#### Local Climate Zone Classification Using Random Forests

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Introduce Self + GitHub site

All code and higher resolution images for this project can be found on GitHub at https://github.com/erickabsmith/masters-project-lcz-classification.



#### LCZ

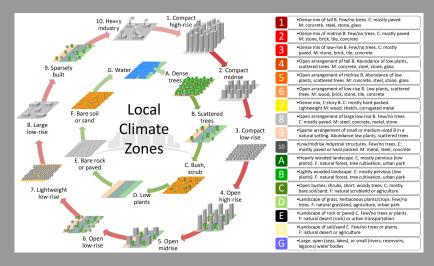


Figure 1: Local Climate Zone classes. Originally from Stewart and Oke (2012) and remade by Bechtel et al. (2017). Copyright CC-BY

Objective

Yoo

Methods - Data - LCZ

The LCZ reference data

#### Methods - Data - Landsat

#### The Landsat 8 data

Table 1: Acquisition Dates of Each Landsat 8 Scene

Scene	Date
1 2 3 4	29-Nov-2013 15-Oct-2014 16-Nov-2014 18-Oct-2015
- 1	10-000-2010

All 9 available bands of all 4 Landsat scenes amounted to 36 input variables. Each pixel is an observation,

#### Methods - data - Step 1 - train vs test (CHALLENGE)

Table 2: Delineation of training and test data by polygon and pixel.

Local Climate Zone	Train	Test
Class 1: Compact high-rise	13 (295)	13 (336)
Class 2: Compact mid-rise	6 (117)	5 (62)
Class 3: Compact low-rise	7 (185)	7 (141)
Class 4: Open high-rise	10 (275)	9 (398)
Class 5: Open mid-rise	4 (79)	4 (47)
Class 6: Open low-rise	6 (60)	7 (60)
Class 7: Lightweight low-rise	0 (0)	0 (0)
Class 8: Large low-rise	4 (90)	5 (47)
Class 9: Sparsely built	0 (0)	0 (0)
Class 10: Heavy Industry	4 (107)	5 (112)
Class 11: Dense trees	7 (762)	7 (854)
Class 12: Scattered trees	6 (194)	7 (213)
Class 13: Bush, scrub	4 (459)	5 (232)
Class 14: Low plants	6 (346)	6 (222)
Class 15: Bare rock or paved	0 (0)	0 (0)
Class 16: Bare soil or sand	0 (0)	0 (0)
Class 17. Water	r (19ee)	E (1119)

## Random Forests - decision tree

#### Random forest - impurity

Splits are typically evaluated by Gini impurity or entropy:

Gini Impurity = 
$$I_G(t) = 1 - \sum_{i=1}^{C} p(i|t)^2$$

Entropy = 
$$I_H(t) = -\sum_{i=1}^{C} p(i|t) \log_2 p(i|t)$$

Where i is a class in the predictor variable, ranging from 1 to C. C is the total number of classes represented for a particular node, t. p(i|t) is the proportion of samples that belong to each i, for a particular node t.

Random Forest - How random forests differ from

Tuning parameters and OOB error (maybe two slides)

#### Accuracy Assessment

In line with the methods used in our reference paper and the remote sensing field, accuracy metrics will include the following:

Overall Accuracy = 
$$OA = \frac{\text{number of correctly classified reference sites}}{\text{total number of reference sites}}$$

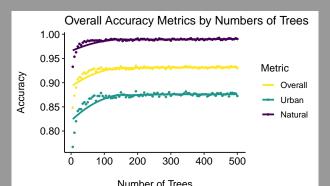
 $OA_{urb}$  and  $OA_{nat}$  will be used, which are the same as overall OA but only includes the urban and natural classes, respectively.

$$\begin{split} UA(z) &= \frac{\text{number of correctly identified pixels in class z}}{\text{total number of pixels identified as class z}} \\ PA(z) &= \frac{\text{number of correctly identified pixels in class z}}{\text{number of pixels truly in class z}} \end{split}$$

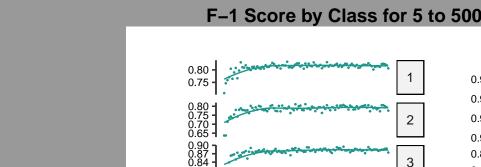
UA is a measure of user's accuracy, which is also called precision

### Results - Varying the Parameter for Number of Trees - 5 to 500 - OA

The parameter for the number of trees was initially varied between 5 and 500 at intervals of 5. The resulting overall accuracy metrics indicate a leveling off around 125 trees (Figure 2). There's also a clear distinction between accuracy in urban vs. natural classes, with natural classes having a much higher overall accuracy.



Results - Varying the Parameter for Number of Trees - 5 to 500 - F1



0.9 0.9 0.9 0.9

4

5

-1 Score

Type

Natural

#### Predicting on the Test Dataset - Validation Metrics Plot

OA and F-1 metrics dropped dramatically upon applying the random forest to the test data (Figure 4).

#### Validation Metrics for Test Dataset

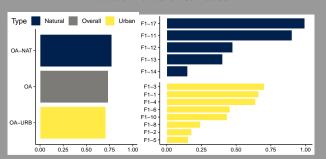


Figure 4: Accuracy among random forest predictions for the test dataset varied widely, but was lower than expected for F-1 scores, which do not seem to agree with the OA metrics. Classes 2, 5, 8, and 14 have particularly low F-1 Scores

#### Predicting on the Test Dataset - Importance Measures

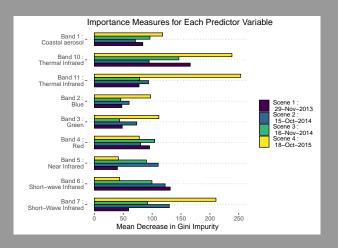


Figure 5: There is not a clear pattern in Mean Decrease for Gini Impurity between the different bands and scenes, though there is some indication that bands in scene 4 were particularly effective as predictors.



#### A Full Prediciton -2 just lcz

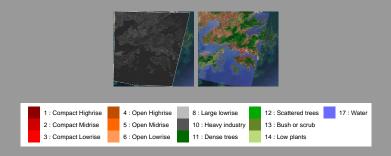


Figure 6: Imagery of the area of interest. Each has a basemap of satellite reference imagery. Top Left: Only satellite reference. Top Right: One Landsat 8 Scene. Bottom: A fully predicted LCZ map.

Discussion - large decrease b/wn oob and test data

Discussion - aggregate like OA can mask low f1 by class

Discussion interpretation? maybe these could all be one

# Conclusion - limitations, future work, etc

