

Banach Algebras and their Spectral Properties

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Presentation Overview

- 1 Introduction to Banach Algebras
- 2 Spectral Properties of Banach Algebras

Table of Contents

① Introduction to Banach Algebras

② Spectral Properties of Banach Algebras

Definitions

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- A is called a *commutative algebra* if the multiplication is commutative (i.e. if $xy = yx$ for all $x, y \in A$).
- A is called an *algebra with identity* if there exists an element $e \in A$ such that

$$xe = ex = x \quad \text{for all } x \in A$$

[Blackboard]

Normed Algebras and Banach Algebras

- A *normed algebra* A is a normed space that is also an algebra satisfying:

$$\|xy\| \leq \|x\| \|y\|$$

$$\|e\| = 1$$

for all $x, y \in A$,
if A has identity.

Examples:

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- The space $C[0, 1]$ is a commutative Banach algebra with identity.
- The space $M_n(\mathbb{C})$ of $n \times n$ matrices is a Banach algebra with identity (non-commutative for $n > 1$).
- Let $X \neq \{0\}$ be a Banach space. Then $B(X, X)$ is a Banach algebra with identity (non-commutative for $\dim X > 1$).

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- Throughout this section, we let A denote a complex Banach algebra with identity.

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- Recall that an element $x \in A$ has an *inverse* if there exists some element $x^{-1} \in A$ such that:

$$x^{-1}x = xx^{-1} = e$$

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Resolvent Set / Spectrum

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- For $x \in A$, the *resolvent set* $\rho(x)$ of x is the set of all $\lambda \in \mathbb{C}$ such that $x - \lambda e$ is invertible. The *spectrum* $\sigma(x)$ of x is the complement of the resolvent set $\sigma(x) = \mathbb{C} \setminus \rho(x)$.

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- For $x \in A$, the *resolvent set* $\rho(x)$ of x is the set of all $\lambda \in \mathbb{C}$ such that $x - \lambda e$ is invertible. The *spectrum* $\sigma(x)$ of x is the complement of the resolvent set $\sigma(x) = \mathbb{C} \setminus \rho(x)$.
- Note that this definition is equivalent to the classical definition of a resolvent set for linear operators $T \in B(X, X)$.
($A = B(X, X)$ is complex Banach algebra with identity for any Banach space X).

- We are losing some information by generalizing from $B(X, X)$ to general complex Banach algebras with identity (i.e. we no longer have that $\sigma(T) = \sigma_p(T) \cup \sigma_r(T) \cup \sigma_c(T)$).

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- Nevertheless;

Big Idea:
Many of the classical results
about resolvent sets / spectra
can be generalized to the
Banach Algebra setting.

Theorem 1

If $x \in A$ has norm $\|x\| < 1$, then $e - x$ is invertible and

$$(e - x)^{-1} = \sum_{j \geq 0} x^j.$$

(Note that $x^0 = e$.)

[Blackboard]

Properties of the set of invertible elements

Theorem 2

Let G denote the set of all invertible elements of A . Then

- ① G is a group,*
- ② G is an open subset of A .*

[Blackboard]

The spectrum

Define the *spectral radius* $r_\sigma(x)$ of an element $x \in A$ to be

$$r_\sigma(x) := \sup_{\lambda \in \sigma(x)} |\lambda|.$$

Theorem 3

For all $x \in A$, the spectrum $\sigma(x)$ is compact and $r_\sigma(x) \leq \|x\|$.

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Theorem 4

For all $x \in A$, $\sigma(x) \neq \emptyset$ and $\rho(x) \neq \emptyset$.

The End