

ULTIMATE PERIODICITY PROBLEM FOR LINEAR NUMERATION SYSTEMS

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ABSTRACT. We address the following decision problem. Given a numeration system U and a U -recognizable set $X \subseteq \mathbb{N}$, i.e. the set of its greedy U -representations is recognized by a finite automaton, decide whether or not X is ultimately periodic. We prove that this problem is decidable for a large class of numeration systems built on linearly recurrent sequences. Based on arithmetical considerations about the recurrence equation and on p -adic methods, the DFA given as input provides a bound on the admissible periods to test.

1. INTRODUCTION

Let us first recall the general setting of linear numeration systems that are used to represent, in a greedy way, non-negative integers by words over a finite alphabet of digits. See, for instance, [11]. Let $\mathbb{N} = \{0, 1, 2, \dots\}$.

Definition 1. A *numeration system* is given by an increasing sequence $U = (U_i)_{i \geq 0}$ of integers such that $U_0 = 1$ and $C_U := \sup_{i \geq 0} \lceil \frac{U_{i+1}}{U_i} \rceil$ is finite. Let $A_U = \{0, \dots, C_U - 1\}$ be the canonical alphabet of digits. The *greedy U -representation* of a positive integer n is the unique finite word $\text{rep}_U(n) = w_\ell \cdots w_0$ over A_U satisfying

$$n = \sum_{i=0}^{\ell} w_i U_i, \quad w_\ell \neq 0 \quad \text{and} \quad \sum_{i=0}^t w_i U_i < U_{t+1}, \quad t = 0, \dots, \ell.$$

We set $\text{rep}_U(0)$ to be the empty word ε . A set $X \subseteq \mathbb{N}$ of integers is *U -recognizable* if the language $\text{rep}_U(X)$ over A_U is regular (i.e. accepted by a finite automaton).

Recognizable sets of integers are considered as particularly simple because membership can be decided by a deterministic finite automaton

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in linear time with respect to the length of the representation. It is well-known that such a property for a subset of \mathbb{N} depends on the choice of the numeration system. For a survey on integer base systems, see [6]. For generalized numeration systems, see [21].

Definition 2. If $x = x_\ell \cdots x_0$ is a word over an alphabet of integers, then the U -numerical value of x is

$$\text{val}_U(x) = \sum_{i=0}^{\ell} x_i U_i.$$

From the point of view of formal languages, it is quite desirable that $\text{rep}_U(\mathbb{N})$ is regular ; we want to be able to check whether or not a word is a valid greedy U -representation. This implies that U must satisfies a linear recurrence relation. See, for instance, [24] or [2, Prop. 3.1.5].

Definition 3. A numeration system U is said to be *linear* if it ultimately satisfies a homogeneous linear recurrence relation with integer coefficients. There exist $k \geq 1$, $a_{k-1}, \dots, a_0 \in \mathbb{Z}$ such that $a_0 \neq 0$ and $N \geq 0$ such that for all $i \geq N$,

$$(1.1) \quad U_{i+k} = a_{k-1}U_{i+k-1} + \cdots + a_0U_i.$$

The polynomial $X^N(X^k - a_{k-1}X^{k-1} - \cdots - a_0)$ is called the *characteristic polynomial* of the system.

The regularity of $\text{rep}_U(\mathbb{N})$ is also important for another reason. The language $\text{rep}_U(\mathbb{N})$ is regular if and only if every ultimately periodic set of integers is U -recognizable [17, Thm. 4]. In particular, as recalled in Proposition 11, if an ultimately periodic set X is given, then a DFA accepting $\text{rep}_U(X)$ can effectively be obtained.

In this paper, we address the following decidability question. Our aim is to prove that this problem is decidable for a large class of numeration systems.

Problem 1. Given a linear numeration system U and a (deterministic) finite automaton \mathcal{A} whose accepted language is contained in the numeration language $\text{rep}_U(\mathbb{N})$, decide whether the subset X of \mathbb{N} that is recognized by \mathcal{A} is ultimately periodic, i.e. whether or not X is a finite union of arithmetic progressions (along a finite set).

This question about ultimately periodic sets is motivated by the celebrated theorem of Cobham. Let $p, q \geq 2$ be integers. If p and q are multiplicatively independent, i.e. $\frac{\log(p)}{\log(q)}$ is irrational, then the ultimately periodic sets are the only sets that are both p -recognizable and q -recognizable [8]. These are exactly the sets definable by a first-order

formula in the Presburger arithmetic $\langle \mathbb{N}, + \rangle$. Cobham's result has been extended to various settings ; see [9, 20] for an application to morphic words. See [10] for a survey.

In this paper, we write greedy U -representations with most significant digit first (MSDF convention): the leftmost digit is associated with the largest U_ℓ occurring in the decomposition. Considering least significant digit first would not affect decidability (a language is regular if and only if its reversal is) but this could have some importance in terms of complexity issues not discussed here.

What is known. Let us quickly review cases where the decision problem is known to be decidable. Relying on number theoretic results, the problem was first solved by Honkala for integer base systems [15]. An alternative approach bounding the syntactic complexity of ultimately periodic sets of integers written in base b was studied in [16]. Recently a deep analysis of the structure of the automata accepting ultimately periodic sets has lead to an efficient decision procedure for integer base systems [19, 4, 18]. An integer base system is a particular case of a Pisot system, i.e. a linear numeration system whose characteristic polynomial is the minimal polynomial of a Pisot number (an algebraic integer larger than 1 whose conjugates all have modulus less than one). For these systems, one can make use of first-order logic and the decidable extension $\langle \mathbb{N}, +, V_U \rangle$ of Presburger arithmetic [5]. For an integer base p , $V_p(n)$ is the largest power of p dividing n . A typical example of Pisot system is given by the Zeckendorf system based on the Fibonacci sequence $1, 2, 3, 5, 8, \dots$. Given a U -recognizable set X , there exists a first-order formula $\varphi(n)$ in $\langle \mathbb{N}, +, V_U \rangle$ describing X . The formula

$$(\exists N)(\exists p)(\forall n \geq N)(\varphi(n) \Leftrightarrow \varphi(n + p))$$

thus expresses when X is ultimately periodic, N being a preperiod and p a period of X . The logic formalism can be applied to systems such that the addition is U -recognizable by an automaton, i.e. the set $\{(x, y, z) \in \mathbb{N}^3 : x + y = z\}$ is U -recognizable. This is the case for Pisot systems [12].

When addition is not known to be U -recognizable, other techniques must be sought. Hence the problem was also shown to be decidable for some non-Pisot linear numeration systems satisfying a gap condition $\lim_{i \rightarrow +\infty} U_{i+1} - U_i = +\infty$ and a more technical condition $\lim_{m \rightarrow +\infty} N_U(m) = +\infty$ where $N_U(m)$ is the number of residue classes that appear infinitely often in the sequence $(U_i \bmod m)_{i \geq 0}$; see [1]. An example of such a system is given by the relation $U_i = 3U_{i-1} + 2U_{i-2} + 3U_{i-3}$ [13]. For extra pointers to the literature (such as an extension

to a multidimensional setting), the reader can follow the introduction in [1].

Our contribution. In view of the above summary, we are looking for a decision procedure that may be applied to non-Pisot linear numeration systems such that $N_U(m) \not\rightarrow \infty$ when m tends to infinity. Hence we want to take into account systems where we are not able to apply a decision procedure based on first-order logic nor on the technique from [1]. We follow Honkala’s original scheme: if a DFA \mathcal{A} is given as input (the question being whether the corresponding recognized subset of \mathbb{N} is ultimately periodic), the number of states of \mathcal{A} should provide an upper bound on the admissible preperiods and periods. If there is a finite number of such pairs to test, then we build a DFA $\mathcal{A}_{N,p}$ for each pair (N, p) and one can test whether or not two automata \mathcal{A} and $\mathcal{A}_{N,p}$ accept the same language. This provides us with a decision procedure. Roughly speaking, if the given DFA is “small”, then it cannot accept an ultimately periodic set with a minimal period being “overly complicated”, i.e. “quite large”.

Example 4. Here is an example of a numeration system based on a Parry (the β -expansion of 1 is finite or ultimately periodic, see [2, Chap. 2]) non-Pisot number β :

$$U_{i+4} = 2U_{i+3} + 2U_{i+2} + 2U_i.$$

Indeed, the largest root β of the characteristic polynomial is roughly 2.804, and -1.134 is another root of modulus larger than one. With the initial conditions 1, 3, 9, 23, $\text{rep}_U(\mathbb{N})$ is the regular language over $\{0, 1, 2\}$ of words avoiding factors 2202, 221 and 222. For details, see [2, Ex. 2.3.37]. When m is a power of 2, there is a unique congruence class visited infinitely often by the sequence $(U_i \bmod m)_{i \geq 0}$ because $U_i \equiv 0 \pmod{2^r}$ for large enough i . For such an example, $N_U(m)$ does not tend to infinity and thus the previously known decision procedures may not be applied. This is a perfect candidate for which no decision procedures are known.

This paper is organized as follows. In Section 2, we make clear our assumptions on the numeration system. In Section 3, we collect several known results on periodic sets and U -representations. In particular, we relate the length of the U -representation an integer to its value. The core of the paper is made of Section 4 where we discuss cases to bound the admissible periods. In particular, we consider two kinds of prime factors of the admissible periods: those that divide all the coefficients of the recurrence and those that don’t, see (4.1). In Section 5, we apply the discussion of the previous section. First, we obtain a decision

procedure when the gcd of the coefficients of the recurrence relation is 1, see Theorem 30. This extends the scope of results from [1]. On the other hand, if there exist primes dividing all the coefficients, our approach heavily relies on quite general arithmetic properties of linear recurrence relations. It has therefore inherent limitations because of notoriously difficult results in p -adic analysis such as finding bounds on the growth rate of blocks of zeroes in p -adic numbers of a special logarithmic form. We discuss the question and give illustrations of these p -adic techniques in Section 6. The paper ends with some concluding remarks.

2. OUR SETTING

We have minimal assumptions on the considered linear numeration system U .

- (H1) \mathbb{N} is U -recognizable ;
- (H2) There are arbitrarily large gaps between consecutive terms:

$$\limsup_{i \rightarrow +\infty} (U_{i+1} - U_i) = +\infty.$$

- (H3) The gap sequence $(U_{i+1} - U_i)_{i \geq 0}$ is ultimately non-decreasing: there exists $N \geq 0$ such that for all $i \geq N$,

$$U_{i+1} - U_i \leq U_{i+2} - U_{i+1}.$$

Let us make a few comments. (H1) gives sense and meaning to our decision problem ; under that assumption, ultimately periodic sets are U -recognizable. As recalled in the introduction, it is a well-known result that (H1) implies that the numeration system $(U_i)_{i \geq 0}$ must satisfy a linear recurrence relation with integer coefficients. The assumptions (H2) and (H3) imply that $\lim_{i \rightarrow +\infty} (U_{i+1} - U_i) = +\infty$. However, in many cases, even if $\lim_{i \rightarrow +\infty} (U_{i+1} - U_i) = +\infty$, the gap sequence may decrease from time to time.

The main reason why we introduce (H3) is the following one. Let $10^j w$ be a greedy U -representation for some $j \geq 0$. Assume (H3) and $i = |w| + \ell \geq N$. Then for all $\ell' \geq \ell$, $10^{\ell'} w$ is a greedy U -representation as well. Indeed, if n is a non-negative integer such that $U_i + n < U_{i+1}$, then $U_{i+1} + n = U_{i+1} - U_i + U_i + n \leq U_{i+2} - U_{i+1} + U_i + n < U_{i+2}$. Hence $U_{i'} + n < U_{i'+1}$ for all $i' \geq i$, meaning that as soon as the greediness property is fulfilled, one can shift the leading 1 at every larger index. This is not always the case, as seen in Example 9.

This property will be used in Corollary 8, which in turn will be crucial in the proofs of Propositions 17 and 22 as well as Theorem 29,

where we construct U -representations with leading 1's in convenient positions.

Note that Example 4 satisfies the above assumptions.

Example 5. Our toy example that will be treated all along the paper is given by the recurrence $U_{i+3} = 12U_{i+2} + 6U_{i+1} + 12U_i$. Even though the system is associated with a Pisot number, it is still interesting because $N_U(m)$ does not tend to infinity (so we cannot follow the decision procedure from [1]) and the gcd of the coefficients of the recurrence is larger than 1. Let $r \geq 1$. If m is a power of 2 or 3, then $U_i \equiv 0 \pmod{2^r}$ (resp. $U_i \equiv 0 \pmod{3^r}$) for large enough i . By taking the initial conditions 1, 13, 163, the language of greedy U -representations is regular. For the reader aware of β -numeration systems, let us mention that this choice of initial conditions corresponds to the Bertrand initial conditions, in which case the language $\text{rep}_U(\mathbb{N})$ is equal to the set of factors (with no leading zeroes) occurring in the β -expansions of real numbers where β is the dominant root of the characteristic polynomial $X^3 - 12X^2 - 6X - 12$ of the recurrence relation of the system U [3].

3. SOME CLASSICAL LEMMAS

A set $X \subseteq \mathbb{N}$ is *ultimately periodic* if its characteristic sequence $\mathbf{1}_X \in \{0, 1\}^{\mathbb{N}}$ is of the form uv^ω where u, v are two finite words over $\{0, 1\}$. It is assumed that u, v are chosen of minimal length. Hence the *period* of X denoted by π_X is the length $|v|$ and its *preperiod* is the length $|u|$. We say that X is (*purely*) *periodic* whenever the preperiod is zero. The following lemma is a simple consequence of the minimality of the period chosen to represent an ultimately periodic set.

Lemma 6. *Let $X \subseteq \mathbb{N}$ be an ultimately periodic set of period π_X and let i, j be integers greater than or equal to the preperiod of X . If $i \not\equiv j \pmod{\pi_X}$ then there exists $r < \pi_X$ such that either $i + r \in X$ and $j + r \notin X$ or, $i + r \notin X$ and $j + r \in X$.*

Our assumption (H2) permits us to extend greedy U -representations with some extra leading digits. See [1, Lemma 7] for a proof.

Lemma 7. *Let U be a numeration system satisfying (H2). Then for all $i \geq 0$ and all $L \geq i$, there exists $\ell \geq L$ such that*

$$10^{\ell - |\text{rep}_U(t)|} \text{rep}_U(t), \quad t = 0, \dots, U_i - 1$$

are greedy U -representations. Otherwise stated, if w is a greedy U -representation, then there exist arbitrarily large r such that the word $10^r w$ is also a greedy U -representation.

When \mathbb{N} is U -recognizable, using a pumping-like argument, we can give an upper bound on the number of zeroes to be inserted.

Corollary 8. *Let U be a numeration system satisfying (H1) and (H2). Then there exists an integer constant $C > 0$ such that if w is a greedy U -representation then, for some $\ell < C$, $10^\ell w$ is also a greedy U -representation. If furthermore U satisfies (H3) then $10^{\ell'} w$ is greedy for all $\ell' \geq \ell$.*

Proof. By assumption (H1), there exists a DFA, say with C states, accepting the language $\text{rep}_U(\mathbb{N})$. Let w be a greedy U -representation. Then from Lemma 7, there exists $r \geq C$ such that $10^r w \in \text{rep}_U(\mathbb{N})$. The path of label $10^r w$ starting from the initial state is accepting. Since $r \geq C$, a state is visited at least twice when reading the block 0^r . Thus there exists an accepting path of label $10^\ell w$ with $\ell < C$.

We now turn to the special case. We proceed by induction. If $10^\ell w$ is a greedy U -representation, then

$$\text{val}_U(10^\ell w) = U_{\ell+|w|} + \text{val}_U(w) < U_{\ell+|w|+1}.$$

Under (H3),

$$U_{\ell+|w|+1} - U_{\ell+|w|} \leq U_{\ell+|w|+2} - U_{\ell+|w|+1},$$

adding together both sides of the two inequalities leads to $U_{\ell+|w|+1} + \text{val}_U(w) < U_{\ell+|w|+2}$ meaning that $10^{\ell+1} w$ is a greedy U -representation. \square

Example 9. The sequence $1, 2, 4, 5, 16, 17, 64, 65, \dots$ is a solution of the linear recurrence $U_{i+4} = 5U_{i+2} - 4U_i$ but it does not satisfy (H3). The property stated in the last part of Corollary 8 does not hold: only some shifts to the left of the leading coefficient 1 lead to valid greedy expansions. The word 1001 is the greedy representation of 6 but for all $t \geq 1$, $1(00)^t 1001$ is not a greedy representation.

Example 10. The sequence $1, 2, 3, 4, 8, 12, 16, 32, 48, 64, 128, \dots$ is a solution of the linear recurrence $U_{i+3} = 4U_i$. The numeration language $0^* \text{rep}_U(\mathbb{N})$ is the set of suffixes of $\{000, 001, 010, 100\}^*$, hence (H1) holds. For all $i \geq 0$, $U_{i+1} - U_i = 4^{\lfloor i/3 \rfloor}$. Therefore, (H2) and (H3) are also verified.

We will also make use of the following folklore result. See, for instance, [2, Prop. 3.1.9]. It relies on the fact that a linearly recurrent sequence is ultimately periodic modulo Q .

Proposition 11. *Let $Q, r \geq 0$. Let $A \subseteq \mathbb{N}$ be a finite alphabet. If U is a linear numeration system, then*

$$\{w \in A^* \mid \text{val}_U(w) \in Q\mathbb{N} + r\}$$

is accepted by a DFA that can be effectively constructed. In particular, whenever \mathbb{N} is U -recognizable, i.e. under (H1), then any ultimately periodic set is U -recognizable.

Under assumption (H1) the formal series $\sum_{i \geq 0} U_i X^i$ is \mathbb{N} -rational because U_i is the number of words of length less than or equal to i in the regular language $\text{rep}_U(\mathbb{N})$. One can therefore make use of Soittola's theorem [23, Thm. 10.2]: The series is the merge of rational series with dominating eigenvalues and polynomials. We thus define the following quantities.

Definition 12. We introduce an integer u and a real number β depending only on the numeration system. From Soittola's theorem, there exist an integer $u \geq 1$, real numbers $\beta_0, \dots, \beta_{u-1} \geq 1$ and non-zero polynomials P_0, \dots, P_{u-1} such that for $r \in \{0, \dots, u-1\}$ and large enough i ,

$$U_{ui+r} = P_r(i) \beta_r^i + Q_r(i)$$

where $\frac{Q_r(i)}{\beta_r^i} \rightarrow 0$ when $i \rightarrow \infty$. Since $(U_i)_{i \geq 0}$ is increasing, for $r < s < u$, for all i , we have

$$U_{ui+r} < U_{ui+s} < U_{u(i+1)+r}.$$

By letting i tend to infinity, this shows that we must have $\beta_0 = \dots = \beta_{u-1}$ that we denote by β and $\deg(P_0) = \dots = \deg(P_{u-1})$ that we denote by d . Otherwise stated, $U_{ui+r} \sim c_r i^d \beta^i$ for some constant c_r . Finally, let T be such that $c_T = \max_{0 \leq r < u} c_r$. Otherwise stated, we highlight with T a subsequence $(U_{ui+T})_{i \geq 0}$ with the maximal dominant coefficient.

Note that if a numeration system has a dominant root, i.e. the minimal recurrence relation satisfied by $(U_i)_{i \geq 0}$ has a unique root $\beta > 1$, possibly with multiplicity greater than 1, of maximum modulus, then $u = 1$.

Lemma 13. *With the notation of Definition 12, if $\beta > 1$ then there exists nonnegative constants K and L such that for all n ,*

$$|\text{rep}_U(n)| < u \log_\beta(n) + K$$

and

$$|\text{rep}_U(n)| > u \log_\beta(n) - u \log_\beta(P_T(\log_\beta(n) + K/u)) - L.$$

This lemma shows that the length of the greedy U -representation of n grows at most like $\log_{\beta^{1/u}}(n)$. If P_T is a constant polynomial, the lower bound is of the form $u \log_\beta(n) + L'$ for some constant L' . From this result, we may express the weaker information (on ratios instead of differences) that $|\text{rep}_U(n)| \sim u \log_\beta(n)$. The intricate form of the

lower bound can be seen on an example such as $(U_i)_{i \geq 0} = (i^d 2^i)_{i \geq 0}$. In such a case, we get $\log_2(n) < |\text{rep}_U(n)| + d \log_2(|\text{rep}_U(n)|)$. Hence a lower bound for $|\text{rep}_U(n)|$ is less than $\log_2(n)$.

Proof. We have $|\text{rep}_U(n)| = \ell$ if and only if $U_{\ell-1} \leq n < U_\ell$. We make use of Definition 12 for u , β and T . Let $j = \lfloor \frac{\ell-1-T}{u} \rfloor$. Since U is increasing,

$$U_{\ell-1} \geq U_{ju+T} = P_T(j)\beta^j + Q_T(j).$$

We get

$$\log_\beta(n) \geq \log_\beta(U_{\ell-1}) \geq j + \log_\beta(P_T(j)) + \log_\beta \left(1 + \frac{Q_T(j)}{P_T(j)\beta^j} \right).$$

We also have $j > \frac{\ell-1-T}{u} - 1 \geq \frac{\ell-u}{u} - 1 = \frac{\ell}{u} - 2$. From Definition 12, we know that $P_T(i) > 0$ for all i and that $Q_T(i)$ is in $o(\beta^i)$. So there exists a constant $K \geq 0$ such that

$$\begin{aligned} \ell &< u(j+2) \\ &\leq u \log_\beta(n) + 2u - u \log_\beta(P_T(j)) - u \log_\beta \left(1 + \frac{Q_T(j)}{P_T(j)\beta^j} \right) \\ &\leq u \log_\beta(n) + K. \end{aligned}$$

We proceed similarly to get a lower bound for ℓ . Let $k = \lfloor \frac{\ell-T}{u} \rfloor$. Since U is increasing,

$$U_\ell < U_{u(k+1)+T} = P_T(k+1)\beta^{k+1} + Q_T(k+1).$$

We get

$$\log_\beta(n) < \log_\beta(U_\ell) < k+1 + \log_\beta(P_T(k+1)) + \log_\beta \left(1 + \frac{Q_T(k+1)}{P_T(k+1)\beta^{k+1}} \right).$$

Observe that $k \leq j+1$. Hence, from the first part, we get

$$k+1 \leq j+2 \leq \log_\beta(n) + \frac{K}{u}.$$

We also have $k \leq \frac{\ell-T}{u} \leq \frac{\ell}{u}$. Similarly as in the first part of the proof and since P_T is a non-decreasing polynomial, there exists a constant $L \geq 0$ such that

$$\ell \geq uk > u \log_\beta(n) - u \log_\beta \left(P_T \left(\log_\beta(n) + \frac{K}{u} \right) \right) - L.$$

□

Example 14. Consider the sequence $1, 2, 6, 12, 36, 72, \dots$ defined by $U_0 = 1$, $U_{2i+1} = 2U_{2i}$ and $U_{2i+2} = 3U_{2i+1}$. Then for all $i \geq 0$, $U_{i+2} = 6U_i$. It is easily seen that $U_{2i} = 6^i$ and $U_{2i+1} = 2 \cdot 6^i$. With the notation of Definition 12, $u = 2$, $\beta = 6$, $d = 0$ and $P_T = c_T =$

2. The language $0^* \text{rep}_U(\mathbb{N})$ is made of words where in even (resp. odd) positions when reading from right to left (i.e. least significant digits first), we can write 0, 1 (resp. 0, 1, 2). If $|\text{rep}_U(n)| = 2\ell + 1$ then $U_{2\ell} = 6^\ell \leq n < U_{2\ell+1} = 2 \cdot 6^\ell$, so $|\text{rep}_U(n)| \leq 2\log_6(n) + 1$ and $|\text{rep}_U(n)| > 2\log_6(\frac{n}{2}) + 1 = 2\log_6(n) - 2\log_6(2) + 1$. If $|\text{rep}_U(n)| = 2\ell$ then $U_{2\ell-1} = 2 \cdot 6^{\ell-1} \leq n < U_{2\ell} = 6^\ell$, so $|\text{rep}_U(n)| \leq 2\log_6(3n) = 2\log_6(n) + 2\log_6(3)$ and $|\text{rep}_U(n)| > 2\log_6(n)$.

Example 15. Consider the sequence 1, 3, 8, 20, 48, 112, ... defined by $U_0 = 1$, $U_1 = 3$ and $U_{i+2} = 4U_{i+1} - 4U_i$. Then $U_i = (\frac{i}{2} + 1)2^i$. With the notation of Definition 12, $u = 1$, $\beta = 2$, $d = 1$ and $P_T = \frac{X}{2} + 1$. If $|\text{rep}_U(n)| = \ell$ then $U_{\ell-1} = (\frac{\ell-1}{2} + 1)2^{\ell-1} \leq n < U_\ell = (\frac{\ell}{2} + 1)2^\ell$, so $|\text{rep}_U(n)| < \log_2(n) + 1$ and $|\text{rep}_U(n)| > \log_2(n) - \log_2(\frac{\ell}{2} + 1) > \log_2(n) - \log_2(\frac{1}{2}\log_2(n) + \frac{3}{2})$. With the notation of Lemma 13, $K = 1$ and $P_T(\log_2(n) + K + 2) = \frac{1}{2}\log_2(n) + \frac{5}{2}$.

As shown by the next result. It is enough to obtain a bound on the possible period of X . In [1, Prop. 44], the result is given in a more general setting (i.e. for abstract numeration systems) and we restate it in our context.

Proposition 16. *Let U be a numeration system satisfying (H1), let $X \subseteq \mathbb{N}$ be an ultimately periodic set and let \mathcal{A}_X be a DFA accepting $\text{rep}_U(X)$. Then the preperiod of X is bounded by a computable constant depending only on the size of \mathcal{A}_X and the period π_X of X .*

4. NUMBER OF STATES

We follow Honkala's strategy introduced in [15]. A DFA \mathcal{A}_X accepting $\text{rep}_U(X)$ is given as input. Assuming that X is ultimately periodic, the number of states of \mathcal{A}_X should provide an upper bound on the possible period and preperiod of X . Roughly speaking, the minimal preperiod/period should not be too large compared with the size of \mathcal{A}_X . This should leave us with a finite number of candidates to test. Thanks to Proposition 11, one therefore builds a DFA for each pair of admissible preperiod/period. Equality of regular languages being decidable, we compare the language accepted by this DFA and the one accepted by \mathcal{A}_X . If an agreement is found, then X is ultimately periodic, otherwise it is not. As a consequence of Proposition 16, we only focus on the admissible periods.

Assume that the minimal automaton \mathcal{A}_X of $\text{rep}_U(X)$ is given. Let π_X be a potential period for X . We consider the prime decomposition of π_X . There are three types of prime factors.

- (1) Those that do not divide a_0 .
- (2) Those that divide a_0 but that do not simultaneously divide all the coefficients of the recurrence relation.
- (3) The remaining ones are the primes dividing all the coefficients of the recurrence relation.

Our strategy is to bound those three types of factors separately.

4.1. Factors of the period that are coprime with a_0 . The next result shows that given \mathcal{A}_X , the possible period cannot have a large factor coprime with a_0 . So it provides a bound on this kind of factor that may occur in a candidate period.

Proposition 17. *Assume (H1), (H2) and (H3). Let $X \subseteq \mathbb{N}$ be an ultimately periodic U -recognizable set and let q be a divisor of the period π_X such that $(q, a_0) = 1$. Then the minimal automaton of $\text{rep}_U(X)$ has at least q states.*

Proof. Since $(q, a_0) = 1$, the sequence $(U_i \bmod q)_{i \geq 0}$ is purely periodic. In particular, 1 occurs infinitely often in this sequence.

We will make use of Corollary 8. Let us define q integers $k_1, \dots, k_q \geq 0$ and thus q words $w_1, \dots, w_q \in \{0, 1\}^*$ of the following form

$$w_j := 10^{k_j} 10^{k_{j-1}} \dots 10^{k_1} 0^{|\text{rep}_U(\pi_X)|}.$$

Thanks to Corollary 8, we may impose the following conditions.

- First, k_1 is taken large enough to ensure that $\text{val}_U(w_1)$ is larger than the preperiod of X and $10^{k_1} \text{rep}_U(\pi_X)$ is a valid greedy U -representation.
- Second, k_2, \dots, k_q are taken large enough to ensure that $w_j \in \text{rep}_U(\mathbb{N})$ for all j .
- Third, we can choose k_1, \dots, k_q so that the 1's occur at indices m such that $U_m \equiv 1 \pmod{q}$.

Observe that $\text{val}_U(w_j) \equiv j \pmod{q}$. Since q divides π_X , the words w_1, \dots, w_q have pairwise distinct values modulo π_X .

Let $i, j \in \{1, \dots, q\}$ such that $i \neq j$. By Lemma 6, we can assume that there exists $r_{i,j} < \pi_X$ such that $\text{val}_U(w_i) + r_{i,j} \in X$ and $\text{val}_U(w_j) + r_{i,j} \notin X$ (the symmetric situation is handled similarly). In particular, $|\text{rep}_U(r_{i,j})| \leq |\text{rep}_U(\pi_X)|$. Consider the two words

$$w_i 0^{-|\text{rep}_U(r_{i,j})|} \text{rep}_U(r_{i,j}) \quad \text{and} \quad w_j 0^{-|\text{rep}_U(r_{i,j})|} \text{rep}_U(r_{i,j})$$

where, in the above notation, it should be understood that we replace the rightmost zeroes in w_i and w_j by $\text{rep}_U(r_{i,j})$. The first word belongs to $\text{rep}_U(X)$ and the second does not. Consequently, the number of

states of the minimal automaton of $\text{rep}_U(X)$ is at least q : w_1, \dots, w_q belong to pairwise distinct Nerode equivalence classes. \square

4.2. Prime factors of the period that divide a_0 but do not divide all the coefficients of the recurrence relation. We depart from the strategy developed in [1] and now turn to a particular situation where a prime factor p of the candidate period for X is such that, for some integer $\mu \geq 1$, the sequence $(U_i \bmod p^\mu)_{i \geq 0}$ has a period containing a non-zero element. Again, this will provide us with an upper bound on p and its exponent in the prime decomposition of the period.

Definition 18. We say that an ultimately periodic sequence has a *zero period* if it has period 1 and the repeated element is 0. Otherwise stated, the sequence has a tail of zeroes.

Remark 19. Let $\mu \geq 1$. Observe that if the periodic part of $(U_i \bmod p^\mu)_{i \geq 0}$ contains a non-zero element, then the same property holds for the sequence $(U_i \bmod p^{\mu'})_{i \geq 0}$ with $\mu' \geq \mu$.

Furthermore, assume that for infinitely many μ , $(U_i \bmod p^\mu)_{i \geq 0}$ has a zero period. Then from the previous paragraph, we conclude that $(U_i \bmod p^\mu)_{i \geq 0}$ has a zero period for all $\mu \geq 1$.

Example 20. We give a sequence where only finitely many sequences modulo p^μ have a zero period. Take the sequence $U_0 = 1, U_1 = 4, U_2 = 8$ and $U_{i+2} = U_{i+1} + U_i$ for $i \geq 1$, then the sequence $(U_i \bmod 2^\mu)_{i \geq 0}$ has a zero period for $\mu = 1, 2$ because of the particular initial conditions. But it is easily checked that it has a non-zero period for all $\mu \geq 3$.

The next result is a special instance of [1, Thm. 32] and its proof turns out to be much simpler. It precisely describes the case where a zero period occurs infinitely often.

Theorem 21. *Let p be a prime. The sequence $(U_i \bmod p^\mu)_{i \geq 0}$ has a zero period for all $\mu \geq 1$ if and only if all the coefficients a_0, \dots, a_{k-1} of the linear relation (1.1) are divisible by p .*

Proof. It is clear that if a_0, \dots, a_{k-1} are divisible by p , then for any choice of initial conditions, U_k, \dots, U_{2k-1} are divisible by p , hence U_{2k}, \dots, U_{3k-1} are divisible by p^2 , and so on and so forth. Otherwise stated, for all $\mu \geq 1$ and all $i \geq \mu k$, U_i is divisible by p^μ .

We turn to the converse. Since the sequence $(U_i)_{i \geq 0}$ is linearly recurrent, the power series

$$U(x) := \sum_{i \geq 0} U_i x^i$$

is rational. By assumption, $(U_i \bmod p^\mu)_{i \geq 0}$ has a zero period for all $\mu \geq 1$. Otherwise stated, with the p -adic absolute value notation, $|U_i|_p \leq p^{-\mu}$ for large enough i , i.e. $|U_i|_p \rightarrow 0$ as $i \rightarrow +\infty$. Recall that a series $\sum_{i \geq 0} \gamma_i$ converges in \mathbb{Q}_p if and only if $\lim_{i \rightarrow +\infty} |\gamma_i|_p = 0$. Hence the series $\mathbf{U}(x)$ converges in \mathbb{Q}_p in the closed unit disc. Therefore, the poles $\rho_1, \dots, \rho_r \in \mathbb{C}_p$ of $\mathbf{U}(x)$ must satisfy $|\rho_j|_p > 1$ for $1 \leq j \leq r$.

Let $P(x) = 1 - a_{k-1}x - \dots - a_0x^k$ be the reciprocal polynomial of the linear recurrence relation (1.1). By minimality of the order k of the recurrence, the roots of P are precisely the poles of $\mathbf{U}(x)$ with the same multiplicities. If we factor

$$P(x) = (1 - \delta_1 x) \cdots (1 - \delta_k x)$$

each of the δ_j is one of the $\frac{1}{\rho_1}, \dots, \frac{1}{\rho_r}$. For $n > 0$, the coefficient of x^n is an integer equal to a sum of product of elements of p -adic absolute value less than 1. Since $|a + b|_p \leq \max\{|a|_p, |b|_p\}$, this coefficient is an integer with a p -adic absolute value less than 1, i.e. a multiple of p . \square

Thanks to Remark 19 and Theorem 21, if p is a prime not dividing all the coefficients of the recurrence relation (1.1) then there exists a least integer λ (depending only on p) such that $(U_i \bmod p^\lambda)_{i \geq 0}$ has a period containing a non-zero element.

Proposition 22. *Assume (H1), (H2) and (H3). Let p be a prime not dividing all the coefficients of the recurrence relation (1.1) and let $\lambda \geq 1$ be the least integer such that $(U_i \bmod p^\lambda)_{i \geq 0}$ has a period containing a non-zero element. If $X \subseteq \mathbb{N}$ is an ultimately periodic U -recognizable set with period $\pi_X = p^\mu \cdot r$ where $\mu \geq \lambda$ and r is not divisible by p , then the minimal automaton of $\text{rep}_U(X)$ has at least $p^{\mu-\lambda+1}$ states.*

Proof. We will make use of the following observation. Let $n \geq 1$. In the additive group $(\mathbb{Z}/p^n\mathbb{Z}, +)$, an integer a has order p^s with $0 \leq s \leq n$ if and only if $a = p^{n-s} \cdot m$ where m is not divisible by p .

By assumption $(U_i \bmod p^\lambda)_{i \geq 0}$ has a period containing a non-zero element R of order $\text{ord}_{p^\lambda}(R) = p^\theta$ for some θ such that $0 < \theta \leq \lambda$. Consider a large enough index K such that it is in the periodic part of $(U_i \bmod p^\mu)_{i \geq 0}$ and $U_K \equiv R \pmod{p^\lambda}$. Using the above observation twice, $U_K = m \cdot p^{\lambda-\theta}$ for some m coprime with p and therefore, U_K has order $\text{ord}_{p^\mu}(U_K) = p^{\mu-\lambda+\theta}$ modulo p^μ .

We can again apply the same construction as in the proof of Proposition 17. We define words of the form

$$w_j := 10^{k_j} 10^{k_{j-1}} \dots 10^{k_1} 0^{|\text{rep}_U(\pi_X)|}$$

with the same properties, except for the second one: the ones occur at indices t such that $U_t \equiv U_K \pmod{p^\mu}$. Note that

$$\text{val}_U(w_j) \equiv j \cdot U_K \pmod{p^\mu}.$$

Hence the number of distinct numerical values modulo p^μ that are taken by those words is given by the order of U_K in $\mathbb{Z}/p^\mu\mathbb{Z}$, i.e. $p^{\mu-\lambda+\theta}$. The conclusion follows in a similar way as in the proof of Proposition 17. \square

4.3. Prime factors of the period that divide all the coefficients of the recurrence relation. We can factor the period π_X as

$$(4.1) \quad \pi_X = Q_X \cdot p_1^{\mu_1} \cdots p_t^{\mu_t}$$

where every p_j divides all the coefficients of the recurrence relation (1.1) and, for every prime factor q of Q_X , at least one of the coefficients of the recurrence relation (1.1) is not divisible by q . Otherwise stated, the factor Q_X collects the prime factor of types (1) and (2).

Remark 23. There is a finite number of primes dividing all the coefficients of the recurrence relation. Thus, we only have to obtain an upper bound on the corresponding exponents μ_1, \dots, μ_t that may appear in (4.1).

Definition 24. Let $j \in \{1, \dots, t\}$ and $\mu \geq 1$. From Theorem 21, the sequence $(U_i \bmod p_j^\mu)_{i \geq 0}$ has a zero period. We denote by $\mathbf{f}_{p_j}(\mu)$ the length of the preperiod, i.e. $U_{\mathbf{f}_{p_j}(\mu)-1} \not\equiv 0 \pmod{p_j^\mu}$ and $U_i \equiv 0 \pmod{p_j^\mu}$ for all $i \geq \mathbf{f}_{p_j}(\mu)$.

Example 25. Let us consider the numeration system from Example 4. The sequence $(U_i \bmod 2)_{i \geq 0}$ is $1, 1, 1, 1, 0^\omega$. Hence $\mathbf{f}_2(1) = 4$. The sequence $(U_i \bmod 4)_{i \geq 0}$ is $1, 3, 1, 3, 2, 0, 2, 2, 0^\omega$. Hence $\mathbf{f}_2(2) = 8$. Continuing this way, we have $\mathbf{f}_2(3) = 12$ and $\mathbf{f}_2(4) = 16$.

Note that \mathbf{f}_{p_j} is non-decreasing: $\mathbf{f}_{p_j}(\mu + 1) \geq \mathbf{f}_{p_j}(\mu)$.

Definition 26. We denote by M_X the maximum of the values $\mathbf{f}_{p_j}(\mu_j)$ for $j \in \{1, \dots, t\}$:

$$M_X = \max_{1 \leq j \leq t} \mathbf{f}_{p_j}(\mu_j).$$

Thus, M_X is the least index such that for all $i \geq M_X$ and all $j \in \{1, \dots, t\}$, $U_i \equiv 0 \pmod{p_j^{\mu_j}}$. By the Chinese remainder theorem, M_X is also the least index such that for all $i \geq M_X$, $U_i \equiv 0 \pmod{\frac{\pi_X}{Q_X}}$. In particular, $U_{M_X} \geq \frac{\pi_X}{Q_X}$ and thus, $|\text{rep}_U(\frac{\pi_X}{Q_X} - 1)| \leq M_X$.

Example 27. Let us consider the numeration system from Example 5. Here we have two prime factors 2 and 3 to take into account. Computations show that $\mathbf{f}_2(1) = 3$, $\mathbf{f}_2(2) = 5$, $\mathbf{f}_2(3) = 7$ and $\mathbf{f}_3(1) = 3$, $\mathbf{f}_3(2) = 6$,

$f_3(3) = 9$. Assume that we are interested in a period $\pi_X = 72 = 2^3 \cdot 3^2$. With the above definition, $M_X = \max(f_2(3), f_3(2)) = 7$. One can check that $(U_i \bmod 72)_{i \geq 0}$ is $1, 13, 19, 30, 54, 48, 36, 0^\omega$.

We introduce a quantity γ_{Q_X} which only depends on the numeration system U and the number Q_X defined in (4.1). Since we are only interested in decidable issues, there is no need to find a sharp estimate on this quantity.

Definition 28. Under (H1), for each $r \in \{0, \dots, Q - 1\}$, a DFA accepting the language $\text{rep}_U(Q\mathbb{N} + r)$ can be effectively built (see Proposition 11 or the construction in [2, Prop. 3.1.9]). We let γ_Q denote the maximum of the numbers of states of these DFAs for $r \in \{0, \dots, Q - 1\}$.

The crucial point in the next statement is that the most significant digit 1 occurs for U_{M_X-1} in a specific word. The proof makes use of the same kind of arguments built for definite languages as in [16, Lemma 2.1].

Theorem 29. Assume (H1), (H2) and (H3). Let $X \subseteq \mathbb{N}$ be an ultimately periodic U -recognizable set with period π_X factored as in (4.1). Assume that $M_X - |\text{rep}_U(\frac{\pi_X}{Q_X} - 1)| \geq C$ where C is the constant given in Corollary 8. Then the minimal automaton of $\text{rep}_U(X)$ has at least $\frac{1}{\gamma_{Q_X}}(|\text{rep}_U(\frac{\pi_X}{Q_X} - 1)| + 1)$ states.

This result will provide us with an upper bound on μ_1, \dots, μ_t (details are given in Section 5.2). Since Q_X has been bounded in the first part of this paper, if $\max(\mu_1, \dots, \mu_t) \rightarrow \infty$, then $\frac{\pi_X}{Q_X} \rightarrow \infty$ but therefore the number of states of the minimal automaton of $\text{rep}_U(X)$ should increase.

Proof. We may apply Corollary 8 and consider the given positive constant C : we will assume that if w is a greedy U -representation, then, for all $n \geq C - 1$, $10^n w$ also belongs to $\text{rep}_U(\mathbb{N})$.

For every $r \in \{0, \dots, Q_X - 1\}$, the set $X \cap (Q_X\mathbb{N} + r)$ has a period dividing $\frac{\pi_X}{Q_X}$ and at least one of these subsets has period exactly $\frac{\pi_X}{Q_X}$. So we can choose an $r \in \{0, \dots, Q_X - 1\}$ such that the set $X \cap (Q_X\mathbb{N} + r)$ has period $\frac{\pi_X}{Q_X}$.

Let \mathcal{B} be the minimal automaton of $\text{rep}_U(X \cap (Q_X\mathbb{N} + r))$. We will provide a lower bound on the number of states of this automaton. By definition of M_X , we have $U_{M_X-1} \not\equiv 0 \pmod{\frac{\pi_X}{Q_X}}$. Let $g \geq C - 1$ be large enough so that U_{M_X+g} is larger than the preperiod of $X \cap (Q_X\mathbb{N} + r)$. By Lemma 6 applied to the set $X \cap (Q_X\mathbb{N} + r)$, since $U_{M_X+g} + U_{M_X-1} \not\equiv U_{M_X+g} \pmod{\frac{\pi_X}{Q_X}}$, we may suppose that there exists

$s < \frac{\pi_X}{Q_X}$ such that

$$U_{M_X+g} + U_{M_X-1} + s \in X \cap (Q_X \mathbb{N} + r) \quad \text{and} \quad U_{M_X+g} + s \notin X \cap (Q_X \mathbb{N} + r)$$

(the symmetrical situation is treated in the same way). Let $\ell_X := |\text{rep}_U(\frac{\pi_X}{Q_X} - 1)|$. Note that $|\text{rep}_U(s)| \leq \ell_X$ and then by assumption, $M_X - 1 - |\text{rep}_U(s)| \geq M_X - 1 - \ell_X \geq C - 1$. Thanks to Corollary 8, both words

$$u := 10^g 10^{M_X-1-|\text{rep}_U(s)|} \text{rep}_U(s)$$

and

$$v := 10^g 00^{M_X-1-|\text{rep}_U(s)|} \text{rep}_U(s)$$

are greedy U -representations. For all $\ell \geq 0$, define an equivalence relation E_ℓ on the set of states of \mathcal{B} :

$$E_\ell(q, q') \Leftrightarrow (\forall x \in A_U^*) [|x| \geq \ell \Rightarrow (\delta(q, x) \in \mathcal{F} \Leftrightarrow \delta(q', x) \in \mathcal{F})]$$

where δ (resp. \mathcal{F}) is the transition function (resp. the set of final states) of \mathcal{B} . Let us denote the number of equivalence classes of E_ℓ by P_ℓ . Clearly, $E_\ell(q, q')$ implies $E_{\ell+1}(q, q')$, and thus $P_\ell \geq P_{\ell+1}$.

Let $i \in \{0, \dots, \ell_X\}$. By assumption, $\ell_X < M_X$. Since u and v have the same suffix of length $M_X - 1$, we can factorize these words as

$$u = u_i w_i \quad \text{and} \quad v = v_i w_i$$

where $|w_i| = i$. Let q_0 be the initial state of \mathcal{B} . By construction, $\delta(q_0, u_i w_i) \in \mathcal{F}$ whereas $\delta(q_0, v_i w_i) \notin \mathcal{F}$, hence the states $\delta(q_0, u_i)$ and $\delta(q_0, v_i)$ are not in relation with respect to E_i . But for all $j > i$, they satisfy E_j . It is enough to show that

$$(4.2) \quad E_{i+1}(\delta(q_0, u_i), \delta(q_0, v_i)).$$

Figures 1 and 2 can help the reader. Let x be such that $|x| = i + t$, with $t \geq 1$. Let p be the prefix of $\text{rep}_U(s)$ of length $|\text{rep}_U(s)| - i$, this prefix p being empty whenever this difference is negative. If we replace w_i by x in u and v , we get

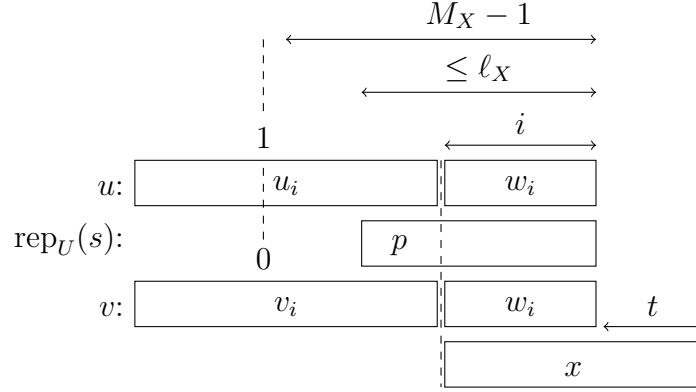
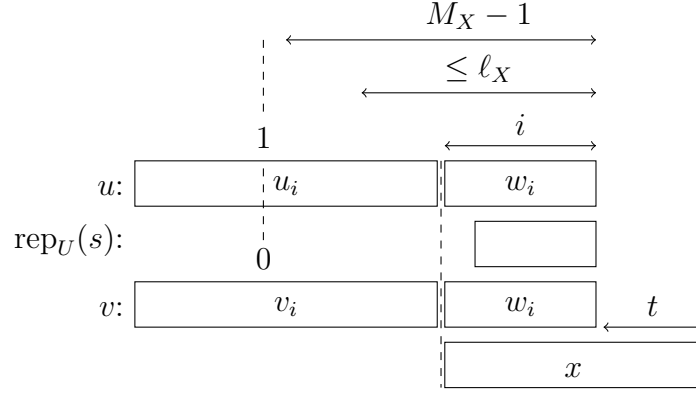
$$u_i x = 10^g 10^{M_X-1-|px|+t} px \quad \text{and} \quad v_i x = 10^g 00^{M_X-1-|px|+t} px.$$

Then

$$\text{val}_U(u_i x) - \text{val}_U(v_i x) = U_{M_X+t-1}$$

and by definition of M_X , $U_{M_X+t-1} \equiv 0 \pmod{\frac{\pi_X}{Q_X}}$. Hence, $\text{val}_U(u_i x)$ and $\text{val}_U(v_i x)$ belong to the periodic part of $X \cap (Q_X \mathbb{N} + r)$ and they differ by a multiple of the period. Therefore, $\text{val}_U(u_i x)$ belongs to $X \cap (Q_X \mathbb{N} + r)$ if and only if so does $\text{val}_U(v_i x)$.

In order to obtain (4.2), it remains to show that either both $u_i x$ and $v_i x$ are valid greedy U -representations or both are not. If the word px is not a greedy U -representation then neither $u_i x$ nor $v_i x$ can be


 FIGURE 1. The different words (case where $i \leq |\text{rep}_U(s)|$).

 FIGURE 2. The different words (case where $i > |\text{rep}_U(s)|$).

valid. Assume now that px is a greedy U -representation. Note that in both situations described in Figures 1 and 2, $|px| \leq \ell_X + t$. Thanks to the assumption, $M_X - 1 - |px| + t \geq M_X - 1 - \ell_X \geq C - 1$. The greediness of px and Corollary 8 imply that $10^{M_X - 1 - |px| + t}px$ is a greedy U -representation. Since $g \geq C - 1$, $u_i x$ is also a greedy U -representation and the same observation trivially holds for $v_i x$.

We conclude that

$$P_0 > P_1 > \dots > P_{\ell_X} \geq 1.$$

Since P_0 is the number of states of \mathcal{B} , the automaton \mathcal{B} has at least $\ell_X + 1$ states.

Let \mathcal{A}_X and \mathcal{A}_r be the minimal automata of $\text{rep}_U(X)$ and $\text{rep}_U(Q_X \mathbb{N} + r)$ respectively. The number of states of \mathcal{A}_r is bounded by γ_{Q_X} . The DFA \mathcal{B} is a quotient of the product automaton $\mathcal{A}_X \times \mathcal{A}_r$, hence the

number of states of \mathcal{B} is at most the number of states of \mathcal{A}_X times γ_{Q_X} . We thus obtain that the number of states of \mathcal{A}_X is at least $\frac{\ell_X+1}{\gamma_{Q_X}}$. \square

5. CASES WE CAN DEAL WITH

5.1. The gcd of the coefficients of the recurrence relation is 1.

In this case, for any ultimately periodic set X , the factorization of the period π_X given in (4.1) has the special form $\pi_X = Q_X$ and the addressed decision problem turns out to be decidable.

Theorem 30. *Let U be a linear numeration system satisfying (H1), (H2) and (H3), and such that the gcd of the coefficients of the recurrence relation (1.1) is 1. Given a DFA \mathcal{A} accepting a language contained in the numeration language $\text{rep}_U(\mathbb{N})$, it is decidable whether this DFA recognizes an ultimately periodic set.*

Proof. Assume that X is an ultimately periodic set with period π_X . Let p be a prime that divides π_X . Either p divides the last coefficient of the recurrence relation a_0 , or it does not.

In the latter case, thanks to Proposition 17, for any $n \geq 1$, if p^n divides π_X then p^n is bounded by the number of states of \mathcal{A} .

In the former case, p divides a_0 . Note that there is only a finite number of such primes. By assumption, p does not divide all the coefficients of the recurrence relation. Then thanks to Theorem 21, there exists $\mu \geq 1$ such that the periodic part of the sequence $(U_i \bmod p^\mu)_{i \geq 0}$ contains a non-zero element. Let λ be the least such μ . By an exhaustive search, one can determine the value of λ : one finds the period of a sequence $(U_i \bmod p^\mu)_{i \geq 0}$ as soon as two k -tuples $(U_i \bmod p^\mu, \dots, U_{i+k-1} \bmod p^\mu)$ are identical (where k is the order of the recurrence). We then apply Proposition 22. For any $n \geq 1$, if p^n divides π_X then either $n < \lambda$ or $p^{n-\lambda+1}$ is bounded by the number of states of \mathcal{A} .

The previous discussion provides us with an upper bound on π_X , i.e. on the admissible periods for X . Then from Proposition 16, associated with each admissible period, there is a computable bound for the corresponding admissible preperiods for X . We conclude that there is a finite number of pairs of candidates for the preperiod and period of X . Similar to Honkala's scheme, we therefore have a decision procedure by enumerating a finite number of candidates. For each pair (a, b) of possible preperiods and periods, there are $2^a 2^b$ corresponding ultimately periodic sets X . For each such candidate X , we build a DFA accepting $\text{rep}_U(X)$ and compare it with \mathcal{A} . We can conclude since equality of regular languages is decidable. \square

There exist recurrence relations with that property but that were not handled in [1]. Take [1, Example 35]

$$U_{i+5} = 6U_{i+4} + 3U_{i+3} - U_{i+2} + 6U_{i+1} + 3U_i, \quad \forall i \geq 0.$$

For this recurrence relation, $N_U(3^i) \not\rightarrow \infty$. The characteristic polynomial has the dominant root $3 + 2\sqrt{3}$ and it also has three roots of modulus 1. Therefore, no decision procedure was known. But thanks to Theorem 30, we can handle such new cases under our mild assumptions (H1), (H2) and (H3). Indeed, by applying Bertrand's theorem with the initial conditions 1, 7, 45, 291, 1881, the numeration language $0^* \text{rep}_U(\mathbb{N})$ is the set of words over $\{0, 1, \dots, 6\}$ avoiding the factors 63, 64, 65, 66, hence (H1) holds. Moreover, it is easily checked that for all $i \geq 0$, $U_{i+1} - U_i \geq 5U_i$. Therefore, the system U also satisfies (H2) and (H3).

5.2. The gcd of the coefficients of the recurrence relation is larger than 1. If X is an ultimately periodic set with period $\pi_X = Q_X \cdot p_1^{\mu_1} \cdots p_t^{\mu_t}$ with $t \geq 1$ as in (4.1), then the quantity M_X is well-defined. Theorem 29 has a major assumption. The quantity

$$n_X := M_X - |\text{rep}_U\left(\frac{\pi_X}{Q_X} - 1\right)|$$

should be larger than some positive constant C , which only depends on the numeration system U .

Theorem 31. *Let U be a linear numeration system satisfying (H1), (H2) and (H3), and such that the gcd of the coefficients of the recurrence relation (1.1) is larger than 1. Let C be the constant given in Corollary 8. Assume there exists a computable positive integer D such that for all ultimately periodic sets X of period $\pi_X = Q_X \cdot p_1^{\mu_1} \cdots p_t^{\mu_t}$ as in (4.1) with $t \geq 1$, if $\max(\mu_1, \dots, \mu_t) \geq D$ then $n_X \geq C$. Then, given a DFA \mathcal{A} accepting a language contained in the numeration language $\text{rep}_U(\mathbb{N})$, it is decidable whether this DFA recognizes an ultimately periodic set.*

Proof. Assume that X is an ultimately periodic set with period $\pi_X = Q_X \cdot p_1^{\mu_1} \cdots p_t^{\mu_t}$ as in (4.1). Note that there are only finitely many primes dividing all the coefficients of the recurrence relation (1.1), hence the possible p_1, \dots, p_t belongs to a finite set depending only on the numeration system U .

Applying the same reasoning as in the proof of Theorem 30, Q_X is bounded by a constant deduced from \mathcal{A} . So the quantity γ_{Q_X} introduced in Definition 28 is also bounded.

By hypothesis, there exists a computable positive integer constant D such that if $\max(\mu_1, \dots, \mu_t) \geq D$ then $n_X \geq C$. The number of t -uples (μ_1, \dots, μ_t) in $\{0, \dots, D-1\}^t$ is finite. So there is a finite number of periods π_X of the form $Q_X \cdot p_1^{\mu_1} \cdots p_t^{\mu_t}$ with Q_X bounded and (μ_1, \dots, μ_t) in this set. We can enumerate them and proceed as in the last paragraph of the proof of Theorem 30.

We may now assume that $\max(\mu_1, \dots, \mu_t) \geq D$. Thanks to the assumption, $n_X \geq C$ and we are able to apply Theorem 29: it provides a bound on $\frac{\pi_X}{Q_X}$ and thus on the possible exponents μ_1, \dots, μ_t depending only on \mathcal{A} . We conclude in the same way as in the proof of Theorem 30. \square

In the last part of this section, we present a possible way to tackle new examples of numeration systems by applying Theorem 31. We stress the fact that when π_X is increasing then both terms M_X and $|\text{rep}_U(\frac{\pi_X}{Q_X} - 1)|$ are increasing. If $\beta > 1$ (see Definition 12), then the growth of the second one has a logarithmic bound thanks to Lemma 13, so we need insight on $\mathbf{f}_{p_j}(\mu)$ to be able to guarantee $n_X \geq C$.

The p -adic valuation of an integer n , denoted $\nu_p(n)$, is the exponent of the highest power of p dividing n . There is a clear link between ν_{p_j} and \mathbf{f}_{p_j} : for all non-negative integers μ and N ,

$$\mathbf{f}_{p_j}(\mu) = N \iff (\nu_{p_j}(U_{N-1}) < \mu \wedge \forall i \geq N, \nu_{p_j}(U_i) \geq \mu).$$

Remark 32. With our Example 5 and initial conditions 1, 2, 3, computing the first few values of $\nu_2(U_i)$ might suggest that it is bounded by a function of the form $\frac{i}{2} + c$, for some constant c . Nevertheless, computing more terms we get the following pairs $(i, \nu_2(U_i))$: (67, 44), (2115, 1070), (10307, 5172), (534595, 267318), (2631747, 1315896). The constant c suggested by each of these points is respectively $\frac{21}{2}$, $\frac{25}{2}$, $\frac{37}{2}$, $\frac{41}{2}$, $\frac{45}{2}$, which is increasing. This example explains the second term $g(i)$ in the function bounding $\nu_{p_j}(U_i)$ in the next statement.

In the next statement, the reader can think about logarithm function instead of a general function g . Indeed, for any $\epsilon > 0$, for large enough i , $\log(i) < \epsilon i$. We also keep context and notation from (4.1).

Lemma 33. *Let $j \in \{1, \dots, t\}$ and let β as in Definition 12. Assume that $\beta > 1$ and that there exist $\alpha, \epsilon \in \mathbb{R}_{>0}$ and a non-decreasing function g such that*

$$\nu_{p_j}(U_i) < \lfloor \alpha i \rfloor + g(i)$$

and there exists N such that $g(i) < \epsilon i$ for all $i > N$. Then, for large enough μ ,

$$\mathbf{f}_{p_j}(\mu) > \frac{\mu}{\alpha + \epsilon}.$$

Proof. By definition of the p -adic valuation, $p_j^{\nu_{p_j}(U_i)} \mid U_i$ and $p_j^{\nu_{p_j}(U_i)+1} \nmid U_i$. Thus, By definition of \mathbf{f}_{p_j} , for all i ,

$$\mathbf{f}_{p_j}(\nu_{p_j}(U_i) + 1) \geq i + 1.$$

For all μ , there exists i such that

$$\lfloor \alpha i \rfloor + g(i) \leq \mu < \lfloor \alpha(i+1) \rfloor + g(i+1).$$

Take μ large enough so that $i \geq N$. Using the right-hand side inequality, $\mu < \alpha(i+1) + \epsilon(i+1)$ and we get

$$i > \frac{\mu}{\alpha + \epsilon} - 1.$$

Using the left-hand side inequality, $\mu \geq \lfloor \alpha i \rfloor + g(i) > \nu_{p_j}(U_i)$. Since we have integers on both sides, $\mu \geq \nu_{p_j}(U_i) + 1$. Since \mathbf{f}_{p_j} is non-decreasing, for all large enough μ ,

$$\mathbf{f}_{p_j}(\mu) \geq \mathbf{f}_{p_j}(\nu_{p_j}(U_i) + 1) \geq i + 1 > \frac{\mu}{\alpha + \epsilon}. \quad \square$$

We look for a lower bound for n_X . Suppose that for each $j \in \{1, \dots, t\}$, there exists $\alpha_j, \epsilon_j, g_j$ and N_j as in the above lemma. Then

$$M_X = \max_j \mathbf{f}_{p_j}(\mu_j) > \max_j \left(\frac{\mu_j}{\alpha_j + \epsilon_j} \right) \geq \frac{\max_j \mu_j}{\max_j (\alpha_j + \epsilon_j)}.$$

Second, let u and β as in Definition 12. By hypothesis, $\beta > 1$. Applying Lemma 13, there exists a constant K such that

$$|\text{rep}_U(\frac{\pi_X}{Q_X} - 1)| \leq u \log_\beta \left(\prod_j p_j^{\mu_j} \right) + K.$$

The right hand side is

$$u \sum_j \mu_j \log_\beta(p_j) + K \leq u(\max_j \mu_j) \sum_j \log_\beta p_j + K.$$

Consequently,

$$n_X \geq \max_j \mu_j \left(\frac{1}{\max_j (\alpha_j + \epsilon_j)} - u \sum_j \log_\beta p_j \right) - K.$$

If π_X tends to infinity (and assuming that the corresponding factor Q_X remains bounded as explained in the proof of Theorem 31), then $\max_j \mu_j$ must also tend to infinity. So we are able to conclude,

i.e. n_X tends to infinity and in particular, n_X will become larger than C (the constant from Corollary 8) whenever

$$(5.1) \quad \frac{1}{\max_j(\alpha_j + \epsilon_j)} > u \sum_j \log_\beta p_j.$$

Actually, we don't need n_X tending to infinity, we have the weaker requirement $n_X \geq C$. The constant D from Theorem 31 can be obtained as follows. To ensure that $n_X \geq C$, it is enough to have

$$(5.2) \quad \max_j \mu_j \geq \frac{C + K}{\frac{1}{\max_j(\alpha_j + \epsilon_j)} - u \sum_j \log_\beta p_j}$$

and the right hand side only depends on the numeration system U .

As a conclusion, we simply define the constant D as the right hand side in (5.2) and, under the assumption of Lemma 33 about the behavior of the p_j -adic valuations of $(U_i)_{i \geq 0}$, the decision procedure of Theorem 31 may thus be applied. From a practical point of view, even though n_X tending to infinity is not required, testing (5.1) is relatively easy to estimate as seen in the following remark. This is not a formal proof, simply rough computations suggesting what could be the value of α in Lemma 33.

Remark 34. One can first make some computational experiments. Take the numeration system of Example 4. If we compute $\nu_2(U_i)$, the values for $41 \leq i \leq 60$ are given by

10, 10, 10, 11, 12, 11, 11, 12, 12, 12, 12, 13, 16, 13, 13, 14, 14, 14, 14, 15.

Hence, one can conjecture that $\alpha_1 = \frac{1}{4}$ and the above condition (5.1) becomes ($u = 1$), assuming ϵ_1 to be negligible,

$$4 > \log_{2.804}(2) \simeq 0.672.$$

Take the numeration system of Example 5. If we compute $\nu_2(U_i)$, the values for $41 \leq i \leq 60$ are given by

24, 20, 21, 21, 24, 22, 23, 23, 27, 24, 25, 25, 28, 26, 27, 27, 33, 28, 29, 29

and, similarly, for $\nu_3(U_i)$

13, 14, 14, 14, 15, 15, 15, 16, 17, 16, 17, 17, 17, 18, 18, 18, 19, 20, 19, 20.

Hence, one can conjecture that $\alpha_1 = \frac{1}{2}$ and $\alpha_2 = \frac{1}{3}$. The recurrence has a real dominant root $\beta \simeq 12.554$. Assuming ϵ_1 and ϵ_2 to be negligible, the condition (5.1) is therefore

$$2 > \log_{12.554}(2) + \log_{12.554}(3) \simeq 0.708.$$

6. AN INCURSION INTO p -ADIC ANALYSIS

In this section, we discuss the requirement on the p -adic valuation given in Lemma 33. To that end, we reconsider our toy example.

6.1. A second-order sequence. Throughout this section, let $U_{i+3} = 12U_{i+2} + 6U_{i+1} + 12U_i$ with initial conditions $U_0 = 1, U_1 = 13, U_2 = 163$ be the sequence of Example 5. The 3-adic valuation of U_i has a simple structure.

Theorem 35. *For all $i \geq 0$,*

$$\nu_3(U_i) = \left\lfloor \frac{i}{3} \right\rfloor + \begin{cases} 0 & \text{if } i \not\equiv 4 \pmod{9} \\ 1 & \text{if } i \equiv 4 \pmod{9}. \end{cases}$$

Proof. Let $T_i = U_i/3^{\frac{i-2}{3}}$. Since $U_{i+3} = 12U_{i+2} + 6U_{i+1} + 12U_i$, the sequence $(T_i)_{i \geq 0}$ satisfies the recurrence $T_{i+3} = 4 \cdot 3^{2/3}T_{i+2} + 2 \cdot 3^{1/3}T_{i+1} + 4T_i$. The initial terms are $T_0 = 3^{2/3}, T_1 = 13 \cdot 3^{1/3}, T_2 = 163$, so it follows that $T_i \in \mathbb{Z}[3^{1/3}]$ for all $i \geq 0$. Modulo $9\mathbb{Z}[3^{1/3}]$, one computes that the sequence $(T_i)_{i \geq 0}$ is periodic with period length 27 and period

$$\begin{aligned} & 3^{2/3}, 4 \cdot 3^{1/3}, 1, 7 \cdot 3^{2/3}, 3 \cdot 3^{1/3}, 1, 2 \cdot 3^{2/3}, 2 \cdot 3^{1/3}, 4, \\ & 3^{2/3}, 3^{1/3}, 7, 7 \cdot 3^{2/3}, 3 \cdot 3^{1/3}, 7, 8 \cdot 3^{2/3}, 5 \cdot 3^{1/3}, 1, \\ & 3^{2/3}, 7 \cdot 3^{1/3}, 4, 7 \cdot 3^{2/3}, 3 \cdot 3^{1/3}, 4, 5 \cdot 3^{2/3}, 8 \cdot 3^{1/3}, 7. \end{aligned}$$

Therefore the sequence $(\nu_3(T_i))_{i \geq 0}$ of 3-adic valuations is

$$\frac{2}{3}, \frac{1}{3}, 0, \frac{2}{3}, \frac{4}{3}, 0, \frac{2}{3}, \frac{1}{3}, 0, \dots$$

with period length 9. (Here we use the natural extension of ν_3 to a function $\nu_3: \mathbb{Z}[3^{1/3}] \rightarrow \frac{1}{3}\mathbb{Z}$.) Equivalently,

$$\nu_3(T_i) = \left\lfloor \frac{i}{3} \right\rfloor - \frac{i-2}{3} + \begin{cases} 0 & \text{if } i \not\equiv 4 \pmod{9} \\ 1 & \text{if } i \equiv 4 \pmod{9}. \end{cases}$$

It follows that

$$\nu_3(U_i) = \frac{i-2}{3} + \nu_3(T_i) = \left\lfloor \frac{i}{3} \right\rfloor + \begin{cases} 0 & \text{if } i \not\equiv 4 \pmod{9} \\ 1 & \text{if } i \equiv 4 \pmod{9} \end{cases}$$

for all $i \geq 0$. □

Theorem 35 implies $\frac{i-2}{3} \leq \nu_3(U_i) \leq \frac{i+2}{3}$ for all $i \geq 0$. In particular, $\nu_3(U_i) < \lfloor \frac{i}{3} \rfloor + 2$, so the condition of Lemma 33 is satisfied, and therefore for every $\epsilon > 0$ we have

$$f_3(\mu) > \frac{\mu}{\frac{1}{3} + \epsilon}$$

for large enough μ . This takes care of one of the two primes dividing the coefficients of the recurrence relation. We still have to discuss $\nu_2(U_i)$.

However, Theorem 35 is not representative of the behavior of $\nu_p(s_i)$ for a general sequence $(s_i)_{i \geq 0}$ satisfying a linear recurrence with constant coefficients. For instance, the 2-adic valuation of the sequence $(U_i)_{i \geq 0}$ is (much) more complicated. To study the more general setting, we will make use of the field \mathbb{Q}_p of p -adic numbers and its ring of integers \mathbb{Z}_p . The p -adic valuation $\nu_p(x)$ of an element $x \in \mathbb{Q}_p$ is related to its p -adic absolute value $|x|_p$ by $|x|_p = p^{-\nu_p(x)}$.

Let $|\text{rep}_p(n)|$ be the number of digits in the standard base- p representation of n . For all $n \geq 1$, we can bound $\nu_p(n)$ as

$$\nu_p(n) \leq |\text{rep}_p(n)| - 1 = \left\lfloor \frac{1}{\log(p)} \log(n) \right\rfloor \leq \frac{1}{\log(p)} \log(n).$$

(We avoid writing “ $\log_p(n)$ ” here to reserve \log_p for the p -adic logarithm, which will come into play shortly.) Proposition 36 below gives the analogous upper bound on $\nu_p(n - \zeta)$ when ζ is a p -adic integer whose sequence of base- p digits does not have blocks of consecutive 0s that grow too quickly.

Notation. Let p be a prime, and let $\zeta \in \mathbb{Z}_p \setminus \mathbb{N}$. Write $\zeta = \sum_{i \geq 0} d_i p^i$, where each $d_i \in \{0, 1, \dots, p-1\}$. For each $a \geq 0$, let $\ell_\zeta(a) \geq 0$ be maximal such that $0 = d_a = d_{a+1} = \dots = d_{a+\ell_\zeta(a)-1}$.

Proposition 36. *Let p be a prime, and let $\zeta \in \mathbb{Z}_p \setminus \mathbb{N}$. If there exist real numbers C, D such that $C > 0$, $D \geq -(C+1)$, and $\ell_\zeta(a) \leq Ca + D$ for all $a \geq 2$, then $\nu_p(n - \zeta) \leq \frac{2C+D+2}{\log(p)} \log(n)$ for all $n \geq p$.*

Proof. Write $\zeta = \sum_{i \geq 0} d_i p^i$, where each $d_i \in \{0, 1, \dots, p-1\}$. For each $a \geq 0$, define the integer $\zeta_a := (\zeta \bmod p^a) = \sum_{i=0}^{a-1} d_i p^i$. Then $\nu_p(\zeta_a - \zeta) = a + \ell_\zeta(a)$.

Let $n \geq p$, and let $a := |\text{rep}_p(n)| \geq 2$. Since $\zeta \notin \mathbb{N}$, the p -adic valuation $b := \nu_p(n - \zeta)$ is an integer. There are two cases.

If $n \leq \zeta_b$, then in fact $n = \zeta_b$; this is because $n \leq \zeta_b < p^b$, so $n \neq \zeta_b$ implies $n - \zeta_b \not\equiv 0 \pmod{p^b}$, which contradicts $b = \nu_p(n - \zeta)$. Since $|\text{rep}_p(n)| = a$ and $n = \zeta_b$, we have $0 = d_a = \dots = d_{b-1}$. Therefore $\zeta_a = \zeta_b = n \geq p^{a-1}$, and

$$\frac{\nu_p(n - \zeta)}{\log(n)} = \frac{\nu_p(\zeta_a - \zeta)}{\log(\zeta_a)} \leq \frac{a + \ell_\zeta(a)}{\log(p^{a-1})} \leq \frac{a + Ca + D}{(a-1)\log(p)} \leq \frac{2 + 2C + D}{\log(p)},$$

where the final inequality follows from $1 + C + D \geq 0$.

If $n > \zeta_b$, then $n = \zeta_b + p^b m$ for some positive integer m . Therefore $n \geq p^b$, so

$$\frac{\nu_p(n - \zeta)}{\log(n)} \leq \frac{b}{\log(p^b)} = \frac{1}{\log(p)} < \frac{1 + C}{\log(p)} \leq \frac{2 + 2C + D}{\log(p)}$$

if $b \geq 1$ and $\frac{\nu_p(n - \zeta)}{\log(n)} = 0 < \frac{2 + 2C + D}{\log(p)}$ if $b = 0$. \square

We now turn our attention to the sequence of 2-adic valuations $\nu_2(U_i)$.

Theorem 37. *There exists a unique 2-adic integer ζ with the property that if $(i_n)_{n \geq 0}$ is a sequence of non-negative integers such that $\nu_2(U_{i_n}) \rightarrow \infty$ then $i_n \rightarrow \zeta$ in \mathbb{Z}_2 .*

A formula for ζ is given by Equation (6.2) in the proof. In particular, ζ is a computable number, and one computes $\zeta \equiv 660098850944665 \pmod{2^{50}}$.

Proof of Theorem 37. Let $p = 2$. To analyze the 2-adic behavior of $(U_i)_{i \geq 0}$, we construct a piecewise interpolation of U_i to \mathbb{Z}_2 using the method described by Rowland and Yassawi [22]. Let $P(x) = x^3 - 12x^2 - 6x - 12$ be the characteristic polynomial of $(U_i)_{i \geq 0}$. The polynomial $P(x)$ has a unique root $\beta_1 \in \mathbb{Z}_2$ satisfying $\beta_1 \equiv 2 \pmod{4}$; this can be shown by an application of Hensel's lemma (checking $|P(2)|_2 < |P'(2)|_2^2$). Polynomial division shows that $P(x)$ factors in $\mathbb{Z}_2[x]$ as

$$P(x) = (x - \beta_1) (x^2 + (\beta_1 - 12)x + (\beta_1^2 - 12\beta_1 - 6)).$$

One checks that $P(x)$ has no roots in \mathbb{Z}_2 congruent to 0, 1, 3, 4, 5, or 7 modulo 8. Since β_1 has multiplicity 1, this implies that the splitting field K of $P(x)$ is a quadratic extension of \mathbb{Q}_2 . Let β_2 and β_3 be the other two roots of $P(x)$ in $K = \mathbb{Q}_2(\beta_2)$. Since $\beta_1 \equiv 2 \pmod{4}$, the 2-adic absolute value of β_1 is $|\beta_1|_2 = \frac{1}{2}$. Using the quadratic factor of $P(x)$ and an approximation to β_1 , one computes $|\beta_2|_2 = |\beta_3|_2 = \frac{1}{\sqrt{2}}$.

Let $c_1, c_2, c_3 \in K$ be such that

$$U_i = c_1 \beta_1^i + c_2 \beta_2^i + c_3 \beta_3^i$$

for all $i \geq 0$. Using the initial conditions, we solve for c_1, c_2, c_3 to find

$$\begin{aligned} c_1 &= \frac{-U_0 \beta_2 \beta_3 + U_1(\beta_2 + \beta_3) - U_2}{(\beta_2 - \beta_1)(\beta_1 - \beta_3)} \\ c_2 &= \frac{-U_0 \beta_3 \beta_1 + U_1(\beta_3 + \beta_1) - U_2}{(\beta_3 - \beta_2)(\beta_2 - \beta_1)} \\ c_3 &= \frac{-U_0 \beta_1 \beta_2 + U_1(\beta_1 + \beta_2) - U_2}{(\beta_1 - \beta_3)(\beta_3 - \beta_2)}, \end{aligned}$$

where $U_0 = 1, U_1 = 13, U_2 = 163$. One computes $|c_1|_2 = 2$ and $|c_2|_2 = 2\sqrt{2} = |c_3|_2$. Factoring out β_2^i gives

$$(6.1) \quad U_i = \beta_2^i \left(c_1 \left(\frac{\beta_1}{\beta_2} \right)^i + c_2 + c_3 \left(\frac{\beta_3}{\beta_2} \right)^i \right).$$

Since $|\frac{\beta_1}{\beta_2}|_2 = \frac{1}{\sqrt{2}}$ and $|\frac{\beta_3}{\beta_2}|_2 = 1$, the power $(\frac{\beta_1}{\beta_2})^i$ approaches 0 as $i \rightarrow \infty$, while $(\frac{\beta_3}{\beta_2})^i$ does not. Therefore the size of $\nu_2(U_i/\beta_2^i)$ is limited by the proximity of $c_2 + c_3 (\frac{\beta_3}{\beta_2})^i$ to 0.

To analyze the size of $c_2 + c_3 (\frac{\beta_3}{\beta_2})^i$, we interpret $(\frac{\beta_3}{\beta_2})^i$ as a function of a p -adic variable. For this we need the p -adic exponential and logarithm, which are defined on extensions of \mathbb{Q}_p by their usual power series; $\log_p(1+x)$ converges if $|x|_p < 1$, and $\exp_p x$ converges if $|x|_p < p^{-1/(p-1)}$. Moreover, \log_p is an isomorphism from the multiplicative group $\{x : |x-1|_p < p^{-1/(p-1)}\}$ to the additive group $\{x : |x|_p < p^{-1/(p-1)}\}$, and its inverse map is \exp_p [14, Proposition 4.5.9 and Section 6.1]. Direct computation shows $|(\frac{\beta_3}{\beta_2})^4 - 1|_2 = \frac{1}{8} < \frac{1}{2} = p^{-1/(p-1)}$. Therefore, for all $m \geq 0$ and $r \in \{0, 1, 2, 3\}$,

$$\begin{aligned} \left(\frac{\beta_3}{\beta_2} \right)^{r+4m} &= \left(\frac{\beta_3}{\beta_2} \right)^r \left(\frac{\beta_3}{\beta_2} \right)^{4m} \\ &= \left(\frac{\beta_3}{\beta_2} \right)^r \exp_2 \log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^{4m} \right) \\ &= \left(\frac{\beta_3}{\beta_2} \right)^r \exp_2 \left(m \log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right) \right). \end{aligned}$$

Denote $L := \log_2((\frac{\beta_3}{\beta_2})^4)$. Using the power series for \log_2 , one computes $|L|_2 = \frac{1}{8}$. For each $x \in \mathbb{Z}_2[\beta_2]$ and $r \in \{0, 1, 2, 3\}$, define

$$f_r(r+4x) := c_2 + c_3 \left(\frac{\beta_3}{\beta_2} \right)^r \exp_2(Lx).$$

For all $x \in \mathbb{Z}_2$, we have $|Lx|_2 = \frac{1}{8}|x|_2 \leq \frac{1}{8} < \frac{1}{2} = p^{-1/(p-1)}$, so f_r is well defined on $r+4\mathbb{Z}_2$. The four functions f_0, f_1, f_2, f_3 comprise a piecewise interpolation of $c_2 + c_3 (\frac{\beta_3}{\beta_2})^i$. Namely, $c_2 + c_3 (\frac{\beta_3}{\beta_2})^i = f_{i \bmod 4}(i)$ for all $i \geq 0$.

Since each f_r is a continuous function, from Equation (6.1) we see that $\nu_2(U_i/\beta_2^i)$ is large when i is close to a zero of $f_{i \bmod 4}$. The equation $f_r(r+4x) = 0$ is equivalent to

$$\exp_2(Lx) = -\frac{c_2}{c_3} \left(\frac{\beta_2}{\beta_3} \right)^r.$$

For $r \in \{0, 2, 3\}$, one computes $\left| -\frac{c_2}{c_3} \left(\frac{\beta_2}{\beta_3} \right)^r - 1 \right|_2 \geq \frac{1}{2}$, so there is no solution x for these values of r . For $r = 1$, $\left| -\frac{c_2}{c_3} \left(\frac{\beta_2}{\beta_3} \right)^r - 1 \right|_2 = \frac{1}{16} < \frac{1}{2}$, so there is a unique solution, namely $x = \frac{1}{L} \log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right)$, which has

size $|x|_2 = \frac{1}{2}$. Let

$$(6.2) \quad \zeta := 1 + 4^{\frac{1}{L}} \log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right),$$

so that $f_1(\zeta) = 0$ and $|\zeta|_2 = 1$.

It remains to show that $\zeta \in \mathbb{Z}_2$. Let $\sigma : K \rightarrow K$ be the Galois automorphism that non-trivially permutes β_2 and β_3 . The formulas for c_2 and c_3 imply $\frac{c_2}{c_3} \cdot \frac{\sigma(c_2)}{\sigma(c_3)} = 1$; this implies

$$\begin{aligned} \log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right) + \sigma \left(\log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right) \right) &= \log_2 \left(\frac{c_2 \beta_2}{c_3 \beta_3} \cdot \frac{\sigma(c_2) \beta_3}{\sigma(c_3) \beta_2} \right) \\ &= \log_2(1) = 0. \end{aligned}$$

Similarly,

$$\log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right) + \sigma \left(\log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right) \right) = \log_2(1) = 0.$$

Therefore

$$\frac{\log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right)}{\log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right)} = \frac{-\sigma \left(\log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right) \right)}{-\sigma \left(\log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right) \right)} = \sigma \left(\frac{\log_2 \left(-\frac{c_2 \beta_2}{c_3 \beta_3} \right)}{\log_2 \left(\left(\frac{\beta_3}{\beta_2} \right)^4 \right)} \right)$$

is invariant under σ and thus is an element of \mathbb{Q}_2 . It follows from $|\zeta|_2 = 1$ that $\zeta \in \mathbb{Z}_2$. \square

Remark. The interpolation in the previous proof depends on appropriate powers of $\frac{\beta_3}{\beta_2}$ satisfying $x = \exp_2(\log_2(x))$. We verified this by directly checking $|\left(\frac{\beta_3}{\beta_2}\right)^4 - 1|_2 < \frac{1}{2}$. In general, an appropriate exponent is given by [22, Lemma 6], namely

$$\begin{cases} 1 & \text{if } e < p - 1 \\ p^{\lceil \log(e+1) / \log p \rceil} & \text{if } e \geq p - 1, \end{cases}$$

where e is the ramification index of the field extension. The ramification index of the extension K in the proof of Theorem 37 is $e = 2$; this follows from the fact that e is a divisor of the degree of the extension and that $e \neq 1$ since we identified an element $\beta_2 \in K$ with 2-adic valuation $\nu_2(\beta_2) = \frac{1}{2}$. Therefore the exponent $2^{\lceil \log(3) / \log(2) \rceil} = 4$ suffices. Since $|\frac{\beta_3}{\beta_2}|_2 = 1$, [22, Lemma 6] implies $|\left(\frac{\beta_3}{\beta_2}\right)^4 - 1|_2 < \frac{1}{2}$. (In general, one must divide by a root of unity before raising to the appropriate exponent, but this root of unity is 1 for $\frac{\beta_3}{\beta_2}$ since the ramification index of K is equal to its degree.)

By Proposition 36, the growth rate of $\nu_2(U_i)$ is determined by the approximability of

$$\zeta = \cdots 10010110000101101100111101100001101111011010011001_2$$

by non-negative integers.

Conjecture 38. *Let $\zeta \in \mathbb{Z}_2$ be defined as in Equation (6.2). The lengths of the 0 blocks of the 2-adic digits of ζ satisfy $\ell_\zeta(a) \leq \frac{2}{95}a + \frac{18}{5}$ for all $a \geq 0$.*

Conjecture 38 is weak in the sense that it is almost certainly far from sharp. One expects the digits of ζ to be randomly distributed, in which case $\ell_\zeta(a) = \frac{1}{\log(2)} \log(a) + O(1)$. Indeed, among the first 1000 base-2 digits of ζ , the longest block of 0s has length 10. However, results concerning digits of irrational numbers are notoriously difficult to prove. Bugeaud and Kekeç [7, Theorem 1.6] give a lower bound on the number of non-zero digits among the first a digits of an irrational algebraic number in \mathbb{Q}_p ; see also Theorem 2.1 in the same paper. However, there are no known results of this form for transcendental numbers.

The conjectural bound was obtained by computing the line through $\ell_\zeta(19) = 4$ and $\ell_\zeta(304) = 10$. If Conjecture 38 is true, then an explicit formula for $\nu_2(U_i)$ is given by the following theorem. In particular, the approximation $\zeta \equiv 660098850944665 \pmod{2^{50}}$ is sufficient to compute $\nu_2(U_i)$ for all $i \leq 2^{49}$.

Theorem 39. *Let $\zeta \in \mathbb{Z}_2$ be defined as in Equation (6.2). Conjecture 38 implies that, for all $i \geq 10$,*

$$\nu_2(U_i) = \left\lfloor \frac{i-1}{2} \right\rfloor + \begin{cases} \nu_2(i - \zeta) & \text{if } i \not\equiv 1 \pmod{4} \\ 0 & \text{if } i \equiv 1 \pmod{4}. \end{cases}$$

Proof. We start as in the proof of Theorem 35. Let $T_i = U_i/2^{\frac{i}{2}-1}$. Since $U_{i+3} = 12U_{i+2} + 6U_{i+1} + 12U_i$, the sequence $(T_i)_{i \geq 0}$ satisfies the recurrence $T_{i+3} = 6\sqrt{2}T_{i+2} + 3T_{i+1} + 3\sqrt{2}T_i$. The initial terms are $T_0 = 2, T_1 = 13\sqrt{2}, T_2 = 163$, so it follows that $T_i \in \mathbb{Z}[\sqrt{2}]$ for all $i \geq 0$. Modulo $2\mathbb{Z}[\sqrt{2}]$, the sequence $(T_i)_{i \geq 2}$ is periodic with period length 4: $1, \sqrt{2}, 1, 0, 1, \sqrt{2}, 1, 0, \dots$. It follows that if $i \geq 2$ and $i \not\equiv 1 \pmod{4}$ then

$$\begin{aligned} \nu_2(U_i) &= \frac{i}{2} - 1 + \nu_2(T_i) = \frac{i}{2} - 1 + \begin{cases} 0 & \text{if } i \equiv 0 \pmod{4} \\ 0 & \text{if } i \equiv 2 \pmod{4} \\ \frac{1}{2} & \text{if } i \equiv 3 \pmod{4} \end{cases} \\ &= \left\lfloor \frac{i-1}{2} \right\rfloor. \end{aligned}$$

It remains to determine $\nu_2(U_i)$ when $i \equiv 1 \pmod{4}$. We continue to use the 2-adic numbers $\beta_1, \beta_2, \beta_3, c_1, c_2, c_3$ and the function f_1 defined in the proof of Theorem 37. When $i \equiv 1 \pmod{4}$, Equation (6.1) gives

$$|U_i|_2 = 2^{-\frac{i}{2}} \left| c_1 \left(\frac{\beta_1}{\beta_2} \right)^i + f_1(i) \right|_2.$$

To obtain a simpler formula for $|U_i|_2$, we compare the sizes of the two terms being added and use the fact that $|x + y|_p = \max\{|x|_p, |y|_p\}$ if $|x|_p \neq |y|_p$. For the first, we have $\left| c_1 \left(\frac{\beta_1}{\beta_2} \right)^i \right|_2 = 2^{1-\frac{i}{2}}$. For the second,

$$|f_1(i)|_2 = \left| c_2 + \frac{c_3\beta_3}{\beta_2} \exp_2(L \cdot \frac{i-1}{4}) \right|_2.$$

Since the function $f_1(1+4x) = c_2 + \frac{c_3\beta_3}{\beta_2} \exp_2(Lx)$ has a unique zero $\frac{\zeta-1}{4}$, the p -adic Weierstrass preparation theorem [14, Theorem 6.2.6] implies the existence of a power series $h(x) \in K[[x]]$ such that $h(0) = 1$, $|h(x)|_2 = 1$ for all $x \in \mathbb{Z}_2[\beta_2]$, and

$$f_1(1+4x) = \frac{c_2 + \frac{c_3\beta_3}{\beta_2}}{-\frac{\zeta-1}{4}} \left(x - \frac{\zeta-1}{4} \right) h(x).$$

Therefore

$$\begin{aligned} |f_1(i)|_2 &= \left| \frac{c_2 + \frac{c_3\beta_3}{\beta_2}}{-\frac{\zeta-1}{4}} \right|_2 \left| \frac{i-1}{4} - \frac{\zeta-1}{4} \right|_2 \\ &= \sqrt{2} |i - \zeta|_2. \end{aligned}$$

Conjecture 38 and Proposition 36 imply $|i - \zeta|_2 \geq i^{-536/95}$ for all $i \geq 2$. The functions $2^{1-\frac{i}{2}}$ and $\sqrt{2}i^{-536/95}$ intersect at $i \approx 70.21$. For all $i \geq 73$ such that $i \equiv 1 \pmod{4}$,

$$\left| c_1 \left(\frac{\beta_1}{\beta_2} \right)^i \right|_2 = 2^{1-\frac{i}{2}} < \sqrt{2}i^{-536/95} \leq |f_1(i)|_2$$

and therefore

$$|U_i|_2 = 2^{-\frac{i}{2}} \left| c_1 \left(\frac{\beta_1}{\beta_2} \right)^i + f_1(i) \right|_2 = 2^{-\frac{i}{2}} |f_1(i)|_2 = 2^{\frac{1-i}{2}} |i - \zeta|_2.$$

Moreover, explicit computation shows that $2^{1-\frac{i}{2}} < \sqrt{2}|i - \zeta|_2$ for all $i \equiv 1 \pmod{4}$ satisfying $13 \leq i \leq 69$, so $|U_i|_2 = 2^{\frac{1-i}{2}} |i - \zeta|_2$ for these values as well. Therefore $\nu_2(U_i) = \frac{i-1}{2} + \nu_2(i - \zeta)$ for all $i \geq 13$ such that $i \equiv 1 \pmod{4}$. \square

Corollary 40. *Conjecture 38 implies that $\nu_2(U_i) \leq \frac{i}{2} + \frac{536}{95 \log(2)} \log(i)$ for all $i \geq 10$.*

Proof. Since $U_i \neq 0$ for all $i \geq 0$, we have $|U_i|_2 \neq 0$ for all $i \geq 0$. Since $|f_1(\zeta)|_2 = 0$, this implies $\zeta \notin \mathbb{N}$. Conjecture 38 and Proposition 36 imply $\nu_2(i - \zeta) \leq \frac{536}{95 \log(2)} \log(i)$ for all $i \geq 2$. By Theorem 39, $\nu_2(U_i) \leq \frac{i}{2} + \frac{536}{95 \log(2)} \log(i)$ for all $i \geq 10$. \square

This is sufficient to apply Lemma 33. Under Conjecture 38, we have the right behavior for both $\nu_2(U_i)$ and $\nu_3(U_i)$.

6.2. A fourth-order sequence. The general case is even more complicated than Theorem 37. For example, let $p = 2$ and consider the sequence $(U_i)_{i \geq 0}$ satisfying the recurrence $U_{i+4} = 2U_{i+3} + 2U_{i+2} + 2U_i$ with initial conditions $U_0 = 1, U_1 = 3, U_2 = 9, U_3 = 23$ from Example 4. By the Eisenstein criterion, the characteristic polynomial $P(x) = x^4 - 2x^3 - 2x^2 - 2$ is irreducible over \mathbb{Q}_2 . Let K be the splitting field of $P(x)$ over \mathbb{Q}_2 . Let $\beta_1, \beta_2, \beta_3, \beta_4$ be the four roots of $P(x)$ in K , and let c_1, c_2, c_3, c_4 be the elements of K such that $U_i = \sum_{j=1}^4 c_j \beta_j^i$ for all $i \geq 0$.

To compute with the roots β_i , we would want to write K as a simple extension $\mathbb{Q}_2(\alpha)$. For this, we need to determine the degree d of the extension and a polynomial $Q(x) \in \mathbb{Q}_2[x]$ of degree d such that $Q(x)$ is irreducible over \mathbb{Q}_2 and $Q(\alpha) = 0$. Then we could compare the sizes $|\beta_j|_2$ of the roots to each other. Experiments suggest that $|\beta_1|_2 = |\beta_2|_2 = |\beta_3|_2 = |\beta_4|_2 = 2^{-1/4}$ and $|(\frac{\beta_j}{\beta_1})^8 - 1|_2 = \frac{1}{4} < \frac{1}{2} = p^{-1/(p-1)}$ for each $j \in \{2, 3, 4\}$. Assuming this is the case, $U_i/\beta_1^i = \sum_{j=1}^4 c_j (\frac{\beta_j}{\beta_1})^i$ can be interpolated piecewise to \mathbb{Z}_2 using 8 analytic functions. However, we cannot solve $c_1 + b_2 \exp_2(L_2 x) + b_3 \exp_2(L_3 x) + b_4 \exp_2(L_4 x) = 0$ explicitly, as we solved $c_2 + c_3 (\frac{\beta_3}{\beta_2})^r \exp_2(Lx) = 0$ in the proof of Theorem 37. Instead, we could use the p -adic Weierstrass preparation theorem [14, Theorem 6.2.6] to determine the number of solutions and compute approximations to them. However, we would also need to determine which of these solutions belong to \mathbb{Z}_2 . We do not carry out this step here, but this would give an analogue of Theorem 37, with some finite set Z of 2-adic integers such that every sequence $(i_n)_{n \geq 0}$ of non-negative integers with $\nu_2(U_{i_n}) \rightarrow \infty$ satisfies $i_n \rightarrow \zeta$ for some $\zeta \in Z$. If the blocks of zeroes in the digit sequences of each $\zeta \in Z$ satisfy $\ell_\zeta(a) \leq Ca + D$ for some C, D as in Conjecture 38, then Proposition 36 gives an upper bound on $\nu_2(U_i)$. This same approach applies to a general constant-recursive sequence and a general prime p .

7. CONCLUDING REMARKS

The case of integer base b numeration systems is not treated in this paper. Let $b \geq 2$. Assume first for the sake of simplicity that b is a prime. Consider the sequence $U = (b^i)_{i \geq 0}$. If X is an ultimately periodic set with period $\pi_X = b^\lambda$ for some λ , then with our notation $Q_X = 1$ and $|\text{rep}_U(\pi_X - 1)| = \lambda$. The sequence $(b^i \bmod b^\lambda)_{i \geq 0}$ has a zero period and $\mathbf{f}_b(\lambda) = \lambda$. Hence we don't have the required assumption to apply Theorem 29: for every such set X , $n_X = 0$. Let us also point out that the technique of Propositions 17 or 22 cannot be applied: adding 1 as a most significant digit will not change the value of a representation modulo π_X when words are too long, $U_i \equiv 0 \pmod{b^\lambda}$ for large enough i . Of course, integer base systems can be handled with other decision procedures [4, 5, 15, 16, 18, 19]. If the base b is now a composite number of the form $p_1^{s_1} \cdots p_t^{s_t}$, the same observation holds. The length of the non-zero preperiod of $(b^i \bmod p_j^\mu)_{i \geq 0}$ is $\lfloor \frac{\mu}{s_j} \rfloor$. Taking again an ultimately periodic set with period $\pi_X = b^\lambda$, we get $Q_X = 1$ and $\mathbf{f}_{p_j}(\lambda s_j) = \lambda$, hence $M_X = \lambda$ and we still have $|\text{rep}_U(\pi_X - 1)| = \lambda$, so $n_X = 0$.

A similar situation occurs in a slightly more general setting: the merge of r sequences that ultimately behave like b^i . Let $b \geq 2$, $u \geq 1$, $N \geq 0$. If the recurrence relation is of the form $U_{i+u} = bU_i$ for $i \geq N$ (as for instance in Example 10), then again $n_X \not\rightarrow \infty$ as $\pi_X \rightarrow \infty$. Indeed, if X is an ultimately periodic set with period $\pi_X = b^\lambda$, then $Q_X = 1$ and applying Lemma 13 (here the polynomial P_T with the notation of Definition 12 is just a constant), $|\text{rep}_U(\pi_X - 1)| \geq u\lambda - L$, for some constant L , and with the same reasoning as for a composite integer base, $M_X \leq N + u\lambda$. Thus n_X remains bounded for all λ . So there is no way to ensure that n_X can be larger than C .

Trying to figure out the limitations of our decision procedure and assuming that we are under the assumption of Lemma 33, this type of linear numeration systems is the only one that we were able to find where our procedure cannot be applied. Moreover, as shown by the following proposition, these systems are sufficiently close to the classical base- b system so usual decision procedures can still be applied. It is an open problem to determine if there exist linear numeration systems satisfying (H1), (H2) and (H3) where the decision procedure may not be applied and not of the above type.

Example 41. Take $b = 4$, $u = 2$ and $N = 0$. Start with the first two values 1 and 3. We get the sequence 1, 3, 4, 12, 16, 48, 64, ... We have $\mathbf{f}_2(\mu) = \mu$ if μ is even and $\mathbf{f}_2(\mu) = \mu + 1$ if μ is odd. Hence, for a set

of period $\pi_X = 4^\lambda$, $M_X = f_2(2\lambda) = 2\lambda$. Moreover, $|\text{rep}_U(4^\lambda - 1)| = 2\lambda$. So, $n_X = 0$ for all λ .

Proposition 42. *Let $b \geq 2$, $u \geq 1$, $N \geq 0$. Let U be a linear numeration system $U = (U_i)_{i \geq 0}$ such that $U_{i+u} = bU_i$ for all $i \geq N$. If a set is U -recognizable then it is b -recognizable. Moreover, given a DFA accepting $\text{rep}_U(X)$ for some set X , we can compute a DFA accepting $\text{rep}_b(X)$.*

Proof. We build in two steps a sequence of transducers reading least significant digit first that maps any U -representation $c_{\ell-1} \cdots c_1 c_0 \in A_U^*$ (here written with the usual convention that the most significant digit is on the left) to the corresponding b -ary representation. Adding leading zeroes, we may assume that the length ℓ of the U -representation is of the form $N + mu$. The idea is to read the first $N + u$ (least significant) digits and to output a single digit (over a finite alphabet in \mathbb{N}) equal to

$$d_0 = \text{val}_U(c_{N+u-1} \cdots c_0).$$

Then we process blocks of size u , each such block of the form

$$c_{N+(j+1)u-1} \cdots c_{N+ju}$$

gives as output a single digit equal to

$$d_j = c_{N+(j+1)u-1}U_{N+u-1} + \cdots + c_{N+ju}U_N.$$

So the digits d_0, d_1, \dots, d_{m-1} all belong to the finite set

$$\{\text{val}_U(w) : w \in A_U^* \text{ and } |w| \leq N + u\}.$$

From the form of the recurrence, we have

$$\text{val}_U(c_{N+mu-1} \cdots c_0) = \sum_{j=0}^{m-1} d_j b^j = \text{val}_b(d_{m-1} \cdots d_0).$$

So this transducer \mathcal{T} maps any U -representation to a non-classical b -ary representation of the same integer. Precisely, when a DFA accepting $\text{rep}_U(X)$ is given, we build a DFA accepting the language

$$L = 0^* \text{rep}_U(X) \cap \{w \in A_U^* : |w| \equiv N \pmod{u}, |w| \geq N\}.$$

Recall that if L is a regular language then its image $\mathcal{T}(L)$ by a transducer is again regular. Moreover, $\text{val}_b(\mathcal{T}(L)) = X$.

Then, it is a classical result that normalization in base b , i.e. mapping a representation over a non-canonical finite set of digits to the canonical expansion over $\{0, \dots, b-1\}$ can be achieved by a transducer \mathcal{N} [12] (or [21, p. 104]). To conclude with the proof, we compose these two transducers and consider the image $\mathcal{N}(0^* \mathcal{T}(L)) = 0^* \text{rep}_b(X)$. \square

With the above proposition, the decision problem for the merge of sequences ultimately behaving like b^i (such as the numeration systems of Examples 10 and 14) can be reduced to the usual decision problem for integer bases.

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