

# Banach Algebras and their Spectral Properties

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# Presentation Overview

① Introduction to Banach Algebras

② Spectral Properties of Banach Algebras

# Table of Contents

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② Spectral Properties of Banach Algebras

# Definitions

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for all  $x, y \in A, \alpha \in K$ .

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- $A$  is called an *algebra with identity* if there exists an element  $e \in A$  such that

$$xe = ex = x \quad \text{for all } x \in A$$

[Blackboard]

# Normed Algebras and Banach Algebras

- A *normed algebra*  $A$  is a normed space that is also an algebra satisfying:

$$\begin{aligned}\|xy\| &\leq \|x\| \|y\| && \text{for all } x, y \in A, \\ \|e\| &= 1 && \text{if } A \text{ has identity.}\end{aligned}$$

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- The space  $M_n(\mathbb{C})$  of  $n \times n$  matrices is a Banach algebra with identity (non-commutative for  $n > 1$ ).
- Let  $X \neq \{0\}$  be a Banach space. Then  $B(X, X)$  is a Banach algebra with identity (non-commutative for  $\dim X > 1$ ).

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- For  $x \in A$ , the *resolvent set*  $\rho(x)$  of  $x$  is the set of all  $\lambda \in \mathbb{C}$  such that  $x - \lambda e$  is invertible. The *spectrum*  $\sigma(x)$  of  $x$  is the complement of the resolvent set  $\sigma(x) = \mathbb{C} \setminus \rho(x)$ .

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- Note that this definition is equivalent to the classical definition of a resolvent set for linear operators  $T \in B(X, X)$ .  
 $(A = B(X, X)$  is complex Banach algebra with identity for any Banach space  $X$ ).

# Resolvent Set / Spectrum

- We are losing some information by generalizing from  $B(X, X)$  to general complex Banach algebras with identity (i.e. we no longer have that  $\sigma(T) = \sigma_p(T) \cup \sigma_r(T) \cup \sigma_c(T)$ ).

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- Nevertheless;

Big Idea:  
Many of the classical results  
about resolvent sets / spectra  
can be generalized to the  
Banach Algebra setting.

# Geometric Series Inverses

## Theorem 1

If  $x \in A$  has norm  $\|x\| < 1$ , then  $e - x$  is invertible and

$$(e - x)^{-1} = \sum_{j \geq 0} x^j.$$

(Note that  $x^0 = e$ .)

[Blackboard]

# Properties of the set of invertible elements

## Theorem 2

Let  $G$  denote the set of all invertible elements of  $A$ . Then

- ①  $G$  is a group,
- ②  $G$  is an open subset of  $A$ .

[Blackboard]

# The spectrum

Define the *spectral radius*  $r_\sigma(x)$  of an element  $x \in A$  to be

$$r_\sigma(x) := \sup_{\lambda \in \sigma(x)} |\lambda|.$$

## Theorem 3

For all  $x \in A$ , the spectrum  $\sigma(x)$  is compact and  $r_\sigma(x) \leq \|x\|$ .

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## Theorem 4

For all  $x \in A$ ,  $\sigma(x) \neq \emptyset$  and  $\rho(x) \neq \emptyset$ .

# The End