

CS 212

# Mathematical Foundations of Computer Science

## Finishing Public-Key Cryptography and Final Review



# The Revolution: Public Key Cryptography

- Private Key Crypto is impractical. Key should be as large as message (information theory) !



Public key



Private key



Can be used to lock, but not unlock

- What if the key for locking is public?
- Anyone can encrypt & send messages to Receiver !
- But only Receiver can decrypt!  
(only Receiver has the key to open the lock)

# Encryption & Decryption

$n = pq$ .  $e$  chosen s.t.  $\gcd(e, (p-1)(q-1)) = 1$ .

Public key:  $(e, n)$

$d$  chosen s.t.  $de \equiv 1 \pmod{(p-1)(q-1)}$ .

Private key:  $(d, \phi(n) = (p-1)(q-1))$       Message= $m$

Encryption (Sender S):

1. Pick message  $m \in \{1, \dots, n-1\}$ . Verify that  $\gcd(m, n) = 1$ .
2. Compute  $c = m^e \pmod{n}$  and send  $c$ .

Decryption (Receiver R):      R has private key  $(d, \phi(n))$

Find  $c^d \pmod{n}$ . Claim:  $m = c^d \pmod{n}$ .



# How is this implemented?

$$\# \{ \text{primes that are } \leq m \} \sim \frac{m}{\log m}$$

1. Generate primes (say 512 bits)  $p, q$ .
2. Generating  $e$ , how do we find  $d = e^{-1}(\text{mod } \phi(n))$ ?

Exists because  $\gcd(e, \phi(n)) = 1$ .

Inverse can be computed using Euclid's algorithm.

3. How do we compute  $m^e$ ? Say  $m^{31}$ ?

4.  $m^e(\text{mod } n)$  ?

# Repeated Powering

3. How do we compute  $m^e$ ? Say  $m^{32}$ ?  $m^{31}$ ?

$$m^{32} = m \times m \times m \times \dots \times m$$

$$m^{32} = (m^{16})^2 = ((m^8)^2)^2 = (((m^4)^2)^2)^2 \rightarrow \text{square 5 times}$$

$$m^{31} \quad \binom{31}{10} = (10111)_2 \quad m^{31} = m^{16} \times 1 \times m^4 \times m^2 \times m$$

4.  $m^e \pmod n$ ?

# Why is this secure?

- Evesdropper gets access to public key, ciphertext:  
 $n, e, m^e \pmod n$ .

- Can we compute  $m$ ?

- If we can factor  $n$ , we can compute  $p, q$  and hence  $d$

i.e.  $e^{-1} \pmod{(p-1)(q-1)}$

$\phi(n)$

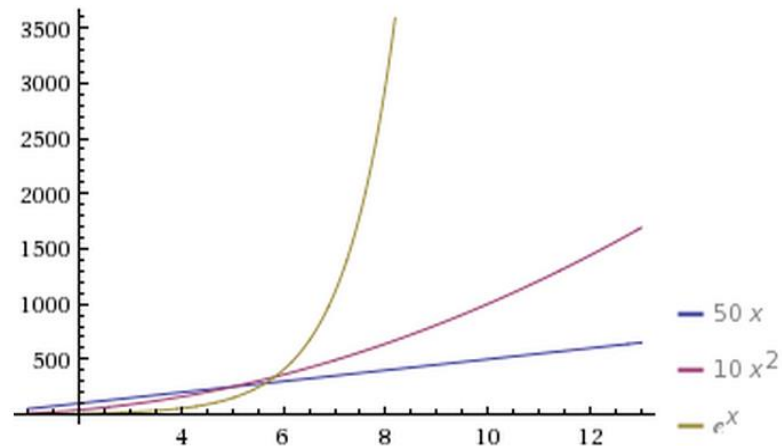
$$d = e^{-1} \pmod{\phi(n)}$$

*Thought to be as hard as factoring!*

*How hard is factoring? Any algorithm that runs in time polynomial in number of bits?*

# Polynomial Time Algorithms

*It ain't good if ain't snappy enough*



$O(n)$  ?  
 $O(n^2)$  ?  
 $O(n^{10})$  ?

} polynomial time  
 $O(n^c)$  for some  
constant  $c$

$O(n^{\log n})$  ?  
 $O(2^n)$  ?  
 $O(n!)$  ?

} non-polynomial  
time



**Polynomial time  
algorithm is efficient**



**Exponential time  
algorithm is  
inefficient**

# Easy vs Hard Problems



Problems with efficient algorithms:

- Graph Matching, 2-Coloring a graph
- Maximum Flow, Minimum Cut.
- Testing Primality

Problems with no known efficient algorithms:

- Integer Factoring
- 3-Coloring a graph (assignment problem in PS6)



# Checklists



# Announcements



1. Final Exam on Monday, Dec 5<sup>th</sup> at 3pm – 4:50pm.
2. You will be allowed one regular sheet (A4/ letter) of paper to write down formulae etc.
3. Portions: everything covered up and until Monday, Nov 28th.
4. Any student with particular needs (like extended time) should contact instructors and sign up on ANU.

# Number Theory



# GCD and its properties

$k|n$  means  $n$  is a multiple of  $k$ .

Even numbers:  $2k$ ; Odd numbers:  $2k - 1$  for  $k \in \mathbb{Z}$  <sup>integers</sup>

$\gcd(a, b)$ : largest common divisor between  $a$  and  $b$

- $\gcd(a, b)$  is smallest +ve integer combination of  $a, b$  (Thm)
- Every common divisor of  $a, b$  divides  $\gcd(a, b)$

- $\gcd(ka, kb) = k \times \gcd(a, b)$

Relatively prime:  $\gcd(a, b) = 1$

- $\gcd(a, b) = 1, \gcd(a, c) = 1 \Rightarrow \gcd(a, bc) = 1$

Suppose  $b$  is prime

$\gcd(a, b) = 1$  unless  
 $a$  is a multiple  
of  $b$ .

- $\gcd(a, b) = \gcd(b, \text{remainder}(a, b))$

# Modulo arithmetic

$$a \pmod{n} \equiv r \Leftrightarrow a = dn + r \text{ for some integer } d$$

**Congruence modulo  $n$ :**  $a \equiv b \pmod{n}$  iff  $n \mid (a - b)$

- Addition:

$$a \equiv b \pmod{n}, c \equiv d \pmod{n} \Rightarrow a + c \equiv b + d \pmod{n}$$

- Multiplication:

$$a \equiv b \pmod{n}, c \equiv d \pmod{n} \Rightarrow ac \equiv bd \pmod{n}$$

- Residue classes  $\pmod{n}$ :

$$0 \pmod{3} \equiv \{ \dots, -6, -3, 0, 3, 6, \dots \} \pmod{3}$$

$$1 \pmod{3} \equiv \{ \dots, -5, -2, 1, 4, 7, \dots \} \pmod{3}$$

$$2 \pmod{3} \equiv \{ \dots, -4, -1, 2, 5, 8, \dots \} \pmod{3}$$

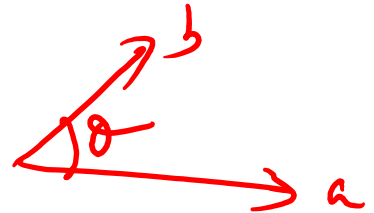


# Linear Algebra



# Vectors, Inner products & Matrices

- Vectors over real numbers in  $n$  dimensions i.e.  $n$  coordinates
- Inner product between vectors  $\langle a, b \rangle = \|a\|_2 \|b\|_2 \cos(\theta)$
- Orthogonal vectors  $\Leftrightarrow \langle a, b \rangle = 0$ 
  - Matrix multiplication  
(Matrix-matrix multiplication  
or Matrix-vector)





# Eigenvalues, Eigenvectors

Given any matrix  $M \in \mathbb{R}^{n \times n}$ ,  $\mathbf{e} \in \mathbb{R}^n$  is an eigenvector iff for some scalar  $\lambda \in \mathbb{R}$ ,  $\mathbf{M}\mathbf{e} = \lambda \mathbf{e}$ .

$(\lambda, \mathbf{e})$  is called an eigenvalue, eigenvector pair.

$$(\lambda, 2\mathbf{e})$$

$$M(\underline{2e}) = 2Me = 2\lambda e = \lambda(\underline{2e})$$

Simple Fact:

$$M \in \mathbb{R}^{n \times n}$$

$$x \in \mathbb{R}^n$$

$$(x^T M x) = \sum_{i=1}^n \sum_{j=1}^n M_{ij} x_i x_j$$

$$(x^T)_{1 \times n} M_{n \times n} (x)_{n \times 1}$$

# Eigendecompositions

Roughly: Eigenvalues and eigenvectors are the building blocks that make up matrices

**Spectral Thm.** For any  $n \times n$  symmetric matrix  $M$  (over reals)

1. All its eigenvalues are real.

2. Further, there are  $n$  real eigenvalues (and eigenvectors)

$$(\lambda_1, e_1), (\lambda_2, e_2) \dots (\lambda_n, e_n),$$

such that every pair of eigenvectors is orthogonal i.e.

$$\langle e_i, e_j \rangle = 0 \text{ for } i \neq j.$$

$$\|e_i\|^2 = \langle e_i, e_i \rangle = 1$$

3. In addition,

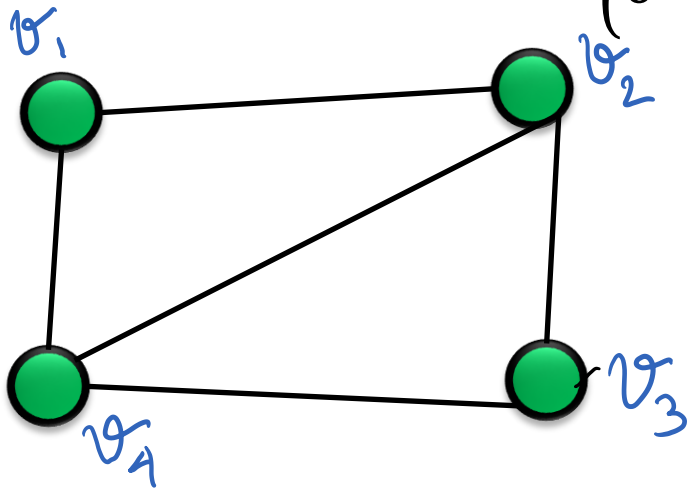
$$M = \sum_{i=1}^n \lambda_i \underline{e_i e_i^T}$$

# Adjacency Matrices

Graph  $G$  with  $n$  vertices.

The adjacency matrix is the  $n \times n$  matrix  $A=[a_{ij}]$  with:

$$a_{ij} = \begin{cases} 1 & \text{if } (v_i, v_j) \text{ is an edge} \\ 0 & \text{if } (v_i, v_j) \text{ is not an edge} \end{cases}$$



**Undirected graphs:** Symmetric matrix. Hence, all eigenvalues exist, and eigenvectors for diff. eigenvalues are orthogonal to each other.

**Fact:** The number of walks of length  $k$  from node  $i$  to node  $j$  is the entry in position  $(i, j)$  in the matrix  $A^k$



# Linear Programming



# Linear Programs

**Variables:**  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$

**Constraints:** given by  $A \in \mathbb{R}^{m \times n}, b \in \mathbb{R}^m$

$$\max c^T x = \sum_{i=1}^n c_i x_i$$

such that  $Ax \leq b$

$$x \geq 0$$

$$\begin{matrix} m & & n \\ \left[ \begin{array}{c} A \end{array} \right] & \left[ \begin{array}{c} x \end{array} \right] & \leq & \left( \begin{array}{c} b \end{array} \right) \\ & n \times 1 & & m \times 1 \end{matrix}$$

Example:

Maximize  $5x_1 - 3x_2$

$$x_1 + 3x_2 \leq 5$$

$$3x_1 + x_2 \leq 4$$

$$4x_1 - 8x_2 \leq -4$$

$$x_1, x_2 \geq 0$$

# LP Formulation

**Variables:**  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$

**Constraints:** given by  $A \in \mathbb{R}^{m \times n}, b \in \mathbb{R}^m$

$$\begin{aligned} \text{! } A &= \begin{bmatrix} 2 & 1 \\ 1 & 2 \end{bmatrix} \\ b &= \begin{bmatrix} 3 \\ 3 \end{bmatrix} \quad c = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \end{aligned}$$

$$\max \quad c^T x$$

*Standard  
General form*

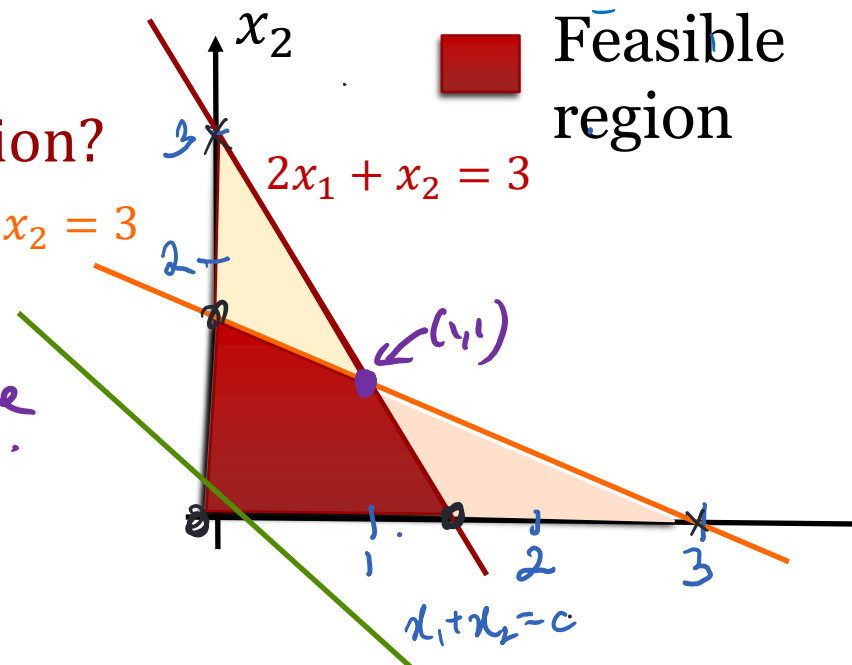
$$\text{s.t.} \quad Ax \leq b, x \geq 0$$

**What can we say about optimal solution?**

One of the corner points.

**What is a corner point?**

*pt where n of the inequalities become tight.*



# “Standard” LP Formulation

**Variables:**  $x = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$

**Constraints:** given by  $A \in \mathbb{R}^{m \times n}$ ,  $b \in \mathbb{R}^m$  & given  $c \in \mathbb{R}^n$

$$\max c^T x = c_1 x_1 + c_2 x_2 + \dots + c_n x_n$$

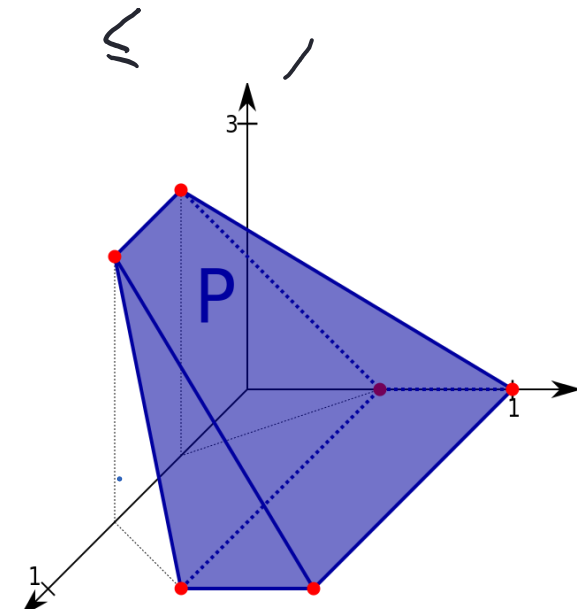
such that  $Ax \leq b$

$$x \geq 0$$

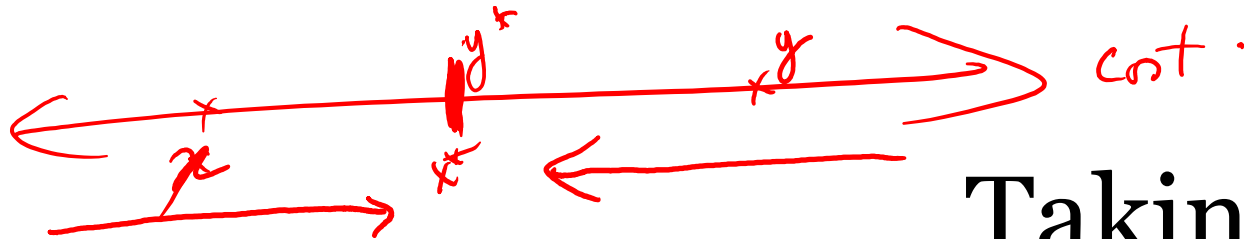
$$\forall i \in [m]: \sum_{j=1}^n a_{ij} x_j \leq b_i$$

$$\forall j \in [n]: x_j \geq 0$$

**Claim:** Standard LP formulation can capture general Linear programs.







# Taking Duals

- This Procedure possible for any LP!

**Primal LP:**

$$\begin{aligned} \max \quad & \sum_{j=1}^n c_j x_j \\ \text{s.t. } \forall i \in [m] \quad & \sum_{j=1}^n a_{ij} x_j \leq b_i \\ & x \geq 0 \end{aligned}$$

$y_i$

**Dual LP:**

$$\begin{aligned} \min \quad & \sum_{i=1}^m b_i y_i \\ \text{s.t. } \forall j \in [n] \quad & \sum_{i=1}^m a_{ij} y_i \geq c_j \\ & y \geq 0 \end{aligned}$$

←

- One dual variable for each primal constraint.
- One dual constraint for each primal variable.

**Primal LP:**  $\max c^T x$   
such that  $Ax \leq b$   
 $x \geq 0$

$\Rightarrow$   
*Strong duality*

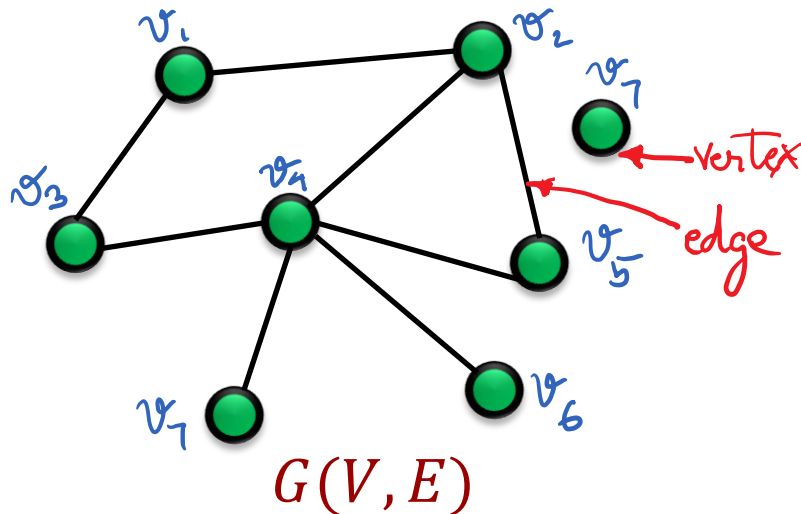
**Dual LP:**  $\min b^T y$   
such that  $A^T y \geq c$   
 $y \geq 0$



# Graph Theory



# Graphs



Graph  $G = (V, E)$  is a pair of sets  
 $V = \text{set of vertices}$ ,  
 $E \subset V \times V = \text{set of edges}$

**Undirected graph:** For any  $u, v \in V$ , if  
 $(u, v) \in E$ , then  $(v, u) \in E$

$|V| = n$  #nodes in a graph

$|E| = m$  #edges in a graph


- degree of a vertex, regular graphs. **Fact:**  $\sum_{v \in V} d_v = 2 |E|$

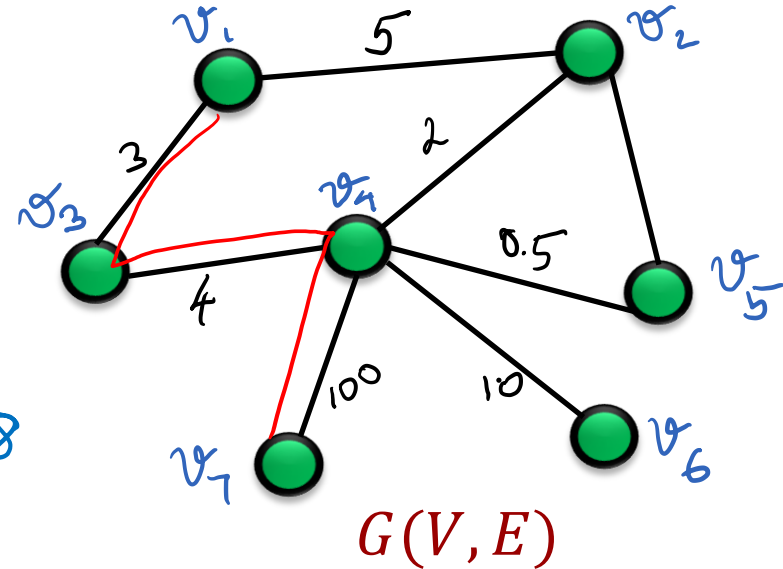
$G(V, E)$  is a simple graph iff it is undirected, with no self-loops and no parallel edges.

# Weighted Graphs, Distances

**Weighted graphs:**  $G(V, E, w)$

Edges have numbers associated with them, representing extent of relation e.g. maps with distances.

Path:   $|E| = 8$



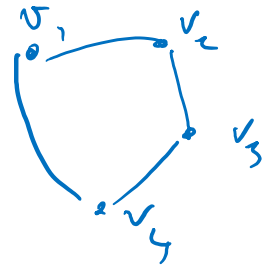
- Weights can also encode distances.
- Length of path = sum of weights of edges on the path
- **Distance between  $u, v$ :**

$d(u, v)$  = length of shortest path  $u$  to  $v$

*length*  $v_1 - v_3 - v_4 - v_7 = 107.$

# Connectivity, Trees

- Connectivity, Connected graphs
- Connected components
- Paths, Cycles.
- **Trees:** Connected graphs with no Cycles.
- Number of edges in a tree =  $n-1$ .
- Spanning Trees, Minimum Spanning Trees.

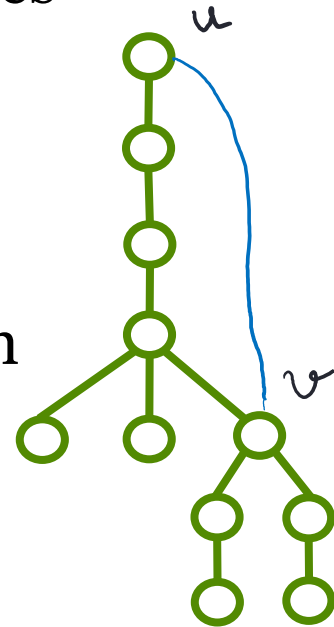


# Equivalent Definitions of Trees

**Theorem:** Let  $G$  be a graph with  $n$  vertices and  $m$  edges

The following are equivalent:

1.  $G$  is a connected and acyclic (i.e.  $G$  is a tree)
2. Every two vertices of  $G$  are joined by a unique path
3.  $G$  is connected and  $m = n - 1$
4.  $G$  is acyclic and  $m = n - 1$
5.  $G$  is acyclic and if any two non-adjacent nodes are joined by an edge, the resulting graph has exactly one cycle



# Bipartite Graphs



- Graph Coloring

A graph is bipartite iff it is 2-colorable i.e. the nodes can be partitioned into two sets  $V_L$  and  $V_R$  such that *all edges* go only between  $V_L$  and  $V_R$  i.e. no edge inside  $V_L$  or inside  $V_R$

**Theorem:** A graph  $G(V, E)$  is bipartite iff there is  $G$  has no odd cycle.



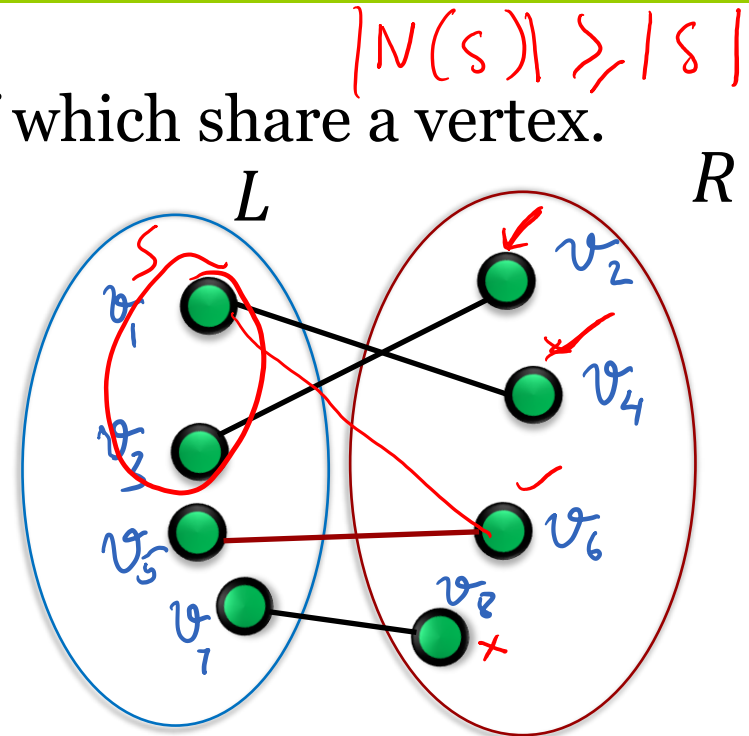
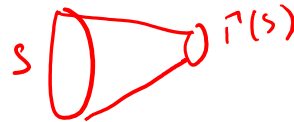
# Matchings

**Matching:** A set of edges, no two of which share a vertex.

**Matching saturating L:**

includes every vertex in L

**Perfect Matching:** A matching is perfect if it includes every vertex in  $L$  and  $R$ .



**Theorem.** Bipartite graph  $G(V = (L, R), E)$  has *a matching saturating L* iff for any subset  $S \subseteq L$ , there are at least  $|S|$  nodes of  $R$  connected to at least one node in  $S$ . *for perfect matching, additionally*

$$|L| = |R|$$

# Planar Graphs

A graph is planar if it can be drawn (represented) on the plane without any crossing edges (no edges intersect).

faces

**Thm.** If  $G$  is a connected planar graph  $G$  with vertex set  $V$  (size  $n$ ), edges  $E$  ( $m$  of them) and faces  $F$  ( $f$  of them), then

$$|V| - |E| + |F| = n - m + f = 2$$

connected

**Thm.** In a <sup>connected</sup> planar graph  $G(V, E)$  on  $n \geq 3$  vertices, the number of edges  $|E| \leq 3n - 6$ .

# Relation between graph properties



- What are Cliques?
- What are Independent Sets?
- Graph Complement  $\bar{G}$ ?

**Fact:** Independent sets in  $G$  are Cliques in  $\bar{G}$  and vice-versa.

# Growth Rate of Functions



# Big-Oh Notation

- $g(n) = \Omega(f(n))$ :  $g$  is asymptotically lower bounded by  $f(n)$

$$g(n) = \Omega(f(n)) \text{ iff } f(n) = O(g(n)).$$

- $g(n) = \Theta(f(n))$ :  $f$  and  $g$  are asymptotically of the same order of magnitude (same upto constant factors)

$$g(n) = \Theta(f(n)) \text{ iff } f(n) = O(g(n)) \text{ and } g(n) = O(f(n)).$$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq c < \infty$  for some constant  $c \geq 0$ , then  $f(n) = O(g(n))$

If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f(n) = o(g(n))$

# Simple Rules for Big-Oh

1. **Constant factors don't matter:**  $c \cdot f(n) = \Theta(f(n))$
2. Smaller terms don't matter e.g.  $an^2 + bn + c = \Theta(n^2)$ .
3. Among polynomials, exponent is most important.

i.e.  $n^a = o(n^b)$  if  $a < b$ .

4. Logarithms are dominated by polynomials (use L'Hopital rule)

i.e.  $\log n = o(n^a)$  if  $a > 0$

5. If  $f(n) = o(g(n))$ , then  $2^{f(n)} = o(2^{g(n)})$

**Fact:** Use  $n^a = 2^{a \log n}$  to put polynomials in exponent form

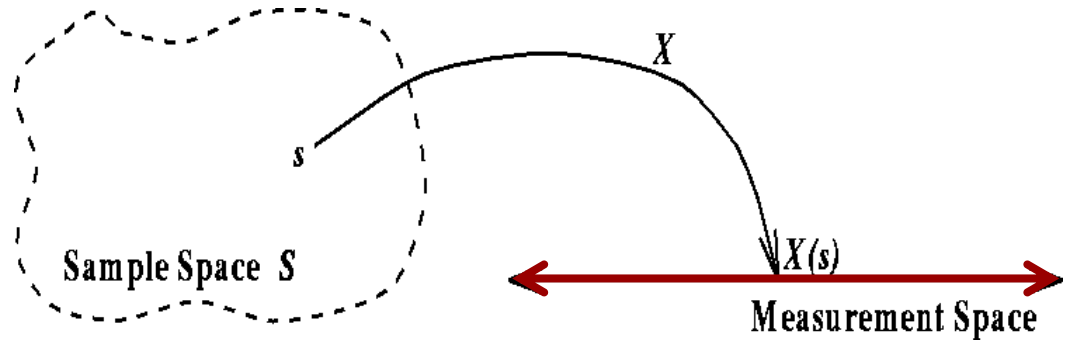
# Probability Recap/ Checklist



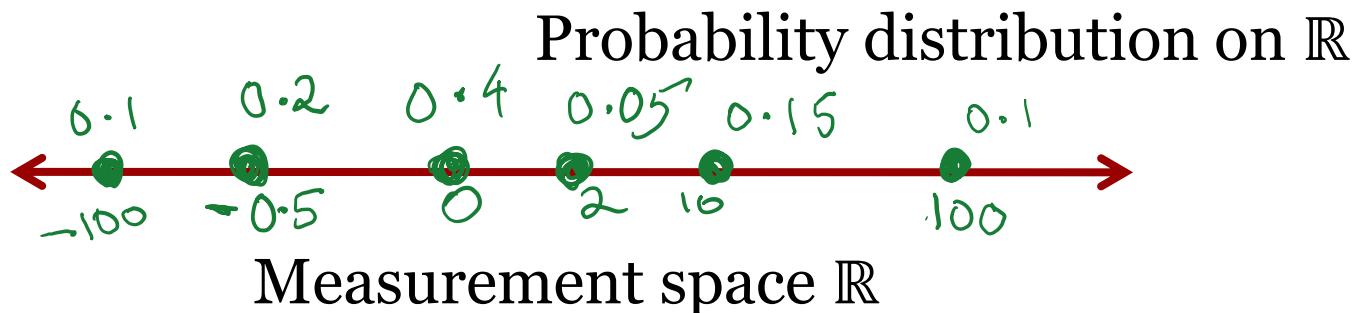


# Recap: Random Variables

1. Think of a R.V. as function from  $S$  to the reals  $\mathbb{R}$  (input to the function is random)



2. Or think of the induced distribution on  $\mathbb{R}$ , randomness is “pushed” to the values of the function.





# Expectation

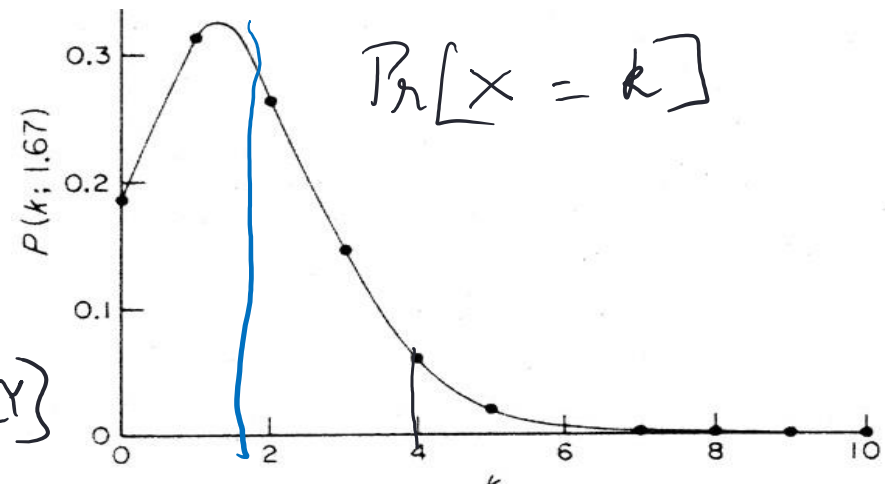
Average/mean value of the random variable  $X$

The expectation, or expected value of a random variable  $X$  is

$$E[X] = \sum_{t \in S} \text{Pr}(t) \times X(t) = \sum_k k \times \text{Pr}[X = k]$$

If  $X$  and  $Y$  are random variables ,  
 $E[aX + bY] = aE[X] + bE[Y]$

If  $X$  &  $Y$  independent  
 $\text{Var}[X + Y] = \text{Var}[X] + \text{Var}[Y]$



# Conditional Probability



The probability of event A given event B is written as

$\Pr[A | B]$  `

$$\Pr[A | B] = \frac{\Pr[A \cap B]}{\Pr[B]} = \frac{\Pr[B|A] \Pr[A]}{\Pr[B]}$$

Conditional expectation of r.v. X given event B:

$$E[X | B] = \sum_k \Pr[X = k | B] \times k$$

# Independent Events



A and B are independent events iff

$$1. \Pr[ A \mid B ] = \Pr[ A ] \Leftrightarrow \Pr[ A \cap B ] = \Pr[ A ] \Pr[ B ]$$

RVs X and Y are independent iff for every a,b, the events  $X=a$  and  $Y=b$  are independent

$$2. E[X \cdot Y] = E[X] \cdot E[Y]$$

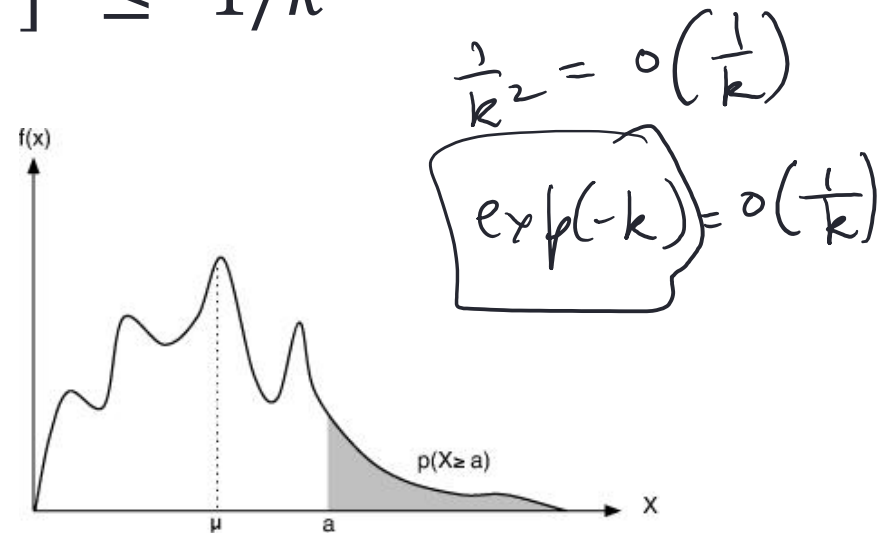
$$3. Var[X + Y] = Var[X] + Var[Y]$$

# Markov's inequality

If  $X$  is a *non-negative r.v.* with mean  $E[X]$ , then

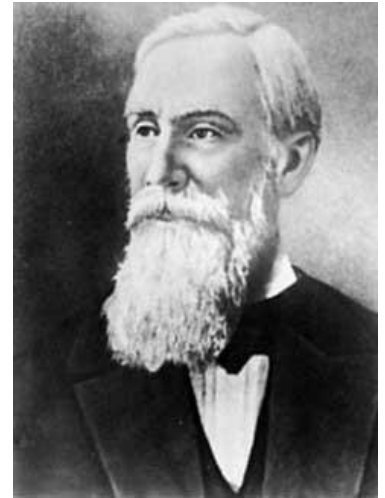
$$\Pr[ X > 2E[X] ] \leq \frac{1}{2}$$

$$\Pr[ X > k \cdot E[X] ] \leq \frac{1}{k}$$



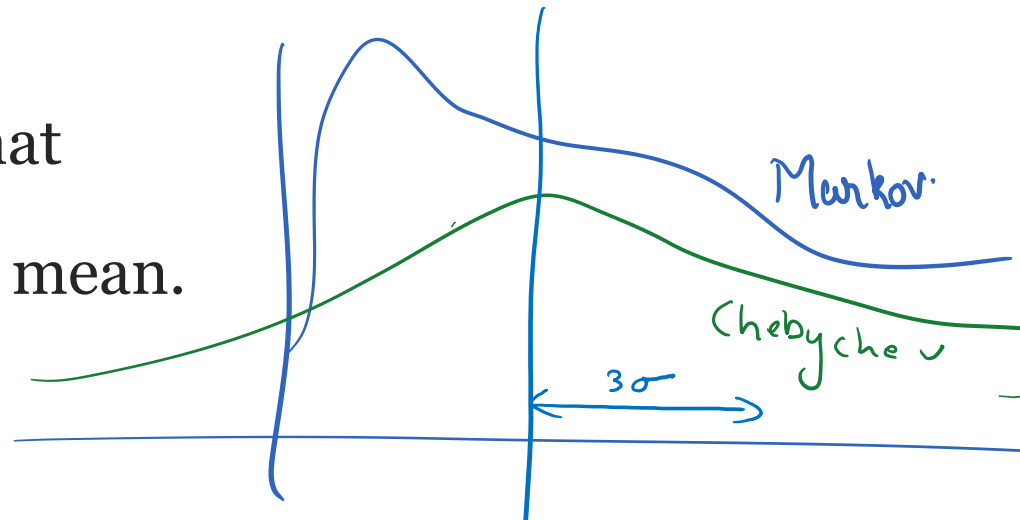
# Chebyshev Inequality

Bounds deviation around mean on both sides:  $X$  any random variable with mean  $E[X]$ , standard deviation  $\sigma = \sqrt{\text{Var}[X]}$ .

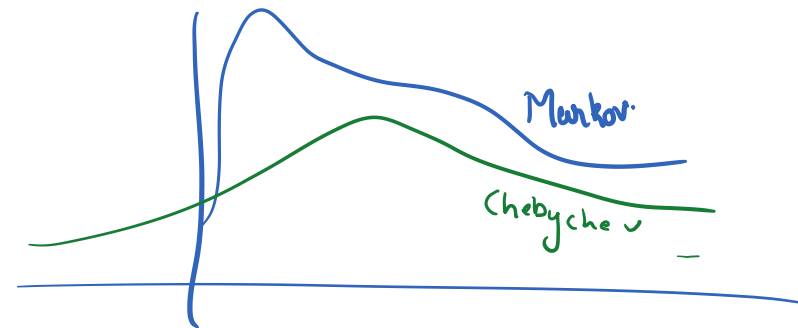


$$\Pr[|X - E[X]| > t\sigma] \leq 1/t^2$$

Eg. At most probability 0.1 that  $X$  is more than  $3\sigma$  away from mean.



# A Comparison



Aspect	Markov Inequality	Chebyshev Inequality	Chernoff Bounds
Pre-conditions	non-neg r.v	any r.v	sums of independent indicator r.v.

# Recap of Basic Set Theory and Relations to Probability



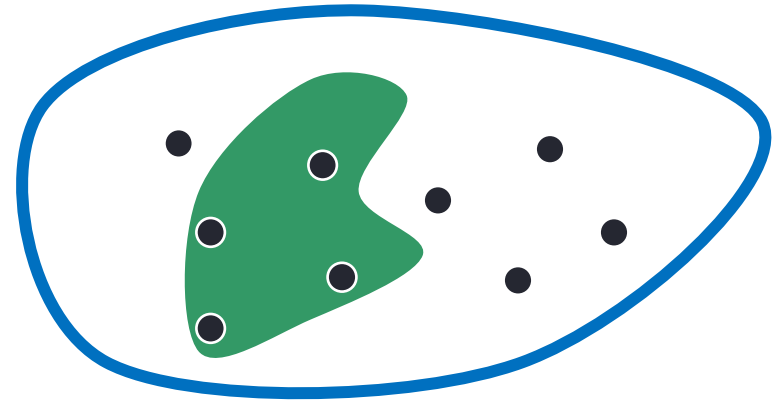
# Elements, Universe

A **set**  $S$  is an unordered collection of objects, where each *element* of the set is considered to included only once.

## Set Builder Notation:

$$\{x^2 \mid x \in \mathbb{Z}\}$$

$$\{x \in \mathbb{R} \mid \cos x > 0.75\}$$



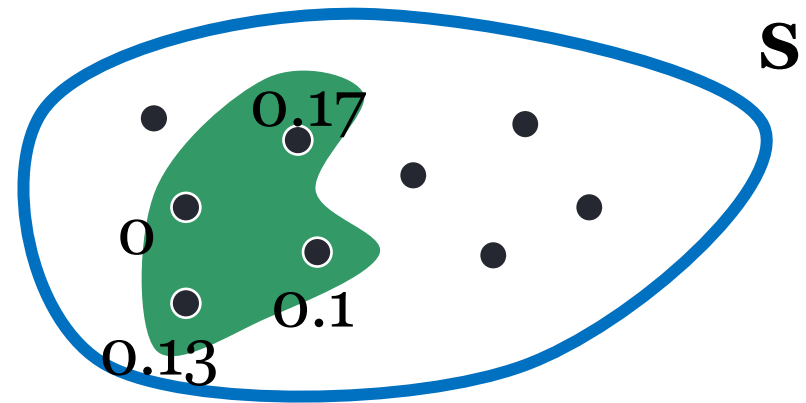
**Subset**  $T \subset S$  is an subcollection of these elements.

Universe  $U$  is set of all possible elements



# Probability Equivalents

**Sample Space:** a (finite) probability distribution  $D$  is a finite set  $S$  of elements, where each element  $t$  in  $S$  has a non-negative real weight or probability  $p(t)$



- Any set  $E \subseteq S$  is called an **Event**.
- Elements called Atomic events.

$$\Pr_D[E] = 0.4$$

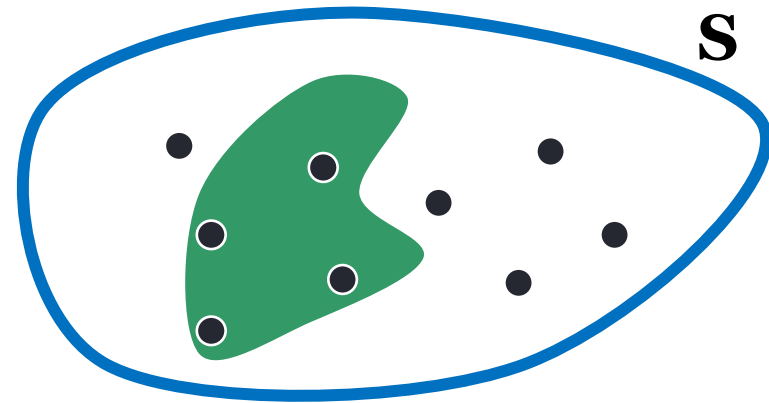
$$\Pr_D[E] = \sum_{t \in E} p(t)$$

# Basic Set Operations

Universe is  $S$ .

$T^C$ : complement of  $T$ .

Everything in  $S$  that does not belong to  $T$ .



Counting:

$$|\bar{T}| = |S| - |T|$$

Probability: event  $A$

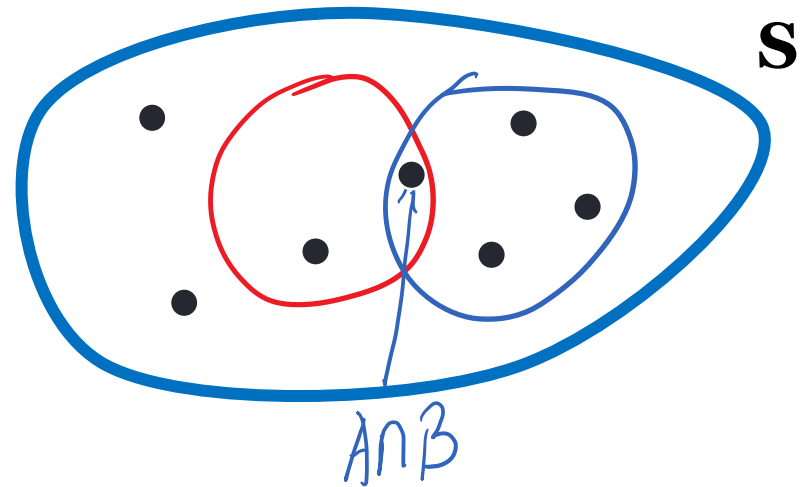
$$\Pr[A \text{ not occurring}] = \Pr[\bar{A}] = 1 - \Pr[A]$$

# Intersection (AND)

**Set Theory:** Elements in both A and B

**Probability:**

$$\Pr[ A \cap B ] = \Pr[ A|B ] \Pr[B]$$



A and B are independent events iff

$$1. \Pr[ A | B ] = \Pr[ A ] \quad \Leftrightarrow \quad \Pr[ A \cap B ] = \Pr[ A ] \Pr[ B ]$$

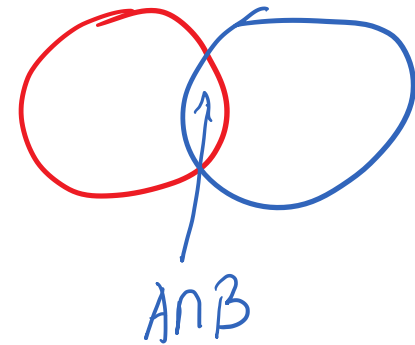
$$2. E[X \cdot Y] = E[X] \cdot E[Y]$$

# Union (OR)

Sum Rule: If  $A$  and  $B$  are disjoint events, then  $|A \cup B| = |A| + |B|$

If  $A$  and  $B$  are sets, then

$$|A \cup B| = |A| + |B| - |A \cap B|$$



**Probability:** If  $A$  and  $B$  are events, then

$$\Pr[A \cup B] = \Pr[A] + \Pr[B] - \Pr[A \cap B]$$

**More events (k):** Inclusion-exclusion formula in general.

**Union Bound (Boole's inequality):** For events  $A_1, A_2, \dots, A_k$

$$\Pr\left[\bigcup_{i=1}^k A_i\right] \leq \Pr[A_1] + \Pr[A_2] + \dots + \Pr[A_k]$$

# Questions?



# Induction Recap



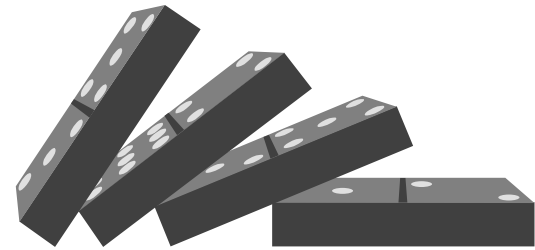
# Recap of Proofs

- **Proof by Contradiction**: assume the negation of the statement and derive contradiction.
- **Principle of Mathematical Induction**

**To prove:** For all  $k \in \mathbb{N}$ , predicate  $P(k)$  is true.

1. Base case: *Establish that  $P(0)$  is true.*
2. For all  $k \in \mathbb{N}$ :  $P(k) \implies P(k + 1)$

*Assume that  $P(k)$  is true. Establish that  $P(k+1)$  is true.*



# Strong Induction

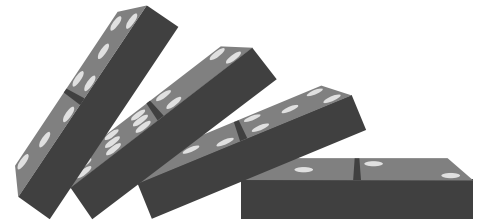
**To prove:** For all  $k \in \mathbb{N}$ , predicate  $P(k)$  is true.

Steps:

1. Base case: *Establish that  $P(0)$  is true.*
2. Assume that  $P(1), \dots, P(k - 1)$  is true (Inductive Hypothesis).
3. Derive that  $P(k)$  is true.

$$\text{i.e. } P(1), \dots, P(k - 1) \Rightarrow P(k)$$

**By Strong Induction,**  $P(k)$  is true for all  $k \in \mathbb{N}$ .





# Picking Induction Statements, Invariants



1. Often, to prove a statement inductively you may have to prove a stronger statement first!
2. Work out examples for small values of  $k$

## Invariants:

- Not varying; constant. Unaffected by any operation.
- $P(k)$ : “Invariant holds in  $k$ th step of the algorithm”
- Show using Mathematical Induction

*Example:* Insertion Sort Algorithm for sorting  $n$  numbers.  
After first  $k$  steps, the first  $k$  numbers are sorted.

# Questions?

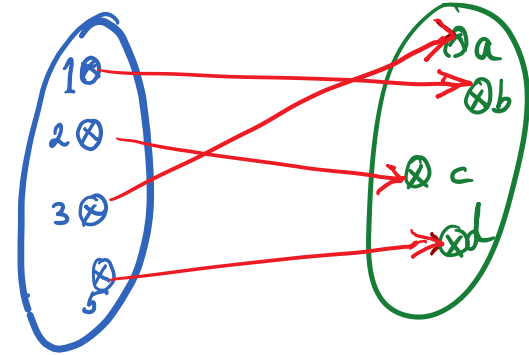


# Counting Recap



# Counting using Bijections

**Bijjective function:** one-to-one onto mapping



**Thm.** If  $f: A \rightarrow B$  is a bijective function, then  $|A| = |B|$

*Five kinds of donuts. Number of many ways to select a dozen*



*= number of ways of splitting 12 identical objects into 5 groups  
= number of 16 bit sequences with exactly 4 ones*

# Simple Rules : Sum Rule, Product rule



Sum Rule: If  $A$  and  $B$  are disjoint events, then  $|A \cup B| = |A| + |B|$

**Product Rule:** If there are two unrelated events that have  $n_1$  and  $n_2$  possible outcomes respectively, the total number of possible outcomes is  $n_1 n_2$ .

Product Rule: For sets  $A$ ,  $B$ , the crossproduct  $|A \times B| = |A| \times |B|$

Given a set  $S$  of size  $n$ , how many different subsets of  $S$ ?  $2^n$

# Counting Formulae



1. Arranging  $n$  objects in  $k$  positions (without repetition):  ${}^nP_k = \frac{n!}{(n-k)!}$
2. Filling  $k$  positions with  $n$  objects (with repetition):  $n^k$
3. Selecting  $k$  out of  $n$  objects (no ordering, no repetition):  $\binom{n}{k}$
4. Selecting  $n$  identical objects in  $k$  different bins:  $\binom{n+k-1}{k-1}$

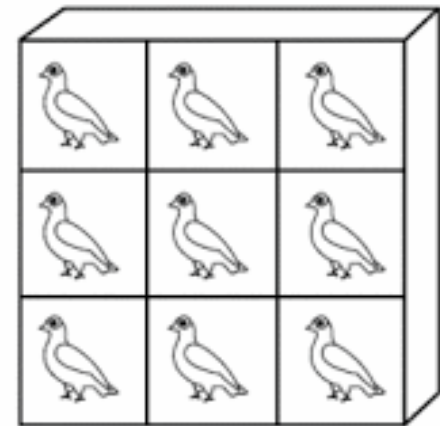
# Pigeonhole Principle

If socks can be either red, blue or green, how many socks do we need to find a matching pair? *Ans = 4*

THE PIGEONHOLE PRINCIPLE

## Pigeonhole Principle (basic):


If there are  $n$  pigeons and  $\leq n - 1$  pigeon-holes, then there must be at least 2 pigeons in one of the holes.



## Pigeonhole Principle (general):

If  $|Y| = n$  and  $|X| \geq nk + 1$ , then for any function  $f: X \rightarrow Y$ , there exists a  $y \in Y$  to which  $k + 1$  elements from  $X$  map to.

# Binomial Theorem

$$(a + b)^n = \overset{1}{(a + b)} \overset{2}{(a + b)} (a + b) \dots (a + b)$$


$$= 1 \cdot a^n + \binom{n}{1} a^{n-1} b + \dots + \binom{n}{i} a^{n-i} b^i + \dots + \binom{n}{n-1} a b^{n-1} + \binom{n}{n} b^n$$

$$(a + b)^n = \sum_{i=0}^n \binom{n}{i} a^{n-i} b^i$$

**Different forms:**  $(1 + x)^n = \sum_{i=0}^n \binom{n}{i} x^i$   $a=1$   
 $b=x$

$(1 - x)^n = \sum_{i=0}^n \binom{n}{i} (-1)^i x^i$   $a=1$   
 $b=-x$



# Questions?



Good Luck!!

