Chapter 5

Relational algebraic ornaments

5.1 Relational program derivation in Agda

running example (of relational program derivation)?

One common approach to program derivation is by algebraic transformations of functional programs: one begins with a specification in the form of a functional program that expresses straightforward but possibly inefficient computation, and transforms it into an extensionally equal but more efficient functional program by applying algebraic laws and theorems. Using functional programs as the specification language means that specifications are directly executable, but the deterministic nature of functional programs can result in less flexible specifications. For example, when specifying an optimisation problem using a functional program that generates all feasible solutions and chooses an optimal one among them, the program would enforce a particular way of choosing the optimal solution, but such enforcement should not be part of the specification. To gain more flexibility, the specification language was later generalised to **relational programs**. With relational programs, we specify only the relationship between input and output without actually specifying a way to execute the programs, so specifications in the form of relational programs can be as flexible as possible. Though lacking a directly executable

semantics, most relational programs can still be read computationally as potentially partial and nondeterministic mappings, so relational specifications largely remain computationally intuitive as functional specifications.

To emphasise the computational interpretation of relations, we will mainly model a relation between sets A and B as a function sending each element of A to a **subset** of B. We define subsets by

$$\mathscr{P} \;:\; \mathsf{Set} \to \mathsf{Set}_1$$
$$\mathscr{P}A \;=\; A \to \mathsf{Set}$$

That is, a subset $s \;:\; \mathscr{P}A$ is a characteristic function that assigns a type to each element of A, and $a \;:\; A$ is considered to be a member of s if the type $s\,a \;:\; \mathsf{Set}$ is inhabited. We may regard $\mathscr{P}A$ as the type of computations that nondeterministically produce an element of A. A simple example is

$$any \;:\; \{A \;:\; \mathsf{Set}\} \to \mathscr{P}A$$
$$any \;=\; const\ \top$$

The subset $any \;:\; \mathscr{P}A$ associates the unit type $\top$ with every element of A. Since $\top$ is inhabited, any can produce any element of A. While $\mathscr{P}$ cannot be made into a conventional monad [Moggi, 1991; Wadler, 1992] because it is not an endofunctor, it can still be equipped with the usual monadic programming combinators, giving rise to a **relative monad** [Altenkirch et al., 2010]:

- The monadic unit is defined as

  $$return \;:\; \{A \;:\; \mathsf{Set}\} \to A \to \mathscr{P}A$$
  $$return \;=\; \_\equiv\_$$

  The subset $return\ a \;:\; \mathscr{P}A$ for some $a \;:\; A$ simplifies to $\lambda\,a' \mapsto a \equiv a'$, so a is the only member of the subset.

- The monadic bind is defined as

  $$\_\ggg\!\!=\_ \;:\; \{A\ B \;:\; \mathsf{Set}\} \to \mathscr{P}A \to (A \to \mathscr{P}B) \to \mathscr{P}B$$
  $$\_\ggg\!\!=\_\ \{A\}\ s\,f \;=\; \lambda\,b \mapsto \Sigma[\,a : A\,]\ s\,a \times f\,a\,b$$

  If $s \;:\; \mathscr{P}A$ and $f \;:\; A \to \mathscr{P}B$, then the subset $s \ggg\!\!= f \;:\; \mathscr{P}B$ is the disjoint union of all the subsets $f\,a \;:\; \mathscr{P}B$ where a ranges over the elements of A that

belong to s; that is, an element $b : B$ is a member of $s \ggeq f$ exactly when
there exists some $a : A$ belonging to s such that b is a member of $f\ a$.

It is easy to show that the two combinators satisfy the (relative) monad laws
up to pointwise isomorphism, whose proofs we omit from the presentation.
On top of *return* and $\_\ggeq\_$, the functorial map of $\mathscr{P}$ is defined as

$$\_\langle\$\rangle\ :\ \{A\ B\ :\ \mathsf{Set}\} \to (A \to B) \to \mathscr{P}A \to \mathscr{P}B$$
$$f\ \langle\$\rangle\ s\ =\ s\ \ggeq\ \lambda a \mapsto return\ (f\ a)$$

and we also define a two-argument version for convenience:

$$\_\langle\$\rangle^2\ :\ \{A\ B\ C\ :\ \mathsf{Set}\} \to (A \to B \to C) \to \mathscr{P}A \to \mathscr{P}B \to \mathscr{P}C$$
$$f\ \langle\$\rangle^2\ s\ t\ =\ s\ \ggeq\ \lambda a \mapsto t\ \ggeq\ \lambda b \mapsto return\ (f\ a\ b)$$

The notation is a reference to applicative functors [McBride and Paterson,
2008], allowing us to think of functorial maps of $\mathscr{P}$ as applications of pure
functions to effectful arguments.

  We will mainly use families of relations between families of sets:

$$\_\leadsto\_\ :\ \{I\ :\ \mathsf{Set}\} \to (I \to \mathsf{Set}) \to (I \to \mathsf{Set}) \to \mathsf{Set}_1$$
$$X \leadsto Y\ =\ \forall\ \{i\} \to X\ i \to \mathscr{P}\,(Y\ i)$$

which is the usual generalisation of $\_\Rightarrow\_$ to allow nondeterminacy. Here we
define several relational operators that we will need.

relations vs families of relations

- Since functions are deterministic relations, we have the following combinator
  fun that lifts functions to relations using *return*.

  $$fun\ :\ \{I\ :\ \mathsf{Set}\}\ \{X\ Y\ :\ I \to \mathsf{Set}\} \to (X \Rightarrow Y) \to (X \leadsto Y)$$
  $$fun\ f\ x\ =\ return\ (f\ x)$$

- The identity relation is just the identity function lifted by *fun*.

  $$idR\ :\ \{I\ :\ \mathsf{Set}\}\ \{X\ :\ I \to \mathsf{Set}\} \to (X \leadsto X)$$
  $$idR\ =\ fun\ id$$

- Composition of relations is easily defined with $\_\ggeq\_$: computing $R \cdot S$ on
  input x is first computing $S\ x$ and then feeding the result to R.

  $$\_\cdot\_\ :\ \{I\ :\ \mathsf{Set}\}\ \{X\ Y\ Z\ :\ I \to \mathsf{Set}\} \to (Y \leadsto Z) \to (X \leadsto Y) \to (X \leadsto Z)$$
  $$(R \cdot S)\ x\ =\ S\ x\ \ggeq\ R$$

$$
\begin{aligned}
&mapR \;:\; \{I \;:\; \mathsf{Set}\}\; (D \;:\; \mathsf{RDesc}\; I)\; \{X\; Y \;:\; I \to \mathsf{Set}\} \to \\
&\qquad (X \rightsquigarrow Y) \to [\![\, D \,]\!]\; X \to \mathscr{P}\,([\![\, D \,]\!]\; Y)
\end{aligned}
$$

$$
\begin{aligned}
mapR\; (\mathsf{v}\; [\,]) \qquad\quad R\; \blacksquare \qquad\quad &= \; return\; \blacksquare \\
mapR\; (\mathsf{v}\; (i :: is))\; R\; (x\, ,\, xs) \;&=\; \_,\_ \;\langle\$\rangle^2\; (R\; x)\; (mapR\; (\mathsf{v}\; is)\; R\; xs) \\
mapR\; (\sigma\; S\; D) \quad\;\; R\; (s\, ,\, xs) \;&=\; (\_,\_\; s)\; \langle\$\rangle\; (mapR\; (D\; s)\; R\; xs)
\end{aligned}
$$

$$
\mathbb{R} \;:\; \{I \;:\; \mathsf{Set}\}\; (D \;:\; \mathsf{Desc}\; I)\; \{X\; Y \;:\; I \to \mathsf{Set}\} \to (X \rightsquigarrow Y) \to (\mathbb{F}\; D\; X \rightsquigarrow \mathbb{F}\; D\; Y)
$$
$$
\mathbb{R}\; D\; R\; \{i\} \;=\; mapR\; (D\; i)\; R
$$

Figure 5.1 Definition for relators.

- Some relations do not carry obvious computational meaning, which we can still define pointwise, like the meet of two relations:

  $$
  \_\cap\_ \;:\; \{I \;:\; \mathsf{Set}\}\; \{X\; Y \;:\; I \to \mathsf{Set}\} \to (X \rightsquigarrow Y) \to (X \rightsquigarrow Y) \to (X \rightsquigarrow Y)
  $$
  $$
  (R \cap S)\; x\, y \;=\; R\; x\, y \times S\; x\, y
  $$

- Unlike a function, which distinguishes between input and output, inherently a relation treats its domain and codomain symmetrically. This is reflected by the presence of the following **converse** operator:

  $$
  \_^\circ \;:\; \{I \;:\; \mathsf{Set}\}\; \{X\; Y \;:\; I \to \mathsf{Set}\} \to (X \rightsquigarrow Y) \to (Y \rightsquigarrow X)
  $$
  $$
  (R^\circ)\; y\, x \;=\; R\; x\, y
  $$

  A relation can thus be "run backwards" simply by taking its converse. The nondeterministic and bidirectional nature of relations makes them a powerful and concise language for specifications, as will be demonstrated in Section 5.3.3.

- We will also need **relators**, i.e., functorial maps on relations:

  $$
  \begin{aligned}
  &\mathbb{R} \;:\; \{I \;:\; \mathsf{Set}\}\; (D \;:\; \mathsf{Desc}\; I)\; \{X\; Y \;:\; I \to \mathsf{Set}\} \to \\
  &\qquad (X \rightsquigarrow Y) \to (\mathbb{F}\; D\; X \rightsquigarrow \mathbb{F}\; D\; Y)
  \end{aligned}
  $$

  If $R \;:\; X \rightsquigarrow Y$, the relation $\mathbb{R}\; D\; R \;:\; \mathbb{F}\; D\; X \rightsquigarrow \mathbb{F}\; D\; Y$ applies R to the recursive positions of its input, leaving everything else intact. The definition of $\mathbb{R}$ is shown in Figure 5.1. For example, if $D \;=\; ListD\; A$, then $\mathbb{R}\; (ListD\; A)$ is, up to isomorphism,

$$\mathbb{R} \ (ListD \ A) \ : \ \{X \ Y \ : \ I \to \mathsf{Set}\} \to$$
$$(X \rightsquigarrow Y) \to (\mathbb{F} \ (ListD \ A) \ X \rightsquigarrow \mathbb{F} \ (ListD \ A) \ Y)$$
$$\mathbb{R} \ (ListD \ A) \ R \ (\text{'nil} \quad , \quad \blacksquare) \ = \ return \ (\text{'nil} \ , \ \blacksquare)$$
$$\mathbb{R} \ (ListD \ A) \ R \ (\text{'cons} \ , a \ , x \ , \blacksquare) \ = \ (\lambda \ y \mapsto \text{'cons} \ , a \ , y \ , \blacksquare) \ \langle\$\rangle \ (R \ x)$$

Laws and theorems in relational program derivation are formulated with **relational inclusion**

$$\_\subseteq\_ \ : \ \{I \ : \ \mathsf{Set}\} \ \{X \ Y \ : \ I \to \mathsf{Set}\} \ (R \ S \ : \ X \rightsquigarrow Y) \to \mathsf{Set}$$
$$R \ \subseteq \ S \ = \ \forall \ \{i\} \to (x \ : \ X \ i) \ (y \ : \ Y \ i) \to R \ x \ y \to S \ x \ y$$

or equivalence of relations, i.e., two-way inclusion:

$$\_\simeq\_ \ : \ \{I \ : \ \mathsf{Set}\} \ \{X \ Y \ : \ I \to \mathsf{Set}\} \ (R \ S \ : \ X \rightsquigarrow Y) \to \mathsf{Set}$$
$$R \ \simeq \ S \ = \ (R \ \subseteq \ S) \times (S \ \subseteq \ R)$$

With relational inclusion, many concepts can be expressed in a surprisingly concise way. For example, a relation R is a preorder if it is reflexive and transitive. In relational terms, these two conditions are expressed simply as

$$idR \ \subseteq \ R \qquad \text{and} \qquad R \cdot R \ \subseteq \ R$$

and are easily manipulable in calculations. Another important notion is **monotonic algebras** [Bird and de Moor, 1997, Section 7.2]: an algebra $S \ : \ \mathbb{F} \ D \ X \rightsquigarrow X$ is **monotonic** on $R \ : \ X \rightsquigarrow X$ (usually an ordering) if

$$S \cdot \mathbb{R} \ D \ R \ \subseteq \ R \cdot S$$

which says that if two input values to S have their recursive positions related by R and are otherwise equal, then the output values would still be related by R. In the context of optimisation problems, monotonicity can be used to capture the **principle of optimality**, as will be shown in Section 5.3.3.

Example (*monotonicity of addition*)**.**

> TBC

□

Having defined relations as nondeterministic mappings, it is straightforward to rewrite the datatype-generic *fold* with the subset combinators to ob-

mutual

$(\!(\_)\!) \;:\; \{I \;:\; \mathsf{Set}\}\,\{D \;:\; \mathsf{Desc}\;I\}\,\{X \;:\; I \to \mathsf{Set}\} \to (\mathbb{F}\;D\;X \rightsquigarrow X) \to (\mu\;D \rightsquigarrow X)$

$(\!(\_)\!)\;\{I\}\;\{D\}\;R\;\{i\}\;(\mathsf{con}\;ds) \;=\; \textit{mapFoldR}\;D\;(D\;i)\;R\;ds \;\ggg\; R$

$\textit{mapFoldR} \;:\; \{I \;:\; \mathsf{Set}\}\,(D \;:\; \mathsf{Desc}\;I)\,(D' \;:\; \mathsf{RDesc}\;I) \to$
$\qquad\qquad \{X \;:\; I \to \mathsf{Set}\} \to (\mathbb{F}\;D\;X \rightsquigarrow X) \to [\![\,D'\,]\!]\;(\mu\;D) \to \mathscr{P}\,([\![\,D'\,]\!]\;X)$

$\textit{mapFoldR}\;D\;(\mathsf{v}\;[\,]) \qquad R\;\blacksquare \qquad\quad =\; \textit{return}\;\blacksquare$

$\textit{mapFoldR}\;D\;(\mathsf{v}\;(i :: is))\;R\;(d\,,ds) \;=\; \_,\_\;\langle\$\rangle^2\;((\!(R)\!)\;d)$
$\qquad\qquad\qquad\qquad\qquad\qquad\qquad\quad (\textit{mapFoldR}\;D\;(\mathsf{v}\;is)\;f\;ds)$

$\textit{mapFoldR}\;D\;(\sigma\;S\;D') \quad R\;(s\,,ds) \;=\; (\_,\_\;s)\;\langle\$\rangle\;(\textit{mapFoldR}\;D\;(D'\;s)\;f\;ds)$

Figure 5.2 Definition of relational folds.

tain a relational version, which is denoted by "banana brackets" [Meijer et al., 1991]:

$(\!(\_)\!) \;:\; \{I \;:\; \mathsf{Set}\}\,\{D \;:\; \mathsf{Desc}\;I\}\,\{X \;:\; I \to \mathsf{Set}\} \to (\mathbb{F}\;D\;X \rightsquigarrow X) \to (\mu\;D \rightsquigarrow X)$

For example, the relational fold on lists is, up to isomorphism,

$(\!(\_)\!)\;\{\top\}\;\{\textit{ListD}\;A\} \;:\; \{X \;:\; \top \to \mathsf{Set}\} \to$
$\qquad\qquad\qquad\qquad (\mathbb{F}\;(\textit{ListD}\;A)\;X \rightsquigarrow X) \to (\mu\;(\textit{ListD}\;A) \rightsquigarrow X)$

$(\!(R)\!)\;[\,] \qquad\;\; =\; R\;(\text{'nil}\,,\,\blacksquare)$

$(\!(R)\!)\;(a :: as) \;=\; (\!(R)\!)\;as \;\ggg\; \lambda x \mapsto R\;(\text{'cons}\,,a\,,x\,,\blacksquare)$

The functional and relational fold operators are related by the following lemma:

$\textit{fun-preserves-fold} \;:\; \{I \;:\; \mathsf{Set}\}\,(D \;:\; \mathsf{Desc}\;I)\,\{X \;:\; I \to \mathsf{Set}\} \to$
$\qquad\qquad (f \;:\; \mathbb{F}\;D\;X \Rightarrow X) \to \textit{fun}\;(\textit{fold}\;f) \;\simeq\; (\!(\textit{fun}\;f)\!)$

5.2   Definition of relational algebraic ornaments

We now turn to relational algebraic ornamentation, the key construct that bridges internalist programming and relational program derivation. Let R :

$\mathbb{F}$ (*ListD A*) (*const X*) $\rightsquigarrow$ *const X* (where X : Set) be a relational algebra for lists. We can define a datatype of "algebraic lists" as

> **indexfirst data** AlgList $A\ R$: $X \rightarrow$ Set **where**
> AlgList $A\ R\ x\ \ni$ nil $\ \ (rnil : R\ (\text{'nil},\ \blacksquare)\ x)$
> $\quad\quad\quad\quad\quad\quad\ |\ $ cons $(a : A)\ (x' : X)\ (as : $ AlgList $A\ R\ x')$
> $\quad\quad\quad\quad\quad\quad\quad\quad (rcons : R\ (\text{'cons},\ a,\ x',\ \blacksquare)\ x)$

There is an ornament from lists to algebraic lists which marks the fields *rnil*, x', and *rcons* in AlgList as additional and refines the index of the recursive position to x'. The optimised predicate (**??**) for this ornament is

> **indexfirst data** AlgListP $A\ R$: $X \rightarrow$ List $A \rightarrow$ Set **where**
> AlgListP $A\ R\ x\ []\quad\quad\ \ni$ nil $(rnil : R\ (\text{'nil},\ \blacksquare)\ x)$
> AlgListP $A\ R\ x\ (a :: as)\ \ni$ cons $(x' : X)$
> $\quad\quad\quad\quad\quad\quad\quad\quad\quad (p : $ AlgListP $A\ R\ x'\ as)$
> $\quad\quad\quad\quad\quad\quad\quad\quad\quad (rcons : R\ (\text{'cons},\ a,\ x',\ \blacksquare)\ x)$

A simple argument by induction shows that AlgListP $A\ R\ x\ as$ is in fact isomorphic to $(\!|\,R\,|\!)\ as\ x$ for any as : List A and x : X.

> AlgList $A\ R\ x\ \cong\ \Sigma[\,as : $ List $A\,]\ (\!|\,R\,|\!)\ as\ x$ $\quad\quad\quad\quad\quad\quad\quad\quad$ (5.1)

in other words, there is a predicate swap...

for any x : X. That is, an algebraic list is exactly a plain list and a proof that the list folds to x using the algebra R. The vector datatype is a special case of AlgList — to see that, define

> *length-alg* : $\mathbb{F}$ (*ListD A*) (*const* Nat) $\Rightarrow$ *const* Nat
> *length-alg* ('nil $\quad,\quad\quad\ \blacksquare$) $=$ zero
> *length-alg* ('cons, a, n, $\blacksquare$) $=$ suc n

and take $R\ =\ $ *fun length-alg*. From (5.1) we have the isomorphisms

> Vec $A\ n\ \cong\ \Sigma[\,as : $ List $A\,]\ (\!|\,fun\ length\text{-}alg\,|\!)\ as\ n$

for all n : Nat, from which we can derive

> Vec $A\ n\ \cong\ \Sigma[\,as : $ List $A\,]\ length\ as\ \equiv\ n$

by *fun-preserves-fold*, after defining *length* $=$ *fold length-alg*.

The above can be generalised to all datatypes encoded by the Desc universe. Let D : Desc I be a description and R : $\mathbb{F}\ D\ X \rightsquigarrow X$ (where X : $I \to$ Set) an algebra. The (relational) **algebraic ornamentation** of D with R is an ornamental description

$$algOD\ D\ R\ :\ \mathsf{OrnDesc}\ (\Sigma\ I\ X)\ \mathsf{outl}\ D$$

(where outl : $\Sigma\ I\ X \to I$). The optimised predicate for $\lceil algOD\ D\ R \rceil$ is pointwise isomorphic to $(\!(R)\!)$, i.e.,

$$\mathsf{OptP}\ \lceil algOD\ D\ R \rceil\ (\mathsf{ok}\ (i\,,x))\ d\ \cong\ (\!(R)\!)\ d\ x \tag{5.2}$$

for all i : I, x : $X\ i$, and d : $\mu\ D\ i$. As a corollary, we have the following isomorphisms

$$\mu\ \lfloor algOD\ D\ R \rfloor\ (i\,,x)\ \cong\ \Sigma[d:\mu\ D\ i]\ (\!(R)\!)\ d\ x \tag{5.3}$$

for all i : I and x : $X\ i$. For example, taking $D\ =\ ListD\ A$, the type AlgList $A\ R\ x$ can be thought of as the high-level presentation of the type $\mu\ \lfloor algOD\ (ListD\ A)\ R \rfloor\ (\blacksquare\,,x)$. Algebraic ornamentation is a very convenient method for adding new indices to inductive families, and most importantly, it says precisely what the new indices mean. The method was demonstrated by McBride [2011] with a correct-by-construction compiler for a small language, and will be demonstrated again in Section 5.3.3.

5.3 Examples

5.3.1 The Fold Fusion Theorem

As a first example of bridging internalist programming with relational program derivation through algebraic ornamentation, let us consider the **Fold Fusion Theorem** [Bird and de Moor, 1997, Section 6.2]: Let D : Desc I be a description, R : $X \rightsquigarrow Y$ a relation, and S : $\mathbb{F}\ D\ X \rightsquigarrow X$ and T : $\mathbb{F}\ D\ Y \rightsquigarrow Y$ be algebras. If R is a homomorphism from S to T, i.e.,

$$R \cdot S\ \simeq\ T \cdot \mathbb{R}\ D\ R$$

which is referred to as the **fusion condition**, then we have

$$R \cdot (\![S]\!) \simeq (\![T]\!)$$

The above is, in fact, a corollary of two variations of Fold Fusion that replace relational equivalence in the statement of the theorem with relational inclusion. One of the variations is

$$R \cdot S \subseteq T \cdot \mathbb{R} \, D \, R \qquad \text{implies} \qquad R \cdot (\![S]\!) \subseteq (\![T]\!)$$

This can be used with (5.3) to derive a conversion between algebraically ornamented datatypes:

algOD-fusion-$\subseteq$ *D R S T* :
  $R \cdot S \subseteq T \cdot \mathbb{R} \, D \, R \rightarrow$
  $\{i : I\} \, (x : X \, i) \rightarrow \mu \, \lfloor algOD \, D \, S \rfloor \, (i , x) \rightarrow$
  $(y : Y \, i) \rightarrow R \, x \, y \rightarrow \mu \, \lfloor algOD \, D \, T \rfloor \, (i , y)$

The other variation of Fold Fusion simply reverses the direction of inclusion:

$$R \cdot S \supseteq T \cdot \mathbb{R} \, D \, R \qquad \text{implies} \qquad R \cdot (\![S]\!) \supseteq (\![T]\!)$$

which translates to the conversion

algOD-fusion-$\supseteq$ *D R S T* :
  $R \cdot S \supseteq T \cdot \mathbb{R} \, D \, R \rightarrow$
  $\{i : I\} \, (y : Y \, i) \rightarrow \mu \, \lfloor algOD \, D \, T \rfloor \, (i , y) \rightarrow$
  $\Sigma [x : X \, i] \, \mu \, \lfloor algOD \, D \, S \rfloor \, (i , x) \times R \, x \, y$

For a simple example, suppose that we need a "bounded" vector datatype, i.e., lists indexed with an upper bound on their length. A quick thought might lead to this definition

BVec : Set $\rightarrow$ Nat $\rightarrow$ Set
BVec $A \, m \; = \; \mu \, \lfloor algOD \, (ListD \, A) \, (geq \cdot fun \, length\text{-}alg) \rfloor \, (\blacksquare , m)$

where $geq \; = \; \lambda \, x \, y \rightarrow x \leqslant y$: *const* Nat $\rightsquigarrow$ *const* Nat maps a natural number x to any natural number that is at least x. The isomorphisms (5.3) specialise for BVec to

BVec $A \, m \; \cong \; \Sigma [as : \text{List} \, A] \, (\![geq \cdot fun \, length\text{-}alg]\!) \, as \, m$

But is BVec really the bounded vectors? Indeed it is, because we can deduce

$geq \cdot (\![\, fun\ length\text{-}alg\,]\!) \simeq (\![\, geq \cdot fun\ length\text{-}alg\,]\!)$

by Fold Fusion. The fusion condition is

$geq \cdot fun\ length\text{-}alg \simeq geq \cdot fun\ length\text{-}alg \cdot \mathbb{R}\ (ListD\ A)\ geq$

The left-to-right inclusion is easily calculated as follows:

> $geq \cdot fun\ length\text{-}alg$
>
> $\subseteq$ $\{\,idR\ \text{identity}\,\}$
>
> $geq \cdot fun\ length\text{-}alg \cdot idR$
>
> $\subseteq$ $\{\,\text{relator preserves identity}\,\}$
>
> $geq \cdot fun\ length\text{-}alg \cdot \mathbb{R}\ (ListD\ A)\ idR$
>
> $\subseteq$ $\{\,geq\ \text{reflexive}\,\}$
>
> $geq \cdot fun\ length\text{-}alg \cdot \mathbb{R}\ (ListD\ A)\ geq$

And from right to left:

> $geq \cdot fun\ length\text{-}alg \cdot \mathbb{R}\ (ListD\ A)\ geq$
>
> $\subseteq$ $\{\,fun\ length\text{-}alg\ \text{monotonic on}\ geq\,\}$
>
> $geq \cdot geq \cdot fun\ length\text{-}alg$
>
> $\subseteq$ $\{\,geq\ \text{transitive}\,\}$
>
> $geq \cdot fun\ length\text{-}alg$

Note that these calculations are good illustrations of the power of relational calculation despite their simplicity — they are straightforward symbolic manipulations, hiding details like quantifier reasoning behind the scenes. As demonstrated by the AoPA library [Mu et al., 2009], they can be faithfully formalised with preorder reasoning combinators in Agda and used to discharge the fusion conditions of *algOD-fusion-*$\subseteq$ and *algOD-fusion-*$\supseteq$. Hence we get two conversions, one of type

$\mathsf{Vec}\ A\ n \to (n \leqslant m) \to \mathsf{BVec}\ A\ m$

which relaxes a vector of length n to a bounded vector whose length is bounded above by some m that is at least n, and the other of type

$\mathsf{BVec}\ A\ m \to \Sigma[\,n : \mathsf{Nat}\,]\ \mathsf{Vec}\ A\ n \times (n \leqslant m)$

which converts a bounded vector whose length is at most m to a vector of length precisely n and guarantees that n is at most m.

5.3.2   The Streaming Theorem for list metamorphisms

A **metamorphism** [Gibbons, 2007] is an unfold after a fold — it consumes a data structure to compute an intermediate value and then produces a new data structure using the intermediate value as the seed. In this section we will restrict ourselves to metamorphisms consuming and producing lists. As Gibbons noted, (list) metamorphisms in general cannot be automatically optimised in terms of time and space, but under certain conditions it is possible to refine a list metamorphism to a **streaming algorithm** — which can produce an initial segment of the output list without consuming all of the input list — or a parallel algorithm [Nakano, 2013]. In the rest of this section, we prove the **Streaming Theorem** [Bird and Gibbons, 2003, Theorem 30] by implementing the streaming algorithm given by the theorem with algebraic ornamented lists such that the algorithm satisfies its metamorphic specification by construction.

Our first step is to formulate a metamorphism as a relational specification of the streaming algorithm.

- The fold part needs a twist since using the conventional fold — known as the **right fold** for lists since the direction of computation on a list is from right to left (cf. wind direction) — does not easily give rise to a streaming algorithm. This is because we cannot naturally talk about "partial consumption" when folding a list from right to left: for a list, partial consumption means examining and removing some elements of the list to get a sub-list on which we can resume consumption, but had we stopped in the middle of a right fold, we would have been left with a bunch of head elements rather than a proper sub-list. To talk about partial consumption naturally, we should use the **left fold** instead, which is usually defined as

$$foldl \ : \ \{A \ X \ : \ \mathsf{Set}\} \to (X \to A \to X) \to X \to \mathsf{List} \ A \to X$$
$$foldl \ f \ x \ [] \qquad = \ x$$

$$foldl\ f\ x\ (a :: as)\ =\ foldl\ f\ (f\ x\ a)\ as$$

The connection to the conventional fold (and thus algebraic ornamentation) is not lost, however — it is well known that a left fold can be alternatively implemented as a right fold by turning a list into a chain of functions of type $X \to X$ transforming the initial value to the final result:

$$foldl\text{-}alg\ :\ \{A\ X\ :\ \mathsf{Set}\} \to (X \to A \to X) \to$$
$$\mathbb{F}\ (ListD\ A)\ (const\ (X \to X)) \Rrightarrow const\ (X \to X)$$
$$foldl\text{-}alg\ f\ (\text{'nil}\quad,\qquad \blacksquare)\ =\ id$$
$$foldl\text{-}alg\ f\ (\text{'cons}\ ,a\ ,f'\ ,\blacksquare)\ =\ f' \circ flip\ f\ a$$
$$foldl\ :\ \{A\ X\ :\ \mathsf{Set}\} \to (X \to A \to X) \to X \to \mathsf{List}\ A \to X$$
$$foldl\ f\ x\ as\ =\ fold\ (foldl\text{-}alg\ f)\ as\ x$$

The left fold can thus be linked to the relational fold by

$$fun\ (foldl\ f\ x)\ \simeq\ fun\ (\lambda h \mapsto h\ x)\ \cdot\ (\!(\,fun\ (foldl\text{-}alg\ f)\,)\!) \tag{5.4}$$

- The unfold part is approximated by the converse of a relational fold: given a list coalgebra $g\ :\ const\ X \Rrightarrow \mathbb{F}\ (ListD\ B)\ (const\ X)$ for some $X\ :\ \mathsf{Set}$, we take its converse, turning it into a relational algebra, and use the converse of the relational fold with this algebra.

  $$(\!(\,fun\ g\,{}^{\circ}\,)\!)\,{}^{\circ}\ :\ const\ X \rightsquigarrow const\ (\mathsf{List}\ A)$$

  This is only an approximation because, while the relation does produce a list, the resulting list is inductive rather than coinductive, so the relation is actually a **well-founded** unfold, which is incapable of producing an infinite list.

Thus, given a "left algebra" for consuming List A

$$f\ :\ X \to A \to X$$

and a coalgebra for producing List B

$$g\ :\ const\ X \Rrightarrow \mathbb{F}\ (ListD\ B)\ (const\ X)$$

which together satisfy a **streaming condition** that we will see later, the streaming algorithm we implement, which takes as input the initial value $x\ :\ X$ for the left fold, should be included in the following metamorphic relation:

$$meta\ f\ g\ x\ =\ (\!|\ fun\ g\ ^{\circ}\ |\!)\ ^{\circ}\ \cdot\ fun\ (foldl\ f\ x)\ :\ const\ (\mathsf{List}\ A)\ \rightsquigarrow\ const\ (\mathsf{List}\ B)$$

By (5.4), the specification $meta\ f\ g\ x$ is equivalent to

$$(\!|\ fun\ g\ ^{\circ}\ |\!)\ ^{\circ}\ \cdot\ fun\ (\lambda\ h\ \mapsto\ h\ x)\ \cdot\ (\!|\ fun\ (foldl\text{-}alg\ f)\ |\!)$$

Inspecting the above relation, we see that a conforming program takes a List A that folds to some $h\ :\ X \to X$ with $fun\ (foldl\text{-}alg\ f)$ and computes a List B that folds to $h\ x\ :\ X$ with $fun\ g\ ^{\circ}$. We are thus going to implement the streaming algorithm as

$$stream\ f\ g\ :\ (x\ :\ X)\ \{h\ :\ X \to X\} \to$$
$$\mathsf{AlgList}\ A\ (fun\ (foldl\text{-}alg\ f))\ h \to \mathsf{AlgList}\ B\ (fun\ g\ ^{\circ})\ (h\ x)$$

from which we can extract

$$stream'\ f\ g\ :\ X \to \mathsf{List}\ A \to \mathsf{List}\ B$$

which is guaranteed to satisfy

$$fun\ (stream'\ f\ g\ x)\ \subseteq\ meta\ f\ g\ x$$

The extraction of $stream'\ f\ g$ from $stream\ f\ g$ is done with the help of the conversion isomorphisms for the two algebraic list datatypes involved:

$$consumer\text{-}iso\ :\ (h\ :\ X \to X) \to \mathsf{AlgList}\ A\ (fun\ (foldl\text{-}alg\ f))\ h$$
$$\cong\ \Sigma[\,as : \mathsf{List}\ A\,]\ fold\ (foldl\text{-}alg\ f)\ as\ \equiv\ h$$

and

$$producer\text{-}iso\ :\ (x\ :\ X) \to \mathsf{AlgList}\ B\ (fun\ g\ ^{\circ})\ x\ \cong\ \Sigma[\,bs : \mathsf{List}\ B\,]\ (\!|\ fun\ g\ ^{\circ}\ |\!)\ bs\ x$$

Given $x\ :\ X$, the definition of $stream'\ f\ g\ x$

- lifts the input $as\ :\ \mathsf{List}\ A$ to an algebraic list of type

    $\mathsf{AlgList}\ A\ (fun\ (foldl\text{-}alg\ f))\ (fold\ (foldl\text{-}alg\ f)\ as)$

    using the right-to-left direction of $consumer\text{-}iso\ (fold\ (foldl\text{-}alg\ f)\ as)$ (with the equality proof obligation discharged trivially by refl),

- transforms this algebraic list to a new one of type

    $\mathsf{AlgList}\ B\ (fun\ g\ ^{\circ})\ (foldl\ f\ x\ as)$

    using $stream\ f\ g\ x$, and

- demotes the new algebraic list to List B using the left-to-right direction of *producer-iso* (*foldl f x as*).

The use of *producer-iso* in the last step ensures that the result *stream′ f g x as* : List B satisfies

$$(\![\textit{fun } g\,^{\circ}]\!)\ (\textit{stream′ } f\ g\ x\ as)\ (\textit{foldl } f\ x\ as)$$

which easily implies

$$((\![\textit{fun } g\,^{\circ}]\!)\,^{\circ} \cdot \textit{fun } (\textit{foldl } f\ x))\ as\ (\textit{stream′ } f\ g\ x\ as)$$

i.e., *fun* (*stream′ f g x*) $\subseteq$ *meta f g x*, as required.

What is left is the implementation of *stream f g*. Operationally, we maintain a state of type X (and hence requires an initial state as an input to the function); we can try either

- to produce elements of B by applying f to the state, or

- to update the state by consuming elements of A with g.

Since we want *stream f g* to be as productive as possible, we should always try to produce elements of B with f first, and only try to consume elements of A with g when f produces nothing. In Agda:

```
stream f g  :  (x : X) {h : X → X} →
                 AlgList A (fun (foldl-alg f)) h → AlgList B (fun g°) (h x)
stream f g x      as                with g x    | inspect g x
stream f g x {h} as                    | next b x′ | [ gxeq ]  =  cons b (h x′) { }₀
                                                                    (stream f g x′ as)
stream f g x      (nil       refl   ) | nothing   | [ gxeq ]  =  nil gxeq
stream f g x      (cons a h′ refl as) | nothing   | [ gxeq ]  =  stream f g (f x a) as
```

We match $g\ x$ with either of the two patterns next $b\ x′$ = ('cons , b , $x′$, ▪) and nothing = ('nil , ▪).

Agda doesn't really allow this, though.

- If the result is next $b\ x′$, we should emit b and and use $x′$ as the new state; the recursively computed algebraic list is indexed with $h\ x′$, and we are left with a proof obligation of type $g\ (h\ x)\ \equiv$ next $b\ (h\ x′)$ at Goal 0; we will come back to this proof obligation later.

- If the result is nothing, we should attempt to consume the input list.
  - If the input list is empty, implying that h is just id, both production and consumption have ended, so we return an empty list; the nil constructor requires a proof of $(fun\ g\ ^\circ)$ nothing $(h\ x)$, which reduces to $g\ x\ \equiv$ nothing and is discharged with the help of the "inspect idiom" in Agda's standard library (which, in a **with**-matching, gives a proof that the term being matched (in this case $g\ x$) is propositionally equal to the matched pattern (in this case nothing)).
  - Otherwise the input list is nonempty, implying that h is $h' \circ flip\ f\ a$ where a is the head of the input list, and we should continue with the new state $f\ x\ a$ and consuming the tail. Typing directly works out because the index of the recursive result $h'\ (f\ x\ a)$ and the required index $(h' \circ flip\ f\ a)\ x$ are definitionally equal.

Now we look at Goal 0. We have

$gxeq\ :\ g\ x\ \equiv\ \text{next}\ b\ x'$

in the context, and need to prove

$g\ (h\ x)\ \equiv\ \text{next}\ b\ (h\ x')$

This is commutativity of production and consumption (see Gibbons [2007, Figures 1 and 2] for some visual hints): The function $h\ :\ X \to X$ is the state transformation resulting from consumption of the input list *as*. From the initial state x, we can either

- use g to **produce** b and reach a new state x', and then use h to **consume** the list and update the state to $h\ x'$, or

- use h to **consume** the list and update the state to $h\ x$, and then use g to **produce** an element and reach a new state,

and we need to prove that the outcomes are the same: doing production using g and consumption using h in whichever order should emit the same element and reach the same final state. This cannot be true in general, so we need to impose some commutativity condition on f and g, which is called the **streaming condition**:

StreamingCondition f g : Set
StreamingCondition f g =
   $(a : A)$ $(b : B)$ $(x\ x' : X) \to g\ x \equiv$ next $b\ x' \to g\ (f\ x\ a) \equiv$ next $b\ (f\ x'\ a)$

The streaming condition is commutativity of one step of production and consumption, whereas the proof obligation at Goal 0 is commutativity of one step of production and multiple steps of consumption (of the entire list), so we perform an elementary induction to extend the streaming condition along the axis of consumption:

streaming-lemma :
   $(b : B)$ $(x\ x' : X) \to g\ x \equiv$ next $b\ x' \to$
   $\{h : X \to X\}$ $(as : $ AlgList $A\ (fun\ (foldl\text{-}alg\ f))\ h) \to g\ (h\ x) \equiv$ next $b\ (h\ x')$
streaming-lemma b x x' eq (nil   refl   ) = *eq*
streaming-lemma b x x' eq (cons *a h* refl *as*) =
   streaming-lemma b $(f\ x\ a)$ $(f\ x'\ a)$ (*streaming-condition f g a b x x' eq*) *as*

where *streaming-condition* : *StreamingCondition f g* is a proof term that should be supplied along with *f* and *g*. Goal 0 is then discharged by the term

   streaming-lemma b x x' gxeq as

This concludes the implementation of the Streaming Theorem, except that *stream f g* is non-terminating, as there is no guarantee that *g* produces only a finite number of elements. In our setting, we can additionally require that *g* is well-founded and revise *stream* accordingly (see, e.g., Nordström [1988]); the general way out, however, is to switch to coinductive datatypes, which falls outside the scope of this thesis.

   It is interesting to compare our implementation with the proofs of Bird and Gibbons [2003]. While their Lemma 29 turns explicitly into our *streaming-lemma*, their Theorem 30 goes implicitly into the typing of *stream* and no longer needs special attention. The structure of *stream* already matches that of Bird and Gibbons's proof of their Theorem 30, and the principled type design using algebraic ornamentation elegantly loads the proof onto the structure of *stream* — this is internalism at its best.

5.3.3 The minimum coin change problem

Suppose that we have an unlimited number of 1-penny, 2-pence, and 5-pence coins, modelled by the following datatype:

data Coin : Set **where**
   1p 2p 5p : Coin

Given n : Nat, the **minimum coin change problem** asks for the least number of coins that make up n pence. We can give a relational specification of the problem with the following operator:

$$min\_ \bullet \Lambda\_ \; : \; \{I : \mathsf{Set}\} \, \{X \, Y : I \to \mathsf{Set}\} \, (R : Y \rightsquigarrow Y) \, (S : X \rightsquigarrow Y) \to (X \rightsquigarrow Y)$$
$$(min \, R \, \bullet \Lambda \, S) \, x \, y \; = \; S \, x \, y \times (\forall \, y' \to S \, x \, y' \to R \, y' \, y)$$

An input $x : X \, i$ for some $i : I$ is mapped by $min \, R \, \bullet \Lambda \, S$ to $y : Y \, i$ if y is a possible result in $S \, x : \mathscr{P} \, (Y \, i)$ and is the smallest such result under R, in the sense that any y' in $S \, x : \mathscr{P} \, (Y \, i)$ must satisfy $R \, y' \, y$ (i.e., R maps larger inputs to smaller outputs). Intuitively, we can think of $min \, R \, \bullet \Lambda \, S$ as consisting of two steps: the first step $\Lambda \, S$ computes the set of all possible results yielded by S, and the second step $min \, R$ chooses a minimum result from that set (non-deterministically). We use bags of coins as the type of solutions, and represent them as decreasingly ordered lists indexed with an upper bound. (This is a deliberate choice to make the derivation work, but one would naturally turn to this representation having attempted to apply the **Greedy Theorem**, which will be introduced shortly.) If we define the ordering on coins as

$$\_\leqslant_C\_ \; : \; \mathsf{Coin} \to \mathsf{Coin} \to \mathsf{Set}$$
$$c \leqslant_C d \; = \; value \, c \leqslant value \, d$$

where the values of the coins are defined by

$$value \; : \; \mathsf{Coin} \to \mathsf{Nat}$$
$$value \; \mathsf{1p} \; = \; 1$$
$$value \; \mathsf{2p} \; = \; 2$$
$$value \; \mathsf{5p} \; = \; 5$$

then the datatype of coin bags we use is

indexfirst data CoinBag : Coin → Set **where**
   CoinBag c ∋ nil
                  | cons $(d :$ Coin$)$ $(leq : d \leqslant_C c)$ $(b :$ CoinBag $d)$

Down at the universe level, the (ornamental) description of CoinBag (relative to List Coin) is simply that of OrdList Coin (*flip* $\_\leqslant_C\_$).

CoinBagOD : OrnDesc Coin ! (*ListD* Coin)
CoinBagOD = *OrdListOD* Coin (*flip* $\_\leqslant_C\_$)

CoinBagD : Desc Coin
CoinBagD = $\lfloor CoinBagOD \rfloor$

CoinBag : Coin → Set
CoinBag = μ *CoinBagD*

The base functor for CoinBag is

$\mathbb{F}$ *CoinBagD* : (Coin → Set) → (Coin → Set)
$\mathbb{F}$ *CoinBagD* $X c$ = Σ ListTag λ { 'nil $\mapsto$ $\top$
                                        ; 'cons $\mapsto$ $\Sigma[d :$ Coin$]$ $(d \leqslant_C c) \times X d$ }

The total value of a coin bag is the sum of the values of the coins in the bag, which is computed by a (functional) fold:

total-value-alg : $\mathbb{F}$ *CoinBagD* (*const* Nat) $\Rightarrow$ *const* Nat
total-value-alg ('nil   ,   ▪) = 0
total-value-alg ('cons , d , _ , n , ▪) = *value* $d + n$

total-value : CoinBag $\Rightarrow$ *const* Nat
total-value = *fold total-value-alg*

and the number of coins in a coin bag is also computed by a fold:

size-alg : $\mathbb{F}$ *CoinBagD* (*const* Nat) $\Rightarrow$ *const* Nat
size-alg ('nil   ,   ▪) = 0
size-alg ('cons , _ , _ , n , ▪) = $1 + n$

size : CoinBag $\Rightarrow$ *const* Nat
size = *fold size-alg*

The specification of the minimum coin change problem can now be written as

min-coin-change : *const* Nat $\rightsquigarrow$ CoinBag
min-coin-change = *min* (*fun size*$^\circ$ • *leq* • *fun size*) • Λ (*fun total-value*$^\circ$)

where *leq* = *geq*$^\circ$: *const* Nat $\rightsquigarrow$ *const* Nat maps a natural number n to any natural number that is at most n. Intuitively, given an input n : Nat, the relation *fun total-value*$^\circ$ computes an arbitrary coin bag whose total value is n, so *min-coin-change* first computes the set of all such coin bags and then chooses from the set a coin bag whose size is smallest. Our goal, then, is to write a functional program f : *const* Nat $\Rightarrow$ CoinBag such that *fun f* $\subseteq$ *min-coin-change*, and then f 5p : Nat $\rightarrow$ CoinBag 5p would be a solution — note that the type CoinBag 5p contains all coin bags, since 5p is the largest denomination and hence a trivial upper bound on the content of bags. Of course, we may guess what f should look like, but its correctness proof is much harder. Can we construct the program and its correctness proof in a more manageable way?

The plan

In traditional relational program derivation, we would attempt to refine the specification *min-coin-change* to some simpler relational program and then to an executable functional program by applying algebraic laws and theorems. With algebraic ornamentation, however, there is a new possibility: if we can derive that, for some algebra R : $\mathbb{F}$ *CoinBagD* (*const* Nat) $\rightsquigarrow$ *const* Nat,

$$([R])^\circ \subseteq \textit{min-coin-change} \tag{5.5}$$

then we can manufacture a new datatype

GreedySolutionOD : OrnDesc (Coin $\times$ Nat) outl *CoinBagD*
GreedySolutionOD = *algOD CoinBagD R*

GreedySolution : Coin $\rightarrow$ Nat $\rightarrow$ Set
GreedySolution c n = $\mu \lfloor$ *GreedySolutionOD* $\rfloor$ (*c* , *n*)

and construct a function of type

greedy : (*c* : Coin) (*n* : Nat) $\rightarrow$ *GreedySolution c n*

from which we can assemble a solution

$$sol \ : \ \mathsf{Nat} \to \mathsf{CoinBag} \ \mathsf{5p}$$
$$sol \ = \ forget \ \lceil GreedySolutionOD \rceil \circ greedy \ \mathsf{5p}$$

The program *sol* satisfies the specification because of the following argument: For any $c \ : \ \mathsf{Coin}$ and $n \ : \ \mathsf{Nat}$, by (5.3) we have

$$GreedySolution \ c \ n \ \cong \ \Sigma[\, b : \mathsf{CoinBag} \ c \,] \ (\!(R)\!) \ b \ n$$

In particular, since the first half of the left-to-right direction of the isomorphism is *forget* $\lceil GreedySolutionOD \rceil$, we have

$$(\!(R)\!) \ (forget \ \lceil GreedySolutionOD \rceil \ g) \ n$$

for any $g \ : \ GreedySolution \ c \ n$. Substituting g by *greedy* 5p n, we get

$$(\!(R)\!) \ (sol \ n) \ n$$

which implies, by (5.5),

$$min\text{-}coin\text{-}change \ n \ (sol \ n)$$

i.e., *sol* satisfies the specification. Thus all we need to do to solve the minimum coin change problem is (i) refine the specification *min-coin-change* to the converse of a fold, i.e., find the algebra R in (5.5), and (ii) construct the internalist program *greedy*.

Refining the specification

The key to refining *min-coin-change* to the converse of a fold lies in the following version of the **Greedy Theorem**, which is essentially a specialisation of Bird and de Moor's Theorem 10.1 [1997]: Let $D \ : \ \mathsf{Desc} \ I$ be a description, $R \ : \ \mu \ D \rightsquigarrow \mu \ D$ a preorder, and $S \ : \ \mathbb{F} \ D \ X \rightsquigarrow X$ an algebra. Consider the specification

$$min \ R \cdot \Lambda \ ((\!(S)\!)^{\circ})$$

That is, given an input value $x \ : \ X \ i$ for some $i \ : \ I$, we choose a minimum under R among all those elements of $\mu \ D \ i$ that computes to x through $(\!(S)\!)$. The Greedy Theorem states that, if the initial algebra

$$\alpha \ = \ fun \ \mathsf{con} \ : \ \mathbb{F} \ D \ (\mu \ D) \rightsquigarrow \mu \ D$$

is monotonic on R, i.e.,

$$\alpha \cdot \mathbb{R} \, D \, R \subseteq R \cdot \alpha$$

and there is a relation (ordering) $Q : \mathbb{F} \, D \, X \rightsquigarrow \mathbb{F} \, D \, X$ such that the **greedy condition**

$$\alpha \cdot \mathbb{R} \, D \, ((\!(S)\!)^{\circ}) \cdot (Q \cap (S^{\circ} \cdot S))^{\circ} \subseteq R^{\circ} \cdot \alpha \cdot \mathbb{R} \, D \, ((\!(S)\!)^{\circ})$$

is satisfied, then we have

$$(\!((min \, Q \cdot \Lambda \, (S^{\circ}))^{\circ})\!)^{\circ} \subseteq min \, R \cdot \Lambda \, ((\!(S)\!)^{\circ})$$

Here we offer an intuitive explanation of the Greedy Theorem, but the theorem admits an elegant calculational proof, which can be faithfully reprised in Agda. The monotonicity condition states that if $ds : \mathbb{F} \, D \, (\mu \, D) \, i$ for some $i : I$ is better than $ds' : \mathbb{F} \, D \, (\mu \, D) \, i$ under $\mathbb{R} \, D \, R$, i.e., ds and ds' are equal except that the recursive positions of ds are all better than the corresponding recursive positions of ds' under R, then con $ds : \mu \, D \, i$ would be better than con $ds' : \mu \, D \, i$ under R. This implies that, when solving the optimisation problem, better solutions to subproblems would lead to a better solution to the original problem, so the **principle of optimality** applies, i.e., to reach an optimal solution it suffices to find optimal solutions to subproblems, and we are entitled to use the converse of a fold to find optimal solutions recursively. The greedy condition further states that there is an ordering Q on the ways of decomposing the problem which has significant influence on the quality of solutions: Suppose that there are two decompositions xs and $xs' : \mathbb{F} \, D \, X \, i$ of some problem $x : X \, i$ for some $i : I$, i.e., both xs and xs' are in $S^{\circ} \, x : \mathscr{P} \, (\mathbb{F} \, D \, X \, i)$, and assume that xs is better than xs' under Q. Then for any solution resulting from xs' (computed by $\alpha \cdot \mathbb{R} \, D \, ((\!(S)\!)^{\circ})$) there always exists a better solution resulting from xs, so ignoring xs' would only rule out worse solutions. The greedy condition thus guarantees that we will arrive at an optimal solution by always choosing the best decomposition, which is done by $min \, Q \cdot \Lambda \, (S^{\circ}) : X \rightsquigarrow \mathbb{F} \, D \, X$.

Back to the coin changing problem: By *fun-preserves-fold*, the specification *min-coin-change* is equivalent to

$$min \, (fun \, size^{\circ} \cdot leq \cdot fun \, size) \cdot \Lambda \, ((\!(fun \, total\text{-}value\text{-}alg)\!)^{\circ})$$

data *CoinBag′View* : {*c* : Coin} {*n* : Nat} {*l* : Nat} → *CoinBag′ c n l* → Set **where**
  empty   : {*c* : Coin} → *CoinBag′View* {*c*} {0} {0} *bnil′*
  oneponep : {*m l* : Nat} {*lep* : 1p $\leqslant_C$ 1p} (*b* : *CoinBag′* 1p *m l*) → *CoinBag′View* {1p} {1 + *m*}
  oneptwop : {*m l* : Nat} {*lep* : 1p $\leqslant_C$ 2p} (*b* : *CoinBag′* 1p *m l*) → *CoinBag′View* {2p} {1 + *m*}
  twoptwop : {*m l* : Nat} {*lep* : 2p $\leqslant_C$ 2p} (*b* : *CoinBag′* 2p *m l*) → *CoinBag′View* {2p} {2 + *m*}
  onepfivep : {*m l* : Nat} {*lep* : 1p $\leqslant_C$ 5p} (*b* : *CoinBag′* 1p *m l*) → *CoinBag′View* {5p} {1 + *m*}
  twopfivep : {*m l* : Nat} {*lep* : 2p $\leqslant_C$ 5p} (*b* : *CoinBag′* 2p *m l*) → *CoinBag′View* {5p} {2 + *m*}
  fivepfivep : {*m l* : Nat} {*lep* : 5p $\leqslant_C$ 5p} (*b* : *CoinBag′* 5p *m l*) → *CoinBag′View* {5p} {5 + *m*}

Figure 5.3 The view datatype on *CoinBag′*.

which matches the form of the generic specification given in the Greedy Theorem, so we try to discharge the two conditions of the theorem. The monotonicity condition reduces to monotonicity of *fun size-alg* on *leq*, and can be easily proved either by relational calculus or pointwise reasoning. As for the greedy condition, an obvious choice for *Q* is an ordering that leads us to choose the largest possible denomination, so we go for

Q : $\mathbb{F}$ *CoinBagD* (*const* Nat) ⤳ $\mathbb{F}$ *CoinBagD* (*const* Nat)
Q (‘nil ,   ▪) = *return* (‘nil , ▪)
Q (‘cons , *d* , _ , ▪) = (λ *e r* ↦ ‘cons , *e* , *r* , ▪) ⟨\$⟩² (_$\leqslant_C$_ *d*) *any*

where, in the cons case, the output is required to be also a cons node, and the coin at its head position must be one that is no smaller than the coin *d* at the head position of the input. It is non-trivial to prove the greedy condition by relational calculus. Here we offer instead a brute-force yet conveniently expressed case analysis by dependent pattern matching, which also serves as an example of algebraic ornamentation. Define a new datatype *CoinBag′* : Coin → Nat → Nat → Set by composing two algebraic ornaments on *CoinBagD* in parallel:

CoinBag′OD : OrnDesc (outl ⋈ outl) *pull CoinBagD*
CoinBag′OD = ⌈*algOD CoinBagD* (*fun total-value-alg*)⌉ ⊗
                 ⌈*algOD CoinBagD* (*fun size-alg*)⌉

greedy-lemma : $(c\ d\ :\ \mathsf{Coin}) \to c \leqslant_C d \to (m\ n\ :\ \mathsf{Nat}) \to value\ c + m \equiv value\ d + n \to$
$\qquad\qquad (l\ :\ \mathsf{Nat})\ (b\ :\ CoinBag'\ c\ m\ l) \to \Sigma[\,l'\ :\ \mathsf{Nat}\,]\ CoinBag'\ d\ n\ l' \times (l' \leqslant l)$

greedy-lemma c   d   c ≤ d m   n   eq   l   b **with** *view − ordered − coin c d c ≤ d*

greedy-lemma .1p .1p _   .n   n   refl *l   b (vartype (CoinBag′* 1p *n l))* | *oneponep*

greedy-lemma .1p .2p _   .(1 + n) n   refl *l   b* | *oneptwop* **with** *view − CoinBag′ b*
greedy-lemma .1p .2p _   .(1 + n) n   refl .(1 + l″) ._ | *oneptwop* | *oneponep* {.n} {l″} *b (v*

greedy-lemma .1p .5p _   .(4 + n) n   refl *l   b* | *onepfivep* **with** *view − CoinBag′ b*
greedy-lemma .1p .5p _   .(4 + n) n   refl ._   ._ | *onepfivep* | *oneponep b* **with** *view −*
greedy-lemma .1p .5p _   .(4 + n) n   refl ._   ._ | *onepfivep* | *oneponep* . _ | *oneponep*
greedy-lemma .1p .5p _   .(4 + n) n   refl ._   ._ | *onepfivep* | *oneponep* . _ | *oneponep*
greedy-lemma .1p .5p _   .(4 + n) n   refl .(4 + l″) ._ | *onepfivep* | *oneponep* . _ | *oneponep*

greedy-lemma .2p .2p _   .n   n   refl *l   b (vartype (CoinBag′* 2p *n l))* | *twoptwop*

greedy-lemma .2p .5p _   .(3 + n) n   refl *l   b* | *twopfivep* **with** *view − CoinBag′ b*
greedy-lemma .2p .5p _   .(3 + n) n   refl ._   ._ | *twopfivep* | *oneptwop b* **with** *view −*
greedy-lemma .2p .5p _   .(3 + n) n   refl ._   ._ | *twopfivep* | *oneptwop* . _ | *oneponep*
greedy-lemma .2p .5p _   .(3 + n) n   refl .(3 + l″) ._ | *twopfivep* | *oneptwop* ._ | *oneponep*

greedy-lemma .2p .5p _   .(3 + n) n   refl ._   ._ | *twopfivep* | *twoptwop b* **with** *view −*
greedy-lemma .2p .5p _   .(3 + n) n   refl .(2 + l″) ._ | *twopfivep* | *twoptwop* ._ | *oneptwop*

greedy-lemma .2p .5p _   .(4 + k) .(1 + k) refl .(2 + l″) ._ | *twopfivep* | *twoptwop* ._ | *twoptwop*

greedy-lemma .5p .5p _   .n   n   refl *l   b (vartype (CoinBag′* 5p *n l))* | *fivepfivep*

Figure 5.4 Cases of *greedy-lemma*, generated semi-automatically by Agda's interactive case-split mechanism. Shown in the (shaded) interaction points are their goal types, and the types of some pattern variables are shown in subscript beside them.

CoinBag′ : Coin → Nat → Nat → Set
CoinBag′ c n l = *μ* ⌊*CoinBag′OD*⌋ (ok (*c* , *n*) , ok (*c* , *l*))

By **(??)**, **(??)**, and *fun-preserves-fold*, *CoinBag′* is characterised by the isomorphisms

$$CoinBag′\ c\ n\ l\ \cong\ \Sigma[\,b : \mathsf{CoinBag}\ c\,]\ (\textit{total-value } b \equiv n) \times (\textit{size } b \equiv l) \qquad (5.6)$$

for all *c* : Coin, *n* : Nat, and *l* : Nat. Hence a coin bag of type *CoinBag′ c n l* contains *l* coins that are no larger than *c* and sum up to *n* pence. We can give the following types to the two constructors of *CoinBag′*:

bnil′ : ∀ {*c*} → *CoinBag′ c* 0 0

bcons′ : ∀ {*c n l*} → (*d* : Coin) → *d* ⩽$_C$ *c* →
   CoinBag′ d n l → *CoinBag′ c* (*value d* + *n*) (1 + *l*)

The greedy condition then essentially reduces to this lemma:

greedy-lemma :
   (*c d* : Coin) → *c* ⩽$_C$ *d* →
   (*m n* : Nat) → *value c* + *m* ≡ *value d* + *n* →
   (*l* : Nat) (*b* : *CoinBag′ c m l*) →
   Σ[*l′* : Nat] *CoinBag′ d n l′* × (*l′* ⩽ *l*)

That is, given a problem (i.e., a value to be represented by coins), if *c* : Coin is a choice of decomposition (i.e., the first coin used) no better than *d* : Coin (recall that we prefer larger denominations), and *b* : *CoinBag′ c m l* is a solution of size *l* to the remaining subproblem *m* resulting from choosing *c*, then there is a solution to the remaining subproblem *n* resulting from choosing *d* whose size *l′* is no greater than *l*. We define two **views** McBride and McKinna [2004] — or "customised pattern matching" — to aid the analysis. The first view analyses a proof of *c* ⩽$_C$ *d* and exhausts all possibilities of *c* and *d*,

data *CoinOrderedView* : Coin → Coin → Set **where**
   oneponep : *CoinOrderedView* 1p 1p
   oneptwop : *CoinOrderedView* 1p 2p
   onepfivep : *CoinOrderedView* 1p 5p
   twoptwop : *CoinOrderedView* 2p 2p

twopfivep : *CoinOrderedView* 2p 5p

fivepfivep : *CoinOrderedView* 5p 5p

view − ordered − coin :
$\quad$ (*c d* : Coin) $\to c \leqslant_C d \to CoinOrderedView\ c\ d$

where the covering function *view − ordered − coin* is written by standard pattern matching on *c* and *d*. The second view analyses some *b* : *CoinBag′ c n l* and exhausts all possibilities of *c*, *n*, *l*, and the first coin in *b* (if any). The view datatype *CoinBag′View* is shown in Figure 5.3, and the covering function

view − CoinBag′ :
$\quad \forall \{c\ n\ l\}\ (b : CoinBag′\ c\ n\ l) \to CoinBag′View\ b$

is again written by standard pattern matching. Given these two views, *greedy-lemma* can be split into eight cases by first exhausting all possibilities of *c* and *d* with *view − ordered − coin* and then analysing the content of *b* with *view − CoinBag′*. Figure 5.4 shows the case-split tree generated semi-automatically by Agda; the detail is explained as follows:

- At goal 0 (and, similarly, goals 3 and 7), the input bag is *b* : *CoinBag′* 1p *n l*, and we should produce a *CoinBag′* 1p *n l′* for some *l′* : Nat such that $l' \leqslant l$. This is easy because *b* itself is a suitable bag.

- At goal 1 (and, similarly, goals 2, 4, and 5), the input bag is of type *CoinBag′* 1p $(1 + n)\ l$, i.e., the coins in the bag are no larger than 1p and the total value is $1 + n$. The bag must contain 1p as its first coin; let the rest of the bag be *b* : *CoinBag′* 1p *n l″*. At this point Agda can deduce that *l* must be $1 + l''$. Now we can return *b* as the result after the upper bound on its coins is relaxed from 1p to 2p, which is done by

  relax : $\forall \{c\ n\ l\}\ (b : CoinBag′\ c\ n\ l) \to$
  $\qquad\qquad \forall \{d\} \to c \leqslant_C d \to CoinBag′\ d\ n\ l$

- The remaining goal 6 is the most interesting one: The input bag has type *CoinBag′* 2p $(3 + n)\ l$, which in this case contains two 2-pence coins, and the rest of the bag is *b* : *CoinBag′* 2p *k l″*. Agda deduces that *n* must be $1 + k$ and *l* must be $2 + l''$. We thus need to add a penny to *b* to increase its total

value to $1 + k$, which is done by

$add - penny$:
  $\forall \{c\ n\ l\} \to CoinBag'\ c\ n\ l \to CoinBag'\ c\ (1 + n)\ (1 + l)$

and relax the bound of $add - penny\ b$ from 2p to 5p.

Throughout the proof, Agda is able to keep track of the total value and the size of bags and make deductions, so the case analysis is done with little overhead. The greedy condition can then be discharged by pointwise reasoning, using (5.6) to interface with *greedy-lemma*. We conclude that the Greedy Theorem is applicable, and obtain

$$\llbracket\, (min\ Q\ \cdot \Lambda\ (fun\ total\text{-}value\text{-}alg^{\,\circ}))^{\,\circ}\,\rrbracket^{\,\circ}\ \subseteq\ min\text{-}coin\text{-}change$$

We have thus found the algebra

$$R\ =\ (min\ Q\ \cdot \Lambda\ (fun\ total\text{-}value\text{-}alg^{\,\circ}))^{\,\circ}$$

which will help us to construct the final internalist program.

Constructing the internalist program

As planned, we synthesise a new datatype by ornamenting CoinBag using the algebra R:

$GreedySolutionOD$: OrnDesc (Coin $\times$ Nat) outl $CoinBagD$
$GreedySolutionOD$ $=$ $algOD\ CoinBagD\ R$

$GreedySolution$: Coin $\to$ Nat $\to$ Set
$GreedySolution\ c\ n$ $=$ $\mu \lfloor GreedySolutionOD \rfloor\ (c\ ,\ n)$

The two constructors of *GreedySolution* can be given the following types:

$gnil$: $\forall \{c\ n\} \to$
    $total\text{-}value\text{-}alg\ (nil - tag\ ,\ \blacksquare)\ \equiv\ n \to$
    $(\forall\ ns \to total\text{-}value\text{-}alg\ ns\ \equiv\ n \to Q\ ns\ (nil - tag\ ,\ \blacksquare)) \to$
    $GreedySolution\ c\ n$

$gcons$:
  $\forall \{c\ n\} \to (d\ :\ Coin)\ (d \leqslant c\ :\ d \leqslant_C c) \to$

$\forall \{n'\} \rightarrow \textit{total-value-alg} \ (cons - tag \ , d \ , d \leqslant c \ , n') \ \equiv \ n \rightarrow$
$(\forall \ ns \rightarrow \textit{total-value-alg} \ ns \ \equiv \ n \rightarrow Q \ ns \ (cons - tag \ , d \ , d \leqslant c \ , n')) \rightarrow$
$\textit{GreedySolution} \ d \ n' \rightarrow \textit{GreedySolution} \ c \ n$

Before we proceed to construct the internalist program

$\textit{greedy} \ : \ (c \ : \ \mathsf{Coin}) \ (n \ : \ \mathsf{Nat}) \rightarrow \textit{GreedySolution} \ c \ n$

let us first simplify the two constructors of *GreedySolution*. Each of the two constructors has two additional proof obligations coming from the algebra R: For *gnil*, since *total-value-alg* $(nil - tag, \blacksquare)$ reduces to 0, we may just specialise n to 0 and discharge the equality proof obligation. For the second proof obligation, *ns* is necessarily $(nil - tag \ , \ \blacksquare)$ if *total-value-alg ns* $\equiv$ 0, and indeed Q maps $(nil - tag \ , \ \blacksquare)$ to $(nil - tag \ , \ \blacksquare)$, so the second proof obligation can be discharged as well. We thus obtain a simpler constructor defined using *gnil*:

$\textit{gnil}' \ : \ \forall \ \{c\} \rightarrow \textit{GreedySolution} \ c \ 0$

For *gcons*, again since *total-value-alg* $(cons - tag \ , \ d \ , \ d \leqslant c \ , \ n')$ reduces to *value* $d \ + \ n'$, we may just specialise n to *value* $d \ + \ n'$ and discharge the equality proof obligation. For the second proof obligation, any *ns* that satisfies *total-value-alg ns* $\equiv$ *value* $d + n'$ must be of the form $(cons - tag \ , \ e \ , \ e \leqslant c \ , \ m')$ for some $e \ : \ \mathsf{Coin}$, $e \leqslant c \ : \ e \ \leqslant_C \ c$, and $m' \ : \ \mathsf{Nat}$ since the right-hand side *value* $d + n'$ is nonzero, and Q maps *ns* to $(cons - tag \ , \ d \ , \ d \leqslant c \ , \ n')$ if $e \leqslant_C d$, so d should be the largest "usable" coin if this proof obligation is to be discharged. We say that $d \ : \ \mathsf{Coin}$ is **usable** with respect to some $c \ : \ \mathsf{Coin}$ and $n \ : \ \mathsf{Nat}$ if d is bounded above by c and can be part of a solution to the problem for n pence:

$\textit{UsableCoin} \ : \ \mathsf{Nat} \rightarrow \mathsf{Coin} \rightarrow \mathsf{Coin} \rightarrow \mathsf{Set}$
$\textit{UsableCoin} \ n \ c \ d \ =$
$\quad (d \leqslant_C c) \times (\Sigma [\, n' : \mathsf{Nat}\,] \ \textit{value} \ d + n' \ \equiv \ n)$

Now we can define a new constructor using *gcons*:

$\textit{gcons}' \ :$
$\quad \forall \ \{c\} \rightarrow (d \ : \ \mathsf{Coin}) \rightarrow d \leqslant_C c \rightarrow$
$\quad \forall \ \{n'\} \rightarrow$

$((e : \mathsf{Coin}) \rightarrow \mathit{UsableCoin}\ (\mathit{value}\ d + n')\ c\ e \rightarrow e \leqslant_C d) \rightarrow$
$\mathit{GreedySolution}\ d\ n' \rightarrow \mathit{GreedySolution}\ c\ (\mathit{value}\ d + n')$

which requires that d is the largest usable coin with respect to c and *value* $d + n'$. We are thus directed to implement a function $maximum - coin$ that computes the largest usable coin with respect to any $c :\ \mathsf{Coin}$ and nonzero $n :\ \mathsf{Nat}$,

$maximum - coin :$
   $(c :\ \mathsf{Coin})\ (n :\ \mathsf{Nat}) \rightarrow n > 0 \rightarrow$
   $\Sigma[\,d : \mathsf{Coin}\,]\ \mathit{UsableCoin}\ n\ c\ d \times$
      $((e :\ \mathsf{Coin}) \rightarrow \mathit{UsableCoin}\ n\ c\ e \rightarrow e \leqslant_C d)$

which takes some theorem proving but is overall a typical Agda exercise in dealing with natural numbers and ordering. Now we can implement the greedy algorithm as the internalist program

$greedy :\ (c :\ \mathsf{Coin})\ (n :\ \mathsf{Nat}) \rightarrow \mathit{GreedySolution}\ c\ n$
$greedy\ c\ n\ =\ < -rec\ P\ f\ n\ c$
   where
      $P :\ \mathsf{Nat} \rightarrow \mathsf{Set}$
      $P\ n\ =\ (c :\ \mathsf{Coin}) \rightarrow \mathit{GreedySolution}\ c\ n$
      $f :\ (n :\ \mathsf{Nat}) \rightarrow ((n' :\ \mathsf{Nat}) \rightarrow n' < n \rightarrow P\ n') \rightarrow P\ n$
      $f\ n$ $rec\ c$ **with** $compare - with - zero\ n$
      $f\ .0$ $rec\ c\ \mid\ is - zero\ =\ gnil'$
      $f\ n$ $rec\ c\ \mid\ above - zero\ n > z$
                           with $maximum - coin\ c\ n\ n > z$
      $f\ .(\mathit{value}\ d + n')\ rec\ c\ \mid\ above - zero\ n > z$
                           $\mid\ d\ ,\ (d \leqslant c\ ,\ n'\ ,\ \mathsf{refl})\ ,\ guc\ =$
                           $gcons'\ d\ d \leqslant c\ guc\ (rec\ n'\ (hole\ ()\ (8))\ d)$

where the combinator

$< -rec :\ (P :\ \mathsf{Nat} \rightarrow \mathsf{Set}) \rightarrow$
         $((n :\ \mathsf{Nat}) \rightarrow ((n' :\ \mathsf{Nat}) \rightarrow n' < n \rightarrow P\ n') \rightarrow P\ n) \rightarrow$
         $(n :\ \mathsf{Nat}) \rightarrow P\ n$

is for well-founded recursion on $\_ < \_$, and the function

compare − with − zero : (*n* : Nat) → *ZeroView n*

is a covering function for the view

data *ZeroView* : Nat → Set **where**
  is − zero   : *ZeroView* 0
  above − zero : {*n* : Nat} → *n* > 0 → *ZeroView n*

At goal 8, Agda deduces that *n* is *value d* + *n'* and demands that we prove $n' < value\ d + n'$ in order to make the recursive call, which is easily discharged since *value d* > 0.

5.4 Discussion

related work: Atkey et al. [2012]

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