

## 4.5 HW5

### Question 50

**2.** Show that if a surface is tangent to a plane along a curve, then the points of this curve are either parabolic or planar.

*Proof.* Suppose the curve is  $\alpha(s)$ . We see that

$$(N \circ \alpha)(s) \text{ stay constant}$$

Differentiation give us

$$dN_{\alpha(s)}(\alpha'(s)) = 0$$

Then for each  $p$  that lies in  $\alpha(I)$ , we see

$$dN_p \text{ is not full rank}$$

This then show us

$$\det(dN_p) = 0$$

and give us the conclusion. ■

### Question 51

**3.** Let  $C \subset S$  be a regular curve on a surface  $S$  with Gaussian curvature  $K > 0$ . Show that the curvature  $k$  of  $C$  at  $p$  satisfies

$$|k| \geq \min(|k_1|, |k_2|),$$

where  $k_1$  and  $k_2$  are the principal curvatures of  $S$  at  $p$ .

*Proof.* Because  $K > 0$ , we know the principal curvatures  $\kappa_1, \kappa_2$  satisfy

$$0 < \kappa_1 \leq \kappa_2 \text{ or } \kappa_2 \leq \kappa_1 < 0$$

WOLG, suppose  $0 < \kappa_1 \leq \kappa_2$ . Let  $\alpha : (-\epsilon, \epsilon) \rightarrow C$  be an arc-length parametrization passing through  $p$  at  $\alpha(0)$ . Let  $\theta$  be the angle between  $N_\alpha(p)$  and  $N(p)$ . We know

$$\kappa \cos \theta = \kappa_{\alpha,p}$$

Because  $0 < \kappa_1 \leq \kappa_2$ , we can deduce

$$\kappa_{\alpha,p} \geq \kappa_1 = \min(|\kappa_1|, |\kappa_2|) > 0$$

This then implies

$$|\kappa| \geq |\kappa| |\cos \theta| = |\kappa \cos \theta| = |\kappa_{\alpha,p}| \geq \min(|\kappa_1|, |\kappa_2|)$$

■

### Question 52

**5.** Show that the mean curvature  $H$  at  $p \in S$  is given by

$$H = \frac{1}{\pi} \int_0^\pi k_n(\theta) d\theta,$$

where  $k_n(\theta)$  is the normal curvature at  $p$  along a direction making an angle  $\theta$  with a fixed direction.

*Proof.* Let  $e_1, e_2$  be the principal direction. Suppose the fixed direction is  $\cos \theta_0 e_1 + \sin \theta_0 e_2$ . Define  $\alpha : [0, \pi] \rightarrow T_p(S)$  by

$$\alpha(\theta) = \cos(\theta_0 + \theta) e_1 + \sin(\theta_0 + \theta) e_2$$

Compute  $\kappa_n(\theta)$  by

$$\begin{aligned} \kappa_n(\alpha(\theta)) &= \Pi_p(\cos(\theta_0 + \theta) e_1 + \sin(\theta_0 + \theta) e_2) \\ &= \kappa_1 \cos^2(\theta_0 + \theta) + \kappa_2 \sin^2(\theta_0 + \theta) \end{aligned}$$

This then give us

$$\begin{aligned} \frac{1}{\pi} \int_0^\pi \kappa_n(\theta) d\theta &= \frac{1}{\pi} \int_0^\pi \kappa_1 \cos^2(\theta_0 + \theta) + \kappa_2 \sin^2(\theta_0 + \theta) d\theta \\ &= \frac{1}{\pi} \left( \frac{\kappa_1 \pi}{2} + \frac{\kappa_2 \pi}{2} \right) = H \end{aligned}$$

■

### Question 53

**6.** Show that the sum of the normal curvatures for any pair of orthogonal directions, at a point  $p \in S$ , is constant.

*Proof.* Suppose  $e_1, e_2$  are principal direction, and express the pair  $v_1, v_2$  of orthogonal directions by  $v_1 = \cos \theta e_1 + \sin \theta e_2$  and  $v_2 = \cos(\theta + \frac{\pi}{2})e_1 + \sin(\theta + \frac{\pi}{2})e_2$ . We have

$$\begin{aligned}\kappa_{v_1} &= \text{II}_p(\cos \theta e_1 + \sin \theta e_2) \\ &= \kappa_1 \cos^2 \theta + \kappa_2 \sin^2 \theta\end{aligned}$$

and have

$$\begin{aligned}\kappa_{v_2} &= \text{II}_p(\cos(\theta + \frac{\pi}{2})e_1 + \sin(\theta + \frac{\pi}{2})e_2) \\ &= \text{II}_p(\sin \theta e_1 + \cos \theta e_2) \\ &= \kappa_1 \sin^2 \theta + \kappa_2 \cos^2 \theta\end{aligned}$$

This then give us

$$\kappa_{v_1} + \kappa_{v_2} = \kappa_1 + \kappa_2 = \text{const.}$$

■

### Question 54

**11.** Let  $p$  be an elliptic point of a surface  $S$ , and let  $r$  and  $r'$  be conjugate directions at  $p$ . Let  $r$  vary in  $T_p(S)$  and show that the minimum of the angle of  $r$  with  $r'$  is reached at a unique pair of directions in  $T_p(S)$  that are symmetric with respect to the principal directions.

*Proof.* WOLG, suppose  $0 < \kappa_1 \leq \kappa_2$ . Express

$$r = \cos \theta e_1 + \sin \theta e_2 \text{ and } r' = \cos \theta' e_1 + \sin \theta' e_2$$

Now compute

$$\begin{aligned}\langle dN_p(r), r' \rangle &= \langle -\kappa_1 \cos \theta e_1 - \kappa_2 \sin \theta e_2, \cos \theta' e_1 + \sin \theta' e_2 \rangle \\ &= -\kappa_1 \cos \theta \cos \theta' - \kappa_2 \sin \theta \sin \theta'\end{aligned}$$

This give us the constraint

$$\kappa_1 \cos \theta \cos \theta' + \kappa_2 \sin \theta \sin \theta' = 0$$

and we are required to find the extremum of

$$\cos(\theta - \theta') = \cos \theta \cos \theta' + \sin \theta \sin \theta'$$

We use the method of Lagrange multiplier. Define

$$\begin{aligned} f(\theta, \theta') &\triangleq \cos \theta \cos \theta' + \sin \theta \sin \theta' \\ g(\theta, \theta') &\triangleq \kappa_1 \cos \theta \cos \theta' + \kappa_2 \sin \theta \sin \theta' \end{aligned}$$

We are required to

maximize or minimize  $f$  subjecting to the constraint  $g = 0$

Compute

$$\begin{aligned} \nabla f &= \left( -\sin \theta \cos \theta' + \cos \theta \sin \theta', -\cos \theta \sin \theta' + \sin \theta \cos \theta' \right) \\ \nabla g &= \left( -\kappa_1 \sin \theta \cos \theta' + \kappa_2 \cos \theta \sin \theta', -\kappa_1 \cos \theta \sin \theta' + \kappa_2 \sin \theta \cos \theta' \right) \end{aligned}$$

It is now easy and straight forward to check that

$$\begin{aligned} \cos \theta &= \sqrt{\frac{\kappa_1}{\kappa_1 + \kappa_2}} \text{ and } \sin \theta = \sqrt{\frac{\kappa_2}{\kappa_1 + \kappa_2}} \\ \cos \theta' &= \sqrt{\frac{\kappa_1}{\kappa_1 + \kappa_2}} \text{ and } \sin \theta' = -\sqrt{\frac{\kappa_2}{\kappa_1 + \kappa_2}} \end{aligned}$$

is a non-trivial solution of  $\nabla f = \lambda \nabla g$ .

This then let us conclude

$$r \in \text{span}(\sqrt{\kappa_1}e_1 + \sqrt{\kappa_2}e_2) \text{ and } r' \in \text{span}(\sqrt{\kappa_1}e_1 - \sqrt{\kappa_2}e_2)$$

which is the so called "symmetry". ■

### Question 55

**\*18.** Let  $\lambda_1, \dots, \lambda_m$  be the normal curvatures at  $p \in S$  along directions making angles  $0, 2\pi/m, \dots, (m-1)2\pi/m$  with a principal direction,  $m > 2$ . Prove that

$$\lambda_1 + \dots + \lambda_m = mH,$$

where  $H$  is the mean curvature at  $p$ .

*Proof.* Let  $v_1, \dots, v_m$  be the directions of  $\lambda_1, \dots, \lambda_m$ . We have

$$v_k = \cos\left(\frac{k(2\pi)}{m}\right)e_1 + \sin\left(\frac{k(2\pi)}{m}\right)e_2$$

Observe

$$\begin{aligned}\lambda_k &= \Pi_p(v_k) = \Pi_p\left(\cos\left(\frac{2\pi k}{m}\right)e_1 + \sin\left(\frac{2\pi k}{m}\right)e_2\right) \\ &= \kappa_1 \cos^2\left(\frac{2\pi k}{m}\right) + \kappa_2 \sin^2\left(\frac{2\pi k}{m}\right)\end{aligned}$$

Now compute using elementary identity

$$\begin{aligned}\sum_{k=1}^m \lambda_k &= \sum_{k=1}^m \left( \kappa_1 \cos^2\left(\frac{2\pi k}{m}\right) + \kappa_2 \sin^2\left(\frac{2\pi k}{m}\right) \right) \\ &= \kappa_1 \frac{m}{2} + \kappa_2 \frac{m}{2} = mH\end{aligned}$$

■

### Question 56

1. Show that at the origin  $(0, 0, 0)$  of the hyperboloid  $z = axy$  we have  $K = -a^2$  and  $H = 0$ .

*Proof.* We have the global chart

$$\mathbf{x}(x, y) = (x, y, axy)$$

Compute

$$\mathbf{x}_x = (1, 0, ay) \text{ and } \mathbf{x}_y = (0, 1, ax)$$

Because  $N \perp \mathbf{x}_x, \mathbf{x}_y$ , we have

$$N(x, y) = \frac{(-ay, -ax, 1)}{\sqrt{a^2(x^2 + y^2) + 1}}$$

Define 2 curves that passing through origin from different direction

$$\alpha(t) \triangleq (t, 0, 0) \text{ and } \beta(t) \triangleq (0, t, 0)$$

Compute

$$N \circ \alpha(t) = \left(0, \frac{-at}{\sqrt{a^2t^2 + 1}}, \frac{1}{\sqrt{a^2t^2 + 1}}\right) \text{ and } N \circ \beta(t) = \left(\frac{-at}{\sqrt{a^2t^2 + 1}}, 0, \frac{1}{\sqrt{a^2t^2 + 1}}\right)$$

This then give us

$$dN_0(\alpha'(0)) = (N \circ \alpha)'(0) = (0, -a, 0) \text{ and } dN_0(\beta'(0)) = (N \circ \beta)'(0) = (-a, 0, 0)$$

Note that  $\alpha'(0) = (1, 0, 0)$  and  $\beta'(0) = (0, 1, 0)$ . We now see  $dN_0$  have action

$$(1, 0, 0) \mapsto (0, -a, 0) \text{ and } (0, 1, 0) \mapsto (-a, 0, 0)$$

Then we can compute the eigenvalues of  $dN_0$  to be  $a$  and  $-a$ . In other words, the principal curvatures at 0 are  $a$  and  $-a$ , which give us  $K = -a^2$  and  $H = 0$ . ■

### Question 57

**5. Consider the parametrized surface (Enneper's surface)**

$$\mathbf{x}(u, v) = \left(u - \frac{u^3}{3} + uv^2, v - \frac{v^3}{3} + vu^2, u^2 - v^2\right)$$

and show that

**a.** The coefficients of the first fundamental form are

$$E = G = (1 + u^2 + v^2)^2, \quad F = 0.$$

**b.** The coefficients of the second fundamental form are

$$e = 2, \quad g = -2, \quad f = 0.$$

**c.** The principal curvatures are

$$k_1 = \frac{2}{(1 + u^2 + v^2)^2}, \quad k_2 = -\frac{2}{(1 + u^2 + v^2)^2}.$$

**d.** The lines of curvature are the coordinate curves.

**e.** The asymptotic curves are  $u + v = \text{const.}$ ,  $u - v = \text{const.}$

*Proof.* (a) Compute

$$\begin{aligned} \mathbf{x}_u &= (1 - u^2 + v^2, 2uv, 2u) \\ \mathbf{x}_v &= (2uv, 1 - v^2 + u^2, -2v) \end{aligned}$$

This give us

$$\begin{aligned} E &= (1 - u^2 + v^2)^2 + 4u^2v^2 + 4u^2 = (1 + u^2 + v^2)^2 \\ G &= (1 - v^2 + u^2)^2 + 4u^2v^2 + 4v^2 = (1 + u^2 + v^2)^2 \\ F &= 2uv(1 - u^2 + v^2 + 1 - v^2 + u^2) - 4uv = 0 \end{aligned}$$

(b) Compute

$$\begin{aligned} \mathbf{x}_{uu} &= (-2u, 2v, 2) \\ \mathbf{x}_{vv} &= (2u, -2v, -2) \\ \mathbf{x}_{uv} &= (2v, 2u, 0) \end{aligned}$$

Compute

$$\sqrt{EG - F^2} = (1 + u^2 + v^2)^2$$

Compute

$$\begin{aligned} |\mathbf{x}_u \quad \mathbf{x}_v \quad \mathbf{x}_{uu}| &= 2(1 + u^2 + v^2)^2 \\ |\mathbf{x}_u \quad \mathbf{x}_v \quad \mathbf{x}_{vv}| &= -2(1 + u^2 + v^2)^2 \\ |\mathbf{x}_u \quad \mathbf{x}_v \quad \mathbf{x}_{uv}| &= 0 \end{aligned}$$

This then give us

$$e = 2 \text{ and } g = -2 \text{ and } f = 0$$

(c) Compute

$$K = \frac{eg - f^2}{EG - F^2} = \frac{-4}{(1 + u^2 + v^2)^4}$$

and

$$H = \frac{Ge + gE - 2fF}{2(EG - F^2)} = 0$$

This tell us  $-\kappa_1 = \kappa_2 = \sqrt{K}$  and

$$\kappa_1 = \frac{-2}{(1 + u^2 + v^2)^2} \text{ and } \kappa_2 = \frac{2}{(1 + u^2 + v^2)^2}$$

(d) Given  $\alpha(t) = \mathbf{x}(u(t), v(t))$ . We know

$$\alpha \text{ is a line of curvature} \iff \Pi_p(\alpha') = (\kappa_1 \text{ or } \kappa_2) |\alpha'|^2$$

Plugin the first fundamental form and second fundamental form, we now know that  $\alpha$  is a line of curvature if and only if

$$e(u')^2 + 2f(u')(v') + g(v')^2 = (\kappa_1 \text{ or } \kappa_2)(E(u')^2 + 2F(u')(v') + G(v')^2)$$

We have already known the value of the coefficients and the value of  $\kappa_1, \kappa_2$ , so we can deduce  $\alpha$  is a line of curvature if and only if

$$2(u')^2 - 2(v')^2 = \pm 2((u')^2 + (v')^2)$$

The solution of this equation is clearly

$$u' = 0 \text{ or } v' = 0$$



This implies that

$$\alpha \text{ is a line of curvature} \iff u' = 0 \text{ or } v' = 0 \iff \alpha \text{ is a coordinate curve}$$

(e) Given  $\alpha(t) = \mathbf{x}(u(t), v(t))$ . Observe

$$\begin{aligned} \alpha \text{ is an asymptotic curve} &\iff \Pi_p(\alpha') = 0 \\ &\iff e(u')^2 + 2f(u')(v') + g(v')^2 = 0 \\ &\iff (u')^2 - (v')^2 = 0 \\ &\iff u' = v' \text{ or } u' = -v' \\ &\iff (u + v)' = 0 \text{ or } (u - v)' = 0 \\ &\iff u + v = \text{const. or } u - v = \text{const.} \end{aligned}$$

■

### Question 58

#### 6. (A Surface with $K \equiv -1$ ; the Pseudosphere.)

- \*a. Determine an equation for the plane curve  $C$ , which is such that the segment of the tangent line between the point of tangency and some line  $r$  in the plane, which does not meet the curve, is constantly equal to 1 (this curve is called the *tractrix*; see Fig. 1-9).
- b. Rotate the tractrix  $C$  about the line  $r$ ; determine if the “surface” of revolution thus obtained (the *pseudosphere*; see Fig. 3-22) is regular and find out a parametrization in a neighborhood of a regular point.
- c. Show that the Gaussian curvature of any regular point of the pseudosphere is  $-1$ .

*Proof.* (a) In HW1, we have seen that the following plane curve  $\alpha : (0, \frac{\pi}{2}) \rightarrow \mathbb{R}^2$  satisfy the desired condition

$$\alpha(t) = \left( \sin t, \cos t + \ln\left(\tan \frac{t}{2}\right) \right)$$

See at the end of this HW a proof that  $\alpha$  satisfy the desired condition.

From now, we let  $C = \alpha\left((0, \frac{\pi}{2})\right)$ . Note that  $C$  is regular, and the rotation of  $C$  is only the upper half of the usual Pseudosphere. To see a picture of our pseudosphere, see Fig. 3-22, and note that our pseudosphere have empty intersection with the  $x, y$ -plane.

(b) We here give a more abstract result. Suppose

$$\gamma(t) = (f(t), g(t)) \text{ with } f \neq 0 \text{ every where}$$

with domain  $I \stackrel{\text{open}}{\subseteq} \mathbb{R}$  is a regular parametrized smooth curve. We claim  $\mathbf{x} : (0, 2\pi) \times I$

$$\mathbf{x}(\theta, t) \triangleq (f(t) \cos \theta, f(t) \sin \theta, g(t))$$

is a regular chart.

It is clear that  $\mathbf{x}$  is smooth. We now show  $d\mathbf{x}$  is one-to-one on  $(0, 2\pi) \times I$ . Compute

$$\mathbf{x}_\theta = (-f \sin \theta, f \cos \theta, 0) \text{ and } \mathbf{x}_t = (f' \cos \theta, f' \sin \theta, g')$$

Assume  $d\mathbf{x}$  is not one-to-one. We then can deduce

$$fg' \cos \theta = fg' \sin \theta = ff' = 0 \text{ for some } (t, \theta)$$

Fix such  $(t, \theta)$ . Because  $f \neq 0$ , we then can deduce

$$f'(t) = g'(t) \cos \theta = g'(t) \sin \theta = 0$$

Because  $\cos \theta$  and  $\sin \theta$  can not be both 0, we then can deduce

$$f'(t) = g'(t) = 0$$

which CaC to the premise  $\gamma$  is a regular parametrization.

Lastly, we are required to show

$$\mathbf{x}^{-1} \text{ is continuous}$$

Express

$$\mathbf{x}(\theta, t) \triangleq (x, y, z)(\theta, t)$$

We wish to show

$$\theta, t \text{ are continuous functions in } (x, y, z)$$

Because  $z(\theta, t) = g(t)$ , we know

$$t = g^{-1}(z)$$

This implies  $t$  is a continuous function in  $(x, y, z)$ .

Compute

$$\theta = \begin{cases} \arctan \frac{y}{x} & \text{if } x, y \in \mathbb{R}^+ \text{ (first quadrant)} \\ \frac{\pi}{2} & \text{if } x = 0, y \in \mathbb{R}^+ \\ \frac{3\pi}{2} + \arctan \frac{y}{x} & \text{if } x \in \mathbb{R}^-, y \in \mathbb{R}^+ \text{ (second quadrant)} \\ \pi + \arctan \frac{y}{x} & \text{if } x \in \mathbb{R}^-, y \in \mathbb{R}_0^- \text{ (third quadrant)} \\ \frac{3\pi}{2} & \text{if } x = 0, y \in \mathbb{R}^- \\ \frac{4\pi}{2} + \arctan \frac{y}{x} & \text{if } x \in \mathbb{R}^+, y \in \mathbb{R}^- \text{ (forth quadrant)} \end{cases}$$

This implies  $\theta$  is a continuous function in  $(x, y, z)$ . (done)

(c) Let  $S$  be surface of revolution of  $C$ . We are given the chart

$$\mathbf{x}(\theta, t) = \left( \sin t \cos \theta, \sin t \sin \theta, \cos t + \ln(\tan \frac{t}{2}) \right)$$

Note that  $\mathbf{x}(\theta, t)$  dose not cover all of  $S$ , and

$$\mathbf{y}(\theta, t) = \left( \sin t \cos(\theta + \frac{\pi}{2}), \sin t \sin(\theta + \frac{\pi}{2}), \cos t + \ln(\tan \frac{t}{2}) \right)$$

cover the rest of  $S$ . Note that  $\mathbf{y}$  is merely a rotation of  $\mathbf{x}$ , so if we show that  $\mathbf{x}(U)$  has constant Gauss curvature  $-1$ , then the proof is finished.

Compute

$$\mathbf{x}_\theta = \left( -\sin t \sin \theta, \sin t \cos \theta, 0 \right) \text{ and } \mathbf{x}_t = \left( \cos t \cos \theta, \cos t \sin \theta, -\sin t + \frac{\sec^2 \frac{t}{2}}{2 \tan \frac{t}{2}} \right)$$

Simplify the  $z$ -component of  $\mathbf{x}_t$

$$-\sin t + \frac{\sec^2 \frac{t}{2}}{2 \tan \frac{t}{2}} = -\sin t + \frac{1}{2 \sin \frac{t}{2} \cos \frac{t}{2}} = -\sin t + \frac{1}{\sin t} = \cos t \cot t \quad (4.6)$$

We now have

$$\mathbf{x}_\theta = \sin t \left( -\sin \theta, \cos \theta, 0 \right) \text{ and } \mathbf{x}_t = \cos t \left( \cos \theta, \sin \theta, \cot t \right)$$

We can now compute

$$\begin{aligned} E &= \sin^2 t \\ F &= 0 \\ G &= \cot^2 t \end{aligned}$$

Using the fact  $N \perp \mathbf{x}_\theta, \mathbf{x}_t$  and Equation 4.6, we can conclude

$$N \text{ is parallel with } \left( \cot t \cos \theta, \cot t \sin \theta, -1 \right)$$

and conclude

$$N = \left( \cos t \cos \theta, \cos t \sin \theta, -\sin t \right)$$

Compute

$$N_t = \left( -\sin t \cos \theta, -\sin t \sin \theta, -\cos t \right) \text{ and } N_\theta = \left( -\cos t \sin \theta, \cos t \cos \theta, 0 \right)$$

Compute

$$\begin{aligned} e &= -N_\theta \cdot \mathbf{x}_\theta = -\sin t \cos t \\ f &= -N_\theta \cdot \mathbf{x}_t = 0 \\ g &= -N_t \cdot \mathbf{x}_t = \cot t \end{aligned}$$

Finally, we conclude

$$K = \frac{eg - f^2}{EG - F^2} = \frac{-\sin t \cos t \cot t}{\sin^2 t \cot^2 t} = -1$$

■

### Lemma 4.5.1. (Umbilical Lemma)

$$p \text{ is umbilical} \iff dN_p(v) \cdot N \times v = 0 \text{ for all } v \in T_p S$$

*Proof.* From left to right is clear. We prove only from right to left. Fix arbitrary  $v \in T_p S$ . We know  $\{N, v, N \times v\}$  is an orthogonal basis. Express  $dN_p(v)$  in the form

$$dN_p(v) = \lambda_1 v + \lambda_2 N + \lambda_3 N \times v$$

Because  $dN_p(v) \in T_p S$ , we know  $\lambda_2 = 0$ . Using  $dN_p(v) \cdot N \times v = 0$ , we can further deduce  $\lambda_3 = 0$ . We now see  $dN_p(v) = \lambda_1 v$ . Because  $v$  is arbitrary, our proof is finished. ■

## Question 59

**20.** Determine the umbilical points of the ellipsoid

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1.$$

*Proof.* **(Ellipsoid Case)**

We claim

$p = (x, y, z)$  is umbilical  $\iff$  For all  $(v_1, v_2, v_3) \in T_p S$  we have the following three equations

$$\frac{-xv_2v_3}{a^2}\left(\frac{1}{b^2} - \frac{1}{c^2}\right) + \frac{yv_1v_3}{b^2}\left(\frac{1}{a^2} - \frac{1}{c^2}\right) + \frac{-zv_1v_2}{c^2}\left(\frac{1}{a^2} - \frac{1}{b^2}\right) = 0 \quad (4.7)$$

$$\frac{xv_1}{a^2} + \frac{yv_2}{b^2} + \frac{zv_3}{c^2} = 0 \quad (4.8)$$

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1 \quad (4.9)$$

It is clear that  $(x, y, z) \in S$  if and only if Equation 4.8 and Equation 4.9 are both satisfied. The proof of our claim now can be reduced to **proving  $p$  is umbilical if and only if Equation 4.7 is satisfied.**

Using Gradient, It is easy to see that we have an orientation

$$N(x, y, z) = \frac{\left(\frac{x}{a^2}, \frac{y}{b^2}, \frac{z}{c^2}\right)}{\sqrt{\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}}}$$

Define  $h : S \rightarrow \mathbb{R}$  by

$$h(x, y, z) \triangleq \sqrt{\frac{x^2}{a^4} + \frac{y^2}{b^4} + \frac{z^2}{c^4}}$$

Fix an arc-length parametrized curve  $\alpha : I \rightarrow S$ , passing through  $p = \alpha(0)$  and express

$$\alpha(s) = (x(s), y(s), z(s))$$

We have

$$(h \circ \alpha)(N \circ \alpha) = \left( \frac{x}{a^2}, \frac{y}{b^2}, \frac{z}{c^2} \right)$$

Differentiating both side yield us

$$(h \circ \alpha)'N(\alpha) + h(\alpha)dN_\alpha(\alpha') = \left( \frac{x'}{a^2}, \frac{y'}{b^2}, \frac{z'}{c^2} \right)$$

and give us

$$h(p)dN_p(v) = \left( \frac{x'}{a^2}, \frac{y'}{b^2}, \frac{z'}{c^2} \right) - (h \circ \alpha)'N(p) \text{ where } v = \alpha'(0) = (x', y', z')$$

Note that  $(h \circ \alpha)'N$  is parallel with  $N$ . Now by Lemma 4.5.1, we see  $p$  is umbilical if and only if for all  $\alpha$  we have

$$\begin{vmatrix} \frac{x'}{a^2} & \frac{y'}{b^2} & \frac{z'}{c^2} \\ \frac{x}{a^2} & \frac{y}{b^2} & \frac{z}{c^2} \\ x' & y' & z' \end{vmatrix} = 0$$

Expand the above determinant and substitute  $(x', y', z')$  with  $(v_1, v_2, v_3)$ . We see this is exactly Equation 4.7. (done)

Now, WOLG, suppose  $0 < a < b < c$ .

We claim

umbilical point will never be in the plane  $z = 0$

Assume  $z = 0$  and  $p = (x, y, 0)$  is an umbilical point . For all  $(v_1, v_2, v_3) \in T_p S$ , we have

$$\frac{-xv_2v_3}{a^2} \left( \frac{1}{b^2} - \frac{1}{c^2} \right) + \frac{yv_1v_3}{b^2} \left( \frac{1}{a^2} - \frac{1}{c^2} \right) = 0 \quad (4.10)$$

$$\frac{xv_1}{a^2} + \frac{yv_2}{b^2} = 0 \quad (4.11)$$

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad (4.12)$$

Equation 4.12 implies that either  $x \neq 0$  or  $y \neq 0$ . WOLG suppose  $x \neq 0$ . We can now fix  $(v_1, v_2, v_3) \in T_p S$  such that  $v_2v_3 \neq 0$ .

By multiplying with  $\frac{x}{v_3}$  on both side, Equation 4.10 now implies

$$\frac{x^2v_2}{a^2} \left( \frac{1}{b^2} - \frac{1}{c^2} \right) = \frac{xyv_1}{b^2} \left( \frac{1}{a^2} - \frac{1}{c^2} \right)$$

Note that Equation 4.11 give us  $\frac{y}{b^2} = \frac{-xv_1}{a^2v_2}$ . This then implies

$$\frac{x^2v_2}{a^2}\left(\frac{1}{b^2} - \frac{1}{c^2}\right) = \frac{xyv_1}{b^2}\left(\frac{1}{a^2} - \frac{1}{c^2}\right) = \frac{-v_1^2x^2}{a^2v_2}\left(\frac{1}{a^2} - \frac{1}{c^2}\right)$$

We can now use  $a < b < c$  to deduce

$$0 < \frac{x^2v_2^2}{a^2}\left(\frac{1}{b^2} - \frac{1}{c^2}\right) = \frac{-v_1^2x^2}{a^2}\left(\frac{1}{a^2} - \frac{1}{c^2}\right) < 0 \text{ CaC (done)}$$

Lastly, we claim  $p$  is an umbilical point if and only if

$$x^2 = a^2 \frac{b^2 - a^2}{c^2 - a^2} \text{ and } y = 0 \text{ and } z^2 = c^2 \frac{c^2 - b^2}{c^2 - a^2}$$

Because  $z \neq 0$ . We can now replace Equation 4.7 with

$$\frac{-xzv_2v_3}{a^2c^2}\left(\frac{1}{b^2} - \frac{1}{c^2}\right) + \frac{yzv_1v_3}{b^2c^2}\left(\frac{1}{a^2} - \frac{1}{c^2}\right) + \frac{-z^2v_1v_2}{c^4}\left(\frac{1}{a^2} - \frac{1}{b^2}\right) = 0 \quad (4.13)$$

Equation 4.8 give us  $\frac{z}{c^2} = \frac{-xv_1}{a^2v_3} + \frac{-yv_2}{b^2v_3}$ . Substitute this into Equation 4.13 (Except the  $\frac{z^2}{c^4}$  term), we have

$$\begin{aligned} & v_2^2 \frac{yx}{b^2a^2} \left( \frac{1}{b^2} - \frac{1}{c^2} \right) - v_1^2 \frac{yx}{b^2a^2} \left( \frac{1}{a^2} - \frac{1}{c^2} \right) \\ & + v_1v_2 \left( \frac{x^2}{a^4} \left( \frac{1}{b^2} - \frac{1}{c^2} \right) - \frac{y^2}{b^4} \left( \frac{1}{a^2} - \frac{1}{c^2} \right) - \frac{z^2}{c^4} \left( \frac{1}{a^2} - \frac{1}{b^2} \right) \right) = 0 \end{aligned} \quad (4.14)$$

Assume  $x = 0$ , we see

$$0 < \frac{z^2}{c^4} \left( \frac{1}{a^2} - \frac{1}{b^2} \right) = \frac{-y^2}{b^4} \left( \frac{1}{a^2} - \frac{1}{c^2} \right) < 0 \text{ CaC}$$

Because  $x \neq 0$ , we know there exists  $(v_1, v_2, v_3)$  such that  $v_1 = 0$  and  $v_2 = 1$ . Substituting this back into Equation 4.14, we can deduce

$$xy = 0$$

Because  $x \neq 0$ . We now know  $y = 0$ . Again substituting this back into Equation 4.14, we have

$$\frac{x^2}{a^4} \left( \frac{1}{b^2} - \frac{1}{c^2} \right) = \frac{z^2}{c^4} \left( \frac{1}{a^2} - \frac{1}{b^2} \right)$$

Substituting  $y = 0$  back into Equation 4.9, we now see

$$\frac{x^2}{a^2} + \frac{z^2}{c^2} = 1$$

We can now solve for  $x^2, z^2$  in terms of  $a, b, c$ , using only linear algebra. The solution is

$$x^2 = a^2 \frac{b^2 - a^2}{c^2 - a^2} \text{ and } z^2 = c^2 \frac{c^2 - b^2}{c^2 - a^2} \text{ (done)}$$

■

### Question 60

- 22. (The Hessian.)** Let  $h: S \rightarrow R$  be a differentiable function on a surface  $S$ , and let  $p \in S$  be a critical point of  $h$  (i.e.,  $dh_p = 0$ ). Let  $w \in T_p(S)$  and let

$$\alpha: (-\epsilon, \epsilon) \rightarrow S$$

be a parametrized curve with  $\alpha(0) = p, \alpha'(0) = w$ . Set

$$H_p h(w) = \left. \frac{d^2(h \circ \alpha)}{dt^2} \right|_{t=0}.$$

- a.** Let  $\mathbf{x}: U \rightarrow S$  be a parametrization of  $S$  at  $p$ , and show that (the fact that  $p$  is a critical point of  $h$  is essential here)

$$H_p h(u' \mathbf{x}_u + v' \mathbf{x}_v) = h_{uu}(p)(u')^2 + 2h_{uv}(p)u'v' + h_{vv}(p)(v')^2.$$

Conclude that  $H_p h: T_p(S) \rightarrow R$  is a well-defined (i.e., it does not depend on the choice of  $\mathbf{x}$ ) quadratic form on  $T_p(S)$ .  $H_p h$  is called the *Hessian* of  $h$  at  $p$ .

- b.** Let  $h: S \rightarrow R$  be the height function of  $S$  relative to  $T_p(S)$ ; that is,  $h(q) = \langle q - p, N(p) \rangle, q \in S$ . Verify that  $p$  is a critical point of  $h$  and thus that the Hessian  $H_p h$  is well defined. Show that if  $w \in T_p(S)$ ,  $|w| = 1$ , then

$$H_p h(w) = \text{normal curvature at } p \text{ in the direction of } w.$$

Conclude that *the Hessian at  $p$  of the height function relative to  $T_p(S)$  is the second fundamental form of  $S$  at  $p$ .*



*Proof.* Express

$$\alpha(t) = \mathbf{x}(u(t), v(t))$$

We know

$$w = \alpha' = u' \mathbf{x}_u + v' \mathbf{x}_v$$

Compute

$$\begin{aligned} H_p(u' \mathbf{x}_u + v' \mathbf{x}_v) &= H_p h(w) = (h \circ \alpha)''(0) \\ &= (h_u u' + h_v v')' \\ &= (h_{uu} u' + h_{uv} v') u' + h_u(u'') + (h_{vu} u' + h_{vv} v') v' + h_v(v'') \\ &= h_{uu}(u')^2 + 2h_{uv}(u')(v') + h_{vv}(v')^2 \quad (\because dh_p = 0 \implies h_u = h_v = 0) \end{aligned}$$

We know  $\{\mathbf{x}_u, \mathbf{x}_v\}$  is a basis of  $T_p S$ . Our computation now show us that for any given vector  $c_1 \mathbf{x}_u + c_2 \mathbf{x}_v \in T_p S$ , we have

$$\begin{aligned} H_p(c_1 \mathbf{x}_u + c_2 \mathbf{x}_v) &= h_{uu} c_1^2 + 2h_{uv} c_1 c_2 + h_{vv} c_2^2 \\ &= \begin{bmatrix} c_1 & c_2 \end{bmatrix} \begin{bmatrix} h_{uu} & h_{uv} \\ h_{uv} & h_{vv} \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \end{bmatrix} \end{aligned}$$

This then implies  $H_p$  is a quadratic form on  $T_p S$ .

Note that the definition of  $H_p h$  doesn't take usage of  $\mathbf{x}$ . We merely defiend

$$H_p h(w) \triangleq (h \circ \alpha)''(0)$$

**(b)** Compute

$$\begin{aligned} h_u &= \langle \mathbf{x}_u, N(p) \rangle = 0 \\ \text{and } h_v &= \langle \mathbf{x}_v, N(p) \rangle = 0 \end{aligned}$$

This implies  $dh_p = 0$ , so  $p$  is indeed a critical point of  $h$ .

Compute

$$\begin{aligned} h_{uu} &= \langle \mathbf{x}_{uu}, N \rangle = e \\ h_{uv} &= \langle \mathbf{x}_{uv}, N \rangle = f \\ h_{vv} &= \langle \mathbf{x}_{vv}, N \rangle = g \end{aligned}$$

This now give us

$$H_p h(w) = (u')^2 e + 2u'v'f + g(v')^2 = \Pi_p(w)$$

and conclude the desired result. ■

### Question 61: 1-3:4

4. Let  $\alpha: (0, \pi) \rightarrow \mathbb{R}^2$  be given by

$$\alpha(t) = \left( \cos t, \cos t + \log \tan \frac{t}{2} \right),$$

where  $t$  is the angle that the  $y$  axis makes with the vector  $\alpha(t)$ . The trace of  $\alpha$  is called the *tractrix* (Fig. 1-9). Show that

19:

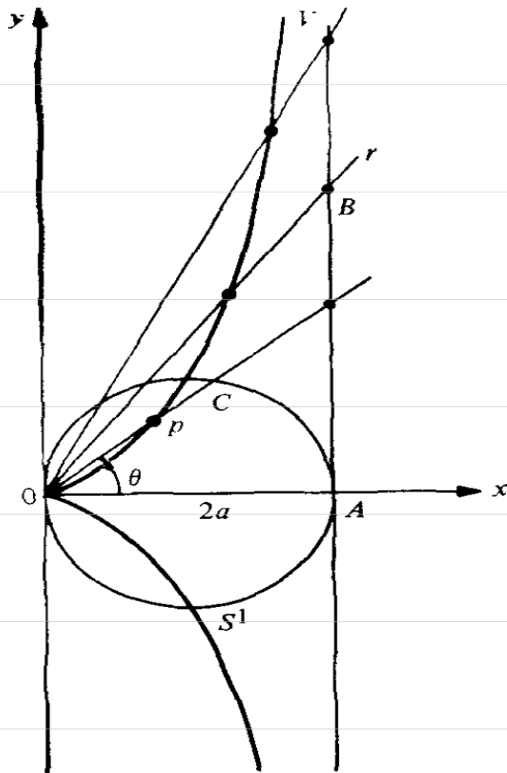


Figure 1-8. The cissoid of Diocles.

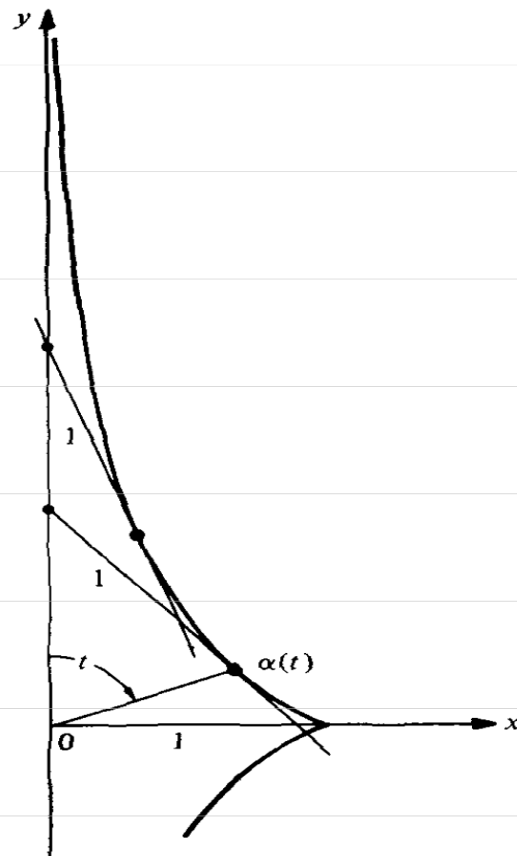


Figure 1-9. The tractrix.

- $\alpha$  is a differentiable parametrized curve, regular except at  $t = \pi/2$ .
- The length of the segment of the tangent of the tractrix between the point of tangency and the  $y$  axis is constantly equal to 1.

Typo correction:  $\alpha(t) = (\sin t, \cos t + \ln \tan \frac{t}{2})$

*Proof.* (a)

Notice that the interval  $I$  is  $(0, \pi)$ . It is clear that

- (a)  $\sin t$  is smooth on  $\mathbb{R}$
- (b)  $\cos t$  is smooth on  $\mathbb{R}$
- (c)  $\ln t$  is smooth on  $\mathbb{R}^+$
- (d)  $\tan \frac{t}{2}$  is smooth on  $I$

Then it follows that  $\alpha$  is a differentiable curve.

Compute

$$\alpha'(t) = (\cos t, -\sin t + \frac{1}{\tan \frac{t}{2}} \cdot \sec^2 \frac{t}{2} \cdot \frac{1}{2})$$

Because  $\cos t = \alpha'_1(t)$  is 0 on  $I$  only when  $t = \frac{\pi}{2}$ , we know  $\alpha$  is regular on  $I$  except possibly at  $t = \frac{\pi}{2}$ .

Compute

$$\alpha'(\frac{\pi}{2}) = (0, -1 + \frac{1}{1} \cdot 2 \cdot \frac{1}{2}) = (0, 0)$$

We now conclude  $\alpha$  is regular on  $I$  except  $\frac{\pi}{2}$ .

**(b)**

A useful Identity give us

$$\alpha'(t) = (\cos t, -\sin t + \csc t)$$

From the following facts

- (a) the first argument of the segment is from 0 to  $\sin t = \alpha(t)$
- (b)  $\alpha'_x(t) = \cos t$
- (c)  $\frac{\sin t}{\cos t} = \tan t$

We conclude that the length of the segment is

$$\begin{aligned} |\tan t| \cdot |\alpha'(t)| &= |\tan t| \cdot \sqrt{\cos^2 t + \sin^2 t - 2 \sin t \csc t + \csc^2 t} \\ &= |\tan t| \cdot \sqrt{1 - 2 + \csc^2 t} \\ &= |\tan t| \cdot \sqrt{\csc^2 t - 1} = |\tan t| \cdot \sqrt{\cot^2 t} = 1 \end{aligned}$$

■