

## \* Hierarchy:

### 1) Top Down:

- Start with a general model, and add restrictions to get the other members.

### 2) Bottom Up:

- Start with the simplest models, and add more functionality to get a general model.

\* Finite Automaton: (Denoted  $M, M_1, \dots$ )  
                        (Read input character by character)

\* • Alphabet ( $\Sigma$ ) = Set of Symbols used to define a language.

• Language = Set of strings of a given alphabet.

⇒ Every such machine will have a finite number of states, denoted by  $(Q)$ .

•  $q_0 \in Q$  is the start state

• A set  $(F) \subseteq Q$  are called final/accepted states.

⇒ State transition function denoted by  $\delta$ .

• Domain of  $\delta = Q \times \Sigma$  Input Symbols  
                            ↑  
                    Current State

• Range of  $\delta = Q$  Next State

- Computing on a finite automata,

$$M = (Q, \Sigma, q_0, \delta, F)$$

$\Rightarrow M$  starts at  $q_0$

$\Rightarrow$  Input are symbols  $\in \Sigma$

$\Rightarrow \delta$  gives us next state from current state and input.

$\Rightarrow$  On reaching a state in  $F$ , we have found our final state.

\*  $\Rightarrow$  A machine is said to accept an input if it would lead the machine to reach one of its final states ( $F$ ).

\*  $\bullet$  Language accepted by a machine:

$$L(M) = \{w \mid M \text{ accepts } w\}$$

Machine      String  
example      (Input)

Note: Computation is a sequence of state transitions taken by the machine for an input  $w$ .

\* Regular Languages:

- Languages that are accepted by some finite automata are called regular languages.

- \* Note:  $L1 \cup L2 = \{w \mid w \in L_1 \text{ or } w \in L_2\}$
- \*  $L1 \circ L2 = \{w_1 w_2 \mid w_1 \text{ in } L1 \text{ and } w_2 \text{ in } L2\}$   
Concatenation
- Star  $L^* = \{w_1 w_2 \dots w_k \mid k \geq 0, w_i \text{ in } L \text{ for } 1 \leq i \leq k\}$   
We get  $\epsilon$  for  $k=0$ .
- \* Note:  $\epsilon = \text{Empty String}$

## Closure of Languages: (Regular)

- \* 1) Union: (This also works if the alphabets of  $L_1$  &  $L_2$  are different, complete proof)
  - Let  $L_1$  &  $L_2$  be two regular languages with finite automata  $M_1$  &  $M_2$  that recognize the languages respectively.
  - For  $L = L_1 \cup L_2$  to be regular, we need to show there exists some  $M$  which accepts  $L$ .
  - We can define our machine  $M$  as,
  - if  $((q_1, q_2), a) \rightarrow (q'_1, q'_2)$   
 where  $q'_1 = s_1(q_1, a)$  } For  $M_1$   
 $q'_2 = s_2(q_2, a)$  } For  $M_2$
  - $\Rightarrow M$ 's states are tuples  $(p, s) \in Q$
  - Accepted states of  $M$  are  $\rightarrow p$  accepted in  $M_1$  and  $s$  accepted in  $M_2$ .

Ans. We have formulated our finite automata  $M$ . So the union is also a regular language.

## \*2) Concatenation:

\*

$$\bullet L = L_1 \circ L_2$$

$\Rightarrow$  Given an input  $w$ , ~~we know~~ if we can find  $w = w_1 \circ w_2$  with  $w_1$  in  $L_1$  &  $w_2$  in  $L_2$ , then we are done.

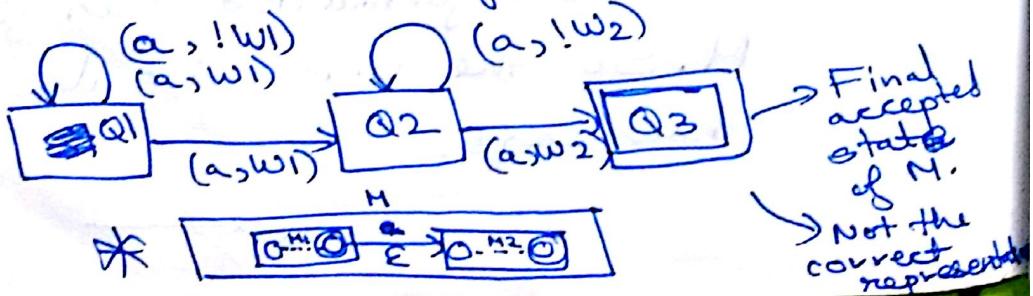
- If we know where  $w_1$  ends &  $w_2$  begins in  $w$ , then our machine  $M$  could run  $M_1$  till  $w_1$  ends & then run  $M_2$  till  $w_2$  ends & if both are true, then our machine  $M$  is defined.

\*  $\Rightarrow$  We use non-determinism to solve this.

- From a state, on a given input we can go to 0 or more resultant states.
- If any of these states possible are final states, then we consider the input to be accepted.

$\Rightarrow$  Now using non-determinism, our machine  $M$  will keep checking for  $M_1$  on  $w$ , and if we get an accepted state on  $M_1$  at some part of  $w$ , we then go to checking with  $M_2$  as well as continuing check with  $M_1$ .

Since this part of the string might also be a prefix of another  $w_1$ .

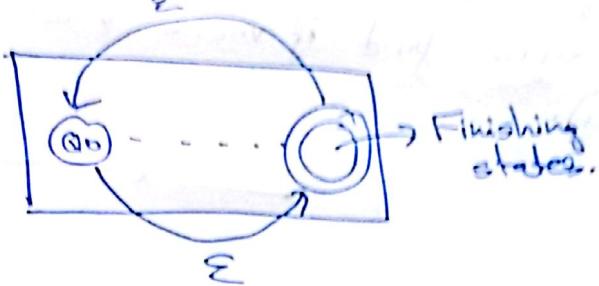


### \* 3) Star:

$L \rightarrow L^*$

- This can be thought of as similar to concatenation.

- With the help of non-determinism,



\* NFA is equivalent to DFA: (Example at the back of the book)

- Suppose our NFA,  $S(q, a) = \{q_1, q_2, \dots\}$

$\Rightarrow$  Now let us consider a DFA  $S_N$  with the states to be the powerset of the states of N.

$$\therefore S_N(\langle S \rangle, a) = \bigcup_{s \in S} S_N(s, a)$$

↓                              ↑  
Deterministic                  Non-deterministic  
Union

- So now, if our set  $\langle S \rangle$  consists of a goal state, we can say, our automata accepted the input. ( $M$  starts at  $\{\varnothing\}$ )

\* Note: Suppose the input  $\epsilon$  is given to the DFA defined above, then what would happen?

$\Rightarrow$  It would be similar to the transition from one  $M_1$  to  $M_2$  as defined previously. (We could add another ~~or~~ numbers to our set which can determine if we are in  $M_1$  or  $M_2$  and proceed) (Textbook)

## \* Redundancy of States:

- If a state has no incoming states, then that state is redundant.
- ⇒ All states which only have redundant incoming states are also redundant.  
(Think of it as an iterative process, find all redundant, then again find if more have been added and so on).

## \* Regular Expressions:

- $R = a$
- $R = \epsilon$
- $R = \emptyset$
- $R = R_1 \cup R_2$
- Any of these  $R$ 's are defined as regular expressions where  $R_1$  &  $R_2$  are regular expressions.

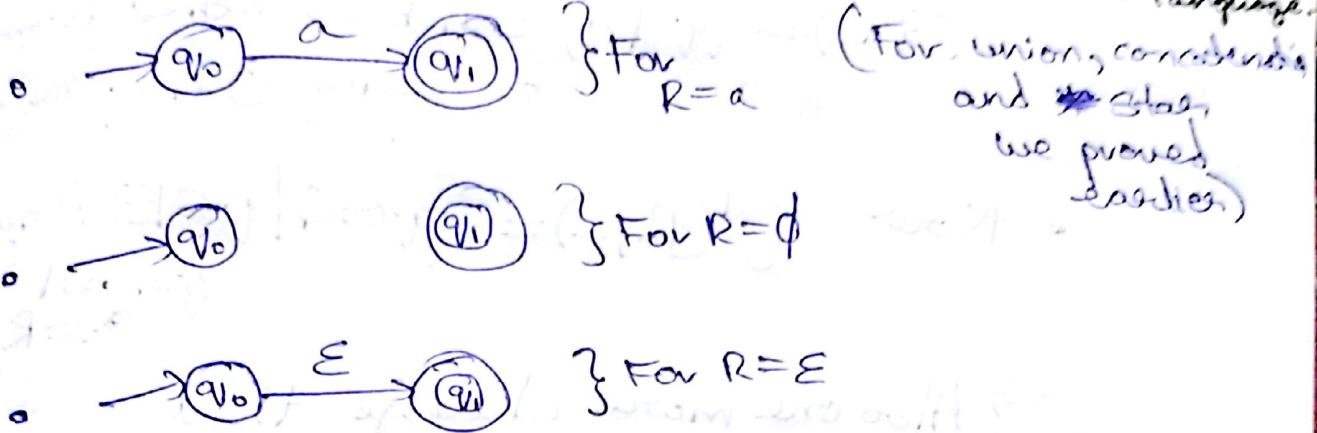
$$R = R_1 \circ R_2$$

$$R = (R_1)^*$$

⇒  $(\Sigma^*)$  matches any length of any of our alphabet  $\Sigma$ . (Matches any string made from characters of  $\Sigma$ )

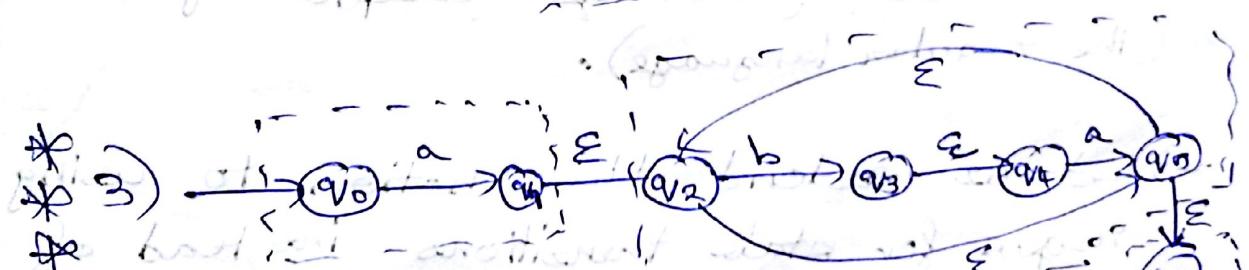
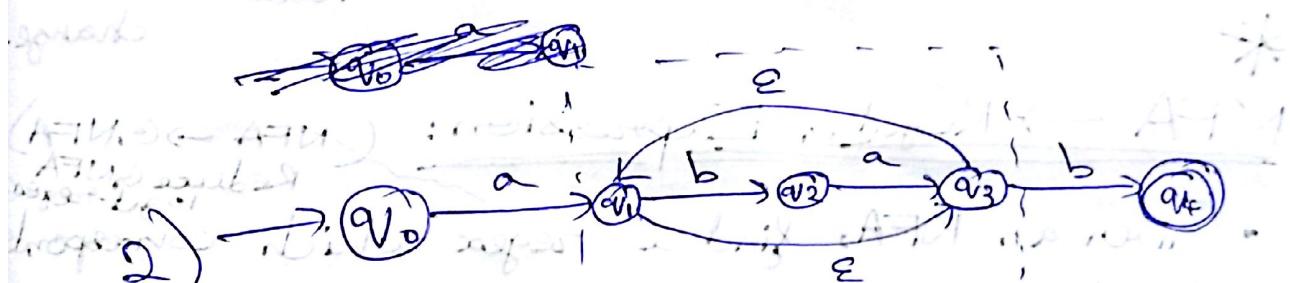
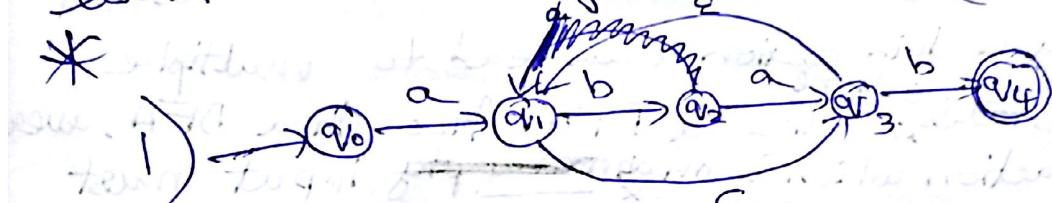
Note: Every regular language has an NFA which can recognize it, so it has a DFA which can recognize it).

- \* Regular Expression  $\rightarrow$  NFA :
- We can show that a regular expression can be described by an NFA, so a regular expression corresponds to a regular language.



$\Rightarrow$  Smaller RE's are ones which require lesser no. of operations to build them. (Using the given 6 operations/definitions).

\* ex: Draw NFA for  $R = a(ba)^*b$



The 3rd one is the best

Since we use similar methods like concatenation & star proofs.

~~Note~~: In ~~NFA~~ to DFA conversion,  
suppose we allow  $\epsilon$  inputs as well, then let,

$E(R) = \{q \mid q \text{ can be reached from } s_0 \text{ by using } 0 \text{ or more } \epsilon\}$

- Now  $\delta'(R, a) = \{q \in Q \mid q \in E(\delta(q, a)) \text{ for all } a \in R\}$
- $\Rightarrow$  Also one more change that needs to be done is, to consider all  $E(q_0)$  to be the start states instead of just  $q_0$ .

~~Note~~: An NFA is where a certain state on a certain action can lead to multiple states, unlike a DFA. Also in a DFA, every action which is on a ~~single~~ input must lead to a state change.

\* NFA  $\rightarrow$  Regular Expression: ( $NFA \rightarrow GNFA$ )  
Reduce  $GNFA$  to get regex

- Given an NFA, find a regex which corresponds to the set of strings accepted by the NFA (the regular language).

$\Rightarrow$  Let us extend this notion to using regex for state transitions instead of just symbols.

- G<sub>n</sub>NFA  $\rightarrow$  Generalized NFA.
- In a G<sub>n</sub>NFA, there are no incoming transitions to the start state & no outgoing transitions from the end state. A single start & accept state. Transitions can be on regular expressions.

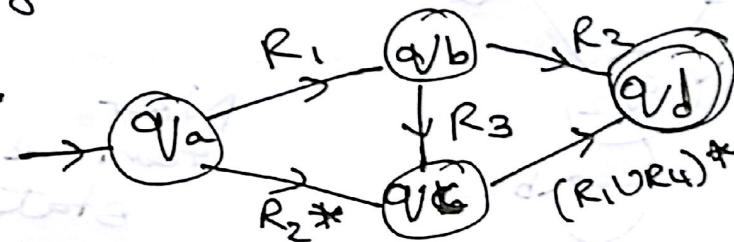
To convert an NFA to a G<sub>n</sub>NFA, add

- \* a new start state with arcs on  $\epsilon$  to all start states, and add a new end state with arcs on  $\epsilon$  ~~to~~ from all end states.

Now G<sub>n</sub>NFA  $\rightarrow$  RE,

$\Rightarrow$  We then try to remove states from our NFA, one by one, to get our final regex.

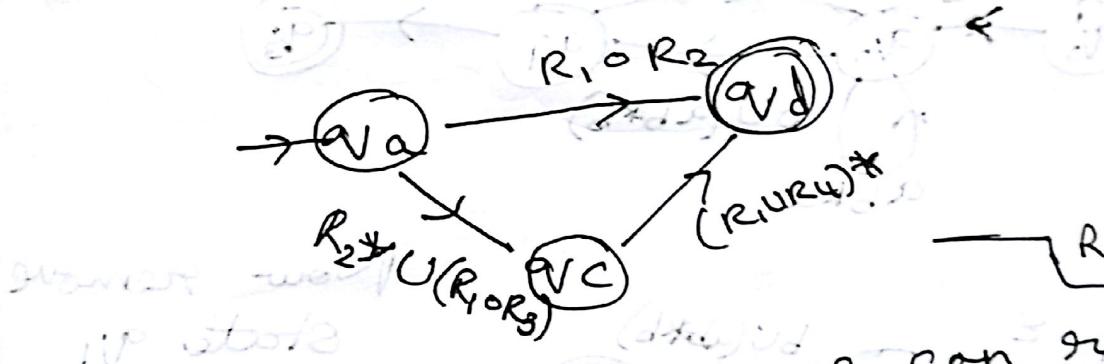
so:



- Suppose the state we are removing has a self loop, then the regex from  $q_i \rightarrow q_i$  after removing  $q_x$  is,

$R_{i,j} \cup R_{i,i}$

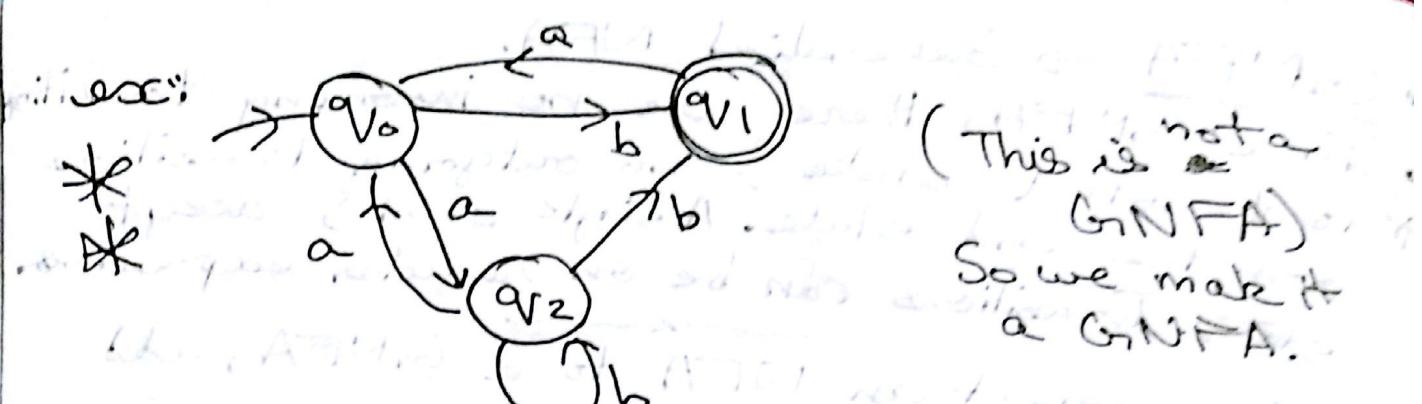
$\Downarrow$  Remove  $q_1b$



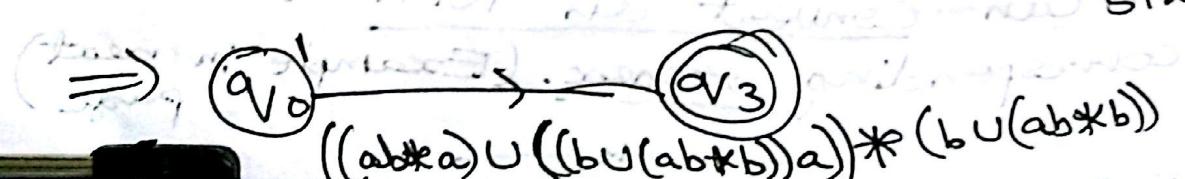
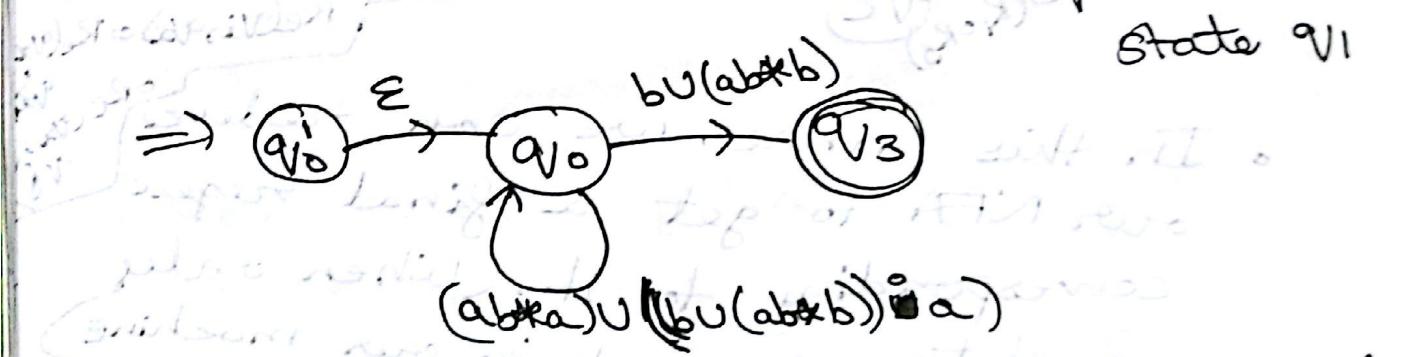
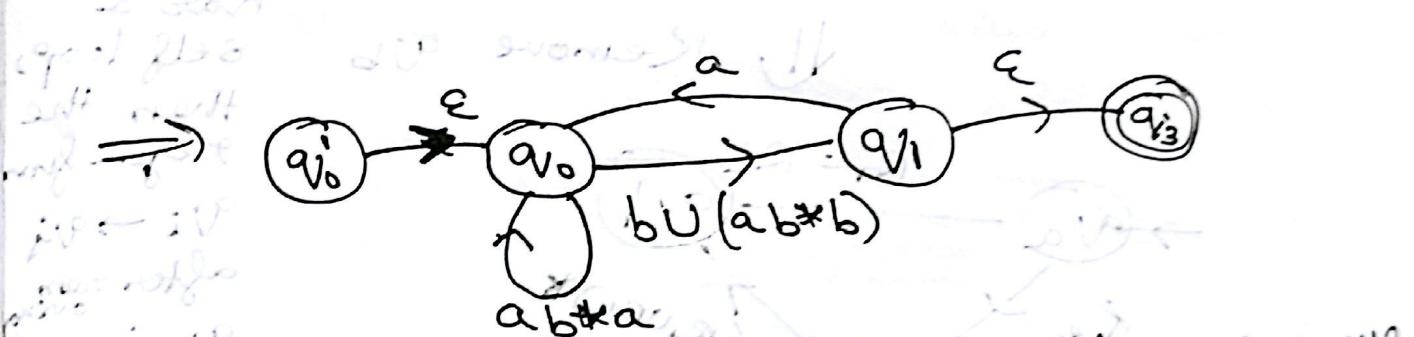
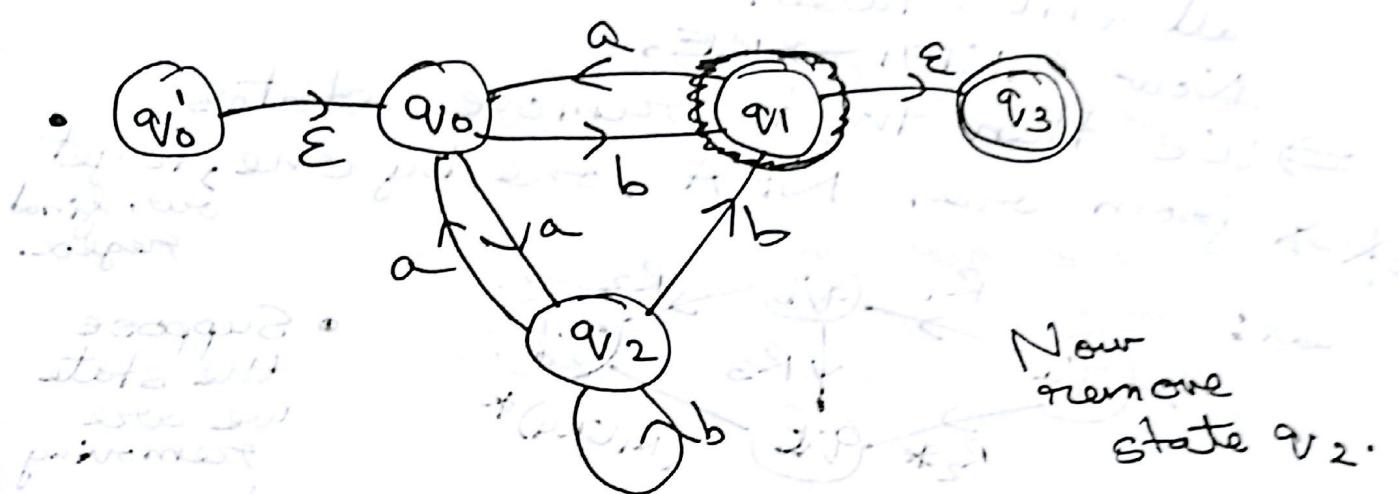
- In this manner we can reduce over NFA to get a final regex corresponding to it. (When only 2 states are left in our machine)

However wait

- We can convert an NFA to its corresponding regex. (Example in next page)



Now we try remove node  $q_2$ ,  
 After we get a GNFAs.



- \* Non Regular Languages: (Generally  $\infty$  states)
  - ex:  $0^n | n \geq 0 \rightarrow$  This expression does not have a DFA which accepts the expression.
  - ex:  $WW^R \rightarrow$  Palindrome
    - for  $w$  in  $\{0, 1\}^*$
    - length pumping with strings that tie

- \* Pumping Lemma:

Statement: 

$\Rightarrow$  Given a regular language  $L$ , there exists  $p = \text{Pumping length}$ , such that all strings  $w$  in  $L$  of length  $\geq p$  can be split as  $w = xyz$  such that  $x, y, z \in \Sigma^*$  and  $|xy| \leq p$  and  $|xyz| \leq p$  and  $xy^i z \in L \forall i \geq 0$ .

- $i \geq 0, xy^i z \in L \Rightarrow$  Belongs to  $L$ .
- $|xy| > 0 \wedge |xyz| \leq p \Rightarrow$  Length of string  $y$  & total length of  $(x \cdot y)$  - and  $x$  &  $z$  do not contain  $y$ .
- $|xyz| \leq p \Rightarrow$   $x, y, z$  are disjoint parts.

\* Note: If the no. of strings accepted by a machine is greater than no. of states, then some states repeat, so we can see that these cycles correspond to  $y$ ,  $w = xyz$ .

$\Rightarrow$  We can let the pumping length to be  $\Rightarrow$  No. of states in DFA  $M$  (Accepting  $L$ )

(If a DFA has  $N$  states, without repetition of states it can only accept strings  $\leq N-1$  length)

\* So if  $|w| \geq p$ , states repeat.

If  $\therefore$  These parts blur where the state repeats  
can be treated as  $y$ .

\* we can pick  $xy$  such that

$\Rightarrow$  We can see that  $|x| + |y| \leq p$ , since we

\* will definitely get a repeat state on string of length  $= p$  or greater, so we can set  $x \& y$ .

\* ex: We can use pumping lemma to show

\* that a language,  $L$  is not regular.

ex:  $L = \{0^n 1^n \mid n \geq 0\}$

→ Let us assume  $L$  is a regular language.  
So it must satisfy the pumping lemma with pumping length  $p$ .

• Let us consider  $w = 0^p 1^p \in L$ ,  
 $|w| \geq p$ , we will show that we  
cannot divide  $w$  into  $w = xyz$ .

→ If  $y$  only has zeros,  $xyyz$  will have  
more no. of zeros than 1's.

Similarly  $y$  cannot have only 1's.

→ So  $y$  contains both 0's & 1's, but  
then on repetition  $\Rightarrow xyz \rightarrow$  all  
0's & all 1's won't be on two  
sides of the string.

∴ We cannot pick a  $y$ ,  $|y| > 0$  such  
that  $xyz \in L$ .

∴ Our assumption was false,  $L$  is  
not a regular language.

\*\* ex: If  $L = \text{Strings with equal no of 0's \& 1's}$

→ Proof as above; but if  $y = 0^p$  etc

then  $xyyz \in L$ , but we can find  
a  $w = 0^p 1^p$ , where ~~such that~~  $|xy| > p$

but for any  $y$ ,  $xyyz \in L$  but  $|xy| > p$

⇒ Min  $y = 0^1$ , but  $0^{p-1} 0^1 0^{p-1} = w$

$$\text{But } xyz = 0^{p-1} \cdot 01 \cdot 01 \cdot 1^{p-1}$$

$\Rightarrow |xyz| = p+k$ , so we cannot pick any  $y$  for this  $w$ .

$\therefore L$  cannot be a regular language

## \* Grammars:

- Grammar specifies how to create strings of the given language.

$\Rightarrow$  Grammars  $\rightarrow$  4 tuple (Variables, Terminal, Producing (Gr), Start Variables)

$$\text{ex: } V = \{A\}$$

$$T = \{0, 1\}$$

$$P = \{A \rightarrow 0A1, A \rightarrow \epsilon\}$$

$$S = A$$

Strings following grammar  $G_1$  are strings of language generated by  $G_1 = L(G_1)$

Derivation: ex:  $A \rightarrow 0A1 \rightarrow 00A11 \rightarrow 0011$   
(of a string  $\in L(G_1)$ )

$\Rightarrow$  We should completely eliminate all variables to get a string.

Note: These ~~kinds~~ of grammars are context free grammars, so the rules only have single variable on LHS. (Context Sensitive grammar supports this though, Natural Language)  
 $\therefore$  Natural Languages are more complex compared to programming languages.

- A string  $x$  yields another string  $y$  if using some ~~number~~ of derivations we can get  $y$  from  $x$ .

\* ex:  
 $\text{Gr} = (V, T, P, S)$   
 by time's sake  $\Rightarrow$  Create a  $\alpha$  taxia  
 $V = S = \{\text{EXPR}\}$   
 $T = \{\alpha, +, \times, (, )\}$   
 $P = \{\text{EXPR} \rightarrow \text{EXPR} + \text{EXPR} \mid \text{EXPR} \times \text{EXPR} \mid (\text{EXPR}) \mid \alpha\}$

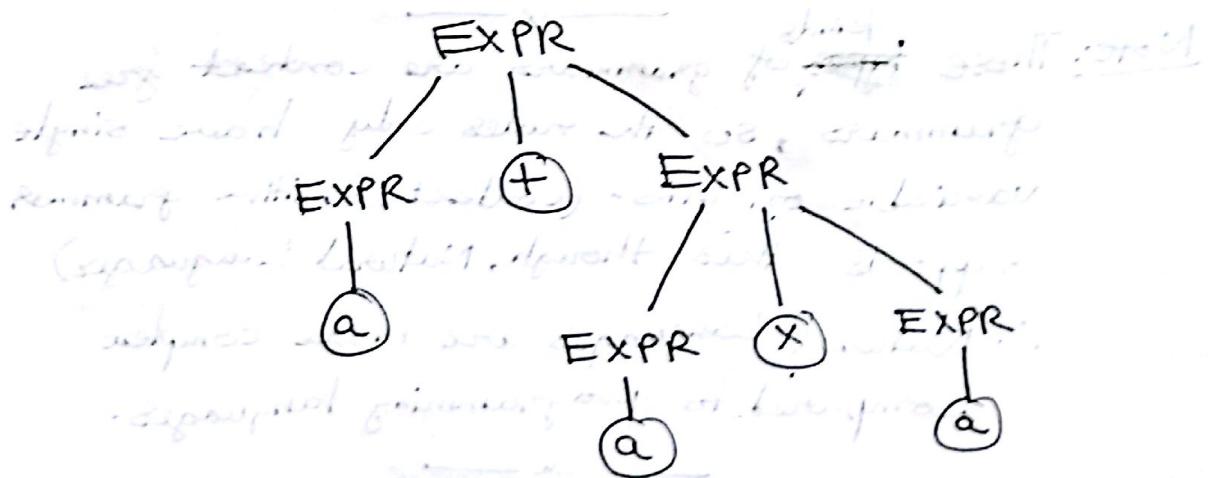
a)  $\text{EXPR} \rightarrow \text{EXPR} + \underline{\text{EXPR}}$   $\rightarrow \cancel{\text{EXPR}} + \text{EXPR} \times \text{EXPR}$   
 gives sense of well with always remove  
 a taxia

b)  $\text{EXPR} \rightarrow \underline{\text{EXPR}} \times \text{EXPR} \rightarrow \text{EXPR} + \text{EXPR} \times \text{EXPR}$   
 using given of exprs  
 no op is in  $\beta$   
 always expand  $\rightarrow \cancel{\text{EXPR}} \times \cancel{\text{EXPR}}$   $\rightarrow \cancel{\text{EXPR}}$   
 $\beta$  is not part of  $\beta$

### \* Parse Trees:

- Representing the derivations using parse trees are more efficient.

ex: For the above example ② derivation,



$\Rightarrow a + a * a$   
 $\Rightarrow$  Using example derivation ② above,

we will get a different parse tree; but it also constitutes to a + a. This is called ambiguity.

⇒ Ambiguity: (Getting different parse trees for same expression)

\* • To get rid of it (so we get only one parse tree for a certain string), we could follow,

a) Leftmost derivation:  $(a \rightarrow a+b)$   
 → Always replace ~~keep~~ the production with ~~second~~ leftmost variable.

This removes ambiguity for our previous example.  
 (If we use a proper set of productions which follow leftmost derivation)

• This need not always work, since there might be 2 or more valid derivations which can lead to the same result.  
 (Mainly natural languages)

! removes

ambiguity  
for our  
previous  
example.

(If we use  
a proper  
set of productions  
which follow  
leftmost derivation)

\* loc: Non - Ambiguous Expression grammar.

$G_e = (V, T, P, S)$

(Desire a + a)

(Only 1 possible  
derivation)

$V = \{EXPR, TERM, FACTOR\}$

(So non-ambiguous)

$T = \{a, +, \times, (, )\}$

$P = \{EXPR \rightarrow EXPR + TERM \mid TERM$

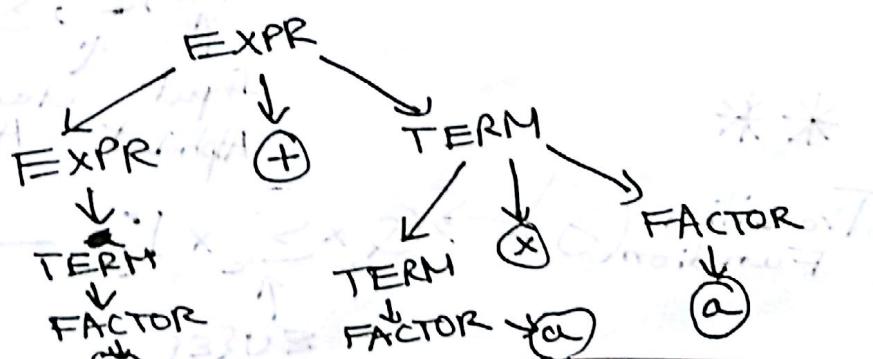
$TERM \rightarrow TERM \times FACTOR \mid FACTOR$

$FACTOR \rightarrow (EXPR) \mid a\}$

This follows  
leftmost  
derivation.

$S = EXPR$

~~Expression  
for a + a~~



\*Note : DFA's cannot recognize all languages generated using CFG's (Context Free Grammars) (Since CFG's also work for non regular languages)

## Pushdown Automata: (PDA)

- We allow the PDA to be non-deterministic

- Stack present → Allows for a lot more (we can read the operations on top of the stack)

⇒ These can recognize CFG's.

\* ex:  $L = \{0^n 1^n \mid n \geq 0\} \rightarrow 3 \text{ states + stack}$

↳ The reason why we couldn't recognize this language using a DFA/NFA is because we could not store the number of 0's that have occurred till now.

↳ Here we can do that using the stack.

⇒ PDAs can behave like ~~computers~~, since they have a stack & can maintain states like a DFA.

• PDA M →  $(Q, \Sigma, \Gamma, S, q_0, F)$

$\uparrow$  Input Alphabet     $\uparrow$  Stack Alphabet     $\uparrow$  Terminal Alphabet     $\uparrow$  Subset of Q (Accepted States)

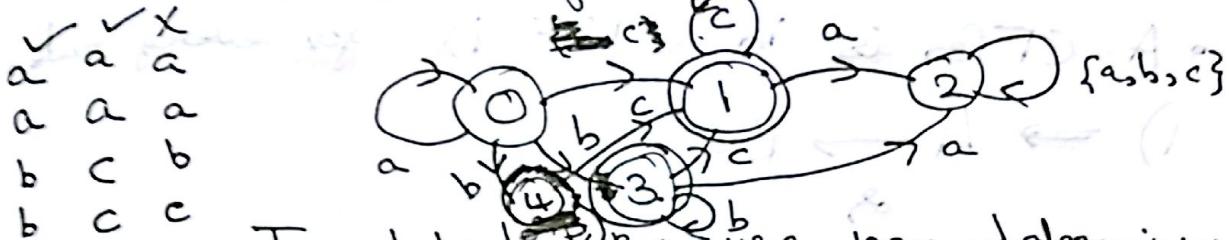
Transition Function ( $S$ ) →  $Q \times \Sigma^* \times \Gamma^* \rightarrow 2^{Q \times \Gamma^*}$

Non deterministic

\*Ex:  $L = \{a^i b^j c^k \mid i, j, k \geq 0, \text{ either } i=j \text{ or } i=k\}$

- Here non determinism is useful.

$\Rightarrow$  Once we get either b or c,



- In state 1, we use non-determinism to pop an 'a' when we encounter a 'b', or pop an 'a' when we encounter a 'c'.

- In state 0, we push 'a's onto the stack, state 3, we pop 'a's when we encounter 'b's. In state 4, we don't pop at all.

- State 2 is the failure state

$\Rightarrow$  State 1, 3 with stack empty in one of the non-deterministic elements (In states 1 & 3, we do popping & try to check if input has ended).

- In state 3 if stack is empty & the input is not over yet, we still have encountered an accept state.

$\Rightarrow$  Non-determinism  $\rightarrow 0 \xrightarrow{b} 3 \xrightarrow{a} 4$

\* Ex:  $L = \{ww^R \mid w \in \{0, 1\}^*\}$  → Can be done using PDA (PDA can do this) → non determinism

N.D for determining middle of string.

## Normal Forms

### \* Chomsky Normal Form: (CNF)

- A CFG  $G_1$  is in CNF if for every rule,

$$1) \Rightarrow A \rightarrow BC$$

$$A \rightarrow a \quad \begin{matrix} \text{Terminal} \\ \text{Symbol} \end{matrix}$$

- $B, C$  cannot be the start symbol  $S$ .

$$2) \Rightarrow \text{Only } S \text{ can derive } \Sigma.$$

- all other symbols are start state

### \* Conversion to CNF: (Grammar $G_1 \rightarrow G_2$ )

- To ensure  $B, C$  are not start symbols, we assume a new start state  $S'$ ,

$S' \rightarrow S$ , so now  $S$  can be used anywhere.

- If we have an  $A \rightarrow \Sigma$ , we recursively ensure to ~~keep~~ rules  $X \rightarrow S_1 A S_2$  and add  $X \rightarrow S_1 S_2$ . If  $X$  now goes to  $\Sigma$ , apply same rule again & again.

- Remove rules of form  $A \rightarrow B$ , suppose  $A \rightarrow B, B \rightarrow C, \dots, X \rightarrow x$ , then we remove all these rules & add  $A \rightarrow x$ .

This will ensure that there are no single variable rules on the right hand side so CNF rule 1(a) is ensured.

- 4) Reduce long expressions,  $A \rightarrow BCD$ ,  
 this is simple  $A \rightarrow BB'$ ,  $B' \rightarrow CP$ ,  ~~$C \rightarrow A$~~ .
- So just introducing new states we can solve this problem.

(Also reduce  $A \rightarrow aa$  to  $A \rightarrow A'A'$ ,  $A' \rightarrow a$ )

- 5)  $A \rightarrow BC$  &  $A \rightarrow \alpha$  <sup>2 terminals</sup>

### \* Describing PDAs

- Transitions b/w states are shown as,

$a, b \rightarrow c$  } On input  $a$ , Stack top  $b \rightarrow c$

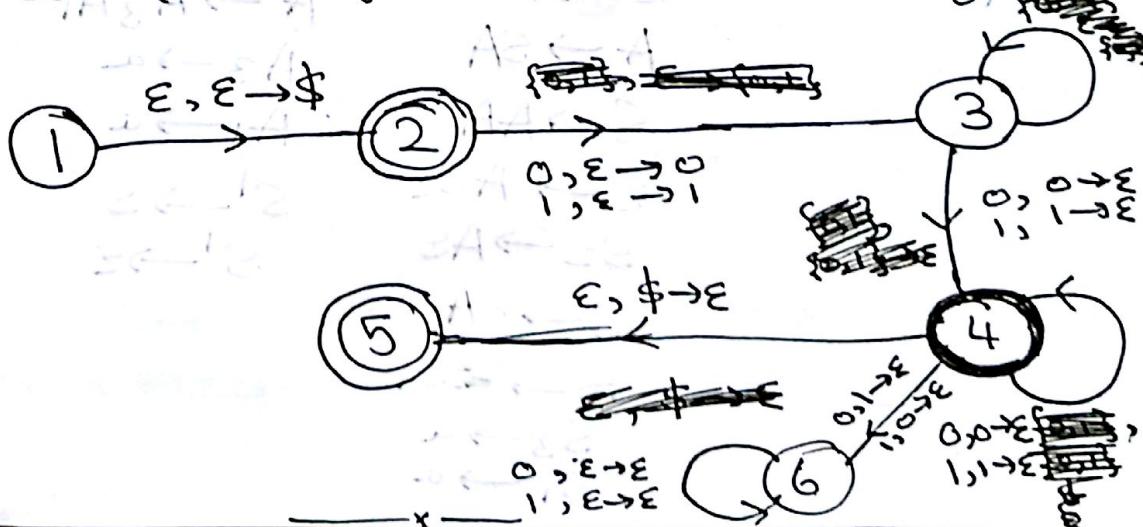
- $b, c$  can be  $\epsilon$ . If  $b$  is  $\epsilon$ , then it means that no matter what is present on the top of the stack, it is replaced with  $c$ .

$\Rightarrow$  If  $c = \epsilon$ , then we pop  $b$  from the stack.

- We should always first put a '\$' symbol etc on the stack (Denotes bottom of stack) and then remove this at the last.

$(\epsilon, \epsilon \rightarrow \$) \& (\epsilon, \$ \rightarrow \epsilon)$

\* \* \*  
 ex:  $L = \{ww^R \text{ for } w \text{ in } \{0, 1\}^*\}$



\* ex: Convert ~~CFG~~ to CNF (Chomsky Normal Form)

\*\*  $S \rightarrow AS$   
 $S \rightarrow AAS$

$A \rightarrow SA$

$A \rightarrow a$

$S \rightarrow \epsilon$

~~CFG~~ with only

a)  $\Rightarrow S' \rightarrow S, S' \rightarrow \epsilon$ , so we don't repeat the state is added to G!

b)  $S \rightarrow A$

$S \rightarrow AA$

$A \rightarrow A$  {We can remove this}

added & help in this

$S \rightarrow \epsilon$  is removed.

c) ~~S' → A~~ ~~A → SA~~

~~S → AA~~ ~~A → aa~~

~~A → aaaa~~ ~~S → aaaa~~

$S \rightarrow SA$

~~S → aa~~ Remove

~~S → AA~~  $S \rightarrow A$

~~A → aaaa~~  $A \rightarrow A$

d)  $S \rightarrow aS_1$  Add

$S_1 \rightarrow a$

$A \rightarrow aA_1$

$A_1 \rightarrow a$

$S \rightarrow Aa$   
 $S_2 \rightarrow AS$

Final Set:  $S \rightarrow AS$   $A \rightarrow A_3 A_1$

$A \rightarrow SA$

$A_3 \rightarrow a$

$S \rightarrow AA$

$A_1 \rightarrow a$

$S \rightarrow AS_2$

$S^1 \rightarrow S$

$S_2 \rightarrow AS$

$S^1 \rightarrow \epsilon$

$S \rightarrow SA$

$S \rightarrow S_2 S_1$

$S_3 \rightarrow a$

$S_1 \rightarrow a$

But we need to remove  $S' \rightarrow S$  (c again)

- Final Set of rules:

$$\begin{array}{ll} S \rightarrow AS & A \rightarrow A_3 A_1 \\ A \rightarrow SA & A_3 \rightarrow a \\ S \rightarrow AA & A_1 \rightarrow a \\ S \rightarrow AS_2 & S' \rightarrow \epsilon \\ S_2 \rightarrow AS & S' \rightarrow AS \\ S \rightarrow SA & \quad \quad \quad S' \rightarrow AA \\ S \rightarrow S_3 S_1 & S' \rightarrow AS_2 \\ S_3 \rightarrow a & S' \rightarrow SA \\ S_1 \rightarrow a & S' \rightarrow S_3 S_1 \end{array}$$

Now pushing  $a$  into stack  
L( $G'$ ) = L( $M$ )

### \* Equivalence of CFGs & PDAs:

- We know that every regular language can be derived from a CFG. (This need not be true). (PDA can recognize all regular languages)

### \* CFG $\rightarrow$ PDA (Example in slides) (Rules in slides)

- A CFG 'G' corresponds to a language  $L(G)$
- $\Rightarrow$  We show that a PDA  $M$  which accepts  $L(M)$  exists such that  $L(M) = L(G)$ .
- We allow transitions of the form  $a, b \rightarrow X$  where  $X$  is a string. We could do this on our PDA by introducing additional states & pushing one character at a time.

(Inserting string  $a_1 a_2 a_3$  results in  $a_1$  on top of stack).

q. If  $a$  is present  
at bottom of stack  
then with  $a_1 a_2 a_3$  is added

⇒ We prove this with the help of non-determinism.

\* We somehow treat our production rules as state transitions, so that we check if we can derive a string  $w$ ,  $w \in L(G)$  using  $M$ .

\* If we have we use the stack to store our current string along with variables. On reading terminal symbols on top, we pop it & consider input of our string. Since we use leftmost derivation, we can pop terminal symbols as soon as they occur, else if it's not a terminal symbol, we push our production rule onto the stack. (Multiple production rules for same variable → non-determinism)

Since it is leftmost derivation, we replace the variable on top of the stack first.

⇒ Our PDA will have 3 states.

(Start, Repeat & Accept)

•  $q_0$  has one transition,  $\epsilon, A \rightarrow w$  (Push)

•  $q_{loop}$  has 3 transitions (Repeat)  $\epsilon, A \rightarrow w$  (Push),  $\epsilon, A \rightarrow \epsilon$  (Pop)

$\epsilon, \$ \rightarrow \epsilon$  (Final transition)

When input is also empty

\* On the final transition we move from  $q_{loop}$  to  $q_{accept}$  with  $\epsilon, \$ \rightarrow \epsilon$ . If we are in  $q_{final}$ , then we accept the string.

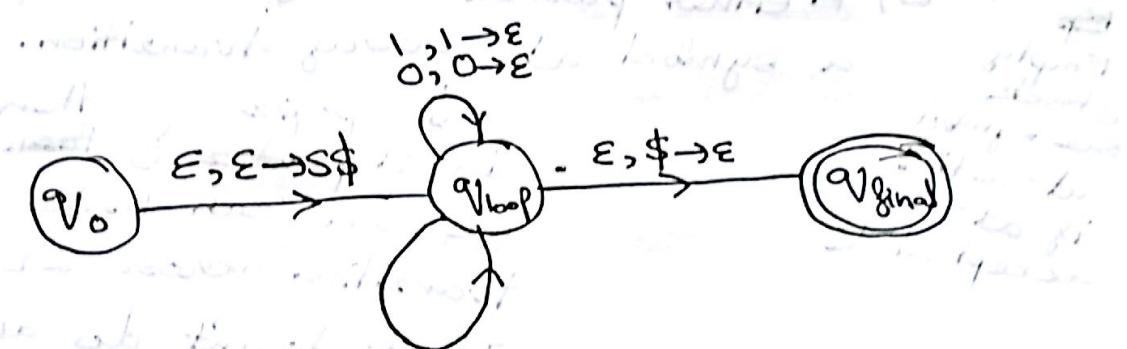
\* QOC: Construct an equivalent PDA for the given CFG.

Given SLLT To spot a relation between given grammar

$$S \rightarrow TT|U \quad \text{Terminates}$$

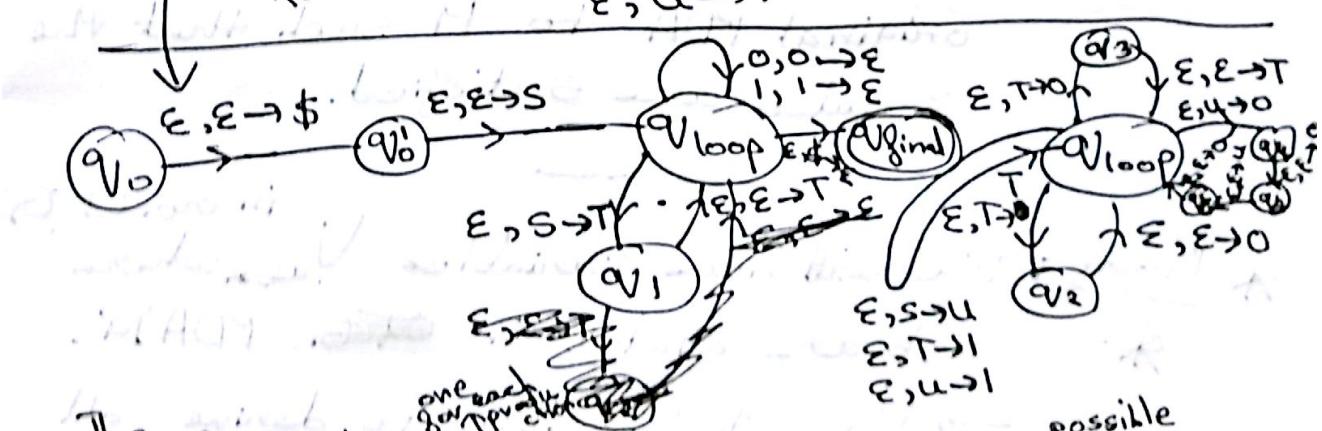
$$T \rightarrow OT|TO|I \quad \text{with } \{O, T\}$$

$$U \rightarrow OUOO|I$$



Show each transition without using transitions

$$\begin{aligned} S &\xrightarrow{\epsilon, S \rightarrow TT} \\ &\xrightarrow{\epsilon, S \rightarrow U} \\ &\xrightarrow{\epsilon, T \rightarrow OT} \\ &\xrightarrow{\epsilon, T \rightarrow TO} \\ &\xrightarrow{\epsilon, T \rightarrow I} \\ &\xrightarrow{\epsilon, U \rightarrow OUOO} \\ &\xrightarrow{\epsilon, U \rightarrow I} \end{aligned}$$



The number of self transitions (loops) with intermediate states is one for each production.

# \* PDA $\rightarrow$ CFG (Not there as of now)

- Given a PDA  $M$ , we need to show there exists a CFG  $G$  such that  $L(M) = L(G)$ .

$\Rightarrow$  We will consider a type of PDAs such that,

- a)  $M$  has one accept state
- b)  $M$  empties its stack before accepting
- c)  $M$  either pushes a symbol or pops a symbol at every transition.

Empty stack  
one symbol  
at a time  
if at accept state

- If  $M$  pops & then pushes, we can make this transition across 2 states.
- If it doesn't do anything to the stack, we push a dummy variable onto the stack & then pop it.

$\therefore$  We were able to convert our original PDA to  $M$  such that the 3 rules are satisfied.

\* Proof: We will have variables  $V_{ab}$  where  $a, b$  are states in ~~PDA M~~.

$\Rightarrow V_{ab}$  will be able to derive all strings 'w' such that  $M$  in state  $a$  on consuming 'w' goes to state  $b$ , starting & ending with empty stack.

## \* Non-CF languages:

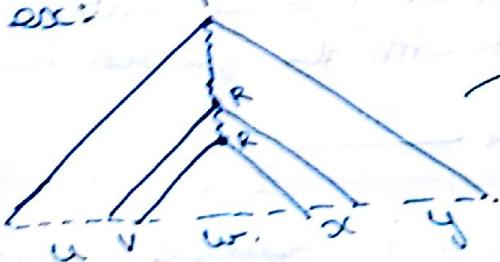
### \* Pumping Lemma for CFLs:

- Let  $|V| = \text{No. of non-terminals in } G$ .  
branching factor (max) ( $b = \text{Largest no. of symbols any production can produce}$ )
- Consider string  $w$  of length atleast  $p$   
 $p = b^{|V|+2} \rightarrow \text{height} = b \text{ (of parse tree)}$
- $\Rightarrow$  Consider a string ' $s$ ' of length  $\geq p$
- Because of our string chosen, the parse tree will have atleast  $|V|+2$  nodes.

height of tree  $\geq |V|+2$   
 $\Rightarrow$  On the longest path (ignoring the terminal symbol), we have  $|V|+1$  non-terminal nodes.  $\therefore$  Atleast one variable will repeat.  $\rightarrow$  Let's say this is  $R$ .

- To keep  $R$  unique, we pick the  $R$  with the longest height.

$T \rightarrow$  is the tree with the smallest no. of nodes for string  $s$ .



Parse tree for  $S$ ,  
 $S = uvwxy$

- Now we can see that strings,

$$S' = uvvwxy$$

$\Rightarrow S' = uv^i wxy$  (On repeating the part (below R to R) multiple times)  
( $i$  could also be 0)

$\therefore S^0 = uwxy$  also belongs to the CFL.

x

## \*Pumping Lemma for CFLs statement:

- Given a  $\text{CFL}(L)$ , there exists  $p = \text{pumping length}$ , such that all strings  $w$  in the  $\text{CFL}$  of length  $\geq p$  can be split as, ~~as~~  $w = uvwxy$ , such that,
  - $i \geq 0, uv^i w x^i y \in L$
  - $|vwx| \geq p$ , If both  $|v|=0$  &  $|x|=0$ , then the tree we consider is not the smallest tree for string  $s$ .  
(So the lemma doesn't apply)
  - $|vwx| \leq p$ ,

Since we can find a repeated state  $R$  such that the gap between them is atmost  $|v|+1$ .

$\therefore$  We can see that  $b^{|v|+2}$  is always possible to be the max length of  $|vwx|$

$\hookrightarrow$  We can always select an  $R$ , such that this is satisfied  
( $R$  with the greatest height)

Note: We often use this to prove that ~~languages~~ are  $\text{CFLs}$ . (Similar to how we used the pumping lemma to show non regular languages)

\*ex:  $L = \{a^n b^n c^n \mid n \text{ at least } 0\}$

→ Show that it  
is not CFL.

⇒ Consider the string  $s = a^p b^p c^p$

- we cannot find any split as  $uvwx$   
such that  $|vwx| \leq p$ . → so  $s$  cannot  
be pumped.

\*ex:  $L = \{ww \mid w \in \{0,1\}^*\}$

\*

- This is not context free whereas  $w w^R$  is context free.

## \* Turing Machine:

- Consider a finite state automata along with an infinitely long tape starting from location 0. → Unlike the limited memory of our computer

⇒ The machine can move a head left/right and read/write data on the cell pointed by the head.

- This allows us to store our input & read the input multiple times.

\* ⇒ This machine can recognize non CFLs,  
\* ex:  $L = \{a^n b^n c^n \mid n \text{ at least } 0\}$

→ One way is to identify the length & then use it.

→ Another can be to cross out a single 'a', 'b', 'c' on each pass & perform  $n$  passes.

\*  $\Rightarrow$  Turing Machine( $M$ ) =  $(Q, \Sigma, \Gamma, S, q_0, q_a, q_r)$

\*

$Q$  = Set of states

$\Sigma$  = Input Alphabet

$\Gamma$  = Tape Alphabet  $\rightarrow$  superset of  $\Sigma$

$S = Q \times \Gamma \rightarrow Q \times \{L, R\}$  (Transition function)

$q_0$  = Start state

$q_a$  = Accept state

$q_r$  = Reject state

$\Rightarrow S(a, q) \rightarrow (b, q', R)$

\*

• On reading input  $a$  from state  $q$ , replace symbol  $a$  with  $b$  on (tape) and goes to a state  $q'$ , and moves left or right on the tape.

• Input is always present on tape starting from index 0 (leftmost of tape).

Note: Turing machine can face the halting problem,  $\rightarrow q_r$  or  $q_a$  never reached.

\* Configuration: (How we represent the machine during computation)

- The current state
- The position of the head.
- The contents of the tape.

$\Rightarrow$  If head is positioned at  $w_i$

$\Rightarrow$  Contents of tape  $\Rightarrow w = w_1 w_2 \dots w_m$

• We represent the configuration as,

\* Config =  $w_1 w_2 \dots w_{i-1} q w_i w_{i+1} \dots w_m$

\*  $\Rightarrow$  We put our state just before

the position of the head so a single string has all the info we need.

\* ex: a. configuration  $u a q b v$  yields

\* a configuration  $u q' a' b' v$

$\Rightarrow f(a, b) \rightarrow (a', b', L')$

$\downarrow$  Move left

Note: Turing decidable: Machine halts on all inputs

\* Turing recognizable: Machine may not halt on all inputs.

obj is yes/no, a, blank, ab, amb, bbb, etc.

Turing recognizable  $\supseteq$  Turing decidable

! except most prints go here

. So what about

e.g. Turing machine recognizable languages.

\*\*\*

→ Multiplication, Powers of 2 etc are  
• Turing machine decidable.

$$\rightarrow L = \{ww \mid w \in \{0,1\}^*\}$$

$$L = \{a^ib^j c^k \mid i=j=k\}$$

$$L = \{a^i b^{2^i} \mid i \geq 0\}$$

• These are all turing machine decidable.

$$\rightarrow L = \{a^i b^j c^k \mid k=i+j\}$$

$$L = \{a^i b^j c^k \mid k=i \times j\}$$

• These are turing machine decidable.

## \* Types of Turing Machines:

### \* 1) Multitape TM:

• 2 tapes with one head each.

•  $L = \{ww \mid w \in \{0,1\}^*\}$  → Move second head to the middle of string on tape 2 after copying input from tape 1 to tape 2.

→ Match character by character and go to accept state on reaching end of string

$$\Rightarrow S : Q \times T^k \rightarrow Q \times T^k \times \{L, R\}^k$$

- Only one state  $q_f$  of our TM though.

### \* Equivalence of k-tape TM & single tape TM:

- One of the solution is to use a multitrack turing machine  $\rightarrow$   $k$  tracks so ~~one~~ a single head can read  $k$  values at the same time.

$\Rightarrow$  Another solution is to split tape into chunks of size  $k$ .

- Chunk $_i =$  All the  $i^{th}$  values of all the  $k$  tapes.

### \*2) Non-deterministic TM

$$S : Q \times T \rightarrow 2^{(Q \times T \times \{L, R\})}$$

$$S : Q \times T \rightarrow 2$$

(Given the language  $L = \{a^i \mid i \text{ is not prime}\}$ )

two parts. Use two tapes  $\rightarrow$  on the 2<sup>nd</sup> tape it will write all the prime numbers b/w 2 &  $i-1$

If the number on the 2<sup>nd</sup> tape divides  $i$ , then TM accepts.

### \* Equivalence of Non-deterministic TM & normal TM

- We can show equivalence using a three tape deterministic TM.

(assuming NDTM has a single tape)

Tape 1 → Input

Tape 2 → Working tape

Tape 3 → Encoding state are what.

- Consider a tree describing our NDTM

⇒ Let us assume the max. no. of choices

\* at any state is b.

↳ Each node can be labeled

with a string over the b symbols

• ex: 15238...2 ⇒ first choice in step 1,  
5th choice in step 2  
and so on...

⇒ Every node has string telling us  
how to get there.

\* Use a breadth wise approach so that  
a non-halting branch will not effect  
the other branches.

↳ Follow a lexicographic ordering  
of the strings

(This gives us the breadth wise)

- At each stage, copy this string onto

tape 3, and then copy input from  
tape 1 to tape 2 & apply corresponding  
actions to tape 2.

possible configurations

possible configurations

possible configurations

## \* Enumerator:

- A TM which can list / enumerate all the members of the language in any order
- ⇒ If we are given an input string  $w$ , start the enumerator  $E$ , compare each string with  $w$  using the TM
- So this is equivalent to a language  $L$ .
  - With multiple inputs, iterate over a
    - \* max step size & each of the strings
    - \* trying to check for an accept state on string( $i$ ).
  - ↳ This way we won't be stuck on a non-accepting string forever
  - max step size → limits the number of actions the  $E$  can perform.

---

\* Note: Recursive languages  $\rightarrow$  T~~uring~~-Decidable  
Recursively enumerable  $\rightarrow$  T~~uring~~-recognizable

---

Note: The union of a Recursive T.M ( $M_1$ ) & Recursive T.M ( $M_2$ ) is also Recursive T.M ( $M$ ) like T.T.A.

use of  $M_1$  for  $L_1$   
 $M_2$  for  $L_2$   
 $M$  for  $L_1 \cup L_2$

(Proof: Write like a C program)  
if  $M_1$  accepts  
else if ...  
else ...  
etc. ~~else~~ ~~else if~~ ~~else~~ etc.

Note: The union of two r.e. T.M ( $M_1$ )

\* & an R.E T.M ( $M_2$ )  $\Rightarrow$  R.E T.M ( $M$ )

• On input  $w$  ( $t=1$ )

Repeat

Run  $M_1$  on  $w$  for  $t$  steps

then Run  $M_2$  on  $w$  for  $t$  steps

Until either  $M_1$  halts by accept

or  $M_2$  halts by accept.

• TM accepts & halts

every finite jump

Note: Similarly for the intersection of two T.M's  $\rightarrow$  Also a TM

— — — — —

skipped - IT is explained in next slide  
beginning of 75 - later one is published

## \* Restricted Turing Machine: (LBA)

\* ↳ Context Sensitive grammars  
 ↳ Cannot produce  $\epsilon$

- In CFGs,  $A \rightarrow w$  rules exist

⇒ Here we also allow,

$$a_1 A a_2 \rightarrow a_1 w a_2$$

↑  
Context  
Sensitive

$(w \neq \epsilon)$

- $a_1 a_2$  can be  $\epsilon$  or strings of variables & terminals.

\*  $L = \{a^i b^i c^i \mid i \geq 1\}$

$$\begin{aligned} S &\rightarrow aPbc \mid abc \\ aPb &\rightarrow aaPbbQ \\ Qc &\rightarrow cc \\ Qb &\rightarrow bQ \\ P &\rightarrow \epsilon \quad \left. \begin{array}{l} \text{Need to} \\ \text{replace this rule} \end{array} \right\} \end{aligned}$$

Context  
Sensitive  
Grammar

⇒ A restricted TM → Size of Tape is bounded to be linear in the size of the input

- This TM ⇒ Linear Bounded Automata (LBA)
- Tape size =  $O(\text{Length of input})$

\* ⇒ Every CSL has an LBA & vice versa (except  $\epsilon$ )