

Pebble rod recession kinetics under high heat loads

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1 Free pebble power balance

A rod made of carbon pebbles is heated by the CW laser under a vacuum atmosphere. The surface temperature of the rod rises and some of the pebbles are released from the surface at $T \approx 2000$ K.

The free pebble cools down through radiation and partially due to sublimation. Heat exchange with the atmosphere is neglected because the pressure in the chamber is $\approx 10^{-4}$ Torr. In the absence of any other power losses, the power balance requires:

$$\frac{dE}{dt} = \sigma \epsilon A T^4(t) + P_{\text{sub}}, \quad (1)$$

where P_{sub} is the heat loss due to sublimation, and the term $\sigma \epsilon A T^4(t)$ corresponds to the radiative loss. The energy loss during the sublimation process is given by

$$E_{\text{sub}} = H_s n_{\text{sub}}. \quad (2)$$

Here, H_s is the heat of sublimation in J/mol and n_s is the amount of substance sublimated, given in moles.

The rate (in atoms/s) of sublimation can be approximated by the Arrhenius relationship

$$r = r_0 \exp\left(-\frac{E_a}{k_B T}\right), \quad (3)$$

where with E_a an activation energy for the sublimation process which is related to H_s and $k_B = 8.617 \times 10^{-5}$ eV/K being the Boltzmann constant.

The power loss due to sublimation might be derived from Eqn. (2), by taking the time derivative:

$$P_{\text{sub}} = \frac{dE_{\text{sub}}}{dt} = H_s \frac{dn_{\text{sub}}}{dt} = H_s \frac{1}{N_A} \frac{dN_{\text{sub}}}{dt}$$

with $N_A = 6.022 \times 10^{23} \text{ mol}^{-1}$ the Avogadro constant. But dN/dt is just the sublimation rate r so,

$$P_{\text{sub}} = H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right). \quad (4)$$

Plugging Eqn. (4) into (1):

$$\frac{dE}{dt} = \sigma \epsilon A T^4(t) + H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right). \quad (5)$$

The energy of the system should be

$$E = c_p \rho V_{\text{hot}} (T_0 - T(t)). \quad (6)$$

Here, we differentiate V_{hot} from the total volume of the pebble because the T might not be uniform inside the pebble. Instead, to a good approximation, the temperature is uniform within the outer half shell of the pebble exposed to the laser beam, defined by the heat diffusion length:

$$V_{\text{hot}} = \frac{2}{3} \pi [r^3 - (r - L_d)^3], \quad (7)$$

where $L_d = \sqrt{\alpha t_{ex}}$ the thermal diffusion length of the pebble material and α the thermal diffusivity of the material and t_{ex} is the time the pebble has been exposed to the laser beam.

Using the chain rule on Eqn. (6):

$$\frac{dE}{dt} = \frac{dE}{dT} \frac{dT}{dt} = -c_p \rho V_{hot} \frac{dT}{dt} = \sigma \epsilon A T^4(t) + H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right) \quad (8)$$

The form of $T(t)$ is then obtained by integrating

$$-c_p \rho V_{hot} \frac{dT}{dt} = \sigma \epsilon A T^4(t) + H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right) \quad (9)$$

with respect to dt .

We assume that E_a is approximately $H_s = (170.39 \pm 0.20) \text{ kcal/mol} = (7.39 \pm 0.01) \text{ eV}$ (Brewer *et al.*¹).

Eqn. (7) can be written in terms of the sphere diameter:

$$V_{hot} = \frac{2}{3} \pi \left[\frac{d^3}{8} - \left(\frac{d}{2} - L_d \right)^3 \right].$$

This equation can be reorganized as follows:

$$V_{hot} = \frac{1}{12} \pi \left[d^3 - (d - 2L_d)^3 \right].$$

The error in the diffusion length is given by

$$\delta L_d = \frac{L_d}{2} \sqrt{\left(\frac{\delta \alpha}{\alpha} \right)^2 + \left(\frac{\delta t}{t^2} \right)^2} \quad (10)$$

1.1 Negligible sublimation rate

If $E_a \gg k_B T$, then $\exp(-E_a/k_B T) \rightarrow 0$ and sublimation can be neglected in (9) and radiative cooling dominates:

$$\int_{T_0}^{T(t)} \frac{dT}{T^4} = -\frac{\sigma \epsilon \pi d^2}{c_p \rho V_{hot}} = \int_0^t dt,$$

which can be easily integrated:

$$\frac{1}{T^3(t)} - \frac{1}{T_0^3} = \frac{3\sigma \epsilon \pi d^2}{c_p \rho V_{hot}} t \quad (11)$$

If temperature data is fit to (11) by lumping all constants on the right-hand side to the slope:

$$s \equiv \frac{36\sigma \epsilon d^2}{c_p \rho [d^3 - (d - 2L_d)^3]} \quad (12)$$

We can solve for d to find the pebble diameter:

$$\begin{aligned} s c_p \rho \left[d^3 - (d^3 - 6d^2 L_d + 12d L_d^2 - 8L_d^3) \right] &= 36\sigma \epsilon d^2 \\ s c_p \rho (6d^2 L_d - 12d L_d^2 + 8L_d^3) - 36\sigma \epsilon d^2 &= 0 \\ 6(s c_p \rho L_d - 6\sigma \epsilon) d^2 - 12s c_p \rho L_d^2 d + 8s c_p \rho L_d^3 &= 0 \end{aligned}$$

Which has the form

$$\begin{aligned}
d &= \frac{12s_{\text{cp}}\rho L_{\text{d}}^2 \pm \sqrt{(12s_{\text{cp}}\rho L_{\text{d}}^2)^2 - 192(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)(s_{\text{cp}}\rho L_{\text{d}}^3)}}{12(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)} \\
&= \frac{s_{\text{cp}}\rho L_{\text{d}}^2 \pm (s_{\text{cp}}\rho L_{\text{d}}^2) \sqrt{1 - 192 [s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon](s_{\text{cp}}\rho L_{\text{d}}^3)/(12s_{\text{cp}}\rho L_{\text{d}}^2)^2}}{(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)} \\
&= \frac{s_{\text{cp}}\rho L_{\text{d}}^2 \pm (s_{\text{cp}}\rho L_{\text{d}}^2) \sqrt{1 - (4/3)(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)/(s_{\text{cp}}\rho L_{\text{d}})}}{(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)} \\
&= \frac{s_{\text{cp}}\rho L_{\text{d}}^2 [1 \pm \sqrt{1 - (4/3)(1 - 6\sigma\varepsilon/s_{\text{cp}}\rho L_{\text{d}})}]}{(s_{\text{cp}}\rho L_{\text{d}} - 6\sigma\varepsilon)} \\
&= L_{\text{d}} \left(\frac{1 \pm \sqrt{1 - (4/3)(1 - 6\sigma\varepsilon/s_{\text{cp}}\rho L_{\text{d}})}}{(1 - 6\sigma\varepsilon/s_{\text{cp}}\rho L_{\text{d}})} \right),
\end{aligned}$$

which can be written as

$$d = L_{\text{d}} \left(\frac{1 \pm \sqrt{1 - 4/3\mathcal{C}}}{\mathcal{C}} \right), \quad \mathcal{C} = 1 - \frac{6\sigma\varepsilon}{s_{\text{cp}}\rho L_{\text{d}}}. \quad (13)$$

For Eqn. (13) to be real

$$\mathcal{C} \leq \frac{3}{4}. \quad (14)$$

This means that, in order for d to be real, then

$$\frac{6\sigma\varepsilon}{s_{\text{cp}}\rho L_{\text{d}}} \geq \frac{1}{4} \quad (15)$$

To estimate the error of d , based on Eqn. (13), we need to estimate the partial derivatives:

$$\begin{aligned}
\frac{\partial d}{\partial s} &= \frac{\partial d}{\partial \mathcal{C}} \frac{\partial \mathcal{C}}{\partial s} = \frac{6\sigma\varepsilon}{s^2 c_{\text{p}} \rho L_{\text{d}}} \left(\frac{\partial d}{\partial \mathcal{C}} \right) \\
\frac{\partial d}{\partial c_{\text{p}}} &= \frac{\partial d}{\partial \mathcal{C}} \frac{\partial \mathcal{C}}{\partial c_{\text{p}}} = \frac{6\sigma\varepsilon}{s c_{\text{p}}^2 \rho L_{\text{d}}} \left(\frac{\partial d}{\partial \mathcal{C}} \right) \\
\frac{\partial d}{\partial \rho} &= \frac{\partial d}{\partial \mathcal{C}} \frac{\partial \mathcal{C}}{\partial \rho} = \frac{6\sigma\varepsilon}{s c_{\text{p}} \rho^2 L_{\text{d}}} \left(\frac{\partial d}{\partial \mathcal{C}} \right) \\
\frac{\partial d}{\partial L_{\text{d}}} &= \frac{\partial d}{\partial \mathcal{C}} \frac{\partial \mathcal{C}}{\partial L_{\text{d}}} = \frac{6\sigma\varepsilon}{s c_{\text{p}} \rho L_{\text{d}}^2} \left(\frac{\partial d}{\partial \mathcal{C}} \right)
\end{aligned}$$

and

$$\frac{\partial d}{\partial \mathcal{C}} = L_{\text{d}} \left(\frac{\mp 4/3 \mathcal{C} (1 - 4/3 \mathcal{C})^{-1/2} - 1 \mp (1 - 4/3 \mathcal{C})^{1/2}}{\mathcal{C}^2} \right)$$

1.2 Negligible radiative cooling

If the emissivity $\varepsilon \rightarrow 0$, then only the sublimation cooling is important. In such case:

$$-c_{\text{p}}\rho V_{\text{hot}} \frac{dT}{dt} = \frac{Hr_0}{N_{\text{A}}} \exp\left(-\frac{E_{\text{a}}}{k_{\text{B}}T}\right) \quad (16)$$

Integration of Eqn. (16) requires the use of the exponential integral $Ei(x)$:

$$-c_p \rho V_{\text{hot}} \int_{T_0}^{T(t)} \exp\left(\frac{E_a}{k_B T}\right) dT = -c_p \rho V_{\text{hot}} \left[T \exp\left(\frac{E_a}{k_B T}\right) - \frac{E_a}{k_B} Ei\left(\frac{E_a}{k_B T}\right) \right]_{T_0}^{T(t)} = \frac{H r_0}{N_A} \int_0^t dt = \frac{H r_0}{N_A} t \quad (17)$$

where

$$Ei(x) = - \int_{-x}^{\infty} \frac{e^{-t}}{t} dt = \int_{\infty}^x \frac{e^t}{t} dt \quad (18)$$

The temperature of the free pebble at time t can be obtained from Eqn. (17) by finding the roots to

$$f_{\text{subl}} \equiv c_p \rho V_{\text{hot}} \left[T_0 \exp\left(\frac{E_a}{k_B T_0}\right) - T \exp\left(\frac{E_a}{k_B T}\right) - \frac{E_a}{k_B} Ei\left(\frac{E_a}{k_B T_0}\right) + \frac{E_a}{k_B} Ei\left(\frac{E_a}{k_B T}\right) \right] - \frac{H r_0}{N_A} t \quad (19)$$

For glassy carbon $\kappa = (6.60 \pm 0.18) \times 10^{-2} \text{ W/cm-K}$, $c_p = (0.714 \pm 0.022) \text{ J/g-K}$ (Shinzato and Baba²), and $\rho = (1.372 \pm 0.003) \text{ g/cm}^3$ (measured). Using $\alpha = \kappa / \rho c_p$. Then $\alpha = (0.067 \pm 0.003) \text{ cm}^2/\text{s}$, Where the error on α was estimated by Eqn.

$$\delta \alpha = \alpha \sqrt{\left(\frac{\delta \kappa}{\kappa}\right)^2 + \left(\frac{\delta \rho}{\rho}\right)^2 + \left(\frac{\delta c_p}{c_p}\right)^2}$$

Glassy carbon pebbles with average $d = 0.09 \text{ cm}$ are observed to remain in the beam path for $\approx 0.25 \text{ s}$ and they typically reach $\approx 2500^\circ \text{C}$ in about 0.1 s . If we consider $t_{\text{ex}} \approx 0.1 \text{ s}$, then $L_d \approx 0.082 \text{ mm}$

1.3 Sublimation and radiation

Integration of Eqn. (9) needs to be solved numerically:

$$-c_p \rho V_{\text{hot}} \int_{T_0}^{T(t)} \frac{1}{\sigma \epsilon A T^4 + H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right)} dT = c_p \rho V_{\text{hot}} \int_{T(t)}^{T_0} \frac{1}{\pi \sigma \epsilon d^2 T^4 + H_s \frac{r_0}{N_A} \exp\left(-\frac{E_a}{k_B T}\right)} dT = t \quad (20)$$

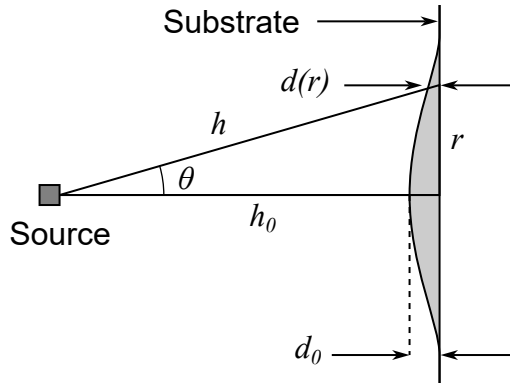


Figure 1: Schematic of geometry of evaporation (adapted from de Matos Loureiro da Silva Pereira *et al.*³)

2 Carbon deposition kinetics

Fig. 2 shows the thickness profile of the carbon deposit due to the sublimation of carbon from the pebble rod subject to high heat loads. The coordinate r is measured from the axis of the laser beam. The experimental data is fitted to the

cosine law (de Matos Loureiro da Silva Pereira *et al.*³ and references therein):

$$\frac{d}{d_0} = \frac{h_0^2}{h^2} \cos^n \theta, \quad (21)$$

where d is the coating thickness at a distance h from the source, d_0 is the thickness directly over the vapor flux at a distance h_0 from the source, θ is the angle from the normal to the source to point in the substrate at a distance r from the axis of the beam, and n is a coefficient that defines the focus of the source. Furthermore,

$$h = \sqrt{h_0^2 + r^2}, \quad \cos \theta = \frac{h_0}{h}.$$

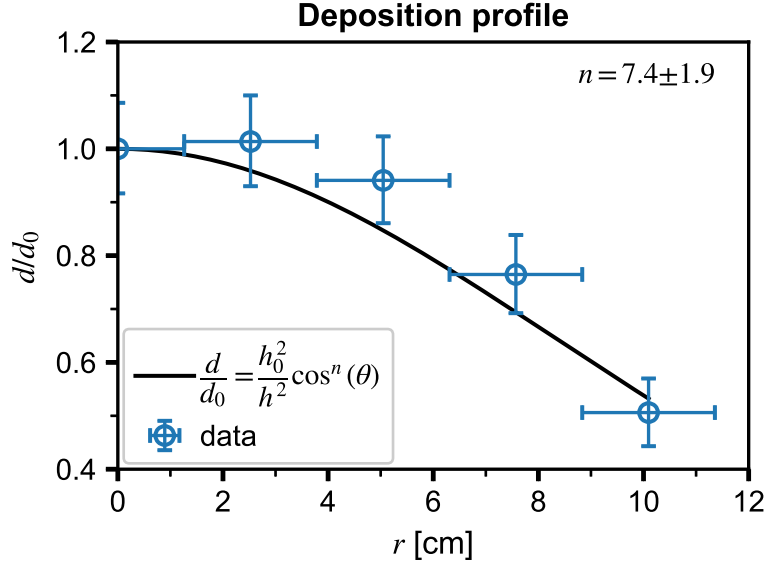


Figure 2: Thickness profile of the carbon film deposited around the axis of the heating beam.

In other words, Eqn. (21) can be expressed in terms of r as

$$\frac{d}{d_0} = \frac{h_0^{n+2}}{(h_0^2 + r^2)^{(n+2)/2}}, \quad (22)$$

The distance from the source to the substrate is $h_0 = 27$ cm.

The volume of the deposit is obtained by integration of Eqn. (22) in cylindrical polar coordinates:

$$\begin{aligned} V &= - \int_0^{2\pi} \int_0^\infty \left(\frac{d_0 h_0^{n+2}}{(h_0^2 + r^2)^{(n+2)/2}} \right) r dr d\theta \\ &= -d_0 h_0^{n+2} \int_0^{2\pi} \int_0^\infty \left(\frac{r}{(h_0^2 + r^2)^{(n+2)/2}} \right) dr d\theta. \end{aligned} \quad (23)$$

Define $u \equiv h_0^2 + r^2 \rightarrow du = 2r dr$, and plug it into Eqn. (23):

$$\begin{aligned} V &= - \lim_{\xi \rightarrow \infty} \frac{d_0 h_0^{n+2}}{2} \int_0^{2\pi} \int_{h_0^2}^{h_0^2 + \xi^2} u^{-(n+2)/2} du d\theta \\ &= - \lim_{\xi \rightarrow \infty} \frac{d_0 h_0^{n+2}}{2} \int_0^{2\pi} \left. \frac{u^{-n/2}}{-n/2} \right|_{h_0^2}^{h_0^2 + \xi^2} d\theta \\ &= \frac{d_0 h_0^{n+2}}{n} \int_0^{2\pi} \lim_{\xi \rightarrow \infty} \left[\frac{1}{h_0^n} - \frac{1}{(h_0^2 + \xi^2)^{n/2}} \right] d\theta \end{aligned} \quad (24)$$

The volume of the deposit is then given by

$$V = \frac{2\pi d_0 h_0^2}{n} \quad (25)$$

The average deposit rate in mol/s is given by

$$r_{\text{deposit}} = 2\pi\rho \frac{h_0^2}{nM_C} \left(\frac{d_0}{\Delta t_{\text{laser}}} \right), \quad (26)$$

where $M_C = 12.011 \text{ g/mol}$ is the molar mass of carbon, and t_{laser} is the laser emission time.

Consider 1 Torr-L at $T = 298 \text{ K}$ in an ideal gas:

$$PV = Nk_B T \Rightarrow N = \frac{(133.322 \text{ N/m}^2)(10^{-3} \text{ m}^3)}{k_B(298 \text{ K})} = 5.381 \times 10^{-5} \text{ mol of C} = 3.240 \times 10^{19} \text{ C atoms}$$

Considering a density of $\rho_{\text{film}} = 2.2 \text{ g/cm}^3$ (Kumar *et al.* ⁴), the evaporation rate in Torr-L/s is

$$r_{\text{ev}} = 2\pi\rho \frac{h_0^2}{nM_C} \left(\frac{d_0}{\Delta t_{\text{laser}}} \right) \times \left(\frac{1 \text{ Torr-L}}{5.381 \times 10^{-5} \text{ mol}} \right) = 21.388 \times 10^3 \text{ Torr-L/cm}^3 \left(\frac{h_0^2}{n} \right) \left(\frac{d_0}{\Delta t_{\text{laser}}} \right) \quad (27)$$

Order of magnitude analysis: d_0 is on the order of $100 \text{ nm} \rightarrow 100 \times 10^{-7} \text{ cm} \rightarrow 10^{-5} \text{ cm}$. $h_0 \approx 27 \text{ cm}$ and $n \approx 7.5$, and $\Delta t_{\text{laser}} \sim 0.5 \text{ s}$ so that

$$r_{\text{ev}} \sim 40 \text{ Torr-L/s} = 2.8 \times 10^{20} \text{ C atoms/s}$$

For a sample of diameter $d = 1 \text{ cm} \rightarrow A \approx 1 \text{ cm}^2 \times (1 \text{ m}/(10^2 \text{ cm})^2 = 1 \times 10^{-4} \text{ m}^2$

$$r_{\text{ev}} \sim 400 \times 10^4 \text{ Torr-L/s/m}^2$$

Note: The previous analysis does not take into account the re-deposition of carbon on the source.

References

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