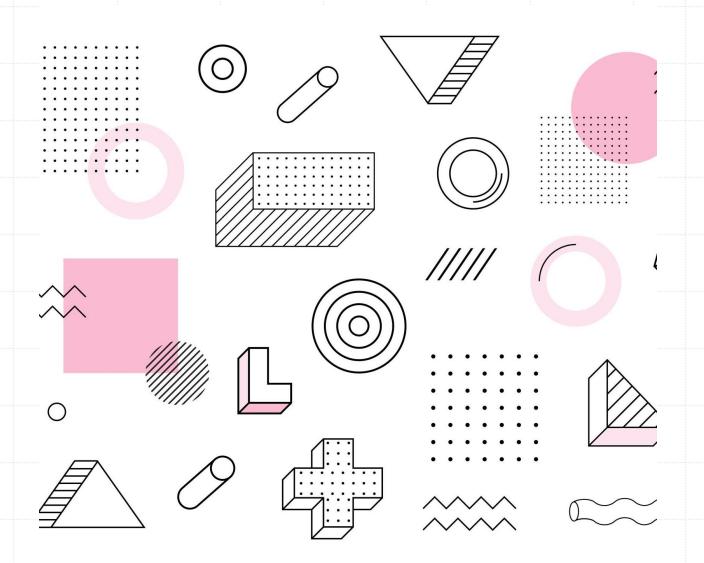
Chapter 4:

Grammars and Parsing 陳奇業 成功大學資訊工程系



Parsing: Syntax Analysis

- decides which part of the incoming token stream should be grouped together.
- the output of parsing is some representation of a parse tree.
- intermediate code generator transforms the parse tree into an intermediate language.

Comparisons between regular expressions and context-free grammars

A context-free grammar:

$$exp \rightarrow exp \ op \ exp \ | (exp) \ | number$$

 $op \rightarrow + | - | *$

A regular expression:

$$number = digit \ digit^*$$

 $digit = 0|1|2|3|4|5|6|7|8|9$

The major difference is that the rules of a context-free grammar are recursive.

Rules from F.A.(r.e.) to CFG

- 1. For each state there is a nonterminal symbol.
- If state A has a transition to state B on symbol a, introduce $A \rightarrow aB$.
- If A goes to B on input λ , introduce $A \rightarrow B$.
- 4. If A is an accepting state, introduce $A \rightarrow \lambda$.
- 5. Make the start state of the NFA be the start symbol of the grammar.

Examples

(1) r.e.: (a|b)(a|b|0|1)*

c.f.g.: $S \rightarrow aA|bA$ $A \rightarrow aA|bA|0A|1A|\lambda$

(2) r.e.: (a|b)*abb

c.f.g.: $S \rightarrow aS \mid bS \mid aA$

 $A \rightarrow bB$

 $B \rightarrow bC$

 $C \rightarrow \lambda$

Why don't we use c.f.g. to replace r.e.?

- r.e. => easy & clear description for token.
- r.e. => efficient token recognizer
- modularizing the components (The grammar rules use regular expressions as components)

Features of programming languages

- contents:
 - declarations
 - sequential statements
 - iterative statements
 - conditional statements

Description of programming languages

- Syntax Diagrams
- Context Free Grammars (CFG)

Figure 3.4 exp term Syntax diagrams for the grammar of Example 3.10 addopterm addopfactor factor mulopmulop factor number

Contex Free Grammar (in BNF)

exp → exp addop term | term

addop \rightarrow + \mid -

term → term mulop factor | factor

mulop → *

factor \rightarrow (exp) | number

History

- In 1956 BNF (Backus Naur Form:巴科斯-諾爾範式) is used for description of natural language.
- The Syntactic Specification of Programming Languages CFG (a BNF description)

Capabilities of Context-free grammars

- give precise syntactic specification of programming languages
- a parser can be constructed automatically by CFG
- the syntax entity specified in CFG can be used for translating into object code.
- useful for describing nested structures such as balanced parentheses, matching begin-end's, corresponding if-then-else, etc.

Context-Free Grammars: Concepts and Notation

- A context-free grammar $G = (V_t, V_n, S, P)$
 - A finite terminal vocabulary V_t
 - The token set produced by scanner
 - A finite set of nonterminal vocabulary V_n
 - Intermediate symbols
- A start symbol $S \in V_n$ that starts all derivations
 - Also called goal symbol
- P, a finite set of productions (rewriting rules) of the form $A \to X_1 X_2 \dots X_m$
 - $A \in V_n, X_i \in V_n \cup V_t, 1 \le i \le m$
 - $A \rightarrow \lambda$ is a valid production

Context-Free Grammars: Concepts and Notation

•
$$G = (\{+,*,(,),number\},\{\exp,op\},\exp,P)$$

P: {exp → exp op exp | (exp) | number, op → + | - | *}

```
Figure 3.1
                                     (1) exp \Rightarrow exp \ op \ exp
                                                                                                   [exp \rightarrow exp \ op \ exp]
        A derivation for the
                                              \Rightarrow exp \ op \ number
                                                                                                   [exp \rightarrow number]
        arithmetic expression
                                     (3)
                                              \Rightarrow exp * number
                                                                                                   [op \rightarrow *]
        (34-3)*42
                                     (4)
                                              \Rightarrow ( exp ) * number
                                                                                                   [exp \rightarrow (exp)]
                                     (5)
                                              \Rightarrow ( exp \ op \ exp ) * number
                                                                                                   [exp \rightarrow exp \ op \ exp]
                                     (6)
                                              ⇒ (exp op number) * number
                                                                                                   [exp \rightarrow number]
(number-number)*number
                                     (7)
                                              \Rightarrow (exp - number) * number
                                                                                                   [op \rightarrow -]
                                     (8)
                                              ⇒ (number - number) *number
                                                                                                   [exp \rightarrow number]
```

Context-Free Grammars: Concepts and Notation (Cont'd)

- Other notations
 - Vocabulary V of G,
 - $V = V_n \cup V_t$
- L(G), the set of string s derivable from S
 - Context-free language of grammar G
- Notational conventions

 - a, b, c, ... denote symbols in V_t

 - A, B, C, ... denote symbols in V_n

 - U, V, W, ... denote symbols in V
 - $\alpha, \beta, \gamma, \dots$
- denote strings in V^*
- *u*, *v*, *w*, ...
- denote strings in V_t^*

Context-Free Grammars: Concepts and Notation (Cont'd)

- Derivation
 - One step derivation
 - If $A \rightarrow \gamma$, then $\alpha A \beta \Rightarrow \alpha \gamma \beta$
 - One or more steps derivation ⇒⁺
 - Zero or more steps derivation ⇒*
- If $S \Longrightarrow^* \beta$, then β is said to be sentential form of the CFG
 - SF(G) is the set of sentential forms of grammar G (may contain nonterminal vocabulary)
- $L(G) = \{x \in V_t^* | S \Longrightarrow^+ x\}$
- $L(G) = SF(G) \cap V_t^*$

Context-Free Grammars: Concepts and Notation (Cont'd)

- Left-most derivation, a top-down parsers
 - $\Rightarrow_{\operatorname{lm}},\Rightarrow_{\operatorname{lm}}^{+},\Rightarrow_{\operatorname{lm}}^{*}$
 - E.g. of leftmost derivation of f(v+v)

```
1 E \rightarrow Prefix ( E )

2 | v Tail

3 Prefix \rightarrow f

4 | \lambda

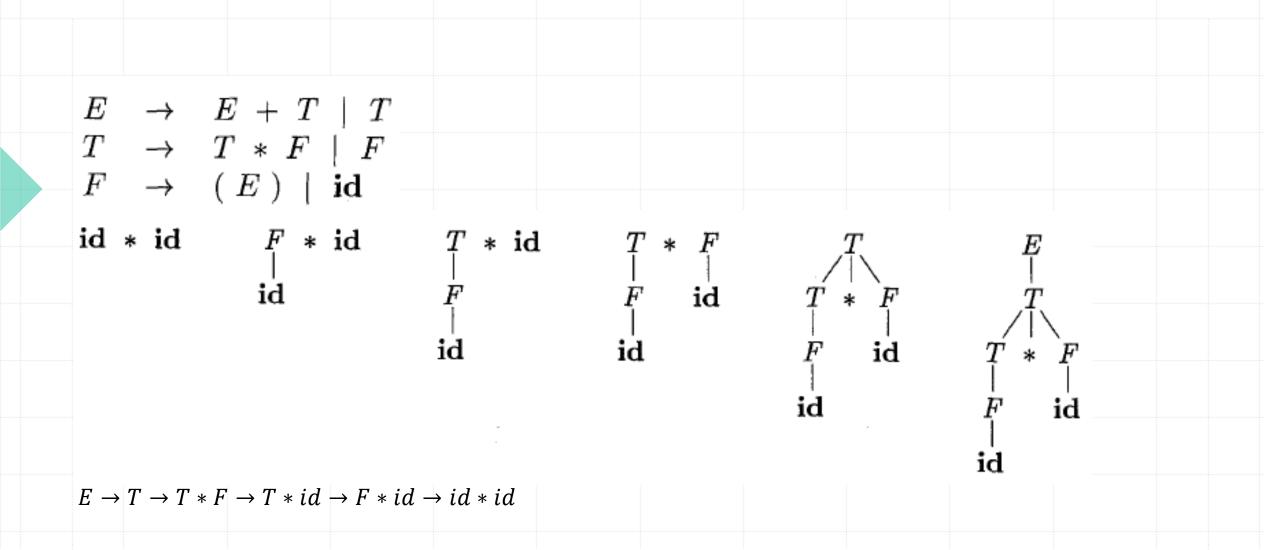
5 Tail \rightarrow + E

6 | \lambda
```

```
\begin{array}{ll}
\exists \Rightarrow_{lm} & \text{Prefix (E)} \\
\Rightarrow_{lm} & \text{f (V Tail)} \\
\Rightarrow_{lm} & \text{f (v + E)} \\
\Rightarrow_{lm} & \text{f (v + v Tail)} \\
\Rightarrow_{lm} & \text{f (v + v Tail)}
\end{array}
```

Context-Free Grammars: Concepts and Notation (Cont'd)

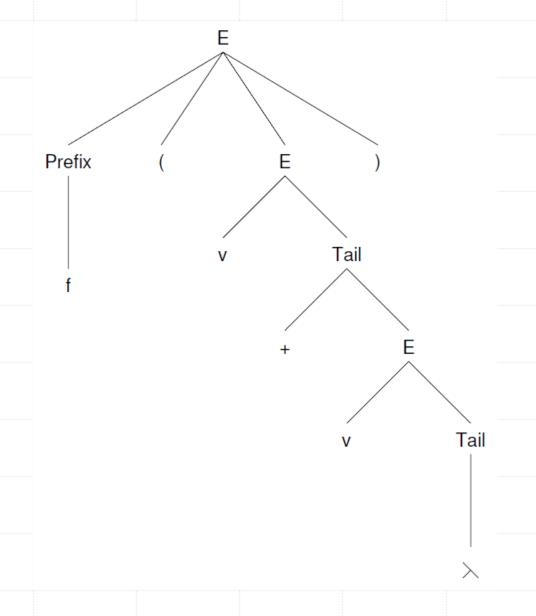
- Right-most derivation (canonical derivation)
 - \rightarrow rm, \Rightarrow rm, \Rightarrow rm
 - E.g. of rightmost derivation of f(v+v)



Method	classic approach	modern approach
top-down	recursive descent	LL parsing (produce leftmost derivation)
bottom-up	operator precedence	LR parsing (shift-reduce parsing; produce rightmost
		derivation in reverse order)

Context-Free Grammars: Concepts and Notation (Cont'd)

- A parse tree
 - rooted by the start symbol
 - Its leaves are grammar symbols or λ
 - a graphical representation for derivations.
 - (Note the difference between parse tree and syntax tree.)
 - Often the parse tree is produced in only a figurative sense; in reality, the parse tree exists only as a sequence of actions made by stepping through the tree construction process.



Errors in Context-Free Grammars

- CFGs are a definitional mechanism. They may have errors, just as programs may.
- Flawed CFG
 - Useless nonterminals
 - Unreachable
 - Derive no terminal string

 $S \rightarrow A|B$ $A \rightarrow a$ $B \rightarrow Bb$ $C \rightarrow c$

Nonterminal C cannot be reached form S Nonterminal B derives no terminal string

S is the start symbol.

Errors in Context-Free Grammars

- Ambiguous:
 - Grammars that allow different parse trees for the same terminal string
- It is impossible to decide whether a given CFG is ambiguous

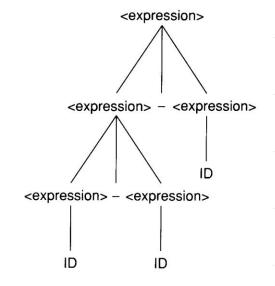


Figure 4.2 A Parse Tree for ID-ID-ID

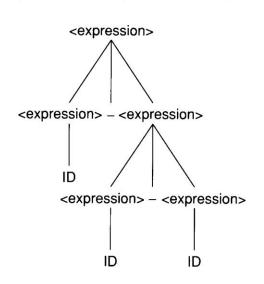


Figure 4.3 An Alternate Parse Tree for ID-ID-ID

Ambiguity

Ambiguous Grammars

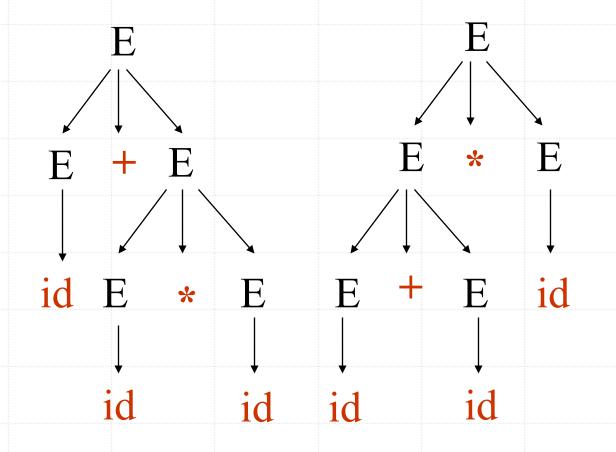
- Def.: A context-free grammar that can produce more than one parse tree for some sentence.
- The ways to disambiguate a grammar: (1) specifying the intention (e.g. associativity and precedence for arithmetic operators, other) (2) rewrite a grammar to incorporate the intention into the grammar itself.

For (1) Precedence: ()>negate > exponent > * / > + — Associativity: exponent → right associativity others → left associativity For (2) 1. introducing one nonterminal for each precedence level.

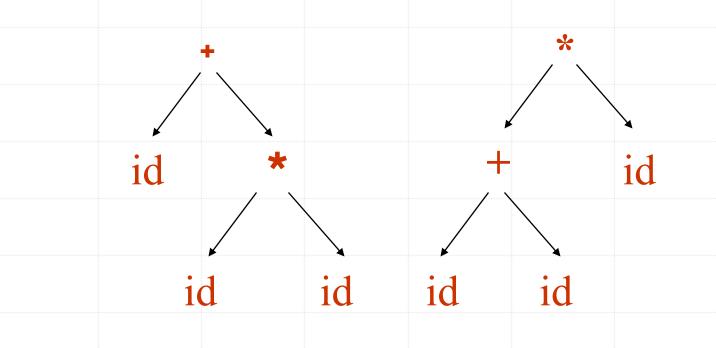
Example 1

 $E \rightarrow E + E | E - E | E * E | E / E | E ↑ E | (E) | - E | id$

is ambiguous († is exponent operator with right associativity.)



More than one parse tree for the sentence id + id * id

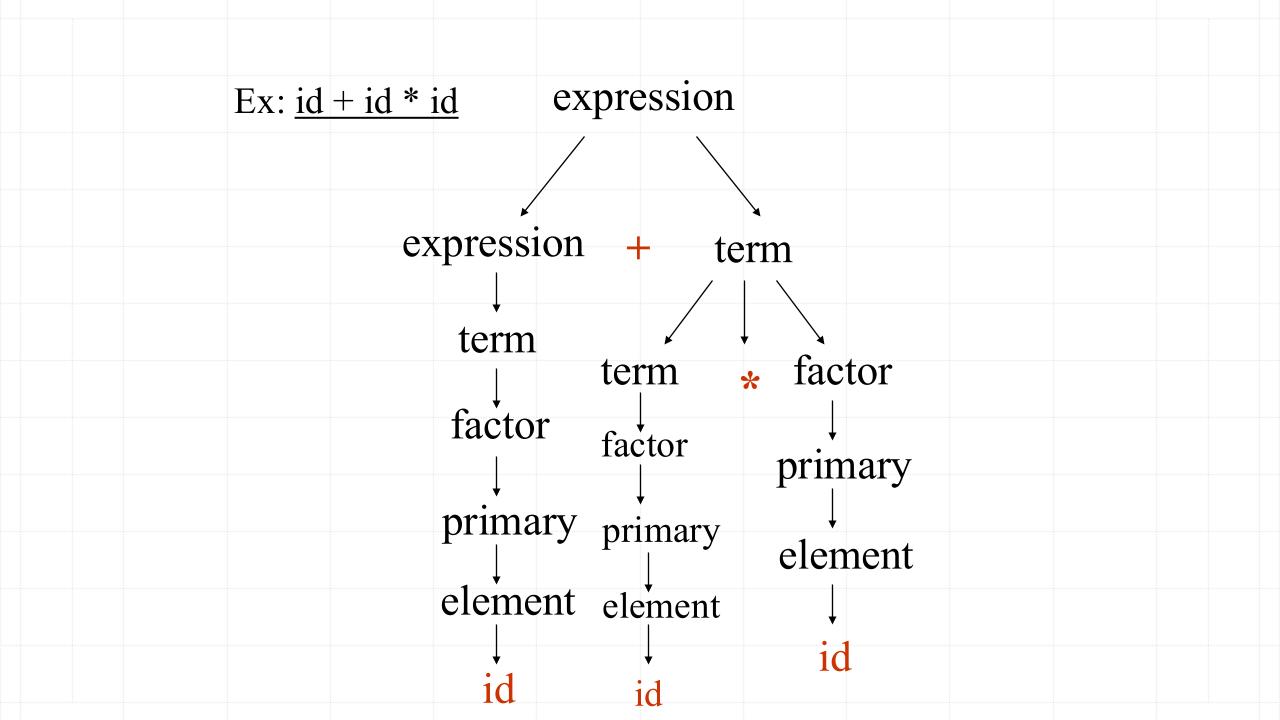


More than one syntax tree for the sentence id + id * id

The corresponding grammar shown below is unambiguous

```
element → (expression) | id /*((expression) 括號內的最優先做之故) */
primary → -primary | element

factor → primary ↑ factor | primary /*has right associativity */
term → term * factor | term / factor
expression → expression + term | expression - term | term
```



Example 3.10

Consider our running example of simple arithmetic expressions. This has the BNF (including associativity and precedence).

```
exp \rightarrow exp \ addop \ term \mid term
addop \rightarrow + \mid -
term \rightarrow term \ mulop \ factor \mid factor
mulop \rightarrow *
factor \rightarrow (exp) \mid number
```

The corresponding EBNF is

```
exp \rightarrow term \{ addop term \}

addop \rightarrow + | -

term \rightarrow factor \{ mulop factor \}

mulop \rightarrow *

factor \rightarrow (exp) | number
```

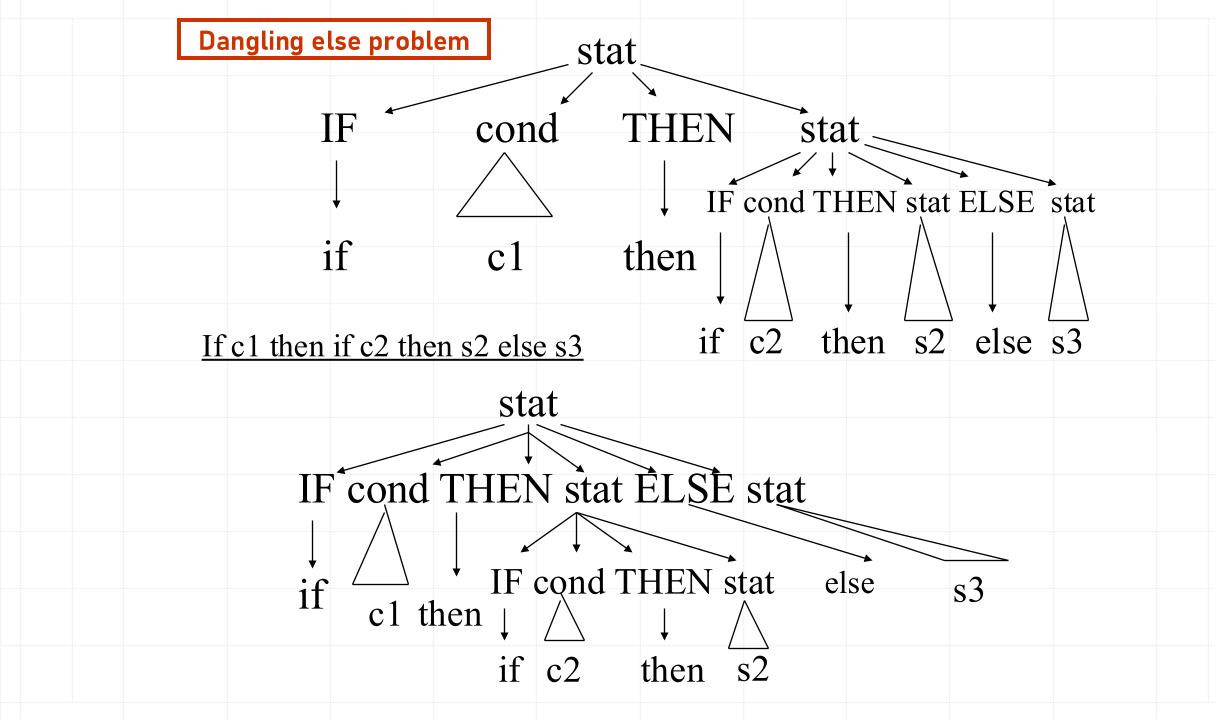
The corresponding syntax diagrams are given in Figure 3.4 (the syntax diagram for factor was given previously).

Figure 3.4 exp term Syntax diagrams for the grammar of Example 3.10 addopterm addopfactor factor mulopmulop factor number

Example 2

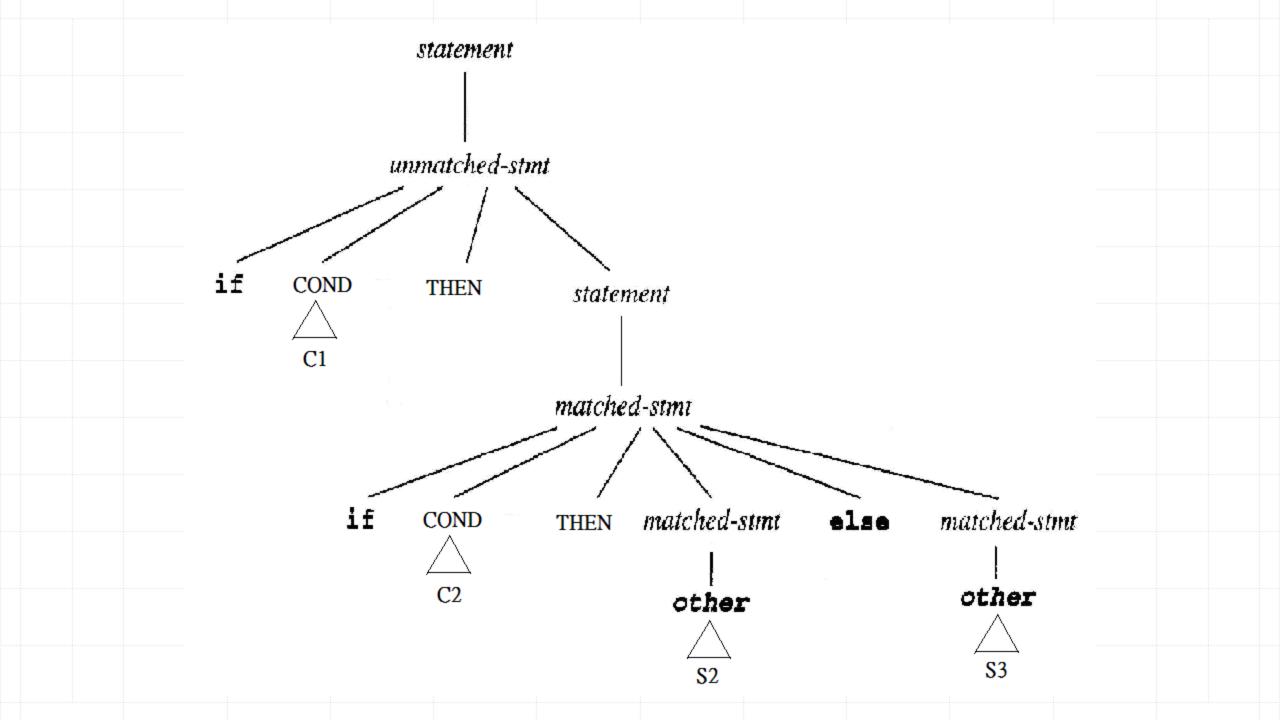
- stat \rightarrow IF cond THEN stat | IF cond THEN stat ELSE stat | other stat

is an ambiguous grammar



The corresponding grammar shown below is unambiguous.

stat \rightarrow matched-stat | unmatched-stat | matched-stat | other-stat | matched-stat | other-stat | unmatched-stat | IF cond THEN stat | IF cond THEN matched-stat ELSE unmatched-stat | stat | IF cond THEN matched-stat | other-stat | unmatched-stat | IF cond THEN matched-stat | IF cond THEN matched-stat | unmatched-stat | other-stat | unmatched-stat | other-stat | unmatched-stat | other-stat | unmatched-stat | unmatched-stat | other-stat | unmatched-stat | other-stat | unmatched-stat | unmatched-stat | other-stat | unmatched-stat | unmatched-stat

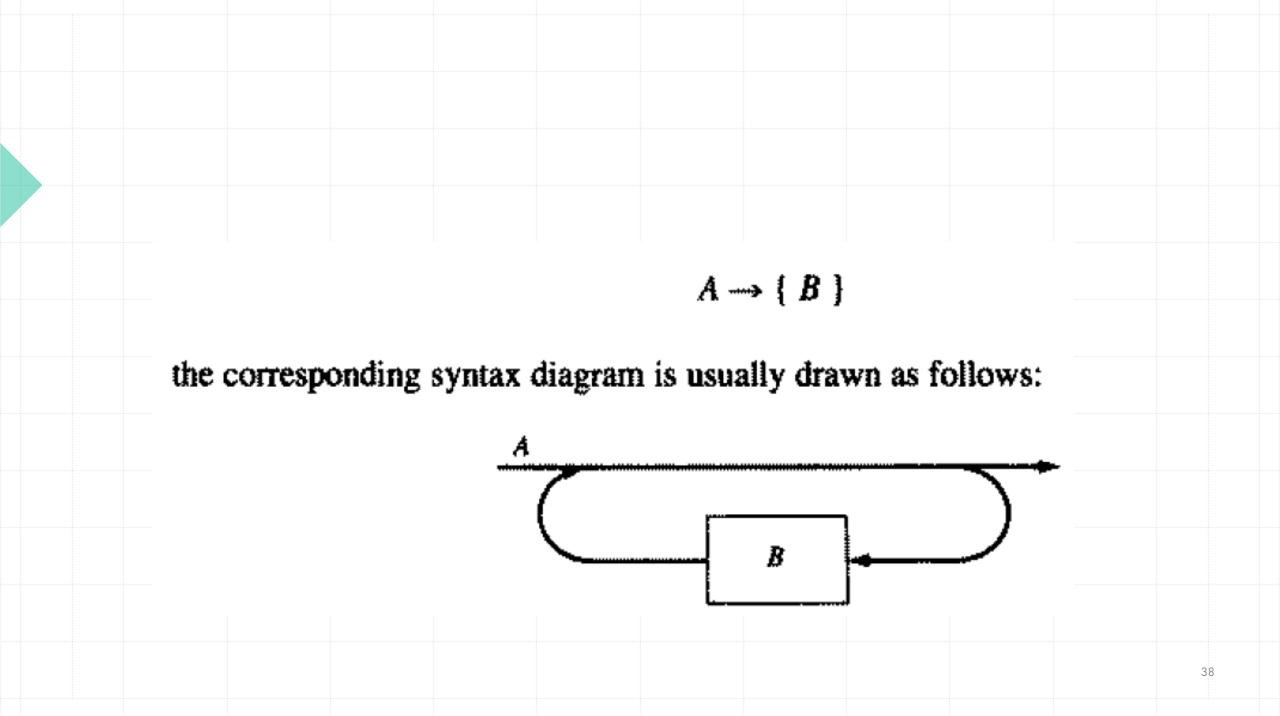


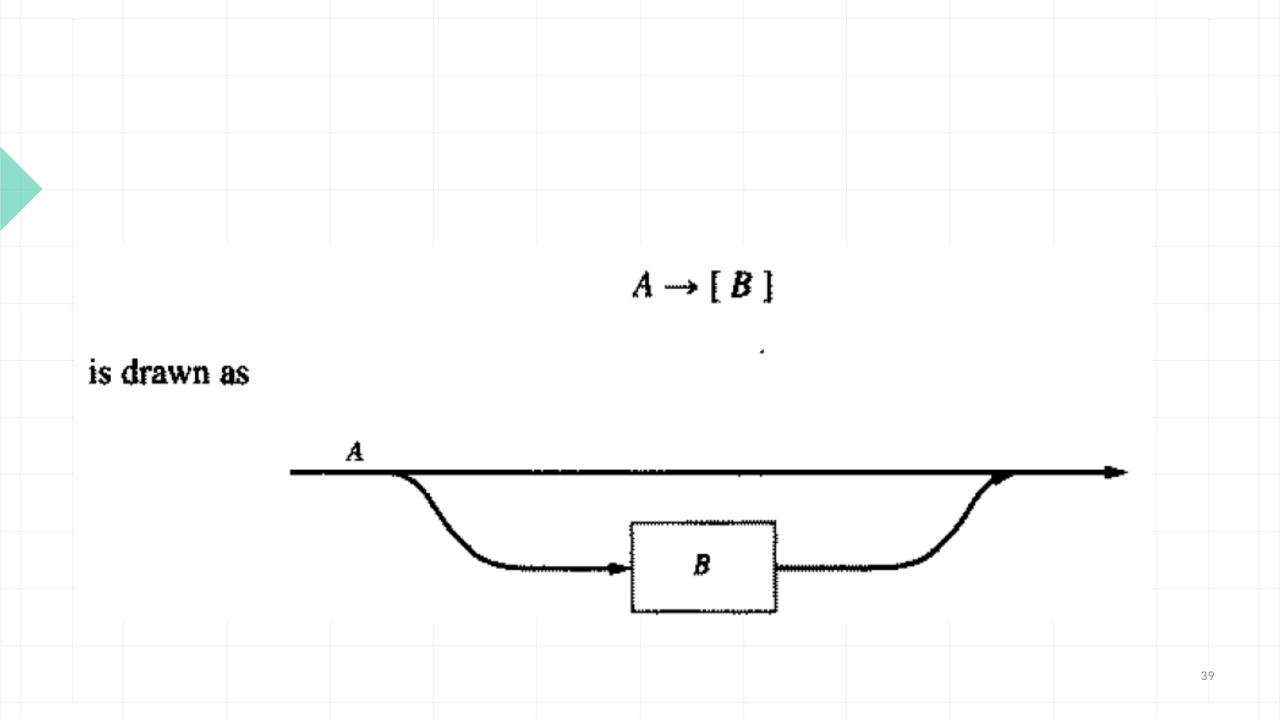
Transforming Extende BNF Grammars

- Extended BNF≡BNF
 - Extended BNF allows
 - Square bracket []
 - Optional list {}

```
for (each production P = A \rightarrow \alpha \ [X_1 \ \dots \ X_n] \ \beta) { Create a new nonterminal, N. Replace production P with P' = A \rightarrow \alpha \ N \ \beta Add the productions: N \rightarrow X_1 \ \dots \ X_n and N \rightarrow \lambda } for (each production Q = B \rightarrow \gamma \ \{Y_1 \ \dots \ Y_m\} \ \delta) { Create a new nonterminal, M. Replace production Q with Q' = B \rightarrow \gamma \ M \ \delta Add the productions: M \rightarrow Y_1 \ \dots \ Y_m \ M and M \rightarrow \lambda }
```

Figure 4.4 Algorithm to Transform Extended BNF Grammars into Standard Form





Parsers and Recognizers

- Recognizer
 - An algorithm that does boolean-valued test
 - "Is this input syntactically valid?
- Parser
 - Answers more general questions
 - Is this input syntactically valid?
 - And, if it is, what is its structure (parse tree)?

- Two general approaches to parsing
 - Top-down parser
 - Expanding the parse tree (via predictions) in a depth-first manner
 - Preorder traversal of the parse tree
 - Predictive in nature
 - lm
 - LL

- Buttom-down parser
 - Beginning at its bottom (the leaves of the tree, which are terminal symbols) and determining the productions used to generate the leaves
 - Postorder traversal of the parse tree
 - rm
 - LR

Grammar G₃

To parse

begin SimpleStmt; SimpleStmt; end \$

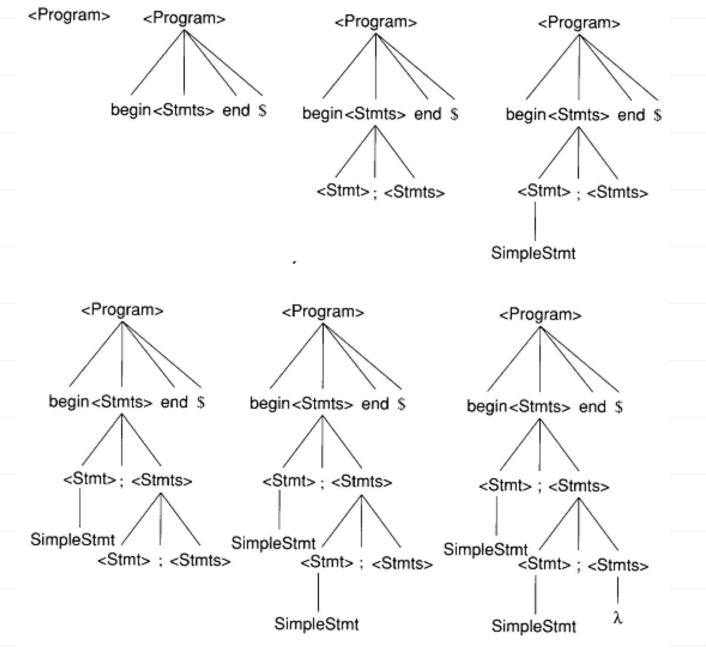
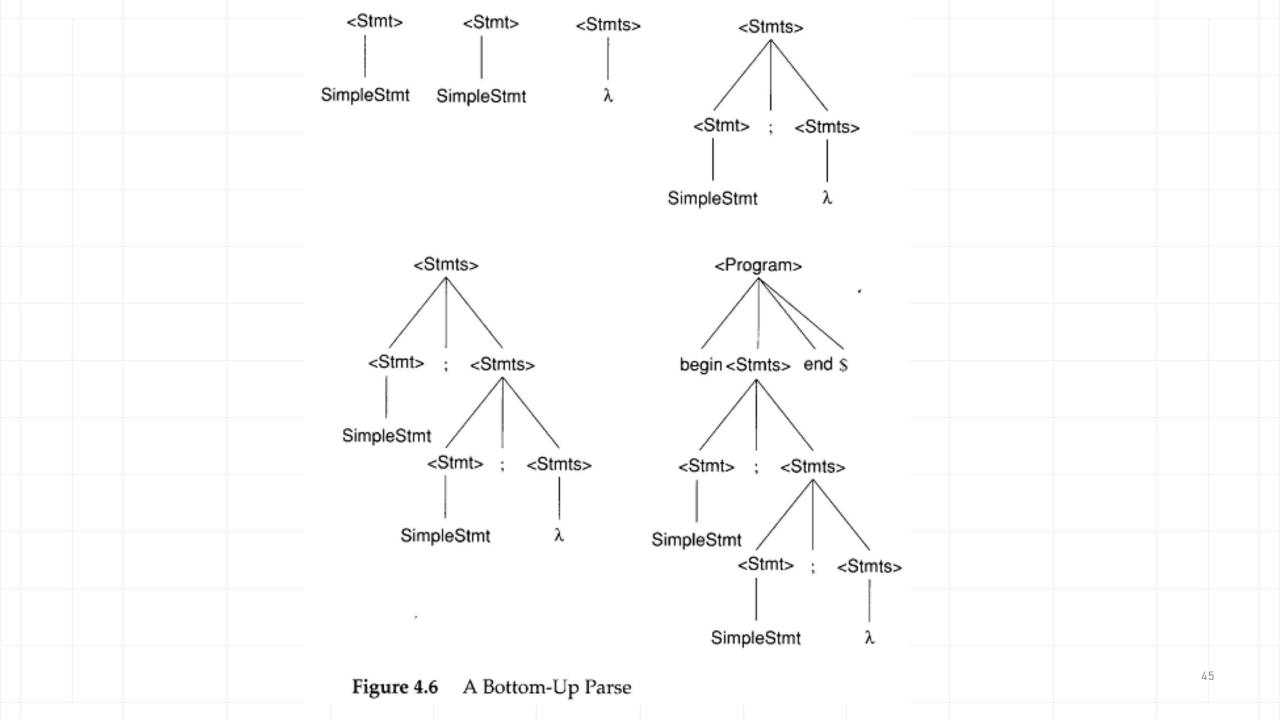
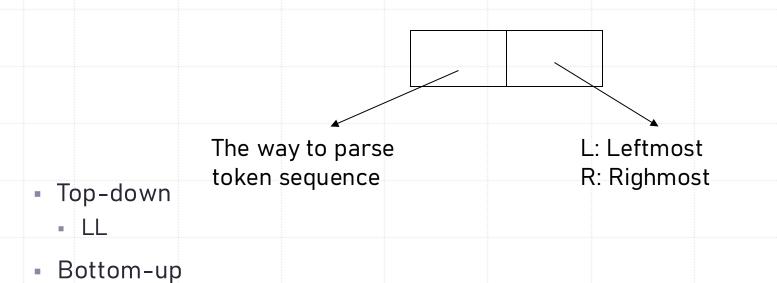


Figure 4.5 A Top-Down Parse



Naming of parsing techniques

LR



Grammar Analysis Algorithms

- Goal of this section:
 - Discuss a number of important analysis algorithms for Grammars

The data structure of a grammar G

```
typedef int symbol; /* a symbol in the grammar */
* The symbolic constants used below, NUM TERMINALS,
* NUM NONTERMINALS, and NUM PRODUCTIONS are
* determined by the grammar. MAX RHS LENGTH should
 * simply be "big enough."
 */
#define VOCABULARY (NUM_NONTERMINALS + NUM_TERMINALS)
typedef struct gram {
   symbol terminals[NUM_TERMINALS];
   symbol nonterminals[NUM_NONTERMINALS];
   symbol start symbol;
   int num_productions;
   struct prod {
     symbol lhs;
     int rhs length;
     symbol rhs[MAX_RHS_LENGTH];
   } productions[NUM PRODUCTIONS];
   symbol vocabulary[VOCABULARY];
} grammar;
typedef struct prod production;
typedef symbol terminal;
typedef symbol nonterminal;
```

• What nonterminals can derive λ ?

$$A \Rightarrow BCD \Rightarrow BC \Rightarrow B \Rightarrow \lambda$$

An iterative marking algorithm

```
typedef short boolean;
typedef boolean marked vocabulary[VOCABULARY];
/*
 * Mark those vocabulary symbols found to
 * derive \lambda (directly or indirectly).
marked_vocabulary mark_lambda(const grammar g)
   static marked vocabulary derives lambda;
   boolean changes;
                  /* any changes during last iteration? */
  boolean rhs derives lambda;
                  /* does the RHS derive \lambda? */
                  /* a word in the vocabulary */
   symbol v;
   production p; /* a production in the grammar */
                  /* loop variables */
   int i, j;
   for (v = 0; v < VOCABULARY; v++)
      derives lambda[v] = FALSE;
      /* initially, nothing is marked */
   do {
      changes = FALSE;
      for (i = 0; i < g.num productions; i++) {
         p = g.productions[i];
         if (! derives lambda[p.lhs]) {
            if (p.rhs length == 0) {
               /* derives λ directly */
               changes = derives lambda[p.lhs] = TRUE;
               continue;
            /* does each part of RHS derive \lambda? */
            rhs derives lambda = derives lambda[p.rhs[0]];
            for (j = 1; j < p.rhs length; j++)
               rhs derives lambda = rhs derives lambda
                           && derives lambda[p.rhs[j]];
            if (rhs derives lambda)
               changes = TRUE;
               derives lambda[p.lhs] = TRUE;
   } while (changes);
   return derives lambda;
```

Figure 4.7 Algorithm for Determining If a Nonterminal Can Derive λ

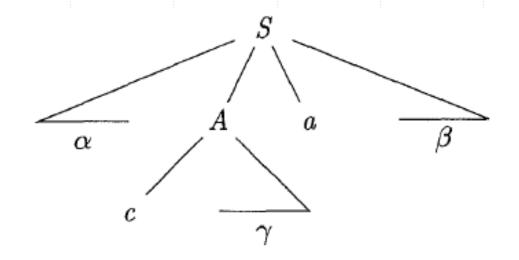


Figure 4.15: Terminal c is in FIRST(A) and a is in FOLLOW(A)

- Follow(A)
 - A is any nonterminal
 - Follow(A) is the set of terminals that my follow A in some sentential form
 - Follow(A) = { $a \in V_t | S \Rightarrow^* ... Aa ...$ } \cup {if $S \Rightarrow^+ \alpha A$ then { λ } else ϕ }
- First(α)
 - The set of all the terminal symbols that can begin a sentential form derivable from lpha
 - If α is the right-hand side of a production, then ${\rm First}(\alpha)$ contains terminal symbols that begin strings derivable from α
 - First(α) = { $a \in V_t | \alpha \Rightarrow^* \alpha \beta$ } \cup {if $\alpha \Rightarrow^* \lambda$ then { λ } else ϕ }

- Definition of C data structures and subroutines
 - first_set[X]
 - contains terminal symbols and λ
 - X is any single vocabulary symbol
 - follow_set[A]
 - contains terminal symbols and λ
 - A is a nonterminal symbol

```
typedef set of terminal or lambda termset;
termset follow set[NUM NONTERMINAL];
termset first set[SYMBOL];
marked vocabulary derives lambda = mark_lambda(g);
/* mark lambda(g) as defined above */
termset compute_first(string_of_symbols alpha)
 int i, k;
 termset result;
                                       It is a subroutine of
 k = length(alpha);
                                       fill_first_set()
 if (k == 0)
    result = SET OF(\lambda);
 else {
   result = first set[alpha[0]];
   for (i = 1; i < k && \lambda \in first set[alpha[i-1]]; i++)
     result = result () (first_set[alpha[i]] - SET_OF(\lambda));
   if (i == k &   \lambda \in first_set[alpha[k-1]])
     result = result () SET_OF(\lambda);
 return result;
Figure 4.8 Algorithm to Compute First(alpha)
```

```
extern grammar g;
void fill first set(void)
  nonterminal A;
  terminal
  production p;
  boolean
              changes;
  int
              i, j;
  for (i = 0; i < NUM NONTERMINAL; i++) {</pre>
     A = g.nonterminals[i];
     if (derives lambda[A])
        first set[A] = SET OF(\lambda);
     else
        first_set[A] = \emptyset;
  for (i = 0; i < NUM TERMINAL; i++) {
     a = g.terminals[i];
     first set[a] = SET OF(a);
     for (j = 0; j < NUM NONTERMINAL; j++) {
        A = g.nonterminals[j];
      if (there exists a production A \rightarrow a\beta)
          first set[A] = first_set[A] () SET_OF( a );
  do
     changes = FALSE;
     for (i = 0; i < g.num productions; i++) {</pre>
        p = g.productions[i];
        first set[p.lhs] = first set[p.lhs] ()
           compute first (p.rhs);
        if (first_set changed)
           changes = TRUE;
    while (changes);
Figure 4.9 Algorithm to Compute First Sets for V
```

1 E
$$\rightarrow$$
 Prefix (E)
2 | v Tail
3 Prefix \rightarrow f
4 | λ
5 Tail \rightarrow + E
6 | λ

The execution of fill_first_set() using grammar G_0

Step	first_set							
	Е	Prefix	Tail	()	V	f	+
(1) First loop	φ	{λ}	{λ}					
(2) Second (nested) loop	{v}	{f, λ}	{+,λ}	{(}	{)}	{v}	{f}	{+}
(3) Third loop, production 1	{v, f, (}	{f, λ}	{+, λ}	{(}	{)}	{v}	{f}	{+}

```
void fill_follow_set(void)
  nonterminal A, B;
  int i;
  boolean
              changes;
   for (i = 0; i < NUM NONTERMINAL; i++) {
     A = g.nonterminals[i];
     follow_set[A] = \emptyset;
   follow_set[g.start_symbol] = SET_OF(\lambda);
   do {
      changes = FALSE;
      for (each production A\to\alpha B\beta) (
         /*
          * I.e. for each production and each occurrence
          * of a nonterminal in its right-hand side.
          */
         follow set[B] = follow_set[B] 
                 (compute_first(\overline{\beta}) - SET_OF(\lambda));
         if ( \lambda \in compute\_first(\beta) )
            follow_set[B] = follow_set[B] \( \cup \) follow_set[A];
         if ( follow_set[B] changed )
            changes = TRUE;
    } while (changes);
```

Figure 4.10 Algorithm to Compute Follow Sets for All Nonterminals

1 E
$$\rightarrow$$
 Prefix (E)
2 | v Tail
3 Prefix \rightarrow f
4 | λ
5 Tail \rightarrow + E
6 | λ

The execution of fill_follow_set() using grammar G₀

Step	follow_set					
	Ë	Prefix	Tail			
(1) Initialization	{λ}	φ	φ			
(2) Process Prefix in production 1	{λ}	{(}	φ			
(3) Process E in production 1	{λ,)}	{()}	φ			
(4) Process Tail in production 1	{λ,)}	{()}	{λ,)}			

 $S \rightarrow aSe$ $S \rightarrow B$ $B \rightarrow bBe$ $B \rightarrow C$ $C \rightarrow cCe$ $C \rightarrow d$

Step	first_set							
	S	В	С	a	b	С	d	e
(1) First loop	φ	φ	φ					
(2) Second (nested) loop	{a}	{b}	{c, d}	{a}	{b}	{c}	{d}	{e}
(3) Third loop, production 2	{a, b}	{b}	{c, d}	{a}	{b}	{c}	{d}	{e}
(4) Third loop, production 4	{a, b}	{b, c, d}	{c, d}	{a}	{b}	{c}	{d}	{e}
(5) Third loop, production 2	{a, b, c, d}	{b, c, d}	{c, d}	{a}	{b}	{c}	{d}	{e}

 $S \rightarrow aSe$ $S \rightarrow B$ $B \rightarrow bBe$ $B \rightarrow C$ $C \rightarrow cCe$ $C \rightarrow d$

Step	follow_set					
	S	В	С			
(1) Initialization	{λ}	ϕ	φ			
(2) Process S in production 1	$\{e,\lambda\}$	ϕ	φ			
(3) Process B in production 2	$\{e,\lambda\}$	{e, λ}	φ			
(4) Process B in production 3	No changes					
(5) Process C in production 4	{e, λ}	{e, λ}	{e, λ}			
(6) Process C in production 5	No changes					

 $S \rightarrow ABc$

 $A \rightarrow a$

 $A \rightarrow \lambda$

 $B \rightarrow b$

 $B \rightarrow \lambda$

Step	first_set						
	S	A	В	a	b	С	
(1) First loop	φ	{λ}	{λ}				
(2) Second (nested) loop	φ	{a, λ}	{b, λ}	{a}	{b}	{c}	
(3) Third loop, production 1	{a, b, c}	{a, λ}	{b, λ}	{a}	{b}	{c}	

 $S \rightarrow ABc$

 $A \rightarrow a$

 $A \rightarrow \lambda$

 $B \rightarrow b$

 $B \rightarrow \lambda$

Step	follow_set					
	S	A	В			
(1) Initialization	{λ}	φ	φ			
(2) Process A in production 1	{λ}	{b, c}	φ			
(3) Process B in production 1	{λ}	{b, c}	{c}			