# Sparse Planning Graphs for Information Driven Simultaneous Localization and Mapping

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Abstract—...

## I. INTRODUCTION

### II. OCCUPANCY GRID MAPPING

We model the map as an occupancy grid, and represent the map as a conglomeration of cells:  $m = \{m^i\}_{i=1}^N$ . The probability that an individual cell is occupied is given by  $p\left(m^i \mid x_{1:t}, z_{1:t}\right)$ , where  $x_{1:t}$  denotes the history of states of the vehicle, and  $z_{1:t}$  denotes the history of range observations accumulated by the vehicle. Additionally we assume that cell occupancies are independent of one another:  $p\left(m \mid x_{1:t}, z_{1:t}\right) = \prod_i p\left(m^i \mid x_{1:t}, z_{1:t}\right)$ . For notational simplicity we write the map conditioned on random variables  $x_{1:t}$  and  $z_{1:t}$  as  $p_t\left(m\right) := p\left(m \mid x_{1:t}, z_{1:t}\right)$ .

# III. EXPLORATION COST FUNCTIONAL

The purpose of the planner is to find a dynamically feasible series of control actions from a set of motion primitives,  $\mathcal{X}$ , over a time interval,  $\tau:=t+1:t+T$ , which enable the robot to gather observations that maximize an information metric over its map. We define an *action* as a discrete sequence of states,  $x_{\tau} = [x_{t+1}, \ldots, x_{t+T}]$ . While executing an action, the robot will obtain a set of measurements  $z_{\tau}(x_{\tau}) = [z_{t+1}, \ldots, z_{t+T}]$  by sensing from the states  $x_{\tau}$ . Under this notation, the planner must determine  $x_{\tau}^*$ , the action that visits locations which allow the robot to obtain the set of measurements,  $z_{\tau}^*$ , which, when integrated into the map, maximize an information-theoretic cost function over the map. We choose to maximize Shannon Mutual Information rate between the current map, m, and the measurements  $z_{\tau}$  gathered along  $x_{\tau}$ .

$$x_{\tau}^* = \underset{x_{\tau} \in \mathcal{X}^T}{\operatorname{argmax}} \frac{I_{\text{MI}}\left[m; z_{\tau} \mid x_{\tau}\right]}{R\left(T\right)} \tag{1}$$

where I<sub>MI</sub> is the Shannon Mutual Information.

$$I_{MI}[m; z_{\tau}] = H[m] - H[m \mid z_{\tau}]$$
 (2)

Since the optimization is performed over  $x_{\tau}$ , the map entropy term can be removed.

$$x_{\tau}^{*} = \underset{x_{\tau} \in \mathcal{X}^{T}}{\operatorname{argmax}} \frac{-H\left[m \mid z_{\tau}\right]}{R\left(T\right)}$$
(3)

Here we can make several assumptions to simplify the optimization of  $x_{\tau}^*$ . Due to cell independence in the occupancy grid formulation, the joint entropy over the map can be expressed as a sum of individual cell entropies. Additionally, let  $\mathcal C$  to be

the set of cells in the map that beams in  $z_{\tau}$  pass through. We note that cells  $c \notin \mathcal{C}$  are not updated by  $z_{\tau}$  and therefore do not contribute to the map's conditional entropy. Using these assumptions, as well as the notation  $p_c^{\tau} \equiv p(c \mid z_{\tau})$  and  $o(c \mid z_{\tau}) \equiv p_c^{\tau} \log p_c^{\tau} + (1 - p_c^{\tau}) \log (1 - p_c^{\tau})$ , we may write the entropy of the map conditioned on  $z_{\tau}$  as

$$H[m \mid z_{\tau}] = \sum_{c \in \mathcal{C}} H[c \mid z_{\tau}]$$

$$= \sum_{c \in \mathcal{C}} \underset{c, z_{\tau}}{\mathbb{E}} \left[ -\log p(c \mid z_{\tau}) \right]$$

$$= -\int_{z_{\tau}} p(z_{\tau}) \sum_{c \in \mathcal{C}} o(c \mid z_{\tau}) dz_{\tau}$$

$$(4)$$

Integration over the space of measurements  $z_{\tau}$  is computationally intractable. Instead, we approximate Eq. (4) using N Monte Carlo samples from the distribution  $p(z_{\tau})$ .

$$H\left[m \mid z_{\tau}\right] \approx -\frac{1}{N} \sum_{i=1}^{N} \sum_{c \in \mathcal{C}} o\left(c \mid z_{\tau}^{i}\right) \tag{5}$$

$$x_{\tau}^{*} = \underset{x_{\tau} \in \mathcal{X}^{T}}{\operatorname{argmax}} \frac{1}{R(T)} \sum_{i=1}^{N} \sum_{c \in \mathcal{C}} o\left(c \mid z_{\tau}^{i}\right)$$
 (6)

# A. Measurement Model

As explained in Sect. III, each future position  $x_k$  will generate a multi-beam range measurement  $z_m$ . We express each measurement as a K-tuple random variable,  $z_m = \begin{bmatrix} z_m^1, \dots, z_m^K \end{bmatrix}$ , with  $z_m^k \in [z_{min}, z_{max}]$ . A measurement model is required to sample from the distribution  $p(z_\tau \mid m)$ . Assuming laser beam independence,  $p(z_\tau \mid m)$  can be expressed as a product of the measurement probabilities of individual beams at each timestep over the interval  $\tau = t+1: t+T$ .

$$p(z_{\tau} \mid m) = \prod_{m=t+1}^{t+T} \prod_{k=1}^{K} p(z_{m}^{k} \mid m)$$
 (7)

The probability of a single beam measurement can be expressed as a function of the true distance, r, to the obstacle.

$$p\left(z_{m}^{k}\mid m\right) = \int_{r} p\left(z_{m}^{k}\mid r, m\right) p\left(r\mid m\right) dr \tag{8}$$

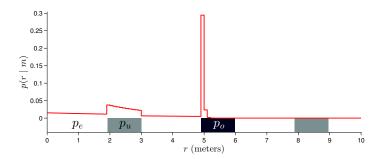


Fig. 1. The distribution  $p(r\mid m)$  over a depicted 1-dimensional map with resolution  $\Delta r=0.1$  m, and  $p_e=0.01,\,p_o=0.92,\,p_u=0.05.$ 

$$p\left(z_{m}^{k} \mid r, m\right) = \begin{cases} \mathcal{N}(z_{m}^{k} - r, \sigma_{hit}^{2}) &: z_{min} \leq r \leq z_{max} \\ \mathcal{N}(z_{max}, \sigma_{hit}^{2}) &: z_{max} < r \\ 0 &: r < z_{min} \end{cases}$$

$$(9)$$

where  $\mathcal{N}(x-\mu,\sigma^2)$  is a one-dimensional Gaussian with mean  $\mu$  and variance  $\sigma^2$ . The Gaussian term in Eq. (9) is an approximation for the true distribution, which is a complex function of both beam angle as well as non-axial distance-dependent noise. The environment-dependent distribution p(r) corresponds to the probability that an obstacle intersects a beam at range r. We model this as a Poisson binomial distribution with probabilities decaying according to the inverse square of the beam range.

On the interval  $[z_{min}, z_{max}]$ ,  $p(z_m^k)$  reduces to a Gaussian convolution with p(r) over r, which can be computed in  $O(n\log n)$  operations with a Fast Fourier Transform. This computation can be reduced to O(n) by assuming that  $\sigma_{hit}$  of the range sensor is much smaller than the resolution of the map.

The distribution p(r) represents the probability that the first obstacle along a ray originating at the sensor is found at distance r. p(r) can be expressed as a generalization of the geometric distribution for independent, but not identically distributed (i.n.i.d.) Bernoulli trials.

$$p(r = i \mid m) = p_t(m^i) \prod_{j=1}^{i-1} (1 - p_t(m^j))$$

$$= p_t(m^i) \left(\frac{1}{p_t(m^{i-1})} - 1\right) p(r = i - 1 \mid m)$$
(10)