

# Mathematical Foundations of Computer Science

Lecture 2: Mathematical Proofs

#### Announcements

- 1. LaTex tutorial on Tuesday at 5PM over Zoom.
- 2. My office hours today is from 4:00PM 5:00PM.
- 3. HW1 will be released next week
- 4. All discussions and office hours starting next week (mine starting today).

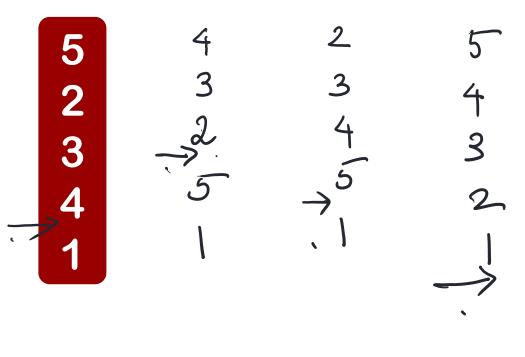
#### How to sort this stack?





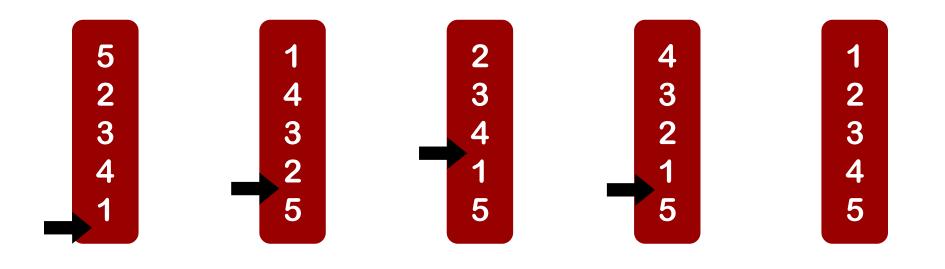


# Four flips are sufficient



4 flys

# 4 Flips are Sufficient



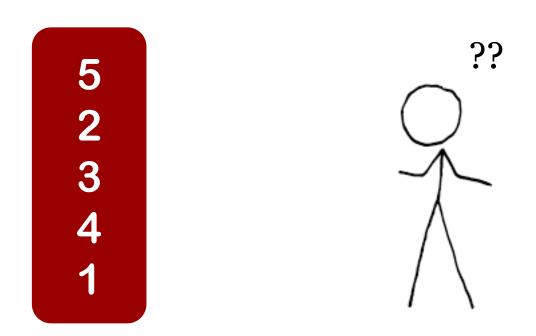
### Best Way to Sort

X = Smallest number of flips required to sort: 52341

Lower Bound  $? \leq X \leq 4$ 

Upper Bound

#### Lower bound: can we do better?

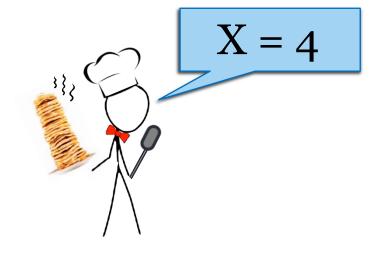


**Lower bound:** needs convincing argument that every way of sorting stack requires at least 4 flips

#### And the answer is...

$$4 \le X \le 4$$

Lower Bound Upper Bound



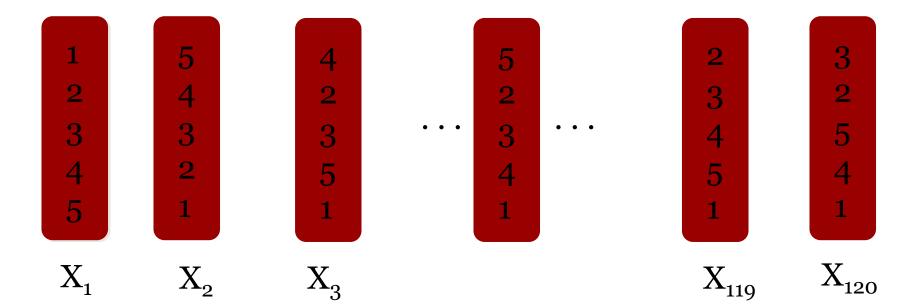
where

X = Smallest number of flips required to sort:



# 5<sup>th</sup> Pancake number

- P<sub>5</sub> = Number of flips required to sort the worst case stack of 5 pancakes
- =  $\max_{X_S}$ [min number of flips to sort  $X_S$ ]



# Lower bound on $P_5$

 $P_5 = \max_{X_S} [\min number of flips to sort X_S]$ 

\*?  $\leq P_5 \leq$  ?

Lower Bound

#### What does proving a Lower bound of $P_5 \ge 4$ mean?

- a. For every instance, prove a lower bound of 4i.e. for every instance, every sorting algorithm takes ≥ 4 flips

# Upper bound on $P_5$

 $P_5 = \max_{X_S} [\min number of flips to sort X_S]$ 

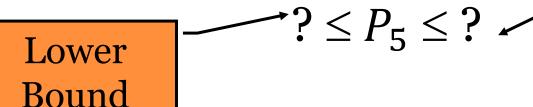
$$? \le P_5 \le ?$$

What does proving a *Upper bound of*  $P_5 \le 5$  mean?

- For every instance, an upper bound of 5
  i.e. one sorting algorithm which for every instance takes ≤ 5 flips
- b. Give one instance where upper bound ≤ 5
  i.e. one sorting algorithm, which for some instance takes ≤ 5 flips

# 5<sup>th</sup> Pancake number

$$P_5 = \max_{X_S} [\min number of flips to sort X_S]$$



Upper Bound

#### **Argument:**

exhibit one instance where every way of sorting stack requires at least 4 flips

#### Algorithm:

Give sorting procedure that for *every* instance (stack) takes at most 4 flips

# Values of $P_n$ ?

n	1	2	3	4	5	•••		n
$P_n$	0	J	3					

We don't know the value of  $P_n$  for large n!

# Bracketing

What are the best lower bounds and upper bounds that I can prove?

$$\leq f(n) \leq$$





# Bracketing

What are the best lower bounds and upper bounds that I can prove?

$$n \leq P_n \leq 2n-3$$

These bounds can be proved using simple arguments

# Improved bounds

$$(17/16)n \le P_n \le (5n+5)/3$$

William Gates and Christos Papadimitriou. Bounds For Sorting By Prefix Reversal. *Discrete Mathematics*, vol 27, pp 47-57, 1979.





[CFMMSSV 08] Improvements in recent years.

Can you do better than best known results?

#### Pancakes... Relevance to CS?

Related to host of problems and applications at the frontiers of science

• Sorting by Prefix Reversal. *American Mathematics Monthly 82 (1) (1975), Jacob Goodman.* 

• Mutation Distance:





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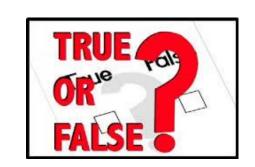
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#### Outline

- Logical Reasoning and Proofs
- Different Kinds of proofs
- Proof by Contrapositivity
- Proof by Contradiction

#### **Propositions & Predicates**

- Proposition is a statement.
- Examples?
   e.g. 2022 is a prime number.
   Jack lives in Evanston



- Predicate: Proposition with parameters x
- e.g. P(x) = "2x is an even number"P(x)="x is a prime"P(x)="x lives in Evanston"



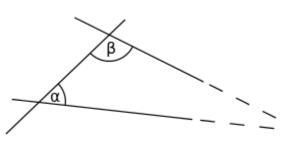
#### Axioms



- Underlying mathematical assumptions
- Used to derive true propositions.
- Examples?

#### **Euclid's axioms of Geometry**

- 1. "To draw a straight line from any point to any point."
  - 2. "To produce [extend] a <u>finite straight line</u> continuously in a straight line."
- 3. "To describe a <u>circle</u> with any centre and distance [radius]."
- 4. "That all <u>right angles</u> are equal to one another."
- 5. "The <u>parallel postulate</u>: if two lines lying on another line..."



#### Peano's axioms: Natural numbers N

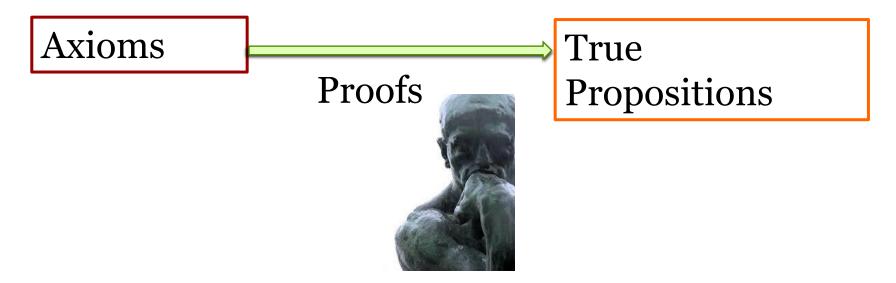


Giuseppe Peano

- o is a natural number.
- The next four axioms describe the <u>equality relation</u>. The remaining axioms define the arithmetical properties of the natural numbers. The naturals are assumed to be closed under a single-valued <u>successor function</u> *S*.
- For every natural number n, S(n) is a natural number (this is represented by n + 1).
- For all natural numbers m and n, m = n if and only if S(m) = S(n). That is, S is an <u>injection</u>.
- There is no natural number whose successor is o.

#### Theorems, Lemmas... and Proofs

Our goal is to derive whether Propositions are true or not..



- Logical reasoning to infer Propositions
- Also called Theorems, Lemmas...

# Types of Proofs

Direct Proof

Axioms  $\Longrightarrow S_1 \Longrightarrow S_2 \Longrightarrow S_3 \Longrightarrow$  Proposition

- Proof by Cases
- Proof by Contrapositivity

Proof by Contradiction



Proof by Mathematical Induction



# Types of Proofs

Proof by Obviousness	The proof is so clear that it need not be mentioned.			
Proof by General Agreement	All in favor??			
Proof by Convenience	It would be nice if this is true, so"			
Proof by Necessity	It had better be true or the whole structure of mathematics would crumble to the ground.			
Proof by Plausibility	It sounds good, so it must be true.			
Proof by Intimidation	Don't be stupid Of course it's true.			
Proof by Lack Of Time	Because of the time constraint, I'll leave the proof to you.			

#### Your Favorite Proof

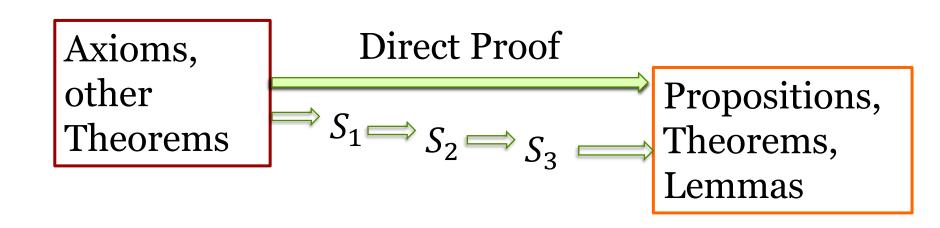
What's your favorite math proof from high school?

Broof & infiniteness of primes

Pythagoras theorem

Gradel's theorem.

#### **Direct Proofs**



Eg. Axioms  $A_1, A_2, ... A_k$  implies propositions  $S_1, S_2, S_3$  which in turn implies required Theorem/ Proposition.

Most high school proofs are direct proofs (e.g. Pythagoras theorem, many Geometry proofs).

### Summary and Takeaways



- Logical Reasoning and proofs
- Lots of proofs! Embrace proofs! Don't accept any statement without proof!

 Sort pancakes and become the world's richest person!