

É. Savin

Some
algebra

Vector &
tensor
products

Vector &
tensor
analysis

Strength
criteria

3.1 Design
of a gravity
dam

Design of a gravity dam

1EL5000–Continuum Mechanics – Tutorial Class #3

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Outline

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- Scalar product:

$$\mathbf{a}, \mathbf{b} \in \mathbb{R}^a, \quad \langle \mathbf{a}, \mathbf{b} \rangle = \sum_{j=1}^a a_j b_j = a_j b_j,$$

The last equality is [Einstein's summation convention](#).

- Tensors and tensor product (or outer product):

$$\mathbf{A} \in \mathbb{R}^a \rightarrow \mathbb{R}^b, \quad \mathbf{A} = \mathbf{a} \otimes \mathbf{b}, \quad \mathbf{a} \in \mathbb{R}^a, \mathbf{b} \in \mathbb{R}^b.$$

- Tensor application to vectors:

$$\mathbf{A} = \mathbf{a} \otimes \mathbf{b} \in \mathbb{R}^a \rightarrow \mathbb{R}^b, \mathbf{c} \in \mathbb{R}^b, \quad \mathbf{Ac} = \langle \mathbf{b}, \mathbf{c} \rangle \mathbf{a}.$$

- Product of tensors \equiv composition of linear maps:

$$\mathbf{A} = \mathbf{a} \otimes \mathbf{b}, \mathbf{B} = \mathbf{c} \otimes \mathbf{d}, \quad \mathbf{AB} = \langle \mathbf{b}, \mathbf{c} \rangle \mathbf{a} \otimes \mathbf{d}.$$

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- Scalar product of tensors:

$$\langle \mathbf{A}, \mathbf{B} \rangle = \text{Tr}(\mathbf{AB}^T) := \mathbf{A} : \mathbf{B} = A_{jk}B_{jk}.$$

- Let $\{\mathbf{e}_j\}_{j=1}^d$ be a Cartesian basis in \mathbb{R}^d . Then:

$$a_j = \langle \mathbf{a}, \mathbf{e}_j \rangle,$$

$$\begin{aligned} A_{jk} &= \langle \mathbf{A}, \mathbf{e}_j \otimes \mathbf{e}_k \rangle = \mathbf{A} : \mathbf{e}_j \otimes \mathbf{e}_k \\ &= \langle \mathbf{A}\mathbf{e}_k, \mathbf{e}_j \rangle, \end{aligned}$$

such that:

$$\mathbf{a} = a_j \mathbf{e}_j,$$

$$\mathbf{A} = A_{jk} \mathbf{e}_j \otimes \mathbf{e}_k.$$

- Example: the identity matrix

$$\mathbf{I} = \mathbf{e}_j \otimes \mathbf{e}_j.$$

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- Gradient of a vector function $\mathbf{a}(\mathbf{x})$, $\mathbf{x} \in \mathbb{R}^d$:

$$\mathbb{D}_{\mathbf{x}} \mathbf{a} = \frac{\partial \mathbf{a}}{\partial x_j} \otimes \mathbf{e}_j .$$

- Divergence of a vector function $\mathbf{a}(\mathbf{x})$, $\mathbf{x} \in \mathbb{R}^d$:

$$\operatorname{div}_{\mathbf{x}} \mathbf{a} = \langle \nabla_{\mathbf{x}}, \mathbf{a} \rangle = \operatorname{Tr}(\mathbb{D}_{\mathbf{x}} \mathbf{a}) = \frac{\partial a_j}{\partial x_j} .$$

- Divergence of a tensor function $\mathbf{A}(\mathbf{x})$, $\mathbf{x} \in \mathbb{R}^d$:

$$\operatorname{Div}_{\mathbf{x}} \mathbf{A} = \frac{\partial (\mathbf{A} \mathbf{e}_j)}{\partial x_j} .$$

Some analysis

Vector & tensor analysis in cylindrical coordinates

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- Gradient of a vector function $\mathbf{a}(r, \theta, z)$:

$$\mathbb{D}_{\mathbf{x}} \mathbf{a} = \frac{\partial \mathbf{a}}{\partial r} \otimes \mathbf{e}_r + \frac{\partial \mathbf{a}}{\partial \theta} \otimes \frac{\mathbf{e}_\theta}{r} + \frac{\partial \mathbf{a}}{\partial z} \otimes \mathbf{e}_z.$$

- Divergence of a vector function $\mathbf{a}(r, \theta, z)$:

$$\operatorname{div}_{\mathbf{x}} \mathbf{a} = \left\langle \frac{\partial \mathbf{a}}{\partial r}, \mathbf{e}_r \right\rangle + \left\langle \frac{\partial \mathbf{a}}{\partial \theta}, \frac{\mathbf{e}_\theta}{r} \right\rangle + \left\langle \frac{\partial \mathbf{a}}{\partial z}, \mathbf{e}_z \right\rangle.$$

- Divergence of a tensor function $\mathbf{A}(r, \theta, z)$:

$$\operatorname{Div}_{\mathbf{x}} \mathbf{A} = \frac{\partial \mathbf{A}}{\partial r} \mathbf{e}_r + \frac{\partial \mathbf{A}}{\partial \theta} \frac{\mathbf{e}_\theta}{r} + \frac{\partial \mathbf{A}}{\partial z} \mathbf{e}_z.$$

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Recap

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- Displacement:

$$\boldsymbol{u} = \boldsymbol{x} - \boldsymbol{p} = \boldsymbol{f}(\boldsymbol{p}, t) - \boldsymbol{p};$$

- Strains:

$$\mathbb{E} = \frac{1}{2} \left(\mathbb{D}_{\boldsymbol{p}} \boldsymbol{u} + \mathbb{D}_{\boldsymbol{p}} \boldsymbol{u}^T + \mathbb{D}_{\boldsymbol{p}} \boldsymbol{u}^T \mathbb{D}_{\boldsymbol{p}} \boldsymbol{u} \right);$$

- Small strains $\boldsymbol{x} \sim \boldsymbol{p}$, $\|\mathbb{D}_{\boldsymbol{p}} \boldsymbol{u}\| \ll 1$:

$$\mathbb{E} \simeq \boldsymbol{\epsilon} = \frac{1}{2} \left(\mathbb{D}_{\boldsymbol{x}} \boldsymbol{u} + \mathbb{D}_{\boldsymbol{x}} \boldsymbol{u}^T \right);$$

- Stresses:

$$\text{Div}_{\boldsymbol{x}} \boldsymbol{\sigma} + \boldsymbol{f}_v = \varrho \boldsymbol{a};$$

- What makes the difference between foams and steel???

Stresses

Tensile test

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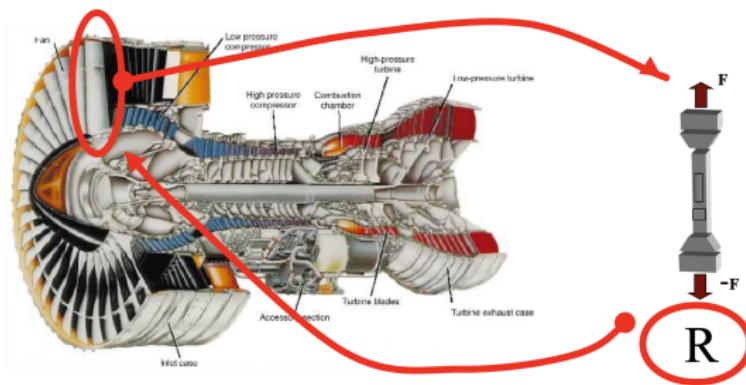
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Stresses

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Stresses are harder to measure compared to strains or displacements which can be seen!

Stresses

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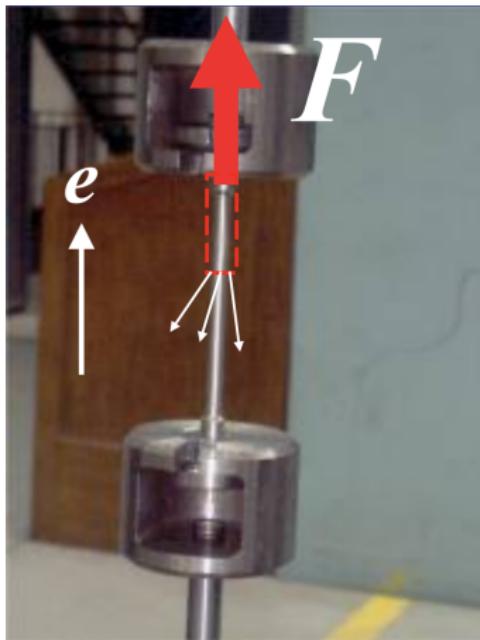
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Plot $\varepsilon \mapsto \sigma$ up to fracture.

Stress-strain curve

Brittle materials

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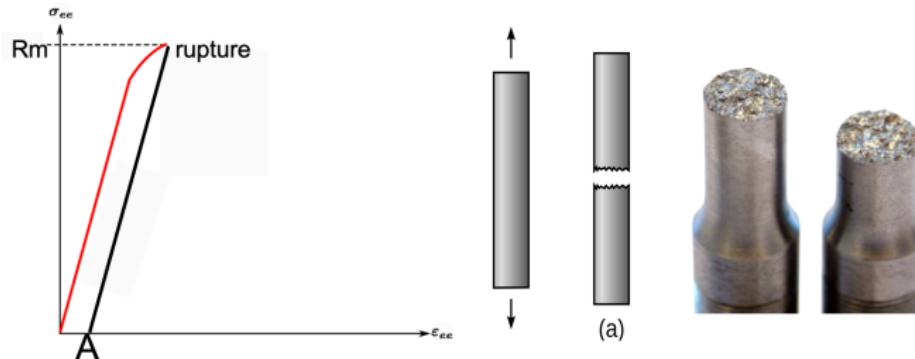
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- At microscopic level: splitting of two atomic planes driven by normal stress component $\langle \sigma n, n \rangle$.
- **Examples:** cast iron, glass, stone, concrete, carbon fiber, ceramics, polymers (PMMA, polystyrene)...

Stress-strain curve

Ductile materials

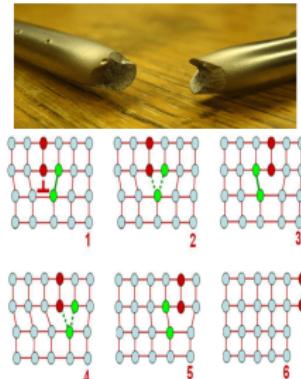
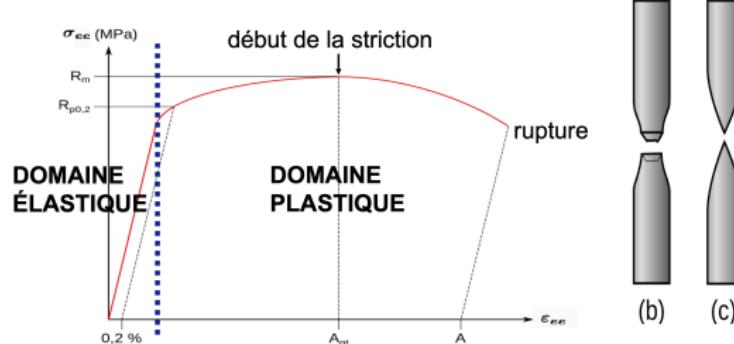
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- At microscopic level: linear crystallographic defects (dislocations) allowing atoms to slide over each other at low stress levels.
- **Examples:** structural steel and many alloys of other metals...

Quantifying stresses

Normal-shear stresses

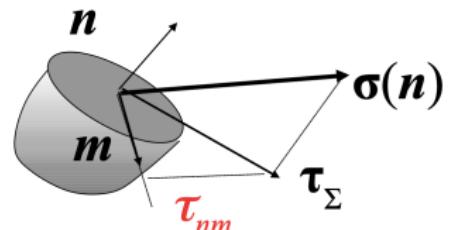
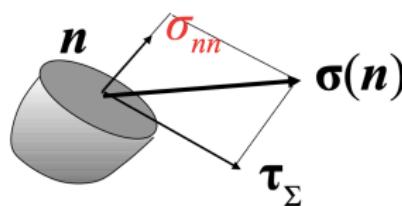
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- Normal stress: $\sigma_{nn} = \langle \boldsymbol{\sigma}\mathbf{n}, \mathbf{n} \rangle$;
- Shear (tangent) force: $\tau_\Sigma = \boldsymbol{\sigma}\mathbf{n} - \sigma_{nn}\mathbf{n}$;
- Shear stress: $\tau_{nm} = \langle \boldsymbol{\sigma}\mathbf{n}, \mathbf{m} \rangle$.

Quantifying stresses

Principal stresses

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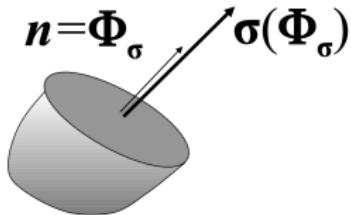
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- Since σ is symmetric:

$$\sigma \Phi_\sigma = \lambda_\sigma \Phi_\sigma .$$

- Characteristic polynomial ($I_1(\sigma) = \text{Tr } \sigma$, $I_2(\sigma) = \frac{1}{2}((\text{Tr } \sigma)^2 - \text{Tr}(\sigma^2))$, $I_3(\sigma) = \det \sigma$):

$$\det(\sigma - \lambda_\sigma I) = -\lambda_\sigma^3 + I_1(\sigma)\lambda_\sigma^2 - I_2(\sigma)\lambda_\sigma + I_3(\sigma) = 0 .$$

- Major principal stress:

$$\lambda_1(\sigma) = \max_{\|n\|=1} \langle \sigma n, n \rangle < \sigma_r .$$

Quantifying stresses

Deviatoric stress tensor

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- Deviatoric stress tensor:

$$\boldsymbol{\sigma}^D = \boldsymbol{\sigma} - \frac{\text{Tr } \boldsymbol{\sigma}}{3} \mathbf{I};$$

- Orthogonal expansion: $\boldsymbol{\sigma} = \underline{\sigma} \mathbf{I} + \boldsymbol{\sigma}^D$, $\underline{\sigma} \mathbf{I} : \boldsymbol{\sigma}^D = 0$;
- Deviatoric stress invariants $J_m = \frac{1}{m} \text{Tr}(\boldsymbol{\sigma}^{Dm})$ such that:

$$\det(\boldsymbol{\sigma}^D - \lambda_\sigma \mathbf{I}) = -\lambda_\sigma^3 + J_1(\boldsymbol{\sigma}^D) \lambda_\sigma^2 - J_2(\boldsymbol{\sigma}^D) \lambda_\sigma + J_3(\boldsymbol{\sigma}^D) = 0;$$

- The deviatoric stress tensor has the same principal directions and is a state of pure shear:

$$J_2(\boldsymbol{\sigma}^D) = 0 \Rightarrow \boldsymbol{\sigma}^D = \mathbf{0},$$

$$\boldsymbol{\sigma}^D = \mathbf{0} \Rightarrow \boldsymbol{\tau}_\Sigma = \boldsymbol{\sigma} \mathbf{n} - \sigma_{nn} \mathbf{n} = \mathbf{0}, \forall \mathbf{n}.$$

Quantifying stresses

von Mises criterion

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- Equivalent or von Mises stress:

$$\begin{aligned}\sigma_{\text{eq}} &= \sqrt{3J_2(\boldsymbol{\sigma}^D)} \\ &= \sqrt{\frac{(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2}{2}},\end{aligned}$$

where $\sigma_1 \geq \sigma_2 \geq \sigma_3$ are the principal stresses of $\boldsymbol{\sigma}$.

- Examples:

$$\boldsymbol{\sigma} = \sigma \mathbf{e} \otimes \mathbf{e} \Rightarrow \sigma_{\text{eq}} = \sigma$$

$$\boldsymbol{\sigma} = \tau \mathbf{m} \otimes_s \mathbf{n} \Rightarrow \sigma_{\text{eq}} = \sqrt{3}\tau.$$

- von Mises yield criterion:

$$\sigma_{\text{eq}} \leq \sigma_0.$$

Quantifying stresses

Tresca's criterion

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- Tresca's stress for $\tau_{\Sigma} = \sigma \mathbf{n} - \sigma_{nn} \mathbf{n}$:

$$\begin{aligned}\tau_{\text{eq}} &= \max_{\|\mathbf{n}\|=1} \|\boldsymbol{\tau}_{\Sigma}\| \\ &= \frac{1}{2} \max(|\sigma_1 - \sigma_2|, |\sigma_2 - \sigma_3|, |\sigma_3 - \sigma_1|).\end{aligned}$$

- Maximum shear stress for $\sigma_1 \geq \sigma_2 \geq \sigma_3$:

$$\tau_{\text{eq}} = \frac{\sigma_1 - \sigma_3}{2},$$

which acts on the plane with unit normal $\mathbf{n} = \frac{\Phi_1 \pm \Phi_3}{\sqrt{2}}$
for which:

$$\sigma_{nn} = \frac{\sigma_1 + \sigma_3}{2}.$$

- Tresca's criterion:

$$\tau_{\text{eq}} \leq \tau_0 = \frac{\sigma_0}{2}.$$

To go further...

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- 2EL1830 Non-linear behavior of materials
- ST7-81-CS Conception en fabrication additive

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Setup

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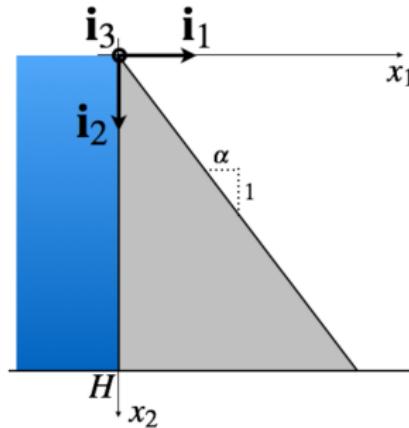
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Willow Creek dam (Oregon, USA)

$$\boldsymbol{\sigma} = b_1 x_2 \mathbf{i}_1 \otimes \mathbf{i}_1 + (a_2 x_1 + b_2 x_2) \mathbf{i}_2 \otimes \mathbf{i}_2 - 2(\varrho_b g + b_2) x_1 \mathbf{i}_1 \otimes_s \mathbf{i}_2 ,$$

with:

$$b_1 = -\varrho_e g , \quad a_2 = \frac{\varrho_b g}{\alpha} - 2 \frac{\varrho_e g}{\alpha^3} , \quad b_2 = -\varrho_b g + \frac{\varrho_e g}{\alpha^2} .$$

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Solution

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Question #1: Local equilibrium equation?

- The local equilibrium equation reads $\text{Div}_x \sigma + f_v = \varrho a$.
- In the present case $f_v = +\varrho_b g i_2$ and $a = \mathbf{0}$ in static analysis.

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Question #1: Local equilibrium equation?

- The local equilibrium equation reads $\text{Div}_x \boldsymbol{\sigma} + \mathbf{f}_v = \varrho \mathbf{a}$.
- In the present case $\mathbf{f}_v = +\varrho_b g \mathbf{i}_2$ and $\mathbf{a} = \mathbf{0}$.
- Besides $\text{Div}_x \boldsymbol{\sigma} = \frac{\partial}{\partial x_j} (\boldsymbol{\sigma} \mathbf{i}_j)$ and:

$$\frac{\partial \boldsymbol{\sigma}}{\partial x_1} = a_2 \mathbf{i}_2 \otimes \mathbf{i}_2 - 2(\varrho_b g + b_2) \mathbf{i}_1 \otimes_s \mathbf{i}_2 ,$$

$$\frac{\partial \boldsymbol{\sigma}}{\partial x_2} = b_1 \mathbf{i}_1 \otimes \mathbf{i}_1 + b_2 \mathbf{i}_2 \otimes \mathbf{i}_2 ,$$

$$\frac{\partial \boldsymbol{\sigma}}{\partial x_3} = \mathbf{0} .$$

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Question #1: Local equilibrium equation?

- The local equilibrium equation reads $\text{Div}_x \sigma + f_v = \varrho a$.
- In the present case $f_v = +\varrho_b g i_2$ and $a = 0$.
- Besides $\text{Div}_x \sigma = \frac{\partial}{\partial x_j} (\sigma i_j)$ and:

$$\frac{\partial \sigma}{\partial x_1} = a_2 i_2 \otimes i_2 - 2(\varrho_b g + b_2) i_1 \otimes_s i_2 ,$$

$$\frac{\partial \sigma}{\partial x_2} = b_1 i_1 \otimes i_1 + b_2 i_2 \otimes i_2 .$$

- Hence (remind that $(a \otimes b)c = \langle b, c \rangle a$):

$$\text{Div}_x \sigma = -(\varrho_b g + b_2) i_2 + b_2 i_2 = -\varrho_b g i_2 = -f_v \quad \text{QED} .$$

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Question #2: Free edge BC on downstream wall?

- Downstream wall $\Gamma_d = \{0 \leq x_2 \leq H, x_1 - \alpha x_2 = 0\}$.

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Question #2: Free edge BC on downstream wall?

- Downstream wall $\Gamma_d = \{0 \leq x_2 \leq H, x_1 - \alpha x_2 = 0\}$.
- Outward unit normal $\mathbf{n} = \frac{1}{\sqrt{1+\alpha^2}}(\mathbf{i}_1 - \alpha \mathbf{i}_2)$.

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Question #2: Free edge BC on downstream wall?

- Downstream wall $\Gamma_d = \{0 \leq x_2 \leq H, x_1 - \alpha x_2 = 0\}$.
- Outward unit normal $\mathbf{n} = \frac{1}{\sqrt{1+\alpha^2}}(\mathbf{i}_1 - \alpha \mathbf{i}_2)$.
- Therefore:

$$\begin{aligned}\sigma \mathbf{n} |_{\Gamma_d} &= \frac{1}{\sqrt{1+\alpha^2}} (b_1 x_2 \mathbf{i}_1 - (\varrho_b g + b_2) x_1 \mathbf{i}_2 \\ &\quad - \alpha(a_2 x_1 + b_2 x_2) \mathbf{i}_2 - \alpha(\varrho_b g + b_2) x_1 \mathbf{i}_1) \\ &= \frac{x_2}{\sqrt{1+\alpha^2}} [(b_1 - \alpha^2(\varrho_b g + b_2)) \mathbf{i}_1 \\ &\quad - (\alpha(\varrho_b g + 2b_2) + \alpha^2 a_2) \mathbf{i}_2] \\ &= \frac{x_2}{\sqrt{1+\alpha^2}} [(-\varrho_e g + \varrho_e g) \mathbf{i}_1 \\ &\quad - \left(\alpha \left(-\varrho_b g + 2\frac{\varrho_e g}{\alpha^2} \right) + \alpha \varrho_b g - 2\frac{\varrho_e g}{\alpha} \right) \mathbf{i}_2] \text{ QED.}\end{aligned}$$

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Question #3: Hydrostatic pressure on upstream wall?

- Upstream wall $\Gamma_u = \{0 \leq x_2 \leq H, x_1 = 0\}$.

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Question #3: Hydrostatic pressure on upstream wall?

- Upstream wall $\Gamma_u = \{0 \leq x_2 \leq H, x_1 = 0\}$.
- Outward unit normal $\mathbf{n} = -\mathbf{i}_1$.

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Question #3: Hydrostatic pressure on upstream wall?

- Upstream wall $\Gamma_u = \{0 \leq x_2 \leq H, x_1 = 0\}$.
- Outward unit normal $\mathbf{n} = -\mathbf{i}_1$.
- Hydrostatic pressure $\mathbf{f}_s = +\varrho_e g x_2 \mathbf{i}_1$.

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Question #3: Hydrostatic pressure on upstream wall?

- Upstream wall $\Gamma_u = \{0 \leq x_2 \leq H, x_1 = 0\}$.
- Outward unit normal $\mathbf{n} = -\mathbf{i}_1$.
- Hydrostatic pressure $\mathbf{f}_s = +\varrho_e g x_2 \mathbf{i}_1$.
- But:

$$\begin{aligned}\mathfrak{G}\mathbf{n}|_{\Gamma_u} &= -b_1 x_2 \mathbf{i}_1 + (\varrho_b g + b_2) x_1 \mathbf{i}_2 \\ &= +\varrho_e g x_2 \mathbf{i}_1 \\ &= \mathbf{f}_s \quad \text{QED}.\end{aligned}$$

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Question #4: H to withstand maximum normal stress σ_r ?

■ $\max_{\|\mathbf{n}\|=1} \sigma_{nn} = \lambda_1(\boldsymbol{\sigma}).$

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Question #4: H to withstand maximum normal stress σ_r ?

- $\max_{\|\boldsymbol{n}\|=1} \sigma_{nn} = \lambda_1(\boldsymbol{\sigma})$.
- But on Γ_u : $\boldsymbol{\sigma} = b_1 x_2 \mathbf{i}_1 \otimes \mathbf{i}_1 + b_2 x_2 \mathbf{i}_2 \otimes \mathbf{i}_2$.

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Question #4: H to withstand maximum normal stress σ_r ?

- $\max_{\|\boldsymbol{n}\|=1} \sigma_{nn} = \lambda_1(\boldsymbol{\sigma})$.
- But on Γ_u : $\boldsymbol{\sigma} = b_1 x_2 \mathbf{i}_1 \otimes \mathbf{i}_1 + b_2 x_2 \mathbf{i}_2 \otimes \mathbf{i}_2$.
- Therefore:

$$\begin{aligned}\lambda_1(\boldsymbol{\sigma}) &= x_2 \times \max(b_1, b_2) \\ &= g x_2 \times \max\left(-\varrho_e, -\varrho_b + \frac{\varrho_e}{\alpha^2}\right).\end{aligned}$$

Design of a gravity dam

Solution

1EL5000/S3

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Question #4: H to withstand maximum normal stress σ_r ?

- $\max_{\|\boldsymbol{n}\|=1} \sigma_{nn} = \lambda_1(\boldsymbol{\sigma})$.
- But on Γ_u : $\boldsymbol{\sigma} = b_1 x_2 \mathbf{i}_1 \otimes \mathbf{i}_1 + b_2 x_2 \mathbf{i}_2 \otimes \mathbf{i}_2$.
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- If $\alpha > \sqrt{\varrho_e/\varrho_b}$, then $\lambda_1(\boldsymbol{\sigma}) < 0$ and the dam is in compression; this condition is always fulfilled when $\varrho_e = 0$ (no water).

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- If $\alpha \leq \sqrt{\varrho_e/\varrho_b}$, then $\lambda_1(\boldsymbol{\sigma}) = (\frac{\varrho_e}{\alpha^2} - \varrho_b)gx_2$ and $\lambda_1(\boldsymbol{\sigma}) = \sigma_r$ whenever $x_2 = \frac{\alpha^2 \sigma_r}{g(\varrho_e - \alpha^2 \varrho_b)}$; therefore:

$$H < \frac{\alpha^2 \sigma_r}{g(\varrho_e - \alpha^2 \varrho_b)}.$$