# 1.2 Symbol Recognition with a CNN - Training the Model

August 5, 2019

## 1 1.2 Symbol Recognition with a CNN - Training the Model

In this notebook, we train a convolutional neural network that is capable of detecting mathematical symbols. Note that main goal of this exploration is to get a sense for what type of model might be a reasonable encoder for our seq2seq model. After all, the encoder must be able to effectively represent the various mathematical symbols present in formulas. In some ways, the formula problem is easier – since the input formulas are not handwritten – and in some ways it is a harder problem – since formulas can be quite long and complex. In any case, this exploration will serve as a reasonable starting point.

```
In [9]: import tensorflow as tf
        import numpy as np
        import pandas as pd
        import scipy
        import matplotlib.pyplot as plt
        import random
In [10]: print(tf.__version__)
         print(tf.test.is gpu available())
         print(tf.test.is_built_with_cuda())
         print(tf.test.gpu_device_name())
2.0.0-beta1
False
False
In [11]: import os
         ### Make sure our data is in order
         data_base_dir = "../data"
         figs_base_dir = "../figs"
         model_base_dir = "../models"
         original_data_path = data_base_dir + "/original/symbol/"
```

```
processed_data_path = data_base_dir + "/processed/symbol/"
pickle_data_path = data_base_dir + "/pickle/symbol/"
model_data_path = model_base_dir + "/symbol"

assert os.path.exists(original_data_path), "Original data path does not exist."
assert os.path.isdir(processed_data_path), "Original data path exists, but is not a d

if not os.path.exists(processed_data_path):
    print("Creating processed_data_path...")
    os.mkdir(processed_data_path)
```

#### 1.1 Load data

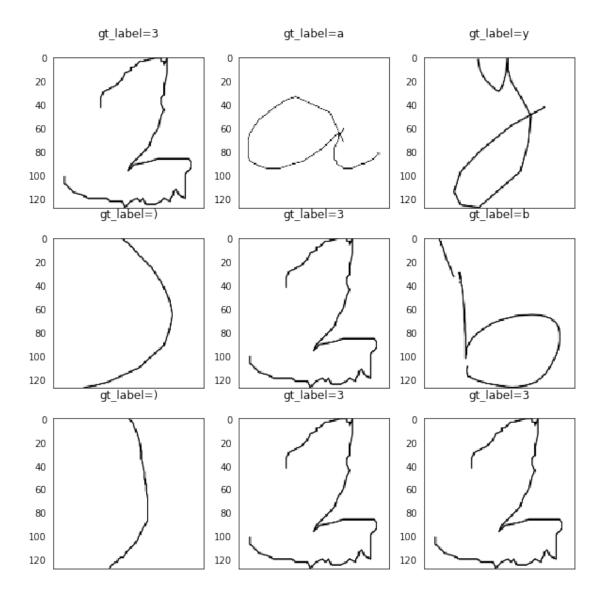
Below, we unpickle the data.

```
In [12]: import pickle
         with open(f"{pickle_data_path}labels.pickle", 'rb') as handle:
             unique_labels = pickle.load(handle)
             unique_labels.sort()
         with open(f"{pickle_data_path}train_labels.pickle", 'rb') as handle:
             train_labels = pickle.load(handle)
         with open(f"{pickle_data_path}train_images.pickle", 'rb') as handle:
             train_images = pickle.load(handle)
         with open(f"{pickle_data_path}test_labels.pickle", 'rb') as handle:
             test_labels = pickle.load(handle)
         with open(f"{pickle_data_path}test_images.pickle", 'rb') as handle:
             test_images = pickle.load(handle)
         print("Data loading complete.")
         print(f"Got {len(train_images)} training images and {len(train_labels)} labels.")
         print(f"Got {len(test_images)} test images and {len(test_labels)} labels.")
         print(f"Found {len(unique_labels)} total unique labels.")
Data loading complete.
Got 51 training images and 51 labels.
Got 31 test images and 31 labels.
Found 101 total unique labels.
```

Next, plot some sample images from the training dataset:

```
In [13]: import random
    def plot_indices(indices, images, labels, label_category_names, size=(128,128), metada
```

```
fig, ax = plt.subplots(nrows=3, ncols=3, figsize=(10,10))
    index = 0
    for row in ax:
        for col in row:
            # Get image & label
            idx = indices[index]
            image = tf.reshape(images[idx], size)
            label = labels[idx]
            if metadata is not None:
                meta = f"meta={metadata[index]}"
            else:
                meta = ''
            col.tick_params(
                axis='both',
                which='both',
                left=False,
                right=False,
                bottom=False,
                top=False,
                labelbottom=False,
            col.imshow(image, cmap='gray', vmin=0, vmax=1.0)
            col.set_title(f"gt_label={label}\n{meta}")
            index += 1
    if save and name is not None:
        print("Saving figure...")
        plt.savefig(name)
# Plot some random images
random_indices = [random.randint(0, len(train_images)) for i in range(0,9)]
plot_indices(random_indices, train_images, train_labels, unique_labels)
```



#### 1.1.1 Simple Binary classification model

As a basic sanity check, lets train a simple binary classication model that predicts if an image contains a '-' symbol. We will use a model with 2 dense layers trained with the Adam optimizer and a binary cross-entropy loss function (as appropriate for binary classification). The performance of this model should provide an upper bound on the performance we can expect for a k-class classification model.

In [15]: from tensorflow.keras import models, layers

```
#### Binary classification model ####
      learning_rate = 0.0001
      size = (128, 128)
      # if model is not None:
        del model
      model = models.Sequential([
         layers.Flatten(input_shape=(size[0], size[1])),
         layers.Dense(128, activation='relu'),
         layers.Dense(1, activation='sigmoid')
      ])
      model.summary()
      model.compile(optimizer=tf.optimizers.Adam(learning_rate),
                loss='binary_crossentropy',
                metrics=['accuracy'])
      binary_train_labels = [1 if unique_labels[label] == '-' else 0 for label in train_cat
      binary_test_labels = [1 if unique_labels[label] == '-' else 0 for label in test_cat]
Model: "sequential"
_____
Layer (type)
                   Output Shape
                                      Param #
______
                    (None, 16384)
flatten (Flatten)
_____
dense (Dense)
                   (None, 128)
                                      2097280
-----
                   (None, 1)
dense 1 (Dense)
______
Total params: 2,097,409
Trainable params: 2,097,409
Non-trainable params: 0
-----
In [16]: history = model.fit(
         train_images,
         binary_train_labels,
         validation_data=(test_images, binary_test_labels),
         batch_size=32,
         epochs=50)
WARNING: Logging before flag parsing goes to stderr.
```

W0804 15:17:22.177819 4499281344 deprecation.py:323] From /Users/erikbeerepoot/.virtualenvs/ml Instructions for updating:

Use tf.where in 2.0, which has the same broadcast rule as np.where

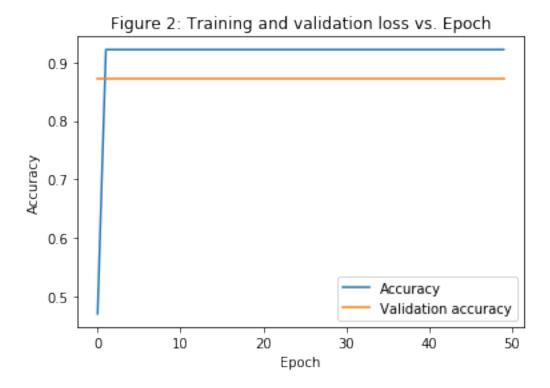
```
Train on 51 samples, validate on 31 samples
Epoch 1/50
Epoch 2/50
Epoch 3/50
Epoch 4/50
Epoch 5/50
Epoch 6/50
Epoch 7/50
Epoch 8/50
Epoch 9/50
Epoch 10/50
Epoch 11/50
Epoch 12/50
Epoch 13/50
Epoch 14/50
Epoch 15/50
Epoch 16/50
Epoch 17/50
Epoch 18/50
Epoch 19/50
Epoch 20/50
Epoch 21/50
```

```
Epoch 22/50
Epoch 23/50
Epoch 24/50
Epoch 25/50
Epoch 26/50
Epoch 27/50
Epoch 28/50
Epoch 29/50
Epoch 30/50
Epoch 31/50
Epoch 32/50
Epoch 33/50
Epoch 34/50
Epoch 35/50
Epoch 36/50
Epoch 37/50
Epoch 38/50
Epoch 39/50
Epoch 40/50
Epoch 41/50
Epoch 42/50
Epoch 43/50
Epoch 44/50
Epoch 45/50
```

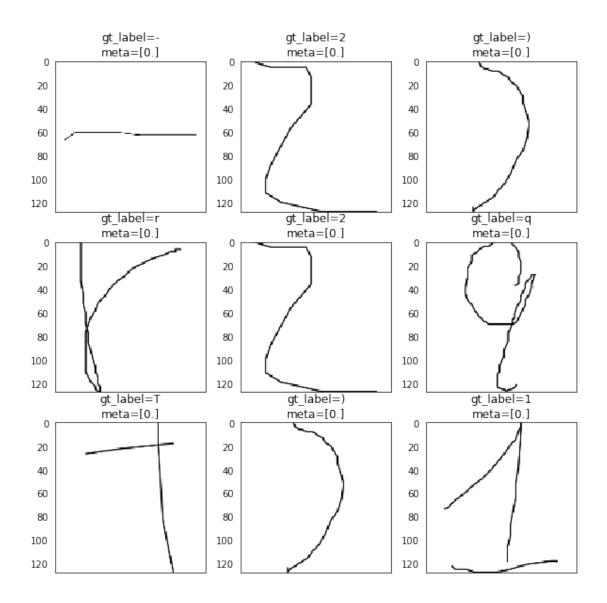
```
Epoch 46/50
Epoch 47/50
Epoch 48/50
Epoch 49/50
Epoch 50/50
In [17]: import pandas as pd
    def plot_history(history, filename=None):
      hist = pd.DataFrame(history.history)
      hist['epoch'] = history.epoch
      plt.figure()
      plt.xlabel('Epoch')
      plt.ylabel('Accuracy')
      plt.plot(hist['epoch'], hist['accuracy'], label='Accuracy')
      plt.plot(hist['epoch'], hist['val_accuracy'], label='Validation accuracy')
      plt.title("Figure 2: Training and validation loss vs. Epoch")
      plt.legend()
      if filename is not None:
        plt.savefig(filename)
      plt.show()
```

From the training plot below, at 50 epochs, we can see the training curve has not quite levelled out. Hence, we can improve our results by increasing the training time.

```
In [18]: plot_history(history, f"{figs_base_dir}/symbol-simple-accuracy.pdf")
```



Now, let's plot some sample predictions along with the ground truth labels and associated confidence to empirically gauge the quality of the predictions made. On each of the subplots, the ground truth label is denoted by gt\_label and meta indicates the confidence that this particular symbol is a -. Trained on enough data, the network can make reasonable predictions.



We can observe that the model is able to predict the correct label with confidence, but is prone to false positives.

Now, let's try a more complicated model.

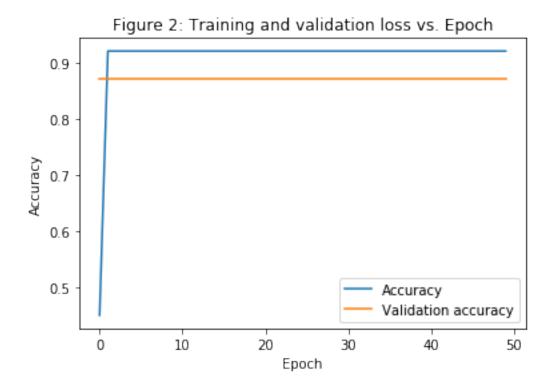
### 1.2 Binary classification with Convolutional Neural nets

```
# Add dense layers for classification
      conv_model.add(layers.Flatten(input_shape=(size[0], size[1], 1)))
      conv_model.add(layers.Dense(25, activation='relu'))
      conv_model.add(layers.Dense(1, activation='sigmoid'))
      conv_model.compile(optimizer=tf.optimizers.Adam(learning_rate),
                loss='binary crossentropy',
                metrics=['accuracy'])
      conv_model.summary()
Model: "sequential_2"
_____
Layer (type)
                   Output Shape
                                     Param #
______
conv2d_3 (Conv2D)
               (None, 126, 126, 32) 320
max_pooling2d_2 (MaxPooling2 (None, 63, 63, 32)
            (None, 61, 61, 64)
conv2d 4 (Conv2D)
max_pooling2d_3 (MaxPooling2 (None, 30, 30, 64)
_____
conv2d_5 (Conv2D) (None, 28, 28, 64) 36928
-----
flatten_2 (Flatten) (None, 50176)
_____
dense 4 (Dense)
                   (None, 25)
                                     1254425
dense_5 (Dense) (None, 1) 26
______
Total params: 1,310,195
Trainable params: 1,310,195
Non-trainable params: 0
______
In [28]: img_train = np.expand_dims(train_images,axis=3)
      img_test = np.expand_dims(test_images,axis=3)
      conv_history = conv_model.fit(
         img_train,
         binary_train_labels,
         validation_data=(img_test, binary_test_labels),
         batch_size=32,
         epochs=50)
Train on 51 samples, validate on 31 samples
Epoch 1/50
```

```
Epoch 2/50
Epoch 3/50
Epoch 4/50
Epoch 5/50
Epoch 6/50
Epoch 7/50
Epoch 8/50
Epoch 9/50
Epoch 10/50
Epoch 11/50
Epoch 12/50
Epoch 13/50
Epoch 14/50
Epoch 15/50
Epoch 16/50
Epoch 17/50
Epoch 18/50
Epoch 19/50
Epoch 20/50
Epoch 21/50
Epoch 22/50
Epoch 23/50
Epoch 24/50
Epoch 25/50
```

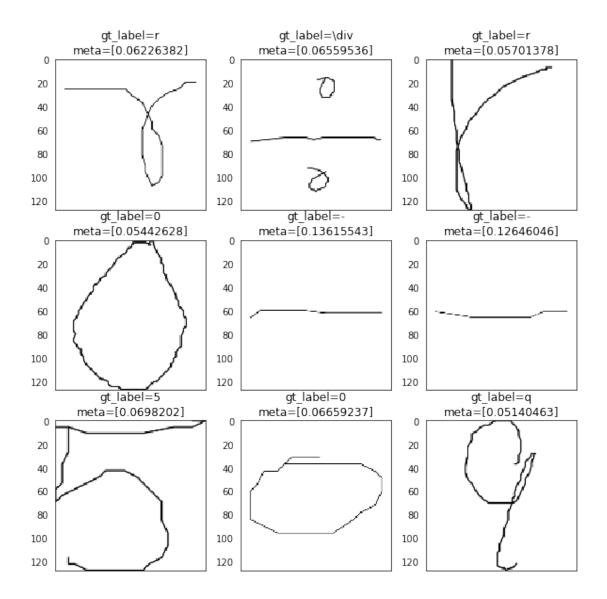
```
Epoch 26/50
Epoch 27/50
Epoch 28/50
Epoch 29/50
Epoch 30/50
Epoch 31/50
Epoch 32/50
Epoch 33/50
Epoch 34/50
Epoch 35/50
Epoch 36/50
Epoch 37/50
Epoch 38/50
Epoch 39/50
Epoch 40/50
Epoch 41/50
Epoch 42/50
Epoch 43/50
Epoch 44/50
Epoch 45/50
Epoch 46/50
Epoch 47/50
Epoch 48/50
Epoch 49/50
```

In [30]: plot\_history(conv\_history, f"{figs\_base\_dir}/symbol-conv-accuracy.pdf")



Again, we plot some examples with the ground truth label, and the confidence that this is a symbol. This model performs better.

/Users/erikbeerepoot/.virtualenvs/ml-tf1/lib/python3.7/site-packages/ipykernel\_launcher.py:6:



#### 1.3 Multi-class classification with Convolutional Neural nets

Using convolutional layers led to a increase in the accuracy of the model (of almost 4%). Emperically, the confidence level of the predictions in a given prediction has also increased – the model is more sure about both positive and negative predictions. Next, we will try to applying this same model but predict additional classes.

Here, we'll use sparse categorical cross entropy – this loss is appropriate when losses are computed over k classes, and labels are provided as integers.

First, compute those labels:

```
In [34]: train_labels_cat = [unique_labels.index(label) for label in train_labels]
     test_labels_cat = [unique_labels.index(label) for label in test_labels]
```

Next, create our deep convolutional model. Note the use of the softmax activation in the last layer with the number of units equal to the number of classes.

In [35]: from tensorflow.keras import metrics

```
# Add CNNs for features
       k_conv_model = models.Sequential()
       k_conv_model.add(layers.Conv2D(32, (3, 3), activation='relu', input_shape=(size[0], s
       k_conv_model.add(layers.MaxPooling2D((2, 2)))
       k_conv_model.add(layers.Conv2D(64, (3, 3), activation='relu'))
       k_conv_model.add(layers.MaxPooling2D((2, 2)))
       k_conv_model.add(layers.Conv2D(64, (3, 3), activation='relu'))
       # Add dense layers for classification
       k_conv_model.add(layers.Flatten(input_shape=(size[0], size[1], 1)))
       k_conv_model.add(layers.Dense(25, activation='relu'))
       k_conv_model.add(layers.Dense(len(unique_labels), activation='softmax'))
       k_conv_model.compile(optimizer=tf.optimizers.Adam(learning_rate),
                  loss=tf.losses.SparseCategoricalCrossentropy(),
                  metrics=[metrics.sparse_categorical_accuracy])
       k_conv_model.summary()
Model: "sequential_3"
  -----
Layer (type)
                      Output Shape
______
                    (None, 126, 126, 32) 320
conv2d_6 (Conv2D)
max_pooling2d_4 (MaxPooling2 (None, 63, 63, 32) 0
conv2d_7 (Conv2D)
               (None, 61, 61, 64) 18496
max_pooling2d_5 (MaxPooling2 (None, 30, 30, 64) 0
conv2d_8 (Conv2D) (None, 28, 28, 64) 36928
                     (None, 50176)
flatten_3 (Flatten)
  -----
dense_6 (Dense) (None, 25)
                                          1254425
dense_7 (Dense) (None, 101) 2626
_____
Total params: 1,312,795
Trainable params: 1,312,795
Non-trainable params: 0
```

#### Finally, let's train our model:

```
In [36]: k_conv_model_history = k_conv_model.fit(
  img_train,
  train_labels_cat,
  validation_data=(img_test, test_labels_cat),
  batch_size=32,
  epochs=50)
Train on 51 samples, validate on 31 samples
Epoch 1/50
Epoch 2/50
Epoch 3/50
Epoch 4/50
Epoch 5/50
Epoch 6/50
Epoch 7/50
Epoch 8/50
Epoch 9/50
Epoch 10/50
Epoch 11/50
Epoch 12/50
Epoch 13/50
Epoch 14/50
Epoch 15/50
Epoch 16/50
Epoch 17/50
Epoch 19/50
```

```
Epoch 20/50
Epoch 21/50
Epoch 22/50
Epoch 23/50
Epoch 24/50
Epoch 25/50
Epoch 26/50
Epoch 27/50
Epoch 28/50
Epoch 29/50
Epoch 30/50
Epoch 31/50
Epoch 32/50
Epoch 33/50
Epoch 34/50
Epoch 35/50
Epoch 36/50
Epoch 37/50
Epoch 38/50
Epoch 39/50
Epoch 40/50
Epoch 41/50
Epoch 42/50
Epoch 43/50
```

One more time, we plot some examples picked from the test set along with ground truth and predictions. The model does pretty well. We will try to use this architecture as the encoder for our seq2seq model.

/Users/erikbeerepoot/.virtualenvs/ml-tf1/lib/python3.7/site-packages/ipykernel\_launcher.py:6:

