

### Problem 1

(6 points total)

Answer with explanations and proofs:

- (a) If  $f(n) = 4^{(\log n)^3}$  and  $g(n) = n^4$ , is  $f(n) = o(g(n))$  or  $g(n) = o(f(n))$ ? (2 points)
- (b) All the DNA sequences of length  $k$  over the alphabet  $\{A, C, G, T\}$  i.e., all strings of length  $k$  comprised of characters 'A', 'C', 'G', 'T' are distributed among  $g(n) = n^4$  groups. How many DNA strings of length  $k$  exist? When  $k = (\log n)^3$ , can all these length  $k$  strings be distributed into a distinct group each i.e., can all the strings be distributed among  $g(n) = n^4$  groups such that no group has more than one string? (2 points)
- Hint:** For the second part, focus on what happens as  $n \rightarrow \infty$ . Try to use the answer to the previous part, and use Pigeonhole principle to reason about this.
- (c) How many DNA sequences/strings of length  $k$  exist where at least two of the four characters from  $\{A, C, G, T\}$  appear? (2 points)

a) First note that  $(\log n)^3 > 3 \log n$  for sufficiently large  $n$ ,  
hence  $4^{3 \log n} = O(4^{(\log n)^3})$ . More over

$$4^{3 \log n} = 2^{6 \log n} = n^6 \quad \text{and} \quad \lim_{n \rightarrow \infty} \frac{n^4}{n^6} = 0$$

hence.  $g(n) = n^4 = O(n^6)$ . Combining the above gives  $g(n) = o(f(n))$

b) The number sequences of length  $k$  is  $4^k$  since we make  $k$  selections and there are 4 possibilities for each selection.

It follows that if  $k = (\log n)^3$ , then the total number of DNA strings of length  $n$  is  $4^{(\log n)^3} = f(n)$ . From

part a) we have  $g(n) = o(f(n))$  hence  $f(n) > g(n)$  for  $n$  sufficiently large. Using the pigeon hole principle then allows us to conclude that at least one group has more than one string when  $n$  is large.

c) Observe that the number of strings with at least two characters from  $\{A, G, C, T\}$  is equal to the total number of strings minus the number of strings which have only one letter in them. The only strings which have exactly one letter are  $AAA \dots AA$ ,  $CCC \dots CC$ ,  $GGG \dots GG$ , and  $TTT \dots TT$ . Thus the number of strings with at least two characters is  $4^k - 4$ .

### Problem 2

(5 points) Use Induction to prove that for any  $r > 0$  (and  $r \neq 1$ ),  $a \in \mathbb{R}$ , and any natural number  $n \geq 1$ , we have

$$a + 2ar + 3ar^2 + \dots nar^{n-1} = \frac{a(nr^{n+1} - (n+1)r^n + 1)}{(r-1)^2}.$$

Let  $P(k)$  be the predicate that

$$a + 2ar + \dots + kar^{k-1} = \frac{a(kr^{k+1} - (k+1)r^k + 1)}{(r-1)^2}$$

We first prove  $P(1)$  is true. Here the right hand side becomes

$$\frac{a(r^2 - 2r + 1)}{r^2 - 2r + 1} = a, \text{ while the LHS is } a.$$

Thus  $P(1)$  is true. Now we assume  $P(k)$  is true and prove  $P(k+1)$  is true. Using our induction hypothesis we have

$$\begin{aligned} a + \dots + kar^{k-1} + (k+1)ar^k &= \frac{a(kr^{k+1} - (k+1)r^k + 1)}{(r-1)^2} + (k+1)ar^k \\ &= \frac{a(kr^{k+1} - (k+1)r^k + 1)}{(r-1)^2} + \frac{(k+1)ar^k(r-1)^2}{(r-1)^2} \\ &= \frac{akr^{k+1} - a(k+1)r^k + a + (k+1)ar^{k+2} - 2(k+1)ar^{k+1} + a(k+1)r^k}{(r-1)^2} \end{aligned}$$

$$= \frac{(k+1)ar^{k+2} + a(k-2k-2)ar^{k+1} + a}{(r-1)^2}$$

$$= \frac{a((k+1)r^{k+2} - (k+2)r^{k+1} + 1)}{(r-1)^2}$$

That is  $P(k+1)$  is true. We conclude using induction that  $P(k)$  is true for all  $k \geq 1$ .

### Problem 3

(4 points) Given two numbers  $n \geq r$ , we want to calculate the number of ways of distributing  $n$  identical objects into  $r$  distinct boxes such no box is empty.

1. Suppose we represent the  $n$  identical objects with  $n$  zeroes ( $n$  of them) and use  $r - 1$  ones to represent the partitioning of the boxes. Explain in English (succinctly), what properties are satisfied by these  $n + r - 1$  long bit strings of zeros and ones. (2 points)

The first entry of the string must be a zero. Then, every time a one occurs in the sequence, the immediately following digit must be a zero. That is, if the  $l$ th digit is 1, the the  $l+1$ th digit is 0. Furthermore the final digit is zero.

### Problem 3

2. Using the previous part, prove that the number of ways of distributing  $n$  identical objects into  $r$  distinct boxes such no box is empty is  $\binom{n-1}{r-1}$ . Remember that  $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ . (2 points)

By associating the string 10 to a symbol  $X$ , the number of strings satisfying the above properties is equal to the number of strings consisting of 0's and  $X$ 's with length  $n + (r-1) - (r-1) = n$  which have exactly  $n - (r-1)$  zeros and  $(r-1)$   $X$ 's and have their first entry equal to zero. This is in turn equal to the number of strings of 0's and  $X$ 's of length  $n-1$  which have exactly  $n - (r-1) - 1 = n - r$  zeros and  $(r-1)$   $X$ 's. This quantity is equal to  $\binom{n-1}{r-1}$ , as claimed.

#### Problem 4

(5 points total) For this problem, let  $n$  be an integer at least 3.

- (a) A permutation of a set  $S$  is a sequence consisting of all the elements of  $S$  with no repetitions. Let  $S$  be the set of permutations of  $[n]$ , and consider the uniform distribution on  $S$ . For  $i \in [n]$  let  $E_i \subseteq S = \{s \mid s \in S, s_i = i\}$ , that is,  $E_i$  is the set of permutations for which the  $i$ th element of each permutation is  $i$ . Give with proof the value of  $\Pr[E_1]$  and  $\Pr[E_2]$ .

For example, if  $n = 3$ , then  $E_2 = \{(1, 2, 3), (3, 2, 1)\}$ .

- (b) Give with proof the value of  $\Pr[E_1 \cap E_2]$ . Determine if  $E_1$  and  $E_2$  are independent, with a brief explanation why.

We have  $|S| = n!$ . On the other hand  $|E_1| = |E_2| = (n-1)!$ . Therefore

$$\Pr(E_1) = \Pr(E_2) = \frac{(n-1)!}{n!} = \frac{1}{n}$$

$$(b) \quad \text{We have } \Pr[E_1 \cap E_2] = \frac{|E_1 \cap E_2|}{|S|}$$

$|E_1 \cap E_2|$  is equal to the total number of permutations which have 1 in their first position, 2 in the second position and then have the remaining  $n-2$  integers in any order. Therefore

$$|E_1 \cap E_2| = (n-2)!$$

We conclude that  $P(E, \cap E_2) = \frac{(n-2)!}{n!} = \frac{1}{n(n-1)}$

On the otherhand  $P(E_1)P(E_2) = \frac{1}{n^2} \neq \frac{1}{n(n-1)} = P(E, \cap E_2)$

Thus these events are not independent.