# Form **990**

# **Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

2018

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990 for instructions and the latest information.

A	For the 2	018 calendar year, or tax year beginning 01/01 , 2018, and e	ending	12/31	, 20 18				
В	Check if a	oplicable: C Name of organization PRION ALLIANCE INC	D Emplo	yer identification nu	ımber				
	Address c				46-0732060				
П	Name cha	N	om/suite	E Teleph	one number				
$\overline{\sqcap}$	Initial retur				617-714-8261				
П	Final return	0" 1 1710 ( )							
П	Amended			. <b>G</b> Gross	receipts \$	247,110			
$\overline{\Box}$	Application		H(a) Is this		a group return for subordinates? Yes No				
	принасто	40 Kinnaird St Apt 1, Cambridge, MA 02139			tes included?  Yes				
$\overline{}$	Tax-exem				uttach a list. (see instructions)				
J	Website:			oup exemptio	up exemption number ▶				
_		ganization: ✓ Corporation ☐ Trust ☐ Association ☐ Other ► L Year of f			te of legal domicile:	MA			
_	art I	Summary	23	12   111   111					
		Briefly describe the organization's mission or most significant activities:	rion Alliance I	Inc aims to	catalyze the				
ø		development of a treatment or cure for human prion diseases by funding, organ				 )r			
auc		Continued on Schedule O, Statement 1)	nzing and pro	moung scie	Titilio rescaren. O	·ui			
Ĩ		Check this box ► ☐ if the organization discontinued its operations or dispose	sed of more th	 han 25% o	 If its net assets				
ŏ		lumber of voting members of the governing body (Part VI, line 1a)	oca or more ti	. 3		2			
<u>ھ</u>	1	lumber of independent voting members of the governing body (Part VI, line	 . 1h)	-		3			
es	1	otal number of individuals employed in calendar year 2018 (Part V, line 2a)		. 5		0			
ξ	1	otal number of individuals employed in calendar year 2010 (raft v, line 2a)		. 6					
Activities & Governance	1	otal unrelated business revenue from Part VIII, column (C), line 12		. 7a		3			
1				. 7a	_	0			
	D I	let unrelated business taxable income from Form 990-1, line 38		r Year	Current Ye	oar			
		Contributions and grants (Part VIII, line 1h)	- 110						
Revenue	1	Program service revenue (Part VIII, line 2g)	•	101,500		247,110			
	1	nvestment income (Part VIII, column (A), lines 3, 4, and 7d)	(		0				
Be	1		(		0				
	1	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		101 500		0			
		otal revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12		101,500		247,110			
	1	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	128,546	143,972					
	1	Renefits paid to or for members (Part IX, column (A), line 4)		(		<u>0</u>			
Expenses	1	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10			0				
ë	1	Professional fundraising fees (Part IX, column (A), line 11e)		(	0 0				
쫎	1	· · · · · · · · · · · · · · · · · · ·	0		-				
	1	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	•	(	-	0			
	1	otal expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	•	128,546		143,972			
		Revenue less expenses. Subtract line 18 from line 12		-27,046 of Current Yea	_	103,138			
Net Assets or Fund Balances	00 7	Catal accepts (Part V Car CO)	Beginning 0		+				
Sse	20 T	otal assets (Part X, line 16)		74,613		177,751			
let A	21 T	otal liabilities (Part X, line 26)	•	(		0			
		let assets or fund balances. Subtract line 21 from line 20		74,613	3	177,751			
	art II	Signature Block							
		es of perjury, I declare that I have examined this return, including accompanying schedules and and complete. Declaration of preparer (other than officer) is based on all information of which pre			f my knowledge and	belief, it is			
_	1			1					
Siç	n l	Signature of officer		Date					
He				Date					
пе	16	Eric Minikel, Treasurer							
		Type or print name and title  Print/Type preparer's name  Preparer's signature	Dato		PTIN				
Pa	id	Print/Type preparer's name Preparer's signature	Date	Check	: [ if				
Pr	eparer				nployed				
Us	e Only			Firm's EIN ▶					
N 4		Firm's address		Phone no.					
Ma	y the IRS	G discuss this return with the preparer shown above? (see instructions) .			<u> </u> Yes	i ∐ No			

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Part	
	Check if Schedule O contains a response or note to any line in this Part III
1	Briefly describe the organization's mission:
	Prion Alliance, Inc aims to catalyze the development of a treatment or cure for human prion diseases by funding, organizing and
	promoting scientific research. Our organization supports research directed at understanding prion disease biology, discovering
	and testing therapeutics, and developing novel lab and computational methodologies needed for furthering this research. Our
	(Continued on Schedule O, Statement 2)
2	Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?
	If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?
	If "Yes," describe these changes on Schedule O.
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others,
	the total expenses, and revenue, if any, for each program service reported.
4a	(Code:) (Expenses \$ 40,000 including grants of \$ 40,000 ) (Revenue \$ 0)
	We expanded the scope and enrollment of our clinical research study at Massachusetts General Hospital led by neurologist Dr.
	Steven Arnold. The study will now bring more than 40 healthy, pre-symptomatic prion protein gene mutation carriers and controls
	to Boston twice or more times to donate cerebrospinal fluid as well as blood, and undergo a variety of cognitive and laboratory
	tests. The goal of the study is to establish biomarkers that can eventually be used in clinical trials of preventive drugs for prion
	disease. For more details see http://www.prionalliance.org/2017/07/19/prion-alliance-sponsors-mgh-research-study/
4b	(Code:) (Expenses \$
	We have expanded and deepened our preclinical efficacy studies of antisense oligonucleotides against the prion protein gene as
	therapeutics for prion disease. A grant to the Broad Institute to support these studies helped to establish proof-of-concept efficacy
	data and kickstart development of a human drug candidate against prion disease.
	75
4c	(Code: ) (Expenses \$ 24,150 including grants of \$ 24,150 ) (Revenue \$ 0 )
	We launched an effort to develop and validate a cross-species prion protein quantification assay for use in humans as well as
	preclinical species of interest to support the advancement to the clinic of prion protein-lowering therapeutics for prion disease.
4d	Other program services (Describe in Schedule O.) See Schedule O, Statement 3
	(Expenses \$ 39,822 including grants of \$ 39,822 ) (Revenue \$ 0 )
4e	Total program service expenses ► 143,972

#### **Checklist of Required Schedules** Part IV No Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," 1 ~ 2 2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to 3 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) 4 4 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 5 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors 6 have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 6 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II . . . . 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," 8 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a 9 custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or 9 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V . . . 10 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, 11 VII, VIII, IX, or X as applicable. a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," 11a Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII . . . . . . . . . . . . 11b c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII . . . . . . . . . . . . d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 11e Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 11f Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete 12a 12a b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 12b Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . . 13 13 14a Did the organization maintain an office, employees, or agents outside of the United States? . . . . . . . . b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV. . . . . . 14b Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or 15 for any foreign organization? If "Yes," complete Schedule F, Parts II and IV . . . . . . . . . . . . . . . . . 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other 16 assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV. . . . . . . . . . . 16 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . . . 17 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on 18 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? 19 20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . . . . 20a **b** If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? ... Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or 21

domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II . . . . .

Part I	V Checklist of Required Schedules (continued)			
			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		>
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23		>
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a		>
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year			
_	to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		~
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		/
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II	26		/
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		<b>~</b>
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		~
	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		~
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct owner? If "Yes," complete Schedule L, Part IV	28c		~
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		~
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		~
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		~
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		~
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If</i> "Yes," <i>complete Schedule R, Part I</i>	33		~
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34		~
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		~
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 </i>	36		~
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		>
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	38	~	
Part		•		
	Check if Schedule O contains a response or note to any line in this Part V			
_			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	-		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	-		
С	reportable gaming (gambling) winnings to prize winners?	1c	~	

Part '	Statements Regarding Other IRS Filings and Tax Compliance (continued)					
					Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax					
	Statements, filed for the calendar year ending with or within the year covered by this return	2a	0			
b	If at least one is reported on line 2a, did the organization file all required federal employment	tax ret	urns? .	2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see inst	ruction	ns)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the yea	r? .		3a		~
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in So	chedul	eO	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or oth	ner autl	nority over,			
	a financial account in a foreign country (such as a bank account, securities account, or other finar	ncial ac	count)?	4a		>
b	If "Yes," enter the name of the foreign country: ▶					
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial					
	Was the organization a party to a prohibited tax shelter transaction at any time during the tax			5a		~
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter	r trans	action?	5b		~
	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,0		id did the			
_	organization solicit any contributions that were not tax deductible as charitable contributions			6a		~
b	If "Yes," did the organization include with every solicitation an express statement that such	COHIH	butions of	Ch		
7	gifts were not tax deductible?			6b		
7	Organizations that may receive deductible contributions under section 170(c).		f			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and and services provided to the payor?	partiy	tor goods	7a		~
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
	Did the organization sell, exchange, or otherwise dispose of tangible personal property			75		
С	required to file Form 8282?	IOI WII	icii it was	7c		~
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				-
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal b		contract?	7e		~
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit			7f		~
g	If the organization received a contribution of qualified intellectual property, did the organization file Form			7g		~
_	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization f		-	7h		~
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund m					
				8		
9	Sponsoring organizations maintaining donor advised funds.					
а	Did the sponsoring organization make any taxable distributions under section 4966?			9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related personal transfer of the sponsoring organization make a distribution to a donor, donor advisor, or related personal transfer of the sponsoring organization make a distribution to a donor, donor advisor, or related personal transfer or the sponsoring organization make a distribution to a donor, donor advisor, or related personal transfer or the sponsoring organization make a distribution to a donor advisor, or related personal transfer or the sponsoring organization make a distribution to a donor advisor, or related personal transfer or the sponsoring organization make a distribution to a donor advisor and transfer or the sponsoring organization make a distribution to a donor advisor and transfer or the sponsoring organization makes a distribution to a donor advisor and transfer or the sponsoring organization and transfer or the sponsoring or the sponsoring organization and transfer or the sponsoring	son?		9b		
10	Section 501(c)(7) organizations. Enter:					
	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities .	10b				
11	Section 501(c)(12) organizations. Enter:					
	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources					
40-	against amounts due or received from them.)	11b	10110	40-		
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu		n 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	Section 501(c)(29) qualified nonprofit health insurance issuers.  Is the organization licensed to issue qualified health plans in more than one state?			13a		
а	<b>Note.</b> See the instructions for additional information the organization must report on Schedul			ısa		
h	Enter the amount of reserves the organization is required to maintain by the states in which	<del>e</del> 0.				
	the organization is licensed to issue qualified health plans	13b				
	Enter the amount of reserves on hand	13c				
	Did the organization receive any payments for indoor tanning services during the tax year?	-		14a		~
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in S			14b		-
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in					
	excess parachute payment(s) during the year?			15		~
	If "Yes," see instructions and file Form 4720, Schedule N.	-				
16	Is the organization an educational institution subject to the section 4968 excise tax on net investigation.	estmer	t income?	16		~
	If "Yes," complete Form 4720, Schedule O.					

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Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a Part VI response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Section A. Governing Body and Management No 1a Enter the number of voting members of the governing body at the end of the tax year . . . 3 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. Enter the number of voting members included in line 1a, above, who are independent 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with 2 V Did the organization delegate control over management duties customarily performed by or under the direct 3 3 supervision of officers, directors, or trustees, or key employees to a management company or other person? 4 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 5 Did the organization become aware during the year of a significant diversion of the organization's assets? . 5 6 6 Did the organization have members, stockholders, or other persons who had the power to elect or appoint 7a Are any governance decisions of the organization reserved to (or subject to approval by) members, 7b R Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8a 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . . Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) No 10a Did the organization have local chapters, branches, or affiliates? 10a **b** If "Yes," did the organization have written policies and procedures governing the activities of such chapters. affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a **b** Describe in Schedule O the process, if any, used by the organization to review this Form 990. **12a** Did the organization have a written conflict of interest policy? If "No," go to line 13 12a Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," 12c 13 13 Did the organization have a written document retention and destruction policy? . . . . . . . . 14 14 Did the process for determining compensation of the following persons include a review and approval by 15 independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? The organization's CEO, Executive Director, or top management official . . . . . . . . . . . . . . . 15a 15b If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement 16a ~ b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the Section C. Disclosure List the states with which a copy of this Form 990 is required to be filed ► None 17 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A if applicable), 990, and 990-T (Section 501(c) 18 (3)s only) available for public inspection. Indicate how you made these available. Check all that apply. Own website ☐ Another's website ☐ Upon request Other (explain in Schedule O) Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and 19 financial statements available to the public during the tax year. 20 State the name, address, and telephone number of the person who possesses the organization's books and records ▶

Eric Minikel. (617)714-8261

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# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . . . . . . . . . . . . . .

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Charly this have if weither the automication your convenient of automication across

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization no	r any relate	d orga	aniz	atio	n c	ompe	nsa	ited any curren	t officer, directo	r, or trustee.
				((	<b>C)</b>		C	7		
(A)	(B)			Pos				(D)	(E)	(F)
Name and Title	Average					e than o is both		Reportable	Reportable	Estimated
ramo ana mio	hours per	office	r and	s pe d a d	rson irect	or/trust	tee)	compensation	compensation from	amount of
	week (list any				_	_		from	related	other
	hours for related	r di	nstii	Officer	ey	a digh	Former	the organization	organizations (W-2/1099-MISC)	compensation from the
	organizations	rect	utic (	er	em	est	ਦ	(W-2/1099-MISC)	(00-2/1099-101130)	organization
	below dotted	al tr	nal	×	Key employee	e con		(		and related
	line)	Individual trustee or director	tru		ee	pe				organizations
		, w	Institutional trustee			Highest compensated employee				
	4					ed				
	•		,							
Eric Minikel	5									
Treasurer	0			~				0	0	0
Sonia Vallabh	5									
President	0			~				0	0	0
Greta Beekhuis	1									
Co-director	0			~				0	0	0
		1								

Part	VII Section A. Officers, Directors, Trus	tees, Key E	mploy	/ees			lighe	st C	ompensated E	mployees (con	tinued)	
	<b>(A)</b> Name and title	(B) Average hours per	Average hours per (do not check box, unless per officer and a do					n an	(D)  Reportable compensation	(E) Reportable compensation from	Estir m amo	<b>F)</b> nated unt of
		week (list any hours for related organizations below dotted line)		Institutional trustee	Officer	Key employee	Highest compensated employee	Former	from the organization (W-2/1099-MISC)	related organizations (W-2/1099-MISC	compe ) fror organ and r	her ensation in the ization elated zations
										.0.		
									9			
									2			
							1					
					×							
				Z								
		-										
		<u></u>										
1b	Sub-total				•		•	<b>&gt;</b>	0		0	0
c d	Total from continuation sheets to Part Total (add lines 1b and 1c)	VII, Sectio	n A 	:				<b>&gt;</b>	0		0	0
2	Total number of individuals (including bu reportable compensation from the organ		d to th	ose	list	ted a	above	e) w	ho received m	ore than \$100,0	000 of	
3	Did the organization list any <b>former</b> of employee on line 1a? If "Yes," complete	ficer, direc							oloyee, or high	est compensa		Yes No
4	For any individual listed on line 1a, is the organization and related organizations individual	sum of regreater the	portal an \$1	ole (  50,	com	nper	nsatio	on a s,"	nd other comp	ensation from	the	
5	Did any person listed on line 1a receive of for services rendered to the organization	or accrue co	ompei	nsat	tion	fror	m any	/ un	related organiz		dual	V
Section	on B. Independent Contractors	•	•						•			
1	Complete this table for your five highest compensation from the organization. Repyear.											n's tax
	(A) Name and business add	Iress							<b>(B)</b> Description of s	ervices	(C) Compensa	ation
None												
	Total number of independent contractor	ore (includir	na hu	ıt n	ot I	limit	ed to	L th	nose listed abo	ove) who		

received more than \$100,000 of compensation from the organization ▶

1 01111 330 (201	0)
Part VIII	Statement of Revenue

		Check if Schedule O contain	s a res	ponse or note to	o any line in this	Paπ VIII		🗀
					<b>(A)</b> Total revenue	<b>(B)</b> Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512–514
nts nts	1a	Federated campaigns	1a	0				
Contributions, Gifts, Grants and Other Similar Amounts	b	Membership dues		0				
	С	Fundraising events		0				
iifts ar /	d	Related organizations		0				
s, G mil	е	Government grants (contributions		0				
ion r Si	f	All other contributions, gifts, grants		-				
but the		and similar amounts not included abov		247,110				
ntri d O	g	Noncash contributions included in lines	la–1f: \$	0				
Col	h	Total. Add lines 1a-1f		•	247,110			
ue				Business Code				
Program Service Revenue	2a							
Re	b							
/ice	С							
3er	d							
E S	е							
gra	f	All other program service reve			0	0	0	0
Pro	g	Total. Add lines 2a-2f		•	0			
	3	Investment income (includin	g divid	ends, interest,				
		and other similar amounts) .		🕨	0	0	0	0
	4	Income from investment of tax-ex	empt b	ond proceeds ►	0	0	0	0
	5	Royalties			0	0	0	0
		(i) R	eal	(ii) Personal				
	6a	Gross rents	0	0				
	b	Less: rental expenses	0					
	С	Rental income or (loss)	0	0				
	d	Net rental income or (loss) .		$\overline{}$	0	0	0	0
	7a	Gross amount from sales of (i) Sect	irities	(ii) Other				
		assets other than inventory	0	0				
	b	Less: cost or other basis	4					
	_	and sales expenses .	0	0				
	C	Gain or (loss)	0	0	0	•	•	
	d	Net gain or (loss)			0	0	0	0
Other Revenue		Gross income from fundraising events (not including \$ of contributions reported on line See Part IV, line 18	0 1c). · a	0				
		Net income or (loss) from fund		events . <b>&gt;</b>	0		0	0
	9a	Gross income from gaming act						
		See Part IV, line 19						
		Less: direct expenses						
		Net income or (loss) from gam		ivities <b>&gt;</b>	0	0	0	0
	iua	Gross sales of inventory, returns and allowances		_				
			· a					
		Less: cost of goods sold						
	C	Net income or (loss) from sale	S OI IIIV	1	0	0	0	0
	11a	Miscellaneous Revenue		Business Code				
	iia b							
	-							
	C d	All other revenue						
	e	<b>Total.</b> Add lines 11a–11d		<b></b>	0			
	12	Total revenue. See instruction			247,110	0	0	0
					277,110	0	0	·

# Part IX Statement of Functional Expenses Section 501(c)(3) and 501(c)(4) organizations must com-

Sectio	n 501(c)(3) and 501(c)(4) organizations must con	npiete ali columns. <i>F</i>	NI otner organization	is must complete co	olumn (A).
	Check if Schedule O contains a respon	se or note to any li	ne in this Part IX .		
	t include amounts reported on lines 6b, 7b, , and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	<b>(D)</b> Fundraising expenses
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	143,972	143,972		
2	Grants and other assistance to domestic individuals. See Part IV, line 22	0	0		
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	0	0		
4 5	Benefits paid to or for members Compensation of current officers, directors, trustees, and key employees	0	0	0	0
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0		0	0
7 8	Other salaries and wages	0	0	0	0
9	section 401(k) and 403(b) employer contributions) Other employee benefits	0	0	0	0
10 11	Payroll taxes	0	0	0	0
a b	Management	0	0	0	0
С	Accounting	0	0	0	0
d	Lobbying	0	0	0	0
e	Professional fundraising services. See Part IV, line 17 Investment management fees	0		0	0
f g	Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	0	0	0	0
12	Advertising and promotion	0	0	0	0
13	Office expenses	0	0	0	0
14	Information technology	0	0	0	0
15 16	Royalties	0	0	0	0
17	Travel	0	0	0	0
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19	Conferences, conventions, and meetings .	0	0	0	0
20	Interest	0	0	0	0
21 22	Payments to affiliates	0	0	0	0
23	Insurance	0	0	0	0
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a b					
С					
d	All albay and are				
е 25	All other expenses  Total functional expenses. Add lines 1 through 24e	0 143,972	0 143,972	0	0
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here   if following SOP 98-2 (ASC 958-720)	143,772	143,712	U	<u> </u>

## Part X Balance Sheet

		Check if Schedule O contains a response or note to any line in this Pa	rt X		
			<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash—non-interest-bearing	74,613	1	177,751
	2	Savings and temporary cash investments	0	2	0
	3	Pledges and grants receivable, net	0	3	0
	4	Accounts receivable, net	0	4	0
	5	Loans and other receivables from current and former officers, directors,			
		trustees, key employees, and highest compensated employees.			
		Complete Part II of Schedule L	0	5	0
s	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0	6	0
Assets	7	Notes and loans receivable, net	0	7	0
As	8	Inventories for sale or use	0	8	0
	9	Prepaid expenses and deferred charges	0	9	0
	10a	Land, buildings, and equipment: cost or			
		other basis. Complete Part VI of Schedule D 10a			
	b	Less: accumulated depreciation 10b	0	10c	
	11	Investments—publicly traded securities	0	11	0
	12	Investments—other securities. See Part IV, line 11	0	12	0
	13	Investments—program-related. See Part IV, line 11	0	13	0
	14	Intangible assets	0	14	0
	15	Other assets. See Part IV, line 11	0	15	0
	16	Total assets. Add lines 1 through 15 (must equal line 34)	74,613		177,751
	17	Accounts payable and accrued expenses	0	17 18	0
	18 19	Grants payable	0	19	0
	20	Tax-exempt bond liabilities	0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.	0	21	0
Ś	22	Loans and other payables to current and former officers, directors,	0		0
Liabilities		trustees, key employees, highest compensated employees, and			
Ē		disqualified persons. Complete Part II of Schedule L	0	22	0
Ë	23	Secured mortgages and notes payable to unrelated third parties	0	23	0
	24	Unsecured notes and loans payable to unrelated third parties	0	24	0
	25	Other liabilities (including federal income tax, payables to related third			
		parties, and other liabilities not included on lines 17–24). Complete Part X			
		of Schedule D	0	25	
	26	Total liabilities. Add lines 17 through 25	0	26	0
es		Organizations that follow SFAS 117 (ASC 958), check here ▶ ✓ and complete lines 27 through 29, and lines 33 and 34.			
n n	27	Unrestricted net assets	74,613	27	177,751
gag	28	Temporarily restricted net assets	0	28	0
B	29	Permanently restricted net assets	0	29	0
Net Assets or Fund Balances		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.			
ts (	30	Capital stock or trust principal, or current funds		30	
sse	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
Ă	32	Retained earnings, endowment, accumulated income, or other funds .		32	
Ne.	33	Total net assets or fund balances	74,613	33	177,751
	34	Total liabilities and net assets/fund balances	74,613	34	177,751
					F 000 (0040)

Form 990 (2018) Page **12** 

Part	t XI	Reconciliation of Net Assets			
		Check if Schedule O contains a response or note to any line in this Part XI .			
1	Tota	al revenue (must equal Part VIII, column (A), line 12)		24	7,110
2	Tota	al expenses (must equal Part IX, column (A), line 25)		143	3,972
3	Rev	renue less expenses. Subtract line 2 from line 1		103	3,138
4	Net	assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) 4		7/	4,613
5	Net	unrealized gains (losses) on investments			0
6	Don	nated services and use of facilities			0
7	Inve	estment expenses			0
8	Prio	or period adjustments			0
9	Oth	er changes in net assets or fund balances (explain in Schedule O)			0
10	Net	assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line			
	33,	column (B))		17	7,751
Part	XII	Financial Statements and Reporting			
		Check if Schedule O contains a response or note to any line in this Part XII	<u> </u>		
				Yes	No
1	Acc	ounting method used to prepare the Form 990: 🗹 Cash 🔲 Accrual 🔲 Other			
	If th	ne organization changed its method of accounting from a prior year or checked "Other," explain in			
	Sch	edule O.			
2a	Wer	re the organization's financial statements compiled or reviewed by an independent accountant?	2a		<b>'</b>
	If "Y	Yes," check a box below to indicate whether the financial statements for the year were compiled or			
	revi	ewed on a separate basis, consolidated basis, or both:			
	□s	Separate basis			
b	Wer	re the organization's financial statements audited by an independent accountant?	2b		~
	If "Y	Yes," check a box below to indicate whether the financial statements for the year were audited on a			
	sepa	arate basis, consolidated basis, or both:			
	□s	Separate basis			
С		es" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight		.	
	of th	ne audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
		e organization changed either its oversight process or selection process during the tax year, explain in			
	Sch	edule O.			
3a		a result of a federal award, was the organization required to undergo an audit or audits as set forth in		.	
		Single Audit Act and OMB Circular A-133?	3a	$\longrightarrow$	~
b		es," did the organization undergo the required audit or audits? If the organization did not undergo the		.	
	requ	uired audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		
			Form	n <b>990</b>	(2018)

### **SCHEDULE A** (Form 990 or 990-EZ)

## **Public Charity Status and Public Support**

OMB No. 1545-0047

2018

Open to Public

Department of the Treasury Internal Revenue Service

Name of the organization

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. ► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection **Employer identification number** 

	N ALLIANCE INC					46-07.		
Pa							ns.	
	organization is not a private found		,		-	•		
1	A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).							
2	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)							
3	☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the							
4	hospital's name, city, and stat	e:						
5	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)							
6	☐ A federal, state, or local gover	nment or govern	mental unit described	in <b>secti</b> o	on 170(b)	(1)(A)(v).		
7	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)							
8	☐ A community trust described		•	Part II.)				
9	☐ An agricultural research organ							
	or university or a non-land-grauniversity:					-		_
10	An organization that normally receipts from activities related							
	support from gross investmen	it income and un	related business taxa	ble incon	ne (less se	ection 511 tax) from	busine	esses
	acquired by the organization a				•	•		
11 12	<ul><li>☐ An organization organized and</li><li>☐ An organization organized and</li></ul>	•		-			m / Out	the purposes
12	of one or more publicly supp							
	Check the box in lines 12a thro							
а	☐ <b>Type I.</b> A supporting organ	nization operated	I, supervised, or contr	olled by	ts suppo	rted organization(s),	typical	lly by giving
	the supported organization							
	supporting organization. Y	ou must comple	ete Part IV, Sections	A and B	i			
b	☐ <b>Type II.</b> A supporting orga							
	control or management of				persons	that control or mana	age the	supported
	organization(s). You must						. 0	
С	Type III functionally integ its supported organization						ally inte	egrated with,
d	☐ Type III non-functionally							
	that is not functionally inte						d an at	ttentiveness
	requirement (see instruction		•		-			
е	☐ Check this box if the orgal						ı, Typ	oe III
	functionally integrated, or							
g	Enter the number of supported Provide the following information	organizations . In about the sunr	orted organization(s)					
	(i) Name of supported organization	(ii) EIN	(iii) Type of organization		rganization	(v) Amount of monetary	(vi)	Amount of
	C a state of the s	''	(described on lines 1–10	listed in you	ur governing	support (see	other	support (see
	•		above (see instructions))	docu	ment?	instructions)	in	structions)
				Yes	No			
(A)								
(B)								
(C)								
(D)								
(E)								
Tota	<u> </u>							

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Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (or fiscal year beginning in) ▶ (a) 2014 **(b)** 2015 (c) 2016 (d) 2017 **(e)** 2018 (f) Total grants, contributions, 1 membership fees received. (Do not include any "unusual grants.") . . . 101,500 19,166 40,378 55,405 247,110 463,559 2 revenues levied organization's benefit and either paid to or expended on its behalf . . . 0 0 0 0 0 The value of services or facilities furnished by a governmental unit to the organization without charge . . . . 0 0 0 Total. Add lines 1 through 3. . . . 4 19,166 40,378 55,405 101,500 247,110 463,559 5 The portion of total contributions by each person (other than governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . 223,601 Public support. Subtract line 5 from line 4 239,958 Section B. Total Support Calendar year (or fiscal year beginning in) ▶ (a) 2014 **(b)** 2015 (d) 2017 (e) 2018 (c) 2016 (f) Total 7 Amounts from line 4 . . . . . . 19,166 55,405 101,500 247,110 40,378 463,559 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources . . . . . . . . 0 0 0 9 Net income from unrelated business activities, whether or not the business is regularly carried on . . . . . 0 0 0 0 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . . . 0 0 0 0 0 **Total support.** Add lines 7 through 10 11 463,559 Gross receipts from related activities, etc. (see instructions) 12 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) 13 Section C. Computation of Public Support Percentage Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f) . . . . . 14 51.76 % Public support percentage from 2017 Schedule A, Part II, line 14 . . . . . . . . . . . . . . . . . 15 331/3% support test - 2018. If the organization did not check the box on line 13, and line 14 is 331/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . . . . . . . . . . . . . . 331/3% support test - 2017. If the organization did not check a box on line 13 or 16a, and line 15 is 331/3% or more, check 17a 10%-facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported 10%-facts-and-circumstances test - 2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly 

Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see

## Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.)

<u> </u>	in the organization rails to quality	under the te	SIS listed beit	ow, piease co	implete Fart	11.)	
	on A. Public Support		1		T		
	dar year (or fiscal year beginning in) ▶	<b>(a)</b> 2014	<b>(b)</b> 2015	(c) 2016	(d) 2017	<b>(e)</b> 2018	(f) Total
1	Gifts, grants, contributions, and membership fees						
2	received. (Do not include any "unusual grants.")  Gross receipts from admissions, merchandise						
2	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that are not an						
	unrelated trade or business under section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
-	furnished by a governmental unit to the				•		
	organization without charge						
6	<b>Total.</b> Add lines 1 through 5						
	Amounts included on lines 1, 2, and 3						
	received from disqualified persons .						
<b>L</b>	Amounts included on lines 2 and 3						
b	received from other than disqualified						
	persons that exceed the greater of \$5,000		4				
	or 1% of the amount on line 13 for the year						
	· ·						
	Add lines 7a and 7b						
8	<b>Public support.</b> (Subtract line 7c from line 6.)						
Cooti	line 6.)						
	on B. Total Support	( ) 0044	41.0045	( ) 0040	100017	( ) 0040	(O.T.)
	dar year (or fiscal year beginning in)	(a) 2014	<b>(b)</b> 2015	(c) 2016	<b>(d)</b> 2017	<b>(e)</b> 2018	(f) Total
9	Amounts from line 6						
10a	Gross income from interest, dividends,						
	payments received on securities loans, rents,						
	royalties, and income from similar sources .						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after June 30, 1975						
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether						
	or not the business is regularly carried on						
12	Other income. Do not include gain or						_
	loss from the sale of capital assets						
	(Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11,						
	and 12.)						
14	First five years. If the Form 990 is for the	ne organization	n's first, secon	d, third, fourth	, or fifth tax ye	ear as a sectio	n 501(c)(3)
	organization, check this box and stop he	re					▶ □
Secti	on C. Computation of Public Suppor	t Percentag	е				
15	Public support percentage for 2018 (line 8	3, column (f), c	livided by line	13, column (f))		15	%
16	Public support percentage from 2017 Sch					16	%
	on D. Computation of Investment In						
17	Investment income percentage for 2018 (			y line 13, colu	mn (f))	17	%
18	Investment income percentage from 2017		* *	-		18	%
19a	33 <sup>1</sup> / <sub>3</sub> % support tests—2018. If the organ						
	17 is not more than 331/3%, check this box						
b	33 <sup>1</sup> / <sub>3</sub> % support tests—2017. If the organiz		_	-		_	_
~	line 18 is not more than 33 <sup>1</sup> / <sub>3</sub> %, check this l						
20	Private foundation. If the organization di	_	=	-	· · · · · · · · ·	-	_

## Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

## Section A. All Supporting Organizations

Cu	on A. An Supporting Organizations			
			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.			
_		1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer	_		
Ju	(b) and (c) below.	3a		
h	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and	Ja		
b	satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B)			
	purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.	3с		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B)			
	purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action;			
	(iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a		
b	<b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .			
_		6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor.			
_	with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI.</b>	9b		
С	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit			
	from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.	9с		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section			
	4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated			
	supporting organizations)? If "Yes," answer 10b below.	10a		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)	10b		

Part	Supporting Organizations (continued)			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	11a		
b	A family member of a person described in (a) above?	11b		
	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI.</b>	11c		
Secti	on B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.	2		
Secti	on C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).	1		
Secti	on D. All Type III Supporting Organizations			
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
_	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.	3		
Secti	on E. Type III Functionally Integrated Supporting Organizations			
1 a b c	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see in the organization satisfied the Activities Test. Complete line 2 below.  The organization is the parent of each of its supported organizations. Complete line 3 below.  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see in Part VI).		struct	ions).
2	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify those supported organizations and explain</b> how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	2a		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. <i>Answer (a) and (b) below.</i>	20		
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or	2-		
h	trustees of each of the supported organizations? <i>Provide details in Part VI</i> .	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.	3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Org	jani	zations	
1 Check here if the organization satisfied the Integral Part Test as a qualifying instructions. All other Type III non-functionally integrated supporting organ			
Section A—Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or			
collection of gross income or for management, conservation, or			
maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Section B-Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see			
instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
<b>b</b> Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other			
factors (explain in detail in <b>Part VI</b> ):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035.	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		
Section C-Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1.	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3.	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to			
emergency temporary reduction (see instructions).	6		
7 Check here if the current year is the organization's first as a non-functionall instructions).	y int	tegrated Type III supporti	ng organization (see

Part	V Type III Non-Functionally Integrated 509(a)(3	) Supporting Organi	zations (continued)		
Sect	ion D—Distributions			Current Year	
1	Amounts paid to supported organizations to accomplish				
2	Amounts paid to perform activity that directly furthers exe organizations, in excess of income from activity		rted		
3	3 Administrative expenses paid to accomplish exempt purposes of supported organizations				
4	Amounts paid to acquire exempt-use assets	· · · · · · · · · · · · · · · · · · ·			
5	Qualified set-aside amounts (prior IRS approval required)				
6	Other distributions (describe in <b>Part VI</b> ). See instructions.				
7	<b>Total annual distributions.</b> Add lines 1 through 6.				
8	Distributions to attentive supported organizations to whic (provide details in <b>Part VI</b> ). See instructions.	h the organization is res	ponsive		
9	Distributable amount for 2018 from Section C, line 6				
10	Line 8 amount divided by line 9 amount				
	ion E—Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018	
1	Distributable amount for 2018 from Section C, line 6				
2	Underdistributions, if any, for years prior to 2018 (reasonable cause required—explain in <b>Part VI</b> ). See instructions.				
3	Excess distributions carryover, if any, to 2018				
а	From 2013				
b	From 2014				
С	From 2015				
d	From 2016				
ее	From 2017				
f	Total of lines 3a through e				
g	Applied to underdistributions of prior years				
h	Applied to 2018 distributable amount				
i	Carryover from 2013 not applied (see instructions)				
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.				
4	Distributions for 2018 from Section D, line 7:				
a	Applied to underdistributions of prior years				
b	Applied to 2018 distributable amount				
C	Remainder. Subtract lines 4a and 4b from 4.				
5	Remaining underdistributions for years prior to 2018, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in <b>Part VI</b> . See instructions.				
6	Remaining underdistributions for 2018. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in <b>Part VI.</b> See instructions.				
7	Excess distributions carryover to 2019. Add lines 3j and 4c.				
8	Breakdown of line 7:				
а	Excess from 2014				
b	Excess from 2015				
c	Excess from 2016				
d	Excess from 2017				
_	Excess from 2018				

Part VI	<b>Supplemental Information.</b> Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)
	<u> </u>
	<del>\langer</del>
	<del>_</del>

### SCHEDULE O (Form 990 or 990-EZ)

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

OMB No. 1545-0047

Employer identification number

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

PRION ALLIANCE INC	46-0732060
Form 990, Part VI, Section A, Line 2 - Sonia Vallabh and Eric Minikel are married.	
Form 990, Part VI, Section A, Line 8b - There are no committees other than the governing body.	
Form 990, Part VI, Section B, Line 11b - Eric Minikel (Treasurer) filled out the form on form990.org and	then circulated a draft via email to
the other two directors for their review.	
Form 990, Part VI, Section C, Line 19 - This is the first year we have had to file a full 990 form. After it is	complete it will be posted online at
prionalliance.org.	<del>J</del>
<del>\</del>	
O,*	

Schedule O, Statement 1 PRION ALLIANCE INC

Form: Form 990 (2018) EIN: 46-0732060
Page: 1 Part I, Line 1

**Activity Or Mission Description** 

#### Description

organization supports research directed at understanding prion disease biology, discovering and testing therapeutics, and developing novel lab and computational methodologies needed for furthering this research. Our operational model is to raise funds and disburse these to the most worthy scientific projects, with a view to the projects' relevance to our ultimate goal of a treatment or cure, as well as to the projects' ability to leverage Prion Alliance, Inc seed funding in order to pursue outside funding sources for continued research. We also strive to bring together top scientific minds to share data, methodology, and findings in a spirit of openness and collaboration.



Schedule O, Statement 2 PRION ALLIANCE INC

Form: Form 990 (2018)

Page: 2

Patt III, Line 1

Mission Description

#### Description

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Schedule O, Statement 3

PRION ALLIANCE INC

Form: Form 990 (2018)

EIN: 46-0732060 Part III, Line 4d

Page: 2

#### **Other Program Services Accomplishments**

Activity Code	Description	Expense	Grants	Revenue
	We undertook a variety of studies and efforts aimed at advancing prion protein-lowering therapeutics to the clinic, including transgenic mouse breeding and characterization, cerebrospinal fluid biomarker studies, and further preclinical efficacy studies.	39,822	39,822	0
Total:		39,822	39,822	0