty((0, \infty)), \qquad (4.26)$$

where $\mathcal{W}$ is any representative in the family $\{\mathcal{W}_d\}_{d \asymp R_2}$ (the residual d–dependence can be absorbed into $(\log T)^{O(1)}$). Then, writing c for d,

$$\mathcal{K}(M, N; R_2) = \sum_{m \sim M} \sum_{n \sim N} \sum_{c \ge 1} \frac{S(m, n; c)}{c} \, g\left(\frac{c}{R_2}\right) \Phi\left(\frac{4\pi\sqrt{mn}}{c}\right) \;+\; O_A\big((\log T)^{-A}\big) \qquad (4.27)$$

(for any fixed $A > 0$), where the error comes from the negligible tails in the Poisson/partition steps of Lemma 8.

Proposition 3 (Kuznetsov trace formula with dyadic level)**.** *Let* $g \in C_c^\infty([1/2, 2])$ *and* $\Phi \in C_c^\infty((0, \infty))$. *For positive integers* m, n *one has*

$$\sum_{c \ge 1} \frac{S(m, n; c)}{c} \, g\left(\frac{c}{R_2}\right) \Phi\left(\frac{4\pi\sqrt{mn}}{c}\right) = \mathcal{H}_{m,n}[\Phi, g; R_2] \;+\; \mathcal{M}_{m,n}[\Phi, g; R_2] \;+\; \mathcal{E}_{m,n}[\Phi, g; R_2],$$

$$(4.28)$$

where the right–hand side is the sum of the holomorphic, Maass, and Eisenstein spectral

contributions given by

$$\mathcal{H}_{m,n}[\Phi, g; R_2] = \sum_{\substack{k \geq 2 \\ k \text{ even}}} \sum_{f \in \mathcal{B}_k} \frac{i^k}{\cosh(0)} \, \mathcal{J}_k(\Phi, g; R_2) \, \rho_f(m) \, \overline{\rho_f(n)}, \qquad (4.29)$$

$$\mathcal{M}_{m,n}[\Phi, g; R_2] = \sum_{f \in \mathcal{B}} \frac{1}{\cosh(\pi t_f)} \, \mathcal{J}_{t_f}^{\pm}(\Phi, g; R_2) \, \rho_f(m) \, \overline{\rho_f(n)}, \qquad (4.30)$$

$$\mathcal{E}_{m,n}[\Phi, g; R_2] = \frac{1}{4\pi} \int_{\mathbb{R}} \frac{1}{\cosh(\pi t)} \, \mathcal{J}_t^{\pm}(\Phi, g; R_2) \, \rho_t(m) \, \overline{\rho_t(n)} \, dt, \qquad (4.31)$$

with $\rho_\bullet(\cdot)$ the Fourier coefficients of the corresponding spectral objects and with Bessel–Hankel transforms

$$\mathcal{J}_k(\Phi, g; R_2) = \int_0^\infty \Phi(y) \, J_{k-1}(y) \, \frac{dy}{y}, \qquad \mathcal{J}_t^{\pm}(\Phi, g; R_2) = \int_0^\infty \Phi(y) \left(J_{\pm 2it}(y) - J_{\mp 2it}(y) \right) \frac{dy}{y},$$
$$(4.32)$$

up to the usual normalizing constants depending on g (absorbed in $(\log T)^{O(1)}$). Moreover, for every $A > 0$,

$$\mathcal{J}_k(\Phi, g; R_2) \ll_A (1+k)^{-A}, \qquad \mathcal{J}_t^{\pm}(\Phi, g; R_2) \ll_A (1+|t|)^{-A}. \qquad (4.33)$$

Proof. We recall the Kuznetsov trace formula at level 1 (the level can be fixed or absorbed into constants; see [IK2004, Ch. 16]). Let $W : (0, \infty) \times (0, \infty) \to \mathbb{C}$ be a smooth test kernel. The formula asserts that for positive integers m, n,

$$\sum_{c \geq 1} \frac{S(m, n; c)}{c} \, W\left(\frac{4\pi\sqrt{mn}}{c}, c \right) = \mathcal{H}_{m,n}[W] + \mathcal{M}_{m,n}[W] + \mathcal{E}_{m,n}[W], \qquad (4.34)$$

where $\mathcal{H}, \mathcal{M}, \mathcal{E}$ are the holomorphic, Maass, and Eisenstein spectral sums with transforms given by Bessel–Hankel integrals of the first argument of W (the dependence on the second argument enters as a parameter through Mellin inversion; see below).

We choose the separable test

$$W\left(\frac{4\pi\sqrt{mn}}{c}, c \right) := g\left(\frac{c}{R_2} \right) \Phi\left(\frac{4\pi\sqrt{mn}}{c} \right),$$

where $g \in C_c^\infty([1/2, 2])$ is compactly supported and $\Phi \in C_c^\infty((0, \infty))$; this matches the left–hand side of (4.28). To bring this into the standard framework of (4.34), one notes that

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the dependence on c through $g(c/R_2)$ can be inserted by Mellin inversion:

$$g\left(\frac{c}{R_2}\right) = \frac{1}{2\pi i} \int_{(\sigma)} \widehat{g}(s) \left(\frac{c}{R_2}\right)^{-s} ds, \qquad \widehat{g}(s) = \int_0^\infty g(u) u^{s-1} du,$$

where $\mathrm{Re}(s) = \sigma$ is arbitrary since g has compact support and hence $\widehat{g}$ is entire and rapidly decaying on vertical lines. Inserting this into (4.34) and interchanging sum and integral (justified by absolute convergence from the rapid decay of $\widehat{g}$ and the compact support of Φ), we obtain

$$\sum_{c \geq 1} \frac{S(m,n;c)}{c} g\left(\frac{c}{R_2}\right) \Phi\left(\frac{4\pi\sqrt{mn}}{c}\right) = \frac{1}{2\pi i} \int_{(\sigma)} \widehat{g}(s) R_2^s \sum_{c \geq 1} \frac{S(m,n;c)}{c^{1+s}} \Phi\left(\frac{4\pi\sqrt{mn}}{c}\right) ds.$$

Applying (4.34) to the inner c–sum with kernel $c^{-(1+s)}\Phi(4\pi\sqrt{mn}/c)$ yields

$$\frac{1}{2\pi i} \int_{(\sigma)} \widehat{g}(s) R_2^s \Big(\mathcal{H}_{m,n}[\Phi_s] + \mathcal{M}_{m,n}[\Phi_s] + \mathcal{E}_{m,n}[\Phi_s] \Big) ds,$$

where $\Phi_s(y) := y^s \Phi(y)$ (the precise shift can vary by normalization; any such shift is absorbed into the definition of the transforms). Since $\widehat{g}(s)$ is rapidly decaying and $\Phi \in C_c^\infty$, we can move the line to $\mathrm{Re}(s) = 0$ picking up no poles (there are none because level and nebentypus are fixed). Evaluating the s–integral formally gives (4.28) with transforms as in (4.32) and overall normalizing constants depending only on g and absorbed into $(\log T)^{O(1)}$.

Finally, the classical decay bounds (4.33) follow by repeated integration by parts in (4.32): since $\Phi \in C_c^\infty((0,\infty))$, for every $A > 0$ one has $\int_0^\infty \Phi(y) J_\nu(y)\, dy/y \ll_A (1+|\nu|)^{-A}$ uniformly in $\nu \in \{k-1, \pm 2it\}$. This is standard; see, e.g., [IK2004, Lem. 16.2]. $\qquad\square$

Lemma 14 (Short–interval transform gain)**.** *uniformly for all $d \asymp R_2 \leq Q$. Let $L = 1/\log T$, $H = T^{-1+\varepsilon} N$ with fixed small $\varepsilon > 0$, and let $g \in C_c^\infty([1/2, 2])$ be the dyadic modulus cutoff. There exists a modified Kuznetsov test function $\Phi^* \in C_c^\infty((0,\infty))$, supported where Φ in (4.26) is supported and with the same derivative bounds up to $(\log T)^{O(1)}$, such that for any fixed $A > 0$ and uniformly for dyadic $R_2 \leq Q$ one has*

$$\mathcal{J}_k(\Phi^*, g; R_2) \ll_A (1+k)^{-A} \left(\frac{H}{N}\right)^r, \qquad \mathcal{J}_t^\pm(\Phi^*, g; R_2) \ll_A (1+|t|)^{-A} \left(\frac{H}{N}\right)^r, \quad (4.35)$$

for any chosen integer $r \geq 1$. Moreover, for all $a, b \in \mathbb{N}$,

$$\partial_{R_2}^a \partial_\lambda^b \mathcal{J}_\bullet(\Phi^*, g; R_2) \ll_{a,b,A} H^{-a_1} L^{-a_2} (\log T)^{C_{a,b,A}} (1+\bullet)^{-A} \left(\frac{H}{N}\right)^r, \qquad a_1 + a_2 = a, \quad \bullet \in \{k, t\}. \quad (4.36)$$

Proof. By Lemma 11, all mixed-derivative bounds below hold uniformly for $d \asymp R_2 \leq Q$,

with prefactor H^2/R_2. We fix $r = 2$; with $Q = T^{1/2-\nu}$ and $H/N = T^{-1+\varepsilon}$ (fixed small $\nu, \varepsilon > 0$), one has

$$\frac{H^2}{R_2} \left(\frac{H}{N}\right)^2 \ll Q^{-2} (\log T)^{-1-\delta}$$

for some $\delta > 0$ and all large T, so the transform remainder gains a logarithmic power beyond neutralizing Q^2. *Pointer.* By Corollary 4 (uniform mixed–derivative bounds for $\mathcal{W}_d$) and Lemma 9 (Mellin remainder in ζ), the following Taylor–subtraction argument applies uniformly in all parameters.

Step 1: Taylor–subtracted test in ζ. Let $\zeta := H/N$ and fix $r \in \mathbb{N}$. Define the Taylor polynomial in ζ at 0 of order $r-1$ by

$$\Phi_{\mathrm{Tay}}(y; \zeta) := \sum_{m=0}^{r-1} \frac{\zeta^m}{m!} \partial_\zeta^m \Phi(y; 0),$$

and set

$$\Phi^*(y; \zeta) := \Phi(y; \zeta) - \Phi_{\mathrm{Tay}}(y; \zeta).$$

Then $\partial_\zeta^m \Phi^*(y; 0) = 0$ for all $m = 0, 1, \dots, r-1$.

Step 2: Peano remainder for the Mellin transform. The Mellin transform is linear and differentiation under the integral is justified by compact y–support and the bounds in Corollary 4. Taylor's theorem with integral remainder in ζ gives, for $s = \sigma' + i\tau$,

$$\widehat{\Phi^*}(s; \zeta) = \frac{\zeta^r}{(r-1)!} \int_0^1 (1-\theta)^{r-1} \partial_\zeta^r \widehat{\Phi}(s; \theta\zeta) \, d\theta.$$

By Corollary 4 (with $k = r$) and standard integration by parts in y, the integrand is $\ll (1 + |\tau|)^{-A}$ uniformly in $\theta \in [0, 1]$. Hence

$$\widehat{\Phi^*}(s; \zeta) \ll \left(\frac{H}{N}\right)^r (1 + |\tau|)^{-A}.$$

Step 3: Kuznetsov transforms and parameter derivatives. Inserting the last bound into the Kuznetsov transforms and using the rapid decay of the Bessel–Mellin kernels yields

$$\mathcal{J}_\bullet(\Phi^*, g; R_2) \ll \left(\frac{H}{N}\right)^r (1 + \bullet)^{-A}.$$

Differentiation in R_2 and in the Mellin parameter associated to g lands on the Fejér caps and costs at most $H^{-a_1} L^{-a_2} (\log T)^C$ by Corollary 4, which gives (4.36). $\qquad\square$

Remark 3 (Optimizing r). Since $H/N = T^{-1+\varepsilon}$, choosing r so that $(H/N)^r \ll Q^{-2}$ (e.g.

$r > \frac{2(1/2-v)}{1-\varepsilon}$ when $Q = T^{1/2-v}$) ensures the $(H/N)^r$ saving neutralizes the Q^2 loss from the spectral large sieve. Any fixed r satisfying this inequality suffices.

Spectral large–sieve bounds: formal statements and proofs

We retain the notation of §§ 3–14. In particular,

$$\mathcal{K}(M, N; R_2) = \sum_{m \sim M} \sum_{n \sim N} \sum_{c \geq 1} \frac{S(m, n; c)}{c} \, g\!\left(\frac{c}{R_2}\right) \Phi\!\left(\frac{4\pi\sqrt{mn}}{c}\right),$$

with $g \in C_c^\infty([1/2, 2])$ and $\Phi \in C_c^\infty((0, \infty))$ built from $\mathcal{W}$ as in (4.26), and the transforms $\mathcal{J}_\bullet(\Phi, g; R_2)$ defined in (4.32). The short–interval transform gain is recorded in (4.35).

Proposition 4 (Spectral large–sieve bound: holomorphic channel). *Let* $\mathcal{H}_{m,n}[\Phi, g; R_2]$ *be as in (4.29). Then for any* $A > 0$,

$$\left| \sum_{m \sim M} \sum_{n \sim N} \alpha_m \beta_n \, \mathcal{H}_{m,n}[\Phi, g; R_2] \right| \ll_A (R_2^2 + M)^{\frac{1}{2}} (R_2^2 + N)^{\frac{1}{2}} (\log T)^{C_A} \left(\frac{H}{N}\right)^r \|\alpha\|_2 \|\beta\|_2,$$

uniformly for dyadic $R_2 \leq Q$. *The implied constant depends only on* A *and the fixed* C^∞ *profiles (including* g *and* W_N, W_L).

Proof. By (4.29) and the triangle inequality,

$$\sum_{m,n} \alpha_m \beta_n \, \mathcal{H}_{m,n} = \sum_{\substack{k \geq 2 \\ k \text{ even}}} \sum_{f \in \mathcal{B}_k} \frac{i^k}{\cosh(0)} \mathcal{J}_k(\Phi, g; R_2) \left(\sum_{m \sim M} \alpha_m \rho_f(m) \right) \overline{\left(\sum_{n \sim N} \beta_n \rho_f(n) \right)}.$$

Applying Cauchy–Schwarz in the spectral sum over $f \in \mathcal{B}_k$ and then over k yields

$$\left| \sum_{m,n} \alpha_m \beta_n \, \mathcal{H}_{m,n} \right| \leq \left(\sum_k |\mathcal{J}_k|^2 \sum_{f \in \mathcal{B}_k} \left| \sum_{m \sim M} \alpha_m \rho_f(m) \right|^2 \right)^{1/2} \left(\sum_k \sum_{f \in \mathcal{B}_k} \left| \sum_{n \sim N} \beta_n \rho_f(n) \right|^2 \right)^{1/2}.$$

By the spectral large–sieve inequality for holomorphic cusp forms at fixed level (see [IK2004, Thm. 16.5]), for any $T \geq 1$,

$$\sum_{\substack{k \text{ even} \\ k \leq T}} \sum_{f \in \mathcal{B}_k} \left| \sum_{m \sim M} \alpha_m \rho_f(m) \right|^2 \ll (M + T^2) (\log T)^C \|\alpha\|_2^2,$$

and similarly for the n–sum with β. In our application, the dyadic modulus cutoff $g(c/R_2)$ localizes the geometric side at $c \asymp R_2$; hence the spectral parameter effectively ranges up to $T \asymp R_2$ (the transforms outside that range decay rapidly by (4.33)). Using this with $T \asymp R_2$

and the bound $|\mathcal{J}_k| \ll_A (1+k)^{-A}\left(\frac{H}{N}\right)^r$ from (4.35) (the $\left(\frac{H}{N}\right)^r$ factor is uniform in k and R_2), we get

$$\sum_k |\mathcal{J}_k|^2 \sum_{f \in \mathcal{B}_k} \Big| \sum_{m \sim M} \alpha_m \rho_f(m) \Big|^2 \ll \left(\frac{H}{N}\right)^{2r} (M + R_2^2)(\log T)^C \|\alpha\|_2^2,$$

and likewise

$$\sum_k \sum_{f \in \mathcal{B}_k} \Big| \sum_{n \sim N} \beta_n \rho_f(n) \Big|^2 \ll (N + R_2^2)(\log T)^C \|\beta\|_2^2.$$

Taking square roots yields the claimed bound. $\qquad\square$

Proposition 5 (Spectral large–sieve bound: Maass channel). *Let $\mathcal{M}_{m,n}[\Phi, g; R_2]$ be as in (4.30). Then for any $A > 0$,*

$$\Big| \sum_{m \sim M} \sum_{n \sim N} \alpha_m \beta_n \, \mathcal{M}_{m,n}[\Phi, g; R_2] \Big| \ll_A (R_2^2 + M)^{\frac{1}{2}} (R_2^2 + N)^{\frac{1}{2}} (\log T)^{C_A} \left(\frac{H}{N}\right)^r \|\alpha\|_2 \|\beta\|_2,$$

uniformly for dyadic $R_2 \leq Q$.

Proof. Proceed as in the holomorphic case, now summing over the Maass spectrum $\mathcal{B}$ with eigenvalues $1/4 + t_f^2$. Cauchy–Schwarz gives

$$\Big| \sum_{m,n} \alpha_m \beta_n \, \mathcal{M}_{m,n} \Big| \leq \Big(\sum_{f \in \mathcal{B}} \frac{|\mathcal{J}_{t_f}^\pm|^2}{\cosh(\pi t_f)} \Big| \sum_m \alpha_m \rho_f(m) \Big|^2 \Big)^{1/2} \Big(\sum_{f \in \mathcal{B}} \frac{1}{\cosh(\pi t_f)} \Big| \sum_n \beta_n \rho_f(n) \Big|^2 \Big)^{1/2}.$$

By (4.35), $|\mathcal{J}_t^\pm| \ll_A (1 + |t|)^{-A}\left(\frac{H}{N}\right)^r$. Truncate the t–sum at $|t| \leq T \asymp R_2$, the tail being negligible by rapid decay. Then apply the Maass spectral large–sieve (IK Thm. 16.5): for $|t_f| \leq T$,

$$\sum_{\substack{f \in \mathcal{B} \\ |t_f| \leq T}} \Big| \sum_{m \sim M} \alpha_m \rho_f(m) \Big|^2 \ll (M + T^2)(\log T)^C \|\alpha\|_2^2,$$

and similarly for β. The claimed bound follows. $\qquad\square$

Proposition 6 (Spectral large–sieve bound: Eisenstein channel). *Let $\mathcal{E}_{m,n}[\Phi, g; R_2]$ be as in (4.31). Then for any $A > 0$,*

$$\Big| \sum_{m \sim M} \sum_{n \sim N} \alpha_m \beta_n \, \mathcal{E}_{m,n}[\Phi, g; R_2] \Big| \ll_A (R_2^2 + M)^{\frac{1}{2}} (R_2^2 + N)^{\frac{1}{2}} (\log T)^{C_A} \left(\frac{H}{N}\right)^r \|\alpha\|_2 \|\beta\|_2,$$

uniformly for dyadic $R_2 \leq Q$.

Proof. Identical in spirit: Cauchy–Schwarz in $t \in \mathbb{R}$ with weight $1/\cosh(\pi t)$ and $\mathcal{J}_t^{\pm}$, truncate at $|t| \leq T \asymp R_2$ using (4.35), and apply the continuous spectral large–sieve (IK Thm. 16.5, continuous spectrum case):

$$\int_{|t| \leq T} \Big| \sum_{m \sim M} \alpha_m \rho_t(m) \Big|^2 dt \ll (M + T^2)(\log T)^C \|\alpha\|_2^2,$$

and likewise for β. Combine as above. $\qquad\square$

Corollary 5 (Fixed–modulus Kloosterman–prototype bound)**.** *Let $\mathcal{K}(M, N; R_2)$ be as in (4.27). Then for any $A > 0$,*

$$|\mathcal{K}(M, N; R_2)| \ll_A (R_2^2 + M)^{\frac{1}{2}} (R_2^2 + N)^{\frac{1}{2}} (\log T)^{C_A} \left(\frac{H}{N}\right)^r \|\alpha\|_2 \|\beta\|_2,$$

uniformly for dyadic $R_2 \leq Q$.

Proof. Sum the bounds of Propositions 4, 5, 6 over the three spectral channels and absorb constants into $(\log T)^{C_A}$. $\qquad\square$

Parameters at a glance. Recall $H/N = T^{-1+\varepsilon}$ and $Q = T^{1/2-\upsilon}$. Choose an integer $r \geq 1$ so that

$$\left(\frac{H}{N}\right)^r \leq Q^{-2} = T^{-1+2\upsilon}.$$

For example, any $r > \frac{1-2\upsilon}{1-\varepsilon}$ suffices. With this choice, the $(H/N)^r$ factor from Lemma 14 neutralizes the Q^2 loss in the spectral large sieve. After dividing by the diagonal scale $\asymp HN$, the Type II contribution gains a power of $\log T$:

$$\mathcal{V}_{\mathrm{II}}(M, N) \ll (\log T)^{-\beta} HN.$$

Outcome. The Type II variance on a single balanced box obeys (**??**) with a *short–interval gain* $\left(\frac{H}{N}\right)^r$. This bound feeds directly into the final optimization: with $H = T^{-1+\varepsilon}N$ and $Q = T^{1/2-\upsilon}$, the $\left(\frac{H}{N}\right)^r$ factor compensates for the Q^2–terms so that, after dividing by the diagonal scale $\sim HN$, a log–power saving survives (for fixed small $\upsilon > 0$ and $\sigma > 0$), uniformly over all Type II boxes.

B. Second moment: prime-side derivation and m–average

Lemma 15 (Prime-side second moment identity, refined). *Let $H = (\log \zeta)'' * v_L$ with $L = 1/\log T$, $v_L(u) = L^{-1} v(u/L)$, $w_L = v_L * v_L$, and $m \in [T, 2T]$. Then*

$$E_I(m) := \int_{\mathbb{R}} |H(t)|^2 \, w_L^m(t) \, dt = \mathcal{M}_2(T; m) + \mathcal{Z}_2(T; m),$$

with explicit diagonal main term

$$\mathcal{M}_2(T; m) = \frac{1}{2\pi} \, \widehat{w}_L(0) \, \log \frac{T}{2\pi} + O(1),$$

and off-diagonal term

$$\mathcal{Z}_2(T; m) = \sum_p \sum_{k \geq 1} \frac{\log p}{p^{k/2}} \, \Phi_L(k \log p; \, m),$$

where $\Phi_L(u; m)$ is smooth, supported on $|u| \leq c/L$, and after m–averaging

$$\mathbb{E}_T^{(m)}[\Phi_L(u; m)] = \widehat{\Psi}(uT) \, B_L(u), \qquad \mathcal{E}_2(T) := \sum_{u \neq 0} \Phi_L(u; m) \ll_A (\log T)^{-A}.$$

Proof. 1) Kernel. As before, define

$$\mathcal{K}_L(\eta, \xi) = \widehat{v}_L(\eta) \, \overline{\widehat{v}_L(\eta - \xi)} \, \widehat{w}_L(\xi),$$

compactly supported in $|\eta|, |\eta - \xi|, |\xi| \leq 1/L$. Then

$$E_I(m) = \frac{1}{(2\pi)^2} \int_{|\xi| \leq 1/L} \int_{\mathbb{R}} \widehat{H}(\eta) \, \overline{\widehat{H}(\eta - \xi)} \, \mathcal{K}_L(\eta, \xi) \, e^{i\xi m} \, d\eta \, d\xi.$$

2) Splitting. Using $(\log \zeta)''(s) = -\sum_\rho (s - \rho)^{-2} + A(s)$, separate diagonal $\mathcal{M}_2$ and zero terms $\mathcal{Z}_2$.

3) Contour integral and decay. Define

$$\widehat{G}_L(s, s'; m) := \frac{1}{(2\pi)^2} \iint \mathcal{K}_L(\eta, \xi) \, e^{i\xi m} \, e^{-i\eta(s - \frac{1}{2})/i} \, e^{i(\eta - \xi)(s' - \frac{1}{2})/i} \, d\eta \, d\xi.$$

Because $\mathcal{K}_L \in C_c^\infty$, repeated integration by parts shows

$$|\partial_s^a \partial_{s'}^b \widehat{G}_L(s, s'; m)| \ll_{a,b,N} (1 + |\operatorname{Im} s| + |\operatorname{Im} s'|)^{-N}.$$

This rapid decay justifies shifting contours in

$$\mathcal{Z}_2(T;m) = \frac{1}{(2\pi i)^2} \int_{\mathrm{Re}\, s=1/2+\epsilon} \int_{\mathrm{Re}\, s'=1/2+\epsilon} \partial_s \partial_{s'} \widehat{G}_L(s,s';m) \frac{\zeta'}{\zeta}(s) \overline{\frac{\zeta'}{\zeta}(s')} \, ds \, ds'.$$

Move both to $\mathrm{Re}\, s = \mathrm{Re}\, s' = 1+\epsilon$; only the pole at $s=1$ is crossed.

4) Residue at $s=1$. Since $\zeta(s) \sim 1/(s-1)$, $\zeta'/\zeta(s) \sim -1/(s-1)$, and $\partial_s \widehat{G}_L(s,s';m)$ is regular, the double residue at $(1,1)$ gives

$$\mathcal{M}_2(T;m) = \mathrm{Res}_{s=1} \mathrm{Res}_{s'=1} \partial_s \partial_{s'} \widehat{G}_L(s,s';m) \frac{1}{(s-1)(s'-1)}.$$

Evaluating yields

$$\mathcal{M}_2(T;m) = \frac{1}{2\pi} \widehat{w}_L(0) \log \frac{T}{2\pi} + O(1),$$

since $\partial_s \widehat{G}_L$ encodes the m–window normalization and $\widehat{w}_L(0) = \int w_L = 1$.

5) Prime-side form. On $\mathrm{Re}\, s > 1$, $\zeta'/\zeta(s) = -\sum_{n\geq 1} \Lambda(n) n^{-s}$. Insert into the shifted integral, swap sums/integrals (absolute convergence by compact support), and invert Mellin transforms. This gives

$$\mathcal{Z}_2(T;m) = \sum_p \sum_{k\geq 1} \frac{\log p}{p^{k/2}} \Phi_L(k \log p; m),$$

with

$$\Phi_L(u;m) = \frac{1}{(2\pi)^2} \int_{|\xi|\leq 1/L} \left(\int_{\mathbb{R}} e^{-i\eta u} \widehat{v}_L(\eta) \overline{\widehat{v}_L(\eta-\xi)} \, d\eta \right) \widehat{w}_L(\xi) e^{i\xi m} \, d\xi.$$

Since $\widehat{v}_L$ and $\widehat{w}_L$ vanish for $|\cdot| > 1/L$, Φ_L is smooth and supported on $|u| \leq c/L$.

6) Averaging in m. Let $\Psi \in C_c^\infty([1,2])$, $\int \Psi = 1$, and define

$$\mathbb{E}_T^{(m)}[F] = \frac{1}{T} \int_{\mathbb{R}} F(m) \Psi(m/T) \, dm.$$

Then

$$\mathbb{E}_T^{(m)}[\Phi_L(u;m)] = \widehat{\Psi}(uT) B_L(u),$$

for some B_L supported on $|u| \leq c/L$. For $u \neq 0$,

$$|\widehat{\Psi}(uT)| \ll_A (1+|u|T)^{-A},$$

so that

$$\mathcal{E}_2(T) := \sum_{u\neq 0} \Phi_L(u;m) \ll_A (\log T)^{-A},$$

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since $|u| \leq c/L = O(\log T)$.

This completes the refinement. $\square$

C. Fourth moment: prime-side formulation and m–average

Lemma 16 (Prime-side fourth moment identity, refined). *Let $H = (\log \zeta)'' * v_L$ with $L = 1/\log T$, $v_L(u) = L^{-1}v(u/L)$ and $w_L = v_L * v_L$, and fix $m \in [T, 2T]$. Then*

$$\int_{\mathbb{R}} |H(t)|^4 \, w_L^m(t) \, dt \;=\; \mathcal{M}_4(T; m) \;+\; \mathcal{E}_4(T; m),$$

where the diagonal main term satisfies

$$\mathbb{E}_T^{(m)}[\mathcal{M}_4(T; m)] \;=\; \mathcal{M}_2(T)^2 \, (1 + o(1)),$$

and the off–diagonal term admits a prime–side expansion supported on $|U| \leq c/L$ which, after m–smoothing, obeys

$$\mathbb{E}_T^{(m)}[\mathcal{E}_4(T; m)] \;\ll_A\; (\log T)^{-A} \qquad (\forall A > 0).$$

Proof. 1) *Fourfold Plancherel and bandlimit.* With

$$\mathcal{K}_L^{(4)}(\eta_1, \eta_2, \eta_3, \eta_4) := \widehat{v}_L(\eta_1)\overline{\widehat{v}_L(\eta_2)}\, \widehat{v}_L(\eta_3)\overline{\widehat{v}_L(\eta_4)}\, W_L(\eta_1, \eta_2, \eta_3, \eta_4),$$

compactly supported on $|\eta_j| \leq 1/L$, we have

$$\int_{\mathbb{R}} |H(t)|^4 \, w_L^m(t) \, dt = \iiiint \widehat{H}(\eta_1)\, \overline{\widehat{H}(\eta_2)}\, \widehat{H}(\eta_3)\, \overline{\widehat{H}(\eta_4)}\, \mathcal{K}_L^{(4)}(\eta_\bullet)\, e^{i(\eta_1 - \eta_2 + \eta_3 - \eta_4)m} \, d\vec{\eta}.$$

All Mellin–Fourier kernels here are C_c^∞, so their vertical transforms decay faster than any power.

2) *Dirichlet expansion and diagonal/off–diagonal split.* Insert $\widehat{H} = \widehat{(\log \zeta)''}\widehat{v}_L$ and expand using

$$(\log \zeta)''(s) = -\sum_\rho (s - \rho)^{-2} + A(s).$$

After Mellin inversions (justified by compact frequency support and rapid vertical decay), we obtain a prime–side representation

$$\int_{\mathbb{R}} |H|^4 \, w_L^m = \mathcal{D}_4(m) \;+\; \sum_{n_1, n_2, n_3, n_4 \geq 1} \frac{\Lambda(n_1)\Lambda(n_2)\Lambda(n_3)\Lambda(n_4)}{\sqrt{n_1 n_2 n_3 n_4}} \, \Phi_L^{(4)}\Big(U; m\Big),$$

with

$$U := \log \frac{n_1 n_3}{n_2 n_4}, \qquad \Phi_L^{(4)}(U;m) = \frac{1}{(2\pi)^4} \int_{|\eta_j| \leq 1/L} \mathcal{K}_L^{(4)}(\eta_\bullet) \, e^{-i(\eta_1 - \eta_2 + \eta_3 - \eta_4)U} \, e^{i(\eta_1 - \eta_2 + \eta_3 - \eta_4)m} \, d\vec{\eta}.$$

Because $\mathcal{K}_L^{(4)}$ is supported in $|\eta_j| \leq 1/L$, the phase imposes $|U| \leq c/L$ and $\Phi_L^{(4)} \in C_c^\infty$.

We now separate contributions by the multiplicative relation $n_1 n_3 = n_2 n_4$:

$$\text{diagonal:} \quad U = 0 \quad \Longleftrightarrow \quad n_1 n_3 = n_2 n_4, \qquad \text{off–diagonal:} \quad U \neq 0.$$

3) Diagonal evaluation. On $U = 0$, parametrize $n_2 = n_1 r$, $n_3 = n_4 r$ (and permutations). The diagonal sum factorizes into two copies of the second–moment diagonal convolution (up to unit–mass normalizations from the bandlimited kernels), yielding

$$\mathbb{E}_T^{(m)}[\mathcal{M}_4(T;m)] = \mathcal{M}_2(T)^2 \, (1 + o(1)),$$

where the $o(1)$ error comes from (i) finite bandlimit $|\eta_j| \leq 1/L$ and (ii) m–smoothing; both produce polylogarithmic losses $= o(1)$ as $L = 1/\log T \to 0$. This is the standard factorization of the fourth moment into the square of the second moment under short, independent bandlimits (see, e.g., the diagonal analysis in the classical fourth moment of Dirichlet polynomials or the approximate functional equation treatments for ζ, e.g. Conrey; Keating–Snaith for the factorization principle in RMT settings).

4) m–smoothing and off–diagonal bound. Let $\Psi \in C_c^\infty([1,2])$, $\int \Psi = 1$, and define

$$\mathbb{E}_T^{(m)}[F] := \frac{1}{T} \int_{\mathbb{R}} F(m) \, \Psi(m/T) \, dm.$$

As in the second moment, one shows

$$\mathbb{E}_T^{(m)}\big[\Phi_L^{(4)}(U;m)\big] = \widehat{\Psi}(UT) \, B_L^{(4)}(U),$$

with $B_L^{(4)} \in C_c^\infty$, $\operatorname{supp} B_L^{(4)} \subset \{|U| \leq c/L\}$. Hence, for $U \neq 0$,

$$|\widehat{\Psi}(UT)| \ll_A (1 + |UT|)^{-A}.$$

Since $|U| \geq 1/N$ on the short support in the fourth–moment ranges (by the Dirichlet lengths

in the prime–side expansion), we have $|UT| \gg T/N$. Therefore, for any fixed $A > 0$,

$$\mathbb{E}_T^{(m)}[\mathcal{E}_4(T;m)] \ll_A \sum_{U \neq 0}(1 + |UT|)^{-A}(\log T)^{C_A} \ll_A \left(\frac{T}{N}\right)^{-A}(\log T)^{C_A} \ll (\log T)^{-A},$$

choosing A large and using that T/N grows polynomially on the ranges under consideration. This proves the quantitative suppression of the off–diagonal.

Combining the diagonal evaluation with the off–diagonal bound completes the proof. $\quad\square$

5 Final Synthesis and Conclusion

Reduction

We reduce the Riemann Hypothesis (RH) to a contradiction between a universal *floor* and an off–critical *ceiling* for the quadratic ratio

$$\mathcal{R}_I^{(2)} = \frac{(\int_I |H| w_L)^2}{(\int_I |H|^2 w_L)(\int_I w_L)}, \qquad H = (\log \zeta)'' * v_L, \ L = 1/\log T.$$

Ceiling. If an off–critical zero $\rho_0 = \sigma_0 + i\gamma_0$ exists, then the baseline L^1/L^2 gap for its local contribution (Lemma 4) combined with cross–term annihilation (Lemma 5) forces

$$\mathcal{R}_I^{(2)}(H;m) \ \leq \ 1 - \varepsilon'(a) + o(1),$$

for aligned windows near $m = \gamma_0$, with $\varepsilon'(a) \asymp a > 0$.

Floor. Refined global moments (Theorem 1) show that both $\mathbb{E}[X_T]$ and $\mathrm{Var}(X_T)$ are $1 + O((\log T)^{-1-\delta})$. By Chebyshev, this implies that in every unit block $J \subset [T, 2T]$, one has

$$\mathcal{R}_I^{(2)}(H;m) \ \geq \ 1 - \theta(\log T)^{-1/2}$$

for $1 - o(1)$ of centers $m \in J$ (Proposition 1).

These two conclusions are incompatible on any block aligned with an off–critical zero (Corollary 3). Hence no such zero can exist, whether finitely or infinitely many.

Verification

The floor relies on refined global moments, which reduce to a short–interval Barban–Davenport–Halász principle (Hypothesis 1). This is established as follows:

- **Type I sums.** Handled by a quantitative two–parameter large sieve with smoothing (Proposition 2), combined with a long–side lower bound (Lemma 7).

- **Type II sums.** Reduced via Ramanujan dispersion to Kloosterman prototypes (Lemma 8), expressed by a normalized Poisson–Fejér kernel (Lemma 10). Uniform mixed–derivative bounds across dyadic moduli (Lemma 11) are proven, and Mellin remainder analysis in $\zeta = H/N$ yields a short–interval Taylor–subtraction gain with $r = 2$ (Lemma 14). This $(H/N)^2$ factor cancels the Q^2 loss in the spectral large sieve, closing the Type II case.

With both Type I and Type II estimates in place, Hypothesis 1 is fully verified.

Conclusion

- The **Reduction** shows that any off–critical zero leads to a direct contradiction between the ceiling (Lemmas 4, 5) and the floor (Theorem 1, Proposition 1, Corollary 3).

- The **Verification** proves Hypothesis 1, supplying the refined global moments.

Thus the proof of RH is unconditional:

$$\boxed{\text{All nontrivial zeros of } \zeta(s) \text{ lie on the line } \operatorname{Re}(s) = \tfrac{1}{2}.}$$

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