

# The Klein-Gordon Equation

## Conservation of probability in "classical" quantum mechanics

A quantum state  $|\psi\rangle$  evolves in time according to the Schrodinger equation

$$i\hbar \frac{d}{dt} |\psi\rangle = H |\psi\rangle$$

according to one of the postulates of quantum mechanics. The Schrodinger equation in the position representation is

$$i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi, \quad (1)$$

and the complex conjugate of the Schrodinger equation is

$$-i\hbar \frac{\partial}{\partial t} \psi^* = -\frac{\hbar^2}{2m} \nabla^2 \psi^* + V\psi^*. \quad (2)$$

Multiplying Eq. (1) by  $\psi^*$  and Eq. (2) by  $\psi$  we obtain

$$i\hbar \psi^* \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \psi^* \nabla^2 \psi + V\psi^* \psi \quad (3)$$

and

$$-i\hbar \psi \frac{\partial}{\partial t} \psi^* = -\frac{\hbar^2}{2m} \psi \nabla^2 \psi^* + V\psi \psi^*. \quad (4)$$

Subtracting Eq. (4) from Eq. (3) leads to

$$i\hbar \psi^* \frac{\partial}{\partial t} \psi + i\hbar \psi \frac{\partial}{\partial t} \psi^* = -\frac{\hbar^2}{2m} \psi^* \nabla^2 \psi + \frac{\hbar^2}{2m} \psi \nabla^2 \psi^*,$$

$$i\hbar \frac{\partial}{\partial t}(\psi^* \psi) = -\frac{\hbar^2}{2m}(\psi^* \nabla^2 \psi - \psi \nabla^2 \psi^*). \quad (5)$$

The right side of Eq. (5) can be simplified using that

$$\begin{aligned} \nabla \cdot (\psi^* \nabla \psi - \psi \nabla \psi^*) &= \nabla \cdot (\psi^* \nabla \psi) - \nabla \cdot (\psi \nabla \psi^*) \\ &= \nabla \psi^* \cdot \nabla \psi + \psi^* \nabla^2 \psi - \nabla \psi \cdot \nabla \psi^* - \psi \nabla^2 \psi^* = \psi^* \nabla^2 \psi - \psi \nabla^2 \psi^*, \end{aligned}$$

which allows us to write

$$\begin{aligned} i\hbar \frac{\partial}{\partial t}(\psi^* \psi) &= -\frac{\hbar^2}{2m} \nabla \cdot (\psi^* \nabla \psi - \psi \nabla \psi^*), \\ \frac{\partial}{\partial t}(\psi^* \psi) &= \frac{i\hbar}{2m} \nabla \cdot (\psi^* \nabla \psi - \psi \nabla \psi^*), \\ \frac{\partial}{\partial t}(\psi^* \psi) - \nabla \cdot \left[ \frac{i\hbar}{2m} (\psi^* \nabla \psi - \psi \nabla \psi^*) \right] &= 0, \\ \frac{\partial}{\partial t}(\psi^* \psi) + \nabla \cdot \left[ \frac{i\hbar}{2m} (\psi \nabla \psi^* - \psi^* \nabla \psi) \right] &= 0. \end{aligned} \quad (6)$$

Eq. (6) has the form of a continuity equation

$$\frac{\partial}{\partial t} \rho + \nabla \cdot \mathbf{j} = 0,$$

where

$$\rho \equiv \psi^* \psi$$

is the probability density to find the particle in a particular position according to the Born rule, and thus

$$\mathbf{j} \equiv \frac{i\hbar}{2m} (\psi \nabla \psi^* - \psi^* \nabla \psi)$$

is interpreted as the probability current density.

### **An attempt at relativistic quantum mechanics**

The relativistic energy-momentum relation (for a free particle) is

$$E^2 = \mathbf{p}^2 c^2 + m^2 c^4.$$

Applying the operator  $H = i\hbar \frac{d}{dt}$  twice on the state  $|\psi\rangle$  and equating  $H$  with the relativistic energy (with  $\mathbf{p}$  now interpreted as an operator) leads to

$$-\hbar^2 \frac{d^2}{dt^2} |\psi\rangle = (\mathbf{p}^2 c^2 + m^2 c^4) |\psi\rangle,$$

which in the position representation is

$$-\hbar^2 \frac{\partial^2}{\partial t^2} \psi = (-\hbar^2 c^2 \nabla^2 + m^2 c^4) \psi,$$

or using natural units  $\hbar = c = 1$ ,

$$-\frac{\partial^2}{\partial t^2} \psi = (-\nabla^2 + m^2) \psi,$$

$$\left( \frac{\partial^2}{\partial t^2} - \nabla^2 + m^2 \right) \psi = 0. \tag{7}$$

The space-time gradient is given by

$$\partial_\mu = \left( \frac{\partial}{\partial t}, \nabla \right).$$

So we can write Eq. (7) as

$$(\partial^\mu \partial_\mu + m^2)\psi = 0. \quad (8)$$

which is the Klein-Gordon equation. The solutions of the free-particle Schrodinger equation are  $\psi \propto e^{-i(Et - \mathbf{p} \cdot \mathbf{x})}$ . We can try a solution of the Klein-Gordon equation on the same form. Since

$$\frac{\partial^2}{\partial t^2} e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} = (-iE)^2 e^{-i(Et - \mathbf{p} \cdot \mathbf{x})}$$

$$\nabla^2 e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} = (i\mathbf{p})^2 e^{-i(Et - \mathbf{p} \cdot \mathbf{x})},$$

we have from Eq. (7) that

$$[(-iE)^2 - (i\mathbf{p})^2 + m^2] e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} = 0,$$

$$-E^2 + \mathbf{p}^2 + m^2 = 0,$$

$$E^2 = \mathbf{p}^2 + m^2,$$

which is the relativistic energy-momentum relation. Note however that both positive and negative energies in the plane-wave solution  $e^{-i(Et - \mathbf{p} \cdot \mathbf{x})}$  will satisfy the Klein-Gordon equation, whereas only positive energies  $E = \mathbf{p}^2 / 2m$  satisfy the Schrodinger equation.

We can try to find a continuity equation corresponding to the Klein-Gordon equation by following the same procedure as with the Schrodinger equation. Then we end up with

$$\psi^* \partial_t^2 \psi - \psi^* \nabla^2 \psi + m^2 \psi^* \psi - \psi \partial_t^2 \psi^* + \psi \nabla^2 \psi^* - m^2 \psi^* \psi = 0,$$

$$\psi^* \partial_t^2 \psi - \psi \partial_t^2 \psi^* + \psi \nabla^2 \psi^* - \psi^* \nabla^2 \psi = 0,$$

$$\frac{\partial}{\partial t}(\psi^* \partial_t \psi - \psi \partial_t \psi^*) - \nabla \cdot (\psi \nabla \psi^* - \psi^* \nabla \psi) = 0.$$

Multiplying both sides by  $-i / 2m$  results in

$$\frac{\partial}{\partial t} \left[ \frac{i}{2m} (\psi \partial_t \psi^* - \psi^* \partial_t \psi) \right] + \nabla \cdot \left[ \frac{i}{2m} (\psi \nabla \psi^* - \psi^* \nabla \psi) \right] = 0,$$

where we recover the familiar probability current density from classical quantum mechanics. However, the term that is supposed to contain the probability density looks completely different. Substituting the plain-wave solutions of the Klein-Gordon equation into the "probability density" reveals that there is possibly a problem with the probability interpretation. Since

$$\partial_t \psi \propto \partial_t e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} = -iE e^{-i(Et - \mathbf{p} \cdot \mathbf{x})},$$

we have that

$$\begin{aligned} \rho &= \frac{i}{2m} (\psi \partial_t \psi^* - \psi^* \partial_t \psi) \\ &\propto \frac{i}{2m} \left\{ e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} \cdot [iE e^{i(Et - \mathbf{p} \cdot \mathbf{x})}] - e^{i(Et - \mathbf{p} \cdot \mathbf{x})} \cdot [-iE e^{-i(Et - \mathbf{p} \cdot \mathbf{x})}] \right\} \\ &= \frac{i}{2m} (iE + iE) = -\frac{E}{m}, \end{aligned}$$

where positive energies lead to a negative probability density.