

## **Document Information**

| Document Number:  | CRO.Validation.01091   |
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| Document Title:   | Method Validation Report: Detection of Prion Protein by ELISA in Rat |
|                   | Cerebrospinal Fluid by Method CRO.SOP.00294 for Sponsor 244          |
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## **Approval By**

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## METHOD VALIDATION REPORT

## **DOCUMENT TITLE**

Method Validation Report: Detection of Prion Protein by ELISA in Rat Cerebrospinal Fluid by Method CRO.SOP.00294 for Sponsor 244

## **DOCUMENT NUMBER**

CRO. Validation. 01091

## CBI SPONSOR-PROJECT CODE

244A-002V

## REGULATORY REQUIREMENTS

World Health Organization - Good Clinical Laboratory Practice (GCLP)

## PRINCIPAL INVESTIGATOR

Jiewu Liu, PhD

## **INITIATION DATE**

23JUL2019

## **COMPLETION DATE**

Date this Report is approved by the Cambridge Biomedical Principal Investigator

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U.S.A.

Template: LAB. Validation. 00424, Version 3.0

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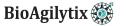
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#### 1.0 SUMMARY

The objective of this method validation was to evaluate the key performance parameters of CRO.SOP.00294 and assess the method's suitability for the intended purpose of measuring prion protein in rat cerebrospinal fluid by ELISA, in support of the clinical study for Sponsor 244.

The parameters evaluated in this validation were intra and inter-assay precision, upper and lower limit of quantitation determination, parallelism, and sample stability at room temperature, 4°C, and following multiple freeze thaw cycles.

The summary of the results in presented in Attachment A.

#### 2.0 PRINCIPAL INVESTIGATOR COMPLIANCE STATEMENT

The Method Validation study titled "Method Validation Protocol: Detection of Prion Protein by ELISA in Rat Cerebrospinal Fluid for Sponsor 244", was conducted and reported in compliance with the Good Clinical Laboratory Practice Regulations set forth by the World Health Organization.

NOTE: Approval of this document by the Principal Investigator serves as the approval of this Compliance Statement.

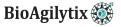
## 3.0 QUALITY ASSURANCE STATEMENT

The Cambridge Biomedical Quality Assurance Unit has performed inspection on the method validation study "Method Validation Protocol: Detection of Prion Protein by ELISA in Rat Cerebrospinal Fluid for Sponsor 244", and findings were reported to Principal Investigator on the dates shown in the table below.

| Date of<br>Inspection | Phase<br>Inspected | Document Number   | Date<br>Reported to<br>Principal<br>Investigator | Date Reported to Test<br>Site Management |
|-----------------------|--------------------|-------------------|--|--|
| 26SEP2019             | In-Process         | QAU.Reports.00409 | 26SEP2019  | 18Nov2019                                |
| 12Dec2019             | Report/Data        | QAU.Reports.00457 | 13Dec2019  | 24Dec2019                                |

NOTE: The Quality Assurance signature on this document serves only to document the inspections performed.

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## 4.0 OBJECTIVES

The objective of this study was to validate method SOP CRO.SOP.00294, "Method SOP: Detection of Prion Protein by ELISA in Rat Cerebrospinal Fluid for Sponsor 244", for suitability for the intended purposes of a pharmacodynamic biomarker assay. This study evaluated intra and inter-assay precision, accuracy, parallelism, determination of limits of quantitation, and stability.

## **5.0 DEFINITIONS**

| Term  | Definition   |
|-------|--|
| -80°C | Acceptable temperature range of -60°C to -90°C   |
| CBI   | Cambridge Biomedical Inc.  |
| CHAPS | 3-[(3-cholamidopropyl)dimethylammonio]-1-propanesulfonate hydrate  |
| CSF   | Cerebrospinal Fluid  |
| CV    | Coefficient of variation   |
| HRP   | Horseradish Peroxidase   |
| LLOQ  | Lower Limit of Quantification  |
| MRD   | Minimum Required Dilution  |
| OD    | Optical Density  |
| QC    | Quality Control (H, M, LQC are high, mid, and low QC, respectively). Prior to QC range determination these are the high, mid, and low Validation Samples |
| RE    | Relative Error   |
| VS    | Validation Sample (VS-H, -M, -L are high, mid, and low, respectively)  |
| SD    | Standard Deviation   |
| TMB   | 3,3',5,5'-Tetramethylbenzidine   |
| ULOQ  | Upper Limit of Quantification  |

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| Term | Definition   |
|------|--|
| RT   | Room Temperature, the acceptable range of 18°C to 25°C |

### 6.0 REFERENCES

## 6.1 Study-Specific References

- a. Method SOP CRO.SOP.00294, "Method SOP: Detection of Prion Protein by ELISA in Rat Cerebrospinal Fluid for Sponsor 244"
- b. LAB.SOP.00103 Molecular Devices SpectraMax Plus 384 Microplate Reader Operation, Preventative Maintenance, and Calibration
- c. LAB.SOP.00018 Molecular Devices SpectraMax M5e Operation, Preventative Maintenance, and Calibration

#### **6.2** General References

- a. Guidance for Industry Bioanalytical Method Validation, U.S. Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research (CDER), Center for Veterinary Medicine (CVM), May 2018
- b. CRO.SOP.00076 Bioanalytical Method Validation Process and Deliverables
- c. SAM.SOP.00003 Sample, Specimen, and Test/Control Article Management
- d. SAM.SOP.00006 Sample Chain of Custody
- e. LAB.SOP.00045 Technical Review of Data and Documents
- f. LAB.SOP.00121 Validation of Analytical Methods
- g. LAB.SOP.00125 Quality Control of Data and Documents
- h. LAB.SOP.00127 Study Role and Personnel Designation
- i. QAU.Policy & Procedure.00017 Archiving of Laboratory and Study Materials
- j. QAU.Policy & Procedure.00031 Amendment Procedure for Method Validation and Sample Analysis Documents
- k. QAU.Policy & Procedure.00038 Deviation Management
- 1. QAU.Policy & Procedure.00061 Storage, Organization, and Archiving of Electronic Study Records

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## 7.0 DEVIATIONS

## 7.1 Critical Deviations

None

## 7.2 Major Deviations

None

## 7.3 Minor Deviations

| <b>Deviation</b> #   | 1 Unplanned  |
|----------------------|--|
| Document #           | CRO.Validation.01152   |
| Title                | Deviation Against LAB.SOP.00060 V5.0; Preparation of Biotin-8H4 Detection Ab Not Documented for 244A-002V  |
| Description          | Section 6.1.1 of LAB.SOP.00060 V5.0 states, "Laboratory personnel must complete Attachment A – Reagent Preparation Batch Record to document the preparation of reagents"  Analyst BR prepared Biotin-8H4 Detection Ab but did not record any part of its preparation. The identity of the reagent, the concentration, and the date that it was prepared were recorded directly on the vial.  |
| Impact               | It will be difficult to replicate the exact procedure followed by BR for biotin conjugation without any documentation. The lead scientist and PI could not determine whether BR performed a BCA test to get an exact concentration of the material or if he used the theoretical concentration.  |
| Root Cause           | Poor documentation by analyst  |
| Corrective<br>Action | MRM filled out as much of CRO.SOP.00241 Attachment A and B as possible and assigned a GLP number to the reagent. A BCA test was performed to verify the concentration of the conjugate but there was interference from a suspected additive in the diluent. The reagent will continue to be prepared in the assay using the concentration listed on the vial by BR. A deviation will be drafted. The assay will require re-optimization once biotin-8H4 reagent expires since the precise concentration of the current lot cannot be determined. |

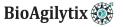
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## 8.0 EXCEPTIONS TO PROTOCOL OR METHOD

| Exception #          | 1 Planned   |
|----------------------|---|
| Description          | Step 16 of CRO.SOP.00294 details colorimetric development of the assay plate. It states that the plate should incubate until Std01 reaches ~1.8OD. This statement does not provide sufficient information to properly read the plate. The full statement should be that: the plate should incubate until Std01 reaches ~0.8OD when read at 605 nm prior to stopping. When stopped and read at 450 nm, this will correspond with approximately ~1.8OD. |
| Impact               | The method SOP and assay batch record will need to be updated but there was no impact on the integrity of the data.   |
| Root Cause           | Wavelength information for step 16 of assay batch record omitted while drafting method validation protocol.   |
| Corrective<br>Action | A memo (CRO.Validation.01092) with the full detail listed above was drafted on the same day that the error was discovered (24JUL2019 for Run01). The method SOP and assay batch record has been revised to clarify the wavelength information.  |

| Exception # | 2 Planned  |
|-------------|--|
| Description | The standard preparation in the method CRO.SOP.00294 v1.0 (step 11.5.1 and Attachment A assay batch record (step 5) has a top concentration of 5 ng/mL and is diluted in 2.5-fold increments. Near the end of optimization by the previous lead scientist, it was decided that the bottom of this range was too close to background and, moving forward, would be diluted in 1.8-fold increments. Also, the previous lead scientist was not performing the MRD on the standard curve but failed to clarify that information. |
| Impact      | The wider range and additional 8-fold dilution resulted in the lowest concentration being indistinguishable from background levels which led, in part, to Run01 failing to meet acceptance criteria.   |
| Root Cause  | Due to the sudden departure of the previous lead scientist, the current lead scientist was not aware of the decision to adjust the dynamic range until after the method SOP was made effective.  |

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| Exception #          | 2 Planned  |
|----------------------|--|
| Corrective<br>Action | Corrections to the calculations and final concentrations for preparing the curve were footnoted on the assay batch record for each run. The method SOP and assay batch record have been updated. |

| Exception #          | 3 Planned  |
|----------------------|--|
| Description          | The low validation sample demonstrated an inter-assay precision CV of 21.3% (see   |
|                      | Table 3). This exceeds the acceptance criteria in the method validation protocol which is 20%. The initial criteria are an estimate of expected precision and there is an expectation that this estimate may need to be adjusted once validation data is available. All 6 values for the low validation sample inter-assay precision still fall within the newly established QC range for the LQC (see <u>Table 4</u> ). |
| Impact               | The method SOP will need to be updated. There is no impact to the data but loosening this acceptance criterion.  |
| Root Cause           | The observed %CV in the inter-assay precision data suggests that the amount of variability that should be expected for the assay is greater than 20%   |
| Corrective<br>Action | The acceptance criteria for QCs and samples have been updated in the method SOP.   |

| Exception # | 4 Planned   |
|-------------|---|
| Description | The dilutions within the limits of quantitation in the parallelism experiment did not meet the %RE requirement listed in the method validation protocol (CRO.Validation.01065 v1.0, section 7.6). However, upon further research the PI found a suggestion in an industry white paper, "Points to Consider Document: Scientific and Regulatory Considerations for the Analytical Validation of Assays Used in the Qualification of Biomarkers in Biological Matrices." This paper recommends that "The mean of the dilution-corrected |

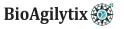
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| Exception #          | 4 Planned  |
|----------------------|--|
|                      | concentration range for all the dilutions that fall within the assay range must have a CV less than or equal to the CV set for the biomarker assay." Based on this new information, the CV criteria for the assay (20% for QCs and samples per the method SOP) will be raised to 30%. This wider criterion will now cover the range of CV's observed in all parallelism experiments (see |
| Impact               | Some sensitivity in the assay will be lost but, based on the validation data for both inter-assay precision and parallelism, this is the most realistic expectation for the assay's variability.   |
| Root Cause           | The assay has greater variability than initially estimated.  |
| Corrective<br>Action | This new criterion was applied to the parallelism in place of the %RE criteria listed in the method validation protocol. The acceptance criteria for QCs and samples have been updated in the method SOP.  |

| Exception # | 5 Unplanned   |
|-------------|---|
| Description | In Run06, short-term stability was performed using validation samples due to a misinterpretation of the method validation protocol. The method validation protocol states that this assessment should be performed using "one preparation of pooled rat CSF." The validation samples are 3 different pools of rat CSF at three different concentrations of prion protein. Short-term stability was to be performed with a single pool to preserve reagent volume. The assessment in Run06 did not meet acceptance criteria for any of the 3 parameters and, although the data is presented in the report, only Run07's data will be used to establish short-term stability. |
| Impact      | No impact on study as the exact specifications of the method validation protocol (CRO.Validation.01065 v1.0, section 7.7) were followed in Run07.   |
| Root Cause  | Method validation protocol wording was slightly unclear.  |

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| Exception #          | 5 Unplanned   |
|----------------------|---|
| Corrective<br>Action | Performed short-term stability as intended with a single lot of rat CSF at a single concentration in Run07 for a shorter period of time at 4°C and RT and for fewer freeze/thaw cycles. Exact times are listed in Section 12.6. |

| Exception #          | 6 Unplanned   |
|----------------------|---|
| Description          | In method validation protocol, CRO. Validation.01065, the acceptance criteria for all stability parameters does not specify how many replicates of each parameter must meet acceptance criteria individually to consider the parameter acceptable. In Run07, three replicates of a single level were analyzed for each short term stability parameter. Having at least 2 of 3 replicates meet acceptance criteria will be considered sufficient evidence that samples are stable at the condition for which they were tested. |
| Impact               | Using this added criterion, the room temperature stability testing from Run07 changes from failing to passing.  |
| Root Cause           | Method validation protocol wording was unclear.   |
| Corrective<br>Action | No correction to the validation protocol will be made as there is no further scheduled stability testing.   |

## 9.0 PERSONNEL

| Study Role             | Name              | Position Title  |
|------------------------|-------------------|---|
| Test Site Management   | Linda Robbie, PhD | Vice President & General<br>Manager, Boston<br>Operations |
| Principal Investigator | Jiewu Liu, PhD    | Associate Director,<br>Scientific Services                |
| Lead Scientist         | Matthew Moore     | Scientist II  |

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| Study Role             | Name                                       | Position Title             |
|------------------------|--|----------------------------|
| Project Manager        | Gregory Lake Project Management Specialist |                            |
| Analyst                | Joseph Moy                                 | Scientist I                |
| <b>Quality Control</b> | Ryan Churchill                             | Quality Control Specialist |
| Quality Assurance      | Mayada Guzman                              | QA Manager                 |

## 10.0 MATERIALS AND EQUIPMENT

## 10.1 Reagents

| Name                                   | Manufacturer    | Catalog#   |
|--|-----------------|------------|
| Anti PrP Ab EP1802Y (capture antibody) | Abcam           | ab52604    |
| Anti-PrP Ab 8H4 (detection antibody)   | Abcam           | ab61409    |
| Biotin-8H4 Detection<br>Antibody       | CBI             | GLP030419P |
| Recombinant Rat Prion<br>Protein       | Broad Institute | PrP50      |

## 10.2 Critical Equipment

| Description      | Manufacturer       | Model Number        | CBI ID  |
|------------------|--------------------|---------------------|---------|
| SpectraMax Plate | Molecular Devices, | SpectraMax Plus 384 | CB-1301 |
| Reader           | Inc.               | SpectraMax M5e      | CB-1303 |

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### 10.3 Computerized Systems

| Name                | Developer               | Software Version | Purpose                               |
|---------------------|-------------------------|------------------|---------------------------------------|
| Orchard Harvest LIS | Orchard Harvest         | 11.0             | Sample<br>Management                  |
| SoftMax Pro GxP     | Molecular Devices, Inc. | GxP v5.4.4       | Analyzer controller and data analysis |
| Excel               | Microsoft               | Version 1902     | Data Analysis                         |

### 11.0 EXPERIMENTAL DESIGN

#### 11.1 Method

CRO.SOP.00294: This is a sandwich ELISA method, consisting of a capture antibody against prion protein coated onto microtiter plates and a detection antibody conjugated to biotin. Streptavidin-HRP and TMB substrate produce a colorimetric readout that is quantitated in a plate reader.

## 11.2 Sample Description and Processing

Sample Description: Rat cerebrospinal fluid

#### 11.3 Statistical Methods

### Equation 1: Calculation of Average Value

Average = (Sum of values / number of values)

## Equation 2: Calculation of Standard Deviation (SD)

$$SD = \sqrt{\frac{Sum \ of \ (observed - average)^2}{n-1}}$$

## Equation 3: Calculation of % Relative Error (%RE)

% RE = (Observed – Theoretical) / Theoretical x 100

## Equation 4: Calculation of % Coefficient of Variation (CV)

$$%$$
 CV = (SD / Average) x 100

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### 12.0 RESULTS

A summary of the runs performed in this validation can be found in <u>Table 1</u>.

## 12.1 Intra-Assay Precision

To determine the intra-assay precision of the assay, six duplicates of each validation sample were assayed in a single analytical run. The target for intra-assay precision was  $%CV \le 20\%$ . These criteria were met with a maximum CV of 5.12%. See Table 2.

## 12.2 Inter-Assay Precision

To determine inter-assay precision, validation samples were assayed in seven independent analytical runs performed over 90 days by two different on operators on two different plate readers. The target for inter-assay precision was %CV  $\leq$  20%. The %CV criteria were met in the high and mid validation samples with a %CV of 12.4% and 16.6, respectively. The %CV of the low validation sample was calculated to be 21.3%. Acceptance criteria pertaining to %CV of QCs and samples will be raised accordingly as noted in Exception 3. See

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Table 3.

## 12.3 Establishment of QC Range (Accuracy)

At the conclusion of the validation, the average back-calculated result for each validation sample was calculated. The acceptable range for each QC moving forward will be  $\pm$  3 standard deviations. The newly established ranges were retroactively applied to the interassay precision results. All data points fell within the acceptable range. See <u>Table 4</u>.

## 12.4 Establishment of Limits of Quantitation

To establish the limits of quantitation, the inter-assay %CV and %RE was calculated for each standard. The lowest and highest nominal standard concentrations that demonstrated %CV  $\leq$  25% and RE  $\leq$  25% were selected as the LLOQ and ULOQ, respectively. The LLOQ, 1.18 ng/mL (Std07), met the criteria with a %CV of 9.62% and %RE of 3.35%. The ULOQ, 40 ng/mL (Std01), met the criteria with a %CV of 0.27% and %RE of 0.181%. See

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Table 5. This table also includes inter-assay CV calculated from mean OD at the request of the client.

#### 12.5 Parallelism

To establish parallelism, CSF samples from 3 individual rats stabilized with 0.03% CHAPS were serially diluted in assay buffer in singlicate starting with 1:8 continuing to 1:256 in 2-fold steps. The measurements falling within the limits of quantitation were corrected for their dilution. The back-calculated concentration was compared to the least dilute data point by calculating the %RE. There was a preparation error in the parallelism test performed in Run05 that resulted in an additional 8-fold dilution of all parallelism samples. Additional parallelism tests were performed in Run06 and Run07. The initial criterion for parallelism was that all in-range back calculated results would have a %RE  $\leq$  25%. This was not met for any parallelism sample in Run06 or Run07. The parallelism was assessed with an alternative strategy by calculating the CV of all back-calculated concentrations within the limits of quantitation and comparing that to the CV acceptance criteria for QCs and controls in the assay (see Exception 4 for details). The observed CVs in Runs 05-07 were 26.2%, 16.9%, and 27.3%, respectively. The CVs are considered to be acceptable and in line with the inter-assay precision. See

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Table 6.

#### 12.6 **Stability**

To determine short-term stability at 4°C and RT, one preparation of pooled rat CSF with 0.03% CHAPS that had been aliquoted and stored at -80°C was subjected to the conditions described below and analyzed in the assay along with freshly thawed aliquots for reference. In order to meet acceptance criteria, the %RE of stability samples must be  $\leq 20\%$  when compared to the reference result. Initially, validation samples were used for this experiment. They were stored at 4°C and RT overnight for 20 hr 29 min and 20 hr 44 min, respectively. The stressed samples did not meet the %RE acceptance criteria at these times. The maximum %RE for 4°C was -43.6% and -59.3% for RT. Results suggested that the samples should be stressed for less time, so the experiment was repeated. The repeat of stability in Run07 used a single lot of rat CSF at one concentration, which is more in line with how the validation protocol should have been interpreted (see Exception 5). Three aliquots of the single rat CSF lot each were stored at 4°C and RT for 8 hr 37 min. All aliquots stored at 4°C met acceptance criteria with a maximum %RE of 6.7%. 2 of 3 aliquots stored at RT met acceptance criteria with a maximum passing %RE of -6.7%. The third aliquot at RT had a %RE of -29.4%. Two thirds of the aliquots meeting acceptance criteria will be considered sufficient to establish RT stability (see Exception 6). To determine the effect of continued freezing and thawing, samples that had been aliquoted and stored at -80°C were thawed for at least 30 minutes at RT and then overnight at -80°C. This was initially performed with validation samples for 5 cycles which were then thawed and analyzed (no additional aliquots to represent cycles 1 and 3 were stressed due to sample volume constraints). The validation samples did not meet acceptance criteria at the total of 6 cycles with a maximum %RE of -20.8%. As in 4°C and RT stability, Run07 used a single lot of rat CSF at one concentration. Three aliquots of the single rat CSF lot were subjected a total of 2 freeze/thaw cycles. Acceptance criteria was met with a maximum %RE of 6.7% See

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Table 7 for all stressed and reference responses for 4°C, RT, and freeze thaw stability.

### 13.0 DATA ARCHIVING

Study documentation is archived per SOP QAU.Policy & Procedure.00017, Archiving of Laboratory and Study Materials. Documentation is initially archived on-site at Cambridge Biomedical facility, and subsequently transferred to long-term archival to an off-site archiving facility managed by a qualified vendor.

Electronic records are archived according to SOP QAU.Policy & Procedure.00061, Storage, Organization, and Archiving of Electronic Study Records. Electronic records are archived internally by Cambridge Biomedical on a local server with cloud-based remote data back-up, and remotely for long-term archiving in a cloud-based system with replication.

### 14.0 CONCLUSIONS

Criteria for intra-assay precision and establishment of limits of quantification were met as written in the method validation protocol. Inter-assay precision and parallelism data both suggest that a more reasonable expectation of variability in the assay across dilutions is a %CV of 30%. The acceptable %CV for QCs and samples will be updated to 30% before starting sample analysis. Also, the parallelism results are considered acceptable for the MRD of 8-fold, although the 8-fold diluted samples tend to give lower results compared to 16-fold and above diluted samples. The limits of quantitation for the assay will be Standard01 to Standard07 (40 ng/mL to 1.18 ng/mL). As expected of a protein that is so susceptible to problems from perturbation, the limits of short-term stability had to be slightly less to maintain assay integrity. Following the changes listed above to the method SOP, the assay described in CRO.SOP.00294 is considered suitable for the purpose of measuring prion protein in rat CSF.

#### 15.0 DOCUMENT REVISION HISTORY

| Amendment Number<br>(Document<br>Number/Version)  | Document Section<br>Amended | Change Made    | Rationale for the Change |
|---|-----------------------------|----------------|--------------------------|
| Original<br>(CRO.Validation.01091<br>Version 1.0) | Not applicable              | Not Applicable | New Document.            |

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## 16.0 RESULT TABLES

Table 1:Run Summary

|      | Run Summary |           |  |     |         |  |  |
|------|-------------|-----------|--|-----|---------|--|--|
| Run# | Plate#      | Date      | Purpose Analyst Instru   |     |         |  |  |
| 1    | 1           | 24-Jul-19 | Intra-Assay Precision, Inter-Assay Precision   | MRM | CB-1301 |  |  |
| 1b   | 1           | 06-Sep-19 | Inter-Assay Precision  | MRM | CB-1301 |  |  |
| 2    | 1           | 10-Sep-19 | Inter-Assay Precision  | MRM | CB-1303 |  |  |
| 3    | 1           | 12-Sep-19 | Intra-Assay Precision, Inter-Assay Precision   | MRM | CB-1301 |  |  |
| 4    | 1           | 20-Sep-19 | Inter-Assay Precision  | JM  | CB-1303 |  |  |
| 5    | 1           | 21-Sep-19 | Inter-Assay Precision, Parallelism   | JM  | CB-1303 |  |  |
| 6    | 1           | 26-Sep-19 | Inter-Assay Precision, Short Term<br>Stability, Parallelism Repeated                           | MRM | CB-1303 |  |  |
| 7    | 1           | 22-Oct-19 | Inter-Assay Precision, Short Term<br>Stability Repeated, Parallelism<br>Additional Individuals | JMM | CB-1303 |  |  |

Table 2: Intra-Assay Precision

| Intra-Assay Precision (Run03) |              |              |              |  |  |  |  |
|-------------------------------|--------------|--------------|--------------|--|--|--|--|
| Run                           | VS-H (ng/mL) | VS-M (ng/mL) | VS-L (ng/mL) |  |  |  |  |
|                               | 23.5         | 9.76         | 6.05         |  |  |  |  |
| 3                             | 24.3         | 10.5         | 6.25         |  |  |  |  |
| 3                             | 25.4         | 11.0         | 5.89         |  |  |  |  |
|                               | 24.4         | 10.3         | 6.37         |  |  |  |  |
| SD                            | 0.782        | 0.532        | 0.210        |  |  |  |  |
| Mean                          | 24.4         | 10.4         | 6.14         |  |  |  |  |
| CV                            | 3.20         | 5.12         | 3.42         |  |  |  |  |

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Table 3: Inter-Assay Precision

|      | Inter-Assay Precision (Run1b-06) |              |              |  |  |  |  |
|------|----------------------------------|--------------|--------------|--|--|--|--|
| Run  | VS-H (ng/mL)                     | VS-M (ng/mL) | VS-L (ng/mL) |  |  |  |  |
| 1b   | 19.8                             | 7.37         | 4.12         |  |  |  |  |
| 2    | 23.4                             | 8.53         | 4.81         |  |  |  |  |
| 3*   | 24.4                             | 10.4         | 6.14         |  |  |  |  |
| 4    | 18.5                             | 6.70         | 3.49         |  |  |  |  |
| 5    | 19.6                             | 7.50         | 3.79         |  |  |  |  |
| 6    | 18.4                             | 7.35         | 4.31         |  |  |  |  |
| SD   | 2.57                             | 1.32         | 0.95         |  |  |  |  |
| Mean | 20.7                             | 8.0          | 4.4          |  |  |  |  |
| CV   | 12.4                             | 16.6         | 21.3         |  |  |  |  |

<sup>\*</sup>Results reported for inter-assay precision of Run03 are the average of the 4 intra-assay precision determinations.

Table 4: Newly Established QC Ranges

| Newly Established QC Ranges (Accuracy) |      |      |      |  |  |
|--|------|------|------|--|--|
| ID Nominal Conc. (ng/mL) -3 SD +3 SD   |      |      |      |  |  |
| HQC (VS-H)                             | 20.7 | 13.0 | 28.4 |  |  |
| MQC (VS-M)                             | 7.97 | 4.00 | 11.9 |  |  |
| LQC (VS-L)                             | 4.44 | 1.61 | 7.28 |  |  |

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Table 5: Limits of Quantitation

|                 | Calibration Curve (ng/mL) |       |        |        |        |        |       |       |
|-----------------|---------------------------|-------|--------|--------|--------|--------|-------|-------|
| Nominal<br>Conc | 40.0                      | 22.2  | 12.3   | 6.86   | 3.81   | 2.12   | 1.18  | 0     |
| Run             | Std01                     | Std02 | Std03  | Std04  | Std05  | Std06  | Std07 | Std08 |
| 1b              | 40.0                      | 22.2  | Masked | 6.79   | 3.82   | 2.18   | 1.24  | N/A   |
| 2               | 40.0                      | 22.3  | 12.2   | 6.91   | 3.70   | 2.16   | 1.42  | N/A   |
| 3               | 40.1                      | 21.9  | 12.7   | 6.80   | 3.58   | 2.15   | 1.24  | N/A   |
| 4               | 40.3                      | 21.4  | 13.0   | 6.93   | 3.65   | 1.95   | 1.05  | N/A   |
| 5               | 40.1                      | 21.9  | 12.6   | 6.81   | 3.66   | 2.12   | 1.20  | N/A   |
| 6               | 40.0                      | 22.3  | 12.3   | 6.79   | 3.77   | 2.26   | 1.27  | N/A   |
| 7               | 40.1                      | 21.7  | 12.8   | 6.83   | 3.67   | 2.02   | 1.12  | N/A   |
| SD              | 0.107                     | 0.319 | 0.320  | 0.0606 | 0.0796 | 0.103  | 0.117 | N/A   |
| Mean            | 40.1                      | 22.0  | 12.6   | 6.84   | 3.69   | 2.12   | 1.22  | N/A   |
| CV              | 0.27                      | 1.45  | 2.54   | 0.89   | 2.16   | 4.88   | 9.62  | N/A   |
| RE              | 0.181                     | -1.04 | 2.50   | -0.339 | -3.12  | -0.040 | 3.35  | N/A   |

LLOQ = 1.18 ng/mL, ULOQ = 40.0 ng/mL

|      | Calibration Curve (Mean OD - Background) |       |        |       |       |       |       |       |
|------|--|-------|--------|-------|-------|-------|-------|-------|
| Run  | Std01                                    | Std02 | Std03  | Std04 | Std05 | Std06 | Std07 | Std08 |
| 1b   | 2.054                                    | 1.270 | Masked | 0.416 | 0.243 | 0.152 | 0.103 | 0.044 |
| 2    | 2.084                                    | 1.367 | 0.796  | 0.452 | 0.242 | 0.147 | 0.106 | 0.034 |
| 3    | 2.254                                    | 1.410 | 0.864  | 0.479 | 0.262 | 0.166 | 0.108 | 0.039 |
| 4    | 2.745                                    | 1.756 | 1.183  | 0.693 | 0.395 | 0.231 | 0.140 | 0.048 |
| 5    | 2.697                                    | 1.744 | 1.086  | 0.604 | 0.329 | 0.195 | 0.118 | 0.035 |
| 6    | 1.883                                    | 1.178 | 0.685  | 0.390 | 0.226 | 0.148 | 0.100 | 0.042 |
| 7    | 2.248                                    | 1.421 | 0.909  | 0.513 | 0.287 | 0.165 | 0.099 | 0.029 |
| SD   | 0.326                                    | 0.222 | 0.185  | 0.108 | 0.060 | 0.031 | 0.014 | 0.007 |
| Mean | 2.28                                     | 1.45  | 0.921  | 0.507 | 0.283 | 0.172 | 0.111 | 0.039 |
| CV   | 14.3                                     | 15.3  | 20.1   | 21.3  | 21.2  | 17.9  | 13.1  | 16.9  |

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Table 6: Parallelism

| Parallelism (Run05)     |       |                         |      |  |  |
|-------------------------|-------|-------------------------|------|--|--|
| Total Dilution<br>Fold* | OD    | Adjusted Result (ng/mL) | %RE  |  |  |
| 64                      | 0.187 | 16.2                    | N/A  |  |  |
| 128                     | 0.140 | 23.5                    | 45.4 |  |  |
| 256                     | 0.070 |                         |      |  |  |
| 512                     | 0.047 |                         |      |  |  |
| 1028                    | 0.054 |                         |      |  |  |
| 2056                    | 0.038 |                         |      |  |  |
| Run05 LLOQ              | 0.118 |                         |      |  |  |
| Run05 ULOQ              | 2.697 |                         |      |  |  |
| CV of results withi     | n LOQ | 26.2                    |      |  |  |

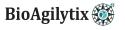
\*Least dilute parallelism sample on the plate had a total dilution of 64-fold instead of 8-fold due to dilution scheme on Run05 platemap in addition to 8-fold MRD. Parallelism repeated in Run06. Additional parallelism performed in Run07

| Parallelism (Run06)    |       |                         |      |  |  |
|------------------------|-------|-------------------------|------|--|--|
| Total Dilution<br>Fold | OD    | Adjusted Result (ng/mL) | %RE  |  |  |
| 8                      | 0.567 | 80.5                    | N/A  |  |  |
| 16                     | 0.399 | 111                     | 38.4 |  |  |
| 32                     | 0.212 | 112                     | 38.6 |  |  |
| 64                     | 0.131 | 122                     | 51.7 |  |  |
| 128                    | 0.093 |                         |      |  |  |
| 256                    | 0.057 |                         |      |  |  |
| Run06 LLOQ             | 0.100 |                         |      |  |  |
| Run06 ULOQ             | 1.883 |                         | _    |  |  |
| CV of results withi    | n LOQ | 16.9                    |      |  |  |

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| Parallelism (Run07)    |       |                         |      |
|------------------------|-------|-------------------------|------|
| Total Dilution<br>Fold | OD    | Adjusted Result (ng/mL) | %RE  |
| 8                      | 0.148 | 14.2                    | N/A  |
| 16                     | 0.113 | 21.0                    | 47.9 |
| 32                     | 0.089 |                         |      |
| 64                     | 0.056 |                         |      |
| 128                    | 0.080 |                         |      |
| 256                    | 0.069 |                         |      |
| Run07 LLOQ             | 0.099 |                         |      |
| Run07 ULOQ             | 2.248 |                         |      |
| CV of results withi    | n LOQ | 27.3                    |      |



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## Table 7: Short-Term Stability

| Freshly Thawed (Nominal Values) Run06 |                |      |  |
|---------------------------------------|----------------|------|--|
| Validation Sample ID                  | Result (ng/mL) | CV   |  |
| VS-H                                  | 18.44          | 18.4 |  |
| VS-M                                  | 7.351          | 7.4  |  |
| VS-L                                  | 4.31           | 4.3  |  |

| Freshly Thawed (Nominal Values) Run07 |                |     |  |
|---------------------------------------|----------------|-----|--|
| Validation Sample ID                  | Result (ng/mL) | CV  |  |
| Ref Stab01                            | 1.5            | 5.4 |  |
| Ref Stab02                            | 1.7            | 8.7 |  |
| Ref Stab03                            | 1.7            | 0.5 |  |

| Room Temp Stability Run06 |                |     |       |  |
|---------------------------|----------------|-----|-------|--|
| Validation Sample ID      | Result (ng/mL) | CV  | RE    |  |
| RT Stab H                 | 7.5            | 2.8 | -59.3 |  |
| RT Stab M                 | 4.8            | 3.8 | -34.7 |  |
| RT Stab L                 | 2.4            | 2.9 | -44.3 |  |

| Room Temp Stability Run07 |                |     |       |  |
|---------------------------|----------------|-----|-------|--|
| Validation Sample ID      | Result (ng/mL) | CV  | RE    |  |
| RT Stab01                 | 1.4            | 3   | -6.7  |  |
| RT Stab02                 | 1.6            | 0.5 | -5.9  |  |
| RT Stab03                 | 1.2            | 2.9 | -29.4 |  |

| 4°C Stability Run06  |                |     |       |  |
|----------------------|----------------|-----|-------|--|
| Validation Sample ID | Result (ng/mL) | CV  | RE    |  |
| 4C Stab H            | 10.4           | 0.7 | -43.6 |  |
| 4C Stab M            | 5.8            | 1.5 | -21.1 |  |
| 4C Stab L            | 3.5            | 6.4 | -18.8 |  |

| 4°C Stability Run07  |                |     |      |
|----------------------|----------------|-----|------|
| Validation Sample ID | Result (ng/mL) | CV  | RE   |
| 4C Stab01            | 1.6            | 2.2 | 6.7  |
| 4C Stab02            | 1.6            | 1.4 | -5.9 |
| 4C Stab03            | 1.7            | 1   | 0.0  |

| Freeze/Thaw Stability (5 cycles) Run06 |                |     |       |  |
|--|----------------|-----|-------|--|
| Validation Sample ID                   | Result (ng/mL) | CV  | RE    |  |
| FT 5 Stab H                            | 14.6           | 2.1 | -20.8 |  |
| FT 5 Stab M                            | 6.6            | 3.1 | -10.2 |  |
| FT 5 Stab L                            | 3.9            | 5.2 | -9.5  |  |

| Freeze/Thaw Stability (2 cycles) Run07 |                |     |     |
|--|----------------|-----|-----|
| Validation Sample ID                   | Result (ng/mL) | CV  | RE  |
| FT 2 Stab01                            | 1.6            | 3.5 | 6.7 |
| FT 2 Stab02                            | 1.7            | 0.5 | 0.0 |
| FT 2 Stab03                            | 1.7            | 4.6 | 0.0 |

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17.0 LIST OF ATTACHMENTS

17.1 Attachment A – Assay Performance Summary

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## 17.1 Attachment A – Assay Performance Summary

| Parameter              | Expectation  | Observed Performance  |  |
|------------------------|--|---|--|
| Intra-Assay Precision  | CV ≤ 20% at VS-H, VS-M, VS-L                                     | VS-H: 3.20%<br>VS-M: 5.12%<br>VS-L: 3.42%   |  |
| Inter-Assay Precision  | CV ≤ 30% at VS-H, VS-M, VS-L                                     | VS-H: 12.4%<br>VS-M: 16.6%<br>VS-L: 21.3%   |  |
| Accuracy               | ± 3 SD from average back calculated result of VS-H, VS-M, VS-L   | VS-H: 13.0 - 28.4 ng/mL<br>VS-M: 4.00 – 11.9 ng/mL<br>VS-L: 1.61 – 7.28 ng/mL               |  |
| Limits of Quantitation | Lowest and highest standards with CV and RE ≤ 25%                | Std01: CV = 0.27%, RE = 0.181%<br>Std07: CV = 9.62%, RE = 3.35%                             |  |
| Parallelism            | $CV \le 30\%$ for all concentrations within the LOQ              | Run05 (64 to 128-fold): 26.2%<br>Run06 (8 to 64-fold): 16.9%<br>Run07 (8 to 16-fold): 27.3% |  |
| 4°C Stability          | RE \le 20\% for at least 2/3 of aliquots per lot of CSF tested   | 100% of aliquots pass, CV of -5.9 to 6.7%   |  |
| RT Stability           | RE $\leq$ 20% for at least 2/3 of aliquots per lot of CSF tested | 67% of aliquots pass, CV of -6.7 to -5.9%. Failing aliquot CV = -29.4%                      |  |
| Freeze Thaw Stability  | RE ≤ 20% for at least 2/3 of aliquots per lot of CSF tested      | 100% of aliquots pass, CV of 0 to 6.7%  |  |

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