

**From:** [OC GCP Questions](#)  
**To:** [REDACTED]  
**Subject:** 1572s and Foreign Sites  
**Date:** Friday, August 21, 2015 8:52:19 AM

---

Good morning –

Please see FDA's web page that discusses INDs.

[Investigational New Drug \(IND\) Application](#)

Please also see the guidance documents below that describe an IND.

<http://www.fda.gov/downloads/Drugs/Guidances/UCM229175.pdf>

<http://www.fda.gov/downloads/RegulatoryInformation/Guidances/UCM328855.pdf>

<http://www.fda.gov/downloads/RegulatoryInformation/Guidances/UCM294729.pdf>

If I have not adequately answered your question, you may contact the Center for Drugs (CDER) directly at [druginfo@fda.hhs.gov](mailto:druginfo@fda.hhs.gov). They generally answer IND specific questions.

Kind regards,

Doreen M. Kezer, MSN

Senior Health Policy Analyst

Office of Good Clinical Practice

Office of the Commissioner, FDA

This communication does not constitute a written advisory opinion under 21 CFR 10.85, but rather is an informal communication under 21 CFR 10.85(k) which represents the best judgment of the employee providing it. This information does not necessarily represent the formal position of FDA, and does not bind or otherwise obligate or commit the agency to the views expressed.

**From:** [REDACTED]  
**Sent:** Thursday, August 20, 2015 1:49 PM  
**To:** OC GCP Questions  
**Subject:** 1572s and Foreign Sites

Hi GCP Questions -

An ex-US colleague has provided their interpretation of the FDA Guidance entitled *Information Sheet Guidance for Sponsors, Clinical Investigators, and IRBS: Frequently Asked Questions - Statement of Investigator (Form FDA 1572) (Guidance)* along with their stance with submitting 1572s for ex-US sites.

Their stance is that 1572s do not have to be collected, since in their view a study is

not conducted under an IND if a protocol has been submitted through a CTA. Even though the same protocol has also been submitted under an IND. I understand that the Guidance addresses foreign sites in questions 9 - 15.

**Without going into detail about my situation, how do you define "conducted under an IND"?**

If you can provide an answer to my question, I believe it can clarify my situation well.

Thank you!

██████████