Chapter 5

Relational algebraic ornaments

5.1 Relational program derivation in Agda and relational algebraic ornamentation

In this section, we first introduce and formalise some basic notions in relational program derivation Bird and de Moor [1997] by importing and generalising a small part of the AoPA library Mu et al. [2009]. We then introduce *relational algebraic ornamentation*, which acts as a bridge between the two worlds of internalist programming and relational program derivation. At the end of this section is an example about the *Fold Fusion Theorem* [Bird and de Moor, 1997, Section 6.2] and how the theorem translates to conversion functions between algebraically ornamented datatypes.

Basic definitions for relational program derivation. One common approach to program derivation is by algebraic transformations of functional programs: one begins with a specification in the form of a functional program that expresses straightforward but possibly inefficient computation, and transforms it into an extensionally equal but more efficient functional program by applying algebraic laws and theorems. Using functional programs as the specification language means that specifications are directly executable,

but the deterministic nature of functional programs can result in less flexible specifications. For example, when specifying an optimisation problem using a functional program that generates all feasible solutions and chooses an optimal one among them, the program would enforce a particular way of choosing the optimal solution, but such enforcement should not be part of the specification. To gain more flexibility, the specification language was later generalised to *relational programs*. With relational programs, we specify only the relationship between input and output without actually specifying a way to execute the programs, so specifications in the form of relational programs can be as flexible as possible. Though lacking a directly executable semantics, most relational programs can still be read computationally as potentially partial and nondeterministic mappings, so relational specifications largely remain computationally intuitive as functional specifications.

To emphasise the computational interpretation of relations, we will mainly model a relation between sets *A* and *B* as a function sending each element of *A* to a *subset* of *B*. We define subsets by

```
\wp: \mathsf{Set} \to \mathsf{Set}_1
(Power\ A) = A \to \mathsf{Set}
```

That is, a subset $s:(Power\ A)$ is a characteristic function that assigns a type to each element of A, and a:A is considered to be a member of s if the type $s\ a:$ Set is inhabited. We may regard $(Power\ A)$ as the type of computations that nondeterministically produce an element of A. A simple example is

```
any : \{A : \mathsf{Set}\} \to (Power A)
any = const \top
```

The subset $any: (Power\ A)$ associates the unit type \top with every element of A. Since \top is inhabited, any can produce any element of A. \wp cannot be made into a conventional monad because it is not an endofunctor, but it still has a monadic structure Altenkirch et al. [2010]: return and $_>>= _$ are defined as

```
return : \{A : \mathsf{Set}\} \to A \to (Power\ A)

return = \_\equiv\_

\_>>=\_: \{A\ B : \mathsf{Set}\} \to (Power\ A) \to (A \to (Power\ B)) \to (Power\ B)
```

$$_>>= _\{A\} \ s \ f = \lambda \ b \rightarrow \Sigma \langle a : A \rangle \ s \ a \times f \ a \ b$$

The subset *return* $a:(Power\ A)$ for some a:A simplifies to $\lambda\ a'\to a\equiv a'$ (where $_\equiv_$ is propositional equality), so a is the only member of the subset; if $s:(Power\ A)$ and $f:A\to(Power\ B)$, then the subset $s\ggg f:(Power\ B)$ is the union of all the subsets $f\ a:(Power\ B)$ where a ranges over the elements of A that belong to s, i.e., an element b:B is a member of $s\ggg f$ exactly when there exists some a:A belonging to s such that b is a member of f a.

We will mainly use relations between families of sets in this paper: if X, Y: $I \rightarrow \mathsf{Set}$ for some I: Set, a relation from X to Y is defined as a family of relations from X i to Y i for every i: I.

$$_ \leadsto _ : \{I : \mathsf{Set}\} \to (I \to \mathsf{Set}) \to (I \to \mathsf{Set}) \to \mathsf{Set}_1$$

 $X \leadsto Y = \forall \{i\} \to X \ i \to (Power \ (Y \ i))$

We can use the subset combinators to define relations. For example, the following combinator *fun* lifts a family of functions into a family of relations.

$$fun: \{I: \mathsf{Set}\} \{X \ Y: I \to \mathsf{Set}\} \to (X \rightrightarrows Y) \to (X \leadsto Y)$$
$$funf \ x = return \ (f \ x)$$

The identity relation is just the identity functions lifted to relations.

$$idR: \{I: \mathsf{Set}\} \{X: I \to \mathsf{Set}\} \to (X \leadsto X)$$
 $idR = \mathit{fun} id$

Composition of relations is easily defined with $_>>=$ $_$: computing $R \cdot S$ on input x is first computing S x and then feeding the result to R.

$$_ffl_{-}: \{I : \mathsf{Set}\} \{X \ Y \ Z : I \to \mathsf{Set}\} \to (Y \leadsto Z) \to (X \leadsto Y) \to (X \leadsto Z)$$

 $(R \cdot S) \ x = S \ x \gg R$

Or we may choose to define a relation pointwise, like

$$_ \cap _ : \{I : \mathsf{Set}\} \{X \ Y : I \to \mathsf{Set}\} \to (X \leadsto Y) \to (X \leadsto Y) \to (X \leadsto Y)$$
$$(R \cap S) \ x \ y = R \ x \ y \times S \ x \ y$$

This defines the meet of two relations. Unlike a function, which distinguishes between input and output, inherently a relation treats its domain and codomain

symmetrically. This is reflected by the presence of the following *converse* operator:

$$_{^{o}}: \{I: \mathsf{Set}\} \{X \ Y: I \to \mathsf{Set}\} \to (X \leadsto Y) \to (Y \leadsto X)$$

$$(R^{o}) \ y \ x \ = \ R \ x \ y$$

A relation can thus be "run backwards" simply by taking its converse. The nondeterministic and bidirectional nature of relations makes them a powerful and concise language for specifications, as will be demonstrated in Section 5.2.

Laws and theorems in relational program derivation are formulated with relational inclusion

$$_\subseteq_: \{I: \mathsf{Set}\} \{X \ Y: I \to \mathsf{Set}\} (R \ S: X \leadsto Y) \to \mathsf{Set}$$

 $R \subseteq S = \forall \{i\} \to (x: X \ i) (y: Y \ i) \to R \ x \ y \to S \ x \ y$

or equivalence of relations, which is defined as two-way inclusion:

$$_\simeq_: \{I: \mathsf{Set}\} \{X \ Y: I \to \mathsf{Set}\} \ (R \ S: X \leadsto Y) \to \mathsf{Set}$$

 $R \simeq S = (R \subseteq S) \times (S \subseteq R)$

We will also need *relators*, i.e., monotonic functors on relations with respect to relational inclusion.

$$\mathbb{R} \ : \ \{I \ : \ \mathsf{Set}\} \ (D \ : \ \mathsf{Desc} \ I) \ \{X \ Y \ : \ I \to \mathsf{Set}\} \to \\ (X \leadsto Y) \to (\mathbb{F} \ D \ X \leadsto \mathbb{F} \ D \ Y)$$

If $R: X \rightsquigarrow Y$, the relation \mathbb{R} D $R: \mathbb{F}$ D $X \rightsquigarrow \mathbb{F}$ D Y applies R to the recursive positions of its input, leaving everything else intact. For example, if D = ListD A (for some A: Set), then \mathbb{R} (ListD A) essentially specialises to

$$\mathbb{R} \ (ListD \ A) : \{X \ Y : I \to \mathsf{Set}\} \to \\ (X \leadsto Y) \to (\mathbb{F} \ (ListD \ A) \ X \leadsto \mathbb{F} \ (ListD \ A) \ Y)$$

$$\mathbb{R} \ (ListD \ A) \ R \ (nil - tag \ , \bullet) = return \ (nil - tag \ , \bullet)$$

$$\mathbb{R} \ (ListD \ A) \ R \ (cons - tag \ , a \ , x) = R \ x \gg \lambda \ y \to return \ (cons - tag \ , a \ , y)$$

Among other properties, we can prove that \mathbb{R} D preserves identity (\mathbb{R} D $idR \simeq idR$), composition (\mathbb{R} D ($R \cdot S$) $\simeq \mathbb{R}$ D $R \cdot \mathbb{R}$ D S), converse (\mathbb{R} D ($R \cdot S$) $\simeq (\mathbb{R}$ D R) \circ), and is monotonic ($R \subseteq S$ implies \mathbb{R} D $R \subseteq \mathbb{R}$ D S).

With relational inclusion, many concepts can be expressed in a surprisingly

concise way. For example, a relation R is a preorder if it is reflexive and transitive. In relational terms, these two conditions are expressed simply as $idR \subseteq R$ and $R \cdot R \subseteq R$, and are easily manipulable in calculations. Another important notion is *monotonic algebras* [Bird and de Moor, 1997, Section 7.2]: an algebra $S : \mathbb{F} DX \rightsquigarrow X$ is *monotonic* on $R : X \rightsquigarrow X$ (usually an ordering) if

$$S \cdot \mathbb{R} DR \subseteq R \cdot S$$

which says that if two input values to S have their recursive positions related by R and are otherwise equal, then the output values would still be related by R. In the context of optimisation problems, monotonicity can be used to capture the *principle of optimality*, as will be shown in Section 5.2.

Having defined relations as nondeterministic mappings, it is straightforward to port the datatype-generic *fold* to relations:

The definition of *foldR* is obtained by rewriting the definition of *fold* with the subset combinators. For example, the relational fold on lists would essentially be

```
foldR \ \{\top\} \ \{ListD \ A\} \ : \ \{X : \ \top \to \mathsf{Set}\} \to \\ (\mathbb{F} \ (ListD \ A) \ X \leadsto X) \to \\ (\mu \ (ListD \ A) \ \leadsto X)
(cata \ (R)) \ [] = R \ (nil - tag \ , \bullet)
(cata \ (R)) \ (a :: as) = (cata \ (R)) \ as \gg \lambda \ x \to R \ (cons - tag \ , a \ , x)
```

The functional and relational fold operators are related by the following lemma:

```
fun - preserves - fold:
\{I : \mathsf{Set}\}\ (D : \mathsf{Desc}\ I)\ \{X : I \to \mathsf{Set}\}\ (f : \mathbb{F}\ D\ X \rightrightarrows X) \to fun\ (fold\ f) \simeq (cata\ (fun\ f))
```

Relational algebraic ornamentation. We now turn to relational algebraic ornamentation, the key construct that bridges internalist programming and

relational program derivation. Let $R: \mathbb{F}(ListD\ A)\ X \leadsto X$ (where $X: \mathbb{T} \to \mathsf{Set}$) be a relational algebra for lists. We can define a datatype of "algebraic lists" as

indexfirst data $AlgList \ A \ R : X \bullet \to \mathsf{Set} \ \mathsf{where}$

```
AlgList A R x accepts nil (rnil : R (nil - tag, \bullet) x)

| cons (a : A) (x' : X \bullet) (as : AlgList A R x')

(rcons : R (cons - tag, a, x') x)
```

There is an ornament from lists to algebraic lists which marks the fields rnil, x', and rcons in AlgList as additional and refines the index of the recursive position to x'. The promotion predicate for this ornament is

```
indexfirst data AlgListP \ A \ R : X \bullet \to List \ A \to Set \ where
AlgListP \ A \ R \ x \ [] \qquad accepts \ nil \ (rnil : R \ (nil - tag \ , \bullet) \ x)
AlgListP \ A \ R \ x \ (a :: as) \ accepts \ cons \ (x' : X \bullet)
(p : AlgListP \ A \ R \ x' \ as)
(rcons : R \ (cons - tag \ , a \ , x') \ x)
```

A simple argument by induction shows that $AlgListP \ A \ R \ x \ as$ is in fact isomorphic to $(cata\ (R))$ as x for any as: List A and x: X •. As a corollary, we have

AlgList
$$A R x \cong \Sigma \langle as : \text{List } A \rangle \text{ (cata } (R)) \text{ as } x$$
 (5.1)

for any x:X • by (??). That is, an algebraic list is exactly a plain list and a proof that the list folds to x using the algebra R. The vector datatype is a special case of AlgList — to see that, define

```
length - alg : \mathbb{F}(ListD\ A) \ (const\ Nat) \Rightarrow const\ Nat
length - alg \ (nil - tag \ , \bullet) = zero
length - alg \ (cons - tag \ , a \ , n) = suc\ n
```

and take $R = fun \ length - alg$. From (5.1) we have the isomorphisms

$$Vec\ A\ n\ \cong\ \Sigma\langle as: List\ A\ \rangle\ (cata\ (fun\ length-alg))\ as\ n$$

for all n: Nat, from which we can derive

Vec
$$A n \cong \Sigma \langle as : \text{List } A \rangle$$
 length $as \equiv n$

by fun - preserves - fold, after defining $length = fold \ length - alg$.

The above can be generalised to all datatypes encoded by the Desc universe. Let D: Desc I be a description and R: $\mathbb{F} DX \rightsquigarrow X$ (where X: $I \rightarrow Set$) an algebra. The (relational) *algebraic ornamentation* of D with R is an ornamental description

$$algOrn\ D\ R\ :\ OrnDesc\ (\Sigma\ I\ X)\ outl\ D$$

(where outl: $\Sigma I X \to I$). Its definition is a slight generalisation of the one given by Dagand and McBride [Dagand and McBride, 2012, supplementary code]. The promotion predicate for the ornament $\lceil algOrn \ D \ R \rceil$ is pointwise isomorphic to $(cata \ (R))$, i.e.,

$$PromP \left[algOrn \ D \ R \right] \ (ok \ (i \ , x)) \ d \ \cong \ (cata \ (R)) \ d \ x \tag{5.2}$$

for all i:I, x:X i, and $d:\mu D$ i. As a corollary, we have the following isomorphisms

$$\mu \mid algOrn \ D \ R \mid (i, x) \cong \Sigma \langle d : \mu \ D \ i \rangle \ (cata \ (R)) \ d \ x$$
 (5.3)

for all i:I and x:X i by (??). For example, taking D=ListD A, the type AlgList A R x can be thought of as the high-level presentation of $\mu \lfloor algOrn \ (ListD \ A) \ R \rfloor$ (• , x). Algebraic ornamentation is a very convenient method for adding new indices to inductive families, and most importantly, it says precisely what the new indices mean. The method was demonstrated by McBride [2011] with a correct-by-construction compiler for a small language, and will be demonstrated again in Section 5.2.

Example: the Fold Fusion Theorem. As a first example of bridging internalist programming with relational program derivation through algebraic ornamentation, let us consider the *Fold Fusion Theorem* [Bird and de Moor, 1997, Section 6.2]: Let $D: Desc\ I$ be a description, $R: X \rightsquigarrow Y$ a relation, and $S: \mathbb{F}DX \rightsquigarrow X$ and $T: \mathbb{F}DY \rightsquigarrow Y$ be algebras. If R is a homomorphism from S to T, i.e.,

$$R \cdot S \simeq T \cdot \mathbb{R} D R$$

which is referred to as the fusion condition, then we have

$$R \cdot (cata(S)) \simeq (cata(T))$$

The above is, in fact, a corollary of two variations of Fold Fusion that replace relational equivalence in the statement of the theorem with relational inclusion. One of the variations is

$$R \cdot S \subseteq T \cdot \mathbb{R} D R$$
 implies $R \cdot (cata(S)) \subseteq (cata(T))$

This can be used with (5.3) to derive a conversion between algebraically ornamented datatypes:

```
algOrn - fusion - \subseteq D R S T :
R \cdot S \subseteq T \cdot \mathbb{R} D R \rightarrow
\{i : I\} (x : X i) \rightarrow \mu \mid algOrn D S \mid (i, x) \rightarrow
(y : Y i) \rightarrow R x y \rightarrow \mu \mid algOrn D T \mid (i, y)
```

The other variation of Fold Fusion simply reverses the direction of inclusion:

$$R \cdot S \supseteq T \cdot \mathbb{R} D R$$
 implies $R \cdot (cata(S)) \supseteq (cata(T))$

which translates to the conversion

```
algOrn - fusion - \supseteq D R S T :
R \cdot S \supseteq T \cdot \mathbb{R} D R \rightarrow
\{i : I\} (y : Y i) \rightarrow \mu \mid algOrn D T \mid (i, y) \rightarrow
\Sigma \langle x : X i \rangle \mu \mid algOrn D S \mid (i, x) \times R x y
```

For a simple example, suppose that we need a "bounded" vector datatype, i.e., lists indexed with an upper bound on their length. A quick thought might lead to this definition

```
BVec : Set \rightarrow Nat \rightarrow Set
BVec A m = \mu \mid algOrn (ListD A) (geq \cdot fun \ length - alg) \mid (\blacksquare, m)
```

where $geq = \lambda \ x \ y \to x \leqslant y : const \ Nat \leadsto const \ Nat \ maps a natural number <math>x$ to any natural number that is at least x. The isomorphisms (5.3) specialise for BVec to

$$\mathit{BVec}\ A\ m\ \cong\ \Sigma\langle\mathit{as}:\mathsf{List}\ A\,\rangle\ (\mathit{cata}\ (\mathit{geq}\ \cdot\mathit{fun}\ \mathit{length}-\mathit{alg}))\ \mathit{as}\ \mathit{m}$$

But is BVec really the bounded vectors? Indeed it is, because we can deduce

$$geq \cdot (cata (fun length - alg)) \simeq (cata (geq \cdot fun length - alg))$$

by Fold Fusion (where $(cata\ (fun\ length - alg))$ is equivalent to $fun\ length$ by fun - preserves - fold). The fusion condition is

$$geq \cdot fun \ length - alg \simeq geq \cdot fun \ length - alg \cdot \mathbb{R} \ (ListD \ A) \ geq$$

The left-to-right inclusion is easily calculated as follows:

$$geq \cdot fun \ length - alg$$

$$\subseteq \{ idR \ identity \} \}$$

$$geq \cdot fun \ length - alg \cdot idR$$

$$\subseteq \{ relator \ preserves \ identity \} \}$$

$$geq \cdot fun \ length - alg \cdot \mathbb{R} \ (ListD \ A) \ idR$$

$$\subseteq \{ geq \ reflexive \} \}$$

$$geq \cdot fun \ length - alg \cdot \mathbb{R} \ (ListD \ A) \ geq$$

And from right to left:

$$geq \cdot fun \ length - alg \cdot \mathbb{R} \ (ListD \ A) \ geq$$

$$\subseteq \{ fun \ length - alg \ monotonic \ on \ geq \}$$
 $geq \cdot geq \cdot fun \ length - alg$

$$\subseteq \{ geq \ transitive \}$$
 $geq \cdot fun \ length - alg$

Note that these calculations are good illustrations of the power of relational calculation despite their simplicity — they are straightforward symbolic manipulations, hiding details like quantifier reasoning behind the scenes. As demonstrated by the AoPA library Mu et al. [2009], they can be faithfully formalised with preorder reasoning combinators in Agda and used to discharge the fusion conditions of $algOrn - fusion - \subseteq$ and $algOrn - fusion - \supseteq$. Hence we get two conversions, one of type

$$Vec A n \rightarrow (n \leqslant m) \rightarrow BVec A m$$

which relaxes a vector of length n to a bounded vector whose length is bounded above by some m that is at least n, and the other of type

BVec
$$A m \rightarrow \Sigma \langle n : \mathsf{Nat} \rangle \mathsf{Vec} A n \times (n \leq m)$$

which converts a bounded vector whose length is at most m to a vector of length precisely n and guarantees that n is at most m.

Theoretically, the conversions derived from Fold Fusion are actually more generally applicable than they seem, because *every ornament is an algebraic ornament up to isomorphism*. This we show next.

5.2 Example: the minimum coin change problem

Suppose that we have an unlimited number of 1-penny, 2-pence, and 5-pence coins, modelled by the following datatype:

```
data Coin : Set where
  onep twop fivep : Coin
```

Given n: Nat, the *minimum coin change problem* asks for the least number of coins that make up n pence. We can give a relational specification of the problem with the following operator:

```
min_- \cdot \Lambda_- : \{I : \mathsf{Set}\} \{X \ Y : I \to \mathsf{Set}\}\
(R : Y \leadsto Y) \ (S : X \leadsto Y) \to (X \leadsto Y)
(min \ R \cdot \Lambda \ S) \ x \ y = S \ x \ y \times (\forall \ y' \to S \ x \ y' \to R \ y' \ y)
```

An input x:X i for some i:I is mapped by $min\ R \cdot \Lambda\ S$ to y:Y i if y is a possible result in $S\ x:(Power\ (Y\ i))$ and is the smallest such result under R, in the sense that any y' in $S\ x:(Power\ (Y\ i))$ must satisfy $R\ y'\ y$ (i.e., R maps larger inputs to smaller outputs). Intuitively, we can think of $min\ R\cdot \Lambda\ S$ as consisting of two steps: the first step $\Lambda\ S$ computes the set of all possible results yielded by S, and the second step $min\ R$ chooses a minimum result from that set (nondeterministically). We use bags of coins as the type of solutions, and represent them as decreasingly ordered lists indexed with an upper bound. (This is a deliberate choice to make the derivation work, but one would naturally turn to this representation having attempted to apply the

Greedy Theorem, which will be introduced shortly.) If we define the ordering on coins as

```
\_ \leqslant C_- : Coin \rightarrow Coin \rightarrow Set
c \leqslant C d = value c \leqslant value d
```

where the values of the coins are defined by

```
value: Coin \rightarrow Nat
value onep = 1
value twop = 2
value fivep = 5
```

then the datatype of coin bags we use is

```
indexfirst data CoinBag : Coin \rightarrow Set where CoinBag \ c \ accepts nil | cons \ (d : Coin) \ (leq : d \le C \ c) \ (b : CoinBag \ d)
```

Down at the universe level, the (ornamental) description of CoinBag (relative to List Coin) is simply that of OrdList Coin ($flip \subseteq C$).

```
CoinBagOD: OrnDesc Coin! (ListD Coin)

CoinBagOD = OrdListOD Coin (flip \_ \le C\_)

CoinBagD: Desc Coin

CoinBagD = \_ CoinBagOD\_

CoinBag: Coin \rightarrow Set

CoinBag = \mu CoinBagD
```

The base functor for CoinBag is

The total value of a coin bag is the sum of the values of the coins in the bag, which is computed by a (functional) fold:

```
total - value - alg : \mathbb{F} CoinBagD (const Nat) \Rightarrow const Nat total - value - alg (nil - tag , _ ) = 0
```

```
total - value - alg (cons - tag, d, _ , n) = value d + n

total - value : CoinBag \Rightarrow const Nat

total - value = fold total - value - alg
```

and the number of coins in a coin bag is also computed by a fold:

```
size - alg : \mathbb{F} \ CoinBagD \ (const \ Nat) \Rightarrow const \ Nat
size - alg \ (nil - tag \ , \_ \ ) = 0
size - alg \ (cons - tag \ , \_ \ , \_ \ , n) = 1 + n
size : CoinBag \Rightarrow const \ Nat
size = fold \ size - alg
```

The specification of the minimum coin change problem can now be written as

```
min-coin-change: const\ Nat \leadsto CoinBag min-coin-change= min\ (fun\ size\ ^{\circ}\cdot leq\cdot fun\ size)\cdot \Lambda\ (fun\ total-value\ ^{\circ})
```

where leq = geq ° : const Nat $\leadsto const$ Nat maps a natural number n to any natural number that is at most n. Intuitively, given an input n : Nat, the relation $fun \ total - value$ ° computes an arbitrary coin bag whose total value is n, so min - coin - change first computes the set of all such coin bags and then chooses from the set a coin bag whose size is smallest. Our goal, then, is to write a functional program f : const Nat $\Rightarrow CoinBag$ such that $fun \ f \subseteq min - coin - change$, and then $f \ fivep :$ Nat $\rightarrow CoinBag \ fivep$ would be a solution — note that the type $CoinBag \ fivep$ contains all coin bags, since fivep is the largest denomination and hence a trivial upper bound on the content of bags. Of course, we may guess what f should look like, but its correctness proof is much harder. Can we construct the program and its correctness proof in a more manageable way?

The plan. In traditional relational program derivation, we would attempt to refine min - coin - change to some simpler relational program and then to an executable functional program by applying algebraic laws and theorems. With algebraic ornamentation, however, there is a new possibility: if we can

derive that, for some algebra $R : \mathbb{F} CoinBagD (const \, Nat) \leadsto const \, Nat$,

$$(cata\ (R))^{\circ} \subseteq min - coin - change$$
 (5.4)

then we can manufacture a new datatype

 $GreedySolutionOD: OrnDesc (Coin \times Nat) outl CoinBagD$

 $GreedySolutionOD = algOrn\ CoinBagD\ R$

 $GreedySolution: Coin \rightarrow Nat \rightarrow Set$

GreedySolution c n = μ | *GreedySolutionOD* | (c , n)

and construct a function of type

$$greedy: (c:Coin) (n:Nat) \rightarrow GreedySolution c n$$

from which we can assemble a solution

$$sol : Nat \rightarrow CoinBag fivep$$

 $sol = forget \lceil GreedySolutionOD \rceil \circ greedy fivep$

The program sol satisfies the specification because of the following argument: For any c: Coin and n: Nat, by (5.3) we have

GreedySolution c n
$$\cong \Sigma \langle b : CoinBag c \rangle$$
 (cata (R)) b n

In particular, since the first half of the left-to-right direction of the isomorphism is $forget \ \lceil GreedySolutionOD \rceil$, we have

$$(cata\ (R))\ (forget\ \lceil GreedySolutionOD\ \rceil\ g)\ n$$

for any *g* : *GreedySolution c n*. Substituting *g* by *greedy fivep n*, we get

which implies, by (5.4),

$$min - coin - change n (sol n)$$

i.e., sol satisfies the specification. Thus all we need to do to solve the minimum coin change problem is (i) refine the specification min - coin - change to the converse of a fold, i.e., find the algebra R in (5.4), and (ii) construct the internalist program greedy.

Refining the specification. The key to refining min - coin - change to the converse of a fold lies in the following version of the *Greedy Theorem*, which is essentially a specialisation of Bird and de Moor's Theorem 10.1 Bird and de Moor [1997]: Let D: Desc I be a description, R: μ $D \rightsquigarrow \mu$ D a preorder, and S: \mathbb{F} D $X \rightsquigarrow X$ an algebra. Consider the specification

$$min R \cdot \Lambda ((cata (S))^{\circ})$$

That is, given an input value x:X i for some i:I, we choose a minimum under R among all those elements of μ D i that computes to x through $(cata\ (S))$. The Greedy Theorem states that, if the initial algebra $\alpha = fun$ con: $\mathbb{F}\ D\ (\mu\ D) \leadsto \mu\ D$ is monotonic on R (where con: $\mathbb{F}\ D\ (\mu\ D) \rightrightarrows \mu\ D$ is the datatype-generic constructor), i.e.,

$$\alpha \cdot \mathbb{R} D R \subseteq R \cdot \alpha$$

and there is a relation (ordering) $Q : \mathbb{F} D X \leadsto \mathbb{F} D X$ such that the *greedy* condition

$$\alpha \cdot \mathbb{R} \ D \ ((\textit{cata}\ (S))^{\ o}) \cdot (Q \cap (S^{\ o} \cdot S))^{\ o} \subseteq R^{\ o} \cdot \alpha \cdot \mathbb{R} \ D \ ((\textit{cata}\ (S))^{\ o})$$
 is satisfied, then we have

$$(cata\ ((min\ Q\ \cdot \Lambda\ (S\ ^{o}))\ ^{o}))\ ^{o}\subseteq min\ R\ \cdot \Lambda\ ((cata\ (S))\ ^{o})$$

Here we offer an intuitive explanation of the Greedy Theorem, but the theorem admits an elegant calculational proof, which can be faithfully reprised in Agda. The monotonicity condition states that if $ds: \mathbb{F} D (\mu D) i$ for some i: I is better than $ds': \mathbb{F} D (\mu D) i$ under $\mathbb{R} D R$, i.e., ds and ds' are equal except that the recursive positions of ds are all better than the corresponding recursive positions of ds' under R, then con $ds: \mu D i$ would be better than con $ds': \mu D i$ under R. This implies that, when solving the optimisation problem, better solutions to subproblems would lead to a better solution to the original problem, so the *principle of optimality* applies, i.e., to reach an optimal solution it suffices to find optimal solutions to subproblems, and we are entitled to use the converse of a fold to find optimal solutions recursively. The greedy condition further states that there is an ordering Q on the ways of decomposing the problem which has significant influence on the quality of solutions: Suppose

```
data CoinBag'View : {c : Coin} {n : Nat} {l : Nat} → CoinBag' c n l → Set where
empty : {c : Coin} → CoinBag'View {c} {0} {0} bnil'
oneponep : {m l : Nat} {lep : onep \leq C onep} (b : CoinBag' onep m l) → CoinBag'View {one}
oneptwop : {m l : Nat} {lep : onep \leq C twop} (b : CoinBag' onep m l) → CoinBag'View {two
twoptwop : {m l : Nat} {lep : twop \leq C twop} (b : CoinBag' twop m l) → CoinBag'View {two
onepfivep : {m l : Nat} {lep : onep \leq C fivep} (b : CoinBag' onep m l) → CoinBag'View {five
twopfivep : {m l : Nat} {lep : twop \leq C fivep} (b : CoinBag' twop m l) → CoinBag'View {five
fivepfivep : {m l : Nat} {lep : fivep \leq C fivep} (b : CoinBag' fivep m l) → CoinBag'View {five
```

Figure 5.1 The view datatype on *CoinBag'*.

that there are two decompositions xs and xs': $\mathbb{F} D X i$ of some problem x: X i for some i:I, i.e., both xs and xs' are in $S \circ x:(Power(\mathbb{F} D X i))$, and assume that xs is better than xs' under Q. Then for any solution resulting from xs' (computed by $\alpha \in \mathbb{R} D$ (($cata(S)) \circ$)) there always exists a better solution resulting from xs, so ignoring xs' would only rule out worse solutions. The greedy condition thus guarantees that we will arrive at an optimal solution by always choosing the best decomposition, which is done by $min Q \circ \Lambda(S \circ): X \leadsto \mathbb{F} D X$.

Back to the coin changing problem: By fun - preserves - fold, the specification min - coin - change is equivalent to

```
min (fun \ size^{\circ} \cdot leq \cdot fun \ size) \cdot \Lambda ((cata (fun \ total - value - alg))^{\circ})
```

which matches the form of the generic specification given in the Greedy Theorem, so we try to discharge the two conditions of the theorem. The monotonicity condition reduces to monotonicity of $fun\ size-alg$ on leq, and can be easily proved either by relational calculation or pointwise reasoning. As for the greedy condition, an obvious choice for Q is an ordering that leads us to choose the largest possible denomination, so we go for

```
Q: \mathbb{F}\ CoinBagD\ (const\ Nat) \leadsto \mathbb{F}\ CoinBagD\ (const\ Nat) Q\ (nil-tag\ ,\_\ )= return\ (nil-tag\ ,\blacksquare) Q\ (cons-tag\ ,d\ ,\_\ )=
```

```
greedy-lemma:(c\ d:Coin) \rightarrow c \leqslant C\ d \rightarrow (m\ n:Nat) \rightarrow value\ c+m \equiv value\ d+n \rightarrow c
                   (l: \mathsf{Nat}) \ (b: CoinBag' \ c \ m \ l) \to \Sigma \langle l': \mathsf{Nat} \rangle \ CoinBag' \ d \ n \ l' \times (l' \leqslant l)
                                                                    b with view — ordered — coin c d d
                       d
                             c \leq d m
greedy – lemma c
                                             n
                                                     eq l
                                                                    b (vartype (CoinBag' onep n l))
greedy – lemma .onep .onep _
                                                      refl l
                                             n
greedy – lemma .onep .twop _
                                                      refl l
                                                                   b | oneptwop with view - CoinB
                                   .(1+n) \, n
                                                      refl.(1 + l''). | oneptwop | oneponep \{.n\} \{l\}
greedy – lemma .onep .twop _
                                   .(1+n) n
greedy — lemma .onep .fivep _
                                                      refl l
                                                                    b | onepfivep with view − CoinB
                                   .(4+n) \ n
greedy – lemma .onep .fivep _
                                   .(4+n) n
                                                      refl ._
                                                                   ._ | onepfivep | oneponep b wit
greedy – lemma .onep .fivep _
                                   .(4+n) n
                                                      refl ._
                                                                   ._ | onepfivep | oneponep ._ | o
                                                                   ._ | onepfivep | oneponep . _ | o
greedy – lemma .onep .fivep _
                                   .(4+n) n
                                                      refl ._
                                                      refl.(4 + l'')._ | onepfivep | oneponep._ | o
greedy – lemma .onep .fivep _
                                   .(4+n) n
greedy — lemma .twop .twop _
                                                      refl l
                                                                    b (vartype (CoinBag' twop n l))
greedy – lemma .twop .fivep _
                                                      refl l
                                                                    b | twopfivep with view − CoinB
                                   (3 + n) n
                                                                   ._ | twopfivep | oneptwop b wit
greedy – lemma .twop .fivep _
                                   .(3 + n) n
                                                      refl ._
                                                                   ._ | twopfivep | oneptwop ._ | o
greedy — lemma .twop .fivep _
                                   .(3 + n) n
                                                      refl ._
                                                      refl.(3 + l'')._ | twopfivep | oneptwop._ | one
greedy – lemma .twop .fivep _
                                   .(3+n) n
greedy — lemma .twop .fivep _
                                   .(3 + n) n
                                                      refl ._
                                                             ._ | twopfivep | twoptwop b wit
                                   .(3 + n) n
                                                     refl.(2 + l'')._ | twopfivep | twoptwop._ | o
greedy — lemma .twop .fivep _
                                   (4+k) \cdot (1+k) refl (2+l'') \cdot = |twopfivep|twoptwop \cdot = |twopfivep|
greedy – lemma .twop .fivep _
                                                                    b (vartype (CoinBag' fivep n l))
greedy – lemma .fivep .fivep _
                                                      refl l
                                   .n
                                             п
```

Figure 5.2 Cases of greedy - lemma, generated semi-automatically by Agda's interactive case-split mechanism. Shown in the (shaded) interaction points are their goal types, and the types of some pattern variables are shown in subscript beside them.

$$(_ \leqslant C_d) \gg \lambda e \rightarrow any \gg \lambda r \rightarrow return (cons - tag, e, r)$$

where, in the cons case, the output is required to be also a cons node, and the coin at its head position must be one that is no smaller than the coin d at the head position of the input. It is non-trivial to prove the greedy condition by relational calculation. Here we offer instead a brute-force yet conveniently expressed case analysis by dependent pattern matching, which also serves as an example of algebraic ornamentation. Define a new datatype CoinBag': $Coin \rightarrow Nat \rightarrow Nat \rightarrow Set$ by composing two algebraic ornaments on CoinBagD in parallel:

```
CoinBag'OD: OrnDesc (outl \bowtie outl) pull CoinBagD
CoinBag'OD = \lceil algOrn CoinBagD (fun total - value - alg) \rceil \otimes \lceil algOrn CoinBagD (fun size - alg) \rceil
CoinBag': Coin \rightarrow Nat \rightarrow Nat \rightarrow Set
CoinBag' c n l = \mu \lfloor CoinBag'OD \rfloor (ok (c, n), ok (c, l))
```

By (??), (5.2), and fun - preserves - fold, CoinBag' is characterised by the isomorphisms

CoinBag' c n
$$l \cong \Sigma \langle b : CoinBag c \rangle$$

$$(total - value b \equiv n) \times (size b \equiv l)$$
 (5.5)

for all c:Coin, n:Nat, and l:Nat. Hence a coin bag of type CoinBag'cnl contains l coins that are no larger than c and sum up to n pence. We can give the following types to the two constructors of CoinBag':

```
\begin{array}{ll} \textit{bnil'} & : \ \forall \ \{c\} \rightarrow \textit{CoinBag'} \ c \ 0 \ 0 \\ \\ \textit{bcons'} & : \ \forall \ \{c \ n \ l\} \rightarrow (d \ : \ \textit{Coin}) \rightarrow d \leqslant \textit{C} \ c \rightarrow \\ \\ & \textit{CoinBag'} \ d \ n \ l \rightarrow \textit{CoinBag'} \ c \ (\textit{value} \ d + n) \ (1 + l) \end{array}
```

The greedy condition then essentially reduces to this lemma:

```
greedy-lemma:
(c\ d:Coin) \to c \leqslant C\ d \to
(m\ n:Nat) \to value\ c+m \equiv value\ d+n \to
(l:Nat)\ (b:CoinBag'\ c\ m\ l) \to
\Sigma\langle l':Nat \rangle\ CoinBag'\ d\ n\ l' \times (l'\leqslant l)
```

That is, given a problem (i.e., a value to be represented by coins), if c:Coin is a choice of decomposition (i.e., the first coin used) no better than d:Coin (recall that we prefer larger denominations), and $b:CoinBag' \ c \ m \ l$ is a solution of size l to the remaining subproblem m resulting from choosing c, then there is a solution to the remaining subproblem n resulting from choosing d whose size l' is no greater than l. We define two views McBride and McKinna [2004] — or "customised pattern matching" — to aid the analysis. The first view analyses a proof of $c \le C \ d$ and exhausts all possibilities of c and d,

```
data CoinOrderedView: Coin \rightarrow Coin \rightarrow Set where oneponep: CoinOrderedView onep onep oneptwop: CoinOrderedView onep twop onepfivep: CoinOrderedView onep fivep twoptwop: CoinOrderedView twop twop twopfivep: CoinOrderedView twop fivep fivepfivep: CoinOrderedView fivep fivep view — ordered — coin: (c\ d:\ Coin) \rightarrow c \leqslant C\ d \rightarrow CoinOrderedView\ c\ d
```

where the covering function view - ordered - coin is written by standard pattern matching on c and d. The second view analyses some b: CoinBag' c n l and exhausts all possibilities of c, n, l, and the first coin in b (if any). The view datatype CoinBag' View is shown in Figure 5.1, and the covering function

```
view - CoinBag': \forall \{c \ n \ l\} \ (b : CoinBag' \ c \ n \ l) \rightarrow CoinBag' View \ b
```

is again written by standard pattern matching. Given these two views, greedy - lemma can be split into eight cases by first exhausting all possibilities of c and d with view - ordered - coin and then analysing the content of b with view - CoinBag'. Figure 5.2 shows the case-split tree generated semi-automatically by Agda; the detail is explained as follows:

• At goal 0 (and, similarly, goals 3 and 7), the input bag is b : CoinBag' onep $n \ l$, and we should produce a CoinBag' onep $n \ l'$ for some l' : Nat such that $l' \le l$. This is easy because b itself is a suitable bag.

At goal 1 (and, similarly, goals 2, 4, and 5), the input bag is of type *CoinBag' onep* (1 + n) l, i.e., the coins in the bag are no larger than *onep* and the total value is 1 + n. The bag must contain *onep* as its first coin; let the rest of the bag be b : *CoinBag' onep n l''*. At this point Agda can deduce that l must be 1 + l''. Now we can return b as the result after the upper bound on its coins is relaxed from *onep* to *twop*, which is done by

relax :
$$\forall \{c \ n \ l\} \ (b : CoinBag' \ c \ n \ l) \rightarrow \forall \{d\} \rightarrow c \leq C \ d \rightarrow CoinBag' \ d \ n \ l$$

• The remaining goal 6 is the most interesting one: The input bag has type $CoinBag' \ twop \ (3+n) \ l$, which in this case contains two 2-pence coins, and the rest of the bag is $b : CoinBag' \ twop \ k \ l''$. Agda deduces that n must be 1+k and l must be 2+l''. We thus need to add a penny to b to increase its total value to 1+k, which is done by

```
add-penny: \forall \{c \ n \ l\} \rightarrow CoinBag' \ c \ n \ l \rightarrow CoinBag' \ c \ (1+n) \ (1+l)
```

and relax the bound of add - penny b from twop to fivep.

Throughout the proof, Agda is able to keep track of the total value and the size of bags and make deductions, so the case analysis is done with little overhead. The greedy condition can then be discharged by pointwise reasoning, using (5.5) to interface with greedy - lemma. We conclude that the Greedy Theorem is applicable, and obtain

$$(cata\ ((min\ Q\ \cdot \Lambda\ (fun\ total-value-alg\ ^o))\ ^o))\ ^o\subseteq min-coin-change$$

We have thus found the algebra

$$R = (min Q \cdot \Lambda (fun total - value - alg^{o}))^{o}$$

which will help us to construct the final internalist program.

Constructing the internalist program. As planned, we synthesise a new datatype by ornamenting *CoinBag* using the algebra *R*:

```
GreedySolutionOD: OrnDesc\ (Coin \times Nat)\ outl\ CoinBagD
GreedySolutionOD=algOrn\ CoinBagD\ R
GreedySolution: Coin \rightarrow Nat \rightarrow Set
GreedySolution\ c\ n=\mu\ |\ GreedySolutionOD\ |\ (c\ ,n)
```

The two constructors of *GreedySolution* can be given the following types:

```
gnil: \forall \{c \ n\} \rightarrow total - value - alg \ (nil - tag \ , \bullet) \equiv n \rightarrow (\forall \ ns \rightarrow total - value - alg \ ns \equiv n \rightarrow Q \ ns \ (nil - tag \ , \bullet)) \rightarrow GreedySolution \ c \ n

gcons: \forall \{c \ n\} \rightarrow (d : Coin) \ (d \leqslant c : d \leqslant C \ c) \rightarrow (\forall \{n'\} \rightarrow total - value - alg \ (cons - tag \ , d \ , d \leqslant c \ , n') \equiv n \rightarrow (\forall \ ns \rightarrow total - value - alg \ ns \equiv n \rightarrow Q \ ns \ (cons - tag \ , d \ , d \leqslant c \ , n')) \rightarrow GreedySolution \ d \ n' \rightarrow GreedySolution \ c \ n
```

Before we proceed to construct the internalist program

```
greedy: (c:Coin) (n:Nat) \rightarrow GreedySolution c n
```

let us first simplify the two constructors of *GreedySolution*. Each of the two constructors has two additional proof obligations coming from the algebra R: For gnil, since $total - value - alg\ (nil - tag\ , \)$ reduces to 0, we may just specialise n to 0 and discharge the equality proof obligation. For the second proof obligation, ns is necessarily $(nil - tag\ , \)$ if $total - value - alg\ ns \equiv 0$, and indeed Q maps $(nil - tag\ , \)$ to $(nil - tag\ , \)$, so the second proof obligation can be discharged as well. We thus obtain a simpler constructor defined using gnil:

```
gnil': \forall \{c\} \rightarrow GreedySolution \ c \ 0
```

For *gcons*, again since $total - value - alg\ (cons - tag\ , d\ , d \leqslant c\ , n')$ reduces to *value* d + n', we may just specialise n to *value* d + n' and discharge the equality proof obligation. For the second proof obligation, any ns that satisfies $total - value - alg\ ns \equiv value\ d + n'$ must be of the form $(cons - tag\ , e\ , e \leqslant c\ , m')$ for some $e: Coin, e \leqslant c: e \leqslant C\ c$, and m': Nat since the right-hand side $value\ d + n'$ is nonzero, and Q maps ns to $(cons - tag\ , d\ , d \leqslant c\ , n')$ if $e \leqslant C\ d$,

so d should be the largest "usable" coin if this proof obligation is to be discharged. We say that d: Coin is usable with respect to some c: Coin and n: Nat if d is bounded above by c and can be part of a solution to the problem for n pence:

```
UsableCoin: Nat \rightarrow Coin \rightarrow Coin \rightarrow Set
UsableCoin \ n \ c \ d = 
(d \leqslant C \ c) \times (\Sigma \langle n': Nat \rangle \ value \ d + n' \equiv n)
```

Now we can define a new constructor using *gcons*:

```
gcons':
\forall \{c\} \rightarrow (d:Coin) \rightarrow d \leqslant C c \rightarrow
\forall \{n'\} \rightarrow
((e:Coin) \rightarrow UsableCoin (value <math>d+n') c e \rightarrow e \leqslant C d) \rightarrow
GreedySolution d n' \rightarrow GreedySolution c (value <math>d+n')
```

which requires that d is the largest usable coin with respect to c and $value\ d + n'$. We are thus directed to implement a function maximum - coin that computes the largest usable coin with respect to any c: Coin and nonzero n: Nat,

```
maximum - coin:
(c:Coin) (n:Nat) \rightarrow n > 0 \rightarrow
\Sigma \langle d:Coin \rangle UsableCoin n c d ×
((e:Coin) \rightarrow UsableCoin n c e \rightarrow e \leqslant C d)
```

which takes some theorem proving but is overall a typical Agda exercise in dealing with natural numbers and ordering. Now we can implement the greedy algorithm as the internalist program

```
greedy: (c:Coin) (n:Nat) 
ightharpoonup GreedySolution c n
greedy c n = < -rec P f n c
where
P:Nat 
ightharpoonup Set
P n = (c:Coin) 
ightharpoonup GreedySolution c n
f:(n:Nat) 
ightharpoonup (n':Nat) 
ightharpoonup n' < n 
ightharpoonup P n') 
ightharpoonup P n
f n rec c with compare - with - zero n
f:0 rec c | is - zero = gnil'
```

where the combinator

$$<-rec:(P:\mathsf{Nat} o \mathsf{Set}) o \ ((n:\mathsf{Nat}) o ((n':\mathsf{Nat}) o n' < n o P \ n') o P \ n) o \ (n:\mathsf{Nat}) o P \ n$$

is for well-founded recursion on _ < _, and the function

```
compare - with - zero : (n : Nat) \rightarrow ZeroView n
```

is a covering function for the view

```
data ZeroView: Nat \rightarrow Set where
is - zero : ZeroView 0
above - zero: \{n : Nat\} \rightarrow n > 0 \rightarrow ZeroView n
```

At goal 8, Agda deduces that n is *value* d + n' and demands that we prove n' < value d + n' in order to make the recursive call, which is easily discharged since *value* d > 0.

```
related work: Atkey et al. [2012]
```

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Todo list

related work: Atkey et al. [2012]
