

TECHNICAL ARCHITECTURE DOCUMENT



RIGACAP

# System Architecture & Technical Audit

Comprehensive technical documentation covering infrastructure, database schema, API surface, security architecture, deployment pipeline, and operational characteristics.

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v2.5.0 • 95 endpoints • 18 tables • 15K+ LOC

# Table of Contents

Infrastructure

1. System Overview & Architecture	3
2. AWS Infrastructure (Terraform)	3
3. CDN, DNS & SSL	4

Data Layer

4. Database Schema (PostgreSQL 15)	5
5. Data Pipeline & Caching	7

Application

6. Backend Services (FastAPI)	8
7. API Surface (95 Endpoints)	9
8. Frontend Architecture (React)	11

Operations

9. Security Architecture	12
10. CI/CD Pipeline	13
11. Monitoring & Performance	14
12. Dependencies & Versions	14

95

API ENDPOINTS

18

DB TABLES

22+

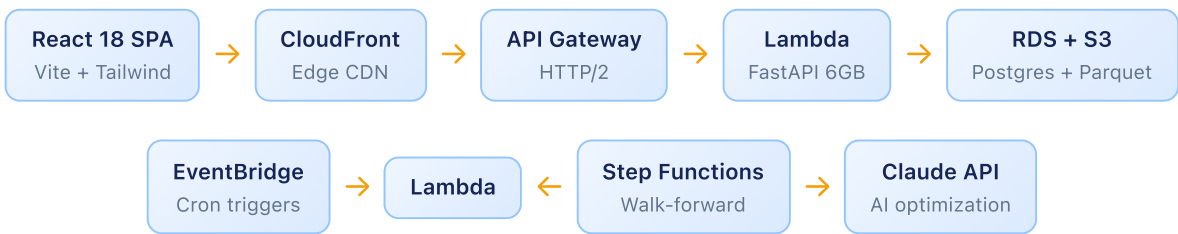
BACKEND SERVICES

15K+

LINES OF CODE

# 1. System Overview

RigaCap is a serverless, event-driven trading platform built on AWS. The system ingests market data from 6,500+ US equities, generates AI-powered trading signals, and delivers them to subscribers via a React dashboard and automated email pipeline. The entire backend runs on a single AWS Lambda function using FastAPI + Mangum for ASGI compatibility.



# 2. AWS Infrastructure

All infrastructure is managed via Terraform ( `infrastructure/terraform/main.tf` ). Resources are deployed in `us-east-1` .

## Compute

RESOURCE	TYPE	CONFIGURATION
Lambda Function	<code>rigacap-prod-api</code>	Container image (ECR), 6GB RAM, 900s timeout, VPC-attached
API Gateway	HTTP API (v2)	Custom domain: <code>api.rigacap.com</code> , CORS configured, HTTP/2
ECR Repository	<code>rigacap-prod-api</code>	Mutable tags, scan-on-push, keep last 5 images
Step Functions	<code>rigacap-prod-walk-forward</code>	Orchestrates Lambda for multi-period walk-forward simulations

## Storage

BUCKET	ACCESS	PURPOSE
<code>rigacap-prod-frontend-*</code>	Public (CloudFront origin)	React SPA static files (~1-2MB)
<code>rigacap-prod-price-data-*</code>	Private (Lambda IAM only)	Parquet price cache, chart cards, social images (50-100MB)

Database

RESOURCE	CONFIGURATION
RDS PostgreSQL 15	db.t3.micro , 20GB gp2, deletion protection enabled, asyncpg driver
Connection Pool	Pool size: 5, max overflow: 10, connect timeout: 3s, query timeout: 5s

Scheduled Events (EventBridge)

RULE	SCHEDULE	TARGET
Market Scanner	cron(0 21 ? * MON-FRI *) (4 PM ET)	Lambda → /api/signals/scan
Lambda Warmer	rate(5 minutes)	Lambda → /health

## 3. CDN, DNS & SSL

### CloudFront Distribution

SETTING	VALUE
Domains	<code>rigacap.com</code> , <code>www.rigacap.com</code>
Origin	S3 website endpoint (HTTP-only)
Protocol	Redirect HTTP → HTTPS
Price Class	PriceClass_100 (US, Canada, Europe)
Default TTL	1 hour (max 1 day)
SPA Routing	Custom error: 404 → <code>/index.html</code> (200)
SSL Certificate	ACM: <code>rigacap.com</code> + <code>*.rigacap.com</code> (DNS validated)

### Route53 DNS

RECORD	TYPE	TARGET
<code>rigacap.com</code>	A (alias)	CloudFront distribution
<code>www.rigacap.com</code>	A (alias)	CloudFront distribution
<code>api.rigacap.com</code>	A (alias)	API Gateway custom domain

## 4. Database Schema

PostgreSQL 15 with async driver ( `asyncpg` ) via SQLAlchemy 2.0 async sessions. All tables use auto-increment integer primary keys except `users` and `subscriptions` (UUID).

### Core Data Tables

#### `stock_data`

Daily OHLCV price data with computed indicators. One row per symbol per trading day.

<code>id</code> INTEGER PK	<code>symbol</code> VARCHAR(10) IDX
<code>date</code> DATETIME IDX	<code>open, high, low, close</code> FLOAT
<code>volume</code> FLOAT	<code>dwap, ma_50, ma_200</code> FLOAT

## signals

---

Trading signals generated by the scanner. Status lifecycle: active → executed/expired.

id INTEGER PK	symbol VARCHAR(10) IDX
signal_type VARCHAR(10) BUY/SELL	price, dwap, pct_above_dwap FLOAT
volume, volume_ratio FLOAT	stop_loss, profit_target FLOAT
is_strong BOOLEAN	status VARCHAR(20)
created_at, expires_at DATETIME	

## positions

---

Open/closed portfolio positions with trailing stop tracking.

id INTEGER PK	user_id UUID FK→users
symbol VARCHAR(10) IDX	entry_date, entry_price DATETIME, FLOAT
shares, stop_loss FLOAT	profit_target, highest_price FLOAT
signal_id INTEGER FK→signals	status VARCHAR(20) open/closed

## trades

---

Completed trade records with P&L tracking.

id INTEGER PK	user_id UUID FK→users
position_id INTEGER FK→positions	symbol VARCHAR(10) IDX
entry_date, exit_date DATETIME	entry_price, exit_price FLOAT
pnl, pnl_pct FLOAT	exit_reason VARCHAR(50)

## Authentication & Subscriptions

### users

User accounts with multi-provider OAuth support. UUID primary keys.

id	UUID	PK	email	VARCHAR(255)	UNIQUE	IDX
password_hash	VARCHAR(255)	NULLABLE	name	VARCHAR(255)		
role	VARCHAR(20)	admin/user	google_id, apple_id	VARCHAR(255)	UNIQUE	
stripe_customer_id	VARCHAR(255)	UNIQUE	is_active	BOOLEAN		
created_at, last_login	DATETIME					

### subscriptions

Stripe-managed subscription state. Status: trial/active/canceled/expired/past\_due.

id	UUID	PK	user_id	UUID	FK→users	UNIQUE
status	VARCHAR(20)		stripe_subscription_id	VARCHAR(255)		
stripe_price_id	VARCHAR(255)		trial_start, trial_end	DATETIME		
current_period_start/end	DATETIME		cancel_at_period_end	BOOLEAN		

## Strategy Management

### strategy\_definitions

Trading strategy configurations. Types: dwap, momentum, ensemble.

id	INTEGER	PK	name	VARCHAR(100)	UNIQUE
strategy_type	VARCHAR(50)		parameters	TEXT (JSON)	
is_active	BOOLEAN	IDX	source	VARCHAR(50)	manual/ai
is_custom	BOOLEAN				

### strategy\_evaluations

Performance evaluations for strategies

strategy_id	FK→strategy_definitions
total_return_pct, sharpe_ratio	FLOAT
max_drawdown_pct, win_rate	FLOAT
recommendation_score	FLOAT 0-100

### strategy\_switch\_history

Audit trail for strategy switches

from/to_strategy_id	FK→strategy_definitions
trigger	manual/auto_scheduled
score_before, score_after	FLOAT
reason	TEXT

## Simulation & Backtesting

### walk\_forward\_simulations

Walk-forward simulation runs with aggregate results. Trades stored as JSON to avoid 256KB Step Functions state limit.

id	INTEGER	PK	start_date, end_date	DATETIME
reoptimization_frequency	VARCHAR(20)		total_return_pct, sharpe_ratio	FLOAT
max_drawdown_pct	FLOAT		trades_json	TEXT (JSON array)
equity_curve_json	TEXT (JSON)		status	pending/completed/failed
is_daily_cache	BOOLEAN	IDX		

### social\_posts

AI-generated social content with approval workflow. Status: draft → approved → scheduled → posted/canceled.

id	INTEGER	PK	post_type	trade_result/missed_opportunity/recap/contextual_reply/instagram_comment_reply
platform	twitter/instagram/threads		status	VARCHAR(20)
text_content, hashtags	TEXT		image_s3_key	VARCHAR(500)
scheduled_for, posted_at	DATETIME		ai_generated	BOOLEAN
ai_model, ai_prompt_hash	VARCHAR		news_context_json	TEXT
source_trade_json	TEXT		reply_to_tweet_id	VARCHAR(50)
reply_to_thread_id	VARCHAR(50)		reply_to_instagram_comment_id	VARCHAR(50)
reply_to_instagram_media_id	VARCHAR(50)		reply_to_username	VARCHAR(100)

## Model Portfolio & Intelligence Tables

### model\_positions

Model portfolio positions (live, walkforward, ghost variants). Tracks entry/exit with signal replay data.

id	INTEGER	PK	portfolio_type	VARCHAR(20)	IDX
symbol	VARCHAR(10)	IDX	entry_date, entry_price	DATETIME, FLOAT	
shares, cost_basis	FLOAT		highest_price, exit_price	FLOAT	
exit_reason, status	VARCHAR		signal_data_json	TEXT (JSON)	
autopsy_json	TEXT (JSON)				– AI trade post-mortem



## regime\_forecast\_snapshots

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Daily regime forecast persistence for historical accuracy tracking and heatmap visualization.

<code>id</code>	<code>INTEGER</code>	<code>PK</code>	<code>snapshot_date</code>	<code>DATETIME</code>	<code>UNIQUE</code>	<code>IDX</code>
<code>current_regime</code>	<code>VARCHAR(30)</code>		<code>probabilities_json</code>	<code>TEXT (JSON)</code>	<code>– 7 regime probabilities</code>	
<code>outlook</code>	<code>stable/deteriorating/improving</code>			<code>recommended_action</code>	<code>VARCHAR(30)</code>	
<code>spy_close, vix_close</code>			<code>FLOAT</code>			

## 5. Data Pipeline & Caching

### yfinance Ingestion

Daily download of 252-day rolling OHLCV for 100+ symbols. Split/dividend adjusted. Free API, no key required.

### S3 Parquet Cache

Price data persisted to S3 as parquet files. Lambda loads on startup via `/api/warmup` endpoint. 7-day TTL.

### In-Memory Cache

ScannerService maintains `data_cache: Dict[str, DataFrame]` in Lambda memory. 600-800MB typical footprint.

### CDN Pre-computation

Dashboard JSON exported to S3 daily. CloudFront serves with 1-hour TTL for zero-latency dashboard loads.

## 6. Backend Services

22+ singleton service classes comprising 15,000+ lines of Python. All services are instantiated at module level and imported globally. The Lambda function uses Mangum for ASGI-to-Lambda translation.

SERVICE	LOC	RESPONSIBILITY
ScannerService	940	Market scan, signal generation, data cache management
BacktesterService	1,255	Historical backtesting, portfolio simulation, performance metrics
WalkForwardService	1,907	Step Functions orchestration, period-by-period evaluation, AI optimization
EmailService	2,358	Subscriber emails: welcome, daily digest, sell alerts, password reset
SchedulerService	1,454	APScheduler background jobs, nightly digest, social post scheduling
ModelPortfolioService	1,200	Dual live/WF portfolio tracking, ghost portfolios, what-if calculator
MarketRegimeService	882	7-regime market classification using SPY indicators
DataExportService	827	S3 data export/import, CDN pre-computation, parquet persistence
SocialContentService	759	Template-based post generation (fallback for AI service)
AutoSwitchService	683	Automated strategy switching with scoring and cooldown
StockUniverseService	461	Stock universe management, sector classification, ETF filtering

SERVICE	LOC	RESPONSIBILITY
StrategyAnalyzerService	437	Multi-strategy comparison and performance attribution
StrategyGeneratorService	434	Optuna-based parameter optimization, AI strategy generation
ChartCardGenerator	346	1080×1350 (4:5) matplotlib chart cards with brand styling
PostSchedulerService	292	Post scheduling, notification pipeline, cancel mechanism
AIContentService	287	Claude API integration for AI-powered content generation
SocialPostingService	420	Twitter API v2 + Instagram Graph API + Threads API publishing
ReplyScannerService	650	Multi-platform contextual reply scanner (Twitter + Threads)
InstagramCommentService	200	Instagram comment monitoring + Claude-powered reply generation
TradeAutopsyService	175	Claude-powered forensic post-mortem analysis for closed trades
RegimeForecastService	185	Daily regime forecast storage, accuracy tracking, heatmap data

**Lambda Cold Start Mitigation:** Database connections are initialized lazily on first request (Lambda init has a 10-second timeout). An EventBridge rule pings `/health` every 5 minutes to keep the Lambda warm. Post-deploy, `/api/warmup` preloads S3 data into memory.

## 7. API Surface (97 Endpoints)

### Authentication ( /auth ) — 9 endpoints

METHOD	PATH	DESCRIPTION
POST	/auth/register	Email/password signup with Turnstile verification
POST	/auth/login	Email/password login, returns JWT access + refresh tokens
POST	/auth/refresh	Refresh access token using refresh token
GET	/auth/me	Current user profile
POST	/auth/google	Google OAuth signup/login
POST	/auth/apple	Apple Sign In signup/login
POST	/auth/forgot-password	Send password reset email
POST	/auth/reset-password	Reset password with secure token
POST	/auth/logout	Invalidate current tokens

### Signals ( /signals ) — 14 endpoints

METHOD	PATH	AUTH
GET	/signals/scan	Subscriber (runs full market scan)
GET	/signals/latest	Subscriber
GET	/signals/dashboard	Subscriber (comprehensive data)
GET	/signals/momentum-rankings	Subscriber
GET	/signals/missed	Subscriber (missed opportunities)
GET	/signals/double-signals	Subscriber (multi-confirmation)
GET	/signals/watchlist	Subscriber
GET	/signals/approaching-trigger	Subscriber
GET	/signals/symbol/{symbol}	Subscriber
GET	/signals/info/{symbol}	Subscriber
GET	/signals/cdn-url	Subscriber

METHOD	PATH	AUTH
GET	/signals/memory-scan	Subscriber
POST	/signals/backfill	Admin
GET	/signals/simulate-intraday-crossover	Admin

## Billing ( /billing ) — 5 endpoints

METHOD	PATH	DESCRIPTION
POST	/billing/create-checkout	Create Stripe Checkout session (7-day trial, CC required)
POST	/billing/portal	Create Stripe Customer Portal session
GET	/billing/subscription	Get current subscription status
POST	/billing/sync	Sync subscription state from Stripe
POST	/billing/webhook	Stripe webhook receiver (signature verified)

## Social ( /social ) — 18 endpoints

METHOD	PATH	DESCRIPTION
GET	/social/posts	List posts (filter by status, type, platform)
POST	/social/posts/compose	Create new draft post
POST	/social/posts/{id}/approve	Approve for publishing
POST	/social/posts/{id}/reject	Reject with reason
POST	/social/posts/{id}/publish	Publish immediately
POST	/social/posts/{id}/schedule	Schedule for future date
POST	/social/posts/{id}/cancel	Cancel scheduled post
POST	/social/posts/{id}/regenerate-ai	Re-generate via Claude API
POST	/social/posts/{id}/edit	Edit draft content
DELETE	/social/posts/{id}	Delete draft
GET	/social/posts/{id}/cancel-email	One-click cancel via JWT link
POST	/social/generate-chart/{id}	Generate chart card image
GET	/social/stats	Publishing statistics

METHOD	PATH	DESCRIPTION
GET	/social/posts/{id}/approve-email	One-click approve via JWT link
POST	/social/posts/{id}/requeue	Requeue rejected post as new draft

## Admin ( /admin ) — 50+ endpoints

All admin endpoints require `role=admin` AND email in `ADMIN_EMAILS` allowlist. Categories include: strategy management (20+), user management (6), market regime analysis (4), walk-forward simulation (8), backtesting (2), data debugging (2), model portfolio (10), trade autopsies (2), ghost comparison (1), regime forecast (3), what-if calculator (1).

## 8. Frontend Architecture

React 18

UI FRAMEWORK

Vite 5

BUILD TOOL

Tailwind 3

CSS FRAMEWORK

### Component Architecture

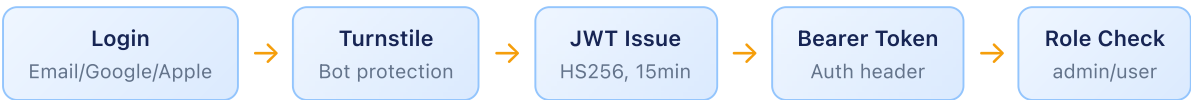
COMPONENT	SIZE	RESPONSIBILITY
App.jsx	171 KB	Main dashboard: portfolio, signals, charts, auth, time-travel (admin)
WalkForwardSimulator.jsx	67 KB	Configure/run simulations, equity curves, trade analysis
SocialTab.jsx	55 KB	Post queue, AI generation, scheduling, chart cards, publishing
FlexibleBacktest.jsx	39 KB	Backtest config, strategy comparison, exit testing
AdminDashboard.jsx	42 KB	Strategy/user management, model portfolio, ghost comparison, regime forecast, what-if calculator, trade autopsies
LandingPage.jsx	30 KB	Marketing: hero, features, pricing, FAQ, social proof
StrategyEditor.jsx	16 KB	Custom strategy creation and editing
AutoSwitchConfig.jsx	15 KB	Auto-switch rule configuration
LoginModal.jsx	14 KB	Multi-provider auth: email, Google, Apple, Turnstile
StrategyGenerator.jsx	12 KB	AI strategy generation interface
LegalPages.jsx	11 KB	Terms, Privacy Policy, Financial Disclaimer
PasswordReset.jsx	9 KB	Forgot/reset password flow
SubscriptionBanner.jsx	9 KB	Trial countdown, upgrade CTA

### Key Frontend Libraries

- **Recharts** — Dashboard charts, equity curves
- **Axios** — HTTP client with JWT interceptor
- **React Router v6** — SPA routing
- **Lucide React** — SVG icon library
- **react-hot-toast** — Notification system
- **date-fns** — Date formatting/manipulation
- **clsx** — Conditional classname utility
- **Puppeteer** — Headless browser (chart rendering)

## 9. Security Architecture

### Authentication Flow



LAYER	IMPLEMENTATION
Password Hashing	bcrypt via passlib (auto-configured cost factor)
JWT Tokens	HS256, 15-min access / 7-day refresh, python-jose library
OAuth Validation	Google: ID token sig via public keys. Apple: JWKS with 1hr cache
Bot Protection	Cloudflare Turnstile on registration endpoint
CORS	Whitelist: rigacap.com, www.rigacap.com, localhost dev ports
Admin Guard	Role check + ADMIN_EMAILS allowlist (double enforcement)
Subscription Gate	<code>require_valid_subscription</code> dependency on signal endpoints
Payment Security	Stripe Checkout (PCI DSS), webhook signature verification
S3 Access	Price data bucket: all public access blocked, IAM-only
HTTPS	ACM certificates, CloudFront redirect HTTP→HTTPS
Email Cancel Links	JWT-signed one-click cancel URLs for social post management



## 10. CI/CD Pipeline

Fully automated deployment via GitHub Actions on push to `main`. Zero-downtime updates via Lambda container image swaps.

```
# Trigger: push to main OR pull request to main

Stage 1: Test
Backend: Python 3.11 → pip install → pytest -v
Frontend: Node 18 → npm ci → npm run lint → npm test

Stage 2: Deploy (only on main push)
1. npm run build → S3 sync → CloudFront invalidation
2. Pre-deploy: export price data to S3
3. Docker build (linux/amd64, --provenance=false) → ECR push
4. Lambda update-function-code → new image
5. Post-deploy: /api/warmup (load S3 data into memory)
```

**Critical Lambda Build Requirement:** Docker BuildKit creates multi-platform manifests with attestations that Lambda rejects. All builds use `--provenance=false` `--sbom=false` flags for compatibility.

## 11. Monitoring & Performance

### Current Monitoring

COMPONENT	METHOD	RETENTION
Lambda Logs	CloudWatch (Python logging)	30 days
API Gateway	CloudWatch metrics (4xx, 5xx)	14 days
Step Functions	CloudWatch log group (ERROR level)	30 days
Database	RDS console metrics	14 days

### Performance Characteristics

OPERATION	LATENCY	RESOURCE
Dashboard load	<2 seconds	Pre-computed JSON from CDN

OPERATION	LATENCY	RESOURCE
Signal scan (100+ symbols)	30–45 seconds	yfinance download + calculation
Single backtest (90d, 100 symbols)	20–40 seconds	Lambda 6GB memory
Walk-forward (5yr, 26 periods)	10–30 minutes	Step Functions → Lambda
AI content generation	2–5 seconds	Claude API (Sonnet 4.5)
Chart card generation	1–3 seconds	matplotlib (Lambda, 6GB)

Resource Utilization

6 GB

LAMBDA MEMORY

~800 MB

TYPICAL USAGE

20 GB

RDS STORAGE

~100 MB

S3 PRICE DATA

12. Dependencies

Backend (Python 3.11)

- FastAPI 0.109.0 + Uvicorn 0.27.0
- SQLAlchemy 2.0.25 + asyncpg 0.29.0
- Pydantic 2.5.0
- pandas 2.1.4 + numpy 1.26.3
- yfinance ≥1.1.0
- Optuna ≥3.5.0
- matplotlib ≥3.8.0
- boto3 1.34.0 + Mangum 0.17.0
- Stripe 7.10.0
- python-jose 3.3.0 + passlib 1.7.4
- APScheduler 3.10.4
- httpx 0.26.0 (Claude API client)

Frontend (Node 18)

- React 18.2.0
- Vite 5.0.0
- TailwindCSS 3.3.5
- React Router 6.20.0
- Recharts 2.10.0
- Axios 1.6.0
- Lucide React 0.292.0
- Puppeteer 24.37.3
- ESLint 8.53.0
- Vitest 0.34.6



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