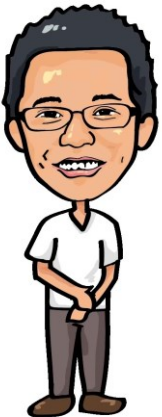


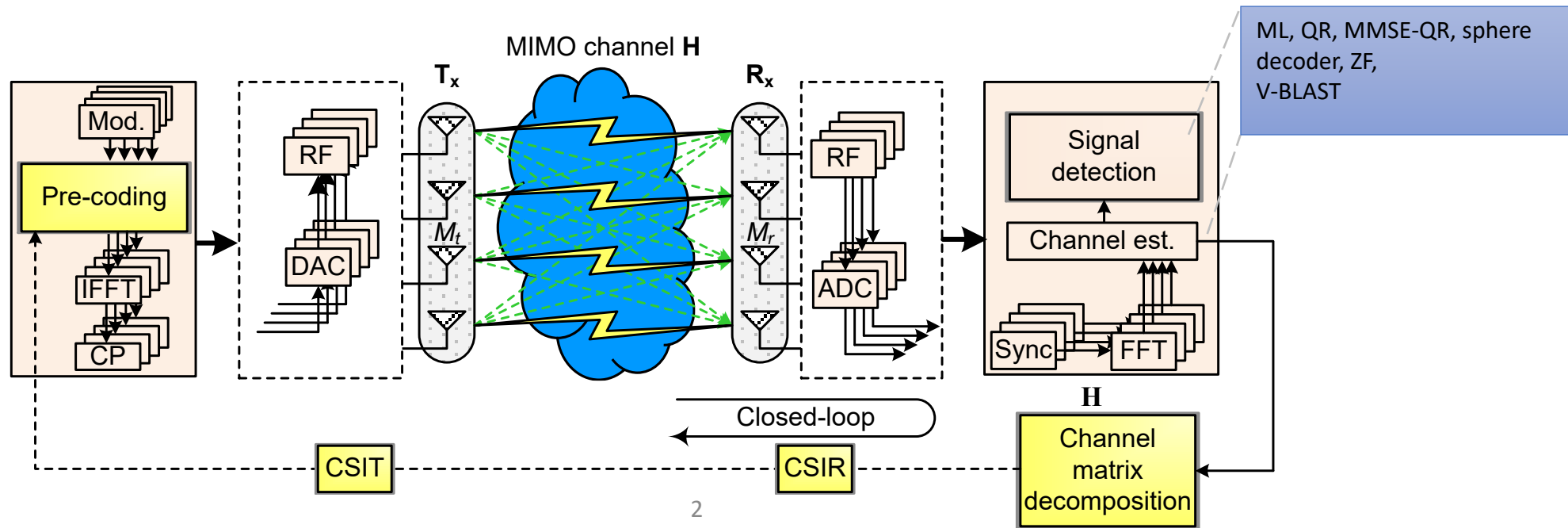
Signal detector on MIMO-OFDM system

Wei-Da Chen



MIMO signal detections(1)

- Signal detection for MIMO-OFDM systems
 - Signal detection is one of the most computing-intensive module
 - Roughly $O(N^3)$ complexity



MIMO signal detections(2)

- Communication baseband involves a lot of DSP computations and the complexity increases with
 - Number of antenna
 - Bandwidth and data rate
- MIMO signal detection and precoding are two of the most computationally intensive module
- Efficient DSP computing algorithms are crucial to the system performance
- Effective hardware designs facilitate low complexity and real time system implementations

Zero-forcing in MIMO-OFDM systems

- Zero-forcing algorithm

- Inverse of channel H

- H is matrix of n by n

- $\mathbf{x} = \mathbf{H}\mathbf{s} + \mathbf{v}$

- $\mathbf{H}^{-1}\mathbf{x} = \mathbf{s} + \mathbf{H}^{-1}\mathbf{v}$

- Pseudo-inverse of channel H

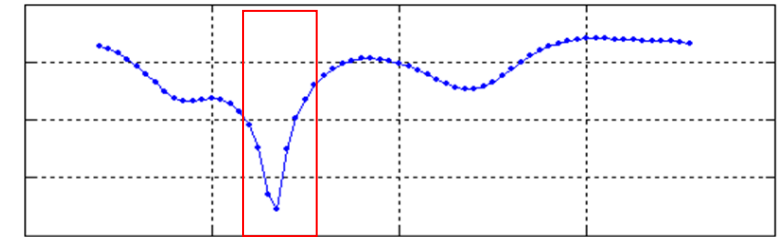
- H is matrix of n by m

- $\mathbf{x} = \mathbf{H}\mathbf{s} + \mathbf{v}$

- $((\mathbf{H}^* \mathbf{H})^{-1} \mathbf{H}^*) \mathbf{x} = \mathbf{s} + (\mathbf{H}^* \mathbf{H})^{-1} \mathbf{H}^* \mathbf{v}$

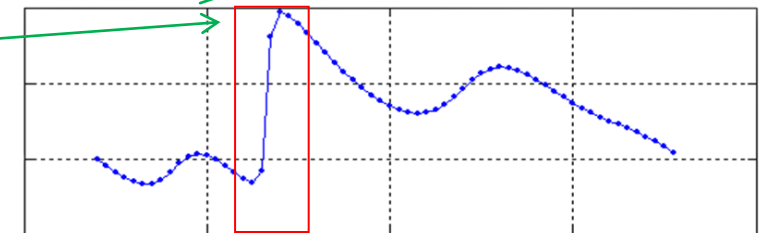
- Advantages and drawbacks

- Noise enhancement and poor efficacy.
 - Low hardware resources.



Channel response

Noise enhancement



Channel response is inverted

QR-blast for MIMO-OFDM systems

- QR decomposition

- Step 1: Doing QR decomposition of \underline{H} : $\underline{H} = \underline{Q}\underline{R}$

$$\underline{y} = \underline{H}\underline{x} + \underline{n}$$

$$\underline{Q}^H \underline{y} = \underline{Q}^H \underline{Q} \underline{R} \underline{x} + \underline{Q}^H \underline{n}$$

Noise power doesn't increase because \underline{Q} is orthogonal matrix.

$$\underline{y}' = \underline{R}\underline{x} + \underline{n}$$

$$\begin{bmatrix} y'_1 \\ y'_2 \\ \vdots \\ y'_N \end{bmatrix} = \begin{bmatrix} H_{11} & H_{12} & \cdots & H_{1N} \\ 0 & H_{21} & \cdots & H_{2N} \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & H_{NN} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_N \end{bmatrix} + \begin{bmatrix} n_1 \\ n_2 \\ \vdots \\ n_N \end{bmatrix}$$

- Step 2: Backward substitution

$$\hat{x}_i = \text{Quantise} \left\{ \frac{1}{H_{i,i}} \left(y'_i - \sum_{k=i+1}^N H_{i,k} \hat{x}_k \right) \right\}$$

QR decomposition

- Complex QR factorization can be applied to spherical decoder ,zero forcing and QR-blast in the future.
 - Spherical decoder approaches maximum likelihood performance.
- Complex QR factorization methods:
 - Given rotation
 - This method is more easily parallelized than Householder and Gram-Schmidt transformations.
 - To use CORDIC technique solves problems of given rotation .
 - Gram Schmidt
 - It can use complex divider, multiplication and square root.
 - Householder
 - It can use complex divider, multiplication and square root.
 - This method has greater numerical stability than the Gram-Schmidt method.

Given rotation(1)

- Real given rotation
 - Channel has been estimated before compute complex QR factorization.

$$\text{complex_H} = \begin{bmatrix} a + ja & c + jc \\ b + jb & d + jd \end{bmatrix}$$

- Complex_H matrix changes from complex form to real.
 - Complex_H will be magnify 2n-by-2n from n-by-n.

$$\begin{aligned} \text{Real_H} &= \begin{bmatrix} R(\text{complex_H}) & -I(\text{complex_H}) \\ I(\text{complex_H}) & R(\text{complex_H}) \end{bmatrix} \\ &= \begin{bmatrix} a & c & -ja & -jc \\ b & d & -jb & -jd \\ ja & jc & a & c \\ jb & jd & b & d \end{bmatrix} \end{aligned}$$

Given rotation(2)

- QR decomposition can be computed with a series of Given rotations.

- Step1.

$$\text{Real_H}^1 = \text{Rot}_1 \text{Real_H} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cos(\theta_1) & \sin(\theta_1) \\ 0 & 0 & -\sin(\theta_1) & \cos(\theta_1) \end{bmatrix} \begin{bmatrix} a & c & -ja & -jc \\ b & d & -jb & -jd \\ ja & jc & a & c \\ jb & jd & b & d \end{bmatrix} = \begin{bmatrix} a & c & -ja & -jc \\ b & d & -jb & -jd \\ ja^1 & jc^1 & a^1 & c^1 \\ 0 & jd^1 & b^1 & d^1 \end{bmatrix}$$

- Step2

$$\text{Real_H}^2 = \text{Rot}_2 \text{Real_H}^1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta_2) & \sin(\theta_2) & 0 \\ 0 & -\sin(\theta_2) & \cos(\theta_2) & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} a & c & -ja & -jc \\ b & d & -jb & -jd \\ ja^1 & jc^1 & a^1 & c^1 \\ 0 & jd^1 & b^1 & d^1 \end{bmatrix} = \begin{bmatrix} a & c & -ja & -jc \\ b^1 & d^1 & -jb^1 & -jd^1 \\ 0 & jc^2 & a^2 & c^2 \\ 0 & jd^1 & b^1 & d^1 \end{bmatrix}$$

- Step3~step6.

$$\text{Real_H}^6 = \text{Rot}_6 \text{Rot}_5 \text{Rot}_4 \text{Rot}_3 \begin{bmatrix} a & c & -ja & -jc \\ b^1 & d^1 & -jb^1 & -jd^1 \\ 0 & jc^2 & a^2 & c^2 \\ 0 & jd^1 & b^1 & d^1 \end{bmatrix} = \begin{bmatrix} a^1 & c^1 & -ja^1 & -jc^1 \\ 0 & d^3 & -jb^3 & -jd^3 \\ 0 & 0 & a^5 & c^5 \\ 0 & 0 & 0 & d^3 \end{bmatrix}$$

✓ CORDIC numbers:

- Vectoring mode:6
- Rotation mode:14

Complex-valued Given rotation(1)

- Classical complex given rotation algorithm.
 - Matrix H changes from complex form to pole.

$$Pole_H = \begin{bmatrix} a + ja & c + jc \\ b + jb & d + jd \end{bmatrix} = \begin{bmatrix} Ae^{j\theta_a} & Ce^{j\theta_c} \\ Be^{j\theta_b} & De^{j\theta_d} \end{bmatrix}$$

- Rotation matrix will be build when Pole_H is finished.

$$Rotation_matrix = \begin{bmatrix} \cos \theta_1 & \sin \theta_1 e^{j(\theta_a - \theta_b)} \\ -\sin \theta_1 e^{-j(\theta_a - \theta_b)} & \cos \theta_1 \end{bmatrix} \quad \theta_1 = \tan^{-1} \left(\frac{B}{A} \right)$$

- Rotation_matrix multiplied by Pole_H is upper triangle.

$$\begin{bmatrix} \cos \theta_1 & \sin \theta_1 e^{j(\theta_a - \theta_b)} \\ -\sin \theta_1 e^{-j(\theta_a - \theta_b)} & \cos \theta_1 \end{bmatrix} \begin{bmatrix} Ae^{j\theta_a} & Ce^{j\theta_c} \\ Be^{j\theta_b} & De^{j\theta_d} \end{bmatrix} = \begin{bmatrix} Xe^{j\theta_x} & Ye^{j\theta_y} \\ 0 & Ze^{j\theta_z} \end{bmatrix}$$

Complex-valued Given rotation(2)

- Modified classical complex given rotation algorithm.

$$Rotation_matrix = \begin{bmatrix} e^{-j\theta_a} & 0 \\ 0 & e^{-j\theta_b} \end{bmatrix} \begin{bmatrix} \cos \theta_1 & \sin \theta_1 e^{j(\theta_a - \theta_b)} \\ -\sin \theta_1 e^{-j(\theta_a - \theta_b)} & \cos \theta_1 \end{bmatrix} = \begin{bmatrix} \cos \theta_1 e^{-j\theta_a} & \sin \theta_1 e^{-j\theta_b} \\ -\sin \theta_1 e^{-j\theta_a} & \cos \theta_1 e^{-j\theta_b} \end{bmatrix}$$

- Matrix will lead to the appearance of real elements on the matrix diagonal.

$$\begin{bmatrix} \cos \theta_1 e^{-j\theta_a} & \sin \theta_1 e^{-j\theta_b} \\ -\sin \theta_1 e^{-j\theta_a} & \cos \theta_1 e^{-j\theta_b} \end{bmatrix} \begin{bmatrix} Ae^{j\theta_a} & Ce^{j\theta_c} \\ Be^{j\theta_b} & De^{j\theta_d} \end{bmatrix} = \begin{bmatrix} \boxed{X} & Ye^{j\theta_y} \\ 0 & Ze^{j\theta_z} \end{bmatrix}$$

- To produce a matrix with only real diagonal elements.

$$\begin{bmatrix} 1 & 0 \\ 0 & e^{-j\theta_z} \end{bmatrix} \begin{bmatrix} Ae^{j\theta_a} & Ce^{j\theta_c} \\ Be^{j\theta_b} & De^{j\theta_d} \end{bmatrix} = \begin{bmatrix} X & Ye^{j\theta_y} \\ 0 & \boxed{Z} \end{bmatrix}$$

Exercise - QR decomposition(1)

- Design a 4x4 real-valued QR decomposition
 - A given 4x4 matrix is decomposed into two items through a series of CORDIC operations. One is called an orthogonal matrix **Q**, the other is an upper triangular matrix **R**.
 - The matrix **Q** is formed by the accumulative multiplication of a series of rotation matrixes.
 - The matrix **R** is fashioned by multiplying a series of rotation matrixes.

$$\text{Real_H}^1 = \text{Rot}_1 \text{Real_H} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cos(\theta_1) & \sin(\theta_1) \\ 0 & 0 & -\sin(\theta_1) & \cos(\theta_1) \end{bmatrix} \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ h_{31} & h_{32} & h_{33} & h_{34} \\ h_{41} & h_{42} & h_{43} & h_{44} \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ \textcolor{blue}{h}_{31} & \textcolor{blue}{h}_{32} & \textcolor{blue}{h}_{33} & \textcolor{blue}{h}_{34} \\ \textcolor{red}{0} & \textcolor{blue}{h}_{42} & \textcolor{blue}{h}_{43} & \textcolor{blue}{h}_{44} \end{bmatrix}$$

Exercise - QR decomposition(2)

$$\text{Real_H}^2 = \text{Rot}_2 \text{Rot}_1 \text{Real_H} =$$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos(\theta_2) & \sin(\theta_2) & 0 \\ 0 & -\sin(\theta_2) & \cos(\theta_2) & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cos(\theta_1) & \sin(\theta_1) \\ 0 & 0 & -\sin(\theta_1) & \cos(\theta_1) \end{bmatrix} \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ h_{31} & h_{32} & h_{33} & h_{34} \\ h_{41} & h_{42} & h_{43} & h_{44} \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ 0 & h_{32} & h_{33} & h_{34} \\ 0 & h_{42} & h_{43} & h_{44} \end{bmatrix}$$

$$\mathbf{R} = \text{Rot}_6 \text{Rot}_5 \text{Rot}_4 \text{Rot}_3 \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ 0 & h_{32} & h_{33} & h_{34} \\ 0 & h_{42} & h_{43} & h_{44} \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ 0 & h_{22} & h_{23} & h_{24} \\ 0 & 0 & h_{33} & h_{34} \\ 0 & 0 & 0 & h_{44} \end{bmatrix}$$

$$\mathbf{Q} = \text{Rot}_6 \cdot \text{Rot}_5 \cdot \text{Rot}_4 \cdot \text{Rot}_3 \cdot \text{Rot}_2 \cdot \text{Rot}_1$$

$$\mathbf{H} = \mathbf{Q}\mathbf{R}$$

Introduction of CORDIC(1)

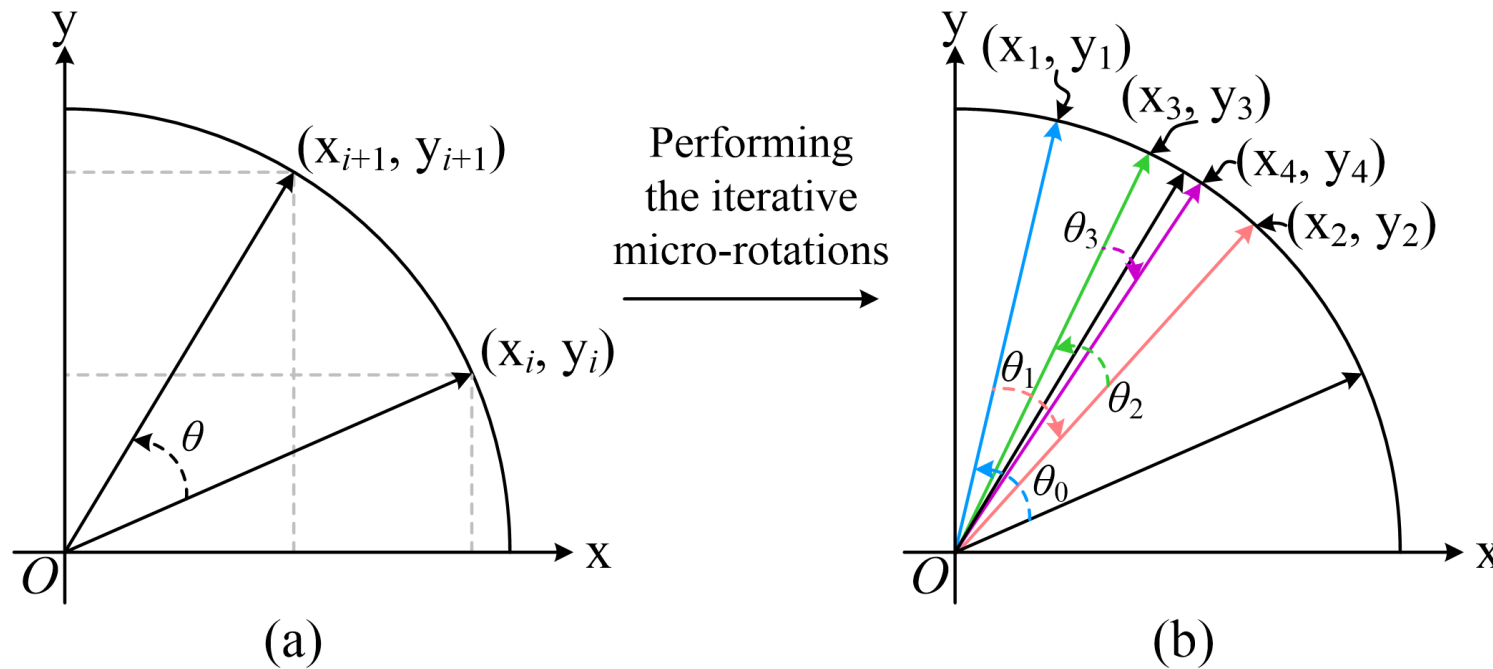
- All of operations, calculating values of cosine and sine and performing rotation matrices and square roots, can be implemented by low complexity **CORDIC** (COordinate Rotations Digital Computer) which has two types of computing modes.
 - The **vectoring mode** is to generate an included angle θ when a two-dimensional vector (x_i, y_i) is given.
 - The **rotation mode** is to rotate a two-dimensional vector (x_{i+1}, y_{i+1}) when an angle θ is given
- The original algorithm is expressed, as follows:

$$\begin{bmatrix} x_{i+1} \\ y_{i+1} \end{bmatrix} = \begin{bmatrix} \cos \theta & \mp \sin \theta \\ \pm \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x_i \\ y_i \end{bmatrix}$$

the matrix is called the rotation matrix.

Introduction of CORDIC(2)

- A CORDIC module cannot rotate directly a vector from (x_i, y_i) to (x_{i+1}, y_{i+1}) but rather is gradually close to a desired position (x_{i+1}, y_{i+1}) by rotating micro-angles



2-dimensional plane of rotations

Introduction of CORDIC(3)

- Any rotation angle θ can be consisted of a series of micro-angles $\theta_1, \theta_2, \dots, \theta_n$.

$$\begin{bmatrix} x_{i+1} \\ y_{i+1} \end{bmatrix} = \prod_{i=0}^{n-1} \begin{bmatrix} \cos \theta_i & \mp \sin \theta_i \\ \pm \sin \theta_i & \cos \theta_i \end{bmatrix} \begin{bmatrix} x_i \\ y_i \end{bmatrix} = \prod_{i=0}^{n-1} \cos \theta_i \cdot \prod_{i=0}^{n-1} \begin{bmatrix} 1 & \mp \tan \theta_i \\ \pm \tan \theta_i & 1 \end{bmatrix} \begin{bmatrix} x_i \\ y_i \end{bmatrix}$$

- Trigonometric values for sine and cosine of the rotation angle are expressed by the simpler adder/subtraction and constant multiplier, instead of directly obtaining values of sine and cosine by using expensive hardware.

The K is called the scaling factor.

$$\begin{bmatrix} x_{i+1} \\ y_{i+1} \end{bmatrix} = K \cdot \prod_{i=0}^{n-1} \begin{bmatrix} 1 & -\sigma_i \cdot 2^{-i} \\ \sigma_i \cdot 2^{-i} & 1 \end{bmatrix} \begin{bmatrix} x_i \\ y_i \end{bmatrix}$$

The direction of rotation.

- counterclockwise rotation: +1
- clockwise rotation: -1

Introduction of CORDIC(4)

- The CORDIC algorithm can be summarized, as follows:

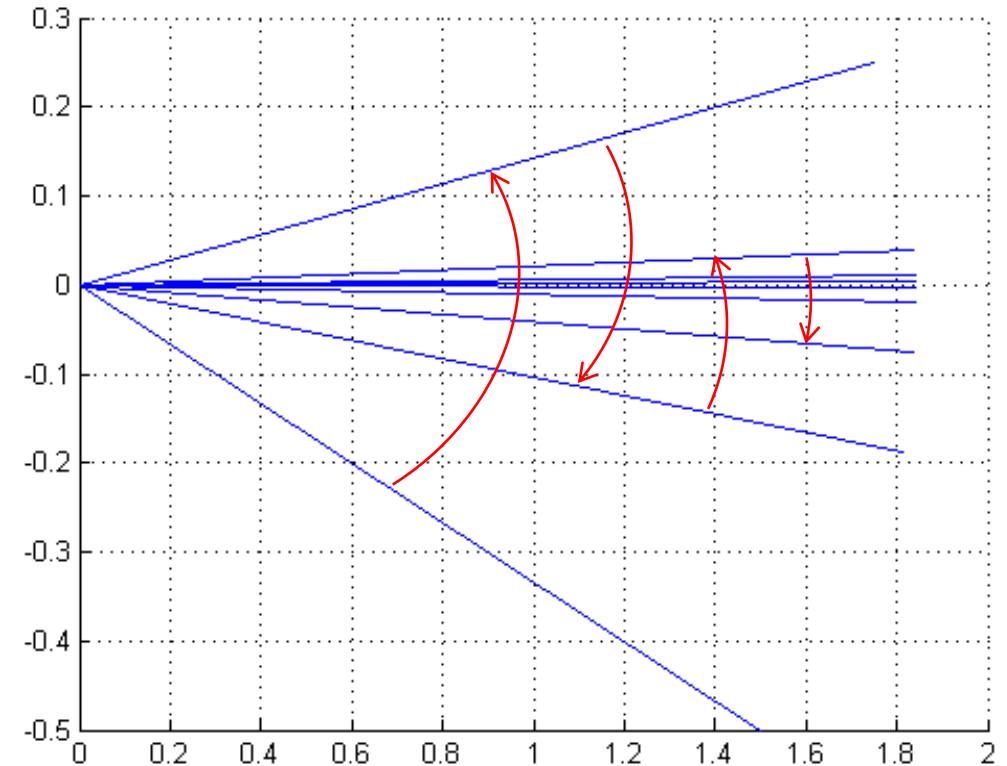
$$\begin{aligned} X_{i+1} &= X_i - \sigma_i \cdot 2^{-i} \cdot Y_i \\ Y_{i+1} &= Y_i + \sigma_i \cdot 2^{-i} \cdot X_i \\ Z_{i+1} &= Z_i - \sigma_i \cdot \tan^{-1}(2^{-i}) \\ K &= \prod_{i=0}^{n-1} 1/\sqrt{1+2^{-2i}} \end{aligned}$$

- Z denotes the accumulated angle during all iterations, and the approximate relations between $\tan^{-1}(2^{-i})$.

Approximate angle table								
i	0	1	2	3	4	5	6	7
$\tan^{-1}(2^{-i}) = \theta$	45°	26.6°	14°	7.1°	3.6°	1.8°	0.9°	0.4°

CORDIC algorithm- vectoring mode

- CORDIC algorithm(1)
 - Vectoring mode
 - $$\begin{aligned} X_{i+1} &= X_i - \sigma_i \cdot 2^{-i} \cdot Y_i \\ Y_{i+1} &= Y_i + \sigma_i \cdot 2^{-i} \cdot X_i \\ Z_{i+1} &= Z_i - \sigma_i \cdot \tan^{-1}(2^{-i}) \end{aligned}$$
 - Where
$$\sigma_i = +1 \text{ if } Y_i < 0, -1 \text{ otherwise}$$



CORDIC algorithm- rotation mode

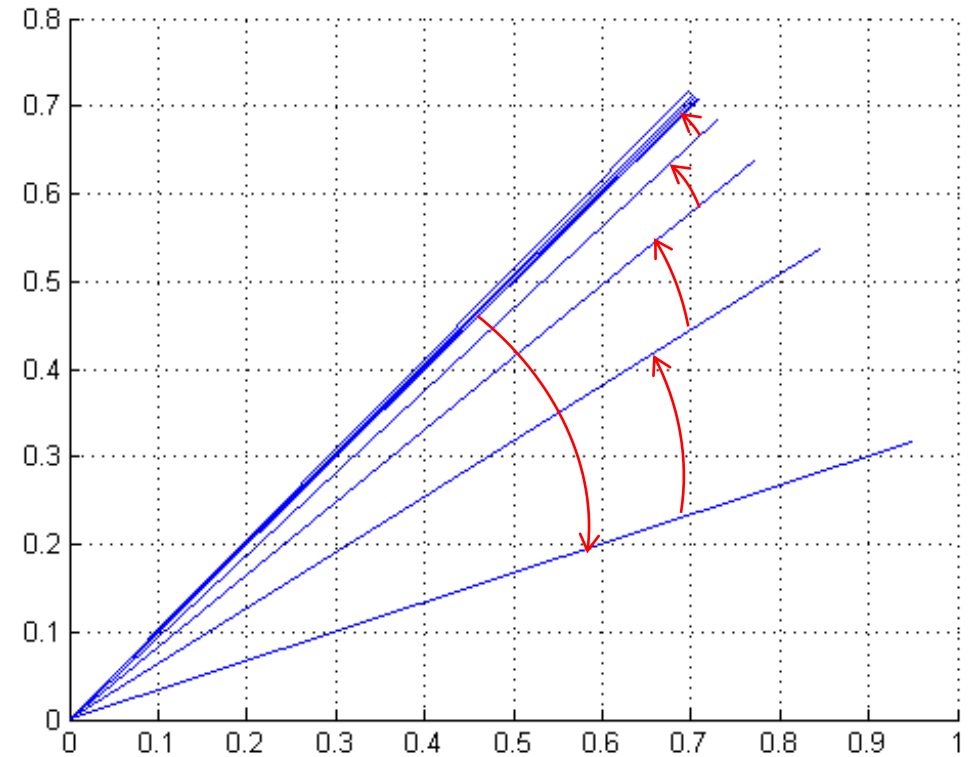
- CORDIC algorithm(2)

- Rotation mode

- $$\begin{aligned} X_{i+1} &= X_i - \sigma_i \cdot 2^{-i} \cdot Y_i \\ Y_{i+1} &= Y_i + \sigma_i \cdot 2^{-i} \cdot X_i \\ Z_{i+1} &= Z_i - \sigma_i \cdot \tan^{-1}(2^{-i}) \end{aligned}$$

- Where

$$\sigma_i = +1 \text{ if } Z_i < 0, -1 \text{ otherwise}$$



Examples for vectoring mode

```
1 function [ x_out,y_out,ang ] = vectoring_mode_cordic(x_value,...
2                                     y_value,iteration )
3
4 ang = 0;
5 factor = 1;
6 for ii=1:iteration
7     t = 2^-(ii-1);
8     fac_tmp = (1/sqrt(1+(t^2)));
9     factor = factor * fac_tmp;
10 end
11
12
13 for ii=1:iteration
14     sign_value = -sign(y_value*x_value);
15     t = 2^-(ii-1);
16     x1 = x_value - sign_value*y_value*t;
17     y1 = y_value + sign_value*x_value*t;
18     ang = ang - sign_value*atand(t);
19     x_value = x1;
20     y_value = y1;
21 end
22
23 x_out = x_value*factor;
24 y_out = y_value*factor;
```

```
>> [ x_out,y_out,ang ] = vectoring_mode_cordic( 1,1,3)
```

```
ang =
    45
```

```
ang =
   71.5651
```

```
ang =
   57.5288
```

```
>> [ x_out,y_out,ang ] = vectoring_mode_cordic( 1,1,20)
```

```
x_out =
    1.4142
```

```
y_out =
    2.3160e-06
```

```
ang =
    44.9999
```

Examples for rotation mode

```
1 function [ x_out,y_out ] = rotating_mode_cordic_v1(...  
2     x_value,y_value,iteration,ang)  
3  
4  
5     factor = 1;  
6     for ii=1:iteration  
7         t = 2^-(ii-1);  
8         fac_tmp = (1/sqrt(1+(t^2)));  
9         factor = factor * fac_tmp;  
10    end  
11  
12    if ang~=0  
13        for ii=1:iteration  
14            t = 2^-(ii-1);  
15            sign_value = sign(ang);  
16            x1 = x_value - sign_value*y_value*t;  
17            y1 = y_value + sign_value*x_value*t;  
18            x_value = x1;  
19            y_value = y1;  
20            ang = ang - sign_value*atand(t);  
21        end  
22    end  
23  
24    x_out = x_value*factor;  
25    y_out = y_value*factor;
```

>> [x_out,y_out] = rotating_mode_cordic_v1(1,0,30,90)

x_out =
1.5266e-09
y_out =
1.0000

>> [x_out,y_out] = rotating_mode_cordic_v1(1,0,30,-45)

x_out =
0.6073
y_out =
-0.6073

Parallel CORDIC design

❖ CORDIC operates rotation and vectoring mode at the same time.

- Combining vectoring with rotation mode.

-

$$\left. \begin{aligned} \text{Vec_}x_{i+1} &= \text{Vec_}x_i - \text{Vec_}y_i \cdot \sigma_i \cdot 2^{-i} \\ \text{Vec_}y_{i+1} &= \text{Vec_}y_i + \text{Vec_}x_i \cdot \sigma_i \cdot 2^{-i} \end{aligned} \right\} \leftarrow \text{Vectoring section}$$

$$\left. \begin{aligned} \text{Rot_}x_{i+1} &= \text{Rot_}x_i - \text{Rot_}y_i \cdot \sigma_i \cdot 2^{-i} \\ \text{Rot_}y_{i+1} &= \text{Rot_}y_i + \text{Rot_}x_i \cdot \sigma_i \cdot 2^{-i} \end{aligned} \right\} \leftarrow \text{Rotation section}$$

$$z_{i+1} = z_i - \sigma_i \cdot \tan^{-1}(2^{-i})$$

← Saving LUTs.

- where

$$\sigma_i = +1 \text{ if } y_i < 0, -1 \text{ otherwise}$$

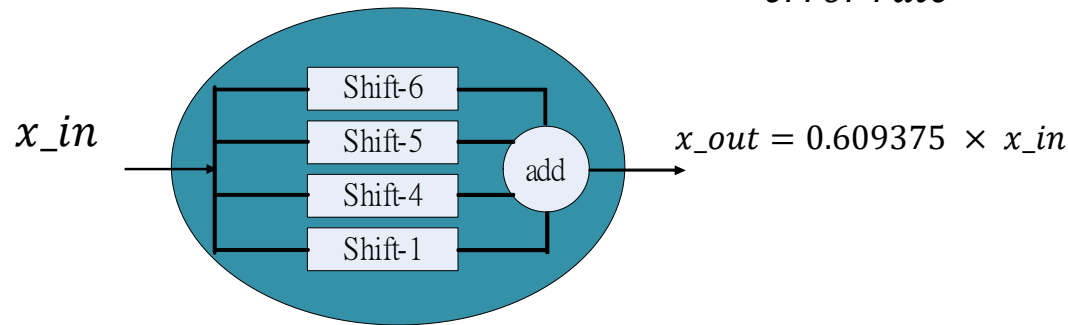
Scale factor of CORDIC design

- Scale factor algorithm
 - Output of CORDIC is divided by a scale factor k when rotation finished.

$$k = \prod_{n=0}^{n=7} \cos(\tan^{-1}(2^{-n})) = \frac{1}{\prod_{n=0}^{n=7} \sqrt{1 + 2^{-2n}}} = 0.6072$$

- Using shift-adder instead of divider or multiplication.

$$\text{error rate} = \frac{0.609375 - 0.6072}{0.6072} = 3.58\%$$



Exercise - QR decomposition(3)

- Content of homework
 - A 1000 different values of 4x4 matrix is provided.
 - Verify the algorithm of 4x4 real-valued QR decomposition by using CORDIC and decide the number of iterations and word length.
 - Implement the hardware of the decomposition by RTL code.
 - Offering area and frequency of the synthesized RTL code.
 - The implementation loss is defined as the following:

$$implementation\ loss = \left(\sum_{i=1}^{16000} \frac{R(i)_{ALG} - R(i)_{RTL}}{R(i)_{ALG}} \right) \times 100\%$$