# Assignment 3

# Question 1. [16 MARKS]

Given a list L, a contiguous sublist M of L is a sublist of L whose elements occur in immediate succession in L. For instance, [4,7,2] is a contiguous sublist of [0,4,7,2,4] but [4,7,2] is not a contiguous sublist of [0,4,7,1,2,4].

We consider the problem of computing, for a list of integers L, a contiguous sublist M of L with maximum possible sum.

# Algorithm 1 MaxSublist(L)

*iprecondition*: L is a list of integers.

 $ipostcondition_{\delta}$ : Return a contiguous sublist of L with maximum possible sum.

# **Part** (1) [5 MARKS]

Using a divide-and-conquer approach, devise a recursive algorithm which meets the requirements of MaxSublist.

#### Solution:

Our algorithm will rely on the following subprocess.

# $\overline{\textbf{Algorithm 2} \ MaxSublistCrossing}(L, i)$

### iprecondition ::

L is a list of integers and i is an integer index,  $0 \le i < |L| - 1$ .

# postcondition:

Return a contiguous sublist of L which crosses index i and achieves maximum possible sum subject to this requirement.

```
1: r \leftarrow i + 1
 2: S \leftarrow 0
 3: r_{max} \leftarrow i + 1
 4: S_{max} \leftarrow 0
 5: while r < |L| do
          S \leftarrow S + L[r]
          r \leftarrow r + 1
 7:
          if S > S_{max} then
 8:
               S_{max} \leftarrow S
 9:
               r_{max} \leftarrow r
10:
          end if
11:
12: end while
13:
14: \ell \leftarrow i-1
15: S \leftarrow 0
16: \ell_{max} \leftarrow i - 1
17: S_{max} \leftarrow 0
18: while \ell > 0 do
          S \leftarrow S + L[\ell - 1]
19:
          \ell \leftarrow \ell - 1
20:
          if S > S_{max} then
21:
               S_{max} \leftarrow S
22:
               \ell_{max} \leftarrow k
23:
24:
          end if
25: end while
26:
27: return L[\ell_{max}:r_{max}]
```

Then our main algorithm may be defined as follows.

### Algorithm 3 MaxSublist(L)

 $iprecondition_{\partial}$ : L is a list of integers.

 $ipostcondition_{\delta}$ : Return a contiguous sublist of L with maximum possible sum.

```
1: if |L| = 0 then
          return L
 3: else if |L| = 1 and L[0] > 0 then
          return L
 5: else if |L| = 1 and L[0] \leq 0 then
          return []
 7: else
 8:
          n \leftarrow |L|
          L_1 \leftarrow MaxSublist\left(L\left[0:\left\lceil\frac{n}{2}\right\rceil\right]\right)
          L_2 \leftarrow MaxSublist\left(L\left\lceil \left\lceil \frac{n}{2} \right\rceil : n\right\rceil\right)
10:
          L_3 \leftarrow MaxSublistCrossing(L, \lceil \frac{n}{2} \rceil)
11:
12:
          return L_i which has maximum sum
13: end if
```

# **Part (2)** [8 MARKS]

Give a complete proof of correctness for your algorithm. If you use an iterative subprocess, prove the correctness of this also.

#### Solution:

First, we prove the correctness of the iterative subprocess MaxSublistCrossing.

We will handle each of the loops separately.

### LOOP 1.

# Define a loop invariant

# $LI_1(r, S, r_{max}, S_{max})$ :

- $r \in \mathbb{N}$  satisfies  $r \leq |L|$
- S = sum(L[i+1:r])
- $r_{max} = \arg\max_{t:i+1 \le t \le r} \operatorname{sum}(L[i+1:t])$
- $S_{max} = \operatorname{sum}(L[i+1:r_{max}])$

# Establish loop invariant

At the start of the first iteration of the first loop, r = i + 1, S = 0 and  $r_{max} \leftarrow i + 1$ . Hence,

- $S = 0 = \operatorname{sum}(L[i+1:i+1]) = \operatorname{sum}(L[i+1:r])$
- $r_{max} = i + 1 = \arg\max_{t:i+1 \le t \le i+1} \operatorname{sum}(L[i+1:t]) = \arg\max_{t:i+1 \le t \le r} \operatorname{sum}(L[i+1:t])$
- $S_{max} = 0 = \text{sum}(L[i+1:i+1]) = \text{sum}(L[i+1:r_{max}])$

Therefore,  $LI_1(r, S, r_{max}, S_{max})$  holds.

### Maintain loop invariant

Now let  $r^0, S^0, r^0_{max}, S^0_{max}$  be the values of  $r, S, r_{max}, S_{max}$  at the start of an arbitrary iteration.

Let  $r^1, S^1, r^1_{max}, S^1_{max}$  be the values of  $r, S, r_{max}, S_{max}$  at the end of that iteration.

Assume  $LI_1(r^0, S^0, r_{max}^0, S_{max}^0)$ . Note:

- Since  $r^0$  did not satisfy the exit condition, then  $r^0 < |L|$  and hence  $r^1 = r^0 + 1$  satisfies  $r^1 \le 1$ .
- Since  $S^1 = S^0 + L[r^0]$  and  $S^0 = \text{sum}(L[i+1:r^0])$ , we have that

$$S^1 = \text{sum}(L[i+1:r^0+1]) = \text{sum}(L[i+1:r^1])$$

• Since

$$r_{max}^0 = \mathop{\arg\max}_{t:i+1 < t < r^0} \mathop{\mathrm{sum}}(L[i+1:t])$$

then

$$\mathop{\arg\max}_{t:i+1 \le t \le r^1} \mathop{\mathrm{sum}}(L[i+1:t])$$

is either  $r_{max}^0$  or  $r^1$  according to which of sum $(L[i+1:r_{max}^0])$  or sum $(L[i+1:r^1])$  is greater. MaxSublistCrossing identifies these cases appropriately so that

$$r_{max}^{1} = \underset{t:i+1 \le t \le r^{1}}{\arg \max} \operatorname{sum}(L[i+1:t])$$

• If  $r_{max}^1 = r_{max}^0$ , then  $\text{sum}(L[i+1:r_{max}^1]) = \text{sum}(L[i+1:r_{max}^0])$  which agrees with the fact that  $S_{max}^1$  is taken to be  $S_{max}^0$ . If  $r_{max}^1 \neq r_{max}^0$ , then  $S_{max}^1$  is taken to be

$$S^1 = \text{sum}(L[i+1:r^1]) = \text{sum}(L[i+1:r^1_{max}])$$

### Loop invariant and exit condition

The exit condition is  $r \ge |L|$ . Since r is an index of L, this is satisfied when r = |L| - 1. Assuming this together with  $LI(r, S, r_{max}, S_{max})$ , we get that

$$r_{max} = \underset{t:i+1 \le t \le |L|-1}{\arg\max} \sup(L[i+1:t])$$
 (1)

#### Termination

Define the measure of progress as r. Since r increases by 1 with each iteration, and the algorithm terminates when r > |L| - 2, we may conclude that the algorithm terminates.

### LOOP 2.

Following a similar argument, we may show that the second loop terminates at which point

$$\ell_{max} = \underset{t:0 < t < i}{\arg\max} \operatorname{sum}(L[t:i]) \tag{2}$$

#### POSTCONDITION

Putting together (1) and (2), we get that  $L[\ell_{max} : r_{max}]$  is the maximum-sum sublist of L which includes index i. Since this is what is returned, the postcondition is obtained.

It remains to show the correctness of MaxSublist.

### Base case

The base cases are where |L| = 0 or |L| = 1. It is easy to check that, on these inputs, the output satisfies the postcondition.

#### Recursive step

Consider the recursive case where  $|L| \geq 2$ .

Then recursive calls are made on inputs  $L\left[0:\left\lceil\frac{n}{2}\right\rceil\right]$  and  $L\left[\left\lceil\frac{n}{2}\right\rceil:n\right]$  which both satisfy the algorithms precondition. Thereby, we may assume that  $L_1$  and  $L_2$  satisfy the postconditions of  $MaxSublist\left(L\left[0:\left\lceil\frac{n}{2}\right\rceil\right]\right)$  and  $MaxSublist\left(L\left[\left\lceil\frac{n}{2}\right\rceil:n\right]\right)$  respectively.

Furthermore, we have already shown the correctness of MaxSublistCrossing so, because  $(L, \lceil \frac{n}{2} \rceil)$  satisfies the precondition of MaxSublistCrossing, then  $L_3$  satisfies the postcondition of  $MaxSublistCrossing(L, \lceil \frac{n}{2} \rceil)$ .

It follows that

- $L_1$  is the maximum-sum contiguous sublist of  $L\left[0:\left[\frac{n}{2}\right]\right]$
- $L_2$  is the maximum-sum contiguous sublist of  $L\left[\left\lceil\frac{n}{2}\right\rceil:n\right]$
- $L_3$  is the maximum-sum contiguous sublist of L which includes index  $\left\lceil \frac{n}{2} \right\rceil$ .

Since the maximum-sum contiguous sublist of L must be one of these, returning whichever of  $L_1$ ,  $L_2$  or  $L_3$  has maximum sum meets the postcondition.

#### Termination

- i. Take the measure of input size to be |L|.
- ii. Recursive calls are made when |L| is at least 2 and are made on sublists of length at most  $\lceil \frac{|L|}{2} \rceil$ . Since  $|L| \geq 2$ , then  $\lceil \frac{|L|}{2} \rceil < |L|$ . Thus, the measure of input size goes down by at least one with each recursive call.
- iii. When  $|L| \leq 1$ , then a base case is executed.

## **Part (3)** [3 MARKS]

Analyze the running time of your algorithm.

### Solution:

Treating each addition as a constant-time operation, we have that MaxSublistCrossing(L) runs in linear time O(n) where n is the length of L.

Then the running time of MaxSublist on a list of length n may be given by the recurrence

$$T(n) = T\left(\left\lceil \frac{n}{2}\right\rceil\right) + T\left(\left\lfloor \frac{n}{2}\right\rfloor\right) + O(n)$$

Hence, the appropriate parameters for application of the Master Theorem are a=2, b=2 and k=1. Since  $\log_b a = \log_2 2 = 1 = k$  we may conclude that  $T(n) = O(n \cdot \log n)$ .

# Question 2. [18 MARKS]

For a point  $x \in \mathbb{Q}$  and a closed interval I = [a, b],  $a, b \in \mathbb{Q}$ , we say that I covers x if  $a \le x \le b$ . Given a set of points  $S = \{x_1, \ldots, x_n\}$  and a set of closed intervals  $Y = \{I_1, \ldots, I_k\}$  we say that Y covers S if every point  $x_i$  in S is covered by some interval  $I_j$  in Y.

In the "Interval Point Cover" problem, we are given a set of points S and a set of closed intervals Y. The goal is to produce a minimum-size subset  $Y' \subseteq Y$  such that Y' covers S.

Consider the following greedy strategy for the problem.

### Algorithm 4 Cover(S, Y)

#### iprecondition ::

S is a finite collection of points in  $\mathbb{Q}$ . Y is finite set of closed intervals which covers S.  $ipostcondition_{\dot{s}}$ :

Return a subset Z of Y such that Z is the smallest subset of Y which covers S.

```
1: L = \{x_1, \dots, x_n\} \leftarrow S sorted in nondecreasing order

2: Z \leftarrow \emptyset

3: i \leftarrow 0

4: while i < n do

5: if x_{i+1} is not covered by some interval in Z then

6: I \leftarrow \text{interval } [a, b] \text{ in } Y \text{ which maximizes } b \text{ subject to } [a, b] \text{ containing } x_{i+1}

7: Z.\text{append}(I)

8: end if

9: i \leftarrow i + 1

10: end while

11: return Z
```

Give a complete proof of correctness for *Cover* subject to its precondition and postcondition.

Solution:

# Define the loop invariant

#### LI(Z,i):

- Z covers  $\{x_1,\ldots,x_i\}$ .
- There exists a set  $W, Z \subseteq W \subseteq Y$ , where W is a minimum-size subset of Y which covers S.

### Establish loop invariant

At the start of the first iteration,  $Z = \emptyset$  and i = 0. In this case, the first bullet of the loop invariant says that Z covers  $\emptyset$ . This is vacuously true. Furthermore, since Y covers S, there must exist some set minimum-size  $W \subseteq$  which covers S. Since  $Z = \emptyset$ , then  $Z \subseteq W$ . Therefore, LI(Z, i).

### Maintain the loop invariant

Let  $Z_0$ ,  $i_0$  be the values of Z and i at the start of of an arbitrary iteration.

Let  $Z_1, i_1$  be the values of Z and i at the end of of that iteration.

Assume  $LI(Z_0, i_0)$ .

Then  $Z_0$  covers  $\{x_1, \ldots, x_{i_0}\}$  and there exists  $W_0, Z \subseteq W_0 \subseteq Y$ , which is a minimum-size subset of Y which covers S.

#### Case 1.

If  $Z_0$  already covers  $x_{i_0+1}$ , then  $Z_1 = Z_0$ . In this case,  $Z_1$  covers  $\{x_1, \ldots, x_{i_0+1}\}$  and  $Z_1 \subseteq W_0$ , from which  $LI(Z_1, i_1)$  follows.

#### Case 2.

If  $Z_0$  does not cover  $x_{i_0+1}$ , then  $Z_1$  is obtained from  $Z_0$  by adding an interval  $I = [a, b] \in Y$  which maximizes b subject to being an interval which covers  $x_{i_0+1}$ .

 $W_0$  must cover  $x_{i_0+1}$  by some interval J = [c, d]. Let  $W_1 = (W_0 \setminus \{J\}) \cup \{I\}$  so that  $Z_1 \subseteq W_1 \subseteq Y$ . We want to show that  $W_1$  covers S.

Note:

- $Z_0 \subseteq W_1$  implies that  $W_1$  covers  $\{x_1, \ldots, x_{i_0}\}$ .
- Everything not covered by J is covered by  $W_0 \setminus \{J\}$ .
- Since I = [a, b] was chosen to maximize b, then J = [c, d] must satisfy  $d \leq b$ . Thus, for  $k \geq i_0 + 1$ , if  $x_k$  is covered by J, then  $x_k$  is covered by I.

Putting these facts together, we may conclude that  $W_1$  covers S. Also, since  $W_1$  contains the same number of intervals as  $W_0$ , and  $W_0$  is a minimum subset which covers S, then  $W_1$  is a minimum set which covers S.

Using the fact that  $i_1 = i_0 + 1$ , we may conclude that  $LI(Z_1, i_1)$  holds.

# Loop invariant and exit condition imply post-condition

Assume LI(Z, i) and that the exit condition  $i \geq n$  holds.

Then,

- Z covers  $S = \{x_1, \ldots, x_n\}$ ;
- There exists a set  $W, Z \subseteq W \subseteq Y$ , where W is a minimum-size subset of Y which covers S.

Since W is a minimum-size subset of Y which covers S, then  $Z \subseteq W$  implies that Z is a minimum-size subset of Y which covers S.

Since Z is what is returned by the algorithm, the postcondition is obtained.

#### Termination

- i. Let i be the measure of progress.
- ii. i increases by at least 1 with each iteration.

iii. When  $i \geq |S|$ , the loop terminates.

# Question 3. [10 MARKS]

The first three parts of this question deals with properties of regular expressions (this is question 4 from section 7.7 of Vassos' textbook). Two regular expressions R and S are equivalent, written  $R \equiv S$  if their underlying language is the same i.e.  $\mathcal{L}(R) = \mathcal{L}(S)$ . Let R, S, and T be arbitrary regular expression. For each assertion, state whether it is true or false and justify your answer.

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**Part (1)** [2 MARKS]

If 
$$RS \equiv SR$$
 then  $R \equiv S$ .

Solution: This assertion is false. Consider the following counter example where the underlying alphabet is  $\Sigma = \{0, 1\}$ : let  $R = \epsilon$  and S = 1. Then RS = 1 = SR, but clearly  $\mathcal{L}(R) = \{\epsilon\} \neq \{1\} = \mathcal{L}(S)$ .

**Part (2)** [2 MARKS]

If 
$$RS \equiv RT$$
 and  $R \not\equiv \emptyset$  then  $S \equiv T$ .

Solution: This assertion is also false. Consider the following counter example (again the underlying alphabet is  $\Sigma = \{0, 1\}$ ). Let  $R = 0^*$ ,  $S = \epsilon$ , and  $T = 0^*$ . Observe that RS = R = RT.  $\mathcal{L}(S) \neq \mathcal{L}(T)$  so  $S \not\equiv T$ .

**Part (3)** [2 MARKS]

$$(RS+R)^*R \equiv R(SR+R)^*.$$

Solution: This is true! Consider any string w that is in  $\mathcal{L}((RS+R)^*R)$ .  $w=a_1a_2\cdots a_{n-1}b_{n,1}$  where  $a_i\in\mathcal{L}(RS+R)$  for  $i\in[n-1]$  and  $b_{n,1}\in\mathcal{L}(R)$ . We can further decompose each  $a_i$  as follows:

$$a_i = \begin{cases} b_{i,1}b_{i,2} & \text{where } b_{i,1} \in R, b_{i,2} \in S \text{ if } a_i \in RS \\ b_{i_1} & \text{where } b_{i,1} \in R \text{ if } a_i \in \mathcal{L}(R) \end{cases}$$

We show that  $w \in \mathcal{L}(R(SR+R)^*)$  by considering an alternate decomposition of w. Note that  $b_{1,1} \in R$ . If  $a_i$  has block  $b_{i,2}$ , then  $b_{i,2}b_{i+1,1} \in \mathcal{L}(SR)$  remark  $b_{i+1,1}$  exists since  $i \in [n-1]$ . Thus  $w \in \mathcal{L}(R(SR+R)^*)$  demonstrating that  $(RS+R)^*R \equiv R(SR+R)^*$ .

**Part (4)** [4 MARKS]

Prove or disprove the following statement: for every regular expression R, there exists a FA M such that  $\mathcal{L}(R) = \mathcal{L}(M)$ . Note: even if you find the proof of this somewhere else, please try to write up the proof in your own words. Just citing the proof is NOT enough.

Solution: This statement is true. Observe that a regular expression is defined recursively, so it is natural that We will prove this using structural induction. Our predicate is

$$P(R) :=$$
 "there exists an FA M such that  $\mathcal{L}(R) = \mathcal{L}(M)$ ".

We will show that P(R) is true for all regular expressions R.

Suppose the underlying alphabet is In the base case we need to come up with DFAs  $M_0, M_1, M_2$  for regular expressions  $R_0 = \emptyset$ ,  $R_1 = \{\epsilon\}$ , and  $R_2 = \{a\}$ . These can be seen in Figure 1, Figure 2, and 3 respectively.

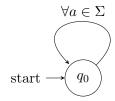


Figure 1:  $M_0$ .

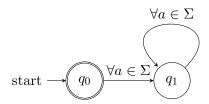


Figure 2:  $M_1$ .

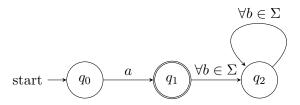


Figure 3:  $M_2$ .

Next, for the inductive hypothesis, we will suppose that for regular expressions S, T, P(S) and P(T) are true. That is there exists finite automaton  $M_S$  and  $M_T$  such that  $\mathcal{L}(S) = \mathcal{L}(M_S)$  and  $\mathcal{L}(T) = \mathcal{L}(M_T)$ . We will show that for regular expressions S + T, ST, and  $S^*$  we can find equivalent FA  $M_{(S+T)}$ ,  $M_{(ST)}$ , and  $M_{S^*}$ . You might find it easer to use the formal definition of finite automaton. Suppose  $M_S$  and  $M_T$  are defined as follows:

$$M_S = (Q_S, \Sigma, \delta_S, b_S, F_S)$$
 and  $M_T = (Q_T, \Sigma, \delta_T, b_T, F_T)$ .

 $M_{(S+T)}$ : Let  $\delta_+: (Q_S \cup Q_T \cup \{q_0\}) \times \Sigma \to (Q_S \cup Q_T)$  such that

$$\delta_{+}(q, a) = \begin{cases} \{b_S, b_T\} & \text{if } q = q_0\\ \delta_s(q, a) & \text{if } q \in Q_S\\ \delta_t(q, a) & \text{if } q \in Q_T \end{cases}$$

Then  $M_{(S+T)}$  can be defined as

$$M_{(S+T)} = (Q_S \cup Q_T \cup \{q_0\}, \Sigma, \delta_+, q_0, F_S \cup F_T).$$

See Figure 4 for the state diagram.

 $M_{(ST)}$ : Let  $\delta_{\cdot}: (Q_S \cup Q_T) \times \Sigma \to (Q_S \cup Q_T)$  such that

$$\delta(q, a) = \begin{cases} b_T & \text{if } q = f_S \\ \delta_s(q, a) & \text{if } q \in Q_S \\ \delta_t(q, a) & \text{if } q \in Q_T \end{cases}$$

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Then  ${\cal M}_{(ST)}$  can be defined as

$$M_{(ST)} = (Q_S \cup Q_T, \Sigma, \delta_{\cdot}, b_S, F_T).$$

See Figure 5 for the state diagram.

 $M_{S^*}$ : Let  $\delta_*: (Q_S \cup \{q_0\}) \times \Sigma \to (Q_S \cup \{q_0\})$  such that

$$\delta_*(q, a) = \begin{cases} q_0 & \text{if } q = f_S, \text{ and} \\ \delta_s(q, a) & \text{if } q \in Q_S \end{cases}$$

Then  $M_{S^*}$  can be defined as

$$M_{S^*} = (Q_S \cup \{q_0\}, \Sigma, \delta_*, q_0, F_S).$$

See Figure 6 for the state diagram.

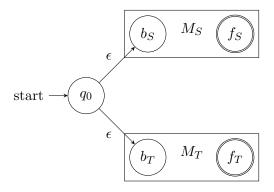


Figure 4:  $M_{(S+T)}$ .

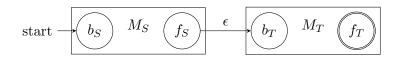


Figure 5:  $M_{(ST)}$ .

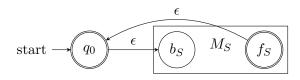


Figure 6:  $M_{S^*}$ .

Note: this is actually part of the proof to show the equivalence of regular expressions and finite automaton. In particular we will show DFA  $\rightarrow$  regular expression  $\rightarrow$  NDFA  $\rightarrow$  DFA. If you see that a student did not do this part well, can you please put a comment to the effect of: please look at the solution quide, this is important for an in-class proof.

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# Question 4. [16 MARKS]

In the following, for each language L over the alphabet  $\Sigma = \{0, 1\}$  construct a regular expression R and a DFA M such that  $\mathcal{L}(R) = \mathcal{L}(M) = L$ . Prove the correctness of your DFA.

# Part (1) [8 MARKS]

Let  $L_1 = \{x \in \{0,1\}^* : \text{ the first and last charactes of } x \text{ are the same} \}$ . Note:  $\epsilon \notin L$  since  $\epsilon$  does not have a first or last character.

Solution: Let  $R_1$  be the following regular expression:

$$R_1 = 0 + 1 + 0(0+1)^*0 + 1(0+1)^*1$$

and  $M_1$  be the DFA shown in Figure 7. We claim that  $L_1 = \mathcal{L}(M_1)$ .

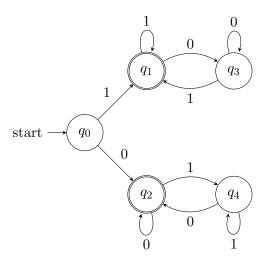


Figure 7: Solution DFA for  $L_1$ .

# Claim 1. $L_1 = \mathcal{L}(M_1)$ .

*Proof.* We will prove the claim by structural induction. First we definite our predicate:

$$P(x) := \delta^*(q_0, x) = \begin{cases} q_0, & \text{if } x = \epsilon \\ q_1, & \text{if } x \text{ starts and ends with a one} \\ q_2, & \text{if } x \text{ starts and ends with a zero} \\ q_3, & \text{if } x \text{ starts with a one and ends with a zero} \\ q_4, & \text{if } x \text{ starts with a zero and ends with a one} \end{cases}$$

We claim that P(x) is true for all  $x \in \{0, 1\}^*$ .

In the base case  $x = \epsilon$ . By inspection, P(x) holds. In the Inductive step x = ya for some  $y \in \{0, 1\}^*$  and  $a \in \{0, 1\}$ . We assume that P(y) holds. There are two cases to consider:

a=0 We need to consider every possible value of  $\delta^*(q_0,y)$ . Observe that

$$\delta^*(q_0, x) = \delta(\delta^*(q_0, y), 0) = \begin{cases} q_2, & \text{if } \delta^*(q_0, y) \in \{q_0, q_2, q_4\} \\ q_3, & \text{if } \delta^*(q_0, y) \in \{q_1, q_3\} \end{cases}$$

By the induction hypothesis we know that  $y \in \{q_0, q_2, q_4\}$  if and only if  $y = \epsilon$  or y starts with a 0 and  $y \in \{q_1, q_3\}$  if and only if y starts with a 1. Thus  $\delta^*(q_0, x) \in q_2$  if and only if x starts with a zero and ends in a zero and  $\delta^*(q_0, x) \in q_3$  if and only if x starts with a one (and ends in a zero).

a=1 Again we need to consider all possible values of  $\delta^*(q_0,y)$ . The details will be omitted since this is similar to the previous case.

Since the state invariants are disjoint and exhaustive, we have shown that  $L_1 = \mathcal{L}(M_1)$  as required.

# **Part (2)** [8 MARKS]

Let a *block* be a maximal sequence of identical characters in a finite string. For example, the string 0010101111 can be broken up into blocks: 00, 1, 0, 1, 0, 1111. Let  $L_2 = \{x \in \{0,1\}^* : x \text{ only contains blocks of length at least three}\}$ .

Solution: Let  $R_2$  be the regular expression:

$$R_2 = (000(0)^* + 111(1)^*)^*$$

and  $M_2$  be the DFA shown in Figure 8. We claim that  $L_2 = \mathcal{L}(M_2)$ .

Claim 2.  $L_2 = \mathcal{L}(M_2)$ .

*Proof.* We will prove the claim by structural induction. First we definite our predicate:

$$P(x) := \delta^*(q_0, x) = \begin{cases} q_0, & \text{if } x = \epsilon \\ q_1, & \text{if the last block of } x \text{ consists of one zero} \\ q_2, & \text{if the last block of } x \text{ consists of two zeros} \\ q_3, & \text{if the last block of } x \text{ consists of at least three zeros} \\ q_4, & \text{if the last block of } x \text{ consists of one one} \\ q_5, & \text{if the last block of } x \text{ consists of two ones} \\ q_6, & \text{if the last block of } x \text{ consists of at least three ones} \\ q_6, & \text{otherwise} \end{cases}$$

We claim that P(x) is true for all  $x \in \{0, 1\}^*$ .

In the base case  $x = \epsilon$ . By inspection, P(x) holds. In the Inductive step x = ya for some  $y \in \{0, 1\}^*$  and  $a \in \{0, 1\}$ . We assume that P(y) holds. There are two cases to consider:

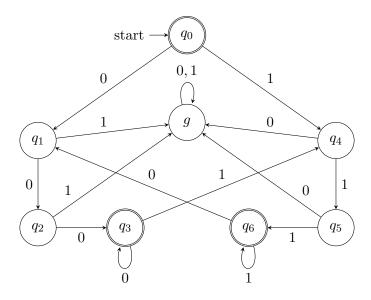


Figure 8: Solution DFA for  $L_2$ .

a=0 We need to consider every possible value of  $\delta^*(q_0,y)$ . Observe that

$$\delta^*(q_0, x) = \delta(\delta^*(q_0, y), 0) = \begin{cases} q_1, & \text{if } \delta^*(q_0, y) \in \{q_0, q_6\} \\ q_2, & \text{if } \delta^*(q_0, y) = q_1 \\ q_3, & \text{if } \delta^*(q_0, y) \in \{q_2, q_3\} \\ g, & \text{otherwise} \end{cases}$$

By the induction hypothesis  $y \in \{q_0, q_6\}$  if and only if  $y = \epsilon$  or y ends with a block of at least three 0. Thus x = y0 in this case is a string whose only/last block consists of one 0. Similarly  $\delta^*(q_0, y) = q_1$  and  $\delta^*(q_0, y) \in \{q_2, q_3\}$  if and only if y ends with a block of one 0, two 0s and at least three 0s respectively. Thus x = y0 ends with a block of two 0s or at least three 0s respectively. In all other cases x = y0 will either contain a block of 1s of size at most two or a block of 0s of size at most two.

a=1 This is identical to the previous case except that all instances of one and zero swap places.

Since the state invariants are disjoint and exhaustive (garbage state g covers all cases not previously specified), we have shown that  $L_2 = \mathcal{L}(M_2)$  as required.