

NCKU 112.1  
Discrete Math

Eric Liu

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# Chapter 0

## General Counting Methods for Arrangements and Selection

### 0.1 Practical Identity

**Theorem 0.1.1. (Fundamental Identity)** We have

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1} \quad (1)$$

*Proof.* Notice that  $\binom{n}{k}$  represent amount of ways to pick  $k$  numbers from the set  $\{1, \dots, n\}$ . There are two possibilities:

$$\begin{cases} 1 \text{ is picked} \\ 1 \text{ is not picked} \end{cases} \quad (2)$$

The amount of ways to pick  $k$  numbers from the set  $\{1, \dots, n\}$  when 1 is mandatory to pick is  $\binom{n-1}{k-1}$ , and the amount of ways when 1 is mandatory not to pick is  $\binom{n-1}{k}$  ■

The above identity is the most important in the sense that it allow us to deduce other identities with induction.

**Theorem 0.1.2. (First Identity)** We have

$$\binom{n}{k} \binom{k}{m} = \binom{n}{m} \binom{n-m}{k-m}$$

*Proof.* Consider possibility of picking subsets  $I \subseteq \{1, \dots, n\}$  of cardinality  $k$  and subsets  $I_1 \subseteq I$  of cardinality  $m$ . The amount of possibilities equals to  $\binom{n}{k} \binom{k}{m}$ .

We can first pick the subset  $I_1$  which has  $\binom{n}{m}$  possibilities. We can then pick the subset  $I$  by picking  $k - m$  amount of numbers in  $\{1, \dots, n\} \setminus I_1$  and add  $I_1$  to have  $I$ . There are  $\binom{n-m}{k-m}$  ways to do such, as  $|\{1, \dots, n\} \setminus I_1| = n - m$ . ■

**Theorem 0.1.3. (Second Identity)** We have

$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

*Proof.* We have

$$\begin{aligned} 2^n &= (1 + 1)^n \\ &= \sum_{k=0}^n \binom{n}{k} 1^k 1^n \\ &= \sum_{k=0}^n \binom{n}{k} \end{aligned}$$

■

The first identity rely on the usage of intuition of picking  $r$  objects from  $n$  distinct objects.

The second identity use binomial theorem.

**Theorem 0.1.4. (Identity When Both Arguments are Growing)** We have

$$\sum_{k=0}^r \binom{n+k}{k} = \binom{n+r+1}{r}$$

*Proof.* Base case:  $r = 0$

$$\begin{aligned} \sum_{k=0}^r \binom{n+k}{k} &= \sum_{k=0}^0 \binom{n}{0} \\ &= 1 \\ &= \binom{n+1}{0} \\ &= \binom{n+r+1}{r} \end{aligned}$$

Induction case: suppose

$$\sum_{k=0}^s \binom{n+k}{k} = \binom{n+s+1}{s}$$

Observe

$$\begin{aligned} \binom{n+s+2}{s+1} &= \binom{n+s+1}{s+1} + \binom{n+s+1}{s} \\ &= \sum_{k=0}^s \binom{n+k}{k} + \binom{n+s+1}{s+1} \\ &= \sum_{k=0}^{s+1} \binom{n+k}{k} \end{aligned}$$

■

**Corollary 0.1.5. (Putting at most  $r$  different things in  $n$  barrels)** We have

$$\sum_{k=0}^r H_k^n = \sum_{k=0}^r \binom{(n-1)+k}{k} = \binom{n+r}{r} = H_r^{n+1}$$

**Theorem 0.1.6. (Identity When Only The Larger Argument is Growing)** We have

$$\sum_{k=0}^{n-r} \binom{r+k}{r} = \binom{n+1}{r+1}$$

*Proof.* Base case:  $n = r$

$$\sum_{k=0}^{n-r} \binom{r+k}{r} = \binom{r}{r} = 1 = \binom{r+1}{r+1} = \binom{n+1}{r+1}$$

Induction case: Suppose

$$\sum_{k=0}^{n-s} \binom{s+k}{s} = \binom{n+1}{s+1}$$

Observe

$$\begin{aligned}
\binom{n+2}{s+1} &= \binom{n+1}{s+1} + \binom{n+1}{s} \\
&= \sum_{k=0}^{n-s} \binom{s+k}{s} + \binom{n+1}{s} \\
&= \sum_{k=0}^{n+1-s} \binom{s+k}{s}
\end{aligned}$$

■

The above two identities can be applied when the arguments are growing, notice that the second identity is an identity when the smaller argument is growing.

**Theorem 0.1.7. (Fifth Identity)** We have

$$\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$$

*Proof.* Imagine an  $n \times n$  grid. Imagine we wish to go from the lower left corner to top right corner without going left or low.

The amount of path that cross  $(0, n)$  is  $\binom{n}{0}^2$  and the amount of path that cross  $(1, n-1)$  is  $\binom{n}{1}^2, \dots$

■

**Theorem 0.1.8. (Identity When Multiplying Two Binomial where the Sum of the Smaller Arguments is Fixed)**

$$\sum_{k=0}^r \binom{m}{k} \binom{n}{r-k} = \binom{m+n}{r}$$

*Proof.* The right hand side is to pick  $r$  objects from  $m+n$  distinct object. The left hand side is also the same, but done so by first picking from  $m$  then from  $n$

■

**Corollary 0.1.9. (identity When Multiplying Two Binomials where the Gap of the Smaller Arguments is Fixed)**

$$\sum_{k=0}^m \binom{m}{k} \binom{n}{r+k} = \binom{m+n}{m+r}$$

*Proof.*

$$\begin{aligned}
 \sum_{k=0}^m \binom{m}{k} \binom{n}{r+k} &= \sum_{k=0}^m \binom{m}{m-k} \binom{n}{r+k} \\
 &= \sum_{u=0}^m \binom{m}{u} \binom{n}{m+r-u} \text{ where } u = m - k \\
 &= \binom{m+n}{m+r}
 \end{aligned}$$

■

# Chapter 1

## Generating Function

### 1.1 Modeling of Generating Function

Chapter 6 has 3 question, 6.4 has 1 question.
---

**Theorem 1.1.1. (Putting Same Object into Distinct Barrels)** Given

$$\sum_{i=1}^n e_i = r$$

There are

$$H_r^n := \binom{n+r-1}{n-1} = \binom{n+r-1}{r} \text{ amount of solutions}$$



## 1.2 Calculation of Generating Function

**Theorem 1.2.1. (Important Identity)** We have

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k$$

$$\frac{1}{(1-x)^n} = \left(\sum_{k=0}^{\infty} x^k\right)^n = \sum_{k=0}^{\infty} H_k^n x^k = \sum_{k=0}^{\infty} \binom{n+k-1}{k} x^k$$

$$\sum_{k=0}^n x^k = \frac{1-x^{n+1}}{1-x}$$

## 1.3 Exponential Generating Function

**Theorem 1.3.1.** ( $r$  Arrangement with and without Repetition of  $n$  objects)

This means the numbers of ways of selecting  $r$  object from distinct  $n$  object and rearrange them

**Theorem 1.3.2.** (Taylor Expansion)

# Chapter 2

## HW

### 2.1 HW3

#### Question 1

How many numbers between 0 and 10000 have a sum of digit

(a) Equal to 7?

(b) Less than or equal to 7?

(c) Equal to 13

*Proof.* Represent the number by

$$e_0e_1e_2e_3e_4$$

where if the number is 237, we have

$$e_0 = 0 \text{ and } e_1 = 0 \text{ and } e_2 = 2 \text{ and } e_3 = 3 \text{ and } e_4 = 7$$

And of course we have the constraint

$$0 \leq e_1, e_2, e_3, e_4 \leq 9 \text{ and } e_0 \in \{0, 1\} \text{ and } e_0 = 1 \implies e_1 = e_2 = e_3 = e_4 = 0$$

Then the first question is equivalent to asking the amount of solution of

$$e_0 + e_1 + e_2 + e_3 + e_4 = 7$$

If  $e_1 = 1$ , clearly there is no solution. If  $e_0 = 0$ , there exists  $H_7^4 = \binom{10}{7} = 120$  amount of solutions.

The second question is equivalent to asking the amount of solution of

$$e_0 + e_1 + e_2 + e_3 + e_4 \leq 7$$

If  $e_0 = 1$ , clearly there exists only one solution. If  $e_0 = 0$ , there exists

$$\sum_{k=0}^7 H_k^4 = \sum_{k=0}^7 \binom{k+3}{k} = \binom{11}{7} = 330$$

So

The amount of solutions are 331

The third question is equivalent to asking the amount of solution of

$$e_0 + e_1 + e_2 + e_3 + e_4 = 13$$

Clearly, we can not have  $e_0 = 1$ , so our question has become

$$e_1 + e_2 + e_3 + e_4 = 13$$

where the constrain is

$$0 \leq e_1, e_2, e_3, e_4 \leq 9$$

If we remove the 9 upper bound constrain, the amount of solutions is then

$$H_{13}^4 = 560$$

Adding the constrain back, we need to remove those solutions that doesn't satisfy the constrain, i.e.  $e_j > 9$  for some  $j \in \{1, 2, 3, 4\}$ .

Clearly if  $e_j > 9$ , then no other digit would be greater than 9. The amount of solutions that should be removed are

$$4(H_{13-10}^3 + H_{13-11}^3 + H_{13-12}^3 + H_{13-13}^3) = 4\left(\sum_{k=0}^3 H_k^3\right) = 4\left(\sum_{k=0}^3 \binom{k+2}{k}\right) = 4\binom{6}{3} = 80$$

Then the amount of solutions are

$$560 - 80 = 480 \text{ ways}$$

■

## Question 2

Evaluate

$$\sum_{k=1}^n \binom{n}{k} \binom{n}{k-1}$$

*Proof.*

$$\begin{aligned} \sum_{k=1}^n \binom{n}{k} \binom{n}{k-1} &= \sum_{k=1}^n \binom{n+1}{k} \\ &= \sum_{k=0}^{n+1} \binom{n+1}{k} - \binom{n+1}{0} - \binom{n+1}{n+1} \\ &= \sum_{k=0}^{n+1} \binom{n+1}{k} - 2 \\ &= 2^{n+1} - 2 \end{aligned}$$

■

## Question 3

Show that the generating function for the number of integer solutions to

$$e_1 + e_2 + e_3 + e_4 = r, 0 \leq e_1 \leq e_2 \leq e_3 \leq e_4$$

is

$$(1 + x + x^2 + \cdots) (1 + x^2 + x^4 + \cdots) (1 + x^3 + x^6 + \cdots) (1 + x^4 + x^8 + \cdots)$$

*Proof.* Define

$$d_1 := e_2 - e_1 \text{ and } d_2 := e_3 - e_2 \text{ and } d_3 := e_4 - e_3$$

Then we have

$$e_2 = e_1 + d_1 \text{ and } e_3 = e_1 + d_1 + d_2 \text{ and } e_4 = e_1 + d_1 + d_2 + d_3$$

The question is thus reduced to finding the generating function for

$$4e_1 + 3d_1 + 2d_2 + d_3 = r, \{e_1, d_1, d_2, d_3\} \in \mathbb{N} \cup \{0\}$$

Which is

$$(1 + x^4 + x^8 + \cdots) (1 + x^3 + x^6 + \cdots) (1 + x^2 + x^4 + \cdots) (1 + x + x^2 + \cdots)$$

■

#### Question 4

Use the equation

$$\frac{(1-x^2)^n}{(1-x)^n} = (1+x)^n$$

to show that

$$\sum_{k=0}^{\frac{m}{2}} (-1)^k \binom{n}{k} \binom{n+m-2k-1}{n-1} = \binom{n}{m} \quad m \leq n \text{ and } m \text{ even}$$

*Proof.* Observe that

$$\binom{n}{m} \text{ is the coefficient of } x^m \text{ in } (1+x)^n$$

So we only have to prove that

$$\sum_{k=0}^{\frac{m}{2}} (-1)^k \binom{n}{k} \binom{n+m-2k-1}{n-1} \text{ is the coefficient of } x^m \text{ in } \frac{(1-x^2)^n}{(1-x)^n}$$

Observe that

$$(1-x^2)^n = \sum_{k=0}^n (-1)^k \binom{n}{k} x^{2k}$$

and that

$$\frac{1}{(1-x)^n} = \sum_{u=0}^{\infty} \binom{u+n-1}{u} x^u$$

Then because  $m$  is even, we can compute the coefficient by summing  $k \in [0, \frac{m}{2}]$  and  $u = m - 2k$  from  $(2k + u = m)$ , which tell us that the coefficient is

$$\sum_{k=0}^{\frac{m}{2}} (-1)^k \binom{n}{k} \binom{m-2k+n-1}{m-2k}$$

which equals to

$$\sum_{k=0}^{\frac{m}{2}} (-1)^k \binom{n}{k} \binom{n+m-2k-1}{n-1}$$

■

### Question 5

Show that

$$2(1-x)^{-3} \left[ (1-x)^{-3} + (1+x)^{-3} \right]$$

is the generating function for the number of ways to toss  $r$  identical dice and obtain even sum.

*Proof.* The generating function of ways to toss  $r$  identical dice is

$$\left( \sum_{k=1}^6 x^k \right)^r$$

which equals to

$$\left( \frac{1-x^7}{1-x} \right)^r$$

Then the generating function for the number of ways to toss  $r$  identical dice and obtain even sum is

$$\frac{1}{2} \left[ \left( \frac{1-x^7}{1-x} \right)^r - \left( \frac{1+x^7}{1+x} \right)^r \right]$$

■