

# William Stallings

## Data and Computer Communications

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### Chapter 2

#### Protocols and Architecture

## Characteristics

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- ⌘ Direct or indirect
- ⌘ Monolithic or structured
- ⌘ Symmetric or asymmetric
- ⌘ Standard or nonstandard

## Direct or Indirect

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### ⌘ Direct

- ⌘ Systems share a point to point link or
- ⌘ Systems share a multi-point link
- ⌘ Data can pass without intervening active agent

### ⌘ Indirect

- ⌘ Switched networks or
- ⌘ Internetworks or internets
- ⌘ Data transfer depend on other entities

## Monolithic or Structured

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- ⌘ Communications is a complex task
- ⌘ Too complex for single unit
- ⌘ Structured design breaks down problem into smaller units
- ⌘ Layered structure

## Symmetric or Asymmetric

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### ⌘ Symmetric

- ☑ Communication between peer entities

### ⌘ Asymmetric

- ☑ Client/server

## Standard or Nonstandard

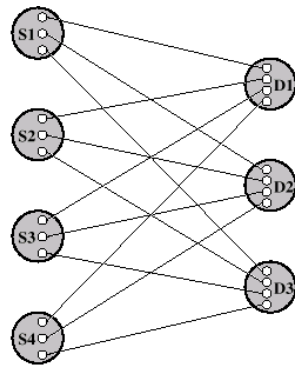
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### ⌘ Nonstandard protocols built for specific computers and tasks

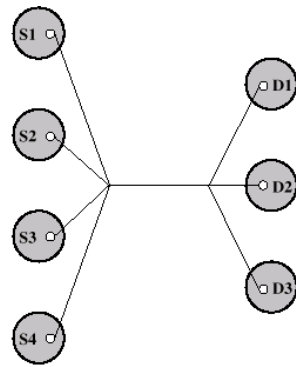
### ⌘ K sources and L receivers leads to $K \times L$ protocols and $2 \times K \times L$ implementations

### ⌘ If common protocol used, $K + L$ implementations needed

## Use of Standard Protocols



(a) Without standards: 12 different protocols;  
24 protocol implementations



(a) With standards: 1 protocol;  
7 implementations

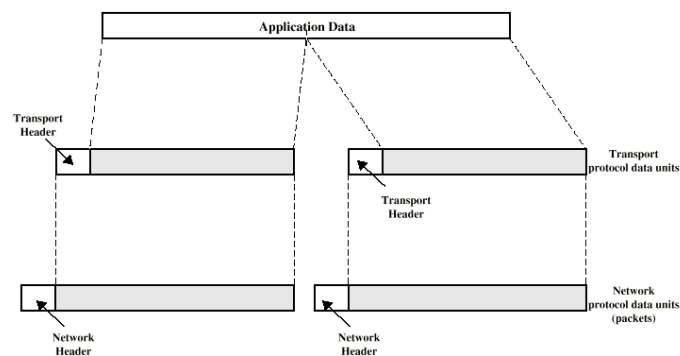
## Functions

- ⌘ Encapsulation
- ⌘ Segmentation and reassembly
- ⌘ Connection control
- ⌘ Ordered delivery
- ⌘ Flow control
- ⌘ Error control
- ⌘ Addressing
- ⌘ Multiplexing
- ⌘ Transmission services

## Encapsulation

### ⌘ Addition of control information to data

- ☒ Address information
- ☒ Error-detecting code
- ☒ Protocol control



## Segmentation (Fragmentation)

- ⌘ Data blocks are of bounded size
- ⌘ Application layer messages may be large
- ⌘ Network packets may be smaller
- ⌘ Splitting larger blocks into smaller ones is segmentation (or fragmentation in TCP/IP)
  - ☒ ATM blocks (cells) are 53 octets long
  - ☒ Ethernet blocks (frames) are up to 1526 octets long
- ⌘ Checkpoints and restart/recovery

## Why Fragment?

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### ⌘ Advantages

- ☒ More efficient error control
- ☒ More equitable access to network facilities
- ☒ Shorter delays
- ☒ Smaller buffers needed

### ⌘ Disadvantages

- ☒ Overheads
- ☒ Increased interrupts at receiver
- ☒ More processing time

## Connection Control

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### ⌘ Connection Establishment

### ⌘ Data transfer

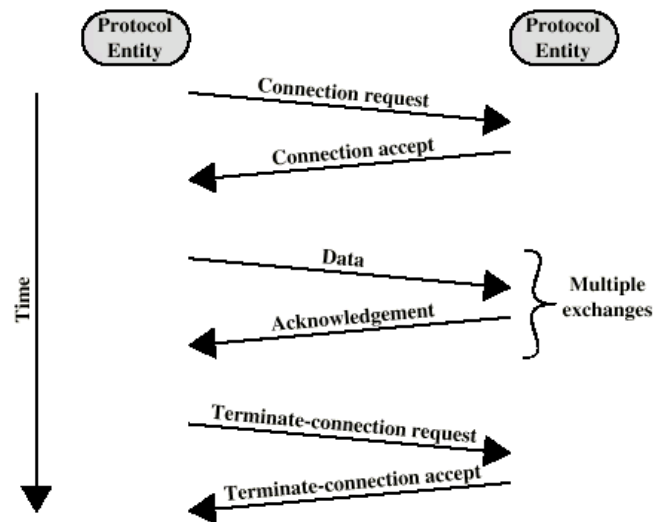
### ⌘ Connection termination

### ⌘ May be connection interruption and recovery

### ⌘ Sequence numbers used for

- ☒ Ordered delivery
- ☒ Flow control
- ☒ Error control

## Connection Oriented Data Transfer



## Ordered Delivery

- ⌘ PDUs may traverse different paths through network
- ⌘ PDUs may arrive out of order
- ⌘ Sequentially number PDUs to allow for ordering

## Flow Control

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- ⌘ Done by receiving entity
- ⌘ Limit amount or rate of data
- ⌘ Stop and wait
- ⌘ Credit systems
  - ☑ Sliding window
- ⌘ Needed at application as well as network layers

## Error Control

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- ⌘ Guard against loss or damage
- ⌘ Error detection
  - ☑ Sender inserts error detecting bits
  - ☑ Receiver checks these bits
  - ☑ If OK, acknowledge
  - ☑ If error, discard packet
- ⌘ Retransmission
  - ☑ If no acknowledge in given time, re-transmit
- ⌘ Performed at various levels



## Addressing

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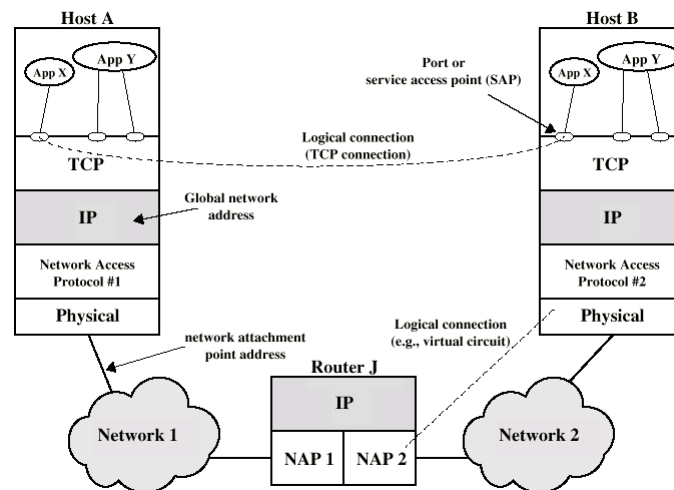
- ⌘ Addressing level
- ⌘ Addressing scope
- ⌘ Connection identifiers
- ⌘ Addressing mode

## Addressing level

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- ⌘ Level in architecture at which entity is named
- ⌘ Unique address for each end system (computer) and router
- ⌘ Network level address
  - ⌘ IP or internet address (TCP/IP)
  - ⌘ Network service access point or NSAP (OSI)
- ⌘ Process within the system
  - ⌘ Port number (TCP/IP)
  - ⌘ Service access point or SAP (OSI)

## Address Concepts



## Addressing Scope

### ⌘ Global nonambiguity

- ☑ Global address identifies unique system
- ☑ There is only one system with address X

### ⌘ Global applicability

- ☑ It is possible at any system (any address) to identify any other system (address) by the global address of the other system
- ☑ Address X identifies that system from anywhere on the network

### ⌘ e.g. MAC address on IEEE 802 networks

## Connection Identifiers

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- ⌘ Connection oriented data transfer (virtual circuits)
- ⌘ Allocate a connection name during the transfer phase
  - ☒ Reduced overhead as connection identifiers are shorter than global addresses
  - ☒ Routing may be fixed and identified by connection name
  - ☒ Entities may want multiple connections - multiplexing
  - ☒ State information

## Addressing Mode

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- ⌘ Usually an address refers to a single system
  - ☒ Unicast address
  - ☒ Sent to one machine or person
- ⌘ May address all entities within a domain
  - ☒ Broadcast
  - ☒ Sent to all machines or users
- ⌘ May address a subset of the entities in a domain
  - ☒ Multicast
  - ☒ Sent to some machines or a group of users

## Multiplexing

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- ⌘ Supporting multiple connections on one machine
- ⌘ Mapping of multiple connections at one level to a single connection at another
  - ☒ Carrying a number of connections on one fiber optic cable
  - ☒ Aggregating or bonding ISDN lines to gain bandwidth

## Transmission Services

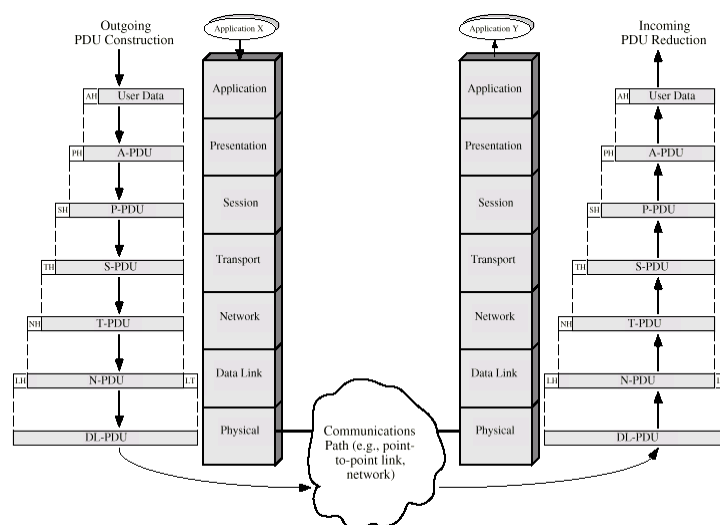
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- ⌘ Priority
  - ☒ e.g. control messages
- ⌘ Quality of service
  - ☒ Minimum acceptable throughput
  - ☒ Maximum acceptable delay
- ⌘ Security
  - ☒ Access restrictions

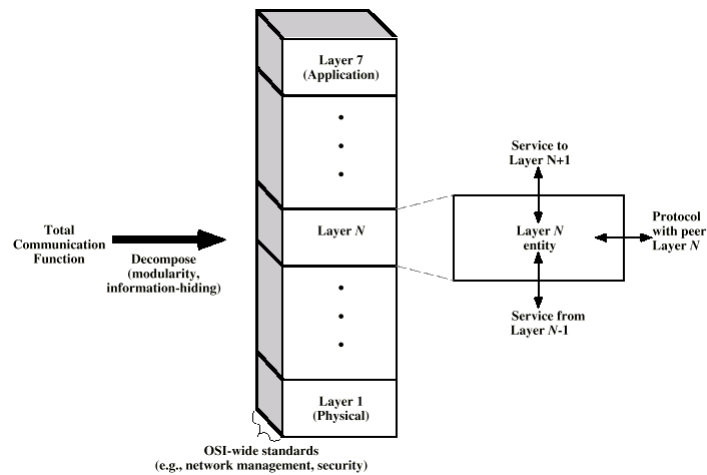
## OSI - The Model

- ⌘ A layer model
- ⌘ Each layer performs a subset of the required communication functions
- ⌘ Each layer relies on the next lower layer to perform more primitive functions
- ⌘ Each layer provides services to the next higher layer
- ⌘ Changes in one layer should not require changes in other layers

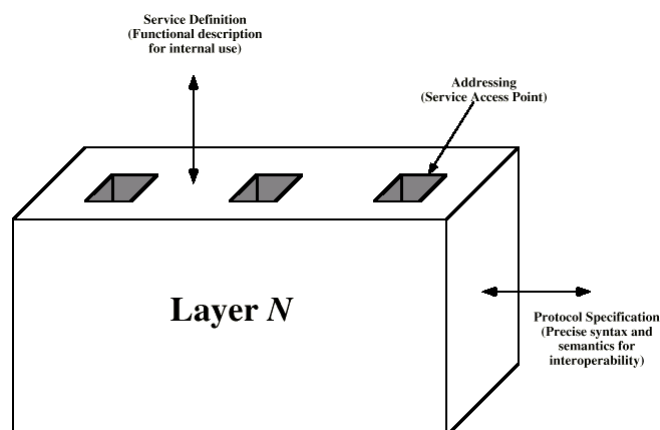
## The OSI Environment



## OSI as Framework for Standardization



## Layer Specific Standards



## Elements of Standardization

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### ⌘ Protocol specification

- ☒ Operates between the same layer on two systems
- ☒ May involve different operating system
- ☒ Protocol specification must be precise
  - ☒ Format of data units
  - ☒ Semantics of all fields
  - ☒ allowable sequence of PCUs

### ⌘ Service definition

- ☒ Functional description of what is provided

### ⌘ Addressing

- ☒ Referenced by SAPs

## OSI Layers (1)

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### ⌘ Physical

- ☒ Physical interface between devices
  - ☒ Mechanical
  - ☒ Electrical
  - ☒ Functional
  - ☒ Procedural

### ⌘ Data Link

- ☒ Means of activating, maintaining and deactivating a reliable link
- ☒ Error detection and control
- ☒ Higher layers may assume error free transmission

## OSI Layers (2)

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### ⌘ Network

- ☑ Transport of information
- ☑ Higher layers do not need to know about underlying technology
- ☑ Not needed on direct links

### ⌘ Transport

- ☑ Exchange of data between end systems
- ☑ Error free
- ☑ In sequence
- ☑ No losses
- ☑ No duplicates
- ☑ Quality of service

## OSI Layers (3)

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### ⌘ Session

- ☑ Control of dialogues between applications
- ☑ Dialogue discipline
- ☑ Grouping
- ☑ Recovery

### ⌘ Presentation

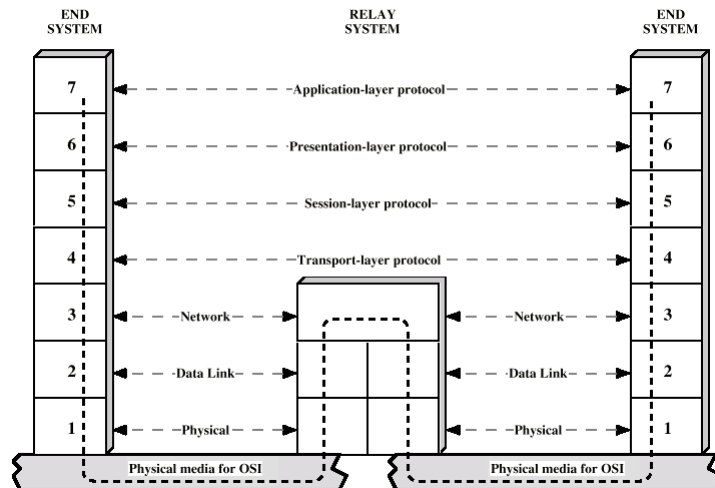
- ☑ Data formats and coding
- ☑ Data compression
- ☑ Encryption

### ⌘ Application

- ☑ Means for applications to access OSI environment



## Use of a Relay



## TCP/IP Protocol Suite

- ⌘ Dominant commercial protocol architecture
- ⌘ Specified and extensively used before OSI
- ⌘ Developed by research funded US Department of Defense
- ⌘ Used by the Internet

## TCP/IP Protocol Architecture(1)

### ⌘ Application Layer

- ☒ Communication between processes or applications

### ⌘ End to end or transport layer (TCP/UDP/...)

- ☒ End to end transfer of data
- ☒ May include reliability mechanism (TCP)
- ☒ Hides detail of underlying network

### ⌘ Internet Layer (IP)

- ☒ Routing of data

## TCP/IP Protocol Architecture(2)

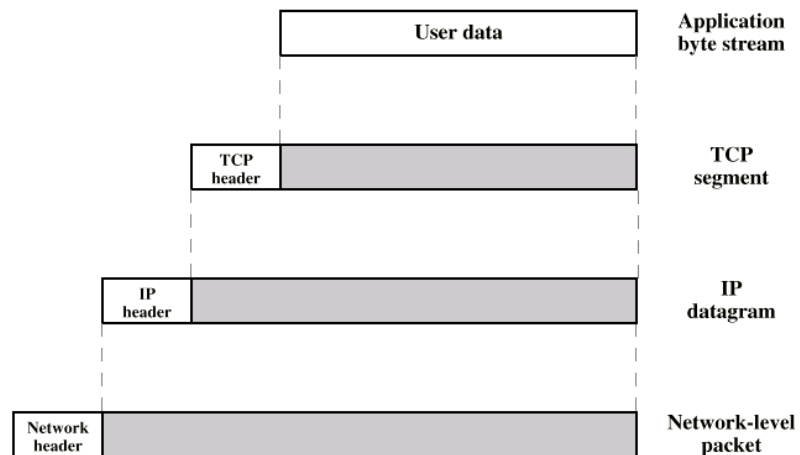
### ⌘ Network Layer

- ☒ Logical interface between end system and network

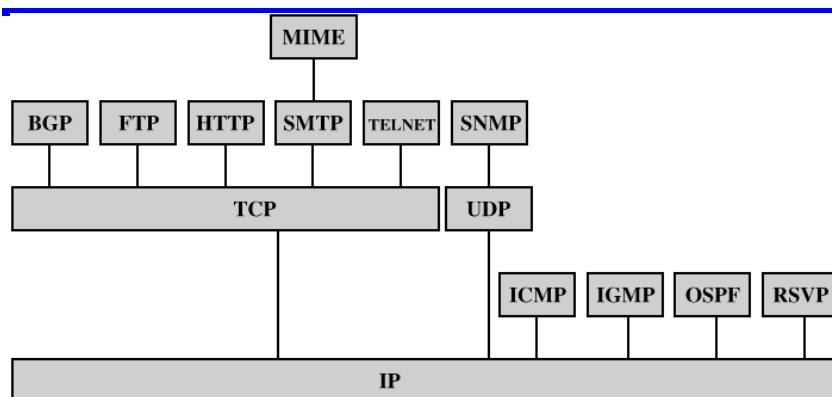
### ⌘ Physical Layer

- ☒ Transmission medium
- ☒ Signal rate and encoding

## PDU in TCP/IP



## Some Protocols in TCP/IP Suite



BGP = Border Gateway Protocol	OSPF = Open Shortest Path First
FTP = File Transfer Protocol	RSVP = Resource ReSerVation Protocol
HTTP = Hypertext Transfer Protocol	SMTP = Simple Mail Transfer Protocol
ICMP = Internet Control Message Protocol	SNMP = Simple Network Management Protocol
IGMP = Internet Group Management Protocol	TCP = Transmission Control Protocol
IP = Internet Protocol	UDP = User Datagram Protocol
MIME = Multi-Purpose Internet Mail Extension	

## Required Reading

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- ⌘ Stallings chapter 2
- ⌘ Comer, D. Internetworking with TCP/IP volume I
- ⌘ Comer, D. and Stevens, D. Internetworking with TCP/IP volume II and volume III, Prentice Hall
- ⌘ Halsall, F> Data Communications, Computer Networks and Open Systems, Addison Wesley
- ⌘ RFCs