

# BFS AND DFS: ALGORITHMS

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Graph Algorithms

[HTTP://SCANFTREE.COM](http://SCANFTREE.COM)

# Administrative

- Test postponed to Friday
- Homework:
  - Turned in last night by midnight: full credit
  - Turned in tonight by midnight: 1 day late, 10% off
  - Turned in tomorrow night: 2 days late, 30% off
  - Extra credit lateness measured separately

# Review: Graphs

- A graph  $G = (V, E)$ 
  - $V$  = set of vertices,  $E$  = set of edges
  - *Dense* graph:  $|E| \approx |V|^2$ ; *Sparse* graph:  $|E| \approx |V|$
  - *Undirected graph*:
    - Edge  $(u,v)$  = edge  $(v,u)$
    - No self-loops
  - *Directed* graph:
    - Edge  $(u,v)$  goes from vertex  $u$  to vertex  $v$ , notated  $u \rightarrow v$
  - A *weighted graph* associates weights with either the edges or the vertices

# Review: Representing Graphs

- Assume  $V = \{1, 2, \dots, n\}$
- An *adjacency matrix* represents the graph as a  $n \times n$  matrix A:
  - $A[i, j]$  = 1 if edge  $(i, j) \in E$  (or weight of edge)  
= 0 if edge  $(i, j) \notin E$
  - Storage requirements:  $O(V^2)$ 
    - A dense representation
  - But, can be very efficient for small graphs
    - Especially if store just one bit/edge
    - Undirected graph: only need one diagonal of matrix

# Review: Graph Searching

- Given: a graph  $G = (V, E)$ , directed or undirected
- Goal: methodically explore every vertex and every edge
- Ultimately: build a tree on the graph
  - Pick a vertex as the root
  - Choose certain edges to produce a tree
  - Note: might also build a *forest* if graph is not connected

# Review: Breadth-First Search

- “Explore” a graph, turning it into a tree
  - One vertex at a time
  - Expand frontier of explored vertices across the *breadth* of the frontier
- Builds a tree over the graph
  - Pick a *source vertex* to be the root
  - Find (“discover”) its children, then their children, etc.

# Review: Breadth-First Search

- Again will associate vertex “colors” to guide the algorithm
  - White vertices have not been discovered
    - All vertices start out white
  - Grey vertices are discovered but not fully explored
    - They may be adjacent to white vertices
  - Black vertices are discovered and fully explored
    - They are adjacent only to black and gray vertices
- Explore vertices by scanning adjacency list of grey vertices

# Review: Breadth-First Search

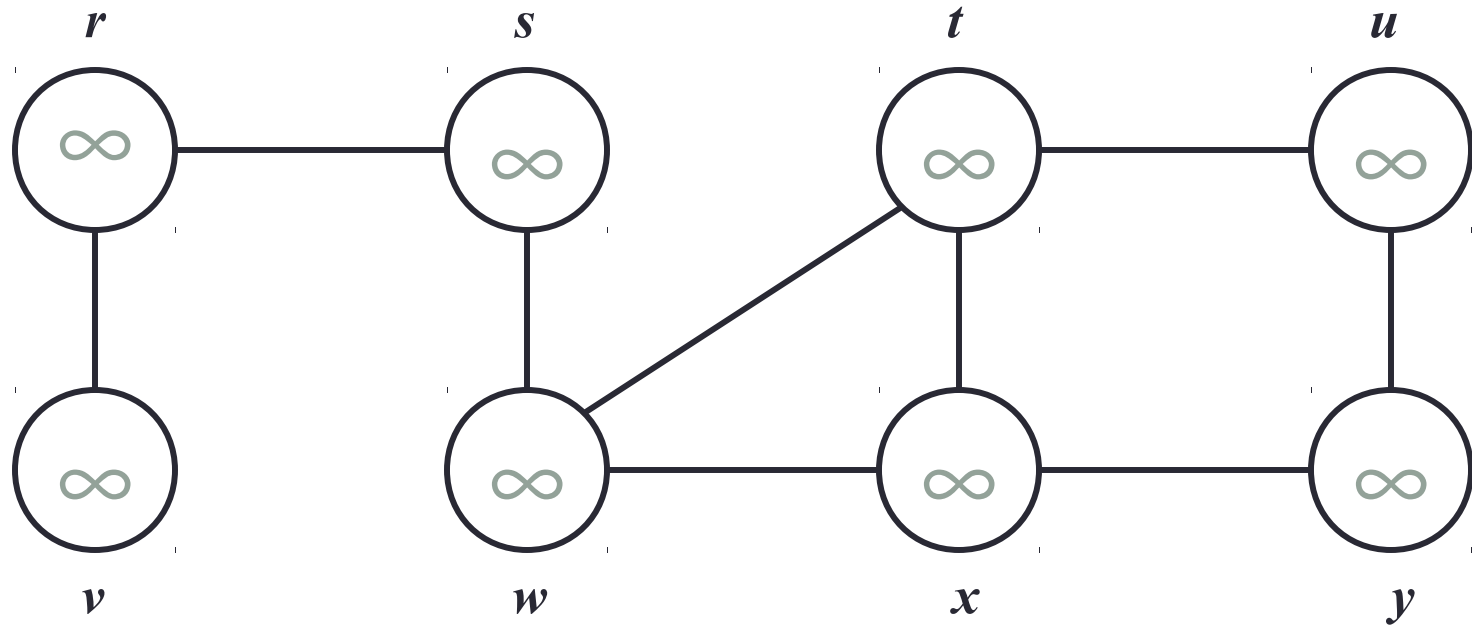
```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};           // Q is a queue (duh); initialize to s  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

*What does v->d represent?*

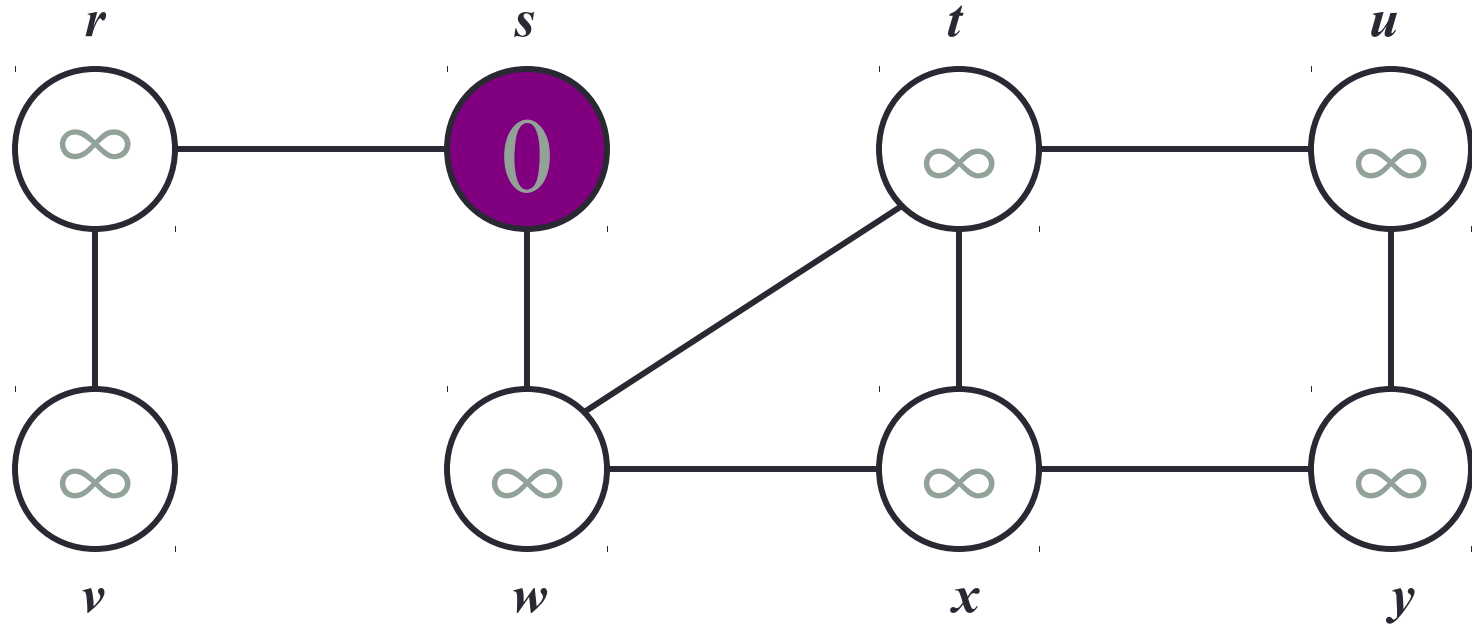
*What does v->p represent?*



# Breadth-First Search: Example

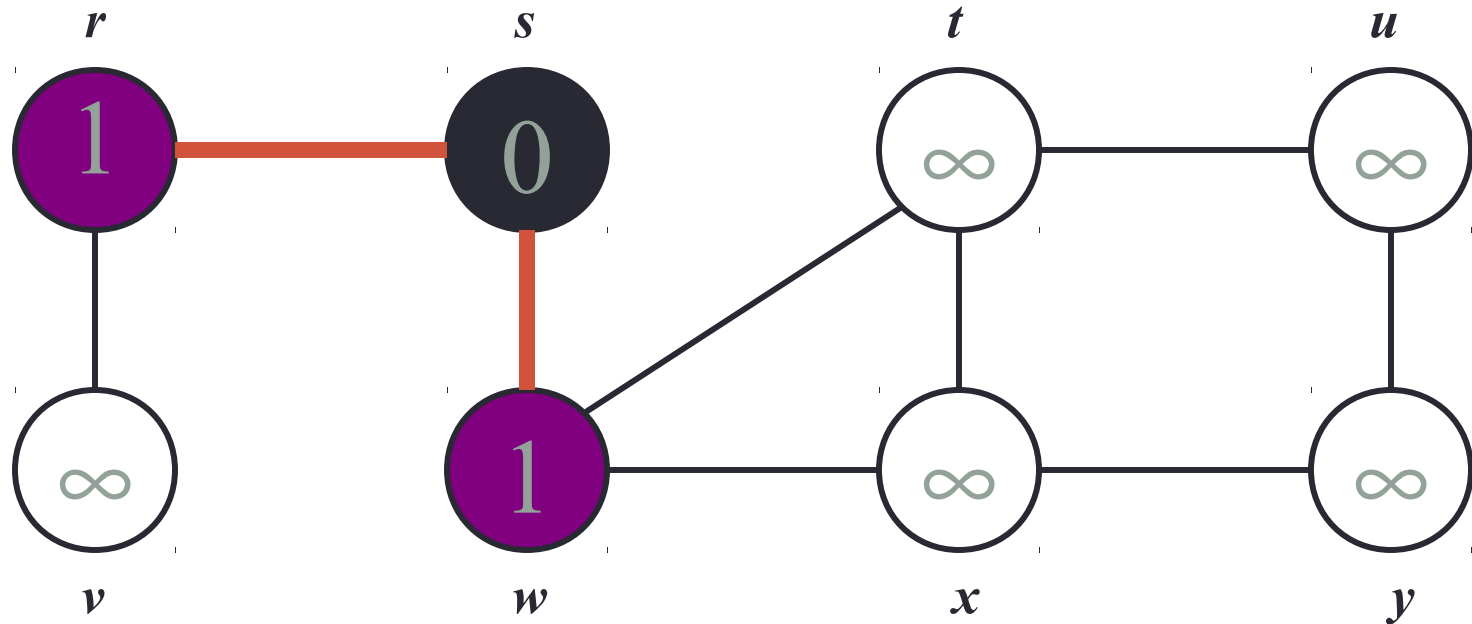


# Breadth-First Search: Example



$Q:$   $s$

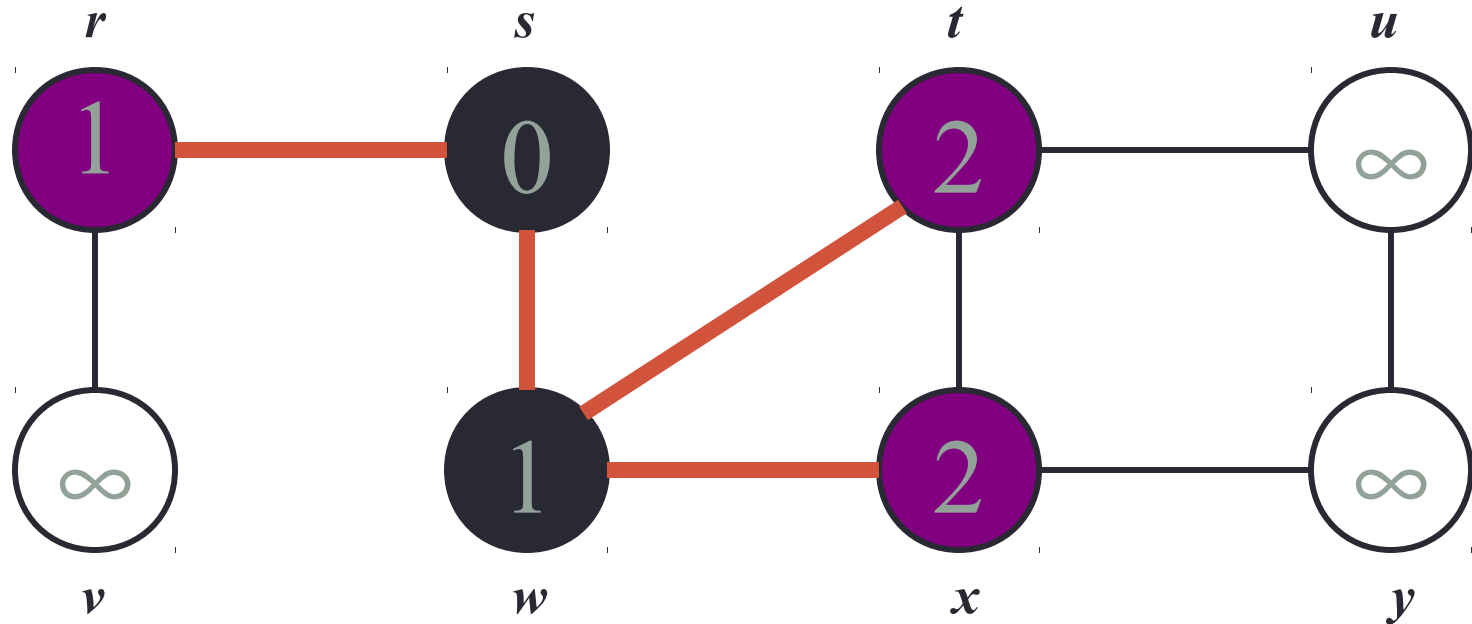
# Breadth-First Search: Example



$Q$ : 

$w$	$r$
-----	-----

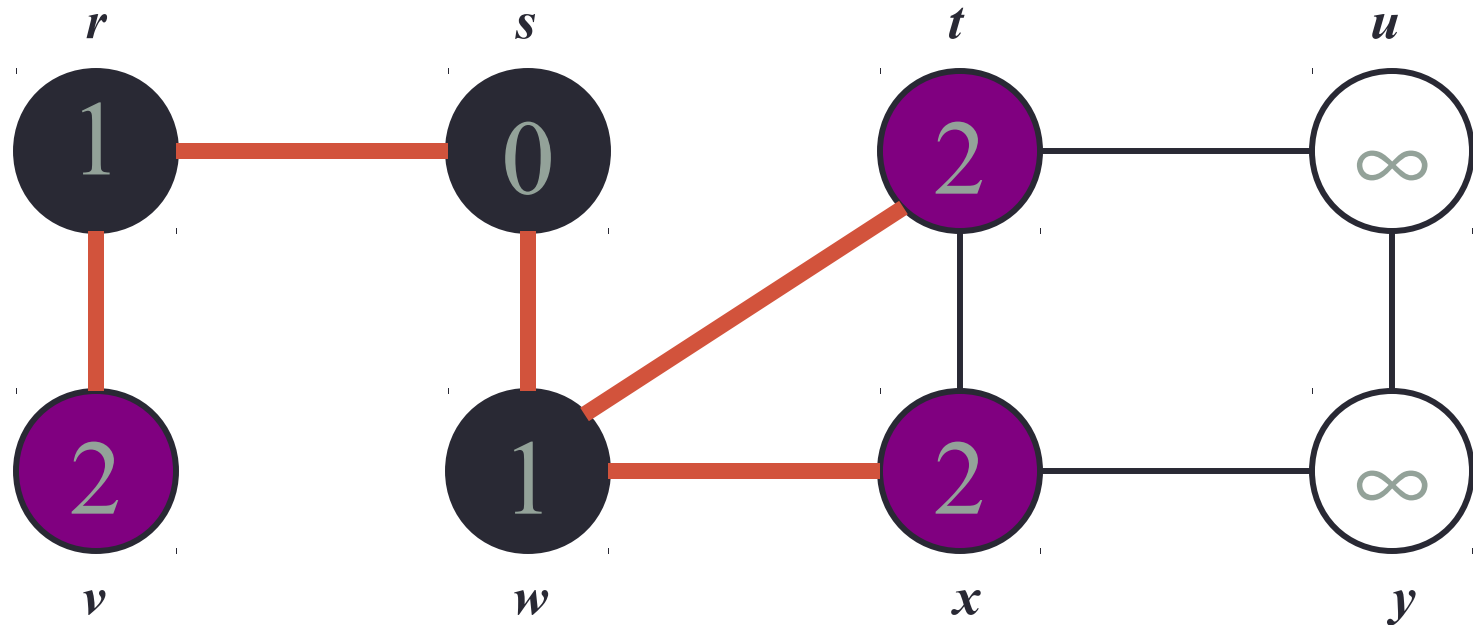
# Breadth-First Search: Example



$Q$ : 

$r$	$t$	$x$
-----	-----	-----

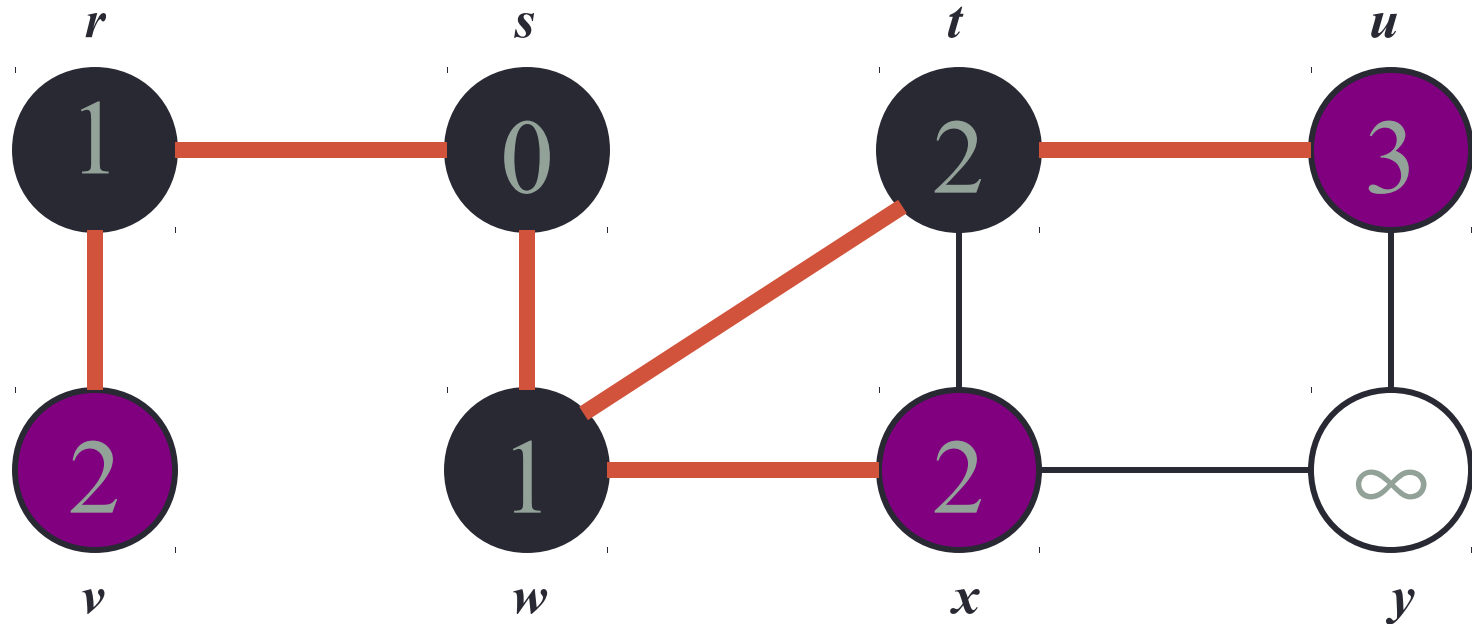
# Breadth-First Search: Example



$Q$ : 

$t$	$x$	$v$
-----	-----	-----

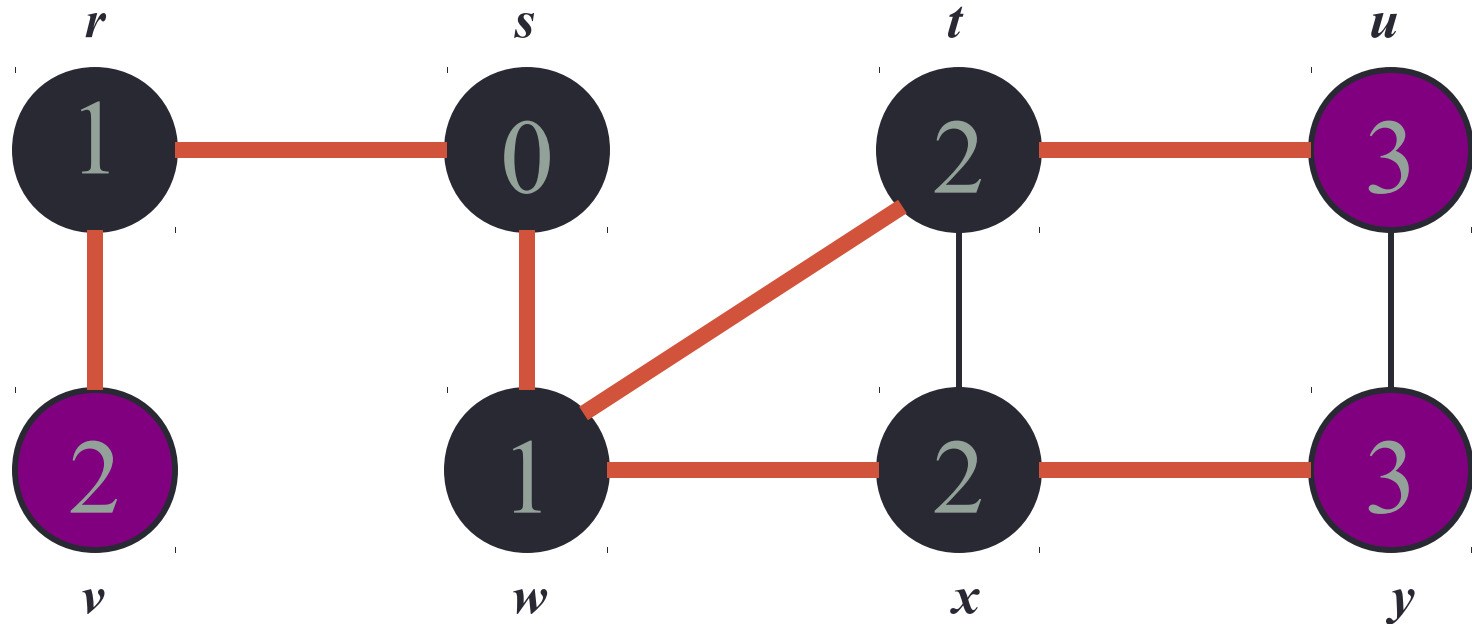
# Breadth-First Search: Example



$Q$ : 

$x$	$v$	$u$
-----	-----	-----

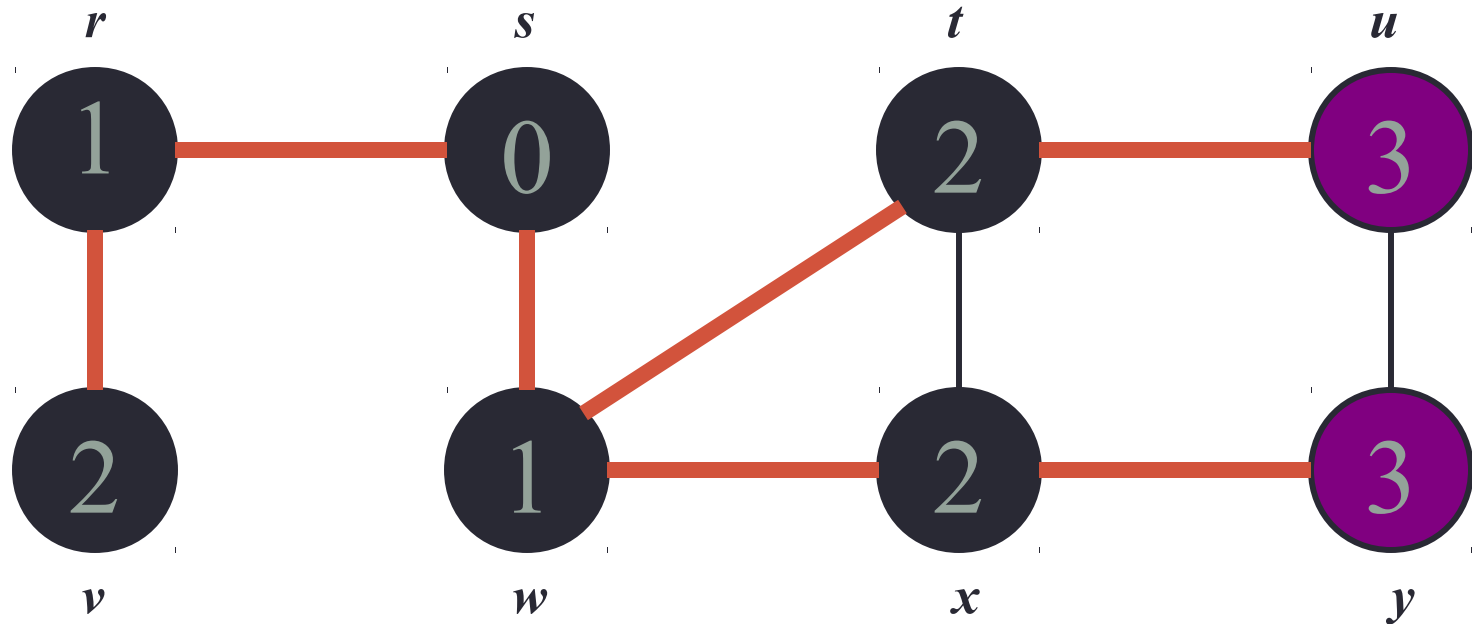
# Breadth-First Search: Example



$Q$ : 

$v$	$u$	$y$
-----	-----	-----

# Breadth-First Search: Example

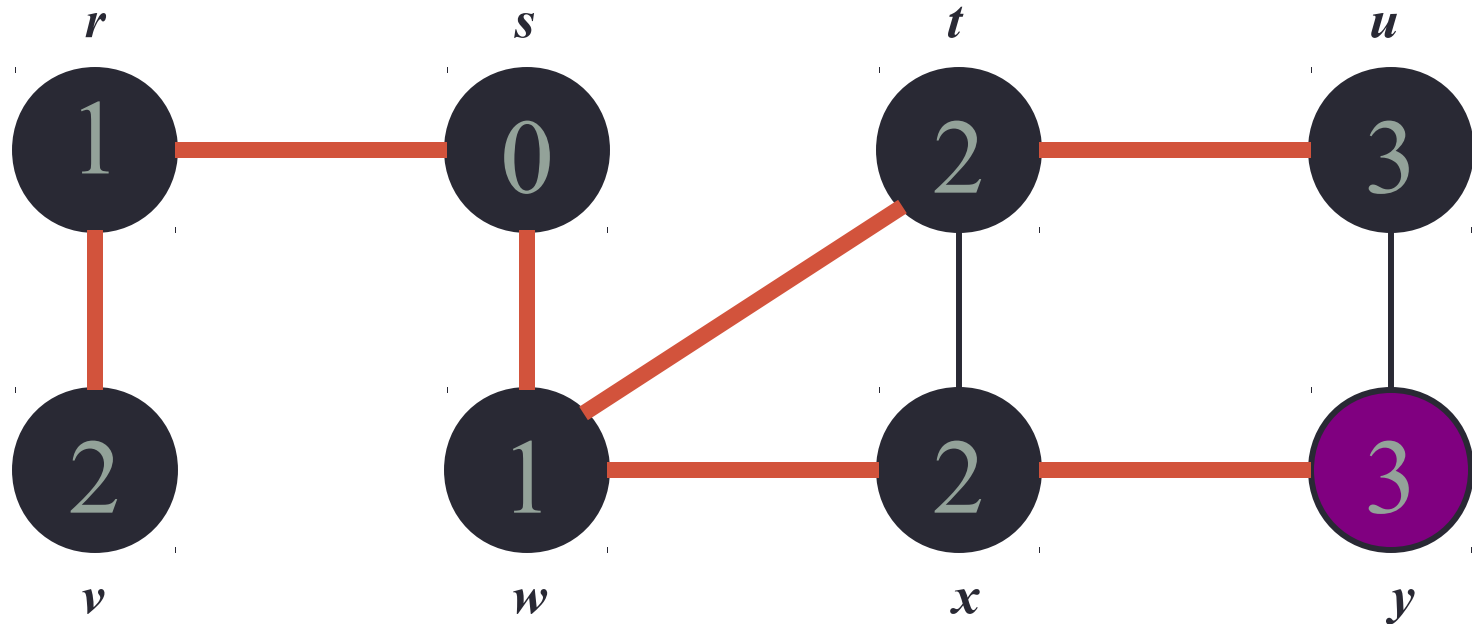


$Q$ : 

$u$	$y$
-----	-----

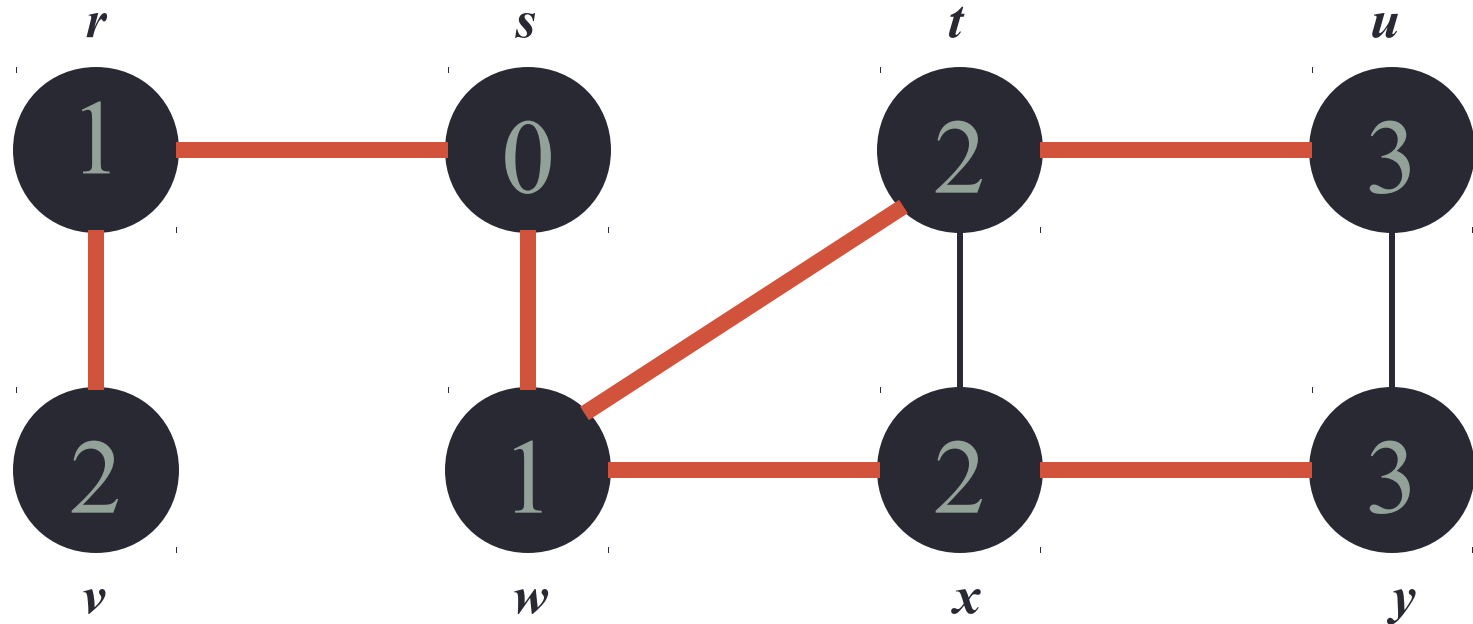


# Breadth-First Search: Example



$Q$ :  $y$

# Breadth-First Search: Example



$Q: \emptyset$

# BFS: The Code Again

```

BFS(G, s) {
    initialize vertices;
    Q = {s};
    while (Q not empty) {
        u = RemoveTop(Q);
        for each v ∈ u->adj {
            if (v->color == WHITE)
                v->color = GREY;
                v->d = u->d + 1;
                v->p = u;
                Enqueue(Q, v);
            u->color = BLACK;
        }
    }
}

```

*Touch every vertex:  $O(V)$*

*$u = \text{every vertex, but only once}$   
(Why?)*

*So  $v = \text{every vertex}$   
that appears in  
some other vert's  
adjacency list*

*What will be the running time?*  
**Total running time:  $O(V+E)$**

# BFS: The Code Again

```
BFS(G, s) {  
    initialize vertices;  
    Q = {s};  
    while (Q not empty) {  
        u = RemoveTop(Q);  
        for each v ∈ u->adj {  
            if (v->color == WHITE)  
                v->color = GREY;  
                v->d = u->d + 1;  
                v->p = u;  
                Enqueue(Q, v);  
        }  
        u->color = BLACK;  
    }  
}
```

*What will be the storage cost  
in addition to storing the graph?*

**Total space used:**

**$O(\max(\text{degree}(v))) = O(E)$**

# Breadth-First Search: Properties

- BFS calculates the *shortest-path distance* to the source node
  - Shortest-path distance  $\delta(s,v)$  = minimum number of edges from  $s$  to  $v$ , or  $\infty$  if  $v$  not reachable from  $s$
  - Proof given in the book (p. 472-5)
- BFS builds *breadth-first tree*, in which paths to root represent shortest paths in  $G$ 
  - Thus can use BFS to calculate shortest path from one vertex to another in  $O(V+E)$  time

# Depth-First Search

- *Depth-first search* is another strategy for exploring a graph
  - Explore “deeper” in the graph whenever possible
  - Edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges
  - When all of  $v$ 's edges have been explored, backtrack to the vertex from which  $v$  was discovered

# Depth-First Search

- Vertices initially colored white
- Then colored gray when discovered
- Then black when finished

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```



# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*What does u->d represent?*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*What does u->f represent?*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*Will all vertices eventually be colored black?*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u  $\in$  G- $\rightarrow$ V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v  $\in$  u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*What will be the running time?*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*Running time:  $O(n^2)$  because call `DFS_Visit` on each vertex, and the loop over `Adj[]` can run as many as  $|V|$  times*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

***BUT, there is actually a tighter bound.***

*How many times will DFS\_Visit() actually be called?*

# Depth-First Search: The Code

```
DFS (G)
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

```
DFS_Visit(u)
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

*So, running time of DFS =  $O(V+E)$*

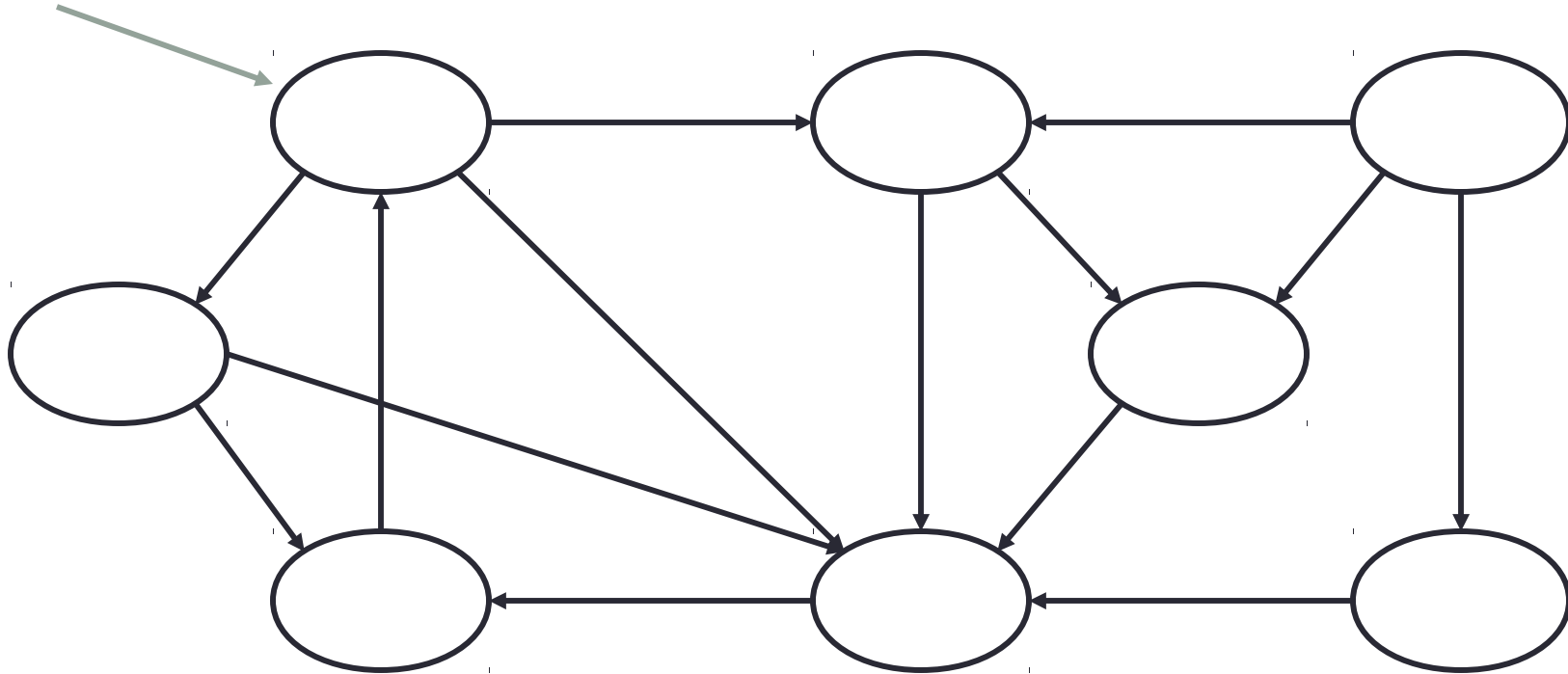
# Depth-First Sort Analysis

- This running time argument is an informal example of *amortized analysis*
  - “Charge” the exploration of edge to the edge:
    - Each loop in DFS\_Visit can be attributed to an edge in the graph
    - Runs once/edge if directed graph, twice if undirected
    - Thus loop will run in  $O(E)$  time, algorithm  $O(V+E)$ 
      - Considered linear for graph, b/c adj list requires  $O(V+E)$  storage
  - Important to be comfortable with this kind of reasoning and analysis



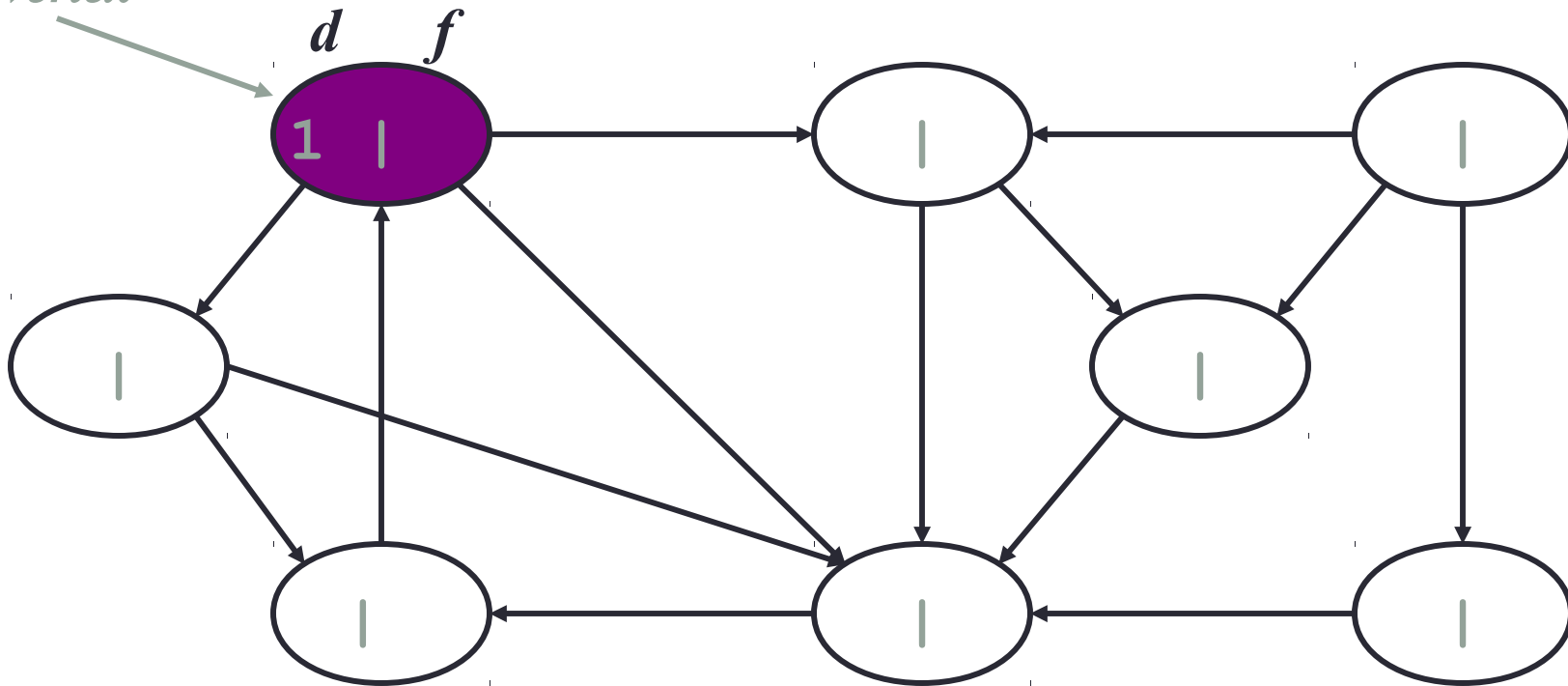
# DFS Example

*source  
vertex*



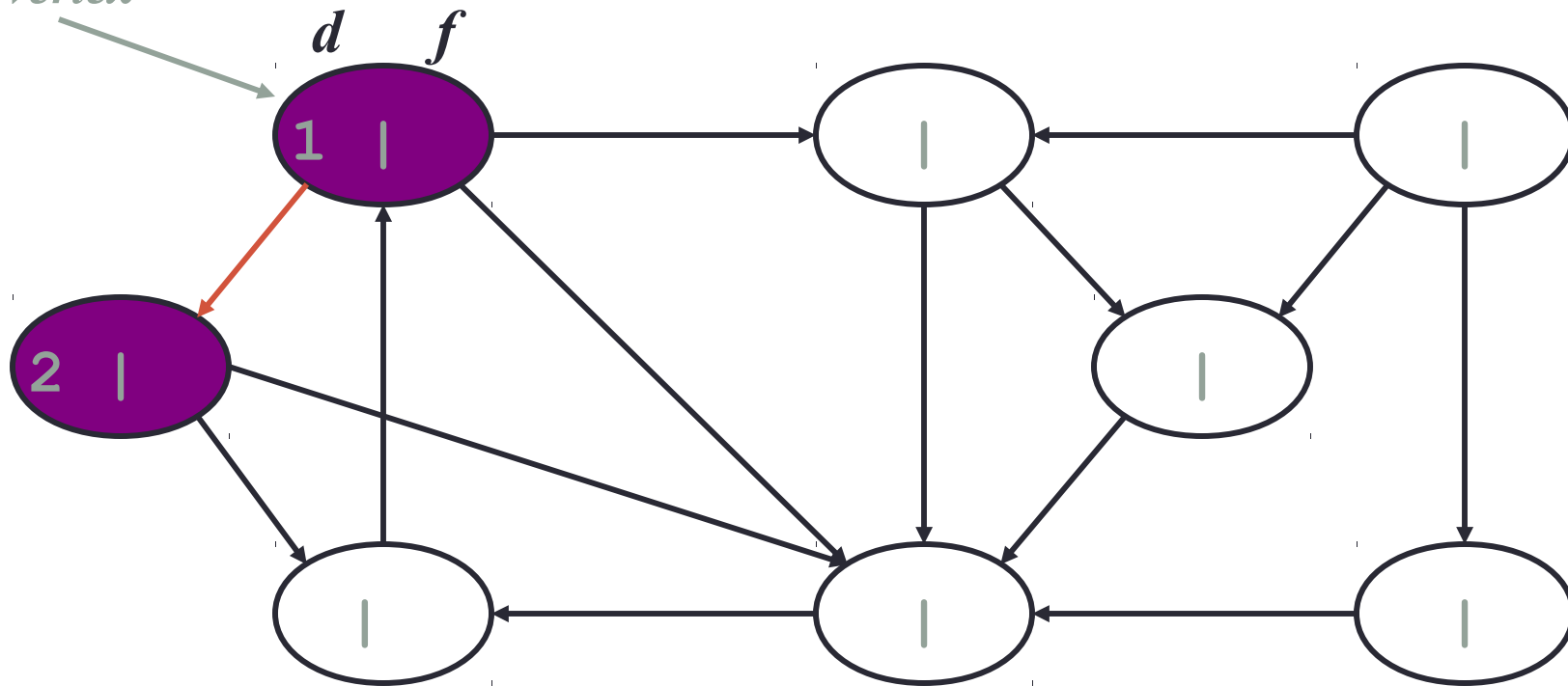
# DFS Example

*source  
vertex*



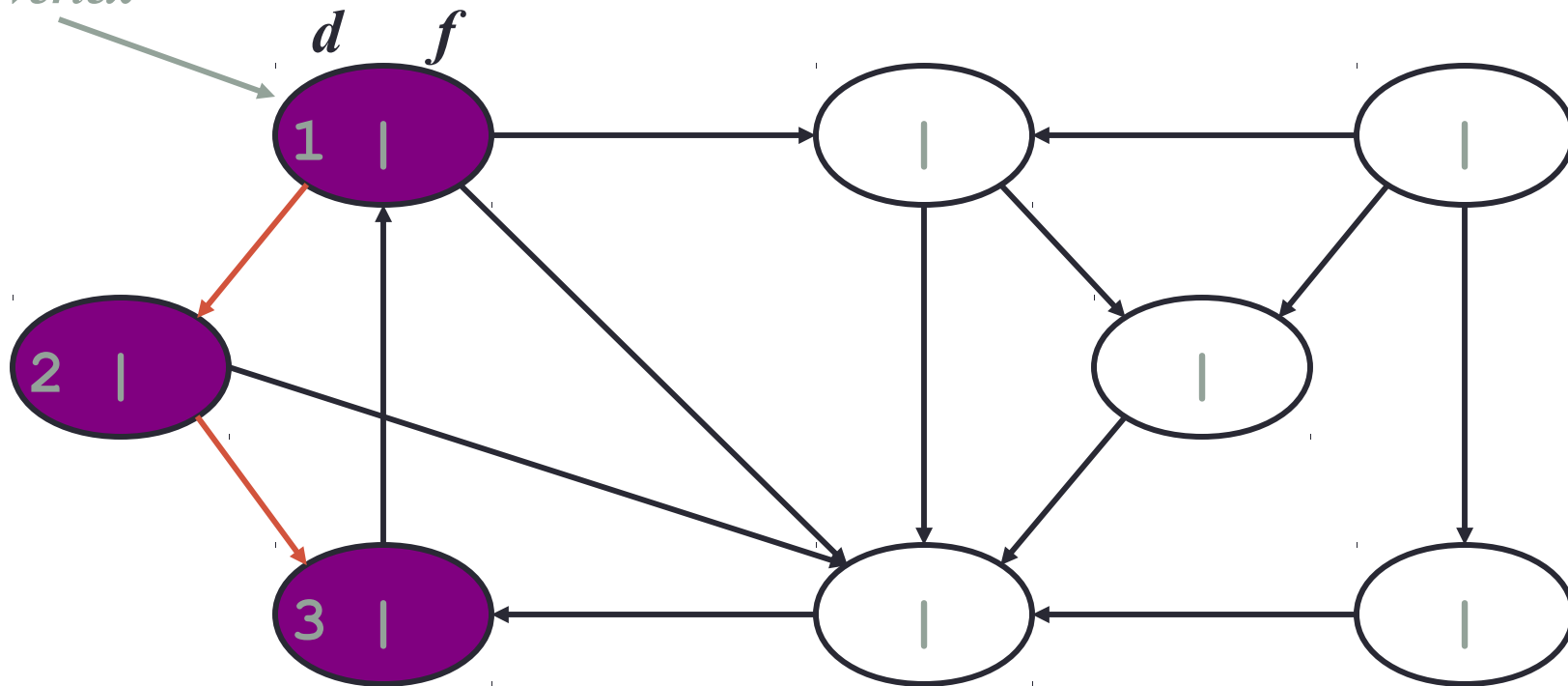
# DFS Example

*source  
vertex*



# DFS Example

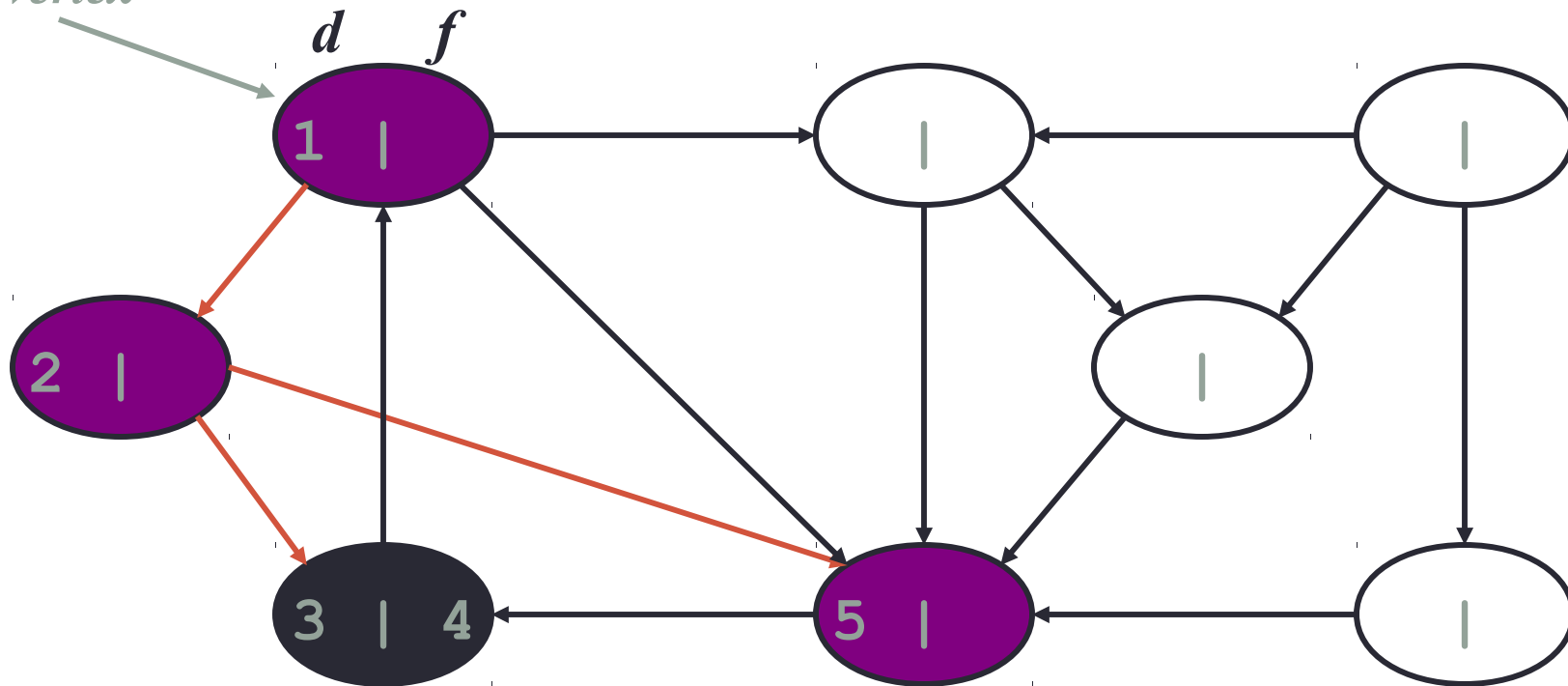
source  
vertex





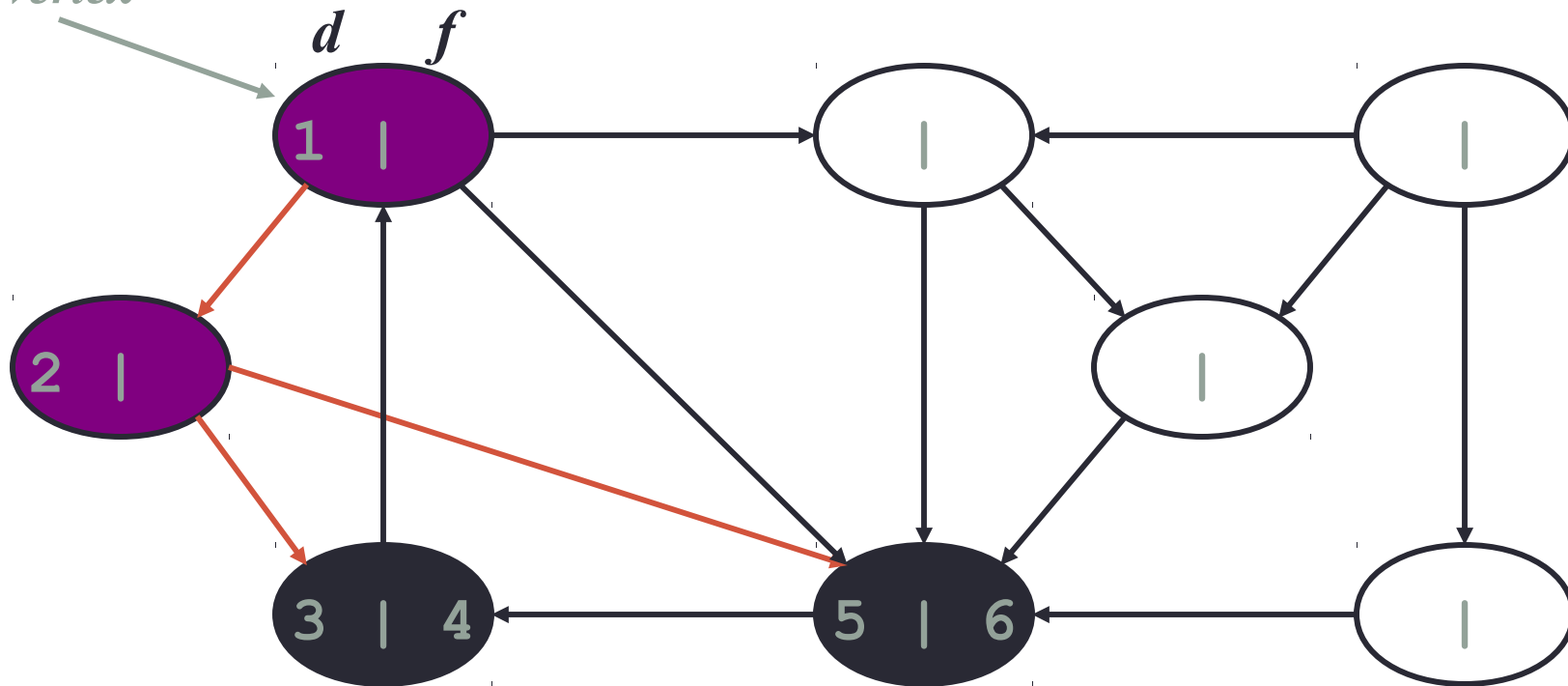
# DFS Example

*source  
vertex*



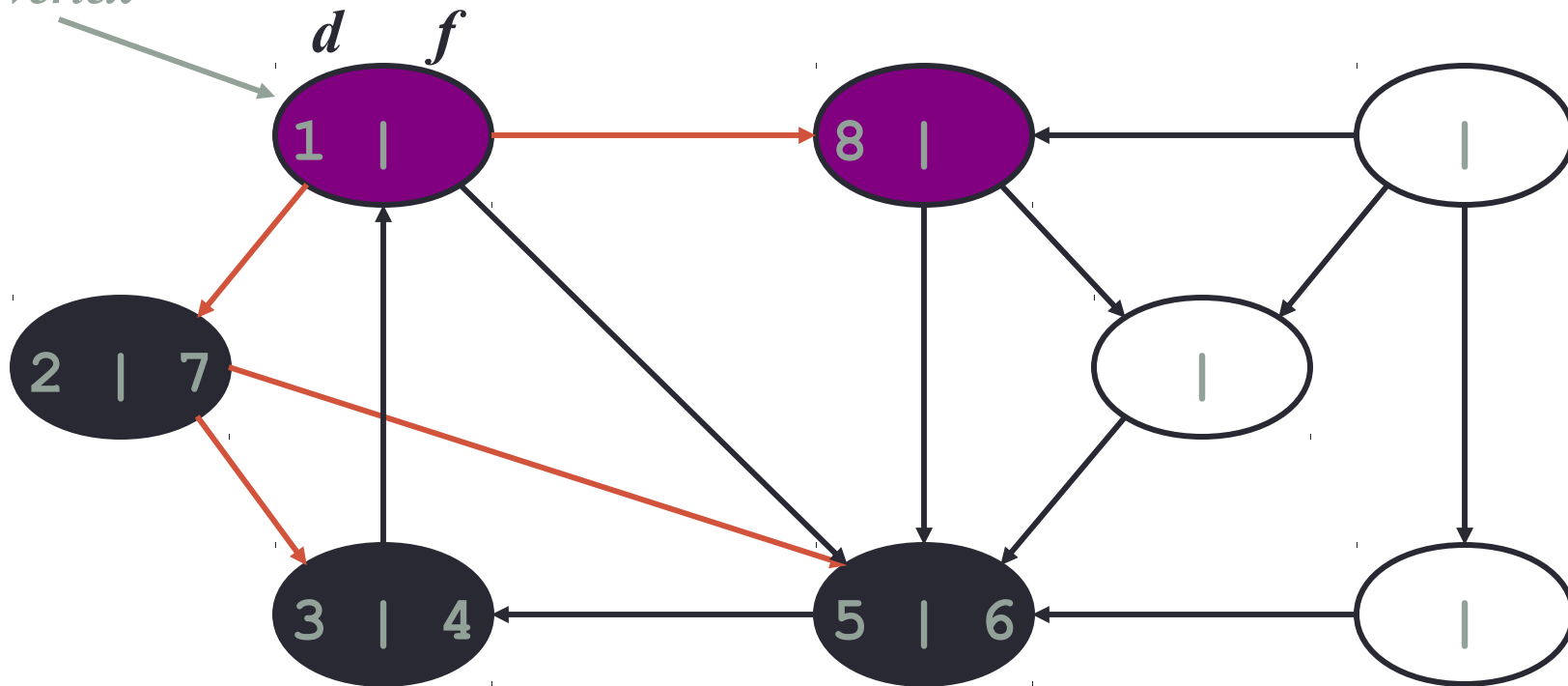
# DFS Example

*source*  
*vertex*



# DFS Example

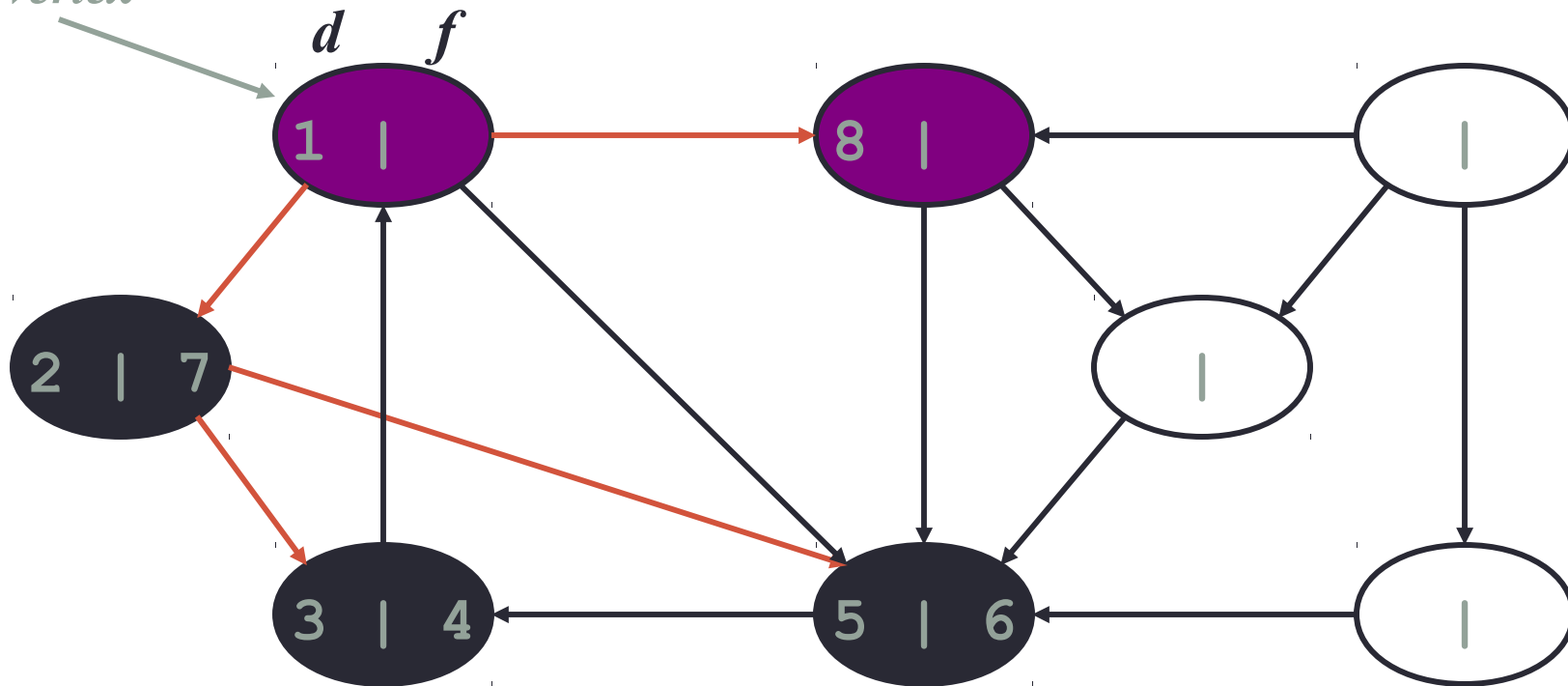
source  
vertex





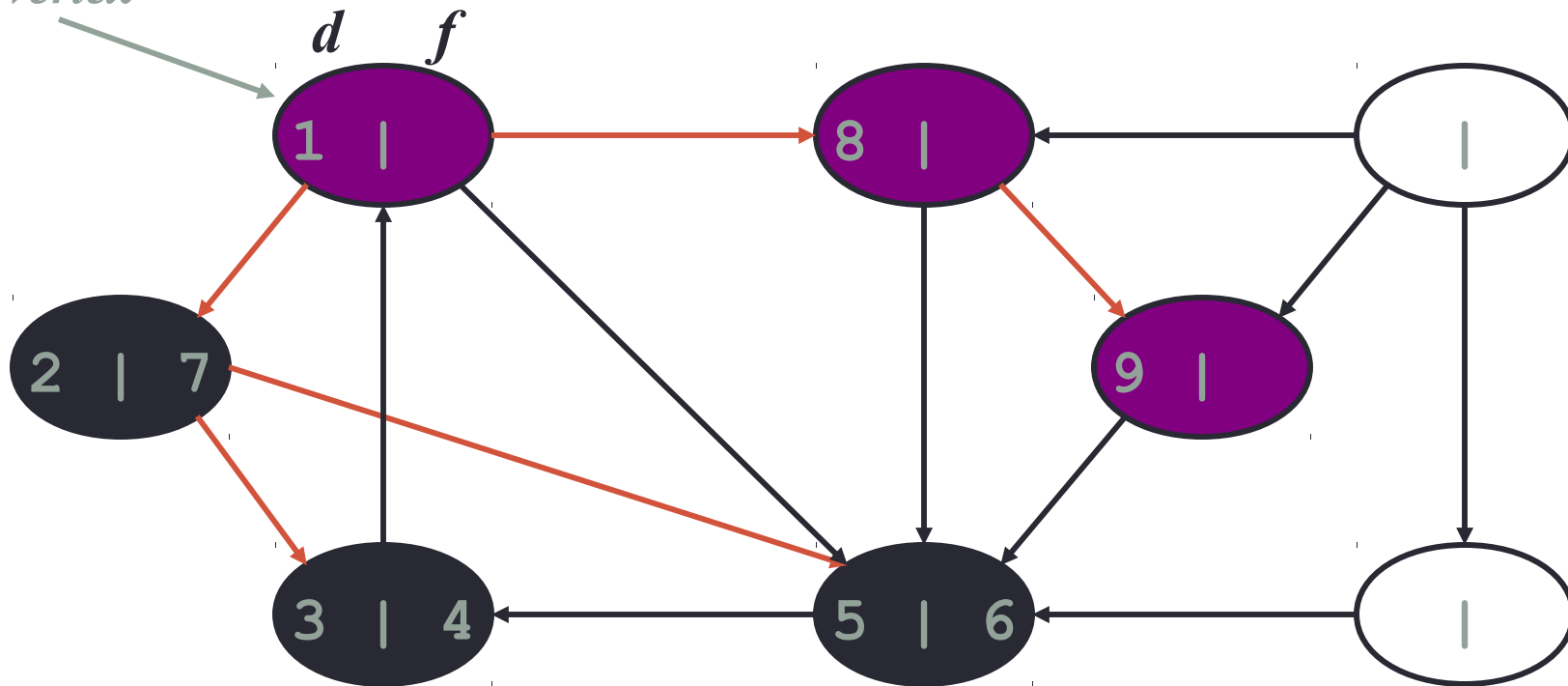
# DFS Example

source  
vertex



# DFS Example

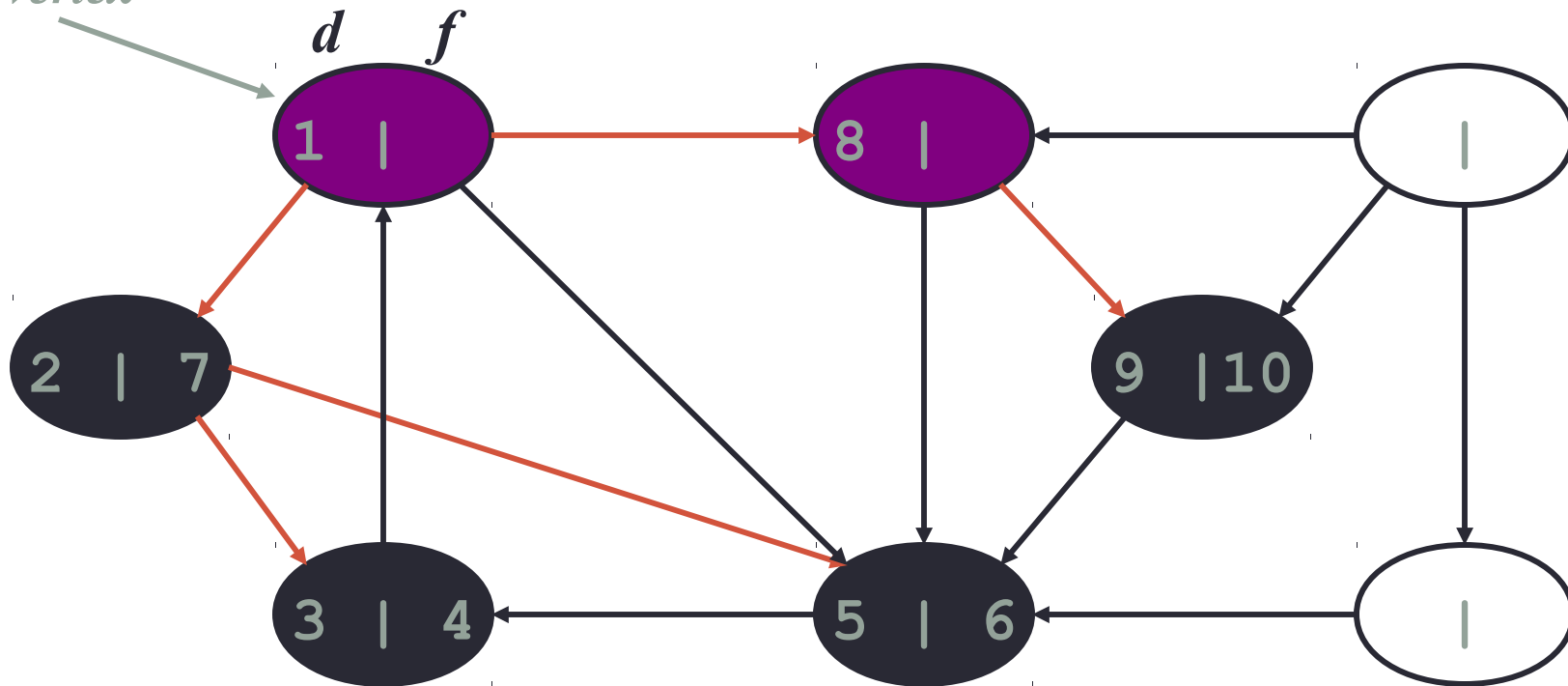
*source  
vertex*



*What is the structure of the grey vertices?  
What do they represent?*

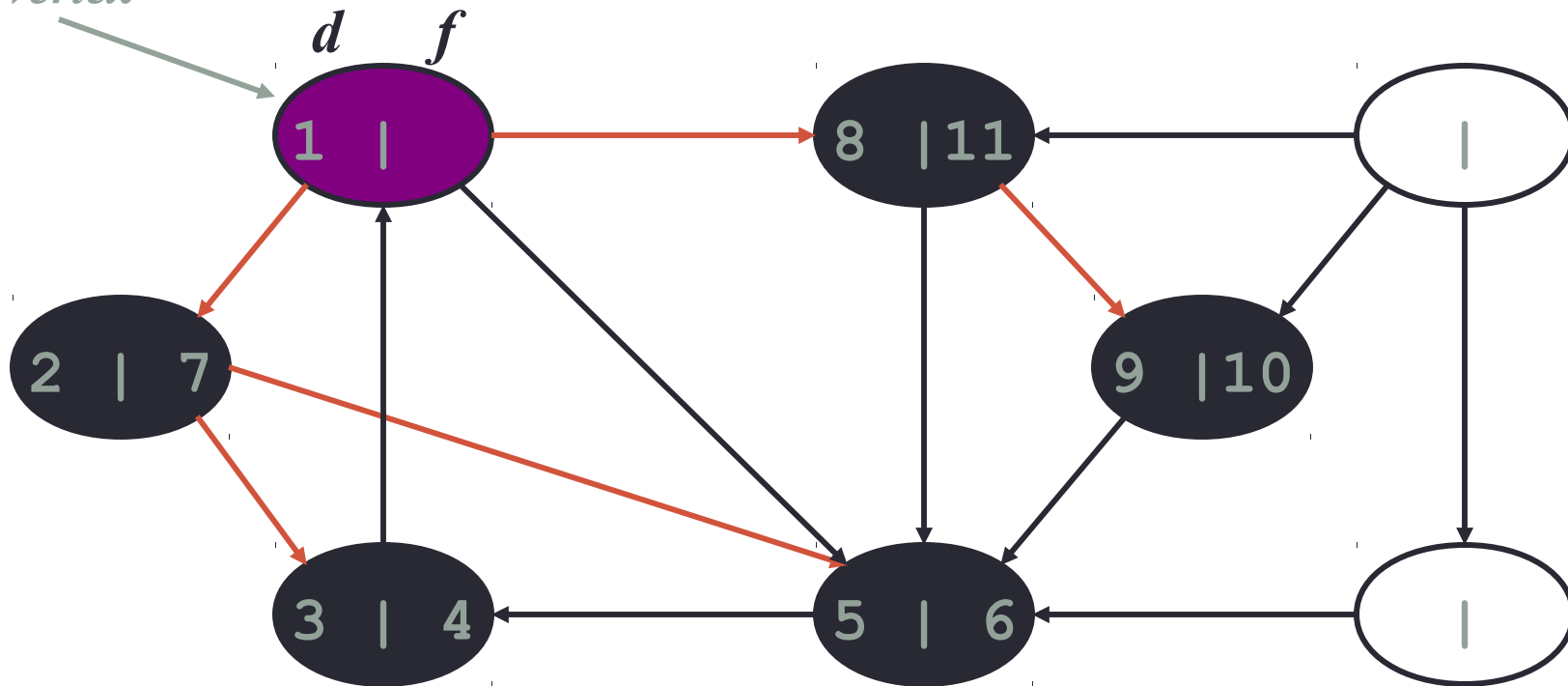
# DFS Example

*source  
vertex*



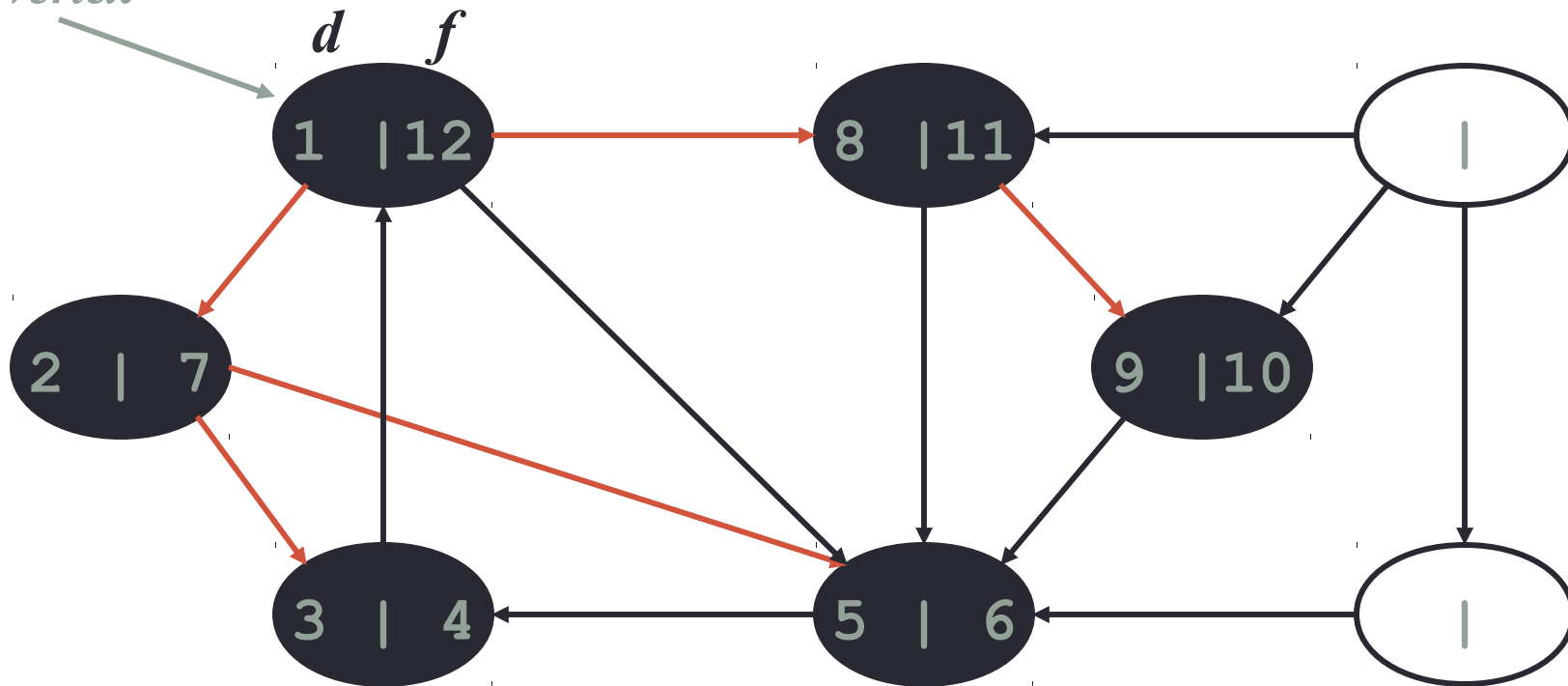
# DFS Example

source  
vertex



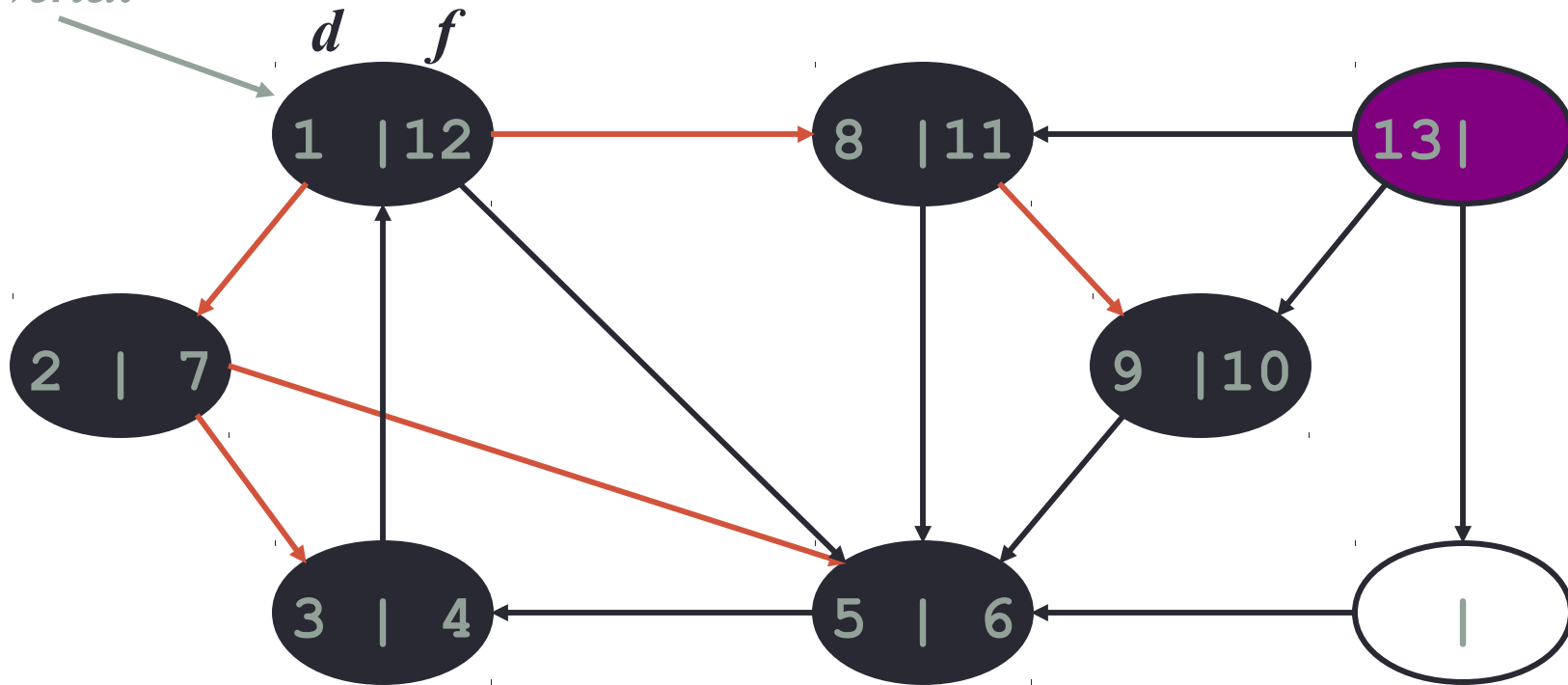
# DFS Example

source  
vertex



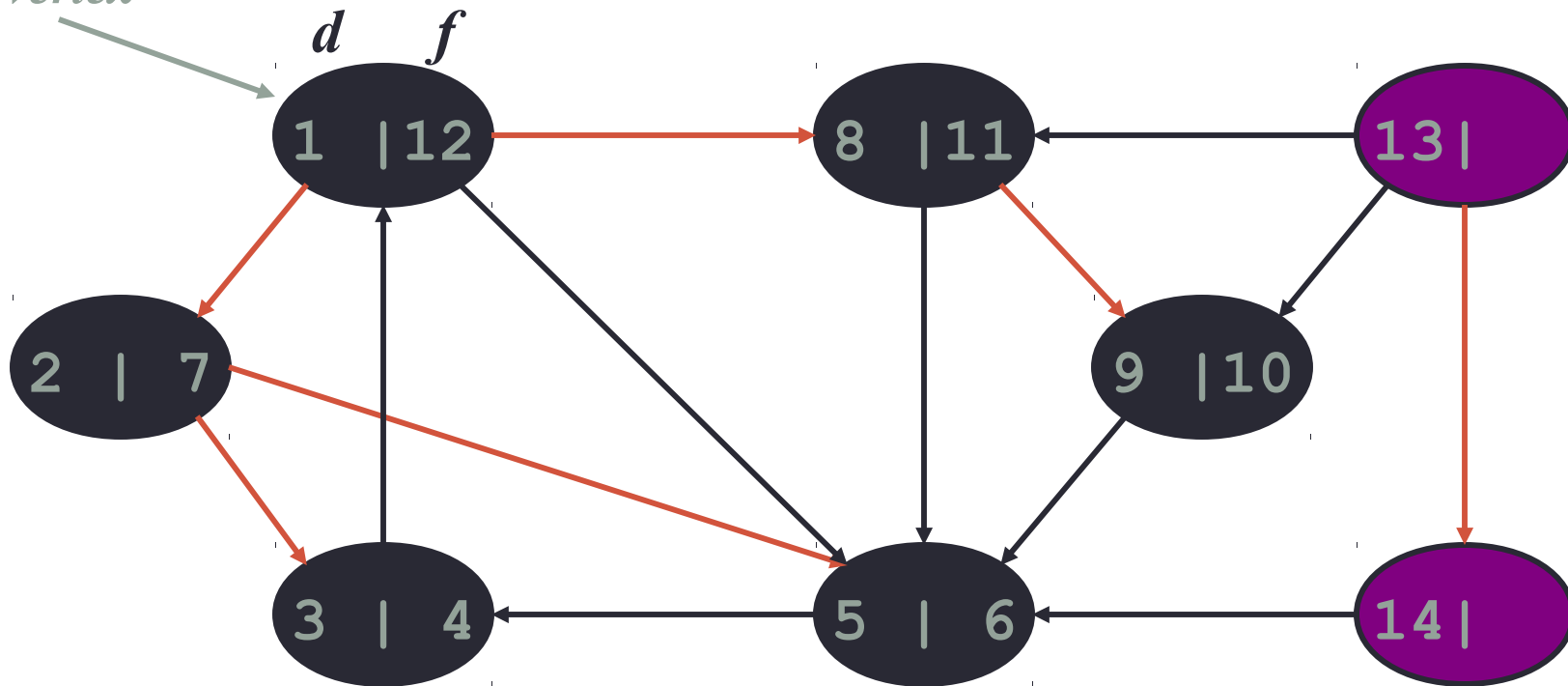
# DFS Example

*source*  
*vertex*



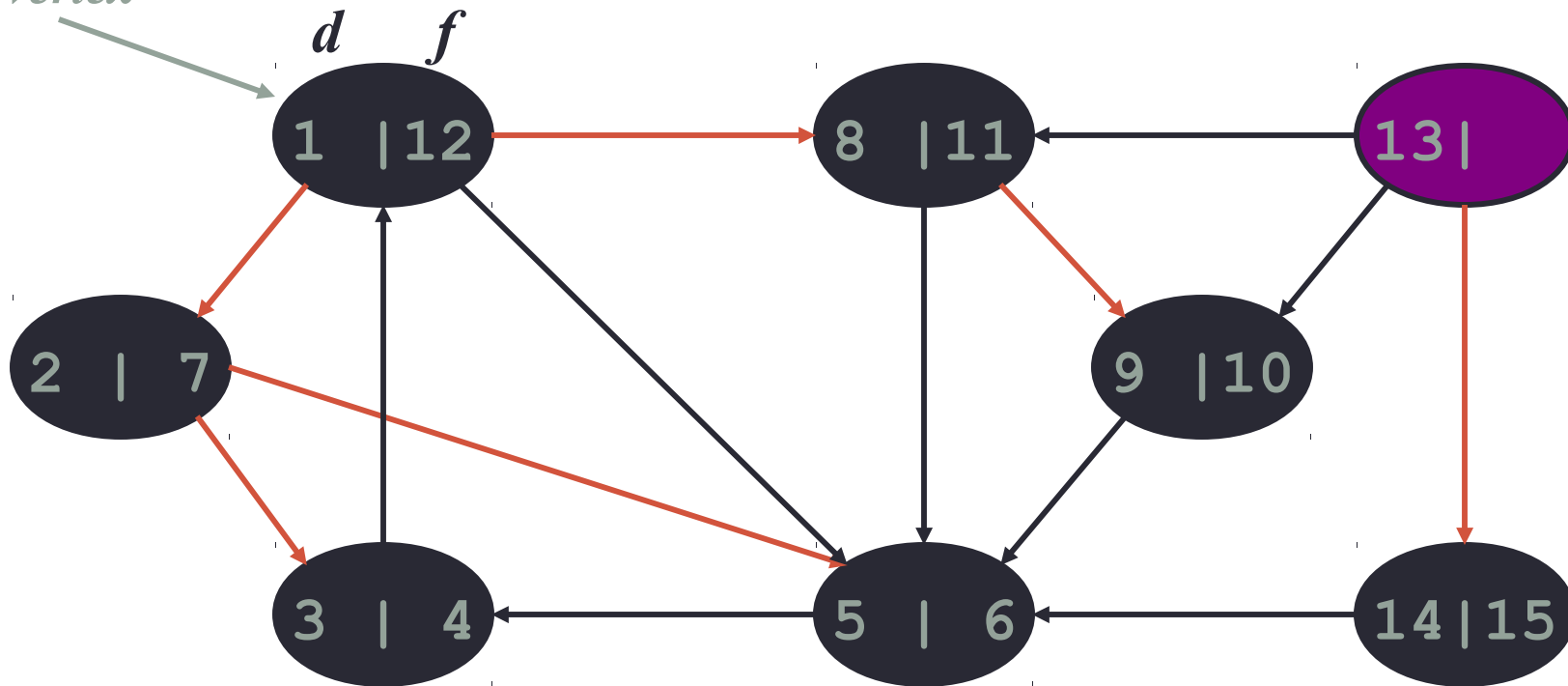
# DFS Example

source  
vertex



# DFS Example

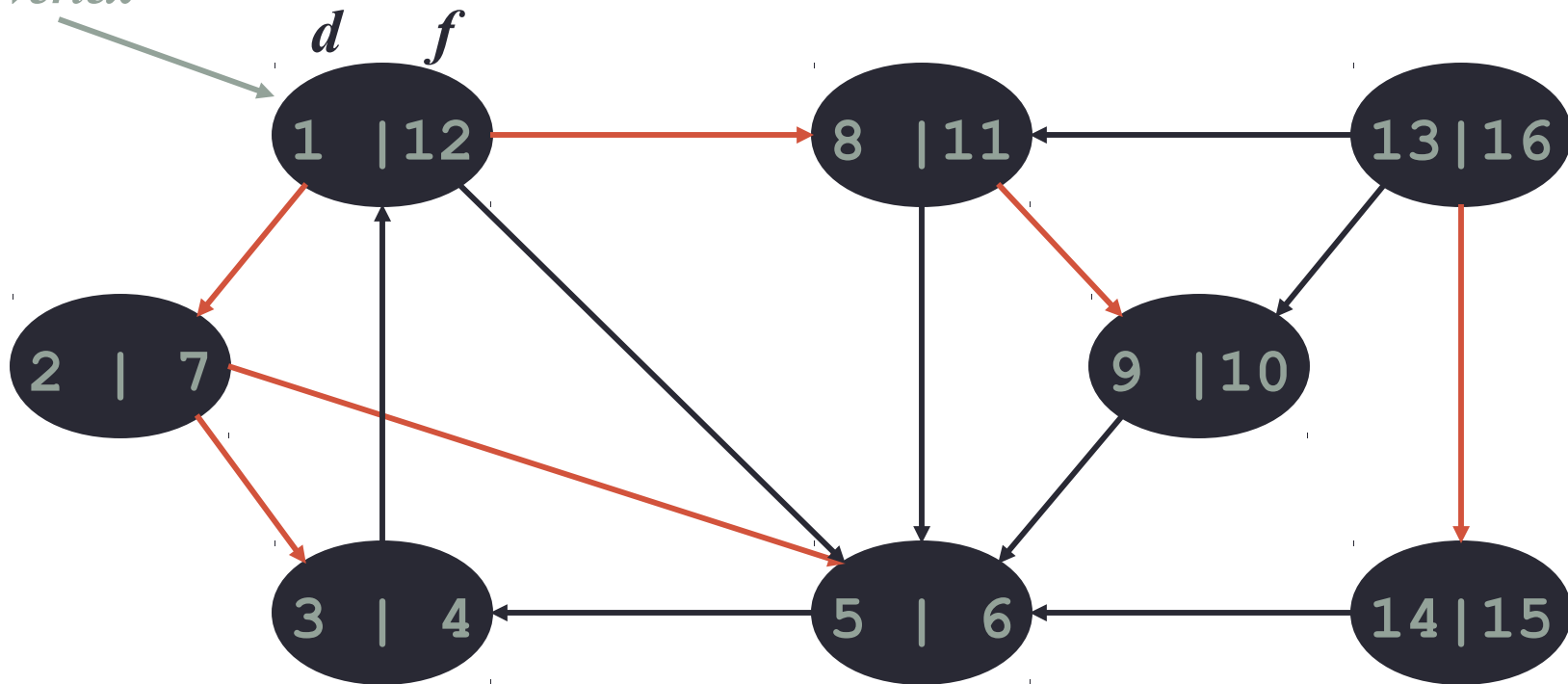
source  
vertex





# DFS Example

source  
vertex

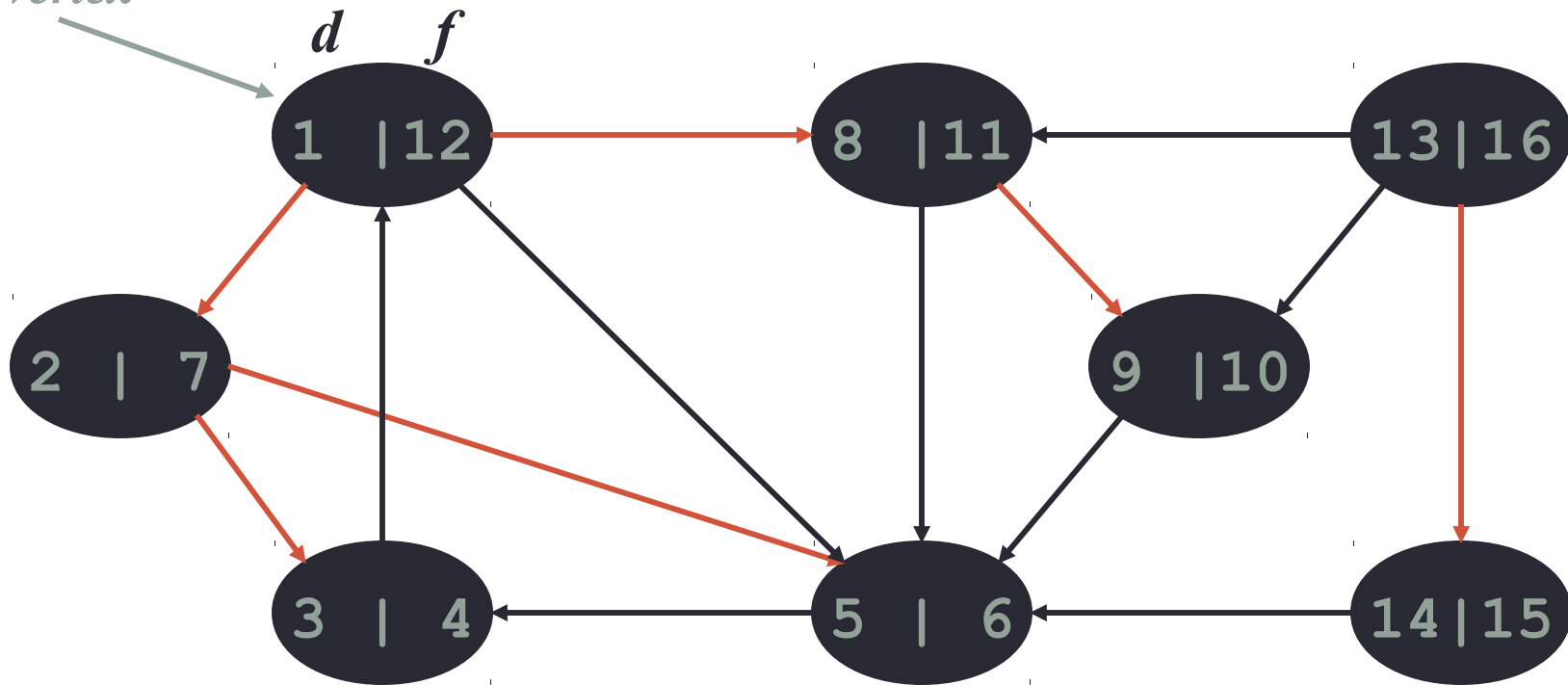


# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
    - The tree edges form a spanning forest
    - *Can tree edges form cycles? Why or why not?*

# DFS Example

*source  
vertex*



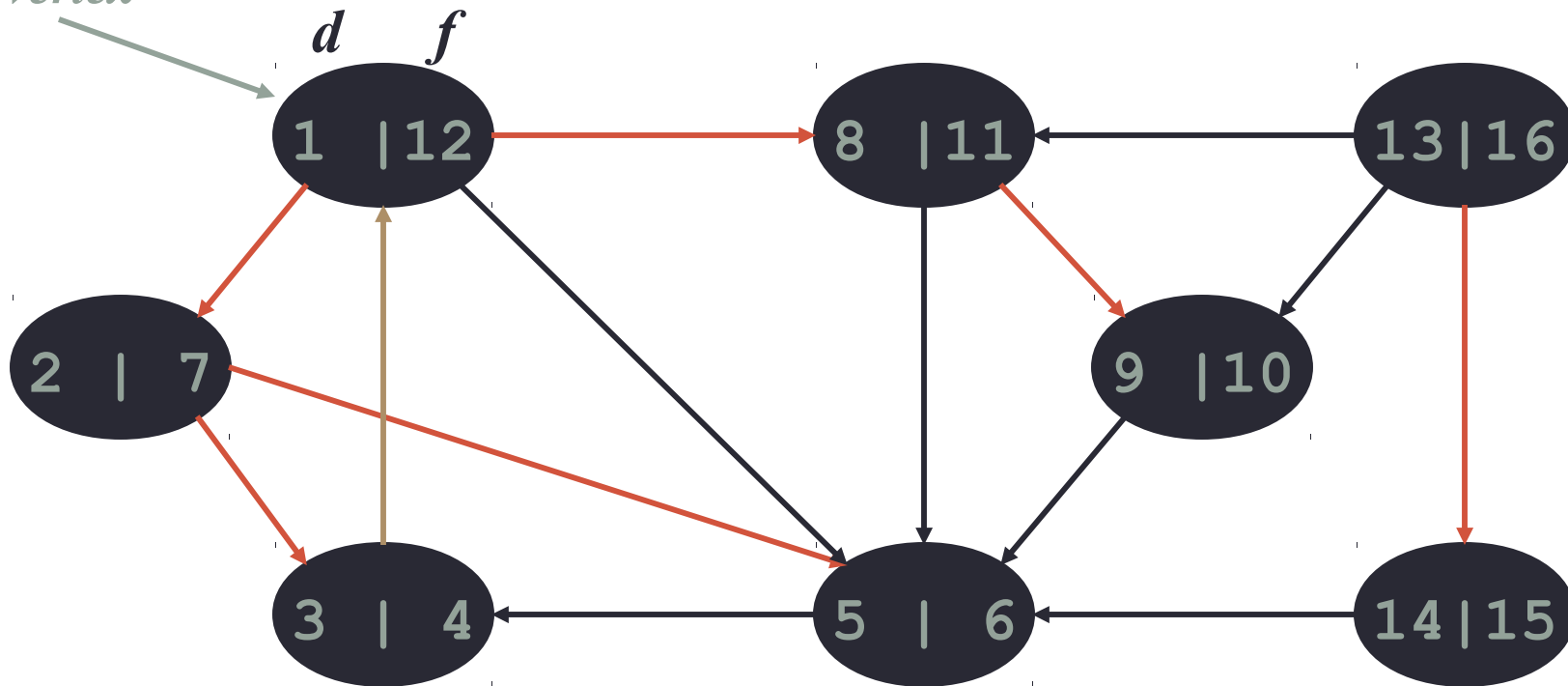
*Tree edges*

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
  - *Back edge*: from descendent to ancestor
    - Encounter a grey vertex (grey to grey)

# DFS Example

*source  
vertex*



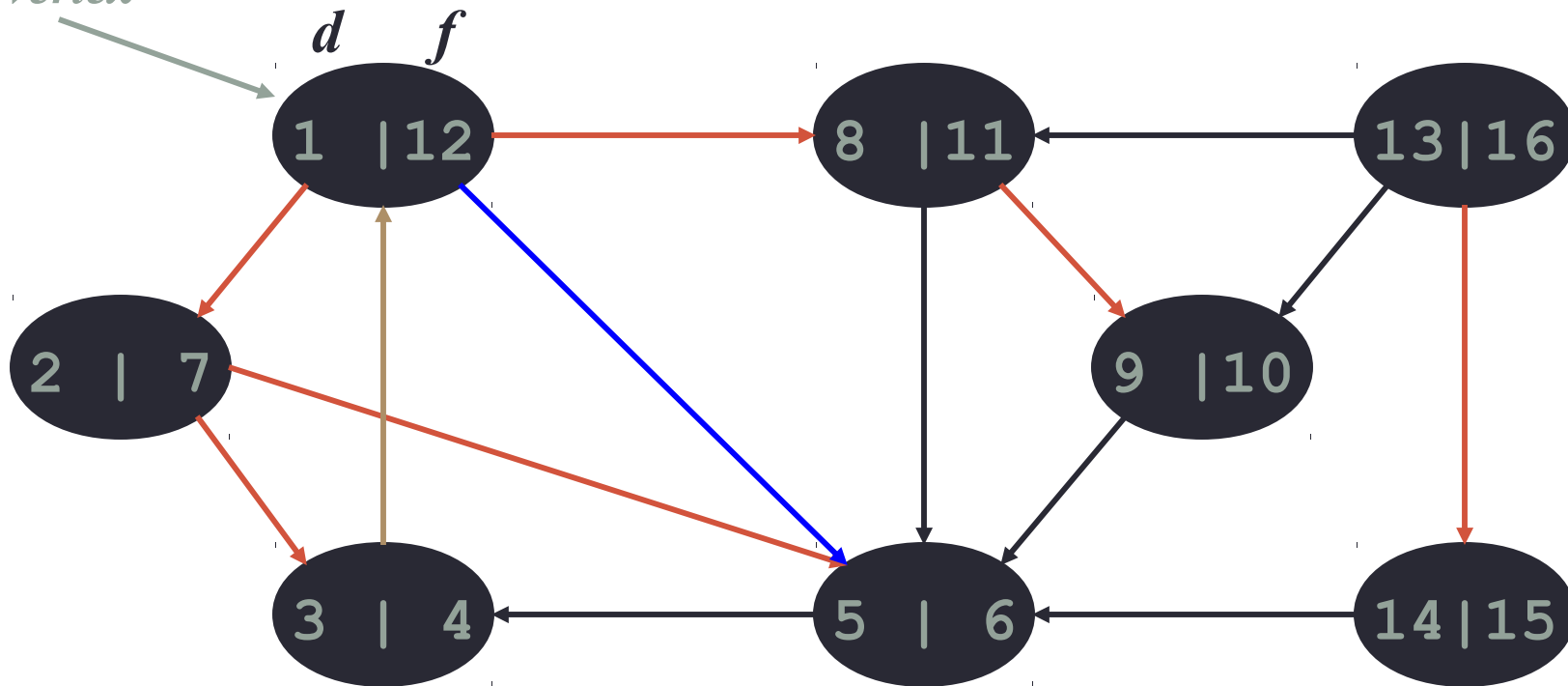
*Tree edges*   *Back edges*

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
  - *Back edge*: from descendent to ancestor
  - *Forward edge*: from ancestor to descendent
    - Not a tree edge, though
    - From grey node to black node

# DFS Example

*source  
vertex*



*Tree edges*   *Back edges*   *Forward edges*

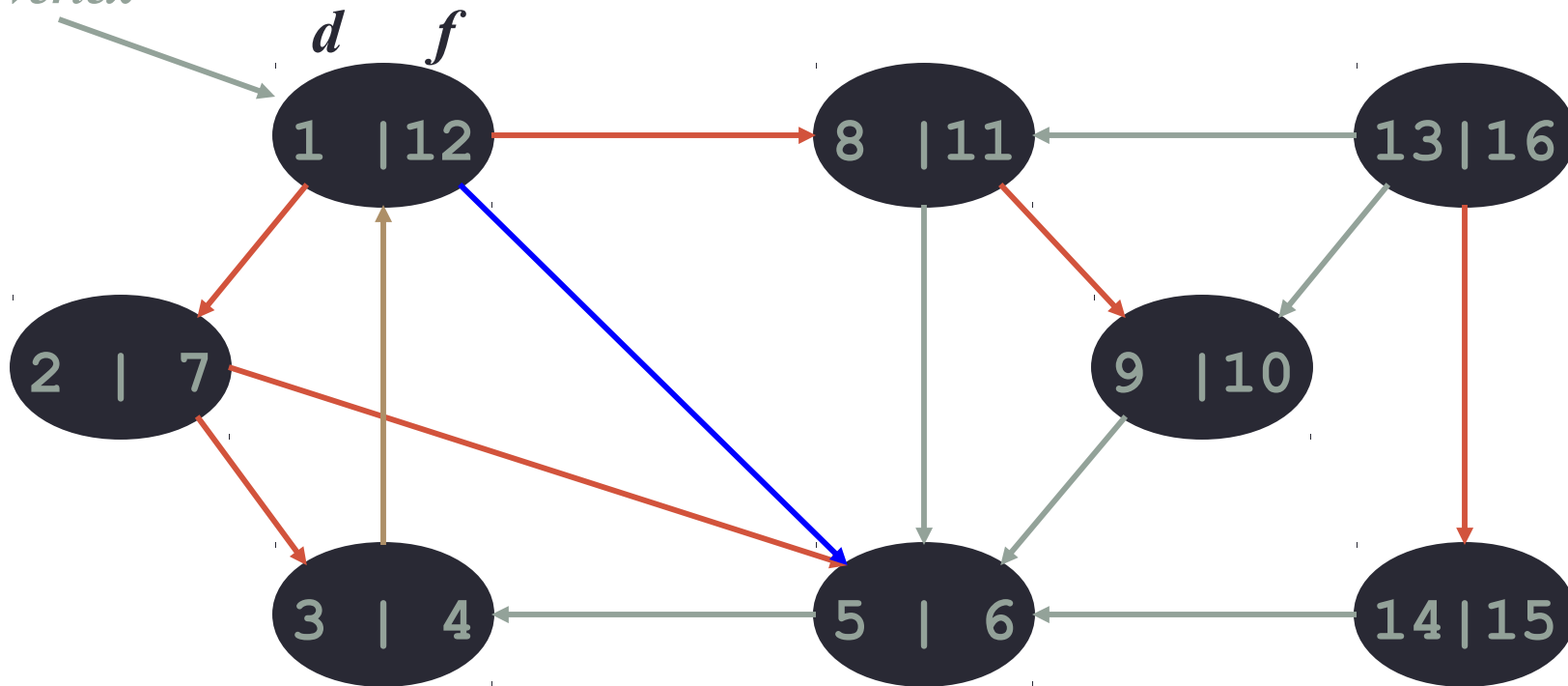
# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
  - *Back edge*: from descendent to ancestor
  - *Forward edge*: from ancestor to descendent
  - *Cross edge*: between a tree or subtrees
    - From a grey node to a black node



# DFS Example

*source  
vertex*



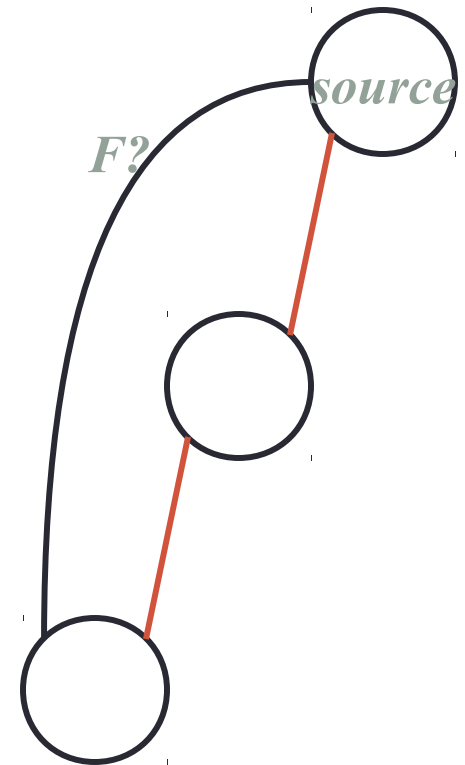
*Tree edges*   *Back edges*   *Forward edges*   *Cross edges*

# DFS: Kinds of edges

- DFS introduces an important distinction among edges in the original graph:
  - *Tree edge*: encounter new (white) vertex
  - *Back edge*: from descendent to ancestor
  - *Forward edge*: from ancestor to descendent
  - *Cross edge*: between a tree or subtrees
- Note: tree & back edges are important; most algorithms don't distinguish forward & cross

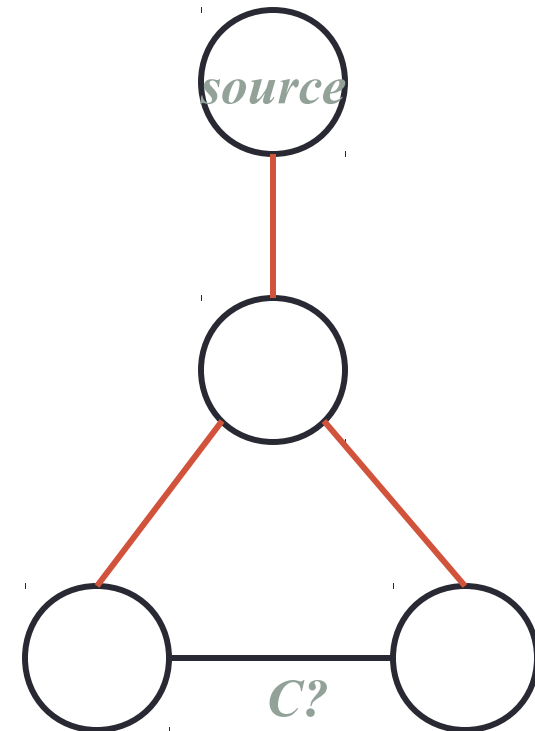
# DFS: Kinds Of Edges

- Thm 23.9: If  $G$  is undirected, a DFS produces only tree and back edges
- Proof by contradiction:
  - Assume there's a forward edge
    - But F? edge must actually be a back edge (*why?*)



# DFS: Kinds Of Edges

- Thm 23.9: If  $G$  is undirected, a DFS produces only tree and back edges
- Proof by contradiction:
  - Assume there's a cross edge
    - But  $C?$  edge cannot be cross:
    - must be explored from one of the vertices it connects, becoming a tree vertex, before other vertex is explored
    - So in fact the picture is wrong...both lower tree edges cannot in fact be tree edges



# DFS And Graph Cycles

- Thm: An undirected graph is *acyclic* iff a DFS yields no back edges
  - If acyclic, no back edges (because a back edge implies a cycle)
  - If no back edges, acyclic
    - No back edges implies only tree edges (*Why?*)
    - Only tree edges implies we have a tree or a forest
    - Which by definition is acyclic
- Thus, can run DFS to find whether a graph has a cycle

# DFS And Cycles

- *How would you modify the code to detect cycles?*

DFS (G)

```
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

DFS\_Visit(u)

```
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

# DFS And Cycles

- *What will be the running time?*

DFS (G)

```
{
    for each vertex u ∈ G->V
    {
        u->color = WHITE;
    }
    time = 0;
    for each vertex u ∈ G->V
    {
        if (u->color == WHITE)
            DFS_Visit(u);
    }
}
```

DFS\_Visit(u)

```
{
    u->color = GREY;
    time = time+1;
    u->d = time;
    for each v ∈ u->Adj[]
    {
        if (v->color == WHITE)
            DFS_Visit(v);
    }
    u->color = BLACK;
    time = time+1;
    u->f = time;
}
```

# DFS And Cycles

- *What will be the running time?*
- A:  $O(V+E)$
- We can actually determine if cycles exist in  $O(V)$  time:
  - In an undirected acyclic forest,  $|E| \leq |V| - 1$
  - So count the edges: if ever see  $|V|$  distinct edges, must have seen a back edge along the way